

NetIQ Advanced Authentication Framework - Client

User's Guide

Version 5.1.0

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Introduction

About This Document

Purpose of the Document

This User's Guide is intended for all user categories and describes how to use the client part of NetlQ Advanced Authentication Framework solution. This document describes the work used in corporate environment.

Document Conventions

Warning. This sign indicates requirements or restrictions that should be observed to prevent undesirable effects.

Important notes. This sign indicates important information you need to know to use the product successfully.

- **One Notes.** This sign indicates supplementary information you may need in some cases.
- **Tips.** This sign indicates recommendations.
 - Terms are italicized, e.g.: *Authenticator*.
 - Names of GUI elements such as dialogs, menu items, and buttons are put in bold type, e.g.: the **Logon** window.

NetIQ Advanced Authentication Framework™ Overview

In this chapter:

- About NetIQ Advanced Authentication FrameworkTM
- NetIQ Advanced Authentication FrameworkTM Technology
- NetIQ Advanced Authentication FrameworkTM Supported Features

About NetIQ Advanced Authentication Framework™

NetIQ Advanced Authentication Framework $^{\text{TM}}$ is a software solution that enhances the standard user authentication process by providing an opportunity to logon with various types of authenticators.

Why choose NetIQ Advanced Authentication Framework™?

NetIQ Advanced Authentication Framework™...

- ...makes the authentication process easy and secure (no complex passwords, "secret words", etc.).
- ...prevents unauthorized use of your computer and mobile devices.
- ...protects you from fraud, phishing and similar illegal actions online.
- ...can be used to provide secure access to your office.

What is NetlQ Advanced Authentication Framework™?

NetlQ Advanced Authentication Framework™ is a system made up of 3 sets of components (Server components, Administrator components and Client components). Working together these components secure your access to data and allow you to forget about your account password.

What is going to happen to my password?

NetlQ Advanced Authentication Framework™ leaves NetlQ administrator a choice to determine whether to allow the use of account password or disable it. If the use of account password is enabled, you can log on with it just like you would without NetlQ Advanced Authentication Framework™.

If the use of account password is disabled, you can log on with an authenticator only. In this case your account password is changed automatically once you have enrolled an authenticator. Later on the complex random password is generated and changed at regular intervals specified

by NetlQ administrator. Passwords are unknown to everyone, including NetlQ administrator.

NetIQ Advanced Authentication Framework™ Technology

NetlQ Advanced Authentication Framework™ technology relies on authenticator.

Although password authentication is simple and the most common, it has a number of disadvantages:

- a simple password is both easy to remember and to obtain. They can easily be guessed or hacked:
- a complex password is both hard to obtain and to remember. However, users tend to write their long complex passwords down and keep then on their workplaces where anyone else can see them.
- a password can be communicated to anyone else.

Authenticators are better, because they do not complicate logon procedure, but allow users to give up passwords and thus keep access to their information secure. NetlQ Advanced Authentication Framework™ gives users an opportunity to use hardware authentication devices and retains an opportunity to log on by password (on permission from NetlQ administrator).

Authentication devices supported by NetlQ Advanced Authentication Framework™ include biometric scanners, smart cards, tokens, memory cards, etc.

- An authenticator can be enrolled (created) at first logon or at any time later.
- The number of authenticators you can have is defined by NetIQ administrator.
- NetIQ Advanced Authentication Framework[™] allows you to manage your authenticators: enroll, re-enroll (edit), test, delete. All these actions require permission from NetIQ administrator.

NetIQ Advanced Authentication Framework™ Supported Features

Supported Authenticator Types

• NetIQ Advanced Authentication Framework™ supports a wide range of authenticator types (biometric authenticators, smart cards, tokens, and one-time passcodes).

