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About NetIQ Corporation

We are a global, enterprise software company, with a focus on the three persistent challenges in your environment: Change, complexity and risk—and how we can help you control them.

Our Viewpoint

Adapting to change and managing complexity and risk are nothing new

In fact, of all the challenges you face, these are perhaps the most prominent variables that deny you the control you need to securely measure, monitor, and manage your physical, virtual, and cloud computing environments.

Enabling critical business services, better and faster

We believe that providing as much control as possible to IT organizations is the only way to enable timelier and cost effective delivery of services. Persistent pressures like change and complexity will only continue to increase as organizations continue to change and the technologies needed to manage them become inherently more complex.

Our Philosophy

Selling intelligent solutions, not just software

In order to provide reliable control, we first make sure we understand the real-world scenarios in which IT organizations like yours operate — day in and day out. That's the only way we can develop practical, intelligent IT solutions that successfully yield proven, measurable results. And that's so much more rewarding than simply selling software.

Driving your success is our passion

We place your success at the heart of how we do business. From product inception to deployment, we understand that you need IT solutions that work well and integrate seamlessly with your existing investments; you need ongoing support and training post-deployment; and you need someone that is truly easy to work with — for a change. Ultimately, when you succeed, we all succeed.

Our Solutions

- Identity & Access Governance
- Access Management
- Security Management
- Systems & Application Management
- Workload Management
- Service Management
Contacting Sales Support

For questions about products, pricing, and capabilities, contact your local partner. If you cannot contact your partner, contact our Sales Support team.

Worldwide: www.netiq.com/about_netiq/officelocations.asp
United States and Canada: 1-888-323-6768
Email: info@netiq.com
Website: www.netiq.com

Contacting Technical Support

For specific product issues, contact our Technical Support team.

Worldwide: www.netiq.com/support/contactinfo.asp
North and South America: 1-713-418-5555
Europe, Middle East, and Africa: +353 (0) 91-782 677
Email: support@netiq.com
Website: www.netiq.com/support

Contacting Documentation Support

Our goal is to provide documentation that meets your needs. The documentation for this product is available on the NetIQ webs site in HTML and PDF formats on a page that does not require you to log in. If you have suggestions for documentation improvements, click comment on this topic at the bottom of any page in the HTML version of the documentation posted at www.netiq.com/documentation. You can also email Documentation-Feedback@netiq.com. We value your input and look forward to hearing from you.

Contacting the Online User Community

NetIQ Communities, the NetIQ online community, is a collaborative network connecting you to your peers and NetIQ experts. By providing more immediate information, useful links to helpful resources, and access to NetIQ experts, NetIQ Communities helps ensure you are mastering the knowledge you need to realize the full potential of IT investments upon which you rely. For more information, visit http://community.netiq.com.
About this Book and the Library

The Administration Guide provides an introduction to NetIQ Access Manager Appliance and details about how to configure Access Manager features.

Intended Audience

This book is intended for Access Manager administrators. It is assumed that you have knowledge of evolving Internet protocols, such as:

- Extensible Markup Language (XML)
- Simple Object Access Protocol (SOAP)
- Security Assertion Markup Language (SAML)
- Public Key Infrastructure (PKI) digital signature concepts and Internet security
- Secure Socket Layer/Transport Layer Security (SSL/TLS)
- Hypertext Transfer Protocol (HTTP and HTTPS)
- Uniform Resource Identifiers (URIs)
- Domain Name System (DNS)
- Web Services Description Language (WSDL)

Other Information in the Library

The library provides the following information resources:

Installation and Upgrade Guide

Provides an introduction to NetIQ Access Manager Appliance and describes the installation and upgrade procedures.

Help

Provides context-sensitive information and step-by-step guidance for common tasks, as well as definitions for each field on each window.

Developer Documentation

Provides a collection of developer tools and examples to design a flexible and expandable access management system to enable your applications to interact with the Identity Management capabilities of Access Manager, including federation, provisioning, and the secure delivery of identity information to client-based applications.

NOTE: Contact namsdk@netiq.com for any query related to Access Manager SDK.
Overview

Access Manager Appliance is a comprehensive access management solution that provides secure access to web applications. Access Manager also provides seamless single sign-on across technical and organizational boundaries. It uses industry standards including Secure Assertions Markup Language (SAML) and Liberty Alliance protocols. It has a single console for management and configuration. To provide secure access from any location or any device, it supports multi-factor authentication, role-based access control, and data encryption.

This section discusses the following topics:

- Section 1.1, “How Access Manager Appliance Solves Business Challenges,” on page 23
- Section 1.2, “How Access Manager Appliance Works,” on page 30
- Section 1.3, “Access Manager Appliance Components and Their Features,” on page 32
- Section 1.4, “Language Support,” on page 36

1.1 How Access Manager Appliance Solves Business Challenges

As networks expand to connect people and businesses throughout the world, secure access to business resources becomes increasingly important and more complex. Today, employees work from corporate, home, and mobile offices using mobile devices. Besides employees, customers and partners also require access to resources on your network, and your employees require access to resources on partners’ networks or at service providers.

Access Manager Appliance lets you provide employees, customers, and partners with secure access to your network resources using any type of device. Access Manager Appliance helps you if your business faces any of the following access-related challenges:

- Protecting resources so that only authorized users can access them whether those users are employees, customers, or partners.
- Ensuring that the users who are authorized to use a resource can access that resource regardless of users’ location or type of device they use.
- Requiring users to manage multiple passwords for authentication to web applications.
- Ensuring that users have access only to the resources required for their jobs.
- Revoking network access from users faster.
- Protecting users’ privacy and confidential information as they access company resources or partners’ resources.
- Proving compliance with your business policies, privacy laws such as Sarbanes-Oxley, HIPAA, or European Union, and other regulatory requirements.
- Providing facility to access protected resources by using token-based protocols.
- Providing facility to use your existing credentials to access services from different service providers such as Office 365 and Salesforce.
The following sections expand on these challenges and introduce the solutions provided by Access Manager Appliance.

- Section 1.1.1, “Protecting Resources While Providing Access,” on page 24
- Section 1.1.2, “Managing Passwords with Single Sign-On,” on page 25
- Section 1.1.3, “Enforcing Business Policies,” on page 26
- Section 1.1.4, “Sharing Identity Information,” on page 27
- Section 1.1.5, “Protecting Identity Information,” on page 29
- Section 1.1.6, “Complying with Regulations,” on page 30

1.1.1 Protecting Resources While Providing Access

The primary purpose of Access Manager Appliance is to protect resources by allowing access only to users you have authorized. As shown in the following illustration, those users who are authorized to use the protected resources, are allowed access, while unauthorized users are denied access.

Access Manager Appliance secures your web resources from Internet hackers. Addresses of the servers that host the protected resources are hidden from both external and internal users. The only way to access the resources is by logging in to Access Manager Appliance with authorized credentials, no matter what device you use.

Access Manager Appliance protects only the resources you have set up as protected resources. It is not a firewall and should always be used in conjunction with a firewall product.

Access to resources is independent of a user’s location, as shown in the following illustration. Access Manager Appliance provides the same secure access and same experience whether the user is accessing resources from your local office, from home, or from any other place with any type of device.
1.1.2 Managing Passwords with Single Sign-On

Authentication through Access Manager Appliance not only establishes authorization to applications (see Protecting Resources While Providing Access), but it can also provide authorization to those same applications. With Access Manager Appliance serving as the front-end authentication, you can deploy standards-based web single sign-on. With single sign-on, your employees, partners, and customers only need to remember one password or login routine to access all corporate and web-based applications they are authorized to use. That means fewer help desk calls and the reduced likelihood of users resorting to vulnerable written reminders.
By simplifying the use and management of passwords, Access Manager Appliance helps you enhance users’ experience, increase security, streamline business processes, and reduce system administration and support costs.

1.1.3 Enforcing Business Policies

Determining the access policies for an organization is often complicated and difficult, but the difficulty pales in comparison to enforcing the policies. Your IT personnel can spend hours attempting to give users the correct access to resources, and hours more retracing their steps to see why authorized users cannot access resources. You might never know about the situations where users access resources they should not be accessing.

Access Manager Appliance automates the granting and revoking of access through the use of roles and policies. As shown in the following illustration, users are assigned to roles that have access policies associated with them. Each time a user authenticates through Access Manager Appliance, the user’s access is determined by the policies associated with the user’s roles.

In the following example, users assigned to the Accounting role receive access to the Accounting resources, Payroll users receive access to the Payroll resources, and Accounting managers receive access to both the Accounting and Manager resources.

Because access is based on roles, you can grant access in minutes and be certain that the access is consistent with your business policies. You can revoke access in minutes by removing role assignments from users.
For security-minded organizations, it comes down to this simple fact: you set the policies by which users gain access, and Access Manager Appliance enforces them consistently and quickly. There are no surprises and no delays.

You can also securely grant access to user’s private resources such as web applications, mobile phones, handheld devices, and desktop using access tokens.

1.1.4 Sharing Identity Information

In today’s business environment, few organizations stand alone. More than likely, you have trusted business partners with whom you need to share resources in a secure manner. Or, you have business services, such as a 401k management system, to which you need to provide employee access. Or, maybe your organization is the one providing services to another business. Access Manager Appliance provides federated identity management to enable users to seamlessly and securely authenticate across autonomous identity domains.

For example, assume that you have employees who need access to your corporate applications, several business partners’ applications, and their 401k service, as shown in the following figure:
Each identity domain (your organization, your partner’s organization, and 401k service) requires an account and authentication to that account to access the resources. However, because you have used Access Manager Appliance to establish a trust relationship with the business partner and the 401k service, your employees can log in through Access Manager Appliance to gain access to the authorized resources in all three identity domains.

Access Manager Appliance enables your employees to access resources from business partners and service providers. It also lets business partners access authorized resources on your network. The following figure illustrates this type of access.

In addition to simply linking user accounts in different identity domains, Access Manager Appliance also supports federated provisioning, which means that new user accounts can be automatically created in your trusted partner’s (or provider’s) system. For example, a new employee in your organization can initiate the creation of an account in your business partner’s system through Access Manager Appliance rather than relying on the business partner to provide the account. Customers or trusted business partners can automatically create accounts in your system.

Access Manager Appliance leverages identity federation standards including Liberty Alliance, WS-Federation, WS-Trust, and SAML. It also provides a facility to identify risk associated with login attempts, mitigate the risk and take action based on risk severity. This foundation minimizes—or even eliminates—interoperability issues among external partners or internal workgroups. In fact, Access Manager Appliance features an identical configuration process for all federation partners whether they are different departments within your organization or external business partners.
1.1.5 Protecting Identity Information

Whenever you exchange identity information with other businesses or service providers, you must be concerned with protecting the privacy of your employees, customers, and partners. It is an integral part of trusted business partnerships and regulatory compliance: the ability to establish policies on the exchange of identity information.

Access Manager Appliance enables you to determine which business and personal information from your corporate directory to share with others. As shown in the following illustration, you can choose to share only the information required to establish the account at the service provider or trusted partner:

Access Manager Appliance offers this built-in privacy protection for your employees, partners, and customers alike, wherever they are working. With Access Manager Appliance in place, your organization can guarantee user confidentiality. For federated provisioning, Access Manager Appliance adheres to those same policies and protections.
1.1.6 Complying with Regulations

Regulations can be a hassle, but an agile, automated IT infrastructure substantially cuts costs and reduces the pain of compliance. By implementing access based on user identities, you can protect users’ privacy and confidential information. At the same time, you can reduce the amount of paperwork needed to prove that proper access control measures are in place. Compliance assurance and documentation is an inherent benefit of Access Manager Appliance.

Specifically, Access Manager Appliance helps you stay in compliance with Sarbanes-Oxley, HIPAA, European Union privacy laws, and other regulatory requirements. For an internal assessment or an external auditor, Access Manager Appliance can generate the reports you need, turning compliance requirements into opportunities to develop and implement processes that improve your business practices.

1.2 How Access Manager Appliance Works

Access Manager Appliance deployments typically use Identity Servers and Access Gateways to provide policy-driven access control for HTTP services.

Figure 1-1 illustrates the primary purposes of Access Manager Appliance: authentication, identity federation, authorization, and identity injection.

**Figure 1-1 Access Manager Appliance**
1.2.1 Authentication

The Identity Server facilitates authentication for all Access Manager Appliance components. This authentication is shared with internal or external service providers on behalf of the user by means of assertions. Access Manager Appliance supports a number of authentication methods, such as name/password, RADIUS token-based authentication, X.509 digital certificates, Kerberos, Risk-based authentication, Time-Based One-Time Password (TOTP), Social authentication and OpenID Connect. You specify authentication methods in the contracts that you want to make available to the other components of Access Manager Appliance, such as Access Gateway.

User data is stored in user stores. User stores are LDAP directory servers to which end users authenticate. You can configure a user store with more than one replica to provide load balancing and failover capability.

1.2.2 Authorization

Authentication is the process of determining who a user is. Authorization is the process of determining what a user is allowed to do. Access Manager Appliance allows you to configure roles and authorization policies, based on criteria other than authentication, to protect a resource. Authorization policies are dynamically applied after authentication and are enforced when a user attempts to access a protected resource.

1.2.3 Identity Injection

An Access Gateway lets you retrieve information from your LDAP directory, use it to inject information into HTML headers, query strings, or basic authentication headers, and send this information to the back-end web servers. Access Manager Appliance calls this technology identity injection. The web server uses this information to personalize content, or can use it for additional authorization decisions. Where web servers require additional authentication, Identity Injection can also provide the necessary credentials to perform a single sign-on.

1.2.4 Identity Federation

Identity federation is the association of accounts between an identity provider and a service provider. As shown in Figure 1-2, an employee named Steve is known as steve s. at his corporate identity provider. He has an account at a work-related service provider called 401k, which has set up a trust relationship with his company. At 401k he is known as ssmith_01.
As a service provider, 401k can be configured to trust the authentication from the corporate identity provider. Steve can enable single sign-on and single logout by federating or linking his two accounts.

From an administrative perspective, this type of sharing reduces identity management costs, because multiple organizations do not need to independently collect and maintain identity-related data, such as passwords. From the end user's perspective, this results in an enhanced experience by requiring fewer sign-ons.

1.3 Access Manager Appliance Components and Their Features

- Section 1.3.1, "Administration Console," on page 33
- Section 1.3.2, "Identity Servers," on page 33
- Section 1.3.3, "Access Gateways," on page 34
- Section 1.3.4, "Analytics Server," on page 35
- Section 1.3.5, "MobileAccess," on page 35
- Section 1.3.6, "User Portal," on page 36
1.3.1 Administration Console

Administration Console is the central configuration and management tool for the product. It contains a Dashboard option, which allows you to assess the health of all Access Manager Appliance components.

Administration Console allows you to configure and manage each component. It also allows you to manage resources, such as policies, hardware, and certificates, which are used by multiple components.

1.3.2 Identity Servers

Identity Server is the central authentication and identity access point for all other services. It is responsible for authenticating users and distributing role information to facilitate authorization decisions. It also provides the Liberty Alliance Web Service Framework to distribute identity information.

An Identity Server always operates as an identity provider and can optionally be configured to run as an identity consumer (also known as a service provider), by using Liberty, SAML 1.1, SAML 2.0 or OAuth protocols. As an identity provider, Identity Server validates authentications against the supported identity user store. It is the heart of the user’s identity federations or account linkage information.

In an Access Manager Appliance configuration, Identity Server is responsible for managing the following tasks:

- **Authentication**: Verifies user identities through various forms of authentication, both local (user supplied) and indirect (supplied by external providers). The identity information can be some characteristic attribute of the user, such as a role, e-mail address, name, or job description. Advanced authentication mechanisms include Time-Based One-Time Password (TOTP), social authentication using external OAuth providers, and risk-based authentication.

- **Identity Stores**: Links to user identities stored in eDirectory, Microsoft Active Directory, or Sun ONE Directory Server.

- **Identity Federation**: Enables user identity federation and provides access to Liberty-enabled services.

- **Account Provisioning**: Enables service provider account provisioning, which automatically creates user accounts during a federation request.

- **Custom Attribute Mapping**: Allows you to define custom attributes by mapping Liberty Alliance keywords to LDAP-accessible data, in addition to the available Liberty Alliance Employee and Person profiles.

- **SAML Assertions**: Processes and generates SAML assertions. Using SAML assertions in each Access Manager Appliance component protects confidential information by removing the need to pass user credentials between the components to handle session management.

- **Single Sign-On and Logout**: Enables users to log in only once to gain access to multiple applications and platforms. Single sign-on and single logout are primary features of Access Manager Appliance and are achieved after the federation and trust model is configured among trusted providers and the components of Access Manager Appliance.

- **Identity Integration**: Provides authentication and identity services to Access Gateways that are configured to protect web servers. Access Gateway and other Access Manager Appliance components include an embedded service provider that is trusted by Access Manager Appliance Identity Servers.
Roles: Provides RBAC (role-based access control) management. RBAC is used to provide a convenient way to assign a user to a particular job function or set of permissions within an enterprise to control access. Identity Server establishes the active set of roles for a user session each time the user is authenticated. Roles can be assigned to particular subsets of users based on constraints outlined in a role policy. The established roles can then be used in authorization policies to form the basis for granting and restricting access to particular web resources.

1.3.3 Access Gateways

An Access Gateway provides secure access to existing HTTP-based web servers. It provides security services (authorization, single sign-on, and data encryption) integrated with the identity and policy services of Access Manager Appliance.

Access Gateway is designed to work with Identity Server to enable single sign-on to protected web services. The following features facilitate single sign-on to web servers that are configured to enforce authentication or authorization policies:

- **Identity Injection:** Injects the information into HTTP headers that the web server requires.
- **Form Fill:** Automatically fills in the requested form information.

If your web servers have not been configured to enforce authentication and authorization, you can configure Access Gateway to provide these services. Authentication contracts and authorization policies can be assigned so that they protect the entire web server or a single page.

Access Gateway can also be configured to cache requested pages. When a user meets the authentication and authorization requirements, the user is sent the page from cache rather than requesting it from the web server, which enhances the content delivery performance.

Embedded Service Provider

Access Gateway uses an Embedded Service Provider (ESP) to redirect authentication requests to Identity Server. Identity Server allows only trusted devices to participate. To trust each other, devices must exchange metadata. The Embedded Service Provider performs this task automatically for Access Gateway. The logout requests to Identity Server must be digitally signed.
1.3.4 Analytics Server

Analytics Server is a component of Access Manager that analyses the usage, performance, and events of Access Manager. The events are captured and filtered through Analytics Server.

Analytics Server filters and analyzes the events that are generated from the Access Manager components (Access Gateway and Identity Provider). You can view the analyzed information in the following ways:

- Dynamic graphs in Analytics Dashboard
- Reports that can be generated in different formats
- Raw auditing records

Analytics Server helps the administrators to accomplish these purposes with a single soft appliance.

The events that are generated from the Access Manager components (Identity Provider and Access Gateway) are collected, filtered, and saved in Analytics Server, then required events are displayed in Analytics Dashboard. For more information about Analytics Dashboard, see Chapter 18, "Analytics Dashboard," on page 887.

1.3.5 MobileAccess

Access Manager Appliance allows to manage users' access to resource through mobile devices easily. You create appmarks for each of the Access Manager Appliance resource that you want your users to access. The appmark acts as a bookmark for the resource. You can control access to your resources no matter the type of device or location of the device.

Access Manager Appliance allows you to manage the users' devices and allows users also to manage their own devices. When users lose devices, you can easily remove access to protected resources from those devices through Administration Console. Users can manage their own devices from a dedicated portal page.
The new user portal page displays any appmarks you have created and assigned to the user through the existing Access Manager Appliance roles. The user portal page also presents the different authentication methods assigned to the different resources. Plus you can change easily the branding of the user portal page without editing any JSP files.

1.3.6 User Portal

If you want complex customization of the user portal page, Access Manager Appliance provides a customizable user portal page that is an application where end users can access and manage their authentications, federations, and profile data. The authentication methods you create in Administration Console are reflected in the Portal.

Help information for the end users is provided in the user interface. If you know how to customize JSP pages, you can customize the portal for rebranding purposes and for creating custom login pages.

1.4 Language Support

The Access Manager Appliance software for installation and administration uses English and is not localized. Administration Console is also not localized and uses only English. Access Manager Appliance

The User Portal, which appears when the user logs directly into Identity Server, is localized and so is its help file. The User Portal is localized for German, French, Spanish, Italian, Japanese, Portuguese, Dutch, Chinese (Simplified), Chinese (Traditional), and Swedish. The language must be set in the client’s browser to display a language other than English.

Access Gateway and Identity Server, which can send messages to users when an error occurs, allow you to customize the error pages, but you are responsible for supplying the content of the customized pages. For information about customizing these pages, see the following:

- For Access Gateway, see Chapter 4.2.9, “Customizing Access Gateway,” on page 258.
- For Identity Server, see Chapter 4.1.3, “Customizing Identity Server,” on page 204.
This section describes how to setup a basic Access Manager configuration, perform common administration tasks, and manage components' configuration. For configuring Access Manager, you can use the latest version of Internet Explorer, Chrome, or Firefox browsers.

Topics include:

- Chapter 2, “Configuring Administration Console,” on page 39
- Chapter 3, “Setting Up a Basic Access Manager Appliance Configuration,” on page 51
- Chapter 4, “Setting Up an Advanced Access Manager Configuration,” on page 201
- Chapter 5, “Configuring Authentication,” on page 291
- Chapter 6, “Device Fingerprinting,” on page 619
- Chapter 7, “Enabling Mobile and Web Access,” on page 629
- Chapter 8, “Access Manager Policies,” on page 641
- Chapter 9, “High Availability and Fault Tolerance,” on page 813
2 Configuring Administration Console

- Section 2.1, “Configuring the Default View,” on page 39
- Section 2.2, “Managing Administration Console Session Timeout,” on page 41
- Section 2.3, “Managing Administrators,” on page 41
- Section 2.4, “Changing the IP Address of Access Manager Appliance,” on page 49
- Section 2.5, “Changing the DNS Name of Access Manager Appliance,” on page 49

2.1 Configuring the Default View

Access Manager Appliance has two views in Administration Console. Access Manager and its Support Packs used the Roles and Tasks view, with Access Manager Appliance the first listed task in the left hand navigation frame. It looks similar to the following:

![Roles and Tasks view](image)

This view allows you to quickly access other tasks that you occasionally need to manage the configuration of the datastore are visible.
Access Manager Appliance looks similar to the following:

This view has the following advantages:

- You can expand and collapse the containers to see as much or as little as you want. For example, you can see the containers for the policies or you can see the containers and all of the policies in the container.
- You see the Access Manager components in one view. If you expand the view, any item displayed you can click on it and Administration Console takes you to the configuration page for that component.
- Your common tasks are easily accessed through the dashboard. For example, you quickly access:
  - Auditing
  - Certificates
  - Troubleshooting
- You can see the health of the different Access Manager components from the dashboard. If the component is healthy, the icons are green. If the components are not healthy, the icons are yellow and red.
- You can navigate faster than in the Roles and Tasks view.

When you install or upgrade Access Manager and log in to Administration Console, the default view is set to the Access Manager view.
2.1.1  Changing the View

1. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Configure Console. Locate the Header frame.

2. Click either the Roles and Tasks view or the Access Manager view.

2.1.2  Setting a Permanent Default View

1. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Change Preferences.

2. In the left navigation frame, click Set Initial View.

3. Select your preferred view, then click OK.

2.2 Managing Administration Console Session Timeout

The `web.xml` file for Tomcat specifies how long an Administration Console session can remain inactive before the session times out and the administrator must authenticate again. The default value is 30 minutes.

To change this value:

1. Change to the Tomcat configuration directory:
   `/opt/novell/nam/adminconsole/conf/web.xml`

2. Open the `web.xml` file in a text editor and search for the `<session-timeout>` parameter.

3. Modify the value and save the file.

4. Restart Administration Console:
   `/etc/init.d/novell-ac restart` OR `rcnovell-ac restart`

2.3 Managing Administrators

You can create administrators with different access controls manage them in Administration Console.

Administration Console notifies you when another administrator makes changes to a policy container or to an Access Manager device such as an Access Gateway. The person who is currently editing the configuration is listed at the top of the page with an option to unlock and with the person’s distinguished name and IP address. If you select to unlock, you destroy all changes the other administrator has done.
WARNING: Locking has not been implemented on the pages for modifying Identity Server. If you have multiple administrators, they need to coordinate with each other so that only one administrator is modifying an Identity Server cluster at any given time.

Multiple Sessions: Do not start multiple sessions of Administration Console in the same browser on a workstation. Browser sessions share settings that can result in problems when you apply changes to configuration settings. However, if you are using two different brands of browsers simultaneously, such as Internet Explorer and Firefox, it is possible to avoid the session conflicts.

Multiple Administration Consoles: As long as the primary console is running, all configuration changes must be made at the primary console. If you make changes at both a primary console and a secondary console, browser caching can cause you to create an invalid configuration.

The following sections explain how to create additional administrator accounts, how to delegate rights to administrators, and how to manage policy view administrators:

- Section 2.3.1, “Creating Multiple Admin Accounts,” on page 42
- Section 2.3.2, “Managing Policy View Administrators,” on page 43
- Section 2.3.3, “Managing Delegated Administrators,” on page 43

2.3.1 Creating Multiple Admin Accounts

Administration Console is installed with one admin user account. If you have multiple administrators, you might want to create a user account for each one so that log files reflect the modifications done by each administrator. The easiest way to do this is to create a new user as a trustee of the tree root with [Entry Rights] for Supervisor and inheritable rights assignment. This also ensures that you have more than one user who has full access to Administration Console. If you have only one administrator user and the user forgets the password, you cannot access Administration Console.

To create a new user as a trustee of the tree root:

1. In Administration Console Dashboard, click <user name> and then click Manage Roles & Tasks.
2. Click Users > Create User.
   Specify all the required details to create a valid user.
   *NOTE:* Select the same Context that the existing administrator has.
3. Click Rights > Modify Trustees, then select the tree root user.
4. Add the newly created user as a trustee of the tree root user.
5. Click Assigned Rights and specify [Entry Rights] for supervisor and inheritable rights assignment.
6. Click Done.

You can also create delegated administrators and configure them to have rights to specific components of Access Manager. For configuration information for this type of user, see Section 2.3.3, “Managing Delegated Administrators,” on page 43.
2.3.2 Managing Policy View Administrators

The super administrators can create policy view administrators. Policy view administrators can log in to Access Manager with their credentials and they can only view the policy containers assigned to them.

The policy view administrators are created same as creating users. For more information on creating users, see “Creating Users” on page 47. In step 5b, select “ou=policyviewusers, o=novell” option in the Context field from the Contents list.

After creating user, assign rights to the newly created user. For more information, see “Policy Container Administrators” on page 45.

2.3.3 Managing Delegated Administrators

As an Access Manager administrator, you can create delegated administrators to manage the following Access Manager components.

- Individual Access Gateways or an Access Gateway cluster
- Identity Server clusters
- Policy containers

**IMPORTANT:** You need to trust the users you assign as delegated administrators. They are granted sufficient rights that they can compromise the security of the system. For example if you create delegated administrators with View/Modify rights to policy containers, they have sufficient rights to implement a cross-site scripting attack by using the Deny Message in an Access Gateway Authorization policy.

Delegated administrators are also granted rights to the LDAP server. They can access the configuration datastore with an LDAP browser. Any modifications made with the LDAP browser are not logged by Access Manager. To log LDAP events, you need to turn on eDirectory auditing. For configuration information, see “Activating eDirectory Auditing for LDAP Events” on page 47.

By default, all users except the administrator are assigned no rights to the policy containers and the devices. The administrator has all rights and cannot be configured to have less than all rights. The administrator is the only user who has the rights to delegate rights to other users, and the only user who can modify keystores, create certificates, and import certificates.

The configuration pages for delegated administrators control access to the Access Manager pages. They do not control access to the tasks available for the Manage Roles & Tasks view in iManager. If you want your delegated administrators to have rights to any of these tasks such as Directory Administration or Groups, you must use eDirectory methods to grant the user rights to these tasks or enable and configure Role-Based Services in iManager.

To create a delegated administrator, you must first create user accounts, then assign them rights to the Access Manager components.

1. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Manage Roles & Tasks.
2. (Optional) If you want to create a container for your delegated administrators, click Directory Administration > Create Object, then create a container for the administrators.
3. To create the users, click Users > Create User and create user accounts for your delegated administrators. You can create the users based on the delegatedusers or policyviewusers context. For more information on Creating Users, see “Creating Users” on page 47.
4 In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Administrators in the Dashboard.

5 Select the component you want to assign a user to manage.
   For more information about the types of rights you might want to assign for each component, see the following:
   - "Access Gateway Administrators" on page 45
   - "Policy Container Administrators" on page 45
   - "Delegated Administrators of Identity Servers" on page 46

6 To assign all delegated administrators the same rights to a component, configure All Users option by using the drop-down menu and selecting None, View Only, or View/Modify.
   By default, All Users is configured for None. All Users is a quick way to assign everyone View Only rights to a component when you want your delegated administrators to have the rights to view the configuration but not change it.

7 To select one or more users to assign rights, click Add, then specify the following details:
   - **Name filter**: Specify a string that you want the user’s cn attribute to match. The default value is an asterisk, which matches all cn values.
   - **Search from context**: Specify the context you want used for the search. Click the down-arrow to select from a list of available contexts.
   - **Include subcontainers**: Specifies whether subcontainers must be searched for users.

8 Click Query. The User section is populated with the users that match the query.

9 In the User section, select one or more users to whom you want to grant the same rights.

10 For the Access option, click the down-arrow and select one of the following values:
   - **View/Modify**: Grants full configuration rights to the device. View/Modify rights do not grant the rights to manage keystores, to create certificates, or to import certificates from other servers or certificate authorities. View/Modify rights allow the delegated administrator to perform actions such as stop, start, and update the device.
   - **View Only**: Grants the rights to view all the configuration options of the device or all rules and conditions of the policies in a container.
   - **None**: Prevents the user from seeing the device or the policy container.

11 In the Device or Policy Containers section, select the devices, the clusters, or policy containers that you want to assign for delegated administration.

12 Click Apply.
   The rights are immediately assigned to the selected users. If the user already had a rights assignment to the device or policy container, this new assignment overwrites any previous assignments.

13 After assigning a user rights, check the user’s effective rights.
   A user’s effective rights and assigned rights do not always match. For example, if Kim is granted View Only rights but All Users have been granted View/Modify rights, Kim’s effective rights are View/Modify.
2.3.3.1 Access Gateway Administrators

You can assign a user to be a delegated administrator of an Access Gateway cluster or a single Access Gateway that does not belong to a cluster. You cannot assign a user to manage a single member of a cluster.

When a delegated administrator of an Access Gateway cluster is granted View/Modify rights, the administrator has sufficient rights to change the cluster configuration, to stop and start (or reboot and shut down), and to update Access Gateways in the cluster. However, to configure Access Gateway to use SSL, you need to be the admin user, rather than a delegated administrator.

When the user is assigned View/Modify rights to manage a cluster or an Access Gateway, the user is automatically granted View Only rights to the master policy container. If you have created other policy containers, these containers are hidden until you grant the delegated administrator rights to them. View Only rights allows the delegated administrator to view the policies and assign them to protected resources. It does not allow them to modify the policies. If you want the delegated administrator to modify or create policies, you need to grant View/Modify rights to a policy container.

View/Modify rights to an Access Gateway or a cluster allows the delegated administrator to modify which Identity Server cluster Access Gateway uses for authentication. It does not allow delegated administrators to update Identity Server configuration, which is required whenever Access Gateway is configured to trust an Identity Server. To update Identity Server, the delegated administrator needs View/Modify rights to Identity Server configuration.

2.3.3.2 Policy Container Administrators

The policy container administrators are of two types:

- Delegated Administrators
- Policy View Administrators

Delegated Administrators

All delegated administrators with View/Modify rights to a device have read rights to the master policy container. To create or modify policies, a delegated administrator needs View/Modify rights to a policy container. When a delegated administrator has View/Modify rights to any policy container, the delegated administrator is also granted enough rights to allow the administrator to select shared secret values, attributes, LDAP groups, and LDAP OUs to policies.

If you want your delegated administrators to have full control over a device and its policies, you might want to create a separate policy container for each delegated administrator or for each device that is managed by a group of delegated administrators.

