
Installation Guide

Advanced Authentication - Windows Client

Version 5.5

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We are a global, enterprise software company, with a focus on the three persistent challenges in your environment: Change, complexity and risk—and how we can help you control them.

Our Viewpoint

Adapting to change and managing complexity and risk are nothing new

In fact, of all the challenges you face, these are perhaps the most prominent variables that deny you the control you need to securely measure, monitor, and manage your physical, virtual, and cloud computing environments.

Enabling critical business services, better and faster

We believe that providing as much control as possible to IT organizations is the only way to enable timelier and cost effective delivery of services. Persistent pressures like change and complexity will only continue to increase as organizations continue to change and the technologies needed to manage them become inherently more complex.

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About this Book

The Windows Client Installation User Guide has been designed for all users and describes the system requirements and the installation procedure for Windows Client.

Intended Audience

This book provides information for individuals responsible for understanding administration concepts and implementing a secure, distributed administration model.

About Windows Client

Windows Client replaces standard way of log on to Microsoft Windows by a more secure using the authentication chains configured in Advanced Authentication.

IMPORTANT: Advanced Authentication Windows Client supports offline logon (when the Advanced Authentication Server is not available) for non-local accounts of the authentication chains that contain the methods: LDAP Password, Password, PKI, HOTP, TOTP, Smartphone (offline mode), Card, FIDO U2F, and Fingerprint.

TIP: To log on with Microsoft account enter <WorkstationName>\<MicrosoftAccount> in the user name field, e.g. win81x64\pjones@live.com.

NOTE: Use of [Run as administrator](#) with a domain account on a non-domain workstation is not supported.

1 System Requirements

IMPORTANT: Installing and removing Windows Client requires Local Admins privileges.

The following system requirements should be fulfilled:

- ♦ Microsoft Windows 7 (x64/x86) SP1/Microsoft Windows 8.1 (x64/x86)/Microsoft Windows 10 (x64/x86)/Microsoft Windows Server 2008 R2/ Microsoft Windows Server 2012 R2.
- ♦ DNS is properly configured for Advanced Authentication Server discovery (see [Setting DNS for Server Discovery](#)) or a specific Advanced Authentication server must be specified in the [configuration file](#).

2 Configuring the Preliminary Settings

This chapter contains sections about the pre-configuration settings on Windows Client.

- ♦ You need to setup an interaction between Windows Client and Advanced Authentication server.
 - ♦ To make Windows Client interact with Advanced Authentication Servers through DNS, see [“Setting DNS for Server Discovery”](#).
- OR
- ♦ To manually specify a custom Advanced Authentication Server, see [“Using a Specific Advanced Authentication Server”](#).
- ♦ If you want to use both domain-joined and non-domain machines, you can use a custom event for the specific machines. For more information, see [“Selecting an Event”](#).

In a non-domain mode, it is recommended to disable the local accounts. For more information, see [“Disabling Local Accounts”](#).
- ♦ If you use Multitenancy, you must point Windows Client to a specific tenant. For more information, see [“Configuration Settings for Multitenancy”](#).
- ♦ **Optional Settings:**
 - ♦ To disable automatic detection of username for Card and PKI methods, see [“Disabling 1:N”](#).
 - ♦ To change a default Card waiting timeout, see [“Configuring Timeout for Card Waiting”](#).
 - ♦ To emulate the logon failure after the Card waiting timeout, see [“Enabling Logon Failure after Card Timeout”](#).
 - ♦ To configure an automatic logon, see [“Configuring Automatic Logon”](#).
 - ♦ To customize a logo for Windows Client, see [“Customizing a Logo”](#).
 - ♦ To configure the verification of server certificates for LDAP connection, see [“Configuring for Verification of Server Certificates”](#).

2.1 Setting DNS for Server Discovery

- 1 Open a DNS Manager. To open the DNS Manager, click **Start**, point to **Administrative Tools**, and click **DNS**.
- 2 Add Host A or AAAA record and PTR record:
 - 2a In the console tree, right-click the forward lookup zone that includes your domain name and click **New Host (A or AAAA)**.
 - 2b Specify a DNS name for the Advanced Authentication Server in **Name**.
 - 2c Specify the IP address for the Advanced Authentication Server in **IP address**. You can specify the address in IP version 4 (IPv4) format (to add a host (A) resource record) or IP version 6 (IPv6) format (to add a host (AAAA) resource record).
 - 2d Select **Create associated pointer (PTR) record** to create an additional pointer (PTR) resource record in a reverse zone for this host, based on the information that you provided in **Name** and **IP address**.