NetIQ Advanced Authentication Framework™ Supported Features

- You can enroll authenticator right after your first logon after NetlQ Advanced Authentication Framework has been installed;
- You can use different authenticator types (from the available ones) for logging on or unlocking your operating system instead of using weak and unsafe password;
- You can enroll several authenticators (depending on how much the administrator allows you to enroll), re-enroll or delete your authenticators (if you are permitted to);
- You can have your password changed (both, manual and on permission);
- You can execute files or run applications under another user's account using NetlQ Advanced Authentication Framework Run As tool;
- You can use authentication in the situation when you're outside of your corporate network or temporary work with network was planned (contact your NetlQ administrator in advance).

Terms and Abbreviations

In this chapter:

- Authenticator
- Enroll Authenticator
- Re-enroll Authenticator
- User Authentication
- User's Workstation

Authenticator

Authenticator is data submitted by a user for the purpose of his/her personality validation. Both common character strings (e.g. symbolic password) and data received from a hardware authentication device (e.g. digital fingerprint model, memory card ID) can appear as an authenticator.

Enroll Authenticator

Enroll authenticator means to create an authenticator, "train" the system to recognize it and save the result to the database.

Re-enroll Authenticator

Re-enroll authenticator means to change the authenticator and save the changes to the database.

User Authentication

With NetlQ Advanced Authentication Framework, **user authentication** process includes the following steps:

- 1. When authentication is required, the logon window is displayed and the user is prompted to submit an authenticator.
- 2. When the authenticators match, the user's identity is successfully proven.

User's Workstation

User's workstation is a computer with installed "NetlQ Advanced Authentication Framework – Client" package and a hardware authentication device.

Getting Started

The purpose of this chapter is to provide an overview of the basic principles of the "NetlQ Advanced Authentication Framework – Client" functioning and to give the user a guidance in its initial setting for further successful operation.

The NetlQ Advanced Authentication Framework Client component is formerly known as NetlQ Advanced Authentication Framework Workstation component.

The First Logon

Once the "NetIQ Advanced Authentication Framework – Client" package has been installed on your computer, you can initially log on to Windows using your normal account password.

NetlQ Advanced Authentication Framework authentication becomes available to you once you have enrolled an authenticator.

The Logon procedure may differ depending on the operating system type you are using. Please select your operating system in the list below:

- Microsoft Windows 7
- Microsoft Windows 8.1
- Microsoft Windows 10

Microsoft Windows 7

- 1. Start your computer. From logon screen, press [Ctrl]+[Alt]+[Del] and select your user name if available or click Switch User.
- 2. Follow the steps below:
 - Select **By Password** as a logon method and type your password.
 - Click icon or press Enter to continue.



• Wait a few seconds until you are logged on to Windows. Once you are logged on, you can enroll your authenticator.

NetlQ administrator may allow you to cache authenticators. *Caching* means storing authentication and user data at a local storage. In such case the *Cache policy* notification is displayed after you have entered your password. See <u>Caching Authenticators</u>.

Microsoft Windows 8.1

- 1. Start your computer and select your user name.
- 2. Follow the steps below:
 - Select **By Password** as a logon method and type your password.
 - Click icon or press **Enter** to continue.



• Wait a few seconds until you are logged on to Windows. Once you are logged on, you can enroll your authenticator.

Microsoft Windows 10

To perform the first logon:

- 1. Start your computer and press Ctrl+Alt+Del if required.
- 2. At left bottom side select your user (if applicable) or enter your user name in the appropriate field.
- 3. Ensure that **By password** method is selected:



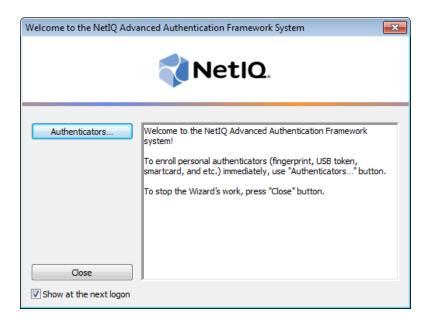
4. Enter your password and click icon or press **Enter** to continue.



Wait a few seconds until you are logged on to Windows. Once you are logged on, you can enroll your authenticator (if applicable).