Policy View Administrators

A policy view administrator has rights only to view policy containers. The super administrators can create a special type of delegated administrators called policy view administrators. The policy view administrators can log in to Access Manager with their credentials and they are allowed to view only the policy containers assigned to them.

Using Policy Container option the super administrators can add and remove the delegated and policy view administrators.

- Adding Administrators
- Removing Administrators
Adding Policy Container Administrators

The administrator can assign the rights to the delegated administrators and the users based on the policy containers.

1. In Administration Console Dashboard, click <user name> at the top right of the page and then click Administrators > Policy Containers > Add Administrators.

2. (Optional) Specify the filter.

3. Select the Access Rights from the list for the type of administrator. For Example -View/Modify, View Only, and None. The policy view administrators have only View Only rights.

4. Select the search from context in the list. For example, "ou=delegated users, o=novell, ou=policyviewusers, o=novell". Based on the user selected, the delegated or policy view administrators are created.

5. (Optional) Select Include Subcontainers, if you want to add it.

6. Click Query. The users and the policy containers are displayed for the selected query.

7. Select User and Policy Container. The users and policy containers list are displayed based on the association with query.

8. Click Apply > Close.

Removing Policy Container Administrators

To remove the administrators from the policy containers list, do the following:

1. In Administration Console Dashboard, click <user name> at the top right of the page and then click Administrators > Policy Containers > Remove Administrators.

2. Select the check box of the user assigned to the administrator and click Remove.

3. Click Close.

2.3.3.3 Delegated Administrators of Identity Servers

You cannot assign a delegated administrator to an individual Identity Server. You can only assign a delegated administrator to a cluster configuration, which gives the delegated administrator rights to all the cluster members.

When a delegated administrator of an Identity Server cluster is granted the View/Modify rights, the administrator has sufficient rights to change the cluster configuration and to stop, start, and update Identity Servers in that cluster. The administrator is granted view rights to the keystores for each Identity Server in the cluster. To change any of the certificates, the administrator needs to be the admin user rather than a delegated administrator.

The delegated administrator of an Identity Server cluster is granted View Only rights to the master policy container. If you want the delegated administrator with View/Modify rights to have sufficient rights to manage policies, grant the following rights:

- To have sufficient rights to create Role policies, grant View/Modify rights to a policy container.
- To have sufficient rights to enable Role policies, grant View Only rights to the policy containers with Role policies.
2.3.3.4 Activating eDirectory Auditing for LDAP Events

If you are concerned that your delegated administrators might use an LDAP browser to access the configuration datastore, you can configure eDirectory to audit events that come from LDAP connections to the LDAP server.

1. In Administration Console Dashboard, click **Auditing**.
2. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click **Manage Roles & Tasks**.
3. Click **Directory Administration > Modify Object**.
4. Click the **Object Selector** icon, expand the **novell** container, then select the eDirectory server. The eDirectory server uses the tree name, without the `_TREE` suffix, for its name. The tree name is displayed in the iManager view bar.
5. Click **OK > Novell Audit > eDirectory**.
6. From the **Meta**, **Objects**, and **Attributes** sections, select the events that you want to monitor for potential security problems.
   - In the **Meta** section, you probably want to monitor changes made to groups and ACLs.
   - In the **Objects** section, you probably want to monitor who is logging in and out and if objects are being created or deleted.
   - In the **Attributes** section, you probably want to monitor when attribute values are added or deleted.
7. Click **Apply**.
8. Restart eDirectory and the audit server by running the following commands:
   
   /etc/init.d/ndsd restart  
   /etc/init.d/novell-naudit restart OR rcnovell-naudit restart

2.3.3.5 Creating Users

After creating users, you can assign the role of a delegated administrator or policy view administrator.

1. Log in to Access Manager.
2. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click **Manage Roles & Tasks > Users > Create User**.
3. **User Name**: Specify the user name. This is a mandatory field.
4. **(Optional) First Name**: Specify the first name of the user.
5. **Last Name**: Specify the name of the delegated administrator user. This is a mandatory field.
6. **(Optional) Full Name**: Specify the full name of the user.
7. **Context**: Specify the context as delegated administrators. This is a mandatory field.
   7a Click object selector icon. The object selector browser displays the Browse and Search tabs.
   7b Click **Browse** tab. Select delegated users option from the **Contents** list. The delegatedusers.novell or policyviewusers.novell is displayed in the context field based on the selection.
8. **Password**: Specify the password and retype the password to confirm it.

**NOTE**: Failure to enter a password will allow the user to login without a password.
9 (Optional) Simple Password: Select this check box to set the simple password.

**NOTE:** Simple Password is not required for normal eDirectory access. The Universal Password feature supersedes Simple Password. When the Universal Password feature is enabled, setting the Simple Password is not required. For more information about the Universal Password feature, see Netware 6.5 Documentation.

10 (Optional) Copy from Template or User Object: Copies the attributes from a user template that you've created.

11 (Optional) Create Home Directory: You can create a home directory for this new User object if you have sufficient eDirectory rights. To do this, specify the path where you want to create the user's home directory.

11a Volume: Applies only to NCP-enabled volumes.

11b Path: You must specify a valid, existing directory path. The last directory typed in the path is the one that is created; all other directories in the path must already exist. For example, if you specify the path corp/home/sclark, the directories corp and home must already exist. The directory sclark is the only directory created.

12 (Optional) Enter or Select the title, location, department, telephone number, fax number, email address of the delegated user from the list.

13 (Optional) Enter the description if there are any to the user. You are able to add, remove and edit the information as per the requirement.

14 Click OK.

After creating a user, assign rights to the newly created user. For more information, see "Policy Container Administrators" on page 45.

### 2.3.4 Changing Administrator’s Password

You can change password of Administration Console and user store's administrators.

#### 2.3.4.1 Changing the Password of Administration Console Administrator

1 In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Manage Roles & Tasks. Click Users > Modify User.

2 Click the Object Selector icon.

3 Browse to the novell container and select the name of the admin user, then click OK.

4 Click Restrictions > Set Password.

5 Specify a password in New password and confirm the password in Retype new password.

6 Click OK > OK.

#### 2.3.4.2 Changing the Administration Password of the User Store Administrator

Perform the following steps to change the admin password of a user store configured for Identity Server:

1 Click Devices > Identity Servers > `<Cluster>`.

2 Go to the Local tab and click the existing user store name in the user store's list.
3. Enter a password that matches the User Store password
4. Click Apply.

### 2.4 Changing the IP Address of Access Manager Appliance

**NOTE:** Changing the primary IP Address of an Access Manager Appliance is not recommended. This may result in corruption of the configuration store. However, you can modify the Listening IP address of Reverse Proxy or the Outbound IP address used to communicate with the Web Server.

To modify the Listening IP Address or Outbound IP address, do the following:

1. In Administration Console, click Devices > Access Gateways > Select the device > New IP > click OK.
2. Add the secondary IP address if applicable to the interfaces from Network Settings > Adapter List.
3. Configure the DNS from Network Settings > DNS.
4. Add the Host entries (if any) from Network Settings > Hosts.
5. Set up the routing (if any) from Network Settings > Gateways.
6. Under Services, click on Reverse Proxy/Authentication. In the Reverse Proxy List, click the proxy service name. Select the newly added cluster member and select the listening IP address for that service.
7. (Optional) If you want to specify the outbound connection to the Web server, click Web Servers, then click TCP Connect Options. Select the Cluster Member and select the IP address from the drop down list against Make Outbound Connection Using if you want to select the outbound IP address to communicate with the Web server.

To modify the IP address of the Audit Server:

1. In Administration Console Dashboard, click Auditing.
2. On the Novell Auditing page, change the IP address for the server and, if necessary, the port.
3. Click OK.
5. Reboot all servers, including the Access Gateways, to use the new configuration.

### 2.5 Changing the DNS Name of Access Manager Appliance

To change the DNS name for Access Manager Appliance, modify the DNS settings for Access Gateway. For information about changing the DNS name, see “Viewing and Modifying DNS Settings” on page 256.
The initial setup consists of installing Access Manager Appliance. You must set up the User Stores for Identity Server and configure Access Gateway to protect resources running on an HTTP Web server.

This chapter describes the following topics and tasks:

- Section 3.1, “Understanding Access Manager Appliance Process Flow,” on page 52
- Section 3.2, “Prerequisites for Setup,” on page 53
- Section 3.3, “Setting up User Stores for Identity Server Configuration,” on page 54
- Section 3.4, “Identity Servers Cluster,” on page 54
- Section 3.5, “Configuring Identity Server Shared Settings,” on page 64
- Section 3.6, “Configuring Access Gateway,” on page 89
- Section 3.7, “Access Gateways Clusters,” on page 93
- Section 3.8, “Protecting Web Resources Through Access Gateway,” on page 96
- Section 3.9, “Configuring Trusted Providers for Single Sign-On,” on page 149
- Section 3.10, “Configuring Single Sign-On to Specific Applications,” on page 179
- Section 3.11, “Sample Configuration for Protecting an Application Through Access Manager Appliance,” on page 195
### 3.1 Understanding Access Manager Appliance Process Flow

The following figure illustrates the components and process flow that make up a basic configuration.

*Figure 3-1  Basic Process Flow*

1. The user sends a request to Access Gateway for access to a protected resource.
2. Access Gateway redirects the user to Identity Server, which prompts the user for a username and password.
3. Identity Server verifies the username and password against an LDAP directory user store (eDirectory, Active Directory, or Sun ONE).
4. Identity Server returns an authentication artifact to Access Gateway through the browser in a query string.
5. Access Gateway retrieves the user’s credentials from Identity Server through the SOAP channel in the form of a SOAP message.
6. Access Gateway injects the basic authentication information into the HTTP header.
7. The web server validates the authentication information and returns the requested web page.

You configure the Access Manager Appliance so that a user can access a resource on a web server whose name and address are hidden from the user. This basic configuration sets up communication between the following four servers:
Although other configurations are possible, this section explains the configuration tasks for this basic Access Manager Appliance configuration. This section explains how to set up communication using HTTP. For HTTPS over SSL, see Chapter 17, “Enabling SSL Communication,” on page 875.

### 3.2 Prerequisites for Setup

The following are prerequisites for setting up a basic Access Manager Appliance configuration:

- Access Manager Appliance is installed.
- An LDAP directory store with a test user added. This store can be eDirectory, Active Directory, or Sun ONE.
- A DNS server or modified host files to resolve DNS names and provide reverse lookups.
- A web server (IIS or Apache). The web server must have three directories with three HTML pages. The first directory (public) must contain a page (such as index.html) for public access. This page needs to provide two links:
  - A link to a page in the protected directory. You will configure Access Gateway to require authentication before allowing access to this page. You do not need to configure the web server to protect this page.
  - A link to a page in the basic directory. You must already have configured your web server to require basic authentication before allowing access to this page. See your web server documentation for instructions on setting up basic authentication. (This type of access is optional, but explained because it is fairly common.)

If you do not have a web server that you can use for this type of access, you might prefer to configure Access Manager for the sample web pages we provide. See Chapter 3.11, “Sample Configuration for Protecting an Application Through Access Manager Appliance,” on page 195.

- A client workstation with a browser with browser pop-ups enabled.
3.3 Setting up User Stores for Identity Server Configuration

Post installation, create an Identity Server configuration that defines how an Identity Server or Identity Server cluster operates.

While configuring the user store, specify the following information:

- The IP address of an LDAP directory (user store). The LDAP directory is used to authenticate users. The trusted root certificate of the user store is imported to provide secure communication between Identity Server and the user store.
- The distinguished name and password of the administrator of the LDAP user store.

1 Configure the User Store. For information about configuring User Store, see “Configuring the User Store” on page 293.

2 (Optional) Verify the configuration:
   2a In a browser, enter the Base URL of Access Manager Appliance. Click the Sample Application Link. You will be redirected to the Login Page.
   2b Log in to using the credentials of a user in the LDAP server.
   2c (Conditional) If the URL returns an error rather than displaying a login page, verify the following:
      - The browser machine can resolve the DNS name of the Identity Server.
      - The browser machine can access the port.

3.4 Identity Servers Cluster

After you install Access Manger Appliance, an Identity Server cluster configuration is created automatically. If you install a secondary appliance, Identity Server in that server will automatically be added to Identity Server cluster.

In the Access Manager Appliance, Identity Server is automatically configured as a service that is accelerated through Access Gateway. Access Gateway in one appliance is configured to communicate only to Identity Server in the same appliance. However, Identity Servers in a cluster can internally communicate to each other through the cluster back channel.

3.4.1 Managing a Cluster of Identity Servers

Whether you have one machine or multiple machines in a cluster, the Access Manager software configuration process is the same. This section describes the following cluster management tasks:

- Section 3.4.1.1, “Editing a Cluster Configuration,” on page 55
- Section 3.4.1.2, “Configuring a Cluster with Multiple Identity Servers,” on page 57
- Section 3.4.1.3, “Configuring Session Failover,” on page 57
- Section 3.4.1.4, “Editing Cluster Details,” on page 58
- Section 3.4.1.5, “Enabling and Disabling Protocols,” on page 59
- Section 3.4.1.6, “Configuring Identity Server Global Options,” on page 59
3.4.1.1 Editing a Cluster Configuration

This section discusses all the settings available when editing an Identity Server configuration.

An Identity Server always operates as an identity provider and can optionally be configured to run as an identity consumer (also known as a service provider), by using Liberty, SAML 1.1, SAML 2.0, or WS Federation protocols. These topics are not described in this section.

In an Identity Server configuration, you specify the following information:

- The DNS name for Identity Server or clustered server site.
- Certificates for Identity Server.
- Organizational and contact information for the server, which is published in the metadata of the Liberty and SAML protocols.
- The LDAP directories (user stores) used to authenticate users, and the trusted root for secure communication between Identity Server and the user store.

To edit an Identity Server configuration:

1. Click Devices > Identity Servers > Edit.
2. Fill in the following fields:
   - **Name**: Specify a name by which you want to refer to the configuration.
   - **Base URL**: Specifies the application path for Identity Server. Identity Server protocols rely on this base URL to generate URL endpoints for each protocol. You cannot modify the values in this field. However, you can change it by changing the DNS name of the proxy that is protecting the /nidp resource.

   **NOTE**: If the base URL of the Identity Server is modified, all Access Manager devices that have an Embedded Service Provider need to be updated so that new metadata is imported. Reconfigure the device for a trusted relationship, then update the device. For more information about importing new metadata, see “Metadata” on page 1249.

   - **Protocol**: The communication protocol is HTTPS to run securely (in SSL mode) and for provisioning.
   - **Domain**: Specifies the DNS name assigned to Identity Server. When you are using an L4 switch, this DNS name must resolve to the virtual IP address set up on the L4 switch for the Identity Servers.
   - **Port**: Default port is 443.
   - **Application**: Specifies Identity Server application. The default value is nidp.

3. To configure session limits, fill in the following fields:

   - **LDAP Access**: Specify the maximum number of LDAP connections Identity Server can create to access the configuration store. You can adjust this amount for system performance.
   - **Default Timeout**: Specify the session timeout you want assigned as a default value when you create a contract. This value is also assigned to a session when Identity Server cannot associate a contract with the authenticated session. During federation, if the authentication request uses a type rather than a contract, Identity Server cannot always associate a contract with the request.
   - **Limit User Sessions**: Specify whether user sessions are limited. If selected, you can specify the maximum number of concurrent sessions a user is allowed to authenticate.
Setting Up a Basic Access Manager Appliance Configuration

If you decide to limit user sessions, you must also give close consideration to the session timeout value (the default is 60 minutes). If the user closes the browser without logging out (or an error causes the browser to close), the session is not cleared until the session timeout expires. If the user session limit is reached and those sessions have not been cleared with a logout, the user cannot log in again until the session timeout expires for one of the sessions.

When you enable this option, it affects performance in a cluster with multiple Identity Servers. When a user is limited to a specific number of sessions, Identity Servers must check with the other servers before establishing a new session.

- **Deleting Previous User Sessions:** You can configure Identity Server to delete the previous user sessions if the number of open sessions reaches the maximum limit of allowed sessions that you have specified in the Limit User Sessions field. Set the DELETE OLD SESSIONS OF USER option to true and restart Identity Server. For information about how to configure this option, see “Configuring Identity Server Global Options” on page 59. Previous sessions are cleared across Identity Server clusters only when a fresh authentication request comes in. When Identity Server deletes previous user sessions, it sends a logout request to the service provider through the SOAP back channel.

  **Use case:** In this scenario, a user is accessing a protected resource from a machine and wants to access the same protected resource from another device. Identity Server will not give access to the user if the Limit User Sessions has reached a maximum limit. Identity Server must terminate the old session of the user so that the user can access the new session seamlessly.

**Allow multiple browser session logout:** Specify whether a user with more than one session to the server is presented with an option to log out of all sessions. If you do not select this option, only the current session can be logged out. Deselect this option in instances where multiple users log in as guests. Then, when one user logs out, none of the other guests are logged out.

When you enable this option, you must also restart any Embedded Service Providers that use this Identity Server configuration.

4 To configure TCP timeouts, fill in the following fields:

- **LDAP:** Specify how long an LDAP request to the user store can take before timing out.

- **Proxy:** Specify how long a request to another cluster member can take before timing out. When a member of a cluster receives a request from a user who has authenticated with another cluster member, the member sends a request to the authenticating member for information about the user.

- **Request:** Specify how long an HTTP request to another device can take before timing out.

5 To control which protocols can be used for authentication, select one or more of the following protocols:

**IMPORTANT:** Enable only the protocols that you are using.

If you are using other Access Manager devices such as Access Gateway, you need to enable the Liberty protocol. Access Manager devices use an Embedded Service Provider. If you disable the Liberty protocol, you disable the trusted relationships these devices have with Identity Server, and authentication fails.

- **Liberty:** Uses a structured version of SAML to exchange authentication and data between trusted identity providers and service providers and provides the framework for user federation.

- **SAML 1.1:** Uses XML for exchanging authentication and data between trusted identity providers and service providers.

- **SAML 2.0:** Uses XML for exchanging encrypted authentication and data between trusted identity providers and service providers and provides the framework for user federation.
**WS Federation**: Allows disparate security mechanisms to exchange information about identities, attributes, and authentication.

**WS-Trust**: Allows secure communication and integration between services by using security tokens.

### 3.4.1.2 Configuring a Cluster with Multiple Identity Servers

To add capacity and to enable system failover, you can cluster a group of Identity Servers by clustering a group of Access Manager appliances. The Access Manager appliance cluster will automatically cluster the group of Identity Servers. You can also configure the cluster to support session failover, so that users don’t have to reauthenticate when an Identity Server goes down.

- To enable session failover so users do not need to re-authenticate when an Identity Server goes down, see “Configuring Session Failover” on page 57.
- To modify the name of the cluster or edit communication details, see “Editing Cluster Details” on page 58.

### 3.4.1.3 Configuring Session Failover

When you set up an Identity Server cluster and add more than one Identity Server to the cluster, you have set up fault tolerance. When you set up an Identity Server cluster and more than one Identity Servers are added to the cluster, you have set up fault tolerance. This ensures that if one of Identity Servers goes down, users still have access to your site because the remaining Identity Server can be used for authentication. However, it does not provide session failover. If a user has authenticated to the failed Identity Server, that user is prompted to authenticate and the session information is lost.

When you enable session failover and an Identity Server goes down, the user’s session information is preserved. Another peer server in the cluster re-creates the authoritative session information in the background. The user is not required to log in again and experiences no interruption of services.

**Prerequisites**

- An Identity Server cluster with two or more Identity Servers.
- Sufficient memory on Identity Servers to store additional authentication information. When an Identity Server is selected to be a failover peer, Identity Server stores about 1 KB of session information for each user authenticated on the other machine.
- Sufficient network bandwidth for the increased login traffic. Identity Server sends the session information to all Identity Servers that have been selected to be its failover peers.
- All trusted Embedded Services Providers need to be configured to send the attributes used in Form Fill and Identity Injection policies at authentication. If you use any attributes other than the standard credential attributes in your contracts, you also need to send these attributes. To configure the attributes to send, click Devices > Identity Servers > Edit > Liberty > [Name of Service Provider] > Attributes.

**Configuring Session Failover**

1. Click Devices > Identity Servers.
2. In the list of clusters and Identity Servers, click the name of an Identity Server cluster.
3. Click the IDP Failover Peer Server Count, then select the number of failover peers you want each Identity Server to have.
   - To disable this feature, select 0.
To enable this feature, select one or two less than the number of servers in your cluster. For example, if you have 4 servers in your clusters and you want to allow for one server being down for maintenance, select 3 (4-1=3). If you want to allow for the possibility of two servers being down, select 2 (4-2=2).

If you have eight or more servers in your cluster, the formula 8-2=6 gives each server 6 peers. This is probably more peers than you need for session failover. In a larger cluster, you must probably limit the number of peers to 2 or 3. If you select too many peers, your machines might require more memory to hold the session data and you might slow down your network with the additional traffic for session information.

4. Click OK.

How Failover Peers Are Selected

The failover peers for an Identity Server are selected according to their proximity. Access Manager sorts the members of the cluster by their IP addresses and ranks them according to how close their IP addresses are to the server who needs to be assigned failover peers. It selects the closest peers for the assignment. For example, if a cluster member exists on the same subnet, that member is selected to be a failover peer before a peer that exists on a different subnet.

3.4.1.4 Editing Cluster Details

The Cluster Details page lets you manage the configuration’s cluster details, health, alerts, and statistics.

1. Click Devices > Identity Servers.
2. Click the name of the cluster configuration.
3. Select from the following actions:
   - **Details**: To modify the cluster name or its settings, click Edit, then continue with Step 4.
   - **Health**: To view the health of the cluster, click the Health tab.
   - **Alerts**: To view the alerts generated by members of the cluster, click the Alerts tab.
   - **Statistics**: To view the statistics of the cluster members, click the Statistics tab.
4. Modify the following fields as required:
   - **Cluster Communication Backchannel**: Specify a communications channel over which the cluster members maintain the integrity of the cluster. For example, this TCP channel is used to detect new cluster members as they join the cluster, and to detect members that leave the cluster. A small percentage of this TCP traffic is used to help cluster members determine which cluster member would best handle a given request. This back channel must not be confused with the IP address/port over which cluster members provide proxy requests to peer cluster members.
     - **Port**: Specify the TCP port of the cluster back channel on all of Identity Servers in the cluster. 7901 is the default TCP port.
     - **Encrypt**: Encrypts the content of the messages that are sent between cluster members.

---

**NOTE:** The Level Four Switch Port Translation feature is not required for the Access Manager Appliance as Identity Server cluster is accelerated through Access Gateway.

- **Port translation is enabled on switch**: Specify whether the port of the L4 switch is different from the port of the cluster member. For example, enable this option when the L4 switch is using port 443 and Identity Server is using port 8443.
- **Cluster member translated port**: Specify the port of the cluster member.
IDP Failover Peer Server Count: For configuration information, see “Configuring Session Failover” on page 57.

5 Click OK, then update Identity Server when prompted.

### 3.4.1.5 Enabling and Disabling Protocols

You can control which protocols can be used for authenticating with an Identity Server configuration. A protocol must be enabled and configured before users can use the protocol for authentication. For tight security, consider disabling the protocols that you are not going to use for authentication.

When you disable a protocol, updating Identity Server configuration is not enough. You must stop and start Identity Server.

1 Click Devices > Identity Servers > Edit.

2 In the Enabled Protocols section, select the protocols to enable.

3 To disable a protocol, deselect it.

4 Click OK.

5 (Conditional) If you have enabled a protocol, update Identity Server.

6 (Conditional) If you have disabled a protocol, stop and start Identity Server.

   6a Select Identity Server, then click Stop.

   6b When the health turns red, select Identity Server, then click Start.

   6c Repeat the process for each Identity Server in the cluster.

### 3.4.1.6 Configuring Identity Server Global Options

Global options are applicable for all Identity Servers in a cluster.

**NOTE:** Access Manager 4.2 onwards, configuring the following options through files is deprecated. You must configure these option by using Administration Console.

Perform the following steps to configure Identity Server global options:

1 Click Devices > Identity Servers > Edit > Options.

2 Click New.

3 Set the following properties based on your requirement:

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allow Auth Policy Execution</td>
<td>Select false to disable Identity Server to execute authorization policies. The default value is true.</td>
</tr>
<tr>
<td></td>
<td>For example, see “Executing Authorization Based Roles Policy During SAML 2.0 Service Provider Initiated Request” on page 413.</td>
</tr>
<tr>
<td>Cluster Cookie Domain</td>
<td>Set this property to change the Domain attribute for Identity Server cluster cookie.</td>
</tr>
<tr>
<td></td>
<td>For example, see “Configuring X.509 Authentication to Provide Access Manager Error Message” on page 333.</td>
</tr>
<tr>
<td>Property Type</td>
<td>Property Value</td>
</tr>
<tr>
<td>------------------------------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Cluster Cookie Path</td>
<td>Set this property to change the Path attribute for Identity Server cluster cookie.</td>
</tr>
<tr>
<td></td>
<td>The default value is /nidp.</td>
</tr>
<tr>
<td></td>
<td>For example, see “Configuring X.509 Authentication to Provide Access Manager Error Message” on page 333.</td>
</tr>
<tr>
<td>DECODE RELAY STATE PARAM</td>
<td>Select true to enable the relay state URL decoding.</td>
</tr>
<tr>
<td></td>
<td>The default value is false.</td>
</tr>
<tr>
<td>DELETE OLD SESSIONS OF USER</td>
<td>Select true to enable Identity Server to delete the previous user sessions if the number of open sessions reaches the maximum limit of allowed sessions that you have specified in the Limit User Sessions field.</td>
</tr>
<tr>
<td></td>
<td>The default value is false.</td>
</tr>
<tr>
<td>HTTP ONLY CLUSTER COOKIE</td>
<td>Select false to disable the HTTPOnly flags for Identity Server cluster cookies.</td>
</tr>
<tr>
<td></td>
<td>The default value is true.</td>
</tr>
<tr>
<td>IS SAML2 POST INFLATE</td>
<td>Select true to enable Identity Server to receive deflated SAML 2.0 POST messages from its trusted providers.</td>
</tr>
<tr>
<td></td>
<td>The default value is false.</td>
</tr>
<tr>
<td></td>
<td>You can configure post binding to be sent as a compressed option by configuring this property. For example, see the note in Step 4 on page 417.</td>
</tr>
<tr>
<td>IS SAML2 POST SIGN RESPONSE</td>
<td>Select true to enable the identity provider to sign the entire SAML 2.0 response for all service providers.</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Property Type</td>
<td>Property Value</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>LOGIN CSRF CHECK</td>
<td>Select true to enable Cross-Site Request Forgery (CSRF) check for the Password Class and TOTP Class. This is applicable for Access Manager default pages. If you have modified any page, you must add the CSRF token to the page. To add the CSRF token, add the following:</td>
</tr>
<tr>
<td></td>
<td>JAVA:</td>
</tr>
<tr>
<td></td>
<td>&lt;% String sid = request.getParameter(&quot;sid&quot;)!=null ? request.getParameter(NIDPConstants.SID) : (String)request.getAttribute(NIDPConstants.SID) ; NIDPSessionData sData = NIDPContext.getNIDPContext().getSession(request).getSessionData(sid); boolean csrfCheckRequired = NIDPEdirConfigUtil.isConfigured(NIDPConfigKeys.LOGIN_CSRF_CHECK.name()) ? NIDPEdirConfigUtil.getValueAsBoolean(NIDPConfigKeys.LOGIN_CSRF_CHECK.name()) : false; %&gt;</td>
</tr>
<tr>
<td></td>
<td>HTML:</td>
</tr>
</tbody>
</table>
|                               | <% if (csrfCheckRequired) { %><input type="hidden" name="AntiCSRFToken" value="<%=sData.getAntiCSRFToken()%>"%} %>

<table>
<thead>
<tr>
<th>LOGOUT IDP SESSION ON IP CHANGE</th>
<th>In Access Manager 4.3, this option has been merged with Advanced Session Assurance and called as Client IP. This option is listed as deprecated if you upgrade Access Manager from 4.2.x to 4.3. See Chapter 11, &quot;Setting Up Advanced Session Assurance,&quot; on page 843.</th>
</tr>
</thead>
<tbody>
<tr>
<td>RENAME SESSION ID</td>
<td>Select false to prevent changing the session ID automatically. The default value is true.</td>
</tr>
<tr>
<td>SAML1X ATTRIBUTE MATCH BY NAME</td>
<td>Select true to perform a strict check on the name space of the attributes received in assertion. For example, see Section 30.3.21, &quot;SAML 1.1 Service Provider Re-requests for Authentication,&quot; on page 1072.</td>
</tr>
<tr>
<td>SECURE CLUSTER COOKIE</td>
<td>Select false to disable the secure flags for cluster cookies. The default value is true.</td>
</tr>
</tbody>
</table>
### Setting Up a Basic Access Manager Appliance Configuration

**STS CHANGE ISSUER**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>STS CHANGE ISSUER</td>
<td>Specify the value in this format: SPentityID:UPNDomain -&gt; new IssuerID. For example, urn:federation:MicrosoftOnline:Support.namnetiq.in -&gt; <a href="https://namnetiq.in/nidp/wsfed/">https://namnetiq.in/nidp/wsfed/</a> In case of multiple child domains, add each parent domain and child domain separated by a comma. For example, if namnetiq.in is the parent domain and support.namnetiq.in and engineering.namnetiq.in are the child domains, specify the following entries: urn:federation:MicrosoftOnline:namnetiq.in -&gt; <a href="https://namnetiq.in/nidp/wsfed/">https://namnetiq.in/nidp/wsfed/</a>, urn:federation:MicrosoftOnline:support.namnetiq.in -&gt; <a href="https://namnetiq.in/nidp/wsfed/">https://namnetiq.in/nidp/wsfed/</a>, urn:federation:MicrosoftOnline:engineering.namnetiq.in -&gt; <a href="https://namnetiq.com/nidp/wsfed/">https://namnetiq.com/nidp/wsfed/</a> For example, see “Configuring Federation for Multiple Domains that Include Child Domains” on page 559.</td>
</tr>
</tbody>
</table>

**STS OFFICE365 MULTI DOMAIN SUPPORT AUTO**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>STS OFFICE365 MULTI DOMAIN SUPPORT AUTO</td>
<td>Select true to enable users to access Office 365 services by using the Issuer URI specific to the domain they belong to. The default value is false. For example, see “Creating Multiple Domains in Office 365 and Establishing Federation with Access Manager” on page 557.</td>
</tr>
</tbody>
</table>

**WSF SERVICES LIST**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSF SERVICES LIST</td>
<td>Select full to enable users to access the Services page. Select 404 to return an HTTP 404 status code: Not Found. Select 403 to return an HTTP 403 status code: Forbidden. Select empty to return an empty services list. The default value is full. For example, see “Blocking Access to the WSDL Services Page” on page 358.</td>
</tr>
</tbody>
</table>

**WSFED ASSERTION VALIDITY**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSFED ASSERTION VALIDITY</td>
<td>Specify the assertion validity time in second for WS Federation Provider (SP) to accommodate clock skew between the service provider and SAML identity provider. The default value is 1800 seconds. For example, see “Assertion Validity Window” on page 487.</td>
</tr>
</tbody>
</table>

**WSTRUST AUTHORIZATION ALLOWED ACTAS VALUES**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED ACTAS VALUES</td>
<td>Specify the user names who can perform ActAs operations. Allowed user names are the user accounts that the intermediate web service provider uses to authenticate with STS when sending a request with ActAs elements. You can specify more than one user name separated by a comma. For example, see “Adding Policy for ActAs and OnBehalfOf” on page 503.</td>
</tr>
<tr>
<td>Property Type</td>
<td>Property Value</td>
</tr>
<tr>
<td>--------------------------------------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED ONBEHALF VALUES</td>
<td>Specify the user names who can perform OnBehalfOf operations. Allowed user names are the user accounts that the intermediate web service provider uses to authenticate with STS when sending a request with OnBehalfOf elements. You can specify more than one user name separated by a comma. For example, see “Adding Policy for ActAs and OnBehalfOf” on page 503.</td>
</tr>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED VALUES</td>
<td>Specify the user names who can perform both ActAs and OnBehalfOf operations. You can specify more than one user name separated by a comma. For example, see “Adding Policy for ActAs and OnBehalfOf” on page 503.</td>
</tr>
<tr>
<td>SESSION ASSURANCE USER AGENT EXCLUDE LIST</td>
<td>Specify the user-agent string for that you want to disable the session validation. For example, see “Disabling Advanced Session Assurance for Identity Server” on page 845.</td>
</tr>
<tr>
<td>SESSION ASSURANCE USER AGENT REGEX EXCLUDE LIST</td>
<td>Specify the user-agent REGEX for that you want to disable the session validation. For example, see “Disabling Advanced Session Assurance for Identity Server” on page 845.</td>
</tr>
<tr>
<td>SESSION ASSURANCE URL EXCLUDE LIST</td>
<td>Specify the URL for that you want to disable the session validation. For example, see “Disabling Advanced Session Assurance for Identity Server” on page 845.</td>
</tr>
<tr>
<td>SESSION ASSURANCE URL REGEX EXCLUDE LIST</td>
<td>Specify the URL REGEX for that you want to disable the session validation. For example, see “Disabling Advanced Session Assurance for Identity Server” on page 845.</td>
</tr>
<tr>
<td>SESSION ASSURANCE IDC COOKIE GRACEPERIOD</td>
<td>Specify the time in second till which Identity Server will accept the old IDC cookie, after issuing a new cookie. The default value is 15 second.</td>
</tr>
<tr>
<td>OTHER</td>
<td>Specify Property Name and Property Value if you want to configure any other property.</td>
</tr>
<tr>
<td>NAM_DFP_KEYS_ENFORCE_STRICT</td>
<td>When Advanced Session Assurance is enabled, specify true to send session keys only the first time when the device information is fetched. Specify false to send session keys every time whenever device information is fetched. The default value is false. You can configure this property by clicking OTHER.</td>
</tr>
</tbody>
</table>

4 Click OK > Apply.
3.5 Configuring Identity Server Shared Settings

The Shared Settings tab on Identity Servers page allows you to define the following shared settings:

- **Attribute sets**: Sets of attributes that are exchangeable between identity and service providers.
- **User matching expressions**: The logic of the query to the user store for identification when an assertion is received from an identity provider.
- **Shared Secret names**: Custom shared secret names that you want to be available when configuring policies.
- **LDAP attributes**: Custom LDAP attribute names that you want to be available when configuring policies.
- **Authentication card images**: Custom images that you can assign to authentication cards to uniquely identify an authentication procedure.
- **Metadata Repositories**: Import metadata of trusted providers.

