



# Advanced Authentication 6.2

## Windows Client Installation Guide

February 2019

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# Contents

<b>About This Book</b>	<b>5</b>
<b>About NetIQ Corporation</b>	<b>7</b>
<b>1 System Requirements</b>	<b>9</b>
<b>2 Offline Support for Windows Client</b>	<b>11</b>
<b>3 Configuring the Preliminary Settings</b>	<b>13</b>
Configuring the Mandatory Settings . . . . .	13
Using a Specific Advanced Authentication Server in a Non-DNS Mode . . . . .	13
Setting a DNS for Advanced Authentication Server Discovery. . . . .	14
Configuring Optional Settings . . . . .	18
Disabling 1:N . . . . .	20
Disabling the Local Accounts . . . . .	20
Configuration Settings for Multitenancy . . . . .	20
Selecting an Event . . . . .	20
Configuring Timeout for Card Waiting. . . . .	21
Configuring Timeout for the U2F Authentication . . . . .	21
Enabling Login Failure After Card Timeout . . . . .	21
Configuring Automatic Login . . . . .	22
Customizing a Logo . . . . .	22
Configuring to Verify Server Certificates . . . . .	22
Configuring to Force Offline Login Manually. . . . .	23
Configuring the Enforced Cached Login. . . . .	23
Configuring Single Sign-on Support for Citrix and Remote Desktop . . . . .	24
Configuring Settings for a Saved Remote Desktop Connection . . . . .	25
Customizing the Login Page Background Screen. . . . .	25
Changing an Endpoint Name . . . . .	26
Configuring to Enable the Authentication Agent Chain . . . . .	26
Configuring Integration with Sophos SafeGuard 8 . . . . .	27
Configuring the Credential Provider Chaining. . . . .	28
Changing the Locale for Windows Client . . . . .	29
<b>4 Installing and Uninstalling Windows Client</b>	<b>31</b>
Installing Windows Client. . . . .	31
Uninstalling Windows Client . . . . .	31
Microsoft Windows 7 . . . . .	32
Microsoft Windows 8.1 . . . . .	32
Microsoft Windows 10 . . . . .	32
<b>5 Client Login Extension Support for Windows Client</b>	<b>33</b>
<b>6 Troubleshooting for Windows Client</b>	<b>35</b>
Debugging Logs for Advanced Authentication . . . . .	35

Using a Diagnostic Tool .....	36
Manual .....	36
Logging for Windows Specific Advanced Authentication Events .....	37
Chain Icons Cannot be Updated .....	37
Endpoint Not Found .....	37
Password Synchronization Does Not Work On Standalone Workstations .....	38
Cannot Restrict Users to Use Specific Workstations .....	38
Unable to Log In Due to JSON Parsing Error .....	39
Issue With the Login When an Endpoint Exists on the Server.....	39
Issue with the Windows Client Credential Provider When the McAfee Disk Encryption is Installed.....	39
Black Login Screen Is Displayed When a Laptop Is Connected to a Docking Station.....	40

# About This Book

The Windows Client Installation guide has been designed for users and describes the system requirements and installation procedure for Windows Client. Windows Client enables you to log in to Microsoft Windows in a more secure way by using the authentication chains configured in Advanced Authentication.

## Intended Audience

This book provides information for individuals responsible for understanding administration concepts and implementing a secure, distributed administration model.



# About NetIQ Corporation

We are a global, enterprise software company, with a focus on the three persistent challenges in your environment: Change, complexity and risk—and how we can help you control them.

## Our Viewpoint

### **Adapting to change and managing complexity and risk are nothing new**

In fact, of all the challenges you face, these are perhaps the most prominent variables that deny you the control you need to securely measure, monitor, and manage your physical, virtual, and cloud computing environments.

### **Enabling critical business services, better and faster**

We believe that providing as much control as possible to IT organizations is the only way to enable timelier and cost effective delivery of services. Persistent pressures like change and complexity will only continue to increase as organizations continue to change and the technologies needed to manage them become inherently more complex.

## Our Philosophy

### **Selling intelligent solutions, not just software**

In order to provide reliable control, we first make sure we understand the real-world scenarios in which IT organizations like yours operate—day in and day out. That's the only way we can develop practical, intelligent IT solutions that successfully yield proven, measurable results. And that's so much more rewarding than simply selling software.

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- ♦ Access Management
- ♦ Security Management
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# 1 System Requirements

The following table lists the system requirements for Advanced Authentication Windows Client:

Requirement	Detail
CPU	Minimum requirement: 2 Cores CPU Recommended requirement: 4 Cores CPU
Hard disk space	Minimum requirement: 100 MB Recommended requirement: 1 GB
Memory	Minimum requirement: 2 GB of RAM Recommended requirement: 4 GB of RAM
Operating Systems	Any one of the following operating systems: <ul style="list-style-type: none"><li>◆ Microsoft Windows 7 (32-bit or 64-bit) Service Pack1</li><li>◆ Microsoft Windows 8 (32-bit or 64-bit) Service Pack1</li><li>◆ Microsoft Windows 10 (v1709, v1803, v1809 or v1903 32-bit or 64-bit)</li><li>◆ Microsoft Windows Server 2012 R2</li><li>◆ Microsoft Windows Server 2016</li></ul> <p><b>NOTE:</b> It is recommended that you install the latest updates on your Windows operating system.</p>

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**NOTE:** You must have local administrator privileges to install and uninstall Windows Client.

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# 2 Offline Support for Windows Client

You can log in to the Advanced Authentication Windows Client in the offline mode (when the Advanced Authentication server is not available) with non-local accounts using the authentication chains. These chains can contain any combination of the following methods:

- ◆ **Bluetooth**
- ◆ **LDAP Password**
- ◆ **Password**
- ◆ **PKI**
- ◆ **HOTP and TOTP**
- ◆ **Smartphone (offline mode)**
- ◆ **Card**
- ◆ **FIDO U2F**
- ◆ **Fingerprint**
- ◆ **Windows Hello**

As a prerequisite for using the offline mode, you must log in to the Advanced Authentication Windows Client in the online mode, using all the chains available to cache each method.

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**TIP:** To log in with a Microsoft account, you must specify the `<WorkstationName>\<MicrosoftAccount>` in **user name**.

For example, `win81x64\pjones@live.com`.

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**NOTE:** You cannot use the command **Run as administrator** with a domain account on a non-domain workstation.

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# 3 Configuring the Preliminary Settings

This chapter contains sections about the pre-configuration settings for Windows Client.