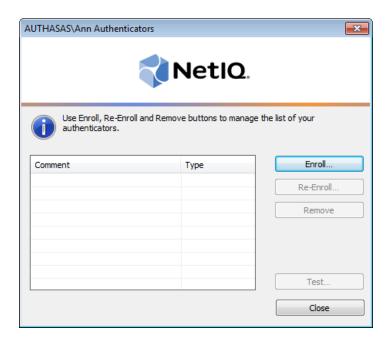
Enrolling Authenticator at First Logon

1. The **Welcome to the NetlQ Advanced Authentication Framework System** window is displayed.



You can enroll an authenticator at once or proceed and enroll the authenticator at any time later.

- **a)** If you choose not to enroll an authenticator at first logon, the **Welcome to the NetlQ Advanced Authentication Framework System** window will be displayed each time you log on until an authenticator is enrolled. To proceed without enrolling an authenticator, click **Close**.
- If the **Show at the next logon** option is available, you can stop the welcome window being displayed repeatedly. To do this, cancel the option. As a result, the welcome window will not be shown and you will not be prompted to enroll an authenticator anymore. Later, authenticators may be enrolled and re-enrolled anytime in the **Client Tray**.
- **b)** If you choose to enroll an authenticator at first logon, click **Authenticators**. This brings you to **Authenticators** window.
- 2. Click Enroll... button in the Authenticators window.



3. In the opened window select the required type of authenticator to be enrolled from the **Type** drop-down menu. Click **Enroll...**

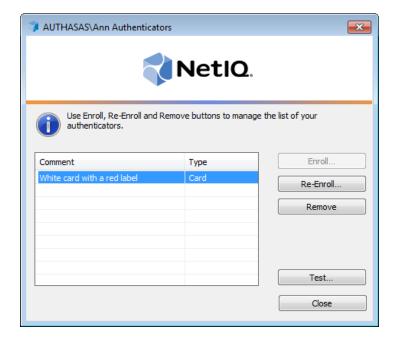


- 4. You are shown the authentication device screen with instructions to follow, which depend on device type. Follow the instructions to enroll an authenticator.
- 5. After successful enrollment you can add a comment to authenticator (if allowed by the NetlQ administrator).



Once authenticator is successfully enrolled, you can test authenticator by clicking the **Test...** button (see <u>Testing Authenticator at First Logon</u>).

6. Click **Save**. *<After enrollment it is recommended to specify comment>* record appears in the Authenticators window (if comment is editable).

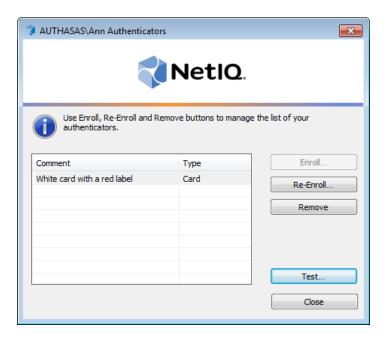


After you have enrolled and saved an authenticator, you can:

- re-enroll or remove it;
- choose to log on either with authenticator or with your account password (if logon with password is allowed by the NetlQ administrator).

Testing Authenticator at First Logon

1. In the **Authenticators** window, click **Test**.



- 2. You are shown the authentication device screen with instructions to follow, which depend on device type. Follow the instructions to test the authenticator.
- 3. After authentication is completed you receive one of the following messages:
- a) if test passed:



b) if test failed:



If test failed, you may retry testing by clicking **Retry** or click **Cancel** and re-enroll the authenticator.

Logon Methods

In this chapter:

- Logon with Authenticator
- Logon with Password
- Remote Logon Via Dial-Up Connection
- <u>Terminal Logon</u>
- Non-Network Logon

Logon with Authenticator

The Logon procedure may differ depending on the operating system type you are using. Please select your operating system in the list below:

- Microsoft Windows 7
- Microsoft Windows 8.1
- Microsoft Windows 10

Microsoft Windows 7

To log on with authenticator:

- 1. Start your computer. From logon screen, press [Ctrl]+[Alt]+[Del] and select your user name if available or click **Switch User**.
- 2. Follow the steps below:
 - Type your user name (not needed unless **Switch User** option has been selected).
 - Select any of the available authentication methods as a logon method.
 - Click icon or press **Enter** to continue.