You can reuse these settings. These are available in any Identity Server cluster configuration.

This section describes the following tasks:

- Section 3.5.1, “Configuring Attribute Sets,” on page 64
- Section 3.5.2, “Editing Attribute Sets,” on page 66
- Section 3.5.3, “Configuring User Matching Expressions,” on page 67
- Section 3.5.4, “Adding Custom Attributes,” on page 68
- Section 3.5.5, “Adding Authentication Card Images,” on page 70
- Section 3.5.6, “Creating an Image Set,” on page 70
- Section 3.5.7, “Metadata Repositories,” on page 70
- Section 3.5.8, “User Attribute Retrieval and Transformation,” on page 72
- Section 3.5.9, “Specifying Advanced Authentication Server Details,” on page 89

### 3.5.1 Configuring Attribute Sets

The attributes you specify on Identity Server are used in attribute requests and responses, depending on whether you are configuring a service provider (request) or identity provider (response). Attribute sets provide a common naming scheme for the exchange. For example, an attribute set can map an LDAP attribute such as givenName to the equivalent remote name used at the service provider, which might be firstName. These shared attributes can then be used for policy enforcement, user identification, and data injection.

Example 1: Attribute sets provide the centrally configurable means to map identity attributes between federation partners. When Access Manager Identity Server provides authenticated user information to a federated service provider such as Office 365, the attribute set determines what identity information is sent and available to Office 365 during and after authentication. The source of the identity data being sent can be the user's local LDAP directory or can be calculated dynamically. The identity data from external databases and secondary LDAP directories is achieved through Virtual Attributes. Virtual attributes are dynamically calculated attributes populated by the Attribute Retrieval and Transformation feature. See Section 3.5.8, “User Attribute Retrieval and Transformation,” on page 72.

Example 2: You could have a web server application that requires a user’s e-mail address. You configure the web server to be a protected resource of Access Gateway, and you configure an Identity Injection policy to add the user’s email address to a custom HTTP header. When the user
accesses the protected resource, the value of the email attribute is retrieved. However, if you create an attribute set with this attribute, then assign it to be sent with the authentication response of Embedded Service Provider of Access Gateway, the value is cached at authentication and is immediately available. If you have multiple attributes that you are using in policies, obtaining the values in one LDAP request at authentication time can reduce the amount of LDAP traffic to your user store.

You can define multiple attribute sets and assign them to different trusted relationships. You can also use the same attribute set for multiple trusted relationships.

To create and configure an attribute set:

2. Specify the following fields:
   - **Set Name**: Specify a name for identifying the attribute set.
   - **Select set to use as template**: Select an existing attribute set that you have created, which you can use as a template for the new set, or select *None*. To modify an existing attribute set, select that set as a template.
3. Click Next.
4. To add an attribute to the set, click New.
5. Specify the following fields:
   - **Specify the attribute. Select from the following:**
     - **Local Attribute**: Select an attribute from the list of all server profile, LDAP, shared secret attributes and virtual attributes. For example, you can select *All Roles* to use in role policies, which enables trusted providers to send role information in authentication assertions. Share secret attributes must be created before they can be added to an attribute set. For instructions, see "Creating Shared Secret Names" on page 68.
     - **Constant**: Specify a value that is constant for all users of this attribute set. The name of the attribute that is associated with this value is specified in the *Remote Attribute* field.
     - **Remote Attribute**: Specify the name of the attribute defined at the external provider. The text for this field is case sensitive.
     - A value is optional if you are mapping a local attribute. If you leave this field blank, the system sends an internal value that is recognized between Identity Servers.
       For a SAML 1.1 and SAML 2.0 identity consumer (service provider), a name identifier received in an assertion is automatically given a remote attribute name of *saml:NameIdentifier*. This allows the name identifier to be mapped to a profile attribute that can then be used in policy definitions.
     - A value is required if you are mapping a constant.
       An attribute set with a constant is usually set up when Identity Server is acting as an identity provider for a SAML or Liberty service provider. The name must match the attribute name that the service provider is using.
     - **Remote namespace**: Specify the namespace defined for the attribute by the remote system:
       - If you are defining an attribute set for LDAP, select *none*. If you want a service provider to accept any namespace specified by an identity provider, select *none*. If you want an identity provider to use a default namespace, select *none*. The *urn:oasis:names:tc:SAML:1.0:assertion* value is sent as the default.
       - If you are defining an attribute set for WS Federation, select the radio button next to the text box, then specify the following name in the text box.
If you want to specify a new namespace, select the radial button by the text box, then specify the name in the text box.

**Remote format**: Select one of the following formats:
- **unspecified**: Indicates that the interpretation of the content is implementation-specific.
- **uri**: Indicates that the interpretation of the content is application-specific.
- **basic**: Indicates that the content conforms to the xs:Name format as defined for attribute profiles.

**Attribute value encoding**: Select one of the following encoding options:
- **Special characters encoded**: Encodes only the special characters in the attribute value.
- **Not encoded**: Does not encode the attribute value.
- **Entire value encoded**: Encodes the entire attribute value.

6. Click **OK**.

The system displays the map settings on the Define Attributes page, as shown below:

![Create Attribute Set](http://schemas.xmlsoap.org/claims)

You can continue adding as many attributes as you need.

7. Click **Finish** after you created the map.

The system displays the map on the Attribute Sets page, as well as indicating whether it is in use by a provider.

8. (Conditional) To configure a provider to use the attribute set, see Section 3.9.6, “Selecting Attributes for a Trusted Provider,” on page 160.

### 3.5.2 Editing Attribute Sets

You can edit attribute sets that have been created in the system.

1. Click **Devices > Identity Server > Shared Settings > Attribute Sets**.
2. Click the name of the attribute set that you want to edit.
3. The system displays an attribute set page with the following tabs:
   - **General**: Click to edit the name of the attribute set.
   - **Mapping**: Click to edit the attribute map.

   **NOTE**: After editing the attribute mapping, verify the attribute set again in the trusted provider’s list. Select the attributes from the **Available** list, and move them to the left side of the page.

4. Click **OK**, then click **Close**.
### 3.5.3 Configuring User Matching Expressions

When a service provider receives an assertion from a trusted identity provider, the service provider tries to identify the user. The service provider can be configured to take one of the following actions:

- Accept that the assertion contains a valid user and authenticate the user locally with a temporary identity and account. As soon as the user logs out, the account and identity are destroyed.
- Use the attributes in the assertion to match a user in the local user store. When you want the service provider to take this action, you need to create a user matching expression.
- Use the attributes in the assertion to match a user in the local user store and when the match fails, create an account (called provisioning) for the user in the local user store of the service provider. When you want the service provider to take this action, you need to create a user matching expression.

The user matching expression is used to format a query to the user store based on attributes received in the assertion from the identity provider. This query must return a match for one user.

- If the query returns a match for multiple users, the request fails and the user is denied access.
- If the query fails to find a match and you have selected provisioning, the service provider uses the attributes to create an account for this user in its user store. If you haven’t selected provisioning, the request fails and the user is denied access.

The user matching expression defines the logic of the query. You must know the LDAP attributes that are used to name the users in the user store in order to create the user’s distinguished name and uniquely identify the users.

For example, if the service provider user store uses the email attribute to identify users, the identity provider must be configured to send the email attribute. The service provider would use this attribute in a user matching expression to find the user in the user store. If a match is found, the user is granted access. If the user is not found, that attribute can be used to create an account for the user. The assertion must contain all the attributes that the user store requires to create an account.

To create a user matching expression:

1. Click Devices > Identity Servers > Shared Settings > User Matching Expressions.
2. Click New, or click the name of an existing user matching expression.
3. Specify a name for the user lookup expression.
4. Click the Add Attributes icon (plus sign), then select attributes to add to the logic group. (Use the Shift key to select several attributes.)
5. Click OK.
6. To add logic groups, click New Logic Group.
   - The Type drop-down (AND or OR) applies only between groups. Attributes within a group are always the opposite of the type selection. For example, if the Type value is AND, the attributes within the group are OR.
7. Click the Add Attributes icon (plus sign) to add attributes to the next logic group, then click OK.
8. Click Finish.
9. (Conditional) If you selected attributes from the Custom, Employee, or Personal profile, you need to enable the profile so that the attribute can be shared:
   - 9a Click Servers > Edit > Liberty > Web Service Provider.
   - 9b Select the profiles that need to be enabled, then click Enable.
   - 9c Click OK, then update Identity Server.
3.5.4 Adding Custom Attributes

You can add custom shared secret names or LDAP attribute names that you want to make available for selection when setting up policies.

- Section 3.5.4.1, “Creating Shared Secret Names,” on page 68
- Section 3.5.4.2, “Creating LDAP Attribute Names,” on page 68

3.5.4.1 Creating Shared Secret Names

The shared secret consists of a secret name and one or more secret entry names. You can create a secret name only, or a secret name and an entry name. For ease of use, the entry name must match the policy that uses it:

- For a Form Fill policy, the entry name must match a form field name.
- For an Identity Injection policy, the entry name must match the Custom Header Name.
- For an External Attributes policy, Secret Name must match the policy name and Secret Entry Name must match the attribute name configured while creating the policy.

For example, if the policy name is fetchattr and attribute name configured in the policy is address, then Secret Name must be fetchattr and Secret Entry Name must be address.

For more information about how to use shared secrets with policies, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

Identity Server needs to be configured to use shared secrets. For information about this process, see “Configuring a User Store for Secrets” on page 296.

Shared secret names can be created either on the Custom Attributes page or in the associated policy that consumes them.

1. Click Devices > Identity Servers > Shared Settings > Custom Attributes.
2. To create shared secret names, click New.
3. Enter a new shared secret name and, optionally, a secret entry name.
4. Click OK.
5. (Optional) To create additional entries for the secret, click the name of the secret, click New, specify an entry name, then click OK.

**WARNING:** Identity Server currently has no mechanism to determine whether a secret is being used by a policy. Before you delete a shared secret, you must ensure that it is not being used.

3.5.4.2 Creating LDAP Attribute Names

LDAP attributes are available for all policies. LDAP attribute names can be created either on the Custom Attributes page or in the associated policy that consumes them. The attribute names that you specify must match the name of an attribute of the user class in your LDAP user store.

1. Click Devices > Identity Servers > Shared Settings > Custom Attributes.
2. This list contains the attributes for the inetOrgPerson class. It is customizable.
   
   `audio`: Uses a u-law encoded sound file that is stored in the directory.

   `businessCategory`: Describes the kind of business performed by an organization.

   `carLicense`: Vehicle license or registration plate.
**cn:** The X.500 commonName attribute, which contains a name of an object. If the object corresponds to a person, it is typically the person’s full name.

**departmentNumber:** Identifies a department within an organization.

**displayName:** The preferred name of a person to be used when displaying entries. When displaying an entry, especially within a one-line summary list, it is useful to use this value. Because other attribute types such as cn are multivalued, an additional attribute type is needed.

**employeeNumber:** Numerically identifies a person within an organization.

**employeeType:** Identifies the type of employee.

**givenName:** Identifies the person’s name that is not his or her surname or middle name.

**homePhone:** Identifies a person by home phone.

**homePostalAddress:** Identifies a person by home address.

**initials:** Identifies a person by his or her initials. This attribute contains the initials of an individual, but not the surname.

**jpegPhoto:** Stores one or more images of a person, in JPEG format.

**labeledURI:** Uniform Resource Identifier with an optional label. The label describes the resource to which the URI points.

**mail:** A user’s e-mail address.

**manager:** Identifies a person as a manager.

**mobile:** Specifies a mobile telephone number associated with a person.

**o:** The name of an organization.

**pager:** The pager telephone number for an object.

**photo:** Specifies a photograph for an object.

**preferredLanguage:** Indicates an individual’s preferred written or spoken language.

**roomNumber:** The room number of an object.

**secretary:** Specifies the secretary of a person.

**sn:** The X.500 surname attribute, which contains the family name of a person.

**uid:** User ID.

**userCertificate:** An attribute stored and requested in the binary form.

**userPKCS12:** A format to exchange personal identity information. Use this attribute when information is stored in a directory service.

**userSMIMECertificate:** PKCS#7 SignedData used to support S/MIME. This value indicates that the content that is signed is ignored by consumers of userSMIMECertificate values.

**x500uniqueIdentifier:** Distinguishes between objects when a distinguished name has been reused. This is a different attribute type from both the uid and the uniquenIdentifier type.

2 To add a name:
   2a Click New.
   2b If you want the attribute to return raw data rather binary data, select 64-bit Encode Attribute Data.
   2c Click OK.

3 To modify the 64-bit attribute data encoding, click an attribute’s check box, then click one of the following links:
Set Encode: Specifies that LDAP returns a raw format of the attribute rather than binary format, which Access Manager encodes to base64, so that the protected resource understands the attribute. You might use base64 encoding if you use certificates that require raw bytes rather than binary string format.

Clear Encode: Deletes the 64-bit data encoding setting.

4 Click Apply to save changes, then click the Servers tab to return to the Servers page.

3.5.5 Adding Authentication Card Images

Each authentication contract and managed card template must have a card associated with it.

To add new images, the image files must be available from the workstation where you are authenticated to Administration Console. Images must fall within the size bounds of 60 pixels wide by 45 pixels high through 200 pixels wide by 150 pixels high. To add a card image:

1 Click Devices > Identity Servers > Shared Settings > Authentication Card Images.
2 Click New.
3 Fill in the following fields.
   - **Name**: Specify a name for the image.
   - **Description**: Describe the image and its purpose.
   - **File**: Click Browse, locate the image file, then click Open.
   - **Locale**: From the drop-down menu, select the language for the card or select All Locales if the card can be used with all languages.
4 Click OK.
5 If you did not specify All Locales for the Locale, continue with Section 3.5.6, “Creating an Image Set,” on page 70.

3.5.6 Creating an Image Set

You can create card images for specific locales as well as a default image for all locales. The images need to be placed in an image set that allows the browser to display the image associated with the requested locale. If the browser requests a locale for which you have not defined an image, the All Locales image is displayed. If an All Locales image is not available, the browser displays the Image not Available card.

1 Click Devices > Identity Servers > Shared Settings > Authentication Card Images.
2 Click the card image.
3 To add an image to the set, click New, then fill in the following fields:
   - **File**: Click Browse, then browse to the location of the file.
   - **Locale**: Select the locale from the drop-down menu.
4 Click OK.

3.5.7 Metadata Repositories

Large scale federations have more than 100+ identity and or service providers and it is a tedious task to establish bi-lateral relationships with Access Manager. You as an identity provider can now configure several identity and or service providers using a multi-entity metadata file available in a central repository. The identity and/or service providers become partners of a community which
maintains a single metadata file containing metadata of all the approved partners. The identity and or
service providers submit their metadata which includes specifications of services offered (SAML 1.1
and SAML 2.0) and any other information. This feature is available only for SAML 1.1 and SAML 2.0.

For example, XYZ is an e-book store and several e-book stores, which are either identity or service
providers are partner with it. XYZ maintains a single metadata file containing metadata of all the other
stores. ABC an e-book identity provider wants to establish a federation with many other e-book
stores. Hence, ABC partners with XYZ by sharing its metadata and XYZ in turn shares the metadata
XML file. ABC imports the XML file available publicly on the internet (for example, http://
xyz.commonfederation.org/xyz-metadata.xml) and establishes trusts with others in the federation
which includes XYZ’s trusted provider sites.

3.5.7.1 Creating Metadata Repositories

1. Click Devices > Identity Servers > Shared Settings > Metadata Repositories.
2. Click New and fill in the following fields:
   - **Name:** Enter the name of the metadata repository.
   - **Description:** Enter the description of the metadata repository.
   - **Source:** From the drop-down menu, select the source from which you want to import the
     metadata file.
     - To specify the URL location of the XML file in the URL field, select Metadata URL.
     - To specify the path of the XML file in the File field, select Metadata File.
3. Click Finish.

The details of the metadata such as the number of identity servers and service providers present
in the metadata, and expiry date of the metadata are displayed.

You can select the metadata repository and click Delete to delete the repository. If the metadata
file is in use, you cannot delete it. Delete the trusted provider first and then delete the metadata
file.

4. Select All to see a list of entities. If the entity is supporting it the respective protocol will be
   checked.

When the metadata repositories are imported, the entities available in the metadata repository can be
assigned as trusted provider to any of the Identity Provider clusters. To create trusted providers, see

3.5.7.2 Reimporting Metadata Repositories

You can reimport the metadata repository to get the updated XML.

1. Click Devices > Identity Servers > Shared Settings > Metadata Repositories.
2. Click on the metadata repository you created and click Reimport.
3. Specify the URL location of the XML file in the URL field and click Next.
4. The screen displays the following:
   - **New Entities added to the repositories:** If the entities are updated or deleted and are
     assigned as TrustedProviders to an Identity Server cluster then Identity Server cluster name is
     displayed in brackets next to the entity ID.
   - **Entities Deleted from the repositories:** If the entity is updated and is assigned as a trusted
     provider to an Identity Server cluster, that trusted provider will be updated. You must update
     Identity Server cluster for the changes to take effect.
Entities Updated in the repositories: If an entity is deleted and was assigned as trusted provider to an Identity Server cluster, then the link between the trusted provider and the metadata repository entity is deleted.

NOTE: The corresponding trusted provider is not deleted. Delete the trusted provider manually.

5 Click Finish to apply the changes.

3.5.8 User Attribute Retrieval and Transformation

With this release of Access Manager, you can retrieve an attribute from an external source and transform it before sending it in an assertion. The User Attribute Retrieval and Transformation feature enables you to retrieve attributes from a data source (any database or LDAP repositories) and transform them. This feature also allows you to transform user’s local attributes (LDAP attributes, Shared Secrets, and various profiles such as Personal Profile and Employee Profile).

Virtual attributes can be used to generate dynamic data at runtime from the current values of the user attributes.

The transformed attribute values are not stored in any persistent data stores. They are in the memory as part of user’s session.

- Section 3.5.8.1, "How User Attribute Retrieval and Transformation Helps," on page 72
- Section 3.5.8.2, "Prerequisites," on page 73
- Section 3.5.8.3, "Limitations," on page 73
- Section 3.5.8.4, "Managing a Data Source," on page 73
- Section 3.5.8.5, "Managing an Attribute Source," on page 76
- Section 3.5.8.6, "Managing a Virtual Attribute," on page 78
- Section 3.5.8.7, "Sample JavaScripts with Examples," on page 83
- Section 3.5.8.8, "Troubleshooting User Attribute Retrieval and Transformation," on page 88

3.5.8.1 How User Attribute Retrieval and Transformation Helps

User Attribute Retrieval and Transformation helps you to perform the following activities:

- Retrieve attribute values from external sources other than the configured user stores. The sources can be an external database or any external LDAP repository.
- Transform attribute values before they are sent as part of an assertion to a federated provider. For example, you can edit an attribute value before it is sent from identity provider to a service provider in a SAML 2.0 federation. You can also edit an attribute value sent from identity provider to Access Gateway used in policies.
- Transform the attribute value used in policies. For example, you can transform Identity Server role-based policies.

User Attribute Retrieval and Transformation introduces the following configuration settings in Identity Server:

- Data Source: An entity that holds configuration properties that help in connecting to an external data source. The properties of the data source can be defined in the data source user interface. A data source can be an LDAP repository or a SQL database.
• Attribute Source: Represents queries that fetch attributes from a data source. You can define either an LDAP search filter or a SQL query, based on the data source type.

• Virtual Attribute: Helps you specify the attributes that must be transformed and in the way the transformations happen. A virtual attribute can transform multi-valued attributes.

3.5.8.2 Prerequisites

To perform complex user attribute transformations, you must have a basic understanding of JavaScript. To see sample JavaScripts with examples, see “Sample JavaScripts with Examples” on page 83.

3.5.8.3 Limitations

The following are the limitations of the User Attribute Retrieval and Transformation feature:

• Multi-valued input parameters are not supported in the attribute source. If you input a multi-valued parameter, only one value is picked for the calculation.

• You cannot use the existing Identity server user stores directly as an attribute source. You must create a separate data source to use the user stores.

• You cannot edit or store any attribute value permanently in the existing LDAP attributes, shared secret attributes, or external database by using virtual attributes.

• The risk-based policies do not support virtual attributes.

• OAuth configuration does not support virtual attributes.

• Virtual attributes do not support modifications of attribute sources that contain binary data. Only string, boolean, integer, date, and numeric values from database are supported.

• SSL communication with SQL and Oracle databases that are used by virtual attributes (data sources) is not supported.

3.5.8.4 Managing a Data Source

You can create, edit, or delete a data source.

NOTE: You cannot delete a data source that is being used by an attribute source.

This section discusses the following topics:

• “Creating a Data Source” on page 73

• “Editing a Data Source” on page 76

Creating a Data Source

To create a data source, perform the following steps:

1 Click Devices > Identity Server > Shared Settings > Data Sources.

2 Click + to add a data source.

3 From the list, select one of the data sources: Database or LDAP.

4 Specify the database connection properties.

4a For Database

MS SQL and Oracle databases are supported.
Based on the database type you select, you need to add the corresponding jars.

4a For Oracle Database:

4a1 Download the JDBC connector for the Oracle database from Oracle.com.

4a2 For Microsoft SQL Server:

4a2a Download the JDBC connector for the SQL Server database from Microsoft.com.

4a2b Copy the JDBC connector jar file to the following folder:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Database Name</td>
<td>Specify the name of the database.</td>
</tr>
<tr>
<td>Database Driver</td>
<td>Select a driver from the list. The associated driver name is auto-populated. If you select Others (Unsupported), specify the driver name in the adjacent field.</td>
</tr>
<tr>
<td>Max Connections</td>
<td>Specify the maximum number of connections. By default, it is set to 20.</td>
</tr>
<tr>
<td>Idle TimeOut</td>
<td>Specify the idle timeout. By default, it is set to 600000 milliseconds. You can set this value based on the server setting. For example, if the server timeout is 600000 milliseconds, then the timeout value must not exceed 600000.</td>
</tr>
<tr>
<td>Connection TimeOut</td>
<td>Specify the connection timeout. By default, it is set to 10000 milliseconds. You can set this value based on the server setting.</td>
</tr>
<tr>
<td>Username</td>
<td>Specify the username used to read from the database.</td>
</tr>
<tr>
<td>Password</td>
<td>Specify the password used to read from the database.</td>
</tr>
<tr>
<td>Confirm Password</td>
<td>Specify the password again.</td>
</tr>
<tr>
<td>URL</td>
<td>Specify the database URL based on the database driver selected.</td>
</tr>
</tbody>
</table>
On Windows

- **Administration Console**: C:\Program Files (x86)\Novell\Tomcat\webapps\nps\WEB-INF\lib
- **Identity Server**: C:\Program Files (x86)\Novell\Tomcat\webapps\nidp\WEB-INF\lib

On Linux

- **Administration Console**: /opt/novell/nam/adminconsole/webapps/nps/WEB-INF/lib
- **Identity Provider**: /opt/novell/nam/idp/webapps/nidp/WEB-INF/lib

**4b For LDAP:**

eDirectory and Active Directory are supported.

**NOTE:** The SunONE directory is currently not supported.

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>LDAP Name</td>
<td>Specify a display name for the LDAP database.</td>
</tr>
<tr>
<td>Username</td>
<td>Specify the username used to read from the database.</td>
</tr>
<tr>
<td>Password</td>
<td>Specify the password used to read from the database.</td>
</tr>
<tr>
<td>Confirm Password</td>
<td>Specify the password again.</td>
</tr>
<tr>
<td>Directory Type</td>
<td>From the list, select the type of directory. If you select Others (Unsupported), specify a directory name in the adjacent field: sunonedir, custom1, custom2, custom3, custom4, others.</td>
</tr>
<tr>
<td>Max Connections</td>
<td>Specify the maximum number of connections. By default, it is set to 20.</td>
</tr>
<tr>
<td>LDAP Operation TimeOut</td>
<td>Specify the LDAP operation timeout. By default, it is set to 15000 milliseconds. You can set this value based on the server setting.</td>
</tr>
<tr>
<td>Idle Connection TimeOut</td>
<td>Specify the connection timeout. By default, it is set to 10000 milliseconds. You can set this value based on the server setting. For example, if the server timeout is 15000 milliseconds, then the LDAP timeout value must not exceed 15000.</td>
</tr>
<tr>
<td>IP Address</td>
<td>Specify the IP address of the LDAP directory.</td>
</tr>
</tbody>
</table>
### Setting Up a Basic Access Manager Appliance Configuration

**5 Test Connectivity**: Click Test to test the data source connection after specifying the details. You can also view the error logs at the following locations:

- **Linux**: `/opt/novell/nam/logs/adminconsole/tomcat/catalina.out`
- **Windows**: `\Program Files (x86)\Novell\Tomcat\logs\stdout.log`

**Editing a Data Source**

1. Click Devices > Identity Server > Shared Settings > Data Sources.
2. Click the data source you want to modify.
3. On the **Edit Data Source** page, modify the details as required.

**NOTE**: If you change the IP address of the LDAP data source, then, you must import the trusted root of the updated server to Identity Server trust store.

For more information about the fields on this page, see “Creating a Data Source” on page 73.

4. Click OK.
5. Update Identity Server.

**IMPORTANT**: You must update Identity Server every time you edit the properties of a data source that is being used by an attribute source and the attribute source in turn, being used by the virtual attribute.

### 3.5.8.5 Managing an Attribute Source

You can create, edit, or delete an attribute source.

**NOTE**: You cannot delete an attribute source that is being used by a virtual attribute.

This section discusses the following topics:

- “Creating an Attribute Source” on page 77
- “Editing an Attribute Source” on page 78
Creating an Attribute Source

1. Click Devices > Identity Server > Shared Settings > Virtual Attributes > Attribute Source.
2. Click + to add an attribute source.
3. Specify an attribute source Name.
4. Specify the description of the attribute source.
5. From the list, select the data source.
6. Click Step 1: Provide input parameters.
   6a Name: Specify a name for the attribute. You must specify the same name in the query field. The default value is %P1%.
   6b Parameter Value: Select an attribute from the list. The available options are: Server profile, LDAP, and shared secret attributes.
   6c Click Show / Add Test Values? to display the test value field. Specify a value in the Test value field.
   To provide additional parameter values, click +.

   NOTE: The attribute source does not support multi-valued inputs. If you input multiple values, only one value is picked for the calculation.
7. Click Step 2: Provide query and output parameters.
   For one attribute source, you can provide only one output parameter.
   7a Filter / Query: Specify an LDAP filter or a database query. The query must use the input details specified in the Step 1: Provide input parameters section.
   7b Filter / Query Output Parameters: Specify a name for the filter / query output. To add multiple output parameters, click Add.
      - In the case of an LDAP filter, you need to specify the exact name of the attribute that you want to fetch.
      - In the case of a database query, you can specify an alias for the attribute fetched. The order of the output parameters must match the sequence in which they are specified in the database query.
   7c Test: To test the input values based on the filter and output parameters, click Test. For security reasons, you are prompted to enter the data source credentials. The Test Result window displays the status along with the test results. You can also view the error logs at the following locations:
      - Linux: /opt/novell/nam/logs/adminconsole/tomcat/catalina.out
      - Windows: \Program Files (x86)\Novell\Tomcat\logs\stdout.log

LDAP Scenario:
Consider a scenario where you want to fetch an email address from the external LDAP directory for which the user’s LDAP attribute (from the external LDAP directory) UID matches with the local LDAP attribute cn.
To configure this, perform the following steps:
   1. In Step 1: Provide input parameters, select LDAP attribute: cn as a parameter value. Add input parameter %P1% and map it to the LDAP attribute.
2. In Step 2: Provide filter and output parameters:
   a. Specify the following query: 
      \( (&(objectclass=*)(uid=%P1%)) \)
   b. Specify the filter output name as email. email is the alias name given for the column email.

3. To test this configuration:
   a. In Step 1: Provide input parameters, select Show / Add Test Values? and provide the test value as admin.
   b. In Step 2: Provide filter and output parameters: Click Test. Enter the data source credentials.

   The test results return the email address stored in the directory: admin123@example.com.

Database Scenario:
Consider a scenario where you want to fetch an email address from the database for which the user's name matches with the local LDAP attribute cn.

1. In Step 1: Provide input parameters, select LDAP attribute: cn as a parameter value.
2. In Step 2: Provide query and output parameters:
   a. Specify the following query: 
      \( \text{select email from Emp where name = '%P1%'} \) (email and name is the column name and Emp is the table name)
   b. Specify the filter output name as mail (mail is the alias name given for the column email)

3. To test this configuration:
   a. In Step 1: Provide input parameters, select Show / Add Test Values? and provide a test value that represents a record in the column of the table.
   b. In Step 2: Provide query and output parameters: Click Test. Enter the data source credentials.

   The test results return the email address stored in the database: admin123@example.com.

Editing an Attribute Source

To edit the properties of an attribute source, perform the following steps:

1. Click Devices > Identity Server > Shared Settings > Virtual Attributes > Attribute Source.
2. Click the attribute source you want to modify.
3. On the Edit Attribute Source page, modify the details as required.
   For more information about the fields on this page, see “Creating an Attribute Source” on page 77.
4. Click OK. Update Identity Server.

IMPORTANT: If the attribute source is being used by a virtual attribute, you need to update Identity Server every time you edit the properties of an attribute source.

3.5.8.6 Managing a Virtual Attribute

You can create, edit, or delete a virtual attribute.

NOTE: You cannot delete a virtual attribute that is being used by an attribute set. Also, before deleting a virtual attribute, ensure that it is not being used by a policy.
Creating a Virtual Attribute

You can create a virtual attribute from an attribute source and an external data source.

1. Click **Devices > Identity Server > Shared Settings > Virtual Attributes > Virtual Attribute**.
2. Click + to create a virtual attribute.
3. Specify a name for the virtual attribute.
4. Enter the description.
5. Click **Step 1: Provide input > parameters**.
   5a. **Name**: Specify a name for the attribute. If you use advanced JavaScript option, then, you need to specify the same name in the advanced JavaScript field. The default value is P1.
   5b. **Parameter Value**: Select an attribute from the list. In addition to Server profile, LDAP, and shared secret attributes, attribute sources are also listed. To specify additional values, click +.

   **NOTE**: If an attribute source returns a null or an empty value, then the corresponding input parameter takes an empty string value.

5c. Click **Show / Add Test Values?** to display the test value field. Click + to add a test value. Click the edit icon to edit the test value. Click the delete icon to delete the test value.