- ♦ [“Configuring the Mandatory Settings” on page 13](#)
- ♦ [“Configuring Optional Settings” on page 18](#)

## Configuring the Mandatory Settings

Perform one of the following to set up an interaction between the Windows Client and the Advanced Authentication server:

- ♦ To configure Advanced Authentication server lookup in a non-DNS mode, manually specify a custom Advanced Authentication server. For more information see, [“Using a Specific Advanced Authentication Server in a Non-DNS Mode”](#).

**Or**

- ♦ To configure the DNS for Advanced Authentication server lookup, you must make Windows Client interact with the Advanced Authentication servers through the DNS. For more information see, [“Setting a DNS for Advanced Authentication Server Discovery”](#).

### Prerequisite for Advanced Authentication Server discovery

Ensure that the DNS is configured appropriately for Advanced Authentication server discovery (see [Setting a DNS for Advanced Authentication Server Discovery](#)) or a specific Advanced Authentication server must be specified in the configuration file.

## Using a Specific Advanced Authentication Server in a Non-DNS Mode

You can achieve the following requirements with this setting:

- ♦ To enforce a connection to a specific workstation where the DNS is not available.
- ♦ To override a DNS based entry for a specific workstation and use the settings specified in the `config.properties` file.

In the `C:\ProgramData\NetIQ\Windows Client\config.properties` file, configure `discovery.host`: `<IP_address|domain_name>`.

For example, `discovery.host: 192.168.20.40` or `discovery.host: auth2.mycompany.local`.

You can specify multiple Advanced Authentication servers separated by a semicolon (;):

`discovery.hosts: aaf-1.domain.com;aaf-2.domain.com;...;aaf-n.domain.com`

You can specify a port number (optional parameter) for the client-server interaction:

```
discovery.port: <portnumber>.
```

---

**NOTE:** For **Windows logon** event, select the **OS Logon (local)** Event type if you want to use Windows Client on the non-DNS joined workstations.

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## Setting a DNS for Advanced Authentication Server Discovery

You can configure a DNS to allow the Windows Client to connect with the Advanced Authentication server through the DNS.

To configure the DNS for server discovery, perform the following tasks:

- ◆ [“Adding a Host to DNS” on page 14](#)
- ◆ [“Adding an SRV Record” on page 14](#)
- ◆ [“Configuring Authentication Server Discovery in Client” on page 17](#)

### Adding a Host to DNS

- 1 Open the DNS Manager. To open the DNS Manager, click **Start > Administrative Tools > DNS**.
- 2 Add the **A** or **AAAA** host record and a **PTR** record:
  - 2a Right-click your domain name, then click **New Host (A or AAAA)** under **Forward Lookup**

**Zone** in the console tree.

- 2b Specify a DNS name for the Advanced Authentication Server in **Name**.
- 2c Specify the IP address for the Advanced Authentication Server in **IP address**.

You can specify the address in IP version 4 (IPv4) format (to add a host (A) resource record) or IP version 6 (IPv6) format (to add a host (AAAA) resource record).
- 2d Select **Create associated pointer (PTR) record** to create an additional pointer (PTR) resource record in a reverse zone for this host, based on the information that you have provided in **Name** and **IP address**.

### Adding an SRV Record

For best load balancing, it is recommended to perform the following actions only for Advanced Authentication web servers. You need not create the records for Global Master, DB Master, and DB servers.

- ◆ [Adding an SRV Record from a Primary Advanced Authentication Site](#)
- ◆ [Adding an SRV Record from Other Advanced Authentication Sites](#)

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**NOTE:** Ensure that the LDAP SRV record exists at DNS server. If the record is not available, you must add it manually.

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## Adding an SRV Record from a Primary Advanced Authentication Site

To add an SRV record for the Advanced Authentication servers from a primary Advanced Authentication site (a site with the Global Master server), perform the following steps:

- 1 Right-click on a node with the domain name and click **Other New Records** in the **Forward Lookup Zones** of the console tree.
- 2 Select **Service Location (SRV)** from **Select a resource record type**.
- 3 Click **Create Record**.
- 4 Specify **\_aav6** in **Service** of the **New Resource Record** window.
- 5 Specify **\_tcp** in **Protocol**.
- 6 Specify **443** in **Port Number**.
- 7 Specify the Fully Qualified Domain Name (FQDN) of the server that is added in **Host offering this service**. For example, `authsrv.mycompany.com.service`.
- 8 Click **OK**.

## Adding an SRV Record from Other Advanced Authentication Sites

- 1 Expand the preferred domain name node and select **\_sites** in the **Forward Lookup Zones** of the console tree.
- 2 Right-click on the preferred site name and click **Other New Records**.
- 3 Select **Service Location (SRV)** from **Select a resource record type**.
- 4 Click **Create Record**.
- 5 Specify **\_aav6** in **Service** of **New Resource Record** window.
- 6 Specify **\_tcp** in **Protocol**.
- 7 Specify **443** in **Port Number**.
- 8 Specify the FQDN of the server that is added in **Host offering this service**. For example, `authsrv.mycompany.com`.
- 9 Click **OK**.

You must add a host and SRV records in DNS for all the authentication servers. The Priority and Weight values for different servers may vary. For best load balancing, you must have records only for the Advanced Authentication web servers instead of records for Global Master, DB Master, and DB servers.

## DNS Server Entries

The DNS server contains the following elements in an SRV record: `SRV entries`  
`_service._proto.name TTL class SRV priority weight port target`. The following table defines these elements present in an SRV record:

---

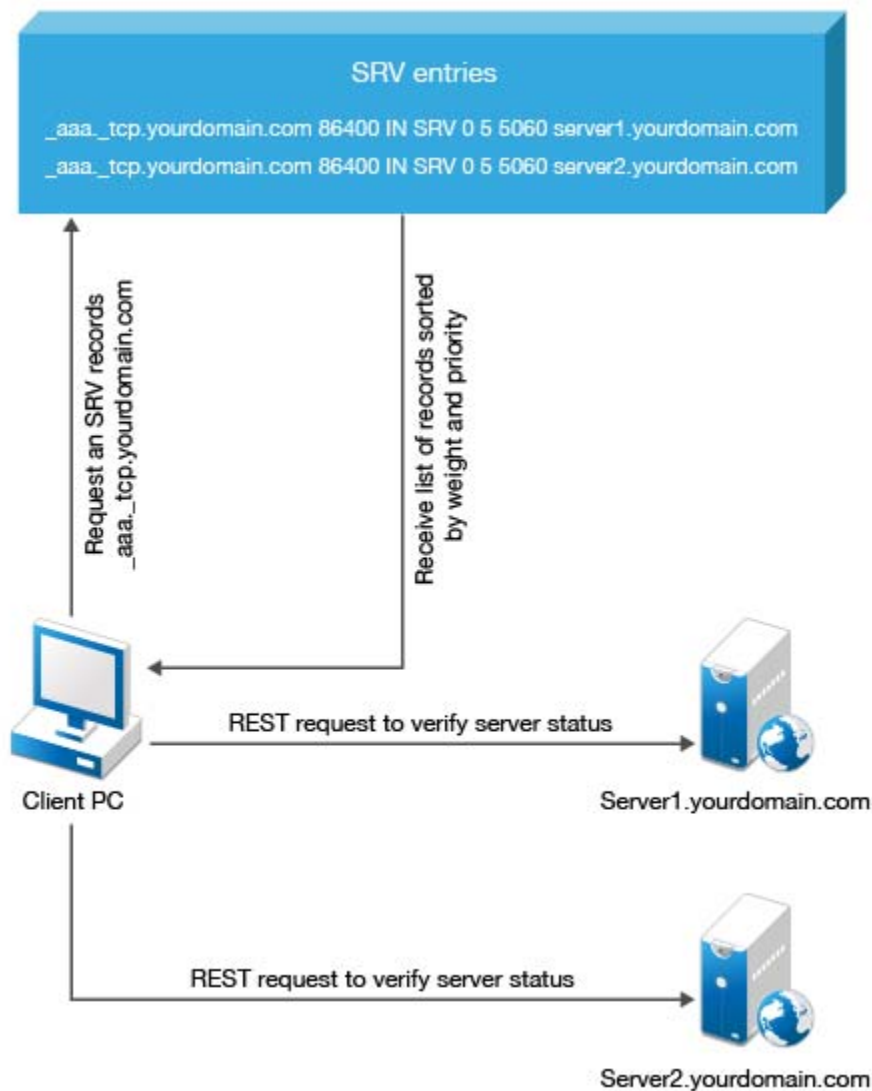
<b>Element</b>	<b>Description</b>
<b>Domain</b>	Domain name for which this record is valid. It ends with a dot.
<b>Service</b>	Symbolic name of an applicable service.
<b>Protocol</b>	Transport protocol of an applicable service. Typically, TCP or UDP.
<b>Priority</b>	Priority of the target host. Lower the value, higher the priority.
<b>Weight</b>	A relative weight for records with the same priority. Higher the value, higher the priority.
<b>Port number</b>	TCP or UDP port on which the service is located.
<b>Target (Host offering this service)</b>	Canonical hostname of the machine providing the service. It ends with a dot.

---



## Authentication Server Discovery Flow

The following diagram illustrates the server discovery workflow.



## Configuring Authentication Server Discovery in Client

You can configure server discovery in the Windows Client by using the following parameters in the `config.properties` file:

Parameter	Description
<code>discovery.Domain</code>	DNS name of the domain. For Windows Client, this value is used if the workstation is not connected to the domain.
<code>discovery.port</code>	Option to specify the port number for the client-server interaction.
<code>discovery.host</code>	Option to specify the DNS name or the IP address of an Advanced Authentication server.

Parameter	Description
<code>discovery.subDomains</code>	Lists additional sub domains separated by a semicolon.
<code>discovery.useOwnSite</code>	Set the value to <code>True</code> to use the local site (Windows Client only).
<code>discovery.dnsTimeout</code>	Set time out for the DNS queries. The default value is 3 seconds.
<code>discovery.connectTimeout</code>	Time out for the Advanced Authentication server response. The default value is 2 seconds.
<code>discovery.resolveAddr</code>	Set the value to <code>False</code> to skip resolving the DNS. By default, the value is set to <code>False</code> for Windows Client.
<code>discovery.wakeupTimeout</code>	Timeout after the operating system starts or resumes from sleep. The default value is 10 seconds.
<code>discovery.skipAlreadyTriedPeriod</code>	<p>A delay for which the Windows Client stops searching the server after an unsuccessful search attempt. The default value is 5 minutes after which the Client switches to the online mode.</p> <p>During background operations (for example, policy updates) if the cache determines that the server is available, then the set period can be reduced.</p>

## Configuring Optional Settings

The following table describes the optional settings that you can do for Windows Client.

Setting	Description
<code>disable_1N: true</code>	To disable the automatic detection of username for Card and PKI methods. For more information, see <a href="#">“Disabling 1:N”</a>
<code>disable_local_accounts: true</code>	In a non-DNS mode, it is recommended to disable the local accounts. For more information, see <a href="#">“Disabling the Local Accounts”</a> .
<code>tenant_name</code>	If you use Multitenancy, you must point Windows Client to a specific tenant. For more information, see <a href="#">“Configuration Settings for Multitenancy”</a> .
<code>event_name: &lt;CustomEventName&gt;</code>	If you want to use DNS and non-DNS based machines, you can use a custom event for the specific machines. For more information, see <a href="#">“Selecting an Event”</a> .
<code>card.timeout: X</code>	To change a default Card waiting timeout. For more information, see <a href="#">“Configuring Timeout for Card Waiting”</a> .
<code>card.fail_on_timeout: true</code>	To configure the login failure after the Card waiting timeout. For more information, see <a href="#">“Enabling Login Failure After Card Timeout”</a> .