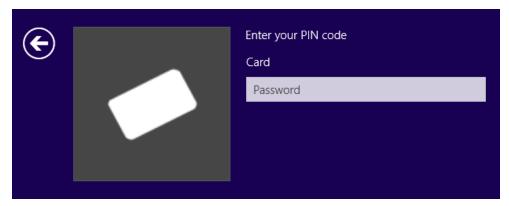
3. You are shown the authentication device screen with instructions to follow, which depend on device type. Follow the instructions to get authenticated.

Microsoft Windows 8.1

To log on with authenticator:

- 1. Start your computer and select your user name.
- 2. Follow the steps below:
 - Type your user name.
 - Select any of the available authentication methods as a logon method.
 - Click icon or press **Enter** to continue.





3. You are shown the authentication device screen with instructions to follow, which depend on device type. Follow the instructions to get authenticated.

Microsoft Windows 10

To log on using an authenticator:

- 1. Start your computer and press Ctrl+Alt+Del if required.
- 2. At left bottom side select your user (if required).
- 3. Choose an available authentication method by clicking the appropriate icon.
- 4. Authenticate using the method (you may follow documentation for the used method).



Logon with Password

- Please select your operating system in the list below:
 - Microsoft Windows 7
 - Microsoft Windows 8.1
 - Microsoft Windows 10

Microsoft Windows 7

If random password was generated for your account, you can log on with an authenticator only.

To log on with your account password:

- 1. Start your computer. From logon screen, press [Ctrl]+[Alt]+[Del] and select your user name if available or click **Switch User**.
- 2. Follow the steps below:
 - Type your user name (not needed unless **Switch User** option has been selected).
 - Select **By Password** as a logon method and type your password.
 - Click icon or press **Enter** to continue.



Microsoft Windows 8.1

If random password was generated for your account, you can log on with an authenticator only.

To log on with your account password:

- 1. Start your computer. Select your user name.
- 2. Follow the steps below:
 - Select **By Password** as a logon method and type your password.
 - Click icon or press **Enter** to continue.



• Wait a few seconds until you are logged on to Windows.

Microsoft Windows 10

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To log on using your domain password:

- 1. Start your computer and press Ctrl+Alt+Del if required.
- 2. At left bottom side select your user (if required).

3. Ensure that **By password** method is selected:



4. Enter your password and click icon or press **Enter** to continue.



Remote Logon Via Dial-Up Connection

- i Please select your operating system in the list below:
 - Microsoft Windows 7
 - Microsoft Windows 8.1/10

Microsoft Windows 7

Before using this logon method you should consult NetlQ administrator and make sure the dial-up connection is configured and compatible with the network equipment you are going to use.

1 The **Use Dial-up connection** option may be disabled by NetIQ administrator.

To log on via dial-up connection:

- 1. Start your computer. From logon screen, press [Ctrl]+[Alt]+[Del] and click Switch User.
- 2. Click the **Network Logon** button or select a connection (if there are several available connections, each of them appears as a separate button).



- 3. Follow the steps below:
 - Type your user name and password.
 - Click icon or press **Enter** to continue.



4. Wait a few seconds until the connection is set up.

Log on with any available method (pattern/password).

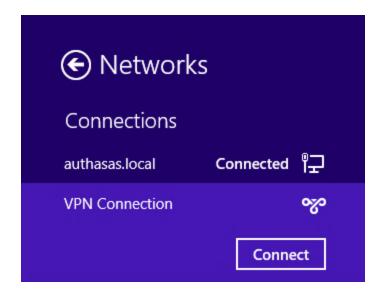
Microsoft Windows 8.1/10

Before using this logon method you should consult NetlQ administrator and make sure the dial-up connection is configured and compatible with the network equipment you are going to use.

The **Use Dial-up connection** option may be disabled by NetlQ administrator.