6. Click **Step 2: Provide a modification function**.
   6a. **Select a function**: From the list, select a function. The corresponding JavaScript is displayed in the Script field. To view it, expand the script. You can further customize these scripts and use them in the Advanced JavaScript field.

The following table lists the pre-defined JavaScript functions along with examples:

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
<th>Example: Pre-Defined Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>To UpperCase</td>
<td>Converts the input value to upper case. This function works on arrays and single-valued input. It uses the following JavaScript function: <code>toUpperCase()</code></td>
<td>If P1=alice, then the result displays ALICE.</td>
</tr>
<tr>
<td></td>
<td>Works only on one input parameter that is selected in Step 1: Provide input parameters</td>
<td></td>
</tr>
<tr>
<td>Function</td>
<td>Description</td>
<td>Example: Pre-Defined Functions</td>
</tr>
<tr>
<td>-------------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>--------------------------------</td>
</tr>
<tr>
<td>To LowerCase</td>
<td>Converts the input value to lower case. This function works on arrays and single-valued input. It uses the following JavaScript function: toLowerCase()</td>
<td>If P1=ALICE, then the result displays alice.</td>
</tr>
<tr>
<td>Remove Substring</td>
<td>Removes a substring from all instances of the input value. This function does not remove a substring from the global option. This function works on arrays and single-valued input. It uses the following JavaScript function: split() and join()</td>
<td>If P1=<a href="mailto:a@microfocus.com">a@microfocus.com</a> Remove=@microfocus, then the result is a.com.</td>
</tr>
<tr>
<td>Find and Replace</td>
<td>Finds and replaces a string from all instances of the input value. Works only on one input parameter that is selected in Step 1: Provide input parameters</td>
<td>If P1=abcde Find=e Replace=a, then the result displays abcd</td>
</tr>
<tr>
<td>Regex Replace</td>
<td>Finds and replaces a substring from all instances of the input value by using a regular expression. For example, to search /, you must escape it first using . Use the following syntax: // This function works on arrays and single-valued input. It uses the following JavaScript functions: replace()</td>
<td>If P1=<a href="mailto:bob@novell.com">bob@novell.com</a> Find=@novell.com Replace=@microfocus.com The result displays: <a href="mailto:bob@microfocus.com">bob@microfocus.com</a></td>
</tr>
<tr>
<td>Function</td>
<td>Description</td>
<td>Example: Pre-Defined Functions</td>
</tr>
<tr>
<td>----------------------------</td>
<td>-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>--------------------------------</td>
</tr>
<tr>
<td>Find Subset by Regex</td>
<td>Use this function if an input is multi-valued and you want a subset of values from it, satisfying a particular condition by using a regular expression. This function works on arrays and single-valued input. It uses the following JavaScript function: replace(). Work only on one input parameter that is selected in Step 1: Provide input parameters.</td>
<td>If P1=&quot;<a href="mailto:a@novell.com">a@novell.com</a>, <a href="mailto:b@novell.com">b@novell.com</a>, <a href="mailto:c@microfocus.com">c@microfocus.com</a>, <a href="mailto:d@microfocushr.com">d@microfocushr.com</a>&quot; regex=/microfocus/ Then, the result displays: <a href="mailto:c@microfocus.com">c@microfocus.com</a>, <a href="mailto:d@microfocushr.com">d@microfocushr.com</a></td>
</tr>
<tr>
<td>Concatenate Values in a Parameter</td>
<td>Concatenates multiple values of a multi-valued input. You must add a separator between the values that you want to concatenate. Works only on one input parameter that is selected in Step 1: Provide input parameters.</td>
<td>If P1=abc, def Separator=+ Then, the result displays: abc+ def</td>
</tr>
<tr>
<td>Concatenate Multiple Parameters</td>
<td>Concatenates multiple input parameter values, where each input parameter can be multi-valued input. You must add a separator between the values that you want to concatenate.</td>
<td>If P1=abc, def and P2=123, 456 Parameter Separator=+ Multi value Separator=: Then, the result displays abc:def+123:456</td>
</tr>
<tr>
<td>Advanced JavaScript</td>
<td>Specify a customized JavaScript. In this field, you need to create a JavaScript function with name “main” and specify the code in it. You can write your custom code or you can also copy the existing pre-defined code. You can also call multiple functions in the “main” function.</td>
<td>See the “Sample JavaScripts with Examples” on page 83.</td>
</tr>
</tbody>
</table>

**NOTE**

- After JavaScript processing, if the output is a null value, then the value of the virtual attribute is empty.
- The pre-defined function can handle both single-valued and multi-valued inputs. If the input is multi-valued, then pre-defined function is applied on each of the values.
Advanced JavaScript:

Sample JavaScript:

```javascript
function main(P1, P2)
{
    //some logic
    //you can call yourFunction(name) and use its return value
    return some value;
}

function yourFunction(name)
{
    //some code
    //return some value;
}
```

In case of advanced JavaScript, the input parameter name in the main function of the JavaScript must match the input parameter name specified in the Step 1: Provide input parameters section. The return value can be a single value or an array.

When the input is multi-valued, it is passed as an array to the main function.

When Identity Server computes the value of a virtual attribute, it calls a function named “main” that is available in the script provided for it. The value (single value or array) returned by main is the value of the virtual attribute.

For example: Consider a scenario where P1 contains bmw and nissan, you can use the JavaScript instanceof function to check if the input is single-valued or multi-valued. If it is multi-valued, then JavaScript iterates over the values.

```javascript
function main (P1)
{
    if( P1 instanceof Array) {
        var a =P1[0]    //will assign 'bmw' value to variable a
        //do something
    }
}
```

The following code checks if an input parameter has a value, is empty or undefined:

```javascript
function main(P1){
    if(hasNoValue(P1))
    // do something
    return something;
}

function hasNoValue(P1){
    if(P1 == null || (typeof P1 == 'undefined') || P1.trim().length == 0)
    return true;
    else
    return false;
}
```

6b Test: To test the input values based on the modification function, click Test. To test multi-valued inputs, click the + button.

For example, if an attribute mail has two values: abc@example.com and def@example.com, then to test them, click the + button twice. In each field, add the values separately.

The Test Result window displays the status along with the test results. You can view the error logs at the following locations:

- **Linux:** /opt/novell/nam/logs/adminconsole/tomcat/catalina.out
- **Windows:** \Program Files (x86)\Novell\Tomcat\logs\stdout.log
7 Click OK.
8 Update Identity Server.

**Editing a Virtual Attribute**

1 Click Devices > Identity Server > Shared Settings > Virtual Attributes.
2 Click the virtual attribute you want to modify.
3 On the Edit Virtual Attribute page, modify the details as required.
   For more information about the fields on this page, see “Creating a Virtual Attribute” on page 79.
4 Click OK. Update Identity Server.

**IMPORTANT:** You must update Identity Server every time you edit the properties of a virtual attribute.

### 3.5.8.7 Sample JavaScripts with Examples

The following section provides sample JavaScripts with examples. These are used in the Virtual Attributes section.

**Use Case 1:**

Consider a scenario where a service provider wants to append PID with an attribute partnerId. For example: PID: P1.

To achieve this, fetch a user’s partnerId by using their existing “givenName” LDAP attribute (available from the logged in user store) from the external LDAP repository. Now, and add a string “PID:” to it. Later, send the value in web servers through the Identity injection policy.

**Solution:** The solution is as follow:

**Creating a Data Source:**

1 Configure an LDAP data source with name "dsLdap". Specify the connection properties. Test the connection.
2 Import the secure LDAP certificate to Identity Server trust store using the create Data Source screen.
3 Click Update All to update Identity Servers.

**Creating an Attribute Source:**

1 Click Devices > Identity Server > Shared Settings > Virtual Attributes > Attribute Source. Create an attribute source with name "dsLdapAttrSrc".
2 Select data source name "dsLdap".
3 Add input parameter %P1%. Map it to the LDAP attribute: givenName.
4 Add a Filter: name=%P1%.
5 Add output parameter: partnerID
6 Test Filter: Test the input values.
Creating a Virtual Attribute:

1. Click Devices > Identity Server > Shared Settings > Virtual Attributes > Virtual Attribute. Create a virtual attribute with name "partnerID".

2. Add input parameter P1. Map it to dsLdapAttrSrc:partnerID (the attribute source that you created in Step 1 of the creating an Attribute Source section).

3. In Step 2: Provide query and output parameters, specify the following script:

   ```javascript
   function main(P1){
       return "PID:"+P1;
   }
   ```

4. Test the script. The results return: PID: P1. For example, if partnerID=part123, then, the test result is PID:part123.

5. Update Identity Server.

6. Use it in the Identity injection policy.

Use Case 2:

Consider a scenario where, if the authenticated user is a manager and has administrator rights to a protected human resource application, then, when he accesses this application, his roles must be passed to the application.

In this scenario, the manager has a local LDAP attribute isManager and has roles of a recruiter and an employee. He also has a local LDAP attribute groupmembership, which contains the string "admins" (for example: adminsRecruitmentDep, adminsPoliciesDep).

Solution:

Create a virtual attribute: App1Admin.

In Step 1: Provide input parameters, select the following input parameters:

- P1: is mapped to LDAP attribute isManager
- P2: is mapped to LDAP attribute groupmembership
- P3: is mapped to LDAP attribute role value

Use the following code in the Step 2: Provide a modification function > Advanced Javascript:

```javascript
function main(P1, P2, P3){
    if(P1 == 'true' && (/admins/i.test(P2) == true)){
        return P3;
    }else{
        return 'NA';
    }
}
```

To test the JavaScript, click the + and add multiple test values. Specify the following test values:

- P1: true
- P2: adminsRecruitmentDep
- P3: recruiter,employee

Output: The output is a multi-valued virtual attribute recruiter,employee.

Explanation: In the function, /admins/i.test(P2) == true, /admins/i is a regular expression and test is a JavaScript in-built function. This function tests the regular expression in the string passed as the input parameter. The function returns true if the string contains the required pattern.
Use Case 3:

Consider a scenario where, an Access Manager user wants to access Amazon Web Services (AWS). AWS has multiple roles and each AWS role can have various access rights or policies assigned to it. Based on the level of access, you can access authorized Amazon services. This information about roles must be passed dynamically by Access Manager to AWS to provide single sign-on to the Access Manager user.

For more information about AWS configuration, see Section 5.2.12, “Integrating Amazon Web Services with Access Manager,” on page 551.

In this scenario, you have a constant value created using <Role ARN, Trusted SAML Provider ARN> mapped to Remote AWS attribute Role (this value is the AWS format).

Let suppose you have configured the following roles in AWS: admin, finance. The role ARNs are:

- For admin: arn:aws:iam::638116851885:role/admin
- For finance: arn:aws:iam::638116851885:role/finance

For admin role, pass the following: arn:aws:iam::638116851885:role/admin,arn:aws:iam::638116851885:saml-provider/NAMIDP

For finance role, pass the following: arn:aws:iam::638116851885:role/finance,arn:aws:iam::638116851885:saml-provider/NAMIDP

In this example, to dynamically generate the AWS role, use the LDAP attribute Department Name in the user store. For the admin user, the department name is admin. For the finance user, the department name is finance. To make department name available as an LDAP attribute, ensure that you enable personal profile. Click Identity Servers > Edit > Liberty > Web Service Provider.

Solution:

Create a virtual attribute with the following information:

When the user logs in, the department name (finance) is fetched for the respective user and appended with the constant value of the role ARN. This value is then concatenated with the trusted SAML provider ARN in the following format: arn:aws:iam::638116851885:role/admin,arn:aws:iam::638116851885:saml-provider/NAMIDP

Map this virtual attribute with the AWS Remote Attribute role.

In Step1: Provide input parameters, select P1 parameter value as Department Name (Personal Profile).

Use the following code in the Step 2: Provide a modification function > Advanced Javascript:

```javascript
function main(P1){
    var role_arn='arn:aws:iam::638116851885:role/';
    var provider_arn=',arn:aws:iam::638116851885:saml-provider/MyIDP_184-142';
    var aws_role;
    aws_role = role_arn+P1+provider_arn;
    return aws_role;
}
```

To test the JavaScript, click the + and add multiple test values. Specify the test value of P1: finance.


Use Case 4:
Consider a scenario where, for a service provider - cloudsp, you want to send the groups associated with the user to the service provider. However, you only want to send the groups relevant to that service, and not the complete group DN. Check for a function that checks if the group cn starts with “cloudsp”. If available, send it to the group cn.

In this scenario, the cn of the groups relevant to cloudsp start with “cloudsp” (for example: “cn=cloudspgroupa,ou=group,o=mycompany”) So, when a cloudsp user authenticates at the Identity provider, you need to extract all the cn values from the local LDAP attribute `groupMembership` and filter only those names starting with cloudsp and send it in assertion to cloudsp.

**Solution:**

In **Step 1: Provide input parameters**, select P1 as an attribute which has the groups.

Use the following code in the **Step 2: Provide a modification function > Advanced Javascript**:

```javascript
function main( P1 ){
    return mapGroups(P1);
}

function mapGroups(attribute){
    var result = [];
    if(attribute instanceof Array){
        var j = 0;
        for(var i=0; i<attribute.length; i++){
            var grp = checkGroup(attribute[i]);
            if( grp != 'NA')
                result[j++] = grp;
        }
    }else{
        var grp = checkGroup(attribute);
        if( grp != 'NA')
            result[0] = grp;
    }
    return result;
}

function checkGroup(group){
    if(/^cn=cloudsp\.*,/.test(group) == true){
        var startindex = 3; // it starts with cn
        var endindex = group.indexOf(",");
        return group.substring( startindex, endindex);
    }else
        return 'NA';
}
```

To test the JavaScript, click the + and add multiple test values. Specify the test values:

- `cn=cloudspgroupa,ou=group,o=mycompany`
- `cn=cloudspgroupb,ou=group,o=mycompany`
- `cn=cloudspgroupk,ou=group,o=mycompany`
- `cn=testgroupa,ou=group,o=mycompany`

**Output:**

- cloudspgroupa
- cloudspgroupb
- cloudspgroupk

**Explanation:**
The JavaScript in-built string function substring is used to extract the cn value from the group. ^cn=cloudsp.*/.test(group) is a regular expression which matches a string that starts with cloudsp. It has 0 or more characters followed by a comma (,)

**Use Case 5:** (utility function reuse test case) Consider a scenario where, the Identity provider roles are in the format companyX:rolename, but a service provider abc wants the roles in the following format: rolename and is in upper case.

To achieve this, remove 'companyX:' from each role and convert each of them into upper case for sending them to the protected web server. Each role is specified as companyX:rolename.

For example: companyX:admin, companyX:guest.

**Solution:**

In Step 1: Provide input parameters, select P1: All Roles.

Use the following code in the Step 2: Provide a modification function > Advanced Javascript:

Copy the JavaScript from the following pre-defined functions: Remove Substring and To upperCase.

**Remove Substring function:**

```javascript
function findReplace(attribute, findString, replaceString){
    var result;
    if(attribute instanceof Array){
        result = [];
        for(var i=0; i<attribute.length; i++){
            result[i] = attribute[i].split(findString).join(replaceString);
        }
    }else{
        result = attribute.split(findString).join(replaceString);
    }
    return result;
}
```

**To upperCase function:**

```javascript
function convertToUpperCase (attribute){
    var result;
    if(attribute instanceof Array){
        result = [];
        for(var i=0; i<attribute.length; i++)
            result[i] = attribute[i].toUpperCase();
    }else{
        result = attribute.toUpperCase();
    }
    return result;
}
```

Now, customize the code. In the **Substring to remove** parameter for findReplace (), specify companyX:
function main(P1){
    return convertToUpperCase(findReplace(P1, 'CompanyX:'));
}

function findReplace(attribute, findString, replaceString){
    var result;
    if(attribute instanceof Array){
        result = [];
        for(var i=0; i<attribute.length; i++){
            result[i] = attribute[i].split(findString).join(replaceString);
        }
    }else{
        result = attribute.split(findString).join(replaceString);
    }
    return result;
}

function convertToUpperCase(attribute){
    var result;
    if(attribute instanceof Array){
        result = [];
        for(var i=0; i<attribute.length; i++)
            result[i] = attribute[i].toUpperCase();
    }else{
        result = attribute.toUpperCase();
    }
    return result;
}

To test the JavaScript, add the following test values in P1: 'companyX:admin', 'companyX:guest'. Output: ADMIN, GUEST.

Use Case 6:

Consider a scenario where you do not want to modify an attribute value that is retrieved from an external source. To send the same attribute value in the assertion to a federated provider or in the policies, perform the following:

Click Devices > Identity Server > Shared Settings > Virtual Attributes > Virtual Attribute.

In Step 1: Provide input parameters, select P1 and map it to an attribute retrieved from an external source.

In Step 2: Provide a modification function, select Advanced JavaScript and specify the following script:

function main(P1){
    return P1;
}

Test the script. The results returns the value of the attribute source specified as P1.

Update Identity Server.

3.5.8.8 Troubleshooting User Attribute Retrieval and Transformation

For troubleshooting information, see Section 30.12, "Troubleshooting User Attribute Retrieval and Transformation," on page 1118.
3.5.9 Specifying Advanced Authentication Server Details

To specify the Advanced Authentication server details, perform the following:


2. Proceed with Section 5.3.3, “NetIQ Advanced Authentication,” on page 579 to create Advanced Authentication classes.

3.6 Configuring Access Gateway

The basic Access Gateway configuration procedures consists of the following tasks:

- Section 3.6.1, “Configuring a Reverse Proxy,” on page 89
- Section 3.6.2, “Configuring a Public Protected Resource,” on page 91
- Section 3.6.3, “Setting Up Policies,” on page 92

3.6.1 Configuring a Reverse Proxy

You can protect your web services by creating a reverse proxy. A reverse proxy acts as the front end to your web servers in your DMZ or on your intranet. It off-loads frequent requests, thereby freeing up bandwidth and web server connections. It also increases security because the IP addresses and DNS names of your web servers are hidden from the Internet. A reverse proxy can be configured to protect one or more proxy services. To configure Access Gateway, you can create a new configuration as described in this section.

To create a reverse proxy, you must create at least one proxy service with a protected resource. You must supply a name for each of these components. Reverse proxy names and proxy service names must be unique to Access Gateway because they are configured for global services such as IP addresses and TCP ports. For example, if you have a reverse proxy named products and another reverse proxy named library, only one of these reverse proxies can have a proxy service named corporate.

You can also modify the existing default NAM-RP to match your requirement. The Access Manager Appliance has a default SSL-enabled reverse proxy (NAM-RP). The reverse proxy is associated with a self-signed certificate, which is created during installation of the primary Access Manager Appliance. To modify the default NAM-RP, click Devices > Access Gateways > Edit > NAM-RP in Administration Console. The default proxy service is NAM-Service. You cannot delete this proxy service and base service. You can modify, enable, disable, rename, and delete the Path-Based Multi-Homing (PBMH), which is created under this proxy service. You can create a new PBMH or Domain-Based Multi-Homing (DBMH) under NAM-service. You can also create a new protected resource, which you can assign to the newly created PBMH or DBMH. The protected resource, which are not greyed out can also be used to add, delete, modify, enable, and disable paths.

Protected resource names need to be unique to the proxy service, but they don’t need to be unique to Access Gateway because they are always accessed through their proxy service. For example, if you have a proxy service named account and a proxy service named sales, they both can have a protected resource named public.
This first reverse proxy is used for authentication. You need to configure the proxy service to use the DNS name of Access Gateway as its Published DNS Name, and the web server and the resource on that web server need to point to the page you want displayed to the users when they first access your web site. You can use Access Gateway configuration options to allow this first page to be a public site with no authentication required until the users access the links on the page, or you can require authentication on this first page. Complete the following configuration steps to first configure a protected resource as a public resource and then to modify the configuration to require authentication.

2. In Reverse Proxy List, click New, specify a display name for the reverse proxy, then click OK.
3. Enable a listening address.
   - Listening Address(es): A list of available IP addresses. If the server has only one IP address, only one is displayed and it is automatically selected. If the server has multiple addresses, you can select one or more IP addresses to enable. You must enable at least one address.
   - TCP Listen Options: Options for configuring how requests are handled. You cannot set up listening options until you create a proxy service.
4. Ignore the SSL configuration options.
   - This basic configuration does not set up SSL. For SSL information, see Chapter 17, “Enabling SSL Communication,” on page 875.
5. Configure a listening port.
   - Non-Secure Port: Select 80 that is the default port for HTTP.
   - Secure Port: This is the HTTPS listening port. This port is unused and cannot be configured until you enable SSL.
7. Specify the following details:
3.6.2 Configuring a Public Protected Resource

The first protected resource in discussed in this configuration is configured to be a public resource.

1. In Proxy Service List, click [Name of Proxy Service] > Protected Resources.
2. In Protected Resource List, click New, specify a name for the resource, and click OK.
3. In the Contract field, select None.
   The Contract field must be set to None. This is makes this resource a public resource.
4. Configure URL Path List.
   The default path is /*, which allows access to everything on the web server. Modify this if you need to restrict access to a specific directory on your web server.
   - To delete the default path, select the check box next to the path, then click Delete.
   - To edit a path in the list, click the path, modify it, then click OK.
   - To add a path, click New, specify the path, then click OK. For example, to allow access to the pages in the public directory on the web server, specify the following path:

   /public/*

5. Click OK.
6. In the Protected Resource List, verify that the protected resource you created is enabled, then click OK.
7. Click Devices > Access Gateways.
8 Click Update > OK.

The system sends configuration changes to the server and writes the configuration to the configuration data store. When the update has completed successfully, the server returns the status of Current.

To save the changes to the configuration store without applying them, do not click Update. Instead, click Edit. If you have pending configuration settings, the OK button is active, and the configuration page indicates which services will be updated. Click OK to save these changes to the configuration store. The changes are not applied until you Update on Access Gateways page.

9 To update Identity Server to establish the trust relationship with Access Gateway, click Devices > Identity Servers > Update > OK.

Wait until the Command status is Complete and the Health status is green.

10 (Optional). To test this configuration from a client browser, specify the published DNS name as the URL in the browser. In the example illustrated in Figure 3-2 on page 53, specify the following URL:

http://www.mytest.com

This must resolve to the published DNS name you specified in Step 7 on page 90, and the user must be connected to the web server through Access Gateway.

IMPORTANT: You must not modify the default NAM-Service proxy service.

3.6.3 Setting Up Policies

Access Gateway lets you retrieve information from your LDAP directory and inject the information into HTML headers, query strings, or basic authentication headers. Access Gateway can then send this information to the back-end web servers. Access Manager calls this technology Identity Injection.

This is one of the features within Access Manager that enables single sign-on. Users are prompted for the login credentials for one time, and Access Manager then supplies them for the resources you have configured for Identity Injection.

This section explains how to set up an Identity Injection policy for basic authentication. This policy is assigned to the third directory on your web server, which is the basic directory that your web server has been configured to require basic authentication before allowing access.

1 Click Devices > Access Gateways > Edit > [Reverse Proxy Name] > [Proxy Service Name] > Protected Resources > New.

2 Configure the resource for the basic directory as described in Section 3.2, “Prerequisites for Setup,” on page 53:

2a For the contract, select Name/Password - Basic or Name/Password - Form.

2b For the URL path, specify the path to the basic directory (/basic/*).

2c Click OK.

3 Click [Protected Resource Name] > Identity Injection.

On a new installation, the list is empty because no policies have been created.

4 In the Identity Injection Policy List section, click Manage Policies.

5 In the Policy List section, click New, then specify values for the following fields:

   Name: Specify a name for the Identity Injection policy.
   Type: Select Access Gateway: Identity Injection.
6 Click OK.
7 (Optional) Specify a description for the policy.
8 In the Actions section, click New > Inject into Authentication Header.
9 Set up the policy for User Name and Password:
   - For User Name, select Credential Profile and LDAP Credentials: LDAP User Name. This injects the value of the cn attribute into the header.
   - For Password, select Credential Profile and LDAP Credentials: LDAP Password.

The policy must look similar to the following:

<table>
<thead>
<tr>
<th>Type:</th>
<th>Access Gateway: Identity Injection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description:</td>
<td>Authentication header policy</td>
</tr>
<tr>
<td>Priority:</td>
<td>1</td>
</tr>
</tbody>
</table>

**Actions**

<table>
<thead>
<tr>
<th>New</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do</td>
</tr>
<tr>
<td>Inject into Authentication Header</td>
</tr>
<tr>
<td>User Name: Credential Profile       : LDAP User Name</td>
</tr>
<tr>
<td>Password: Credential Profile       : LDAP Password</td>
</tr>
<tr>
<td>Multi-Value Separator: ,</td>
</tr>
<tr>
<td>DN Format: LDAP (ex, cn=jsmith,ou=Sales,o=Novell)</td>
</tr>
</tbody>
</table>

Changes made on this panel must be applied from the Policies Panel.

10 Click OK > OK > Apply Changes > Close.
11 Select the new Identity Injection policy, then click Enable > OK.
12 Click Devices > Access Gateways > Update > OK.
13 To test this configuration from a client browser, specify the published DNS name as the URL in the browser. Click the link to the page that uses basic authentication.

You are prompted to log in. If you have set up web applications on your web server that require login, any additional login prompts are hidden from the user and are handled by the identity injection system.

For an example of how Identity Injection policies can be used for single sign-on to the Identity Manager User Application, see “Configuring Access Manager for UserApp and SAML” (http://www.novell.com/coolsolutions/appnote/19981.html).

### 3.7 Access Gateways Clusters

Most of the configuration tasks are same for a single Access Gateway and a cluster of Access Gateways.
3.7.1 Managing Access Gateway Cluster Configuration

This section describes the tasks that are specific to managing the servers in a cluster:

- Section 3.7.1.1, “Managing Cluster Details,” on page 94
- Section 3.7.1.2, “Editing Cluster Details,” on page 94
- Section 3.7.1.3, “Applying Changes to Access Gateway Cluster Members,” on page 95

3.7.1.1 Managing Cluster Details

Use the Cluster Details page to perform general maintenance actions on the selected cluster and to display server information about the selected cluster.

1. Click Devices > Access Gateways > [Cluster Name].
2. View the following fields:
   - **Name**: Specifies the name of the cluster.
   - **Description**: Specifies the purpose of the cluster. This is optional, but useful if your network has multiple Access Gateway clusters. If the field is empty, click Edit to add a description.
   - **Primary Server**: Indicates which server in the cluster has been assigned to be the primary server.
3. To modify the information, click Edit. For more information, see “Editing Cluster Details” on page 94.
4. To select a different Access Gateway to be the primary cluster member, click Edit.
5. To modify details about a cluster member, click the server name in the Cluster member list.
6. Click Close.

3.7.1.2 Editing Cluster Details

Use the Cluster Detail Edit to change the name of the cluster and assign a different server to be the primary cluster member.

2. Modify the following fields:
   - **Name**: Specify a name for the cluster.
   - **Description**: Specify the purpose of the cluster. This is optional, but useful if your network has multiple Access Gateway clusters.
   - **Primary Server**: Indicates which server in the cluster has been assigned to be the primary server. To change this assignment, select the server from the drop-down list.
3. Click OK.
3.7.1.3 Applying Changes to Access Gateway Cluster Members

When you are configuring services of Access Gateway, the OK button saves the change to browser cache except on the Configuration page. The Configuration page (Devices > Access Gateways > Edit) provides a summary of the changes you have made. The Cancel Change column allows you to cancel changes to individual services. When you click OK, the changes are saved to the configuration datastore, and you no longer have the option to cancel changes to individual services.

If you don't save the changes to the configuration datastore and your session times out or you log out, any configuration changes that are saved to browser cache are flushed. These changes cannot be applied to other members of the cluster because they are no longer available. To prevent this from happening, save the changes to the configuration datastore.

It is especially important to save the changes to the configuration datastore when you select to update individual members one at a time rather than update all members of the cluster at the same time. Updating members one at a time has the following benefits:

- When you update all servers at the same time, the site goes down until one server has finished updating its configuration. If you update the cluster members one at a time, only the member that is updating its configuration becomes unavailable.
- If you update the servers one at time, you can verify that the changes are behaving as expected. After testing the configuration on one server, you can then apply the saved changes to the other servers in the cluster. If you decide that the configuration changes are not behaving as expected, you can revert to the previously applied configuration. See “Reverting to a Previous Configuration” on page 95.

Some configuration changes cannot be applied to individual cluster members. For a list of these changes, see "Modifications Requiring an Update All" on page 95.

Reverting to a Previous Configuration

If you have updated only one server in the cluster, you can use the following procedure to revert back to the previous configuration.

1 Remove the server that you have applied the configuration changes from the cluster.
2 Access the Configuration page for the cluster, then click Revert.
   The servers in the cluster revert to the last applied configuration.
3 Add the removed server to the cluster.
   The server is configured to use the same configuration as the other cluster members.

Modifications Requiring an Update All

When you make the following configuration changes, the Update All option is the only option available and your site is unavailable while the update occurs:

- If you change Identity Server configuration that is used for authentication (Access Gateways > Edit > Reverse Proxy/Authentication, then select a different value for the Identity Server Cluster option).
- If you select a different reverse proxy to use for authentication (Access Gateways > Edit > Reverse Proxy/Authentication, then select a different value for the Reverse Proxy option).
If you modify the protocol or port of the authenticating reverse proxy (Access Gateways > Edit > Reverse Proxy/Authentication > [Name of Reverse Proxy], then change the SSL options or the port options).

If you modify the published DNS name of the authentication proxy service (Access Gateways > Edit > Reverse Proxy/Authentication > [Name of Reverse Proxy] > [Name of First Proxy Service], then modify the Published DNS Name option).

3.8 Protecting Web Resources Through Access Gateway

Access Gateway is a reverse proxy server (protected site server) that restricts access to web-based content, portals, and web applications that employ authentication and access control policies. It also provides single sign-on to multiple web servers and web applications by securely providing the credential information of authenticated users to the protected servers and applications. Access Gateway lets you simplify, secure, and accelerate your Internet business initiatives.

This section describes the following tasks:

- Section 3.8.1, “Configuration Options,” on page 96
- Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98
- Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104
- Section 3.8.4, “Configuring Protected Resources,” on page 106
- Section 3.8.5, “Configuring HTML Rewriting,” on page 117
- Section 3.8.6, “Configuring Connection and Session Limits,” on page 135
- Section 3.8.7, “Protecting Multiple Resources,” on page 139

3.8.1 Configuration Options

A typical Access Manager Appliance configuration includes an Identity Server with LDAP directories and an Access Gateway with a protected web server. Figure 3-3 illustrates the process flow that allows an authorized user to access the protected resource on the web server.

Figure 3-3  Accessing a Web Resource

1. The user requests access to a resource protected by Access Gateway.
2. Access Gateway redirects the user to Identity Server, which prompts the user for a username and password.

3. Identity Server verifies the username and password against an LDAP directory (eDirectory, Active Directory, or Sun ONE).

4. Identity Server returns an authentication success to the browser and the browser forwards the resource request to Access Gateway.

5. Access Gateway verifies that the user is authenticated and retrieves the user’s credentials from Identity Server.

6. Access Gateway uses an Identity Injection policy to insert the basic authentication credentials in the HTTP header of the request and sends it to the web server.

7. The web server grants access and sends the requested page to the user.

When you are setting up Access Gateway to protect web resources, you create and configure reverse proxies, proxy services, and protected resources. The following figure illustrates the hierarchy of these modules and the major configuration tasks you perform on each module.

*Figure 3-4 Access Gateway Modules and Their Configuration Options*

<table>
<thead>
<tr>
<th>Module Hierarchy</th>
<th>Configuration Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reverse Proxy</td>
<td>Listening Address &amp; Port, SSL Requirements</td>
</tr>
<tr>
<td>Proxy Service</td>
<td>Web Servers, Caching, HTML Rewriting, Logging</td>
</tr>
<tr>
<td>Protected Resource</td>
<td>URLs, Authentication Contracts and Procedures, Authorization, Identity Injection, Form Fill</td>
</tr>
</tbody>
</table>

This hierarchy allows you to have precise control over what is required to access a particular resource, and also allows you to provide a single sign-on solution for all the resources protected by Access Gateway. The authentication contract, authentication procedure, Authorization policy, Identity Injection policy, and Form Fill policy are configured at the resource level so that you can enable exactly what the resource requires. This allows you to decide where access decisions are made:

- You can configure Access Gateway to control access to the resource.
- You can configure the web server for access control and configure Access Gateway to supply the required information.
- You can use the first method for some resources and the second method for other resources or use both methods on the same resource.
3.8.2 Managing Reverse Proxies and Authentication

A reverse proxy acts as the front end to your web servers on your Internet or intranet and off-loads frequent requests, thereby freeing up bandwidth. The proxy also increases security because the IP addresses of your web servers are hidden from the Internet.