Setting	Description
<code>u2f.timeout: X</code>	To configure the timeout for authentication with the U2F token. For more information, see <a href="#">“Configuring Timeout for the U2F Authentication”</a> .
<code>logo_path: C:\\dir\\filename.png</code>	To customize a logo for Windows Client. For more information, see <a href="#">“Customizing a Logo”</a> .
<code>verifyServerCertificate: true</code>	To configure the verification of server certificates for LDAP connection. For more information, see <a href="#">“Configuring to Verify Server Certificates”</a> .
<code>force_offline_enabled: true</code>	To force offline login manually for users. For more information, see <a href="#">“Configuring to Force Offline Login Manually”</a> .
<code>forceCachedLogon: true</code>	To configure the cached login for client unlock. For more information, see <a href="#">“Configuring the Enforced Cached Login”</a> .
<code>sso_aaf_required: true</code>	To configure single sign-on for Citrix and Remote Desktop. For more information, see <a href="#">“Configuring Single Sign-on Support for Citrix and Remote Desktop”</a> .
<code>select_terminal_client_user: true</code>	To configure settings for a saved Remote Desktop session (.rdp file). For more information, see <a href="#">“Configuring Settings for a Saved Remote Desktop Connection”</a> .
<code>endpoint_name</code>	To edit the name of an endpoint. For more information, see <a href="#">“Changing an Endpoint Name”</a> .
<code>authentication_agent_enabled = true</code>	To enable Authentication Agent chain in the Windows Client. For more information, see <a href="#">“Configuring to Enable the Authentication Agent Chain”</a> .
<ul style="list-style-type: none"> <li>◆ <code>credprov_chaining_clsid</code></li> <li>◆ <code>credprov_chaining_enabled</code></li> <li>◆ <code>credprov_chaining_password_field</code></li> <li>◆ <code>credprov_chaining_username_field</code></li> </ul>	To integrate Advanced Authentication with the Sophos SafeGuard. For more information, see <a href="#">“Configuring Integration with Sophos SafeGuard 8”</a> .
<ul style="list-style-type: none"> <li>◆ <code>credprov_chaining_clsid</code></li> <li>◆ <code>credprov_chaining_enabled</code></li> <li>◆ <code>credprov_chaining_dump_fields</code></li> <li>◆ <code>credprov_chaining_password_field</code></li> <li>◆ <code>credprov_chaining_username_field</code></li> </ul>	To configure the credential provider chaining. For more information, see <a href="#">“Configuring the Credential Provider Chaining”</a> .

You can configure the following settings in the registry:

- ◆ To configure an automatic login, see [“Configuring Automatic Login”](#).
- ◆ To customize the background image on login page for Windows 7, see [“Customizing the Login Page Background Screen”](#).

You can change the system locale for Windows Client with the setting, [“Changing the Locale for Windows Client”](#).

## Disabling 1:N

You can disable the 1:N feature that allows you to detect the user name automatically while authenticating with the Card and PKI methods.

To disable the 1:N feature, perform the following steps:

- 1 Open the file `C:\Program Data\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
- 2 Add the line `disable_1N: true` to the `config.properties` file.
- 3 Save the `config.properties` file and restart the Windows operating system.

## Disabling the Local Accounts

It is recommended to disable local accounts for the non-DNS mode to ensure security.

To disable the local accounts, perform the following steps:

- 1 Open the file `C:\Program Data\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
- 2 Add a parameter `disable_local_accounts: true` to the `config.properties` file.

If you do not disable the local accounts for a non-DNS mode, it is possible to unlock the operating system and change the password using a local account with password authentication (one factor). This can lead to security issues.

## Configuration Settings for Multitenancy

If the Multi-tenancy option is enabled, you must add the parameter `tenant_name` with a tenant name as the value in the configuration file: `C:\ProgramData\NetIQ\Windows Client\config.properties`.

For example, specify `tenant_name=TOP` for the top tenant in the file. If the configuration file does not exist, you must create it.

---

**NOTE:** If you do not add the parameter `tenant_name`, you might get an error `Tenant not found`.

---

## Selecting an Event

By default, Windows Client uses the **Windows logon** event for authentication. However, in some scenarios you must create a separate custom event. For example, when the predefined event is used for DNS based workstations, you can create a custom event with the type as **Generic** for the non-DNS based workstations. You must point these non-DNS based workstations to the custom event using the `event_name: <CustomEventName>` parameter in the configuration file:

`C:\ProgramData\NetIQ\Windows Client\config.properties`

## Configuring Timeout for Card Waiting

You can configure the time for which the card waiting dialog is displayed, when the user authenticates using the card method. If the user does not present the card for the specified timeout period, the `Hardware timeout` message is displayed and the card waiting dialog is closed. The user login selection screen is displayed.

By default, the card timeout is 60 seconds.

To configure the timeout for card waiting, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `card.timeout: X` in the `config.properties` file. `X` is the timeout value in seconds.
3. Save the configuration file.
4. Restart the Windows operating system.

## Configuring Timeout for the U2F Authentication

You can configure the timeout for which the authentication fails when the U2F token is not touched for authentication. The default value for the timeout is 60 seconds after which the authentication fails.

To configure the timeout for U2F authentication, perform the following steps:

- 1 Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`.  
If the file does not exist, create a new file.
- 2 Specify `u2f.timeout: X` in the `config.properties` file. `X` is the timeout value in seconds.
- 3 Save the configuration file.
- 4 Restart the operating system.

## Enabling Login Failure After Card Timeout

By default, the card timeout is not considered as a login failure. However, you can configure the card timeout as a login failure.

To enable login failure during card timeout, perform the following steps:

1. Open the file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `card.fail_on_timeout: true` in the `config.properties` file.
3. Save the configuration file.
4. Restart the Windows operating system.

## Configuring Automatic Login

To enable the Windows operating system to perform an automatic login, perform the following steps:

- 1 Go to `HKLM\Software\Microsoft\Windows NT\CurrentVersion\Winlogon`.
- 2 In the registry key, it is mandatory to set the following parameters:
  - ◆ `DefaultDomainName`
  - ◆ `DefaultPassword`
  - ◆ `DefaultUserName`

For more information about how to enable automatic login on Windows, see the [Microsoft Support link](#).

## Customizing a Logo

You can customize the logo of Windows Client according to your requirement. The format of the logo must meet the following requirements:

- ◆ **Image format:** `png, jpg, gif`
- ◆ **Resolution:** `400x400px`
- ◆ **Maximum file size:** `100Kb`

To customize the logo, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `logo_path: C:\\dir\\filename.png` in the `config.properties` file.  
You cannot use the logo from shared folders.
3. Save the configuration file.
4. Restart the Windows operating system.

## Configuring to Verify Server Certificates

This option allows you to ensure a secure connection between a workstation and Advanced Authentication servers with a valid self-signed SSL certificate. This helps to prevent attacks on the connection and ensure safe authentication.

The option for verification of server certificates is disabled by default. You must import the trusted certificates to the `Local Computer\Trusted Root Certification Authorities` folder.

To enable verification of the server certificates, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`.  
If the file does not exist, create a new file.

2. Specify `verifyServerCertificate: true` (default value is `false`) in the `config.properties` file.
3. Restart the Windows operating system.

---

**NOTE:** You must upload the SSL certificate in the [Administration portal > Server Options](#). The SSL certificate provides high level of encryption, security, and trust. For more information about how to upload the SSL certificate, see [Uploading the SSL Certificate](#).

---

## Configuring to Force Offline Login Manually

In scenarios where the network connection is slow or unstable, you can allow users to perform an offline login manually. This saves the user's time for the login process.