To log on via dial-up connection:

- 1. Start your computer.
- 2. Click the **Networks** button and select a connection (if there are several available connections, each of them appears as a separate button).



- 3. Follow the steps below:
 - Type your user name and password.
 - Click icon or press **Enter** to continue.



4. Wait a few seconds until the connection is set up.

Log on with any available method (pattern/password).

Terminal Logon

NetlQ Advanced Authentication Framework™ allows you to log on to a terminal server (remote desktop) using any available logon method.

To log on to a terminal server (remote desktop) both remote desktop PC and user's PC must have terminal client and required authentication providers' modules installed.

To log on to a terminal server:

- 1. Start the "Remote Desktop" tool (**Start > Programs > Accessories > Communications > Remote Desktop Connection**).
- 2. The **Remote Desktop Connection** dialog opens. Enter the IP-address or name of the terminal server and click **Connect**.
- 3. After the connection has been set up, the **Logon** window is displayed. Log on using any available method.

Non-Network Logon

NetlQ Advanced Authentication Framework™ allows you to log on in offline mode, from a standalone computer/laptop that is not physically connected to the network.

Non-network logon method is available to you only if it is explicitly permitted by NetlQ administrator and if you have performed network logon at least once.

To enable non-network logon, you must have your authenticators cached (See <u>Caching</u> <u>Authenticators</u>).

Managing Password

In this chapter:

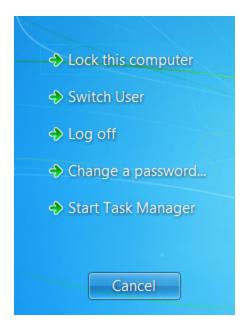
- Changing Password
- Getting Password
- SSPR Support

Changing Password

The operation may be forbidden by NetIQ administrator.

To change the password:

1. From your desktop, press [Ctrl]+[Alt]+[Del]. The Windows Security window is displayed.

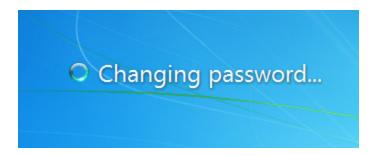


- 2. Click Change a Password....
- 3. The **Change Password** dialog is displayed.



- Type your old password, then type a new one and confirm it.
- Click icon or press **Enter**.
- If you do not know your current password, you can get it.

The password will be changed.



You may get the password synchronization request on first logon after changing the password. You will need to provide the new password. The further logons will not require the password synchronizations.

Getting Password

To get your current password:

- 1. In the **Change Password** dialog, click **Get**.
- 2. You are shown the authentication device screen with instructions to follow or the **Logon by password** dialog (depending on which logon method you used last).
- 3. After successful authentication your current password appears in the **Old password** box in the **Change Password** dialog.

NetIQ SSPR Support

Self Service Password Reset (SSPR) helps to reduce help desk costs by enabling users to reset the password based on the rules specified in the password policy.

When Client Login Extension is installed on the workstation, NetlQ Client adds an item the **Forgotten password** (by default) in NetlQ Credential Provider. It allows to reduce help desk costs if passwords are still used in an environment.

- NetIQ SSPR is supported only in Windows 7.
- NetIQ Client supports NetIQ SSPR v3.7.1 and v3.7.2.



Caching Authenticators

Caching authenticators is copying them to local memory. You need to have authenticators cached on your computer if you want to use non-network logon method.

If caching is allowed on your computer, you will see the **Cache** policy notification at your first logon. Click **OK**.

- Cache management setting, which allows you to enable and disable cache at any moment, is available from NetlQ Advanced Authentication Framework Client Tray (see NetlQ Advanced Authentication Framework Client Tray Settings).
- Caching refreshes on authentication. If you have had your authenticator cached and then reenrolled it, you should to re-logon using the new authenticator while connected to the network. If connection is terminated after logoff, you will be unable to log on with the reenrolled authenticator.
- When you are logged on to the system using non-network logon, you can neither add, nor re-enroll, nor remove your authenticators.