3 Add an SRV record:

3a For Advanced Authentication servers from a primary Advanced Authentication site (a site with Global Master server):

3a1 In the console tree, locate **Forward Lookup Zones** and right-click on a node with domain name and click **Other New Records**.

3a2 In the **Select a resource record type** list, click **Service Location (SRV)** and then click **Create Record**.

3a3 Click **Service** and then specify **_aaa**.

3a4 Click **Protocol** and then specify **_tcp**.

3a5 Click **Port Number** and then specify **443**.

3a6 In **Host offering this service**, specify the FQDN of the server that is added. For example, `authsrv.mycompany.com`.

3a7 Click **OK**.

3b For Advanced Authentication servers from other Advanced Authentication sites:

3b1 In the console tree, locate **Forward Lookup Zones**, switch to a node with domain name then to **_sites** node, right-click on an appropriate site name and click **Other New Records**.

3b2 In the **Select a resource record type** list, click **Service Location (SRV)** and then click **Create Record**.

3b3 Click **Service** and then specify **_aaa**.

3b4 Click **Protocol** and then specify **_tcp**.

3b5 Click **Port Number** and then specify **443**.

3b6 In **Host offering this service**, specify the FQDN of the server that is added. For example, `authsrv.mycompany.com`.

3b7 Click **OK**.

Repeat 2 to 3 for all the authentication servers. The Priority and Weight values for different servers may vary.

DNS server contains SRV entries `_service._proto.name TTL class SRV priority weight port target`. The following descriptions define the elements present in the DNS server:

- ♦ **Service**: symbolic name of an applicable service.
- ♦ **Proto**: transport protocol of an applicable service. Mostly, TCP or UDP.
- ♦ **Name**: domain name for which this record is valid. It ends with a dot.
- ♦ **TTL**: standard DNS time to live field.
- ♦ **Class**: standard DNS class field (this is always IN).
- ♦ **Priority**: priority of the target host. Lower value indicates that it is more preferable.
- ♦ **Weight**: a relative weight for records with the same priority. Higher value indicates that it is more preferable.
- ♦ **Port**: TCP or UDP port on which the service is located.
- ♦ **Target**: canonical host name of the machine providing the service. It ends with a dot.

Configuring Authentication Server Discovery on client side

You can use the following options for server discovery on the client side:

- ♦ `discovery.Domain`: DNS name of the domain. For Windows Client, this value is used if workstation is not connected to the domain.
- ♦ `discovery.subDomains`: list of additional sub domains separated by a semicolon. You can use them on MacOS Client or Linux Client to list AD sites.
- ♦ `discovery.useOwnSite`: Set the value to `True` to use the local site (Windows Client only).
- ♦ `discovery.dnsTimeout`: Time out for the DNS queries. The default value is 15 seconds.

Authentication Server Discovery Flow

Windows Client

The feature is not supported in Windows Client.