To allow users to force offline login manually, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `force_offline_enabled: true` (default value is `false`) in the `config.properties` file.
3. Save the configuration file.
4. Restart the Windows operating system.

When you set the parameter to `true`, an **Offline logon** check box appears on the user's login screen. If a user selects **Offline logon**, Windows Client does not try to reach an Advanced Authentication server but goes directly to cache.

You can also set the offline login as a default value by specifying `force_offline_default: true` in the `config.properties` file. This enables the **Offline logon** check box to be selected by default on the user's login screen.

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**NOTE:** Before you force offline login, a user must have logged into the workstation once (with online login) to cache the authenticators.

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**IMPORTANT:** The force offline login method has been introduced as a temporary workaround. It is recommended to use the "[Configuring the Enforced Cached Login](#)" method.

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## Configuring the Enforced Cached Login

When the network connection is slow or unstable, the client login or unlock process can take several minutes. A solution to this is to enforce the cached login. The Client connects to Advanced Authentication server to validate the credentials in the background after the cached login. By default, the enforced cached login is not used and the Client will always try to connect to Advanced Authentication server to validate the credentials.

Perform the following steps to allow users to use the enforced cached login:

1. Open the configuration file `\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.

2. Specify `forceCachedLogon: true` (default value is `false`) in the `config.properties` file.
3. Save the configuration file.
4. Restart the Windows operating system.

---

**NOTE:** The enforced cached login method is preferred over the “[Configuring to Force Offline Login Manually](#)” method.

---

## Configuring Single Sign-on Support for Citrix and Remote Desktop

You can configure the Windows Client to use the Single Sign-on (SSO) feature for establishing a connection to a Citrix and a Remote Desktop server. Therefore, when the users are authenticated to the Windows domain, they are not prompted for credentials to connect to the terminal servers such as Citrix StoreFront and Remote Desktop Connection. This prevents users from specifying the credentials again when they login to terminal servers (remote machine to which the user is connecting from the Terminal Client) such as Remote Desktop or Citrix StoreFront, after they have performed the authentication to Microsoft Windows. To achieve this, you must install the Advanced Authentication Windows Client on the terminal server.

---

**NOTE:** When SSO for Remote Desktop is enabled, the [Interactive logon: Smart card removal behavior policy](https://docs.microsoft.com/en-us/windows/security/threat-protection/security-policy-settings/interactive-logon-smart-card-removal-behavior) (<https://docs.microsoft.com/en-us/windows/security/threat-protection/security-policy-settings/interactive-logon-smart-card-removal-behavior>) is ignored. You need to disable SSO to make this policy to work.

---

The SSO feature is enabled by default for accessing the terminal servers. By default, SSO feature works irrespective of the Advanced Authentication Windows Client installation on the terminal client (user workstation on which the terminal connection is initiated).

To enable SSO only when the Advanced Authentication Windows Client is installed on the terminal client, perform the following steps:

- 1 Open the `config.properties` at `C:\ProgramData\NetIQ\Windows Client` path. If the file does not exist, create a new file.
- 2 In the `config.properties` file, specify `sso_aaf_required: true` (default value is `false`).
- 3 Save the configuration file.
- 4 Restart the Windows operating system.

To disable the SSO feature, perform the following steps:

- 1 Open the `config.properties` at `C:\ProgramData\NetIQ\Windows Client` path. If the file does not exist, create a new file.
- 2 In the `config.properties` file, specify `sso_logon_enabled: false`.
- 3 Save the configuration file.
- 4 Restart the Windows operating system.



## Configuring Settings for a Saved Remote Desktop Connection

This setting allows you to accomplish the following for a saved Remote Desktop Connection for a remote login.

- ♦ If `select_terminal_client_user: true`, users cannot change their credentials while logging in.
- ♦ If `select_terminal_client_user: false`, users can change their credentials while logging in.

---

**NOTE:** Advanced Authentication must be installed both on the terminal client and the terminal server.

---

To configure this setting, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `select_terminal_client_user: true` in the `config.properties` file. The default value is `true`.

Users will not be able to edit the login credentials of the saved Remote Desktop connection on the Advanced Authentication Credential Provider.

3. Save the configuration file.
4. Restart the Windows operating system.

If you set `select_terminal_client_user: false`, users will be able to edit the login credentials of the saved Remote Desktop connection on the Advanced Authentication Credential Provider.

## Customizing the Login Page Background Screen

You can customize the background image of the login page in Windows 7 as per your requirement.

The default image is set as background on the login page of the Windows Client.

To change the background image, perform the following steps:

- 1 Click **Start** and specify `regedit` in the **Run** command prompt.  
The **User Account Control (UAC)** prompt is displayed.
- 2 Click **YES** to navigate to the **Background** directory.

---

**NOTE:** The `Background` directory is located in the `Computer\HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Authentication\LogonUI` directory.

---

- 3 Set `OEMBackground` entry to `1`.

---

**NOTE:** If the `OEMBackground` entry does not exist, create an entry and set the value to `1`.

---

- 4 Navigate to the `C:\Windows\System32\Oobe` directory and create a directory as `info`.
- 5 Create a directory `backgrounds` in `info`.

- 6 Insert the preferred background image in the `backgrounds` directory and rename the image as `backgrounddefault.jpg`.
- 7 Click **Start** and specify `GPEDIT.MSC` in the **Run** command prompt.  
The **Local Group Policy Editor** prompt is displayed.
- 8 Navigate to the `Local Computer Policy\Administrative Templates\System\Logon` directory.
- 9 Enable **Always use custom logon background** setting.

## Changing an Endpoint Name

You can edit the name of an endpoint based on your requirement.

To change an endpoint name, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Specify `endpoint_name: <endpoint name>` in the `config.properties` file. For example, endpoint name can be `computer 1`.
3. Save the configuration file.
4. Restart the Windows operating system.

## Configuring to Enable the Authentication Agent Chain

The Authentication Agent allows you to authenticate on one computer where all the devices required for authentication are connected. This helps to get authorized access to another computer or z/OS mainframe, where one of the following condition is true:

- ♦ It is not possible to redirect the authentication devices.
- ♦ It does not support devices that are used for authentication.

The Authentication Agent can be installed only on the Windows computer.

You must select **Authentication Agent** in the Chains list of Windows Client to initiate the authentication process on another Windows computer where the Authentication Agent is installed.