To create a reverse proxy, you must create at least one proxy service with a protected resource. You must supply a name for each of these components. Reverse proxy names and proxy service names must be unique to Access Gateway because they are configured for global services such as IP addresses and TCP ports. For example, if you have a reverse proxy named *products* and another reverse proxy named *library*, only one of these reverse proxies can have a proxy service named *corporate*.

Protected resource names need to be unique to the proxy service, but they don’t need to be unique to Access Gateway because they are always accessed through their proxy service. For example, if you have a proxy service named *account* and a proxy service named *sales*, they both can have a protected resource named *public*.

The first reverse proxy and proxy service you create are automatically assigned to be the authenticating proxy.

1. Click **Devices > Access Gateways > Edit.**
   
   The *Edit* link is either for a single Access Gateway or for a cluster of Access Gateways.

2. Click **Reverse Proxy / Authentication.**

3. (Conditional) If you have already created at least one reverse proxy, you can view the Embedded Service Provider options and configure some of them:

   - **Reverse Proxy**: Specifies which proxy service is used for authentication. If you have configured only one proxy service, only one appears in the list and it is selected. If you change the reverse proxy that is used for authentication, certificates must be updated to match this new configuration.

   - **Metadata URL**: Displays the location of the metadata.

   - **Health-Check URL**: Displays the location of the health check.

   - **Logout URL**: Displays the URL that you need to use for logging users out of protected resources. This value is empty until you have created at least one reverse proxy and it has been assigned to be used for authentication. If you create two or more reverse proxies, you can select which one is used for authentication, and the logout URL changes to match the assigned reverse proxy.

   If any of your protected resources have a logout page or button, you need to redirect the user’s logout request to the page specified by this URL. Access Gateway can then clear the user’s session and log the user out of any other resources that have been enabled for single sign-on. If you do not redirect the user’s logout request, the user is logged out of one resource, but the user’s session remains active until inactivity closes the session. If the user accesses the resource again before the session is closed, single sign-on reauthenticates the user to the resource, and it appears that the logout did nothing.

   - **ESP Global Options**: Allows you to configure global options for ESP. For more information, see “Configuring ESP Global Options” on page 102.

   - **Auto-Import Identity Server Configuration Trusted Root**: Allows you to import the public key from Identity Server cluster into the trust store of the Embedded Service Provider. This sets up a trusted SSL relationship between the Embedded Service Provider and Identity Server. This option is not available until you have selected an **Identity Server Cluster** and have configured...
the use of SSL on the Embedded Service Provider of the reverse proxy that is performing authentication (see the Enable SSL with Embedded Service Provider option on the Reverse Proxy page).

If Identity Server cluster is using a certificate created by the Access Manager certificate authority (CA), the public key is automatically added to this trust store, so you do not need to use this option. If Identity Server cluster is using a certificate created by an external CA, you need to use this option to import the public key into the trust store.

4 (Optional) Configure the proxy settings:

**Behind Third Party SSL Terminator:** Enable this option if you have installed an SSL terminator between the users and Access Gateway. This allows the terminator to handle the SSL traffic between the browsers and the terminator. The terminator and Access Gateway can use HTTP for their communication.

**Enable Via Header:** Enables the sending of the Via header to the web server. The Via header contains the DNS name of Access Gateway and a device ID. It has the following format:

Via: 1.1 www.mymag.com (Access Gateway-ag-BFBA9849520DB63B-5)

Deselect this option when your web server does not need this information or does not know what to do with it.

5 (Optional) Configure the cookie settings:

For more information and other options for securing Access Manager cookies, see Section 10.6, “Enabling Secure Cookies,” on page 837.

**Enable Secure Cookies:** Enabling this option sets secure keyword on HTTPS request. If you have enabled the Behind Third Party SSL Terminator option and also enabled the Enable Secure Cookies option, the secure keyword on HTTP and HTTPS requests are set.

**WARNING:** Do not enable the Enable Secure Cookies option if you have both HTTP and HTTPS reverse proxies. The HTTP services become unavailable because authentication requests to the non-HTTP services fail.

**Force HTTP-Only Cookie:** Forces Access Gateway to set the HttpOnly keyword, which prevent scripts from accessing the cookie. This helps protect browsers from cross-site scripting vulnerabilities that allow malicious sites to grab cookies from a vulnerable site. The goal of such attacks might be to perform session fixation or to impersonate the valid user.

**IMPORTANT:** The HttpOnly keyword can prevent applets from loading and can interfere with JavaScript. Do not enable this option if you have Access Gateway protecting applications that download applets or use JavaScript.

6 To create a proxy service, continue with “Creating a Proxy Service” on page 99.

### 3.8.2.1 Creating a Proxy Service

1 Click Devices > Access Gateways > Edit > Reverse Proxy / Authentication.

2 In the Reverse Proxy List, click New, specify a display name for the reverse proxy, then click OK.

3 Enable a listening address. Fill in the following fields:

**Cluster Member:** (Available only if Access Gateway is a member of a cluster.) Select the server you want to configure from the list of servers. The Listening Address(es) and TCP Listen Options modifications apply to the selected server. Modifications made to any other options on the page apply to all servers in the cluster.
Listening Address(es): Displays a list of available IP addresses. If the server has only one IP address, only one is displayed and it is automatically selected. If the server has multiple addresses, you can select one or more IP addresses to enable. You must enable at least one address by selecting its check box.

If Access Gateway is in a cluster, you must select a listening address for each cluster member.

TCP Listen Options: Provides options for configuring how requests are handled between the reverse proxy and the client browsers. You cannot set up the listening options until you create and configure a proxy service. For information about these options, see “Configuring TCP Listen Options for Clients” on page 136.

4 Configure the listening ports:

Non-Secure Port: Specifies the port on which to listen for HTTP requests; the default port for HTTP is 80. Depending upon your configuration, this port might also handle other tasks. These tasks are listed to the right of the text box.

Secure Port: Specifies the port on which to listen for HTTPS requests; the default port for HTTPS is 443.

For information about the SSL options, see “Configuring Access Gateway for SSL” on page 875.

5 In the Proxy Service List section, click New.

The first proxy service of a reverse proxy is considered the master (or parent) proxy. Subsequent proxy services can use domain-based, path-based, or virtual multi-homing, relative to the published DNS name of the master proxy service. If you are creating a second proxy service for a reverse proxy, see “Using Multi-Homing to Access Multiple Resources” on page 139.

6 Fill in the fields:

Proxy Service Name: Specify a display name for the proxy service, which Administration Console uses for its interfaces.

Published DNS Name: Specify the DNS name you want the public to use to access your site. This DNS name must resolve to the IP address you set up as the listening address.

Web Server IP Address: Specify the IP address of the web server you want this proxy service to manage. You can specify additional web server IP addresses by clicking the Web Server Addresses link when you have finished creating the proxy service.

Host Header: Specify whether the HTTP header must contain the name of the back-end web server (Web Server Host Name option) or whether the HTTP header must contain the published DNS name (the Forward Received Host Name option).

Web Server Host Name: Specify the DNS name of the web server that Access Gateway must forward to the web server. If you have set up a DNS name for the web server and it requires its DNS name in the HTTP header, specify that name in this field. If the web server has absolute links referencing its DNS name, include this name in this field. If you selected Forward Received Host Name, this option is not available.

NOTE: For iChain administrators, the Web Server Host Name is the alternate hostname when configuring a Web Server Accelerator.

7 Click OK.

8 Continue with "Configuring a Proxy Service" on page 101 or select one of the following tasks:

- For information about how to create multiple reverse proxies, see "Managing Multiple Reverse Proxies" on page 148.
- For information about how to create multiple proxy services for a reverse proxy, see “Using Multi-Homing to Access Multiple Resources” on page 139.
3.8.2.2 Configuring a Proxy Service

A reverse proxy can have multiple proxy services, and each proxy service can protect multiple resources. You can modify the following features of the proxy service:

- Web servers
- HTML rewriting
- Logging
- Protected resources
- Caching

1. To configure a proxy service, click Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service].

2. Fill in the following fields:
   - **Published DNS Name**: Displays the value that users are currently using to access this proxy service. This DNS name must resolve to the IP address you set up as a listening address on Access Gateway. You must modify this field only if you have modified the DNS name you want users to use to access this resource. This name determines the possible values of the Cookie Domain.
   - **Description**: (Optional). Provides a field where you can describe the purpose of this proxy service or specify any other pertinent information.
   - **Cookie Domain**: Specifies the domain for which the cookie is valid.
     If one proxy service has a DNS name of www.support.novell.com and the second proxy service has a DNS name of www.developernet.novell.com, the cookie domains are support.novell.com for the first proxy service and developernet.novell.com for the second proxy service. You can configure them to share the same cookie domain by selecting novell.com for each proxy service. Single sign-on between the proxy services is simplified when the proxy services share the same cookie domain.
   - **HTTP Options**: Allows you to set up custom caching options for this proxy service. See Section 4.3.2, “Controlling Browser Caching,” on page 265.
   - **Advanced Options**: Access Gateway Service) Specifies how the proxy service handles specific conditions, such as web server error pages. If similar options are configured globally, the proxy service configuration overwrites the global setting. For configuration information on the proxy service options, see Section 4.4.2, “Configuring Advanced Options for Domain-Based and Path-Based Multi-Homing Proxy Services,” on page 278.

3. Click **OK** to save your changes to browser cache.


5. To apply your changes, click **Update** > **OK**.

   Until this step, nothing has been permanently saved or applied. The **Update** status pushes the configuration to the server and writes the configuration to the configuration data store. When the update has completed successfully, the server returns the status of **Current**.

   To save the changes to the configuration store without applying them, do not click **Update**. Instead, click **Edit**. On the Configuration page, click **OK**. The **OK** button on this page saves the cached changes to the configuration store. The changes are not applied until you click **Update** on Access Gateways page.

6. Update Identity Server to accept the new trusted relationship. Click **Identity Servers > Update**.
7 Continue with one of the following.
   • If the web server that contains the resources you want to protect does not use the standard HTML port (port 80), you need to configure the web server. See Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104.
   • Until you configure a protected resource, the proxy service blocks access to all services on the web server. To configure a protected resource, see Section 3.8.4, “Configuring Protected Resources,” on page 106.

3.8.2.3 Modifying the DNS Setting for a Proxy Service

1 Get the SSL certificate for the new DNS name.
   For more information, see Chapter 13, “Creating Certificates,” on page 851.
2 Click Devices > Access Gateways.
3 Edit AG-Cluster and click on any reverse proxy listed under Reverse Proxy/Authentication.
4 Change the Server Certificate to the new one for your new DNS name.
   Ignore any warning displayed about CN name mismatch because the proxy service is not yet updated.
5 Under the Proxy Service List tab, click the proxy which DNS name you want to modify.
6 Change the Published DNS Name for the proxy service.

   NOTE: Changing the published DNS name of the master proxy changes Identity Server’s base URL also.

7 Click OK > OK.
8 The Cluster Configuration page is displayed.
9 Click Network Settings > Hosts > IP address of your system.
10 Add the new DNS name in the list of host names.
11 Click OK.
12 Go to Access Gateway.
13 Click Update All.
14 When Access Gateway Health turns green, check Identity Server Health and ensure that it is green as well.

3.8.2.4 Configuring ESP Global Options

When you configure an ESP global option, it gets applied to all Access Gateway ESPs in an Access Gateway cluster.

By default, these options are disabled. To enable these options, you need to remove the pound (#) symbol before it and set a value. After you configure an option, you cannot delete it. However, you can disable it again by adding the pound (#) symbol before it. If you have set a value for an option and want to disable the option, you need to add # before the configured option. After saving the changes, the value for the option is set to the default value. For example, if you have set the value for CLUSTER_COOKIE_DOMAIN as CLUSTER_COOKIE_DOMAIN.example.com, add # before CLUSTER_COOKIE_DOMAIN.example.com. After the changes are applied, the option is set to the default value as #CLUSTER_COOKIEDOMAIN.
NOTE: Access Manager 4.2 onwards, configuring the following options through files is deprecated. You must configure these option by using Administration Console.

Perform the following steps to configure ESP global options:


2. To activate an ESP global option, remove the # symbol before it, configure the value, save it, and then update Access Gateway. By default, Access Manager displays seven options. You can configure any other options also, if required.

The following table lists the default ESP global options:

<table>
<thead>
<tr>
<th>ESP Global Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>forceESPSLOHTTP</td>
<td>Set true to enable the front channel logout for Access Gateway initiated logout. The default value is false. For more information about how to enable front channel logout for Access Gateway, see “Defining Options for Liberty Identity Provider” on page 448.</td>
</tr>
<tr>
<td>httponlyClusterCookie</td>
<td>Set false to disable the HTTPOnly flags for ESP cluster cookies. The default value is true. For example, see “Configuring the Liberty or SAML 2.0 Session Timeout” on page 424.</td>
</tr>
<tr>
<td>CLUSTER_COOKIE_DOMAIN</td>
<td>Set this property to change the Domain attribute for the ESP cluster cookie in this format: CLUSTER_COOKIE_DOMAIN .example.com</td>
</tr>
<tr>
<td>CLUSTER_COOKIE_PATH</td>
<td>Set this property to change the Path attribute for the ESP cluster cookie. The default value is /nesp.</td>
</tr>
<tr>
<td>notifysessionTimetoIDP</td>
<td>Set false to disable sending session timeout message to the remote identity provider. The default value is true. For example, see “Configuring the Liberty or SAML 2.0 Session Timeout” on page 424.</td>
</tr>
<tr>
<td>RENAME_SESSIONID</td>
<td>Set false to prevent changing Access Gateway session ID automatically. The default value is true. For example, see “Preventing Automatically Changing Session ID” in the “Securing the Embedded Service Provider Session Cookie on Access Gateway” on page 837.</td>
</tr>
</tbody>
</table>
### 3.8.3 Configuring Web Servers of a Proxy Service

The web server configuration determines how Access Gateway handles connections and packets between itself and the web servers.

**IMPORTANT:** For caching to work correctly, the web servers must be configured to maintain a valid time. They must be configured to use an NTP server.

<table>
<thead>
<tr>
<th>ESP Global Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IS_DISPLAY_AUTH_DONE_PAGE</td>
<td>Set true to enable Access Gateway to display post-authentication message. The default value is false. For example, see Section 4.2.8, &quot;Enabling Access Gateway to Display Post-Authentication Message,&quot; on page 258.</td>
</tr>
<tr>
<td>SESSION_ASSURANCE_USER_AGENT_EXCLUDE_LIST</td>
<td>Specify the user-agent string for that you want to disable the session validation. For example, see &quot;Disabling Advanced Session Assurance for Access Gateway ESP&quot; on page 846.</td>
</tr>
<tr>
<td>SESSION_ASSURANCE_USER_AGENT_REGEX_EXCLUDE_LIST</td>
<td>Specify the user-agent REGEX for that you want to disable the session validation. For example, see &quot;Disabling Advanced Session Assurance for Access Gateway ESP&quot; on page 846.</td>
</tr>
<tr>
<td>SESSION_ASSURANCE_URL_EXCLUDE_LIST</td>
<td>Specify the URL for that you want to disable the session validation. For example, see &quot;Disabling Advanced Session Assurance for Access Gateway ESP&quot; on page 846.</td>
</tr>
<tr>
<td>SESSION_ASSURANCE_URL_REGEX_EXCLUDE_LIST</td>
<td>Specify the URL REGEX for that you want to disable the session validation. For example, see &quot;Disabling Advanced Session Assurance for Access Gateway ESP&quot; on page 846.</td>
</tr>
<tr>
<td>SESSION_ASSURANCE_IDC_COOKIE_GRACEPERIOD</td>
<td>Specify the time in second till which Identity Server will accept the old IDC cookie, after issuing a new cookie. The default value is 15 second.</td>
</tr>
</tbody>
</table>

**NOTE:** After you configure an ESP option, you cannot revert it to the previous configuration by clicking Revert in the Cluster Configuration page (Access Gateway > Edit > Revert).

---

2. Specify the hostname that is placed in the HTTP header of the packets being sent to the web servers. In the Host Header field, select one of the following:
   - **Forward Received Host Name:** Indicates that you want the HTTP header to contain the published DNS name that the user sent in the request.
Setting Up a Basic Access Manager Appliance Configuration

- **Web Server Host Name:** Indicates that you want the published DNS name that the user sent in the request to be replaced by the DNS name of the web server. Use the Web Server Host Name field to specify this name. You can also append the port number to the Web Server Host Name field. For example, `<web server hostname>:\<web server port number>`.

3 Select **Error on DNS Mismatch** to have the proxy determine whether the proxy service must compare the hostname in the DNS header that came from the browser with the DNS name specified in the Web Server Host Name option. The value in the parentheses is the value that comes in the header from the browser.

If you enable this option and the names don’t match, the request is not forwarded to the web server. Instead, the proxy service returns an error to the requesting browser. This option is only available when you select to send the Web Server Host Name in the HTTP header.

**NOTE:** The Error on DNS Mismatch option does not work in the following scenarios:
- If the option is enabled in a protected resource.
- If the option is enabled in a master host based service, and disabled in a path-based child services, then Access Gateway does a strict check of DNS match for path-based child.

4 If your browsers are capable of sending HTTP 1.1 requests, configure the following fields to match your web servers:

**Enable Force HTTP 1.0 to Origin:** Indicates whether HTTP 1.1 requests from browsers are translated to HTTP 1.0 requests before sending them to the web server. If your browsers are sending HTTP 1.1 requests and your web server can only handle HTTP 1.0 requests, you must enable this option.

When the option is enabled, Access Gateway translates an HTTP 1.1 request to an HTTP 1.0 request.

**Enable Session Stickiness:** Selecting this option makes the proxy server to use the same web server for all fills during a session.

5 To enable SSL connections between the proxy service and its web servers, select **Connect Using SSL.** For configuration information for this option, Web Server Trusted Root, and SSL Mutual Certificate, see Section 17.5, “Configuring SSL between the Proxy Service and the Web Servers,” on page 883.

6 In the **Connect Port** field, specify the port that Access Gateway must use to communicate with the web servers. The following table lists some default port values for common types of web servers.

<table>
<thead>
<tr>
<th>Server Type</th>
<th>Non-Secure Port</th>
<th>Secure Port</th>
</tr>
</thead>
<tbody>
<tr>
<td>Web server with HTML content</td>
<td>80</td>
<td>443</td>
</tr>
<tr>
<td>WebSphere</td>
<td>9080</td>
<td>9443</td>
</tr>
<tr>
<td>JBoss</td>
<td>8080</td>
<td>8443</td>
</tr>
</tbody>
</table>

7 To control how idle and unresponsive web server connections are handled and to optimize these processes for your network, select **TCP Connect Options.** For more information, see “Configuring TCP Connect Options for Web Servers” on page 136.

8 To add a web server, click **New** in the **Web Server List** and specify the IP address or the fully qualified DNS name of the web server.
The web servers added to this list must contain identical web content. Configuring your system with multiple servers with the same content adds fault tolerance and increases the speed for processing requests. For more information about this process, see “Configuring Web Servers” on page 138.

9 To delete a web server, select the web server, then click **Delete**.

This deletes the web server from the list so that Access Gateway no longer sends requests to the deleted web server. At least one web server must remain in the list. You must delete the proxy service to remove the last server in the list.

**NOTE:** Do not remove all configured web servers to the cluster if any of the cluster member does not have at least one web server configured.

10 To save your changes to browser cache, click **OK**.

11 To apply your changes, click the **Access Gateways** link, then click **Update > OK**.

### 3.8.4 Configuring Protected Resources

A protected resource configuration specifies the directory (or directories) on the web server that you want to protect. The protected resource configuration specifies the authorization procedures and the policies that must be used to enforce protection. The authentication procedures and the policies (Authorization, Identity Injection, and Form Fill) enable the single sign-on environment for the user. The type of protection a resource requires depends upon the resource, the web server, and the conditions you define for the resource.

You can select from the following types of protection:

**Authentication Procedures:** Specifies the type of credentials the user must use to log in (such as name and password or secure name and password). You can select **None** for the procedure, which allows the resource to be a public resource, with no login required.

In addition to selecting the contract, you can also configure how the authentication procedure handles subsequent authentication requests from an application.

**Authorization Policy:** Specifies the conditions a user must meet to be allowed access to a protected resource. You define the conditions, and Access Gateway enforces the Authorization policies. For example, you can assign roles to your users, and use these roles to grant and deny access to resources.

**Identity Injection Policy:** Specifies the information that must be injected into the HTTP header. If the web application has been configured to look for certain fields in the header and the information cannot be found, the web application determines whether the user is denied access or redirected. The web application defines the requirements for Identity Injection. The Identity Injection policies allow you to inject the required information into the header.

**Form Fill Policy:** Allows you to manage forms that web servers return in response to client requests. Form fill allows you to prepopulate fields in a form on first login and then securely save the information in the completed form to a secret store for subsequent logins. The user is prompted to reenter the information only when something changes, such as a password.

These policies allow you to design a custom access policy for each protected resource:

- Resources that share the same protection requirements can be configured as a group. You set up the policies, and then add the URLs of each resource that requires these policies.

- A resource that has specialized protection requirements can be set up as a single protected resource. For example, a page that uses Form Fill is usually set up as a single protected resource.
After configuring a protected resource, you can bookmark it. You cannot bookmark a login page that is used in a federation setup.

To configure a protected resource:

1. Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Domain-Based Proxy Service or Primary Proxy Service] > Protected Resources.

   The Resource View of the Protected Resource List is used to create new protected resources or manage existing protected resources. The Policy View is used to see which policies are being used by multiple protected resources. For more information about the Policy View, see “Assigning a Policy to Multiple Protected Resources” on page 117.

2. Select one of the following actions:
   
   - **New**: To create a new protected resource, click this option and specify a display name for the resource. For configuration information, see “Setting Up a Protected Resource” on page 107.
   - **Delete**: To delete a protected resource, select a protected resource, then click Delete.
   - **Enable**: To enable a resource so that Access Gateway protects it, select a protected resource, then click Enable.
   - **Disable**: To disable protection for a resource, select a protected resource, then click Disable.

   After a resource is disabled, its path no longer has special protection. For example, you can set up a resource that allows access to all pages (for example /*) and another resource with special protection for a subpath. If you disable the subpath, make sure the security requirements of the */ resource are sufficient for the subpath.

   Also, when a protected resource is disabled, the resource no longer shows up in the Path List for a path-based multi-homing proxy.

3. Select the name of a protected resource to perform the following tasks:
   
   - “Configuring an Authentication Procedure for Non-Redirected Login” on page 110
   - “Assigning an Authorization Policy to a Protected Resource” on page 111
   - “Assigning an Identity Injection Policy to a Protected Resource” on page 112
   - “Assigning a Form Fill Policy to a Protected Resource” on page 113
   - “Assigning a Timeout Per Protected Resource” on page 114

### 3.8.4.1 Setting Up a Protected Resource

1. Click Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources.

2. Either click the name of an existing resource or click New, then specify a display name for the resource.

3. (Optional) Specify a description for the protected resource. You can use it to briefly describe the purpose for protecting this resource.

4. Select the type of contract to use for the authentication procedure. The contract determines the information a user must supply for authentication. By default, Administration Console allows you to select from the following contracts and options when specifying whether a resource requires an authentication contract:

   - **None**: If you want to allow public access to the resource and not require an authentication contract, select None.
   - **Any Contract**: If the user has authenticated, this option allows any contract defined for Identity Server to be valid, or if the user has not authenticated, it prompts the user to authenticate, using the default contract assigned to Identity Server configuration.
Name/Password - Basic: Specifies basic authentication over HTTP, using a standard login pop-up provided by the web browser.

Name/Password - Form: Specifies a form-based authentication over HTTP or HTTPS, using the Access Manager login form.

Secure Name/Password - Basic: Specifies basic authentication over HTTPS, using a standard login pop-up provided by the web browser.

Secure Name/Password - Form: Specifies a form-based authentication over HTTPS, using the Access Manager login form.

The contract also determines the session timeout for inactive connections. If you have some resources that need to time out quickly to protect sensitive data and other resources that don’t need this kind of protection, you need to configure contracts for these resources. For more information about this feature, see “Assigning a Timeout Per Protected Resource” on page 114.

If no contracts are available, you have not configured a relationship between Access Gateway and Identity Server. See Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98.

5 (Conditional) To modify how the authentication procedures are handled for a specific resource and contract, click the Edit Authentication Procedures icon.

For configuration information, see “Configuring an Authentication Procedure for Non-Redirected Login” on page 110.

6 Configure the URL Path.

The default path is /*, which indicates everything on the web server. Modify this if you need to restrict access to a specific directory on your web server. If you have multiple directories on your web server that require the same authentication contract and access control, add each directory as a URL path.

New: To add a path, click New, specify the path, then click OK. For example, to allow access to all the pages in the public directory on the web server, specify the following path:

/public/*

To allow access to all the files in a directory, but not to the subdirectories and their files, specify the following:

/?

/public/?

The /? allows access to the root directory, but not the subdirectories. The /public/? allows access to the files in the public directory, but not the subdirectories.

To allow access to files of a specific type, specify the following:

/public/*.pdf

This allows access to all the files in the public directory that have a PDF extension. Access to other file types and subdirectories is denied.

To use this protected resource to protect a single page, specify the path and the filename. For example, to protect the login.html page in the /login directory, specify the following:

/login/login.html

This is the type of URL path you want to specify when you create a Form Fill policy for a protected resource. The URL Path List normally contains only this one entry. If you have multiple pages that the Form Fill policy applies to, list each one separately in the list. For optimum speed, you want Access Gateway to be able to quickly identify the page and not search other pages to see if the policy applies to them.
For more information about how a user’s request is matched to a protected resource, see “Understanding URL Path Matching” on page 109.

For more information about using a query string, see “Using a Query String in the URL Path” on page 109.

Modify: To modify a path, click the path link, then modify the URL Path.
Delete: To delete a path, select the path, then click Delete.

7 Click OK.
8 In the Protected Resource List, ensure that the protected resource you created is enabled.
9 (Optional) To add policies for protecting this resource, continue with one of the following:
   - “Assigning an Authorization Policy to a Protected Resource” on page 111
   - “Assigning an Identity Injection Policy to a Protected Resource” on page 112
   - “Assigning a Form Fill Policy to a Protected Resource” on page 113
   - “Assigning a Policy to Multiple Protected Resources” on page 117

10 To apply your changes, click the Access Gateways link, then click Update > OK.

Understanding URL Path Matching

The URL path determines which protected resource is used for a user request. Suppose you create one protected resource with the following URL paths:

slash
/test/slash
/test/

You create a second protected resource with the following path:

/test/*.php

Users then send the following paths in their access requests:

/test/
/test/1/2/3/file.php
/file.php
/test/file.php
/test/file.php?param1=1234


You then add the following URL path to the first protected resource:

/test/?

This URL path in the first protected resource causes all the requests to match the first protected resource, and the second protected resource is ignored. The ? wildcard, which matches all content in the current directory, takes precedence over the more specific wildcard (*.php).

Using a Query String in the URL Path

You can specify a query string in the URL path of a protected resource. For example:

URL path: /test/index.html?test=test
When the requested URL has a query string, Access Gateway searches for a protected resource with a matching URL path and query string. If it can’t find a match, the request returns a resource not found error.

Access Gateway Service does not have this option. If you want the query string ignored, you must remove it from the URL path of the protected resource.

### 3.8.4.2 Configuring an Authentication Procedure for Non-Redirected Login

When a contract is created, it is assigned an authentication procedure that allows the user to be redirected to Identity Server for authentication. Some applications, such as AJAX and WebDAV applications, do not support redirection for authentication. You can change the authentication behavior of a contract so that redirection does not occur.

When non-redirected login is enabled, Access Gateway prompts the user to supply basic authentication credentials. The SOAP back channel between Access Gateway and Identity Server is used to complete the authentication on the user's behalf rather than a redirect. The SOAP back channel is also used for the session renewals.

Non-redirected login has the following restrictions:

- **Password Expiration Services:** When you modify the authentication procedures to use non-redirected login, you cannot also use a password expiration service. Even when the Password expiration servlet and Allow user interaction options are configured, users are not redirected when their passwords are expiring and they are not prompted to change their passwords.

- **Locked Shared Secrets:** When non-redirected login is enabled, users are not prompted for their passphrase for locked shared secrets.

- **Session Limits:** Non-redirected login can cause the user to create more than one session with Identity Server because the SOAP back channel uses a different process than authentication requests that are directed to Identity Server. Therefore, do not limit your users to one session. Session limits are set by clicking Devices > Identity Servers > Edit.

If the contract you are going to use for non-redirected login is also assigned to protected resources that do not require non-redirected login, you must create a new authentication procedure for the resource requiring non-redirected login. Multiple authentication procedures can be configured to use the same contract.

To configure an authentication procedure:

1. **Click** Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources > [Name of Protected Resource].


   The Authentication Procedure List displays all available contracts, the name of the authentication procedure they are assigned to, the protected resources that the authentication procedure has been assigned to, and whether the procedure has been enabled for non-redirected login.

3. **Select one of the following actions:**
   - To create a new authentication procedure, click New, specify a name, then click OK. Continue with Step 4.
   - To modify an existing authentication procedure, click the name of the procedure. Continue with Step 4.
To delete an existing authentication procedure, select the procedure, then click **Delete**. Continue with **Step 7**.

If the procedure is used by a resource, it cannot be deleted until it is not being used to protect resources. An authentication procedure must exist for each contract. If you delete an authentication procedure for a contract without also deleting the contract, the system automatically re-creates an authentication procedure for the contract.

4 To specify the method for obtaining the credentials, fill in the following fields:

- **Contract**: Select the contract that you want to use for this protected resource. This needs to be a contract that supports basic authentication credentials such as Name/Password- Basic or Secure Name/Password-Basic. You can also configure Non-Redirected Login with a Kerberos contract.

- **Non-Redirected Login**: Select this option to use the SOAP back channel to verify the user’s credentials rather than a redirected request to Identity Server.

- **Realm**: Specify a name that your users can use to identify the site that they are authenticating to. This could be your company name or the name of the application. The realm is displayed as a heading when the application requests a basic authentication.

- **Redirect to Identity Server When No Authentication Header Is Provided**: The response must provide an authentication header. If the first request does not contain the authentication header, you can select this option to allow the first request to be redirected to Identity Server.

5 Click **OK**.

6 For the Authentication Procedure, select the authentication procedure you created or modified in **Step 4**.

7 Click **OK**.

8 Click **Devices > Access Gateways**, then update Access Gateway.

9 (Optional) For some configuration scenarios that use this feature, see

- “Configuring Protected Resource for a SharePoint Server” on page 179
- “Configuring a Protected Resource for a SharePoint Server with an ADFS Server” on page 185
- “Configuring a Protected Resource for Outlook Web Access” on page 188
- “Configuring a Protected Resource for a Novell Vibe 3.3 Server” on page 191

### 3.8.4.3 Assigning an Authorization Policy to a Protected Resource

An Authorization policy specifies conditions that a user must meet in order to access a resource. Access Gateway enforces these conditions. The policy can specify the criteria a user must meet either to allow access or to deny access.

1 Click **Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources > [Name of Protected Resource] > Authorization**.

   The **Authorization Policy List** contains all Access Gateway Authorization policies that have been created on this Administration Console for the selected policy container.

2 Select one of the following:

- To enable an existing policy, select the policy, then click **Enable**. Continue with **Step 4**.

- To disable an existing policy, select the policy, then click **Disable**. Continue with **Step 4**.
To edit an existing policy, click the name of the policy. Remember that policies can be assigned to multiple protected resources. If you modify the policy, you are also affecting how this policy protects those resources. For configuration information, see Section 8.3, “Authorization Policies,” on page 692.

When you have completed your policy modifications, continue with Step 4.

To create a new policy, click Manage Policies. On the Policies page, click New, specify a display name, select Access Gateway: Authorization as the type, then click OK. For configuration information, see Section 8.3.2, “Creating Access Gateway Authorization Policies,” on page 703.

When you have created your policy, continue with Step 3.

3 To enable the policy you just created, select the policy, then click Enable.

Only the policies that are enabled are applied to this resource. All available Authorization policies are listed. If you use the same policy for multiple protected resources, use the policy description field to indicate this.