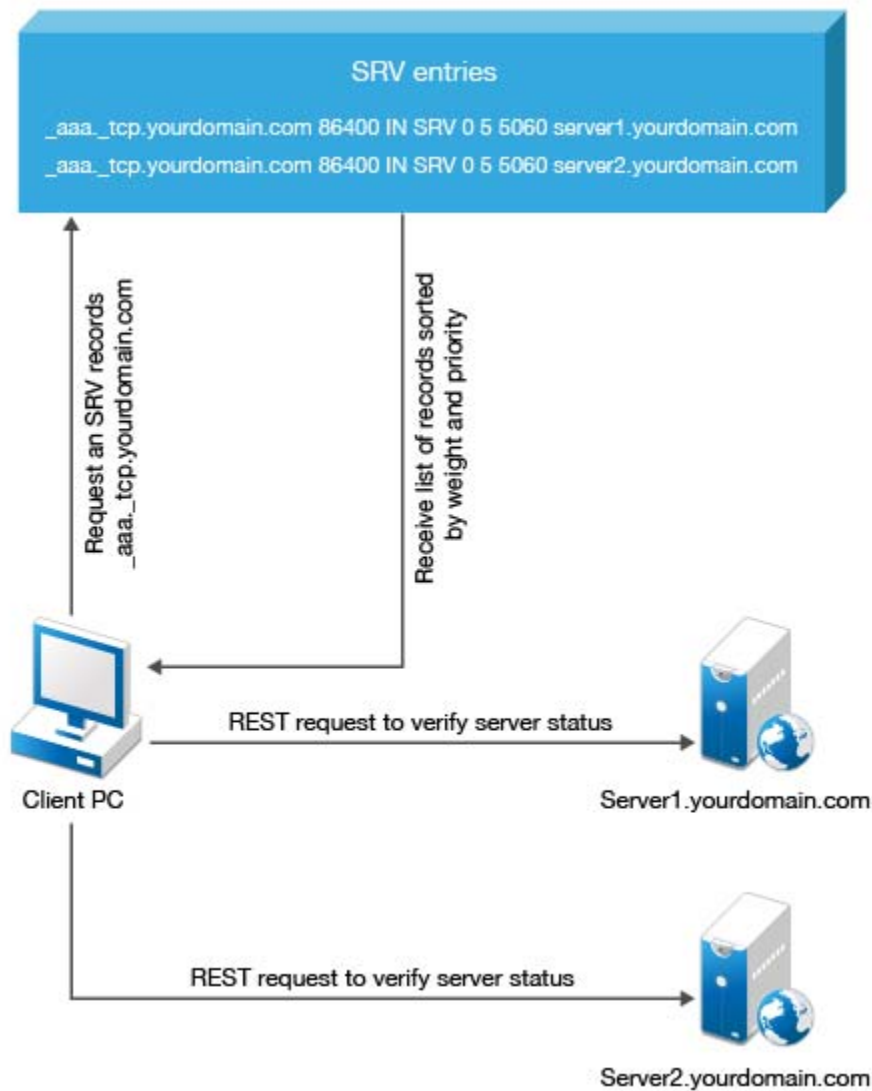
MacOS Client/ Linux PAM module

1. Get servers from the sub domains listed in `discovery.subDomain`.
2. Get servers from the domain specified in `discovery.Domain` (global list).

Path for the configuration file is as follows:

- ♦ **MacOS Client**: `/Library/Security/SecurityAgentPlugins/aucore_login.bundle/Contents/etc/aucore_login.conf`.
- ♦ **Linux PAM module**: `/opt/pam_aucore/etc/pam_aucore.conf`.

The following diagram illustrates the server discovery workflow graphically.



2.2 Disabling 1:N

You can disable the 1:N feature that allows you to detect the user name automatically while authenticating with the Card and PKI methods.

- 1 Open the file `C:\Program Data\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
- 2 Add the line `disable_1N: true` to the file.
- 3 Save the file and restart the operating system.

2.3 Using a Specific Advanced Authentication Server

You can specify a certain Advanced Authentication server on a workstation that can be used when a workstation is joined to a domain, but user wants to force connection to a specific Advanced Authentication server and when a workstation with Windows Client is not joined to a domain.

In the `C:\ProgramData\NetIQ\Windows Client\config.properties` file, configure `discovery.host = <IP_address|domain_name>`.

For example, `discovery.host = 192.168.20.40` or `discovery.host = auth2.mycompany.local`.

You can specify a port number (optional parameter) for the client-server interaction: `discovery.port = <portnumber>`.

NOTE: For **Windows logon** event, select the **OS Logon (local)** Event type if you want to use Windows Client on non-domain joined workstations.

2.4 Disabling Local Accounts

It is recommended to disable local accounts for the non-domain mode to ensure security.

To disable local accounts:

- 1 Open the file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
- 2 Add a line **disable_local_accounts: true** to the file.

If you do not disable the local accounts for a non-domain mode, it is possible to unlock the operating system and change the password using a local account with password authentication (one factor). This can lead to security issues.

2.5 Configuration Settings for Multitenancy

If Multi-tenancy is enabled, you must add the parameter `tenant_name` with a used tenant name as value in the configuration file: `C:\ProgramData\NetIQ\Windows Client\config.properties`. For example, specify `tenant_name=TOP` for the TOP tenant in the file. If the configuration file does not exist, you must create it.

NOTE: If you do not add the parameter `tenant_name`, you might get an error `Tenant not found`.

2.6 Selecting an Event

By default Windows logon event is used. However, in some cases it is required to create a separate event. For example, when the predefined event is used for domain joined workstations, you can create a custom event with type `Generic` for the non-domain joined workstations. In this case you will need to point these [non-domain] workstations to the custom event using the following parameter in the `event_name: <CustomEventName>` configuration file:

`C:\ProgramData\NetIQ\Windows Client\config.properties`

2.7 Configuring Timeout for Card Waiting

You can configure the time for which the card waiting dialog is displayed, when the user authenticates using the card method. If the user does not present the card for the timeout period, the `Hardware timeout` message is shown and then the card waiting dialog is closed and user login selection screen is displayed.