**To enable the Authentication Agent chain on the Windows Client, perform the following steps:**

- 1 Navigate to `C:\ProgramData\NetIQ\Windows Client` path and open the file `config.properties`.  
If the configuration file does not exist, you must create it.
- 2 Specify `authentication_agent_enabled = true` in the configuration file.
- 3 Click **Save**.
- 4 Restart your computer.

## An Example of Using the Authentication Agent

This scenario describes how you can perform authentication on one Windows computer and auto-sign in to another Windows computer using the Authentication Agent.

Thomas uses two Windows computers simultaneously. However, the devices required for authentication such as FIDO U2F token and card reader are connected to one Windows computer. He cannot get authenticated to the other computer because there are no authentication devices connected to this computer and cannot redirect the devices. In this case, Thomas can use Authentication Agent to perform authentication on one Windows computer and get seamless access to another Windows computer without the authentication devices.

Consider the following setup:

- ♦ Windows A is a computer with the Authentication Agent installed and is connected with the devices used for authentication such as FIDO U2F token and card reader.
- ♦ Windows B is computer without the authentication devices and the Authentication Agent chain is enabled using the `config.properties` file.

The following sequence describes the authentication process using the Authentication Agent:

- 1 Specify **user name** and select the **Authentication Agent** chain in Windows B computer.
- 2 The Authentication Agent on Windows A computer launches a restricted browser.
- 3 Select the preferred chain to log in to Windows B in the restricted browser.
- 4 Perform the authentication using the FIDO U2F token and card reader in the restricted browser.

Thomas is logged in to Windows B computer automatically.

## Configuring Integration with Sophos SafeGuard 8

This section provides the configuration information on integrating Advanced Authentication with Sophos SafeGuard 8 easy solution. Therefore, when the users are authenticated to Windows Client, they are not prompted for credentials to connect to the Sophos SafeGuard.

With this integration, Advanced Authentication is set as primary credential provider in the Windows Client. The Advanced Authentication server validates the user provided credentials and transmits the credentials to the Sophos credential provider to allow Single sign-on to the Sophos SafeGuard.

To integrate Advanced Authentication with the Sophos SafeGuard 8, perform the following steps:

- 1 Navigate to the path `C:\ProgramData\NetIQ\Windows Client` and open the file `config.properties`.
- 2 Specify the following parameters with corresponding values in the configuration file:
  - ♦ `credprov_chaining_clsid: {5CDFA681-61C8-423d-999E-32EA10C5F7ED}`
  - ♦ `credprov_chaining_enabled: True`
  - ♦ `credprov_chaining_password_field: 9`
  - ♦ `credprov_chaining_username_field: 8`
- 3 Save the configuration.
- 4 Log out and log in again.

## Configuring the Credential Provider Chaining

This option allows you to integrate Advanced Authentication with any other credential provider in Windows Client. Therefore, when users are authenticated to Windows Client, they are not prompted for credentials to connect to other credential provider installed in the workstation.

To integrate Advanced Authentication with other credential provider, perform the following steps:

- 1 Enable the debug logs for Windows Client.

For more information about debugging the logs of Windows Client, see [“Debugging Logs for Advanced Authentication”](#).

- 2 Navigate to the path

`HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Authentication\Credential Providers\` and search for the CLSID of the preferred credential provider with which you want to integrate Advanced Authentication.

Ensure to copy the CLSID for further use.

- 3 Navigate to the path `C:\ProgramData\NetIQ\Windows Client\` and open the file `config.properties`.

- 4 Specify the following parameters in the configuration file:

- ◆ `credprov_chaining_clsid: <CLSID>`
- ◆ `credprov_chaining_enabled: True`
- ◆ `credprov_chaining_dump_fields: True`
- ◆ `credprov_chaining_password_field: 0`
- ◆ `credprov_chaining_username_field: 0`

For example: The CLSID of Sophos SafeGuard is `5CDFA681-61C8-423d-999E-32EA10C5F7ED`. Therefore, set the CLSID parameter as follows:

```
credprov_chaining_clsid: {5CDFA681-61C8-423d-999E-32EA10C5F7ED}
```

- 5 Log out and log in again.

- 6 Navigate to the path `C:\ProgramData\NetIQ\Windows Client\Logging\Logs` then search for the parameter `CpChaining::dumpFields` in the logs file.

- 7 Search for the fields that contain the label for the user name and password fields. Set the ID of these fields to the following parameters in the configuration file:

- ◆ `credprov_chaining_password_field:`
- ◆ `credprov_chaining_username_field:`

For example: Consider the Sophos SafeGuard 8 login form contains the user name and password fields. The ID of these fields are 8 and 9 respectively. Therefore, the parameters are set as follows:

- ◆ `credprov_chaining_password_field: 9`
- ◆ `credprov_chaining_username_field: 8`

For more information, see [“Configuring Integration with Sophos SafeGuard 8”](#).

- 8 Save the changes in the configuration file.

---

**NOTE:** There may be more than one field that contain labels such as username and password. Here, you must use different fields and test the log in process.

---

**9** Log out and log in again.

After providing the credentials, if you are able to sign in to the credential provider automatically, remove the parameter `credprov_chaining_dump_fields: True` from the configuration file.

---

**NOTE:** While searching the labels, ensure to examine the label type. You can use a label with one of the following value that indicates the label type:

- ◆ 0 - invalid
  - ◆ 1 - large text (label)
  - ◆ 2 - small text (label)
  - ◆ 3 - command link
  - ◆ 4 - edit box
  - ◆ 5 - password box
  - ◆ 6 - tile image
  - ◆ 7 - check box
  - ◆ 8 - combo box
  - ◆ 9 - submit button
- 

## Changing the Locale for Windows Client

This option allows you to change the locale of Windows Client. By default, Windows Client uses the locale of the Windows operating system.

To change the locale of Windows Client on Windows 10, perform the following steps:

- 1** Specify **Settings** in the Search space.
- 2** Open the **Settings** window.
- 3** Click the **Language** tab.
- 4** Click **Administrative language settings** in **Related settings**.
- 5** Click **Change system locale** in the **Administrative** tab.
- 6** Select the language from the **Current system locale** list.
- 7** Click **OK**.
- 8** A restart is recommended to apply the changes. Click **Restart now**.