4 To save your changes to the browser cache, click OK.

5 To apply the changes, click the Access Gateways link, then click Update > OK.

3.8.4.4 Assigning an Identity Injection Policy to a Protected Resource

The web application defines the requirements for Identity Injection. If a web application has been configured to look for certain fields in the header and the information cannot be found, the web application determines whether the user is denied access, granted access, or redirected. You configure an Identity Injection policy to inject into the HTTP header the information that the web application requires.

1 Click Access Gateways > Edit > [Reverse Proxy Name] > [Name of Proxy Service] > Protected Resources > [Name of Protected Resource] > Identity Injection.

The Identity Injection Policy List contains all the Identity Injection policies that have been created on this Administration Console for the selected policy container.

2 Select one of the following:

- To enable an existing policy, select the policy, then click Enable. Only the policies that are enabled are applied to this resource. Continue with Step 4.

- To disable an existing policy, select the policy, then click Disable. Continue with Step 4.

- To edit an existing policy, click the name of the policy. Remember that policies can be assigned to multiple protected resources. If you modify the policy, you are also affecting how this policy protects those resources. For configuration information, see Chapter 8.4, “Identity Injection Policies,” on page 742.

When you have finished your policy modifications, continue with Step 4.

- To create a new policy, click Manage Policies. On the Policies page, click New, specify a display name, select Access Gateway: Identity Injection as the type, then click OK. For configuration information, see Chapter 8.4, “Identity Injection Policies,” on page 742.

When you have created your policy, continue with Step 3.

3 To enable the policy you just created, select the policy, then click Enable.

Only the policies that are enabled are applied to this resource. If you use the same policy for multiple protected resources, use the policy description field to indicate this.

4 To save your changes to the browser cache, click OK.

5 To apply your changes, click the Access Gateways link, then click Update > OK.
**IMPORTANT:** If you enable an Identity Injection policy for a protected resource that has been assigned to use a contract that does not prompt the user for a password and the Identity Injection policy injects the user’s password, single sign-on cannot be enabled because the password is not available. However, you can create a contract that retrieves the user’s password when the user is not prompted for a password when authenticating. See Section 5.1.11, “Password Retrieval,” on page 337.

### 3.8.4.5 Assigning a Form Fill Policy to a Protected Resource

Some client requests cause the web server to return a form. Sometimes this form contains a request to log in. If you create a Form Fill policy, you can have Access Gateway fill in the form. When a user first logs in, Access Gateway prepopulates some fields and prompts the users for the others. Access Gateway securely saves the information, so that on subsequent logins, Access Gateway can fill in the form. The user is only prompted to fill in the form when something changes, such as a password expiring.

Form Fill uses two components: the HTML form and the Form Fill policy. The HTML form is created with HTML tags and consists of form elements such as fields, menus, check boxes, and buttons. The Form Fill policy is created by specifying the following:

- Which information is entered automatically and not displayed to the user.
- Which information is displayed so that the user, at least the first time, can enter the information.
- What is done with the information (for example, whether it is saved so that the user does not need to enter it when accessing the form again).

You must create the policy before you can assign it to a resource (see Chapter 8.5, “Form Fill Policies,” on page 762). To assign a Form Fill policy to a protected resource:

1. Click Devices > Access Gateways > Edit > [Reverse Proxy Name] > [Name of Proxy Service] > Protected Resources > [Name of Protected Resource].

2. Examine the entries in the URL Path List.

   Ideally, the URL to which you are assigning a Form Fill policy must be a single HTML page or a few HTML pages. If possible, it must not be a URL that ends in a wildcard (for example, an asterisk) and therefore matches many pages.

   **IMPORTANT:** When the URL ends in a wildcard, Access Gateway must search each page that matches the URL and check to see if it contains the form. This adds extra processing overhead for all the pages that match the URL, but do not contain the form. For more information about the performance problems this can cause, see Chapter 8.5, “Form Fill Policies,” on page 762.

3. (Conditional) If the URL is not specific, click the name of the path and modify it.

4. Click Form Fill.

   The Form Fill Policy List contains all the Form Fill policies that have been created on this Administration Console for the selected policy container.

5. Select one of the following:

   - To enable an existing policy, select the policy, then click **Enable**. Only the policies that are enabled are applied to this resource. Continue with Step 7.
   - To disable an existing policy, select the policy, then click **Disable**. Continue with Step 7.
To edit an existing policy, click the name of the policy. Remember that policies can be assigned to multiple protected resources. If you modify the policy, you are also affecting how this policy protects those resources. For configuration information, see Chapter 8.5, “Form Fill Policies,” on page 762.

When you have finished the policy modifications, continue with Step 7.

To create a new policy, click Manage Policies. On the Policies page, click New, specify a display name, select Access Gateway: Form Fill as the type, then click OK. For configuration information, see Chapter 8.5, “Form Fill Policies,” on page 762.

When you have created your new policy, continue with Step 6.

6. To enable the policy you just created, select the policy, then click Enable.

Only the policies that are enabled are applied to this resource. If you use the same policy for multiple protected resources, use the policy description field to indicate this.

7. To save your changes to the browser cache, click OK.

8. To apply your changes, click the Access Gateways link, then click Update > OK.

**IMPORTANT:** If you enable a Form Fill policy for a protected resource that has been assigned to use a contract that does not prompt the user for a password and the Form Fill policy contains a field for the user’s password, single sign-on cannot be enabled because the password is not available. To enable single sign-on, you need to use an Authentication class that retrieves the user’s password and injects it into the user’s credentials when the user authenticates using a non-password method such as X.509, RADIUS, smart card, or Kerberos. For information about such a class, see Section 5.1.11, “Password Retrieval,” on page 337.

### 3.8.4.6 Assigning a Timeout Per Protected Resource

If all your resources are using the same contract and you want them all to have the same timeout for inactivity, you set the Authentication Timeout option on the contract to the required limit and leave the Activity Realm option blank. The user logs in, and activity by the user on any resource keeps the user’s session active. The user is prompted to reauthenticate only when the user has no activity on any resources for longer than the authentication timeout value.

If you have some resources that require a shorter timeout than other resources, you need to balance the need for single sign-on with the timeout requirements:

- To strictly enforce a timeout, the resource needs to be assigned to a custom contract.
- To preserve single sign-on, resources need to be assigned to the same contract.

The protected resource is assigned to use a contract, and the timeout is assigned to the contract. For information about how to configure the contract, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

The following sections describe four configuration scenarios and the user experience that they create.
Scenario 1: If strictly adhering to the timeout value is more important than preserving the session or single sign-on, configure your resources as follows:

- Protected resource 1 (PR1) is configured to use contract 1 (C1), which has been created from method 1 (M1) and placed in its own activity realm (AR1). For this scenario you set the authentication timeout to 30 minutes.
- Protected resource 2 (PR2) is configured to use contract 2 (C2), which has been created from method 2 (M2) and placed in its own activity realm (AR2). For this scenario, you set the authentication timeout to 15 minutes.

With this scenario, the user is prompted to log in when accessing PR1 and when accessing PR2. Each resource has its own timeline, because each resource belongs to its own activity realm. Figure 3-5 The figure below illustrates this scenario.

Figure 3-5  Login Requirements with Separate Methods and Separate Activity Realms

After authenticating to both resources and remaining active on both resources for the first 10 minutes, the sessions remain active. The user then stays active on PR1 without accessing PR2 for over 15 minutes. The AR1 timeline is updated with this activity. The AR2 timeline is not updated. When the user accesses PR2 after more than 15 minutes of inactivity on the AR2 timeline, the user is prompted to authenticate. The user then returns to PR1 after over 20 minutes of inactivity, but AR1 timeline shows activity within the 30-minute timeout. The user is granted access and does not need to log in again to access PR1.

In this scenario, the resources are independent of each other and do not influence each other’s timeout limits.

Scenario 2: If you are willing to allow a resource to influence the timeout of another resource, configure your resources as follows:

- Protected resource 1 (PR1) is configured to use contract 1 (C1), which has been created from method 1 (M1) and placed in a shared activity realm (shared1). For this scenario you set the authentication timeout to 30 minutes.
- Protected resource 2 (PR2) is configured to use contract 2 (C2), which has been created from method 2 (M2) and placed in a shared activity realm (shared1). For this scenario, you set the authentication timeout to 15 minutes.

With this scenario, the user is prompted to log in when accessing PR1 and when accessing PR2. Activity at either resource updates the shared1 timeline. Figure 3-6 illustrates this scenario.

Figure 3-6  Login Requirements for Separate Methods with a Shared Activity Realm
As long as the user is active on PR1, the user’s session to PR2 remains active. After 20 minutes of activity on PR1, the user returns to PR2. The user is allowed access and does not need to log in because the shared1 time line shows activity within the last 5 minutes. The user remains active on PR2 for over 30 minutes, then accesses PR1. Again, the shared1 time line shows activity within the last 5 minutes, so the user is granted access to PR1 without logging in again.

With this configuration, activity at other resources influences the time limits so that they are not strictly enforced.

**Scenario 3:** If single sign-on is more important than strictly enforcing a timeout value, NetIQ recommends that you configure all contracts to have the same authentication timeout value.

If you configure your resources as follows, you might not get the behavior you require:

- Protected resource 1 (PR1) is configured to use contract 1 (C1), which has been created from method 1 (M1) and placed in a shared activity realm (shared1). For this scenario you set the authentication timeout to 30 minutes.
- Protected resource 2 (PR2) is configured to use contract 2 (C2), which has been created from method 1 (M1) and placed in a shared activity realm (shared1). For this scenario, you set the authentication timeout to 15 minutes.

Because C1 and C2 are created from the same method (M1), the user does not need to log in twice to access both resources. Logging in to one resource allows them access to the other resource. Figure 3-7 illustrates this scenario.

**Figure 3-7 Login Requirements for Shared Methods and Shared Realms**

The user first logs in to PR2 and is active for 10 minutes. The shared1 time line gets updated with this activity. When the user requests access to PR1, the user is granted access without being prompted for credentials. The user is then active on PR1 for over 20 minutes. When the user requests access to PR2, even though the user has been inactive on this resource for over 20 minutes, the user is granted access because the time line shows activity within the last five minutes.

With this configuration, PR2 does not time out as long as the user remains active on PR1. However, when the user goes inactive on both PR2 and PR1 for over 15 minutes and the user requests access to PR1, the time line shows no activity within the time limit specified for PR2 and the user is prompted to log in.

**Scenario 4:** NetIQ does not recommend that you set different authentication timeouts on contracts and then use the Any contract option for protected resources. If you want to use the Any contract, then you must set the authentication timeout to the same value on all contracts. If the timeouts are not the same, you cannot consistently predict what timeouts are being applied to the various protected resources. For example, the user requests access to a resource that is protected with a contract with a short timeout. The user logs in, then accesses resources that use the Any contract option. All of these resources are assigned a short timeout. The user then goes inactive and the session times out. The user then requests access to a resource with a contract with a long timeout. The user logs in, and after a few minutes, accesses some resources protected with the Any contract option. These resources are now assigned the long timeout value.
3.8.4.7 Assigning a Policy to Multiple Protected Resources

If you have created multiple protected resources that need to be protected by the same policy or policies, you can use the policy view to assign a policy to multiple protected resources. However, the protected resources must belong to the same proxy service.

1. Click Devices > Access Gateways > Edit > [Reverse Proxy Name] > [Name of Proxy Service] > Protected Resources.
2. Select the Policy View.
3. Select the Used By link of the policy you want to assign to multiple resources.
   The Policy and Policy Container fields identify the policy. The Protected Resource Policy Usage List displays the protected resources defined for this proxy service and indicates which resources the policy has been enabled on.
4. To enable the policy for multiple resources, either select them one by one or click Name to select all of them, then click Enable. To disable a policy for a resource, select the resource, then click Disable.
5. To save your changes to browser cache, click OK.
6. To apply your changes, click the Access Gateways link, then click Update > OK.

3.8.5 Configuring HTML Rewriting

Access Gateway configurations generally require HTML rewriting because the web servers are not aware that Access Gateway machine is obfuscating their DNS names. URLs contained in their pages must be checked to ensure that these references contain the DNS names that the client browser understands. On the other end, the client browsers are not aware that Access Gateway is obfuscating the DNS names of the resources they are accessing.

The URL requests coming from the client browsers that use published DNS names must be rewritten to the DNS names that the web servers expect. Figure 3-8 illustrates these processes.

Figure 3-8  HTML Rewriting

The following sections describe the HTML rewriting process:

- Section 3.8.5.1, “Understanding the Rewriting Process,” on page 118
- Section 3.8.5.2, “Specifying DNS Names to Rewrite,” on page 119
- Section 3.8.5.3, “Defining the Requirements for the Rewriter Profile,” on page 122
- Section 3.8.5.4, “Configuring the HTML Rewriter and Profile,” on page 130
3.8.5.1 Understanding the Rewriting Process

Access Gateway needs to rewrite URL references under the following conditions:

- To ensure that URL references contain the proper scheme (HTTP or HTTPS).
  
  If your web servers and Access Gateway machines are behind a secure firewall, you might not require SSL sessions between them, and only require SSL between the client browser and Access Gateway. For example, an HTML file being accessed through Access Gateway for the website novell.com might have a URL reference to http://novell.com/path/image1.jpg. If the reverse proxy for novell.com/path is using SSL sessions between the browser and Access Gateway, the URL reference http://novell.com/path/image1.jpg must be rewritten to https://novell.com/path/image1.jpg. Otherwise, when the user clicks the HTTP link, the browser must change from HTTP to HTTPS and establish a new SSL session.

- To ensure that URL references containing private IP addresses or private DNS names are changed to the published DNS name of Access Gateway or hosts.
  
  For example, suppose that a company has an internal website named data.com, and wants to expose this site to Internet users through Access Gateway by using a published DNS name of novell.com. Many of the HTML pages on this website have URL references that contain the private DNS name, such as http://data.com/imagel.jpg. Because Internet users are unable to resolve data.com/imagel.jpg, links using this URL reference would return DNS errors in the browser.

  The HTML rewriter can resolve this issue. The DNS name field in Access Gateway configuration is set to novell.com, which users can resolve through a public DNS server to Access Gateway. The rewriter parses the web page, and any URL references matching the private DNS name or private IP address listed in the web server address field of Access Gateway configuration are rewritten to the published DNS name novell.com and the port number of Access Gateway.

  Rewriting URL references addresses two issues: 1) URL references that are unreachable because of the use of private DNS names or IP addresses are now made accessible and 2) Rewriting prevents the exposure of private IP addresses and DNS names that might be sensitive information.

- To ensure that the Host header in incoming HTTP packets contains the name understood by the internal web server.
  
  Using the example in Figure 3-8 on page 117, suppose that the internal web server expects all HTTP or HTTPS requests to have the Host field set to data.com. When users send requests using the published DNS name novell.com/path, the Host field of the packets in those requests received by Access Gateway is set to novell.com. Access Gateway can be configured to rewrite this public name to the private name expected by the web server by setting the Web Server Host Name option to data.com. Before Access Gateway forwards packets to the web server, the Host field is changed (rewritten) from novell.com to data.com. For information about configuring this option, see Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104.

The rewriter searches for URLs in the following HTML contexts. They must meet the following criteria to be rewritten:

- Section 3.8.5.5, “Creating or Modifying a Rewriter Profile,” on page 131
- Section 3.8.5.6, “Disabling the Rewriter,” on page 133
Specifying DNS Names to Rewrite

The rewriter parses and searches the web content that passes through Access Gateway for URL references that qualify to be rewritten. URL references are rewritten when they meet the following conditions:

- URL references containing DNS names or IP addresses matching those in the web server address list are rewritten with the Published DNS Name.
- URL references matching the Web Server Host Name are rewritten with the Published DNS Name.
- URL references matching entries in the Additional DNS Name List of the host are rewritten with the Published DNS Name. The Web Server Host Name does not need to be included in this list.
- The DNS names in the Exclude DNS Name List specify the names that the rewriter must skip and not rewrite.
NOTE: Excludes in the Exclude DNS Name List are processed first, then the includes in the Additional DNS Name List. If you put the same DNS name in both lists, the DNS name is rewritten.

The following sections describe the conditions to consider when adding DNS names to the lists:

- “Determining Whether You Need to Specify Additional DNS Names” on page 120
- “Determining Whether You Need to Exclude DNS Names from Being Rewritten” on page 122

Determining Whether You Need to Specify Additional DNS Names

Sometimes web pages contain URL references to a hostname that does not meet the default criteria for being rewritten. That is, the URL reference does not match the Web Server Host Name or any value (IP address) in the Web Server List. If these names are sent back to the client, they are not resolvable. Figure 3-9 illustrates a scenario that requires an entry in the Additional DNS Name List.

Figure 3-9  Rewriting a URLs for Web Servers

The page on the data.com web server contains two links, one to an image on the data.com server and one to an image on the graphics.com server. The link to the data.com server is automatically rewritten to novell.com, when rewriting is enabled. The link to the image on graphics.com is not rewritten, until you add this URL to the Additional DNS Name List. When the link is rewritten, the browser knows how to request it, and Access Gateway knows how to resolve it.

You need to include names in this list if your web servers have the following configurations:

- If you have a cluster of web servers that are not sharing the same DNS name, you need to add their DNS names to this list.
- If your web server obtains content from another web server, the DNS name for this additional web server needs to be added to the list.
- If the web server listens on one port (for example, 80), and redirects the request to a secure port (for example, 443), the DNS name needs to be added to the list. The response to the user comes back on https://<DNS_name>:443. This does not match the request that was sent on http://<DNS_name>:80. If you add the DNS name to the list, the response can be sent in the format that the user expects.
If an application is written to use a private hostname, you need to add the private hostname to the list. For example, assume that an application URL reference contains the hostname of home (http://home/index.html). This hostname needs to be added to the Additional DNS Name List.

If you enable the Forward Received Host Name option on your path-based multi-homing service and your web server is configured to use a different port, you need to add the DNS name with the port to the Additional DNS Name List.

For example, if the public DNS name of the proxy service is www.myag.com, the path for the path-based multi-homing service is /sales, and the web server port is 801, the following DNS name needs to be added to the Additional DNS Name List of the /sales service:

http://www.myag.com:801

When you enter a name in the list, it can use any of the following formats:

- DNS_name
- host_name
- IP_address
- scheme://DNS_name
- scheme://IP_address
- scheme://DNS_name:port
- scheme://IP_address:port

For example:

HOME
https://www.backend.com
https://10.10.15.206:444

These entries are not case sensitive.
Determining Whether You Need to Exclude DNS Names from Being Rewritten

If you have two reverse proxies protecting the same web server, the rewriter correctly rewrites the references to the web server so that browser always uses the same reverse proxy. In other words, if the browser requests a resource using novell.com.uk, the response is returned with references to novell.com.uk and not novell.com.usa.

If you have a third reverse proxy protecting a web server, the rewriting rules can become ambiguous. For example, consider the configuration illustrated in Figure 3-10.

**Figure 3-10  Excluding URLs**

A user accesses data.com through the published DNS name of novell.com.mx. The data.com server has references to product.com. The novell.com.mx proxy has two ways to get to the product.com server because this web server has two published DNS names (novell.com.uk and novell.com.usa). The rewriter could use either of these names to rewrite references to product.com.

- If you want all users coming through novell.com.mx to use the novell.com.usa proxy, you need to block the rewriting of product.com to novell.com.uk. On the HTML Rewriting page of the reverse proxy for novell.com.uk, add product.com and any aliases to the Exclude DNS Name List.
- If you do not care which proxy is returned in the reference, you do not need to add anything to the Exclude DNS Names List.

3.8.5.3 Defining the Requirements for the Rewriter Profile

An HTML rewriter profile allows you to customize the rewriting process and specify the profile that is selected to rewrite content on a page. This section describes the following features of the rewriter profile:

- “Types of Rewriter Profiles” on page 123
- “Page Matching Criteria for Rewriter Profiles” on page 124
- “Possible Actions for Rewriter Profiles” on page 125
- “String Replacement Rules for Word Profiles” on page 126
- “String Tokens” on page 127
“String Replacement Rules for Character Profiles” on page 128
“Using $path to Rewrite Paths in JavaScript Methods or Variables” on page 128

Types of Rewriter Profiles

Access Gateway has the following types of profiles:

- Default Word Profile
- Custom Word Profile
- Custom Character Profile

Default Word Profile

The default Word profile, named `default`, is not specific to a reverse proxy or its proxy services.

If you enable HTML rewriting, but you do not define a custom Word profile for the proxy service, the `default` Word profile is used. This profile is preconfigured to rewrite the `Web Server Host Name` and any other names listed in the `Additional DNS Name List`. The preconfigured profile matches all URLs with the following content-types:

<table>
<thead>
<tr>
<th>Content-Type</th>
<th>Rewritten Content-Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>text/html</td>
<td>text/javascript</td>
</tr>
<tr>
<td>text/xml</td>
<td>application/javascript</td>
</tr>
<tr>
<td>text/css</td>
<td>application/x-javascript</td>
</tr>
</tbody>
</table>

When you modify the behavior of the default profile, remember its scope. If the default profile does not match your requirements, you must usually create your own custom Word profile or custom Character profile.

Custom Word Profile

A Word profile searches for matches on words. For example, “get” matches the word “get” and any word that begins with “get” such as “getaway” but it does not match the “get” in “together” or “beget.”

For information about how strings are replaced in a Word profile, see the following:

- “String Replacement Rules for Word Profiles” on page 126
- “Using $path to Rewrite Paths in JavaScript Methods or Variables” on page 128

You must create a custom Word profile when an application requires rewrites of paths in JavaScript. If the application needs strings replaced or new content-types, these can also be added to the custom profile. In a custom Word profile, you can also configure the match criteria so that the profile matches specific URLs. For more information, see “Page Matching Criteria for Rewriter Profiles” on page 124.

When you create a custom Word profile, you need to position it before the default profile in the list of profiles. Only one Word profile is applied per page. The first Word profile that matches the page is applied. Profiles lower in the list are ignored.

Custom Character Profile

A custom Character profile searches for matches on a specified set of characters. For example, “top” matches the word “top” and the “top” in “tabletop,” “stopwatch,” and “topic.” If you need to replace strings that require this type of search, you must create a custom Character profile.
For information about how strings are replaced in a Character profile, see “String Replacement Rules for Character Profiles” on page 128.

In a custom Character profile, you can also configure the match criteria so that the profile matches specific URLs. For more information, see “Page Matching Criteria for Rewriter Profiles” on page 124.

After the rewriter finds and applies the Word profile that matches the page, it finds and applies one Character profile. The first Character profile that matches the page is applied. Character profiles lower in the list are ignored.

**Page Matching Criteria for Rewriter Profiles**

You specify the following matching criteria for selecting the profile:

- The URLs to match
- The URLs that cannot match
- The content types to match

You use the Requested URLs to Search section of the profile to set up the matching policy. The first Word profile and the first Character profile that matches the page is applied. Profiles lower in the list are ignored.

**URLs:** The URLs specified in the policy must use the following formats:

<table>
<thead>
<tr>
<th>Sample URL</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="http://www.a.com/content">http://www.a.com/content</a></td>
<td>Matches pages only if the requested URL does not contain a trailing slash.</td>
</tr>
<tr>
<td><a href="http://www.a.com/content/">http://www.a.com/content/</a></td>
<td>Matches pages only if the requested URL does contain a trailing slash.</td>
</tr>
<tr>
<td><a href="http://www.a.com/content/index.html">http://www.a.com/content/index.html</a></td>
<td>Matches only this specific file.</td>
</tr>
<tr>
<td><a href="http://www.a.com/content/">http://www.a.com/content/</a>*</td>
<td>Matches the requested URL whether it has a trailing slash and matches all files in the directory.</td>
</tr>
<tr>
<td><a href="http://www.a.com/">http://www.a.com/</a>*</td>
<td>Matches the proxy service and everything it is protecting.</td>
</tr>
</tbody>
</table>

You can specify two types of URLs. In the If Requested URL Is list, you specify the URLs of the pages you want this profile to match. In the And Requested URL Is Not list, you specify the URLs you don’t want this profile to match. You can use the asterisk wildcard for a URL in the If Requested URL Is list to match pages you really don’t want this profile to match, then use a URL in the And Requested URL Is Not list to exclude them from matching. If a page matches both a URL in the If Requested URL Is list and in the And Requested URL Is Not list, the profile does not match the page.

For example, you could specify the following URL in the If Requested URL Is list:

http://www.a.com/*

You could then specify the following URL in the And Requested URL Is Not list:

http://www.a.com/content/*

These two entries cause the profile to match all pages on the www.a.com web server except for the pages in the /content directory and its subdirectories.
IMPORTANT: If nothing is specified in either of the two lists, the profile skips the URL matching requirements and uses the content-type to determine if a page matches.

Content-Type: In the And Document Content-Type Is section, you specify the content-types you want this profile to match. To add a new content-type, click New and specify the name, such as text/dns. Search your web pages for content-types to determine if you need to add new types. To add multiple values, enter each value on a separate line.

Regardless of content-types you specify, the page matches the profile if the file extension is html, htm, shtml, jhtml, asp, or jsp and you have not specified any URL matching criteria.

Possible Actions for Rewriter Profiles

The rewriter action section of the profile determines the actions the rewriter performs when a page matches the profile. Select from the following:

- Inbound Actions
- Enabling or Disabling Rewriting
- Additional Names to Search for URL Strings to Rewrite with Host Name
- String Replacement

Inbound Actions: A profile might require these options if the proxy service has the following characteristics:

- URLs appear in query strings, Post Data, or headers.
- The web server uses WebDAV methods.

If your profile needs to match pages from this type of proxy service, you might need to enable the options listed below. They control the rewriting of query strings, Post Data, and headers from Access Gateway to the web server.

- **Rewrite Inbound Query String Data:** Select this option to rewrite the domain and URL in the query string to match the web server configuration or to remove the path from the query string on a path-based multi-homing proxy with the Remove Path on Fill option enabled.

- **Rewrite Inbound Post Data:** Select this option to rewrite the domain and URL in the Post Data to match the web server configuration or to remove the path from the Post Data on a path-based multi-homing proxy with the Remove Path on Fill option enabled.

- **Rewrite Inbound Headers:** Select this option to rewrite the following headers:
  
  Call-Back
  Destination
  If
  Notification-Type
  Referer

The inbound options are not available for a Character profile.

Enabling or Disabling Rewriting: The Enable Rewriter Actions option determines whether the rewriter performs any actions:

- Select the option to have the rewriter rewrite the references and data on the page.
- Leave the option deselected to disable rewriting. This allows you to create a profile for the pages you do not want rewritten.
Additional Names to Search for URL Strings to Rewrite with Host Name: Use this section to specify the name of the variable, attribute, or method in which the hostname might appear. These options are not available for a Character profile.

- **Variable and Attribute Name to Search for Is:** Use this section to specify the HTML attributes or JavaScript variables that you want searched for DNS names that might need to be rewritten. For the list of HTML attribute names that are automatically searched, see "HTML Tags" on page 119. You might want to add the following attributes:
  - **value:** This attribute enables the rewriter to search the `<param>` elements on the HTML page for value attributes and rewrite the value attributes that are URL strings. If you need more granular control (some need to be rewritten but others do not) and you can modify the page, see "Disabling with Page Modifications" on page 134.
  - **formvalue:** This attribute enables the rewriter to search the `<form>` element on the HTML page for `<input>`, `<button>`, and `<option>` elements and rewrite the value attributes that are URL strings. For example, if your multi-homing path is /test and the form line is `<input name="navUrl" type="hidden" value="/IDM/portal/cn/GuestContainerPage/656gwmail">`, this line would be rewritten to the following value before sending the response to the client:

    ```html
    <input name="navUrl" type="hidden" value="/test[IDM/portal/cn/GuestContainerPage/656gwmail]">
    ```

    The formvalue attribute enables the rewriting of all URLs in the `<input>`, `<button>`, and `<option>` elements in the form. If you need more granular control (some need to be rewritten but others do not) and you can modify the form page, see "Disabling with Page Modifications" on page 134.

- **Replacing URLs in Java Methods:** The JavaScript Method to Search for Is list allows you to specify the Java methods to search to see if their parameters contain a URL string.

**String Replacement:** The Additional Strings to Replace list allows you to search for a string and replace it. The search boundary (word or character) that you specified when creating the profile is used when searching for the string.

Word profile search and replace actions take precedence over character profile actions.

For the rules and tokens that can be used in the search strings, see the following:

- "String Replacement Rules for Word Profiles" on page 126
- "String Tokens" on page 127
- "String Replacement Rules for Character Profiles" on page 128

For information about how the Additional Strings to Replace list can be used to reduce the number of Java methods you need to list, see "Using $path to Rewrite Paths in JavaScript Methods or Variables" on page 128.

**String Replacement Rules for Word Profiles**

In a Word profile, a string matches all paths that start with the characters in the specified string. For example:
Setting Up a Basic Access Manager Appliance Configuration

String Tokens

On Access Gateway Service, you can use the following special tokens to modify the default matching rules.

- [w] to match one white space character
- [ow] to match 0 or more white space characters
- [ep] to match a path element in a URL path, excluding words that end in a period
- [ew] to match a word element in a URL path, including words that end in a period
- [oa] to match one or more alphanumeric characters

White Space Tokens: You use the [w] and the [ow] tokens to specify where white space might occur in the string. For example:


If you don’t know, or don’t care, whether the string has zero or more white characters at the beginning and at the end, use [ow] to specify this. The [w] specifies exactly one white character.

Path Tokens: You use the [ep] and [ew] tokens to match path strings. The [ep] token can be used to match the following types of paths:

<table>
<thead>
<tr>
<th>Search String</th>
<th>Matches This String</th>
<th>Does not' Match This String</th>
</tr>
</thead>
<tbody>
<tr>
<td>/path[ep]</td>
<td>/path</td>
<td>/path.html</td>
</tr>
<tr>
<td></td>
<td></td>
<td>/home/path/other</td>
</tr>
</tbody>
</table>

The [ew] token can be used to match the following types of paths:

<table>
<thead>
<tr>
<th>Search String</th>
<th>Matches This String</th>
<th>Does not Match This String</th>
</tr>
</thead>
<tbody>
<tr>
<td>/path[ew]</td>
<td>/path.html</td>
<td>/paths</td>
</tr>
<tr>
<td></td>
<td></td>
<td>/home/path</td>
</tr>
</tbody>
</table>

Name Tokens: You use the [oa] token to match function or parameter names that have a set string to start the name and end the name, but the middle part of the name is a computer-generated alphanumeric string. For example, the [oa] token can be used to match the following types of names:

<table>
<thead>
<tr>
<th>Search String</th>
<th>Matches This String</th>
<th>Does not' Match This String</th>
</tr>
</thead>
<tbody>
<tr>
<td>javaFunction-[oa](</td>
<td>javaFunction-1234a56()</td>
<td>javaFunction()</td>
</tr>
<tr>
<td></td>
<td>javaFunction-a()</td>
<td></td>
</tr>
</tbody>
</table>
String Replacement Rules for Character Profiles

When you configure multiple strings for replacement, the rewriter uses the following rules for determining how characters are replaced in strings:

- String replacement is done as a single pass.
- String replacement is not performed recursively. Suppose you have listed the following search and replacement strings:

<table>
<thead>
<tr>
<th>String</th>
<th>to be replaced with</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOG</td>
<td>CAT</td>
</tr>
<tr>
<td>A</td>
<td>O</td>
</tr>
</tbody>
</table>

All occurrences of the string DOG are replaced with CAT, regardless of whether it is the word DOG or the word DOGMA. Only one replacement pass occurs. The rewritten CAT is not replaced with COT.

- Because string replacement is done in one pass, the string that matches first takes precedence. Suppose you have listed the following search and replacement strings:

<table>
<thead>
<tr>
<th>String</th>
<th>to be replaced with</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABC</td>
<td>XYZ</td>
</tr>
<tr>
<td>BCDEF</td>
<td>PQRSTUVWXYZ</td>
</tr>
</tbody>
</table>

If the original string is ABCDEFGH, the replaced string is XYZDEFGH.

- If two specified search strings match the data portion, the search string of longer length is used for the replacement except for the case detailed above. Suppose you have listed the following search and replacement strings:

<table>
<thead>
<tr>
<th>String</th>
<th>to be replaced with</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABC</td>
<td>XYZ</td>
</tr>
<tr>
<td>ABCDEF</td>
<td>PQRSTUVWXYZ</td>
</tr>
</tbody>
</table>

If the original string is ABCDEFGH, the replaced string is PQRSTUVWXYZGH.