Locking/Unlocking Computer

Locking computer

Your computer can be locked:

- automatically by screensavers when it has been idle for some time;
- automatically after returning from the hibernate mode;
- manually by pressing [Ctrl]+[Alt]+[Del] from your desktop and clicking Lock Computer
 in the Windows Security window;
- when user's authenticator (card or flash drive) has been removed, if the appropriate policy is configured by NetlQ administrator.

It is strongly recommended that you lock your computer if you are going to be away for some time.

Unlocking Computer

Your computer can be unlocked only by you or by NetlQ administrator (forced unlock).

To unlock your computer:

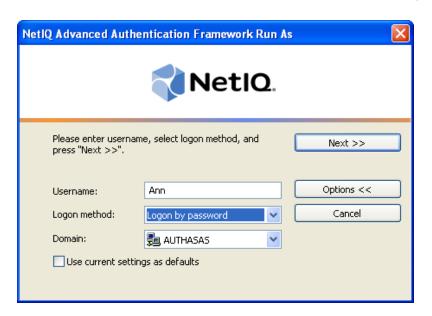
- 1. Press [Ctrl]+[Alt]+[Del].
- 2. The **Unlock Computer** window is displayed. Get authorized using any available method (it does not matter which method you used initially to log on).

NetIQ Advanced Authentication Framework Run As Tool

NetIQ Advanced Authentication Framework Run As tool allows you to open a document/start an application under another user's account. Compared to the standard **Run As** tool, **NetIQ Advanced Authentication Framework Run As** requires you to submit an authenticator. Unless you are not authenticated successfully you cannot perform any actions under another user's account.

To use **NetIQ Advanced Authentication Framework Run As** tool:

- 1. Right-click the file or shortcut you would like to open and select **NetlQ Advanced Authentication Framework Run As**.
- 2. The NetlQ Advanced Authentication Framework Run As window is displayed.



- Type the user account name.
- Select a logon method (an authenticator type or **Logon by password**).
- Click Next >>.
- 3. Get authorized.

Authorization By Authenticator

- 1. In the **NetIQ Advanced Authentication Framework Run As** window, select an authenticator type. Click **Next>>**.
- 2. You are shown the authentication device screen with instructions to follow, which depend on device type. Follow the instructions to get authenticated.

After successful authentication the **NetlQ Advanced Authentication Framework Run As** tool attempts to perform the selected action.

For the selected action to be performed successfully, in some cases (depending on the executed file or shortcut) you need to have the appropriate administrative privileges.

NetIQ Advanced Authentication Framework Client Tray Settings

In this chapter:

- General settings
- Language settings
- Password authorization
- Cache management settings

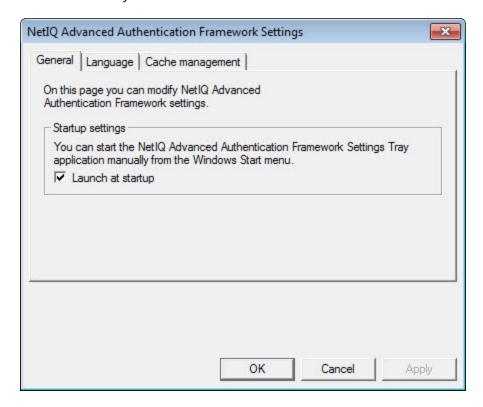
Changing NetlQ Advanced Authentication Framework Logon parameters requires **Local Admins** privileges.

NetIQ Advanced Authentication Framework Client Tray allows you to change some NetIQ Advanced Authentication Framework parameters.

To access the parameters right-click the icon on the system tray and select **Settings...**.