# 4 Installing and Uninstalling Windows Client

This chapter contains information about how to install and uninstall Windows Client:

- ♦ [“Installing Windows Client” on page 31](#)
- ♦ [“Uninstalling Windows Client” on page 31](#)

---

**NOTE:**

- ♦ When you upgrade from Windows Client 5.2, the endpoints are not removed automatically. The administrator must remove the endpoints manually.
  - ♦ You can find the Windows Client in the Advanced Authentication Enterprise Edition distributive package.
- 

## Installing Windows Client

To install Windows Client with the setup wizard, perform the following steps:

- 1 Navigate to **System properties (Control Panel > All Control Panel Items > System)** to identify your **System type**.
- 2 Run `naaf-winclient-x86-release-<version>.msi` for a 32-bit operating system or `naaf-winclient-x64-release-<version>.msi` for a 64-bit operating system.
- 3 Click **Next**.
- 4 Accept the **License Agreement** and click **Next**.
- 5 Click **Next** to install on the default folder or click **Browse** to select a different folder.
- 6 Click **Install**.
- 7 Click **Finish**.

---

**NOTE:** If you are installing Windows Client on a non-domain workstation, create a configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties` before you restart the system and follow the procedure in the section [“Using a Specific Advanced Authentication Server in a Non-DNS Mode”](#) to specify an Advanced Authentication server.

---

## Uninstalling Windows Client

You can uninstall Windows Client through the setup wizard or Control Panel.

---

**NOTE:** You must uninstall Windows Client only when the Advanced Authentication server is available. Otherwise, the endpoint is not removed automatically and the administrator must remove it manually.

---

To uninstall Windows Client through the setup wizard, perform the following steps:

- 1 Run `naaf-winclient-x86-release-<version>.msi` for 32-bit operating system or `naaf-winclient-x64-release-<version>.msi` for 64-bit operating system.
- 2 Click **Next**.
- 3 Select **Remove** and click **Next**.
- 4 Click **Remove** to confirm the deletion.

You can remove Windows Client through the Control Panel based on your corresponding operating system:

- ♦ [Microsoft Windows 7](#)
- ♦ [Microsoft Windows 8.1](#)
- ♦ [Microsoft Windows 10](#)

## Microsoft Windows 7

- 1 In the **Start** menu, select **Control panel** and double-click **Programs and Features**.
- 2 Select **NetIQ Windows Client** and click **Uninstall**.

## Microsoft Windows 8.1

- 1 In the **Search** menu, select **Apps > Control Panel > Programs > Programs and Features**.
- 2 Select **NetIQ Windows Client** and click **Uninstall**.

## Microsoft Windows 10

- 1 Right-click **Start** and select **Control Panel > Programs > Programs and Features**.
- 2 Select **NetIQ Windows Client** and click **Uninstall**.



# 5 Client Login Extension Support for Windows Client

You can reset your password through the Client Login Extension that facilitates password self-service by adding a link to the Windows login screen. When you click the **Forgot Password** link on the **LDAP Password** method page, the login client launches the Client Login Extension restricted browser to access the Self Service Password Reset password reset page. You can use Client Login Extension to configure the label for the URL that must be displayed for the **LDAP password** method page in the Windows Client.

## Prerequisites

Ensure that the following prerequisites are met before you use CLE for the password reset on Windows Client:

- ◆ Client Login Extension is installed on your Windows Client. The recommended version is CLE 3.10 and later. For information on Client Login Extension, see the [NetIQ Client Login Extension 3.10 Administration Guide](#).
- ◆ Self Service Password Reset is installed in the environment.



# 6 Troubleshooting for Windows Client

This chapter contains the following sections on troubleshooting:

- ♦ “Debugging Logs for Advanced Authentication” on page 35
- ♦ “Logging for Windows Specific Advanced Authentication Events” on page 37
- ♦ “Chain Icons Cannot be Updated” on page 37
- ♦ “Endpoint Not Found” on page 37
- ♦ “Password Synchronization Does Not Work On Standalone Workstations” on page 38
- ♦ “Cannot Restrict Users to Use Specific Workstations” on page 38
- ♦ “Unable to Log In Due to JSON Parsing Error” on page 39
- ♦ “Issue With the Login When an Endpoint Exists on the Server” on page 39
- ♦ “Issue with the Windows Client Credential Provider When the McAfee Disk Encryption is Installed” on page 39
- ♦ “Black Login Screen Is Displayed When a Laptop Is Connected to a Docking Station” on page 40

## Debugging Logs for Advanced Authentication

With the Diagnostic Tool, you can check the network problems on a workstation, issues in connection between a workstation and DNS Server, and to get a list of the Advanced Authentication servers that can be discovered. To identify Advanced Authentication server, perform the following steps:

---

**NOTE:** You can find the Diagnostic Tool component in the Advanced Authentication appliance distributive package.

---

**NOTE:** As a prerequisite, ensure that `DiagTool.exe` file is available with the following files in the same directory:

- ♦ `DiagTool.exe.config`
  - ♦ `Ionic.Zip.dll`
  - ♦ `JHSoftware.DNSClient.dll`
- 

1. Run `DiagTool.exe` (the tool must have Microsoft .NET Framework 3.5 installed).
2. Click **Servers**.
3. In the **Search settings**, specify the domain name in **Domain** to find a list of Advanced Authentication servers in the specified domain.

If you want to find particular server then clear **Use system DNS server** and specify the IP address of the DNS server in **DNS server**.

4. Select **Use v6 DNS lookup** to allow the Diagnostic tool to find the Advanced Authentication server using `_aaav6` records.

If you want to find the Advanced Authentication server using `_aaa` records, clear **Use v6 DNS lookup**.

5. Click **Search**.

---

**NOTE:** If you configure the IP address of the Advanced Authentication server in the DNS service record, the Diagnostic tool cannot find and retrieve the respective record. Ensure that you configure the DNS service record with the Fully Qualified Domain Name (FQDN) to enable the Diagnostic tool to find and retrieve the respective record.

---

You can collect the logs for Advanced Authentication in the following ways:

- ♦ [Using a Diagnostic tool](#)
- ♦ [Manually](#)

## Using a Diagnostic Tool

- 1 Run `DiagTool.exe`. The tool must have Microsoft .NET Framework 3.5 installed.
- 2 Click **Clear All** (if applicable) in the **Debug logs** tab.
- 3 Click **Enable**.
- 4 Restart the Windows operating system.
- 5 Reproduce your problem.
- 6 Run `DiagTool.exe`.
- 7 Click **Save logs** in the **Debug logs** tab.
- 8 Specify a file name and path.
- 9 Click **Save** to save the logs.
- 10 Click **Disable** to disable the logging.
- 11 Click **Clear All**.