Using $path to Rewrite Paths in JavaScript Methods or Variables

You can use the $path token to rewrite paths on a path-based multi-homing service that has the Remove Path on Fill option enabled. This token is useful for web applications that require a dedicated web server and are therefore installed in the root directory of the web server. If you protect this type of application with Access Manager using a path-based multi-homing service, your clients access the application with a URL that contains a /path value. The proxy service uses the path to determine which web server a request is sent to, and the path must be removed from the URL before sending the request to the web server.

The application responds to the requests. If it uses JavaScript methods or variables to generate paths to resources, these paths are sent to client without prepending the path for the proxy service. When the client tries to access the resource specified by the web server path, the proxy service cannot locate the resource because the multi-homing path is missing. The figure below illustrates this flow with the rewriter adding the multi-homing path in the reply.
To make sure all the paths generated by JavaScript are rewritten, you must search the web pages of the application. You can then either list all the JavaScript methods and variables in the Additional Names to Search for URL Strings to Rewrite with Host Name section of the rewriter profile, or you can use the $path token in the Additional Strings to Replace section. The $path token reduces the number of JavaScript methods and variables that you otherwise need to list individually.

To use the $path token, you add a search string and a replace string that uses the token. For example, if the /prices/pricelist.html page is generated by JavaScript and the multi-homing path for the proxy service is /inner, you would specify the following strings:

<table>
<thead>
<tr>
<th>Search String</th>
<th>Replacement String</th>
</tr>
</thead>
<tbody>
<tr>
<td>/prices</td>
<td>$path/prices</td>
</tr>
</tbody>
</table>

This configuration allows the following paths to be rewritten before the web server sends the information to the browser.

<table>
<thead>
<tr>
<th>Web Server String</th>
<th>Rewritten String for the Browser</th>
</tr>
</thead>
<tbody>
<tr>
<td>/prices/pricelist.html</td>
<td>/inner/prices/pricelist.html</td>
</tr>
<tr>
<td>/prices</td>
<td>/inner/prices</td>
</tr>
</tbody>
</table>

This token can cause strings that shouldn’t be changed to be rewritten. If you enable the Rewrite Inbound Query String Data, Rewrite Inbound Post Data, and Rewrite Inbound Header actions, the rewriter checks these strings and ensures that they contain the information the web server expects. For example, when these options are enabled, the following paths and domain names are rewritten when found in query strings, in Post Data, or in the Call-Back, Destination, If, Notification-Type, or Referer headers.

<table>
<thead>
<tr>
<th>Browser String</th>
<th>Rewritten String for the Web Server</th>
</tr>
</thead>
<tbody>
<tr>
<td>/inner/prices/pricelist.html</td>
<td>/prices/pricelist.html</td>
</tr>
<tr>
<td>/inner/prices</td>
<td>/prices</td>
</tr>
<tr>
<td>novell.com/inner/prices</td>
<td>inner.com/prices</td>
</tr>
</tbody>
</table>
3.8.5.4 Configuring the HTML Rewriter and Profile

You configure the HTML rewriter for a proxy service, and these values are applied to all web servers that are protected by this proxy service.

To configure the HTML rewriter:


   The HTML Rewriting page specifies which DNS names are to be rewritten. The HTML Rewriter Profile specifies which pages to search for DNS names that need to be rewritten.

2. Select Enable HTML Rewriting.

   This option is enabled by default. When it is disabled, no rewriting occurs. When enabled, this option activates the internal HTML rewriter. This rewriter replaces the name of the web server with the published DNS name when sending data to the browsers. It replaces the published DNS name with the Web Server Host Name when sending data to the web server. It also makes sure the proper scheme (HTTP or HTTPS) is included in the URL. This is needed because you can configure Access Gateway to use HTTPS between itself and client browsers and to use HTTP between itself and the web servers.

3. In the Additional DNS Name List section, click New, specify a DNS that appears on the web pages of your server (for example a DNS name other than the web server’s DNS name), then click OK.

   For more information, see “Determining Whether You Need to Specify Additional DNS Names” on page 120.

4. In the Exclude DNS Name List section, click New, specify a DNS name that appears on the web pages of your server that you do not want rewritten, then click OK.

   For more information, see “Determining Whether You Need to Exclude DNS Names from Being Rewritten” on page 122.

5. Use the HTML Rewriter Profile List to configure a profile. Select one of the following actions:

   - **New**: To create a profile, click New. Specify a display name for the profile and select either a Word or Character for the Search Boundary. Continue with “Creating or Modifying a Rewriter Profile” on page 131.

   - **Word**: A Word profile searches for matches on words. For example, “get” matches the word “get” and any word that begins with “get” such as “getaway” but it does not match the “get” in “together” or “beget.”

     If you create multiple Word profiles, order is important. The first Word profile that matches the page is applied. Word profiles lower in the list are ignored.

   - **Character**: A Character profile searches for matches on a specified set of characters. For example, “top” matches the word “top” and the “top” in “tabletop,” “stopwatch,” and “topic.”

     If you want to add functionality to the default profile, create a Character profile. It has all the functionality of a Word profile, except searching for attribute names and Java variables and methods. If you create multiple Character profiles, order is important. The first Character profile that matches the page is applied. Character profiles lower in the list are ignored.

   - **Delete**: To delete a profile, select the profile, then click Delete.

   - **Enable**: To enable a profile, select the profile, then click Enable.

   - **Disable**: To disable a profile, select the profile, then click Disable.
Modify: To view or modify the current configuration for a profile, click the name of the profile. Continue with "Creating or Modifying a Rewriter Profile" on page 131.

The default profile is designed to be applied to all pages protected by Access Gateway. It is not specific to a reverse proxy or its proxy services. If you modify its behavior, remember its scope. Rather than modify the default profile, you must create your own custom Word profile and enable it.

6 If you have more than one profile in the HTML Rewriter Profile List, use the up-arrow and down-arrow buttons to order the profiles.

If you create more than one profile, order becomes important. For example if you want to rewrite all pages with a general rewriter profile (with a URL such as /*) and one specific set of pages with another rewriter profile (with a URL such as /doc/100506/*), you need to have the specific rewriter profile listed before the general rewriter profile.

Even if multiple Word or Character profiles are enabled, a maximum of one Word profile and one Character profile is executed per page. The first Word profile and Character profile in the list that matches a page are executed, and the others are ignored.

7 Enable the profiles you want to use for this protected resource. Select the profile, then click Enable.

The default profile cannot be disabled. However, it is not executed if you have enabled another Word profile that matches your pages, and this profile comes before the default profile in the list.

8 To save your changes to browser cache, click OK.

9 To apply your changes, click the Access Gateways link, then click Update > OK.

10 The cached pages affected by the rewriter changes must be updated on Access Gateway. Do one of the following:

- If the changes affect numerous pages, click Access Gateways, select the name of the server, then click Actions > Purge All Cache.
- If the changes affect only a few pages, you can refresh or reload the pages within the browser.

### 3.8.5.5 Creating or Modifying a Rewriter Profile

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > HTML Rewriting.

2 Select one of the following:

- To create a new profile, click New, specify a name, select a profile type, then click OK.
- To modify a profile, click the name of the profile.

3 Use the Requested URLs to Search section to set up a policy for specifying the URLs you want this profile to match.

Fill in the following fields:

If Requested URL Is: Specify the URLs of the pages you want this profile to match. Click New to add a URL to the text box. To add multiple values, enter each value on a separate line.

And Requested URL Is Not: Specify the URLs of pages that this profile must not match. If a page matches the URL in both the If Requested URL Is list and And Requested URL Is Not list, the profile does not match the page. Click New to add a URL to the text box. To add multiple values, enter each value on a separate line.
And Document Content-Type Is: Select the content-types you want this profile to match. To add a new content-type, click New and specify the name such as text/dns. Search your web pages for content-types to determine if you need to add new types. To add multiple values, enter each value on a separate line.

For more information about how to use these options, see "Page Matching Criteria for Rewriter Profiles" on page 124.

4 Use the Actions section to specify the actions the rewriter must perform if the page matches the criteria in the Requested URLs to Search section.

Configure the following actions:

Rewrite Inbound Query String Data: (Not available for Character profiles) Select this option to rewrite the domain and URL in the query string to match the web server. To use this option, your proxy service must meet the conditions listed in “Possible Actions for Rewriter Profiles” on page 125.

Rewrite Inbound Post Data: (Not available for Character profiles) Select this option to rewrite the domain and URL in the Post Data to match the web server. To use this option, your proxy service must meet the conditions listed in “Possible Actions for Rewriter Profiles” on page 125.

Rewrite Inbound Headers: Select this option to rewrite the following headers:

- Call-Back
- Destination
- If
- Notification-Type
- Referer

Enable Rewriter Actions: Select this action to enable the rewriter to perform any actions:

- Select it to have the rewriter use the profile to rewrite references and data on the page. If this option is not selected, you cannot configure the action options.
- Leave it unselected to disable rewriting. This allows you to create a profile for the pages you do not want rewritten.

5 (Not available for Character profiles) If your pages contain JavaScript, use the Additional Names to Search for URL Strings to Rewrite with Host Name section to specify JavaScript variables or methods. You can also add HTML attribute names. (For the list of attribute names that are automatically searched, see “HTML Tags” on page 119.)

Fill in the following fields:

Variable or Attribute Name to Search for Is: Lists the name of an HTML attribute or JavaScript variable to search to see if its value contains a URL string. Click New to add a name to the text box. To add multiple values, enter each value on a separate line.

JavaScript Method to Search for Is: Lists the names of Java methods to search to see if their parameters contain a URL string. Click New to add a method to the text box. To add multiple values, enter each value on a separate line.

6 Use the Additional Strings to Replace section to specify a string to search for and specify the text it must be replaced with. The search boundary (word or character) that you specified when creating the profile is used when searching for the string.

To add a string, click New, then fill in the following:

Search: Specify the string you want to search for. The profile type controls the matching and replacement rules. For more information, see one of the following:

- “String Replacement Rules for Character Profiles” on page 128
- “String Replacement Rules for Word Profiles” on page 126
- “Using $path to Rewrite Paths in JavaScript Methods or Variables” on page 128
Replace With: Specify the string you want to use in place of the search string.

7 Click OK.

8 If you have more than one profile in the HTML Rewriter Profile List, use the up-arrow and down-arrow buttons to order the profiles.

If you create more than one profile, order becomes important. For example if you want to rewrite all pages with a general rewriter profile (with a URL such as /*) and one specific set of pages with another rewriter profile (with a URL such as /doc/100506/*), you need to have the specific rewriter profile listed before the general rewriter profile.

Even if multiple Word or Character profiles are enabled, a maximum of one Word profile and one Character profile is executed per page. The first Word profile and Character profile in the list that matches a page are executed, and the others are ignored.

9 Enable the profiles you want to use for this protected resource. Select the profile, then click Enable.

The default profile cannot be disabled. However, it is not executed if you have enabled another Word profile that matches your pages, and this profile comes before the default profile in the list.

10 To save your changes to browser cache, click OK.

11 To apply your changes, click the Access Gateways link, then click Update > OK.

12 The cached pages affected by the rewriter changes must be updated on Access Gateway. Do one of the following:
   - If the changes affect numerous pages, click Access Gateways, select the name of the server, then click Actions > Purge All Cache.
   - If the changes affect only a few pages, refresh or reload the page within the browser.

3.8.5.6 Disabling the Rewriter

There are three methods you can use to disable the internal rewriter:

- “Disabling per Proxy Service” on page 133
- “Disabling per URL” on page 134
- “Disabling with Page Modifications” on page 134

Disabling per Proxy Service

By default, the rewriter is enabled for all proxy services. The rewriter can slow performance because of the parsing overhead. In some cases, a website might not have content with URL references that need to be rewritten. The rewriter can be disabled on the proxy service that protects that website.

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > HTML Rewriting.

2 Deselect the Enable HTML Rewriting option, then click OK.

3 To apply your changes, click the Access Gateways link, then click Update > OK.

4 Select Access Gateway, then click Actions > Purge All Cache > OK.
**Disabling per URL**

You can also specify a list of URLs that are to be excluded from being rewritten for the selected proxy service.

2. Click the name of the Word profile defined for this proxy service.
   - If you have not defined a custom Word profile for the proxy service, you might want to create one. If you modify the default profile, those changes are applied to all proxy services.
3. In the And Requested URL Is Not section, click New, then specify the names of the URLs you do not want rewritten.
   - Specify each URL on a separate line.
4. Click OK twice.
5. In the HTML Rewriter Profile List, make sure the profile you have modified is enabled and at the top of the list, then click OK.
6. To apply your changes, click the Access Gateways link, then click Update > OK.
7. Select Access Gateway, then click Actions > Purge All Cache > OK.

**Disabling with Page Modifications**

There are cases when the URLs in only part of a page or in some of the JavaScript or form can be rewritten and the rest must not be rewritten. When this is the case, you might need to modify the content on the web server. Although this deviates from the design behind Access Manager, you might encounter circumstances where it cannot be avoided.

You can add the following types of tags to the pages on the web server:

- Page Tags
- Param Tags
- Form Tags

These tags are seen by browsers as a comment mark, and do not show up on the screen (except possibly on older browser versions).

**NOTE:** If the pages you modify are cached on Access Gateway, you need to purge the cache before the changes become effective. Click Access Gateways, select the name of the server, then click Actions > Purge All Cache

**Page Tags:** If you want only portions of a page rewritten, you can add the following tags to the page.

```html
<!--NOVELL_REWRITER_OFF-->
  .
  .
  HTML data not to be rewritten
  .
  .
<!--NOVELL_REWRITER_ON--> 
```

The last tag is optional, and if omitted, it prevents the rest of the page from being rewritten after the `<!--NOVELL_REWRITER_OFF-->` tag is encountered.
**Param Tags:** Sometimes the JavaScript on the page contains `<param>` elements that contain a value attribute with a URL. You can enable global rewriting of this attribute by adding `value` to the list of variable and attribute names to search for. If you need more control because some URLs need to be rewritten but others cannot be rewritten, you can turn on and turn off the `value` rewriting by adding the following tags before and after the `<param>` element in the JavaScript.

```html
<!--NOVELL_REWRITE_ATTRIBUTE_ON='value'-->
```

`. .`<param> elements to be rewritten

```
<!--NOVELL_REWRITE_ATTRIBUTE_OFF='value'-->
```

`. .`<param> elements that shouldn't be rewritten

**Form Tags:** Some applications have forms in which the `<input>`, `<button>`, and `<option>` elements contain a value attribute with a URL. You can enable global rewriting of these attributes by adding `formvalue` to the list of variable and attribute names to search for. If you need more control because some URLs need to be rewritten but others cannot be rewritten, you can turn on and turn off the `formvalue` rewriting by adding the following tags before and after the `<input>`, `<button>`, and `<option>` elements in the form.

```html
<!--NOVELL_REWRITE_ATTRIBUTE_ON='formvalue'-->
```

`. .`<input>, <button>, and <option> elements to be rewritten

```
<!--NOVELL_REWRITE_ATTRIBUTE_OFF='formvalue'-->
```

`. .`<input>, <button>, and <option> elements that shouldn't be rewritten

### 3.8.6 Configuring Connection and Session Limits

Access Gateway establishes connections with clients and with web servers. For most networks, the default values for unresponsive connections and sessions provide adequate performance, but you can fine-tune the options for your network, its performance requirements, and your users:

- Section 3.8.6.1, “Configuring TCP Listen Options for Clients,” on page 136
- Section 3.8.6.2, “Configuring TCP Connect Options for Web Servers,” on page 136
- Section 3.8.6.3, “Configuring Connection and Session Persistence,” on page 137
- Section 3.8.6.4, “Configuring Web Servers,” on page 138

Authentication time limits for inactivity sessions are configured on the contract and enforced by Identity Server. For information about how to configure this limit, see “Assigning a Timeout Per Protected Resource” on page 114.
3.8.6.1 Configuring TCP Listen Options for Clients

The TCP listen options allow you to control how idle and unresponsive browser connections are handled and to optimize these processes for your network. For most networks, the default values provide adequate performance. If your network is congested and slow, you might want to increase some of the limits.

2. Select Enable Persistent Connections to allow Access Gateway to establish a persistent HTTP connection between Access Gateway and the browser. Usually, HTTP connections service only one request and response sequence. A persistent connection allows multiple requests to be serviced before the connection is closed.

   This option is enabled by default.
3. Specify values for the TCP Listen Options:

   Keep Alive Interval: Determines when an idle connection is closed. If no application data is exchanged over a connection for this amount of time, the connection is closed. This value limits how long an idle persistent connection is kept open. This setting is a compromise between freeing resources to allow additional inbound connections, and keeping connections established so that new connections from the same device do not need to be re-established. The value can be set from 1 to 1440 seconds (24 minutes). The default is 300 seconds (5 minutes).

   Data Read Timeout: Determines when an unresponsive connection is closed. When exchanging data, if an expected response from the connected device is not received within this amount of time, the connection is closed. This value might need to be increased for slow or congested network links. The value can be set from 1 to 3600 seconds (1 hour). The default is 120 seconds (2 minutes).

4. To configure the encryption key, select one or more of the following:

   Enforce 128-Bit Encryption between Browser and Access Gateway: When this option is selected, Access Gateway requires all its server connections with client browsers to use 128-bit encryption. If the encryption key is less than 128, regardless of the cipher suite, the connection is denied.

   Enforce 128-Bit Encryption between Access Gateway and Web Server: When this option is selected, Access Gateway requires all its client connections to web servers to use 128-bit encryption. If the encryption key is less than 128, regardless of the cipher suite, the connection is denied.

   NOTE: These SSL listening options appear disabled if you are configuring the tunneling services.

5. To save your changes to browser cache, click OK.
6. To apply your changes, click the Access Gateways link, then click Update > OK.

3.8.6.2 Configuring TCP Connect Options for Web Servers

Connect options are specific to the group of web servers configured for a proxy service. They allow you to control how idle and unresponsive web server connections are handled and to optimize these processes for your network. For most networks, the default values provide adequate performance. If your network is congested and slow, you might want to increase some of the limits.

2. Configure the IP address to use when establishing connections with web servers:
Cluster Member: (Available only if Access Gateway is a member of a cluster.) Select the server you want to configure from the list of servers. Only the value of the Make Outbound Connection Using option applies to the selected server.

3 Select how the web servers must be contacted when multiple web servers are available. Select one of the following for the Policy for Multiple Destination IP Addresses option:
   - Simple Failover: Allows the next available web server in the group to be contacted when the first server in the list is no longer available.
   - Round Robin: Moves in order through the list of web servers, allowing each to service requests before starting at the beginning of the list for a second group of requests.

4 Select Enable Persistent Connections to allow Access Gateway to establish a persistent HTTP connection between Access Gateway and the web server. Usually, HTTP connections service only one request and response sequence. A persistent connection allows multiple requests to be serviced before the connection is closed.

This option is enabled by default.

5 To modify the connection timeouts between Access Gateway and the web servers, configure the following fields:

   - **Data Read Timeout:** Determines when an unresponsive connection is closed. When exchanging data, if an expected response from the connected device is not received within this amount of time, the connection is closed. This value might need to be increased for slow or congested network links. The value can be set from 1 to 3600 seconds (1 hour). The default is 120 seconds (2 minutes).

   - **Idle Timeout:** Determines when an idle connection is closed. If no application data is exchanged over a connection for this amount of time, the connection is closed. This value limits how long an idle persistent connection is kept open. This setting is a compromise between freeing resources to allow additional inbound connections, and keeping connections established so that new connections from the same device do not need to be re-established. The value can be set from 1 to 1800 seconds (30 minutes). The default is 180 seconds (3 minutes).

6 To save your changes to browser cache, click **OK**.

7 To apply your changes, click the Access Gateways link, then click Update > **OK**.

### 3.8.6.3 Configuring Connection and Session Persistence

Access Gateway establishes three types of connections:

- Access Gateway to browser
- Access Gateway to web server
- Browser to web server

Access Gateway connections to the browser and Access Gateway connections to the web server involve setting up a TCP connection for an HTTP request. HTTP connections usually service only one request and response sequence, and the TCP connection is opened and closed during the sequence. A persistent connection allows multiple requests to be serviced before the connection is closed and saves a significant amount of processing time. To configure this type of persistence, see the following:

- **Access Gateway to Browser:** Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > TCP Listen Options and configure the Enable Persistent Connections option.

- **Access Gateway to Web Server:** Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers > TCP Connect Options and configure the Enable Persistent Connections option.
3.8.6.4 Configuring Web Servers

The web server configuration determines how Access Gateway handles connections and packets between itself and the web servers. For more information about web server configuration, see Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104.


2. If your browsers are capable of sending HTTP 1.1 requests, configure the following field to match your web servers:

   **Enable Force HTTP 1.0 to Origin**: Indicates whether HTTP 1.1 requests from browsers are translated to HTTP 1.0 requests before sending them to the web server. If your browsers are sending HTTP 1.1 requests and your web server can only handle HTTP 1.0 requests, you must enable this option.

   When the option is enabled, Access Gateway translates an HTTP 1.1 request to an HTTP 1.0 request.

3. To enable SSL connections between the proxy service and its web servers, select Connect Using SSL. For configuration information for this option, Web Server Trusted Root, and SSL Mutual Certificate, see Section 17.5, “Configuring SSL between the Proxy Service and the Web Servers,” on page 883.

4. In the **Connect Port** field, specify the port that Access Gateway must use to communicate with the web servers. The following table lists some default port values for common types of web servers.

<table>
<thead>
<tr>
<th>Server Type</th>
<th>Non-Secure Port</th>
<th>Secure Port</th>
</tr>
</thead>
<tbody>
<tr>
<td>Web server with HTML content</td>
<td>80</td>
<td>443</td>
</tr>
<tr>
<td>WebSphere</td>
<td>9080</td>
<td>9443</td>
</tr>
<tr>
<td>JBoss</td>
<td>8080</td>
<td>8443</td>
</tr>
</tbody>
</table>

5. To control how idle and unresponsive web server connections are handled and to optimize these processes for your network, select TCP Connect Options. For more information, see “Configuring TCP Connect Options for Web Servers” on page 136.

6. To add a web server, click **New** in the Web Server List and specify the IP address or the fully qualified DNS name of the web server.

   - **New**: To create a new web server, click New. Specify the web server IP Address or DNS. Click OK to add the new web server to the list or Cancel to discard the changes.
     
     After creating the web server in the list, you can configure it as primary server and prioritize the list of web servers based on your requirement.

   - **Delete**: To delete a web server, select the web server from the list, then click Delete.
     
     If you delete the selected web server, then all the web servers which are corresponding to the device in the cluster gets deleted.

7. To save your changes to browser cache, click **OK**.

8. To apply your changes, click the **Access Gateways** link, then click **Update > OK**.
3.8.7 Protecting Multiple Resources

This section describes how to create multiple resources for Access Gateways.

3.8.7.1 Using Multi-Homing to Access Multiple Resources

You can configure an Access Gateway to use one public IP address to protect multiple types of web resources. This is one of the major benefits of Access Gateway, because it conserves valuable resources such as IP addresses. This feature also makes an Access Gateway a multi-homing device because it becomes a single endpoint supporting multiple back-end resources.

You can select to use only one multi-homing method, or you can use multiple methods. Select the methods that meet the needs of your network and the resources you are protecting. The first proxy service configured for a reverse proxy is always configured to use the DNS name of Access Gateway. Subsequent proxy services can be configured to use one of the following methods:

- “Domain-Based Multi-Homing” on page 139
- “Path-Based Multi-Homing” on page 141
- “Virtual Multi-Homing” on page 144

This section describes these multi-homing methods, then explains the following:

- “Creating a Second Proxy Service” on page 144
- “Configuring a Path-Based Multi-Homing Proxy Service” on page 145

Domain-Based Multi-Homing

Domain-based multi-homing is based on the cookie domain. For example, if you have a cookie domain of company.com, you can prefix hostnames to a cookie domain name. For a test resource, you can prefix test to company.com and have test.company.com resolve to the IP address of Access Gateway. Access Gateway configuration for the test.company.com proxy service contains the information for accessing its web servers (test1.com). Figure 3-12 illustrates this type of configuration for three proxy services.
Domain-based multi-homing has the following characteristics:

- If you are using SSL, the back-end servers can all listen on the same SSL port (the default for HTTPS is 443).
- If you are using SSL, the back-end servers can share the same SSL certificate. Instead of using a specific hostname in the SSL certificate, the certificate can use a wildcard name such as *.company.com, which matches all the servers.

Before configuring Access Gateway, you need to complete the following:

- Create the published DNS names with a common domain name for public access to the back-end resources. For example, the table below lists three DNS names that use company.com as a common domain name, lists the IP address that these DNS names resolve to, and the web servers they protect.

<table>
<thead>
<tr>
<th>Published DNS Name</th>
<th>Access Gateway IP Address</th>
<th>Web Server Host Name</th>
<th>Web Server IP Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>test.company.com</td>
<td>10.10.195.90:80</td>
<td>test.internal.com</td>
<td>10.10.15.10</td>
</tr>
<tr>
<td>sales.company.com</td>
<td>10.10.195.90:80</td>
<td>sales.internal.com</td>
<td>10.10.15.20</td>
</tr>
<tr>
<td>apps.company.com</td>
<td>10.10.195.90:80</td>
<td>apps.internal.com</td>
<td>10.10.15.30</td>
</tr>
</tbody>
</table>

- Configure your DNS server to resolve the published DNS names to the IP address of Access Gateway.
- Set up the back-end web servers.
• Create three proxy services for these published DNS names.
   
   To create a domain-based multi-homing proxy service, see “Creating a Second Proxy Service” on page 144, and select domain-based for the multi-homing type.

Path-Based Multi-Homing

Path-based multi-homing uses the same DNS name for all resources, but each resource or resource group must have a unique path appended to the DNS name. For example, if the DNS name is test.com, you would append /sales to test.com. When the user enters the URL of www.test.com/sales, Access Gateway resolves the URL to the sales resource group. Figure 3-13 illustrates this type of configuration.

Figure 3-13 Using a Domain Name with Path Elements

Path-based multi-homing has the following characteristics:

• It is considered to be more secure than domain-based multi-homing, because some security experts consider wildcard certificates less secure than a certificate with a specific hostname.
• Each resource or group of resources must have a unique starting path.
• JavaScript applications might not work as designed if they obscure the URL path. Access Gateway needs access to the URL path, and if it is obscured, the path cannot be resolved to the correct back-end resource.
• The protected resources for each path-based child come from the parent proxy service.

The following sections explain how to configure path-based proxy services and your network so that Access Gateway can find the correct protected resources:

• Configuring the Remove the Path on Fill Option
• Configuring the Host Header Option
Configuring the Remove the Path on Fill Option

If the path that is part of the published DNS name (/sales or /apps) is used to identify a resource but is not part of directory configuration on the web server, the path needs to be removed from the URL before the request is sent to the web server. For example, suppose you use the following configuration:

<table>
<thead>
<tr>
<th>Browser URL Using the Published DNS Name</th>
<th>Web Server URL</th>
</tr>
</thead>
</table>

In this case, the path needs to be removed from the URL that Access Gateway sends to the web server. Access Gateway does not allow you to set up multiple paths to this type of web server, so all pages must have the same authentication requirements.

If the path in the published DNS name is a path on the web server, the path needs to be passed to the web server as part of the URL. For example, suppose you use the following configuration:

<table>
<thead>
<tr>
<th>Browser URL Using the Published DNS Name</th>
<th>Web Server URL</th>
</tr>
</thead>
</table>

Because the path component specifies a directory on the web server where the content begins, you need to select to include the path. Access Gateway then includes the path as part of the URL it sends to the web server. This configuration allows you to set up multiple paths to the web server, such as

- sales/payroll
- sales/reports
- sales/products

Such a configuration also allows you to set up different authentication and authorization requirements for each path.

Configuring the Host Header Option

When you create path-based proxy services and also enable the Remove Path on Fill option, you need to know what types of links exist on the web servers. For example, you need to know if the sales web servers in Figure 3-13 on page 141 have links to the app web servers or to the test web servers. If they don’t, you can set the Host Header option to either Forward Received Host Name or to Web Server Host Name. However, if they do contain links to each other, you need to set the Host Header option to Web Server Host Name and specify a DNS name for the web server in the Web Server Host Name option. Access Gateway needs a method to distinguish between the web servers other than the path, because after the path is removed, all the web servers in Figure 3-13 on page 141 have the same name: www.test.com.

If you select to use the Forward Received Host Name option for a path-based service, you might also need to add entries to the Additional DNS Name List for the rewriter. For more information, see “Determining Whether You Need to Specify Additional DNS Names” on page 120.
Preparing for Path-Based Multi-Homing

Before configuring Access Gateway, you need to complete the following:

- Create the published DNS names with paths for public access to the back-end resources. For example, the table below uses test.com as the domain name. It lists three published DNS names (two with paths), the IP address these names resolve to, and the web servers that they are going to protect:

<table>
<thead>
<tr>
<th>Published DNS Name</th>
<th>Access Gateway IP Address</th>
<th>Web Server Host Name</th>
<th>Web Server IP Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>test.com</td>
<td>10.10.195.90:80</td>
<td>test.internal.com</td>
<td>10.10.15.10</td>
</tr>
<tr>
<td>test.com/sales</td>
<td>10.10.195.90:80</td>
<td>sales.internal.com</td>
<td>10.10.15.20</td>
</tr>
<tr>
<td>test.com/apps</td>
<td>10.10.195.90:80</td>
<td>apps.internal.com</td>
<td>10.10.15.30</td>
</tr>
</tbody>
</table>

- Configure your DNS server to resolve the published DNS names to the IP address of Access Gateway.

- Set up the back-end web servers. If they have links to each other, set up DNS names for the web servers.

- Create one proxy service that uses test.com as its published DNS name and two path-based proxy services.

To create a path-based multi-homing proxy service, see “Creating a Second Proxy Service” on page 144, and select path-based for the multi-homing type.
Virtual Multi-Homing

Virtual multi-homing allows you to use DNS names from different domains (for example test.com and sales.com). Each of these domain names must resolve to Access Gateway host. Figure 3-14 illustrates this type of configuration.

**Figure 3-14 Using Multiple DNS Names**

Virtual multi-homing cannot be used with SSL. You must use this configuration with resources that need to be protected, but the information exchanged must be public information that does not need to be secure. For example, you could use this configuration to protect your web servers that contain the catalog of your shipping products. It isn’t until the user selects to order a product that you need to switch the user to a secure site.

Whether a client can use one DNS name or multiple DNS names to access Access Gateway depends upon the configuration of your DNS server. After you have configured your DNS server to allow multiple names to resolve to the same IP address, you are ready to configure Access Gateway.

To create a virtual multi-homing proxy service, see “Creating a Second Proxy Service” on page 144, and select Virtual for the multi-homing type.

**Creating a Second Proxy Service**

1. Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].
2. In the Proxy Service List, select New.
3. Fill in the fields.

**Proxy Service Name**: Specify a display name for the proxy service. For the sales group, you might use sales. For the group of application servers, you might use apps.
**Multi-Homing Type:** Specify the multi-homing method that Access Gateway must use to identify this proxy service. Select one of the following:

- **Domain-Based:** Uses the published DNS name (www.test.com) with a hostname (www.newsite.test.com). For more information, see “Domain-Based Multi-Homing” on page 139.

- **Path-Based:** Uses the published DNS name (www.test.com) with a path (www.test.com/path). For more information, see “Path-Based Multi-Homing” on page 141.

- **Virtual:** Uses a unique DNS name (www.newsite.newcompany.com). Virtual multi-homing cannot be used with SSL. For more information, see “Virtual Multi-Homing” on page 144. If you need a unique DNS name and SSL, you need to create a reverse proxy rather than a proxy service. For information about creating a second reverse proxy, see “Managing Multiple Reverse Proxies” on page 148.

**Published DNS Name:** Specify the DNS name you want the public to use to access your site. This DNS name must resolve to the IP address you set up as the listening address. This option is not available when path-based multi-homing is selected.

**Path:** Specify the path to use for this proxy service. This option is available only when path-based multi-homing is selected.

**Web Server IP Address:** Specify the IP address of the web server you want this proxy service to manage.

**Host Header:** Specify whether the HTTP header must contain the name of the back-end web server (Web Server Host Name option) or whether the HTTP header must contain the published DNS name (the Forward Received Host Name option).