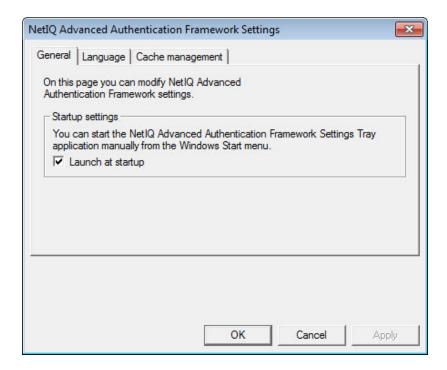
General Settings

The Launch at startup box setting available on the General tab allows you to determine whether NetlQ Advanced Authentication Framework Client Tray is launched automatically at Windows startup or manually (Start > Programs > NetlQ Advanced Authentication Framework > NetlQ Advanced Authentication Framework Settings Tray). By default, the Launch at startup box is checked, and NetlQ Advanced Authentication Framework Client Tray is launched automatically.

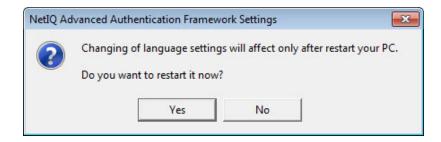


Language Settings

The **Language** list available on the **Language** tab allows you to select a language for the text displayed in NetlQ Advanced Authentication Framework Client windows. The available languages include English, Spanish and Dutch. By default, NetlQ Advanced Authentication Framework Client uses the language of the operating system.



You must restart your computer for the changes to take effect:



Password Authorization

- 1. In the **NetIQ Advanced Authentication Framework Run As** window, select the **Logon by password** logon method. Click **Next** >>.
- 2. The **Logon by password** dialog is displayed.

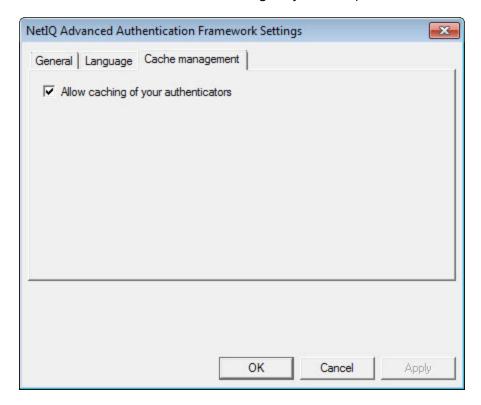


- Type the password.
- Click OK.

Cache Management Settings

If NetIQ administrator has disabled authenticators caching on your computer, these settings are not available.

The **Allow caching of your authenticators** option available on the **Cache management** tab allows you to enable/disable authenticators caching on your computer.



Troubleshooting

In this chapter:

- Support Information in Client Tray Menu
- Cannot Get Authorized
- Cannot Change Password
- Cannot Enroll Authenticator
- Cannot Save Authenticator

This chapter provides solutions for known issues. If you encounter any problems that are not listed here, please contact the technical support service.

Before contacting the support service:

We strongly request that you give a possibly detailed description of your problem to the support technicians and attach logs from the faulty computer. To obtain the logs, use the LogCollector.exe tool (\Tools\LogCollector). Follow the steps below:

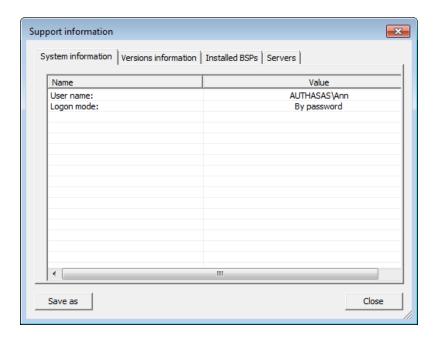
- 1. Copy LogCollector.exe to the local C:\ disk on the faulty computer.
- The tool may not work from a network drive.
- 2. Run LogCollector.exe.
- 3. In the dialog that opens, click **Enable all**. As a result, all items in the **Debugged components** section are selected. Close the dialog.
- 4. Reproduce the steps that caused the problem.
- 5. Run LogCollector.exe. again and click **Save logs**.
- 6. Save the logs to archive.