## Manual

- 1 Create a text file `C:\ProgramData\NetIQ\Logging\config.properties`.
- 2 Add a string to the file: `logEnabled=True` that ends by a line break.
- 3 Create a directory: `C:\ProgramData\NetIQ\Logging\Logs\`.
- 4 Restart the machine.
- 5 Reproduce your problem.
- 6 Pack the logs located in `C:\ProgramData\NetIQ\Logging\Logs\` into a zip file.
- 7 Change `logEnabled=True` to `logEnabled=False` in the folder, `C:\ProgramData\NetIQ\Logging\config.properties`.

# Logging for Windows Specific Advanced Authentication Events

To view the logs for Windows specific Advanced Authentication events, perform the following steps:

- 1 Click **Start > Event Viewer**.
- 2 Click **Windows Logs > Application**.
- 3 Check the logs that are specific for Advanced Authentication.

The following table describes list of events:

Event Id	Severity	Description
1	Success	Connection with XXX server was established
2	Warning	Failed to establish connection with server XXX
3	Error	Server not found
4	Success	User XXX was logged on successfully on server YYY. Used chain: ZZZ
5	Success	User XXX was logged on successfully via cache. Used chain: ZZZ
6	Error	User XXX failed to log in with the YYY chain on the server ZZZ.  For example, User <b>FOCUS\bob</b> failed to log in with the LDAP Password only chain on the server <b>aa.focus.com:443</b>
7	Error	User XXX failed to log in with the YYY chain via cache.  For example, User <b>FOCUS\bob</b> failed to log in with the LDAP Password only chain via cache.

## Chain Icons Cannot be Updated

**Issue:** A system administrator has applied the new icons for the authentication chains, but the icons are not updated on the Windows Client.

**Workaround:** Windows Client does not update the icons to reduce the traffic. Remove the folder `C:\ProgramData\NetIQ\Windows Client\logocache` to clear the icons cache.

## Endpoint Not Found

**Issue:** After installing the Windows Client and rebooting, the client reports `Endpoint not found` error and it is not possible to log in.

**Reason:** An endpoint for the client exists on the server or in the configuration file of the client.

**Workaround:**

1. Remove the endpoint for the client on the server in the **Endpoints** section of the Administration portal (if the client exists).

2. Boot in the **Safe** mode and remove the `endpoint_id`, `endpoint_name`, and the `endpoint_secret` parameters from `C:\ProgramData\NetIQ\Windows Client\config.properties`.
3. Reboot the Windows operating system.

## Password Synchronization Does Not Work On Standalone Workstations

**Issue:** A message `Wrong password` is displayed when the password is not synchronized while logging in to a standalone workstation.

**Workaround:**

1. Ensure you specify a valid password.
2. Contact your system administrator to check if your workstation is pointed to an event with **OS logon (domain)** type. If the workstation is not joined to a domain, select the **OS logon (local)** or **Generic** event type.
3. Ask your system administrator to reset the password for your account.

## Cannot Restrict Users to Use Specific Workstations

**Issue:** When you restrict the kiosk user accounts to use specific computers in the Active Directory, and users try to log in to Windows with those accounts, an `Invalid Credentials` error message is displayed from the Advanced Authentication Windows Client.

If the option is changed to **This user can log on to All computers** in the Active Directory, the account is able to log in successfully.

**Reason:** This issue happens when using the LDAP Password method, Advanced Authentication tries to bind to the Domain Controller to validate the password and it fails.

**Workaround:**

1. Open the user properties from the Domain Controller and goto the **Account** tab and click **Log on To**.
2. Add Domain Controllers to the list of allowed workstations for that particular user.
3. To prevent that user from accessing the Domain Controllers, go to **Group Policy Management > Domain Controllers > Default Domain Controller policy > Edit**.
4. In the **Group Policy Editor** go to **Computer Configuration > Policies > Windows Settings > Security Settings > Local Policies > User Rights Assignment**.
5. Add that particular user or a group to **Deny Log On Locally** and **Deny Log On Through Remote Desktop Services** in the **Policy** setting.
6. Run `gpupdate /force` to push these group policy changes.

## Unable to Log In Due to JSON Parsing Error

**Issue:** Users are unable to log in to a workstation because the debug log files of Windows Client contain the JSON syntax or parsing errors.

**Reason:**

1. The third-party software (for example, Citrix XenDesktop License Manager) uses the same 8082 port.
2. An Advanced Authentication server's DNS name is specified in the `discovery.host` parameter instead of the IP address. Windows Client does not resolve the Advanced Authentication server's IP address.

**Workaround:**

1. Change the default port by using the `offline.port` parameter, which is the cache service of Windows Client, in the `C:\ProgramData\NetIQ\Windows Client\config.properties` file to a free port.
2. Set `discovery.resolveAddr=false` to disable resolving the IP address, which is disabled by default on Windows.

## Issue With the Login When an Endpoint Exists on the Server

**Issue:** While logging in to Windows Client, an error `Cannot add or change the endpoint (same name or software_name already exist?)` is displayed on the workstation.

**Reason:**

1. Windows Client is installed on the machine. After the first reboot an endpoint is created. You can find the local data in the path: `%ProgramData%\NetIQ\Windows Client\config.properties`. It is possible that the `config.properties` file is deleted or overwritten, but the endpoint is still available on the Advanced Authentication server.

**Workaround:** You must remove the endpoint from the Advanced Authentication server (**Administrative portal** > **Endpoints** section).

2. Multiple machines are rolling out from a single gold image. They have the same machine SID. The endpoint is generated based on the SID.

**Workaround:** The SID must be unique to ensure the Windows Client works on multiple workstations.

## Issue with the Windows Client Credential Provider When the McAfee Disk Encryption is Installed

**Issue:** When the McAfee Disk Encryption is installed on a Windows workstation that has the Advanced Authentication Windows Client installed, after rebooting, the Windows Client's Credential Provider does not appear. User can authenticate with the Password method only.

**Workaround:** Modify the DE/EEPC EpePcCp.ini file. For more information, see [the McAfee support page \(https://kc.mcafee.com/corporate/index?page=content&id=KB85612\)](https://kc.mcafee.com/corporate/index?page=content&id=KB85612).

## Black Login Screen Is Displayed When a Laptop Is Connected to a Docking Station

**Issue:** A black login screen is displayed when users try to log in to a Windows 7 laptop or workstation that is connected to a docking station. No authentication prompt is displayed.

**Workaround:** Perform the following steps:

- 1 Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`.
- 2 Add `gfx_layer:1`.
- 3 Save the `config.properties` file and restart the Windows operating system.

However, when you set `gfx_layer:1`, there could be a considerable lag while typing on the login screen where the resolution is high.