By default the card timeout is 60 seconds.

To configure the timeout for card waiting, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Enter `card.timeout: X` in the `config.properties` file. X is the timeout value in seconds.
3. Save the configuration file.
4. Restart the operating system.

2.8 Enabling Logon Failure after Card Timeout

By default card timeout is not considered as a logon failure. However, if required you can configure the card timeout as a logon failure. To enable logon failure during card timeout, perform the following steps:

1. Open the file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Enter `card.fail_on_timeout: true` in the `config.properties` file.
3. Save the configuration file.
4. Restart the operating system.

2.9 Configuring Automatic Logon

To enable the system to perform an automatic logon, perform the following steps:

- 1 Go to `HKLM\Software\Microsoft\Windows NT\CurrentVersion\Winlogon`
- 2 In registry key, set the following parameters:
 - ♦ `DefaultDomainName`
 - ♦ `DefaultPassword`
 - ♦ `DefaultUserName`

For more information about how to enable automatic logon on Windows, see the [link](#).

2.10 Customizing a Logo

You can customize the logo of Windows Client according to your requirement. The format of the logo must meet the following requirements:

- ♦ **Image format:** `png, jpg, gif`

- ♦ **Resolution:** 400x400px
- ♦ **Maximum file size:** 100Kb

To customize the logo, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Enter `logo_path: C:\\dir\\filename.png`.
You cannot use the logo from shared folders.
3. Save the configuration file.
4. Restart the machine.

2.11 Configuring for Verification of Server Certificates

This option allows you to ensure a secure connection between a workstation and Advanced Authentication Servers with a valid self-signed SSL certificate, thus preventing any attacks on the connection and ensuring safe authentication.

The option for verification of server certificates is disabled by default. You must start by importing the trusted certificates to the `Local Computer\Trusted Root Certification Authorities` folder.

To disable verification of the server certificates, perform the following steps:

1. Open the configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties`. If the file does not exist, create a new file.
2. Enter `verifyServerCertificate: true` (default value is false).
3. Restart the machine.

3 Installing and Removing Windows Client

In this chapter:

- ♦ [Installing Windows Client](#)
- ♦ [Removing Windows Client](#)

NOTE: To upgrade the Windows Client from 5.2 to 5.4, you must not uninstall 5.2 because it does not support the removal of endpoints during uninstallation. In this case, the administrator will have to remove the endpoint manually. See [Installing Windows Client](#) to perform the upgrade.

3.1 Installing Windows Client

To install Windows Client via Setup Wizard:

1. Check **System** properties (Control Panel\All Control Panel Items\System) to detect your **System type**.
2. Run `naaf-winclient-x86-release-<version>.msi` in case of 32-bit Operating System, `naaf-winclient-x64-release-<version>.msi` in case of 64-bit Operating System.
3. Click **Next** to continue.
4. Read the **License Agreement**. Select the **I accept the terms in the license agreement** checkbox and click **Next**.
5. Click **Next** to install to the default folder or click **Browse** to choose another.
 - ♦ To change the destination folder, click the **Change** button and select an applicable destination.
 - ♦ To continue, click **Next**.
6. Click **Install** and wait until the component is installed.
7. Click **Finish** to close the Wizard.

NOTE: If you are installing Windows Client on a non-domain workstation, create a configuration file `C:\ProgramData\NetIQ\Windows Client\config.properties` before you restart and follow the procedure in the section “[Using a Specific Advanced Authentication Server](#)” to specify an Advanced Authentication server.

IMPORTANT: It is required to set **Require admin password to register endpoint/workstation** to **OFF** in Endpoint management options on Advanced Authentication Administrative Portal. Otherwise the required endpoint won't be created.

8. Click **Yes** to restart the operating system.

3.2 Removing Windows Client

Windows Client can be removed via Setup Wizard or Control Panel.

NOTE: It is recommended to remove the component only in the online mode, when Advanced Authentication Server is available. Otherwise the endpoint will not be removed and administrator will need to remove it manually.

To remove Windows Client via Setup Wizard, follow the steps:

1. Run `naaf-winclient-x86-release-<version>.msi` in case of 32-bit Operating System, `naaf-winclient-x64-release-<version>.msi` in case of 64-bit Operating System.
2. Click **Next** to continue.
3. Select **Remove** as an applicable operation and click **Next** to remove Windows Client from your computer.
4. Click **Remove** to confirm removal.