Support Information in Client Tray Menu

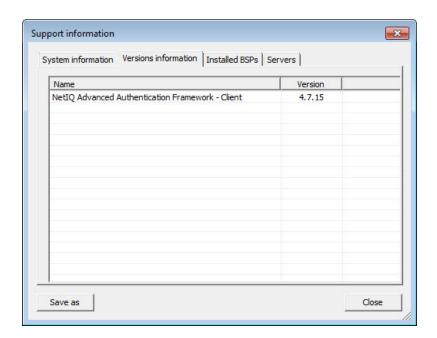
The **Client** tray menu support information might also prove useful to you when solving some of the existing problems. It contains the useful information on Authentication software and logged in user.

To see the information:

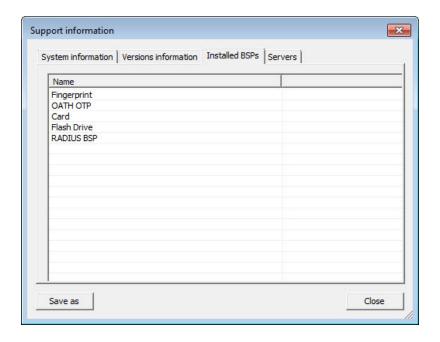
- 1. Right-click the icon on the system tray and select **Support...**.
- 2. The **Support information** window opens, which includes the following tabs:
 - **System information** tab with data on user name and logon method.



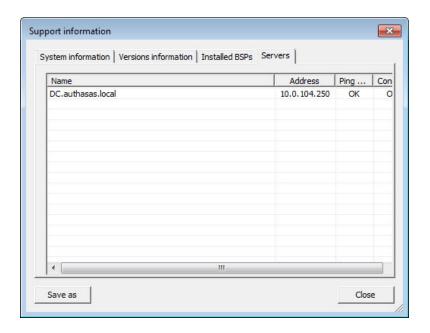
• **Versions information** tab containing NetlQ Advanced Authentication Framework software version data.



• Installed BSPs tab, which informs about installed authentication providers.



• **Servers** tab with basic server information.



You also have a possibility to save all the **Support** information by clicking the **Save as** button. The data is stored in a form of a .txt file.

It would be preferable if you send this report together with the logs when contacting the technical support service.

Cannot Get Authorized

Description:

Authentication is completed unsuccessfully. An error message appears.

Cause:

a) This message appears if you have entered the wrong account name or if authenticators do not match:



b) This message appears if you have entered the wrong account name or password when logging on with password:



This message may also indicate that a random password was generated for your account.

c) This message appears if connection to Authenticore server or Domain Controller was lost, or the logon method you selected is not supported.



Solution:

- a), b) Check your credentials and try to log on again. If the error persists, contact NetlQ administrator.
- c) Contact NetIQ administrator.

Cannot Change Password

Description:

The password cannot be changed. An error message appears.

Cause:

- a. This message appears if your password does not meet password policy requirements or if the old password has not expired (the default life period of a password is 1 day).
- b. This message appears if you have mistyped your old password.
- c. This message appears if NetlQ administrator disabled manual password change.

Solution:

- a. Enter a new password, which meets the specified requirements or try again after the old password has expired. For more information, contact your NetlQ administrator.
- b. Check and re-type the old password or get your password.
- c. Contact NetIQ administrator.

Cannot Enroll Authenticator

Description:

Authenticator is not enrolled because:

- a. The authentication device is not functioning.
- b. The **Type** list in the **Enroll Authenticators** window is empty or some authenticator types are absent.
- c. The **Enroll** button in the **Authenticators** window is greyed out.

Cause:

- a. The device is unplugged, out of order or the proper drivers are not installed.
- b. The authenticator type is not supported (no proper authentication provider is installed).
- c. The operation is forbidden <u>or</u> you have reached the limit on the number of authenticators.

Solution:

- a. Make sure the device is plugged in. Refer to device manual. If the device seems out of order, contact NetlQ administrator.
- b. Contact NetIQ administrator.
- c. No authenticators can be added. For more information, contact NetlQ administrator.

Cannot Save Authenticator

Description:

When you are using a flash drive or a memory card as authentication device, an error message appears upon saving an authenticator.

Cause:

The device is write-protected.

Solution:

Remove write protection.

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