To remove Windows Client via Control Panel, select one of the links that corresponds to your operating system:

- ♦ [Microsoft Windows 7](#)
- ♦ [Microsoft Windows 8.1](#)
- ♦ [Microsoft Windows 10](#)

3.2.1 Microsoft Windows 7

1. In the **Start** menu, select **Control panel** and then double-click **Programs and Features**.
2. Select NetIQ **Windows Client** and click **Uninstall**.
3. Confirm the removal.
4. Wait a few seconds until the removal is completed.

3.2.2 Microsoft Windows 8.1

1. In the **Search** menu, select **Apps > Control Panel > Programs > Programs and Features**.
2. Select NetIQ **Windows Client** and click **Uninstall**.
3. Confirm the removal.
4. Wait a few seconds until the removal is completed.

3.2.3 Microsoft Windows 10

1. Right-click the **Start** button and select **Control Panel > Programs > Programs and Features**.
2. Select NetIQ **Windows Client** and click **Uninstall**.
3. Confirm the removal.
4. Wait a few seconds until the removal is completed.

4 Troubleshooting for Windows

To investigate the possible issues you may be asked to collect the debug logs.

1. Run `DiagTool.exe` (the tool must have Microsoft .NET Framework 3.5 installed).
2. Click **Clear All** (if applicable) in the **Debug logs** tab.
3. Click **Enable**.
4. Restart the system.
5. Reproduce your problem.
6. Run `DiagTool.exe`.
7. Click **Save logs** in the **Debug logs** tab.
8. Specify a file name and path. Click **Save** to save the logs.
9. Click **Disable** to disable the logging.
10. Click **Clear All**.

If you don't have the Diagnostic Tool you can perform the actions manually:

1. Create a text file `C:\ProgramData\NetIQ\Logging\config.properties`.
2. Add a string to the file: `logEnabled=True` that ends by a line break.
3. Create a directory: `C:\ProgramData\NetIQ\Logging\Logs\`.
4. Restart the machine.
5. Reproduce your problem.
6. Pack the logs located in `C:\ProgramData\NetIQ\Logging\Logs\` into a zip file.
7. Change `logEnabled=True` to `logEnabled=False` in the folder,
`C:\ProgramData\NetIQ\Logging\config.properties`

With the Diagnostic Tool, you can check the network problems on a workstation, issues in connection between a workstation and DNS Server, and to get a list of the Advanced Authentication Servers that can be discovered. To this, perform the following steps:

1. Run `DiagTool.exe` (the tool must have Microsoft .NET Framework 3.5 installed).
2. Switch to the **Servers** tab.
3. In the **Search settings** you must enter FQDN in **Domain** and click **Search**. A list of Advanced Authentication Servers is displayed.
4. If the list is not displayed, clear **Use system DNS server** and enter the IP address of your DNS server in **DNS server** and click **Search** again.

4.1 Chain Icons Cannot be Updated

Issue

System administrator applied the new icons for the used authentication chains, but they were not updated on the Windows Client.

Solution

Windows Client does not update the icons to reduce the traffic. Please remove the folder `C:\ProgramData\NetIQ\Windows Client\logocache` to clear the icons cache.

4.2 Long Boot

Question:

With the Windows Client installed I experience longer `Please wait` screen during OS boot?

Answer:

It happens because Advanced Authentication has to wait for network to get and show a list of available authentication chains.

4.3 Endpoint Not Found

Issue

After installing the client component and rebooting, the client reports `Endpoint not found` error and it is not possible to login.

Reason

An endpoint for the client already exists on server or in configuration file on the client.

Solution

1. Remove the endpoint for the client on the server in Administrative Portal - Endpoints section (if it exists).
2. Boot in Safe mode and remove `endpoint_id`, `endpoint_name` and `endpoint_secret` parameters from `C:\ProgramData\NetIQ\Windows Client\config.properties`.
3. Reboot.

4.4 Password Synchronization Does Not Work On Standalone Workstations

Issue

Password synchronization is requested during the logon to standalone workstation. The synchronization is not done as the `Wrong password. Try again` error appears.

Solution

1. Ensure you specify a valid password.
2. Contact your system administrator to check if your workstation is pointed to an event with **OS logon (domain)** type. If the workstation is not joined to a domain, select the **OS logon (local)** or **Generic** event type.
3. Ask your system administrator to reset the password for your account.