For a path-based multi-homing service, it is usually best to select the Web Server Host Name option. For more information, see “Configuring the Host Header Option” on page 142.

**Web Server Host Name:** Specify the DNS name of the web server that Access Gateway must forward to the web server. If you have set up a DNS name for the web server and the web server requires its DNS name in the HTTP header, specify that name in this field. If you selected Forward Received Host Name, this option is not available.

For iChain administrators, the Web Server Host Name is the alternate hostname when configuring a Web Server Accelerator.

4 Click OK.

5 To continue, select one of the following:

- To configure a virtual or domain-based proxy service, see “Configuring a Proxy Service” on page 101.

- To configure a path-based proxy service, see “Configuring a Path-Based Multi-Homing Proxy Service” on page 145.

### Configuring a Path-Based Multi-Homing Proxy Service

To configure a path-based proxy service:

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Path-Based Multi-Homing Proxy Service].

The following fields display information that must be configured on the parent proxy service (the first proxy service created for this reverse proxy).

**Published DNS Name:** Displays the value that users are currently using to access this proxy service. This DNS name must resolve to the IP address you set up as a listening address on Access Gateway.
Cookie Domain: Displays the domain for which the cookie is valid. The web server that the user is accessing must be configured to be part of this domain.

2 Configure the following options:

Description: (Optional) Provide a description of the purpose of this proxy service or specify any other pertinent information.

HTTP Options: Determines how the proxy service handles HTTP headers and caching. For more information, see Section 4.3.2, “Controlling Browser Caching,” on page 265.


3 Configure the path options:

Remove Path on Fill: Determines whether the multi-homing path is removed from the URL before forwarding it to the web server. If the path is not a directory at the root of the web server, the path must be removed. If this option is selected, the path is stripped from the request before the request is sent to the web server.

If you enable this option, this proxy service can protect only one path. If you have configured multiple paths in the Path List, you cannot enable this option until you have deleted all but one path.

Reinsert Path in “set-cookie” Header: Determines whether the path is inserted into the Set-Cookie header. This option is only available if you enable the Remove Path on Fill option.

4 Determine whether you need to create a protected resource for your path.

In the Path List, the path you specified is listed along with the protected resource that best matches its path.

Access Gateway automatically selects the protected resource that is used with the specified path. It selects the current protected resource whose URL path most closely matches the specified path.

- If you have a protected resource with a URL path of /*, Access Gateway selects that resource unless you have configured a protected resource that has a URL path that more closely matches the path specified on this page.
- If you add a protected resource at a future time and its URL path more closely matches the path specified on this page, Access Gateway automatically reconfigures to use this new protected resource.
- If you disable a protected resource that Access Gateway has assigned to a path-based service, Access Gateway automatically reconfigures and selects the next protected resource that most closely matches the path specified on this page.

4a In the Path List section, click the Protected Resource link.

4b Examine the contract, Authorization, Identity Injection, and Form Fill policies assigned to this protected resource to ensure that they meet the requirements for your path-based service.

4c To return to the Path-Based Multi-Homing page, click the Overview tab, then click OK.

- If the protected resource meets your needs, continue with Step 5
- If the protected resource does not meet your needs, you must create a protected resource for the path-based proxy service. Continue with Step 4d.

4d Click OK, select the name of the parent proxy service, then click Protected Resources.

4e In the Protected Resource List, click New, specify a name, then click OK.

4f Select an Authentication Procedure.
**4g** In the **URL Path List**, specify the path you used when creating the path-based proxy service. For example, if your path was `/apps`, specify `/apps/*` or `/apps` in the URL Path List.

**IMPORTANT:** If you create multiple protected resources that exactly match the path-based multi-homing service, there is no guarantee that a specific protected resource will be used. For example, if you create protected resources for both of the paths specified above (`/apps` and `/apps/*`) and you have a path-based service with a path of `/apps`, either of these protected resources could be assigned to this path-based service in Administration Console or used when access is requested.

**4h** Make sure the protected resource you created is enabled. If the resource is disabled, it does not appear in the Path List for the path-based proxy service.

**4i** (Optional) Enable the policies the path-based proxy service requires. Click **Authorization**, **Identity Injection**, or **Form Fill** and enable the appropriate policies.

**4j** Click **OK**.

5 Click **OK**.

6 To apply the changes, click the **Access Gateways** link, then click **Update > OK**.

### 3.8.7.2 Setting Up a Group of Web Servers

You can configure a proxy service to service a “virtual” group of web servers, which adds load balancing and redundancy. Each web server in the group must contain the same material. When you create the proxy service, you set up the first server by specifying the URLs you want users to access and the rights the users need for each URL. When you add additional web servers to the proxy service, these servers automatically inherit everything you have configured for the first web server.

*Figure 3-15  Adding Redundant Web Servers*

For this configuration, you use a single reverse proxy and proxy service. To add multiple web servers to a host:

1 Click **Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers**.

2 In the **Web Server List** section, click **New**.

3 Specify the IP address or the fully qualified DNS name of another web server for the “virtual” group, then click **OK**.

4 Repeat Step2 and Step3 to add additional web servers to the group.

5 Click **OK**.

6 To apply the changes, click the **Access Gateways** link, then click **Update > OK**.

Access Gateway uses the round robin algorithm by default. You can configure it to use the simple failover algorithm.
Simple failover sends all the traffic to the first web server as long as it is available. Traffic is sent to another web server in the list only when the first web server is no longer available. To configure this option, see “Configuring TCP Connect Options for Web Servers” on page 136.

Connection persistence is enabled by default. This allows Access Gateway to send multiple HTTP requests to the web server to be serviced before the connection is closed. To configure this option, see “Configuring TCP Connect Options for Web Servers” on page 136.

Session stickiness option is used if multiple web servers are configured for a service. Selecting this option makes the proxy server to use the same web server for all fills during a session. This option is enabled by default. For more information about persistent connections, see “Configuring Connection and Session Persistence” on page 137.

### 3.8.7.3 Managing Multiple Reverse Proxies

Each reverse proxy must have a unique IP address and port combination. If your Access Gateway has only one IP address, you must select unique port numbers for each additional reverse proxy that you create. You can configure Access Gateway to use multiple IP addresses. These addresses can be configured to use the same network interface card, or if you have installed multiple network cards, you can assign the IP addresses to different cards.

You need to use system utilities to configure network interface cards and new IP addresses. After they are configured, you can use the New IP option to make them available for Gateway Service configuration. See “Adding a New IP Address to Access Gateway” on page 257.

If you are creating more than one reverse proxy, you must select one to be used for authentication. By default, the first reverse proxy you create is assigned this task. Depending upon your Access Gateway configuration, you might want to set up one reverse proxy specifically for handling authentication. The authentication reverse proxy is also used for logout. If you have web applications that contain logout options, these options need to be redirected to the Logout URL of the authentication proxy.

- “Managing Entries in the Reverse Proxy List” on page 148

#### Managing Entries in the Reverse Proxy List

2. In the Reverse Proxy List, select one of the following actions:
   - **New**: To create a new reverse proxy, click New. You are prompted to enter a display name for the proxy. For configuration information, see Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98.
     
     Reverse proxy names and proxy service names must be unique to Access Gateway. Protected resource names need to be unique to the proxy service, but they don’t need to be unique to Access Gateway.
   - **Delete**: To delete a reverse proxy, select the check box next to a specific reverse proxy, then click Delete. To delete all reverse proxies, select the check box next to the Name column, then click Delete.
   - **Enable**: To enable a reverse proxy, select the check box next to a specific reverse proxy, then click Enable. To enable all reverse proxies, select the check box next to the Name column, then click Enable.
   - **Disable**: To disable a reverse proxy, select the check box next to a specific reverse proxy, then click Disable. To enable all reverse proxies, select the check box next to the Name column, then click Disable.
3 Click OK.

4 To apply the changes, click the **Access Gateways** link, then click **Update > OK**.

### 3.9 Configuring Trusted Providers for Single Sign-On

Access Manager Appliance provides the following information to configure single sign-on to different trusted providers. Access Manager Appliance also provides different ways to establish a SAML 2.0 connection to applications using connectors. Using connectors in simpler, but it works only for a specific set of SAML 2.0 applications. For more information, see "Understanding Federated Single Sign-On with SAML 2.0" in the *Access Manager Appliance Applications Configuration Guide*.

This section discusses configuring trust so that two user accounts can be associated with each other without the sites exchanging user data. Topics include:

- Section 3.9.1, "Understanding the Trust Model," on page 149
- Section 3.9.2, "Configuring General Provider Settings," on page 151
- Section 3.9.3, "Managing Trusted Providers," on page 155
- Section 3.9.4, "Modifying a Trusted Provider," on page 159
- Section 3.9.5, "Communication Security," on page 160
- Section 3.9.6, "Selecting Attributes for a Trusted Provider," on page 160
- Section 3.9.7, "Managing Metadata," on page 163
- Section 3.9.8, "Configuring an Authentication Response for a Service Provider," on page 167
- Section 3.9.9, "Routing to an External Identity Provider Automatically," on page 167
- Section 3.9.10, "Configuring Options for Trusted Service Providers," on page 167
- Section 3.9.11, "Using the Intersite Transfer Service," on page 168

#### 3.9.1 Understanding the Trust Model

Setting up trust involves system administrators agreeing on how to establish a secure method for providing and consuming authentication assertions between their Identity Servers. An Identity Server is always installed as an identity provider, which is used to provide authentication to trusted service providers and ESPs. It can also be configured to be a service provider and trust the authentication of an identity provider.

- "Identity Providers and Consumers" on page 149
- "Embedded Service Providers" on page 150
- "Configuration Overview" on page 151

#### 3.9.1.1 Identity Providers and Consumers

An Identity Server can be configured as an identity provider, which allows other service providers to trust it for authentication. It can also be configured as a service provider, which enables Identity Server to consume authentication assertions from trusted identity providers. Figure 3-16 depicts two Identity Servers. Identity Server at the top of the figure is configured as an identity provider for SAML 1.1, SAML 2.0, and Liberty authentication. Identity Server in the middle of the figure is configured as a service provider, consuming the authentication credentials of the top Identity Server. This second Identity Server is also configured as an identity provider, providing authentication for the Embedded Service Provider of Access Gateway.
As an administrator, you determine whether your server is to be used as the identity provider or service provider in the trust relationship. You and the trusted partner agree to exchange identity provider metadata, and then you create references to the trusted partner’s identity provider or service provider in your Identity Server configuration. You can obtain metadata via a URL or an XML document, then enter it in the system when you create the reference.

3.9.1.2 Embedded Service Providers

In addition to setting up trust with internal or external service providers, you can reference ESPs in your enterprise. An ESP uses the Liberty protocol and does not require metadata entry, because this exchange happens automatically. The ESP comes with Access Manager and is embedded in Access Gateways and a version of the SSL VPN server. The ESP facilitates authentication between Identity Server and the resource protected by the device, as shown in as shown in Figure 3-17.
3.9.1.3 Configuration Overview

The following high-level tasks describe the process required to set up the trust model between an identity provider and a service provider. Although these tasks assume that both providers are Identity Servers provided with Access Manager, similar tasks must be performed when one of the providers is a third-party application.

1. Administrators at each company install and configure Identity Server.
2. Administrators must exchange Identity Server metadata with the trusted partner.
   Metadata is generated by Identity Server and can be obtained via a URL or an XML document, then entered in the system when you create the reference. This step is not applicable if you are referencing an ESP. When you reference an ESP, the system lists the installed ESPs for you to choose, and no metadata entry is required.
3. Create the reference to the trusted identity provider and the service provider.
   This procedure associates the metadata with the new provider. See “Creating a Trusted Service Provider” on page 157.
4. Configure user authentication.
   This procedure defines how your Identity Server interacts with the trusted provider during user authentication. Access Manager comes with default basic authentication settings already enabled. See Chapter 5.2, “Federated Authentication,” on page 359.
   Additional important steps for enabling authentication between trusted providers include:
   - Setting up the necessary authentication contracts. See Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
   - Enabling the profiles that you are using. See “Managing Web Services and Profiles” on page 449.
   - Enabling the Always Allow Interaction option on the Web Service Consumer page. See “Configuring the Web Service Consumer” on page 457.
5. (Conditional) If you are setting up SAML 1.1 federation, the protocol does not allow the target link after federation to be automatically configured. You must manually configure this setting. See “Specifying the Intersite Transfer Service URL for the Login URL Option” on page 171.

3.9.2 Configuring General Provider Settings

The following settings are global. These affect any identity providers or identity consumers (service providers) that Identity Server has been configured to trust:

- Section 3.9.2.1, “Configuring the General Identity Provider Settings,” on page 151
- Section 3.9.2.2, “Configuring the General Identity Consumer Settings,” on page 153
- Section 3.9.2.3, “Configuring the Introductions Class,” on page 153
- Section 3.9.2.4, “Configuring the Trust Levels Class,” on page 154

3.9.2.1 Configuring the General Identity Provider Settings

The following settings affect all identity providers that Identity Server has been configured to trust.

1. Click Devices > Identity Servers > Edit > Identity Providers.
2. To specify identity provider settings, fill in the following fields:
Show logged out providers: Displays logged-out providers on the identity provider’s logout confirmation page.

Require Signed Authentication Requests: Specifies that for the Liberty 1.2 and SAML 2.0 protocols, authentication requests from service providers must be signed. When you enable this option for the identity provider, you must also enable the Sign Authentication Requests option under the Identity Consumer heading on this page for the external trusted service provider.

Use Introductions (Publish Authentications): Enables single sign-on from the service provider to the identity provider. The service provider determines the identity providers that users are already logged into, and then selectively and automatically asks for authentication from one of the identity providers. Introductions are enabled only between service and identity providers that have agreed to a circle of trust, which means that they have agreed upon a common domain name for this purpose.

After authenticating a user, the identity provider accesses a service at the service domain and writes a cookie to the common part of the service domain, publishing that the authentication has occurred.

Service Domain (Local and Common): Enables a service provider to access a service at the service domain prior to authenticating a user. This service reads cookies obtained at this domain and discovers if any identity providers have provided authentication to the user. The service provider determines whether any of these identity providers can authenticate a user without credentials. The service domain must resolve to the same IP address as the base URL domain.

For example, if an agreed-upon common domain is xyz.com, the service provider can specify a service domain of sp.xyz.com, and the identity provider can specify a service domain of idp.xyz.com. For the identity provider, xyz.com is the common value entered, and idp is the local value.

Port: The port to use for identity provider introductions. Port 8445 for HTTPS is the default and must be opened on your firewall. If you specify a different port, you must edit the Tomcat server.xml file.

3 Click OK, then update Identity Server.

Configuring a Global White List of Target URLs

Many applications and services require URL redirection, which can cause security risks. While redirecting, the request can be tampered to redirect users to an external, malicious site. To prevent such issues, you can configure a list of permissible domains. Redirection is allowed only to these configured domains.

1 Click Devices > Identity Servers > Edit > Identity Providers.

2 Under Redirection White List, click New.

3 Specify Domain.

You can specify a domain name with an asterisk wildcard character (*) that represents the entire DNS subtree. For example, specifying *.example.com as a domain allows redirection to all children domain under example.com including example.com. The www prefix is not required. You can specify the asterisk (*) wildcard only at the lowest level of the subtree.

For example:

Valid domain name: *.example.com

Invalid domain name: innerweb.*.com.

You must configure at least one domain to prevent open redirection.

- Liberty: The target parameter is filtered. If the requested target is not the white list, Identity Server does not login.
• WS-Fed: The \texttt{wreply} parameter is filtered. If the requested \texttt{wreply} is not in the white list, Identity Server does not login. However, if \texttt{wreply} is same as the provider's single logout or single sign-on URL domain, the request is accepted.

• SAML2: For idpsend, the \texttt{target} parameter is filtered using this list. This list is not applicable for spsend.

3.9.2.2 Configuring the General Identity Consumer Settings

The following settings affect all identity consumers (service providers) that Identity Server has been configured to trust.

1. Click Devices \rightarrow Identity Servers \rightarrow Edit \rightarrow Identity Consumer.

2. Specify whether Identity Server can run as an identity consumer.

   When Identity Server is configured to run as an identity consumer, Identity Server can receive (consume) authentication assertions from other identity providers.

   \textbf{Enable:} Enables this site to function as service provider. This setting is enabled by default.

   If this option is disabled, Identity Server cannot trust or consume authentication assertions from other identity providers. You can create and enable identity providers for the various protocols, but they are not loaded or used until this option is enabled.

   \textbf{Require Signed Assertions:} Specifies that all SAML assertions received by the service provider are signed by the issuing SAML authority. The signing authority uses a key pair to sign SAML data sent to this trusted provider.

   \textbf{Sign Authentication Requests:} Specifies that the service provider signs authentication requests sent to an identity provider when using the Liberty 1.2 and SAML 2.0 protocols.

   \textbf{Use Introductions (Discover IDP Authentications):} Enables a service provider to discover whether a user has authenticated to a trusted identity provider, so the user can use single sign-on without requiring authentication credentials.

   • \textbf{Service domain:} The shared, common domain for all providers in the circle of trust. This domain must resolve to the same IP address as the base URL domain. You must enable the Identity Consumer option to enable this field.

   • \textbf{Port:} The port to use for identity consumer introductions. Port 8446 for HTTPS is the default and must be opened on your firewall. If you specify a different port, you must edit the Tomcat \texttt{server.xml} file.

\begin{center}
\textbf{IMPORTANT:} If you enable the Use Introductions option and you want to allow your users to select which identity provider to use for authentication rather than use single sign-on, you need to configure the Introductions class. See "Configuring the Introductions Class" on page 153.
\end{center}

3. Click \texttt{OK}, then update Identity Server.

3.9.2.3 Configuring the Introductions Class

The Introduction class determines whether the user can select an identity provider to trust when Identity Server is acting as a service provider. The default behavior is for introductions to happen automatically, thus allowing single sign-on. Identity Server passively checks with the identity providers, one at a time, to see if they can authenticate the service provider. If the identity provider can authenticate the user and the Introductions class is enabled, the user is presented with one or more cards that look similar to the following:
The small check mark indicates to the user that this is a possible card. When the user mouses over the card, the description appears. If the user selects one of these cards, the user is automatically authenticated.

To configure the Introductions class:

1. Click Devices > Identity Server > Servers > Edit > Local > Classes > Introductions.
2. Click Properties > New, then specify the following values.
   - **Property Name**: Specify ShowUser.
   - **Property Value**: Specify true.
3. Click OK.
4. Return to the Servers page, then update the Identity Server.
5. When you configure this class, you need to also enable the Use Introductions option. Continue with “Configuring the General Identity Consumer Settings” on page 153.

### 3.9.2.4 Configuring the Trust Levels Class

The Trust Levels class allows you to specify an authentication level or rank for class types that do not appear on the Defaults page and for which you have not defined a contract. The level is used to rank the requested type. Using the authentication level and the comparison context, Identity Server can determine whether any contracts meet the requirements of the request. If one or more contracts match the request, the user is presented with the appropriate authentication prompts. For more information and other configuration options, see Section 5.1.5, “Specifying Authentication Defaults,” on page 319 and “Specifying Authentication Types” on page 320.

1. Click Devices > Identity Server > Servers > Edit > Local > Classes > Trust Levels.
2. Click Properties > New, then specify the following values.
   - **Property Name**: Specify SetClassTrustLevels.
   - **Property Value**: Specify true.
3. For each class type for which you want to set a level, create a property for that class.
   3a Set the Property Name to the name of the class. For example, use one of the following:
      - urn:oasis:names:tc:SAML:2.0:ac:classes:PreviousSession
      For additional values, refer to the SAML2 and Liberty Authentication Context Specifications.
   3b Set the Property Value to the security level or rank you want for the class. A level of 2 is higher than a level of 1.
4. Click OK, then update the Identity Server.
3.9.3 Managing Trusted Providers

The procedure for establishing trust between providers begins with obtaining metadata for the trusted provider. If you are using the NetIQ Identity Server, protocol-specific metadata is available via a URL.

1. Click Devices > Identity Servers > Servers > Edit > [Protocol].
   For the protocol, select Liberty, SAML 1.1 or SAML 2.0.
2. Select one of the following actions:
   - **New**: Launches the Create Trusted Identity Provider Wizard or the Create Trusted Service Provider Wizard, depending on your selection. See one of the following for more information:
     - “Creating a Trusted Service Provider” on page 157
     - “Creating a Trusted Identity Provider” on page 155
   - **Delete**: Allows you to delete the selected identity or service provider.
   - **Enable**: Enables the selected identity or service provider.
   - **Disable**: Disables the selected identity or service provider. When a provider is disabled, the server does not load the definition. The definition is not deleted, and at a future time, the provider can be enabled.

**IMPORTANT**: When selecting which protocol to use, be aware of logout behavior of the SAML 1.1 protocol. The SAML 2.0 and Liberty 1.2 protocols define a logout mechanism whereby the service provider sends a logout command to the trusted identity provider when a user logs out at a service provider. SAML 1.1 does not provide such a mechanism. For this reason, when a logout occurs at the SAML 1.1 service provider, no logout occurs at the trusted identity provider. A valid session is still running at the identity provider, and no credentials need to be entered. In order to log out at both providers, the user must navigate to the identity provider that authenticated him to the SAML 1.1 service provider and log out manually.

**NOTE**: While adding the Access Manager Appliance as an identity provider or service provider to other Access Manager providers, the Metadata URL option must not be selected because Access Manager Appliance does not have any non-secure port for identity provider.

3.9.3.1 Creating a Trusted Identity Provider

Before you can create a trusted identity provider, you must complete the following tasks:

- Shared the trusted root of the SSL certificate of your Identity Server with the identity provider so that the administrator can imported it into the identity provider’s trust store.
- Obtained the metadata URL from the identity provider, an XML file with the metadata, or the information required for manual entry. For more information about the manual entry option, see “Editing a SAML 1.1 Identity Provider’s Metadata” on page 165.
- Shared the metadata URL of your Identity Server with the identity provider or an XML file with the metadata.
- Enabled the protocol. Click Devices > Identity Servers > Edit, and on the Configuration page, verify that the required protocol in the Enabled Protocols section has been enabled.

To create an identity provider:

1. Click Devices > Identity Servers > Servers > Edit > SAML 1.1, SAML 2.0, or Liberty.
2. Click New, then click Identity Provider.
3 In the Name option, specify a name by which you want to refer to the provider.

4 Select one of the following sources for the metadata:

**Metadata URL:** Specify the metadata URL for a trusted provider. The system retrieves protocol metadata using the specified URL. Examples of metadata URLs for an Identity Server acting as an identity provider with an IP address of 10.1.1.1:

http://10.1.1.1:8080/nidp/saml/metadata
https://10.1.1.1:8443/nidp/saml/metadata

The nidp service is accelerated through Access Gateway with the port 443. The nidp page can be accessed through /nidp directly without any port number. where nidp is the Tomcat application name.

If your Identity Server and Administration Console are on different machines, use HTTP to import the metadata. If you are required to use HTTPS with this configuration, you must import the trusted root certificate of the provider into the trust store of Administration Console. You need to use the Java `keytool` to import the certificate into the `cacerts` file in the security directory of Administration Console.

The `cacerts` file is located at:

/opt/novell/java/jre/lib/security

If you do not want to use HTTP and you do not want to import a certificate into Administration Console, you can use the Metadata Text option. In a browser, enter the HTTP URL of the metadata. View the text from the source page, save the source metadata, then paste it into the Metadata Text option.

**Metadata Text:** An editable field in which you can paste copied metadata text from an XML document, assuming you obtained the metadata via e-mail or disk and are not using a URL. If you copy metadata text from a web browser, you must copy the text from the page source.

**Manual Entry:** (SAML 1.1) Allows you to enter metadata values manually. When you select this option, the system displays the Enter Metadata Values page. See “Editing a SAML 1.1 Identity Provider’s Metadata” on page 165.

**Metadata Repositories:** (SAML 1.1 and SAML 2.0) Allows you to configure several identity and/or service providers using a multi-entity metadata file available in a central repository.

5 Click Next.

6 Review the metadata certificates, then click OK.

7 Configure an authentication card to use with this identity provider. Fill in the following fields:

**ID:** (Optional) Specify an alphanumeric value that identifies the card. If you need to reference this card outside of Administration Console, you need to specify a value here. If you do not assign a value, Identity Server creates one for its internal use

**Text:** Specify the text that is displayed on the card to the user.

**Login URL:** Specify an Intersite Transfer Service URL. The URL has the following format, where idp.sitea.novell.com is the DNS name of the identity provider and idp.siteb.novell.com is the name of the service provider:

**NOTE:** The PID in the login URL must exactly match the entity ID specified in the metadata.


For more information, see “Specifying the Intersite Transfer Service URL for the Login URL Option” on page 171.
3.9.3.2 Creating a Trusted Service Provider

You can configure Identity Server to trust a service provider or an identity provider.

- When you create a trusted identity provider, you are allowing that identity provider to authenticate the user and Identity Server acts as a service provider.
- When you create a trusted service provider, you are configuring Identity Server to provide authentication for the service provider and Identity Server acts as an identity provider.

Both of these types of trust relationships require the identity provider to establish a trusted relationship with the service provider and the service provider to establish a trusted relationship with the identity provider.

The default settings of identity and service providers when you import the metadata repository are as follows:

- **SAML 1.1 Identity Provider**
  - Persistent Federation
  - Artifact Binding
  - No contracts associated to Satisfiable list of IDP
  - No image selected for the IDP card
  - Login URL will be empty with Show card disabled.
  - No attribute set associated

- **SAML 1.1 Service Provider**
  - No contracts associated to Satisfiable list of SP
  - No Attribute set associated

- **SAML 2.0 Identity Provider**
  - Persistent Federation as the Name Identifier
  - Artifact Binding
  - No contracts associated to Satisfiable list of IDP
  - No image selected for the IDP card
  - No Attribute set associated

- **SAML 2.0 Service Provider**
  - No contracts associated to Satisfiable list of SP
Prerequisites
Before you can create a trusted provider, you must complete the following tasks:

- Shared the trusted root of the SSL certificate of your Identity Server with the other provider so that the administrator can imported it into the provider’s trust store.
- Obtained the metadata URL from the other provider or an XML file with the metadata.
- Shared the metadata URL of your Identity Server with the other provider or sent an XML file with the metadata.
- Enabled the protocol. Click Devices > Identity Servers > Edit, and on the Configuration page, verify that the required protocol in the Enabled Protocols section has been enabled.

Procedure
1. Click Devices > Identity Servers > Edit > [Protocol].
2. Click New, then click Service Provider.

**NOTE:** By default, the Provider Type > General is selected. You can configure an Identity Server to trust a service provider to establish federation with external service providers. For more information on pre-configured metadata for Google Applications, Office 365, and Salesforce.com, see Chapter 5.2.11, "Configuring Authentication Through Federation for Specific Providers,” on page 547.

3. Select one of the following sources for the metadata:

   **Metadata URL:** Specify the metadata URL for a trusted provider. The system retrieves protocol metadata by using the specified URL.

   Examples of metadata URLs for an Identity Server acting as a trusted provider with an IP address 10.1.1.1:
   
   - **Liberty:**
     - http://10.1.1.1:8080/nidp/idff/metadata
     - https://10.1.1.1:8443/nidp/idff/metadata
   - **SAML:**
     - http://10.1.1.1:8080/nidp/saml2/metadata
     - https://10.1.1.1:8443/nidp/saml2/metadata
   - **OIOSAML:**
     - http://10.1.1.1/nidp/saml2/metadata_oiosaml
     - https://10.1.1.1/nidp/saml2/metadata_oiosaml
   - **SAML 1.1:**
     - http://10.1.1.1:8080/nidp/saml/metadata
     - https://10.1.1.1:8443/nidp/saml/metadata

   **Metadata Text:** An editable field in which you can paste copied metadata text from an XML document, assuming you obtained the metadata via e-mail or disk and are not using a URL. If you copy metadata text from a web browser, you must copy the text from the page source.
**Manual Entry:** Allows you to enter metadata values manually. When you select this option, the system displays the page to enter the required details. See “Editing a SAML 2.0 Service Provider’s Metadata” on page 164 or “Editing a SAML 1.1 Service Provider’s Metadata” on page 166.

**Metadata Repositories:** Allows you to configure several identity and/or service providers using a multi-entity metadata file available in a central repository.

4 In the Name option, specify a name by which you want to refer to the provider.

5 Click Next.

6 Review the metadata certificates and click Finish. The system displays the trusted provider on the protocol page.

7 Click OK, then update Identity Server.

The wizard allows you to configure the required options and relies upon the default settings for the other federation options. For information about how to configure the default settings and how to configure the other available options, see Section 3.9.4, “Modifying a Trusted Provider,” on page 159.

### 3.9.4 Modifying a Trusted Provider

The following sections describe the configuration options available for identity providers and service providers:

You can modify the following features of an identity provider:

- **Attributes to Obtain at Authentication:** See “Configuring the Attributes Obtained at Authentication” on page 161.
- **Metadata:** See Section 3.9.7, “Managing Metadata,” on page 163.
- **Authentication Request:** See “Configuring a SAML 2.0 Authentication Request” on page 416 and “Configuring a Liberty Authentication Request” on page 445.
- **User Identification:** See Chapter 5.2, “Federated Authentication,” on page 359.
- **Authentication Card:** See “Modifying the Authentication Card for Liberty or SAML 2.0” on page 425 and “Modifying the Authentication Card for SAML 1.1” on page 441.

You can modify the following features of a service provider:

- **Attributes to Send in the Response:** See “Configuring the Attributes Sent with Authentication” on page 161.
- **Intersite Transfer Service:** See “Configuring an Intersite Transfer Service Target for a Service Provider” on page 174.
- **Metadata:** See Section 3.9.7, “Managing Metadata,” on page 163.
- **Authentication Response:** See Section 3.9.8, “Configuring an Authentication Response for a Service Provider,” on page 167.
3.9.5 Communication Security

The communication security settings control the direct communication between Identity Server and a trusted provider across the SOAP back channel. You can secure this channel with one of three methods:

Message Signing: This is the default method, and Identity Server comes with a test signing certificate that is used to sign the back-channel messages. We recommend replacing this test signing certificate with a certificate from a well-known certificate authority. This method is secure, but it is CPU intensive.

Mutual SSL: This method is probably the fastest method, and if you are fine-tuning your system for performance, you must select this method. However, it requires the exchange of trusted root certificates between Identity Server and the trusted provider. This exchange of certificates is a requirement for setting up the trust relationship between the two providers.

Basic Authentication: This method is as fast as mutual SSL and the least expensive because it does not require any certificates. However, it does require the exchange of usernames and passwords with the administrator of the trusted provider, which might or might not compromise the security of the trusted relationship.

If your trusted provider is another Identity Server, you can use any of these methods, as long as your Identity Server and the trusted Identity Server use the same method. If you are setting up a trusted relationship with a third-party provider, you need to select a method supported by that provider.

For configuration information, see the following sections:

- “Configuring Communication Security for a SAML 2.0 Identity Provider” on page 419
- “Configuring Communication Security for a SAML 2.0 Service Provider” on page 415
- “Configuring Communication Security for SAML 1.1” on page 440
- “Configuring Communication Security for Liberty” on page 444

3.9.6 Selecting Attributes for a Trusted Provider

You can select attributes that an identity provider sends in an authentication request or that a service provider receives in an authentication response. The attributes are selected from an attribute set, which you can create or select from a list of already defined sets (see Section 3.5.1, “Configuring Attribute Sets,” on page 64).

For best performance, you must configure the trusted providers to use attribute sets, especially for attributes that have static values such as a user’s e-mail address, employee ID, or phone number. It reduces the traffic between the provider and the LDAP server, because the attribute information can be gathered in one request at authentication rather than in a separate request for each attribute when a policy or protected resource needs the attribute information.

- Section 3.9.6.1, “Configuring the Attributes Obtained at Authentication,” on page 161
- Section 3.9.6.2, “Configuring the Attributes Sent with Authentication,” on page 161
- Section 3.9.6.3, “Sending Attributes to the Embedded Service Provider,” on page 162
3.9.6.1 Configuring the Attributes Obtained at Authentication

When Identity Server creates its request to send to the identity provider, it uses the attributes that you have selected. The request asks the identity provider to provide values for these attributes. You can then use these attributes to create policies, to match user accounts, or if you allow provisioning, to create a user account on the service provider.

1 Click Devices > Identity Servers > Edit > [Protocol] > [Identity Provider] > Attributes.

2 (Conditional) To create an attribute set, select New Attribute Set from the Attribute Set drop-down menu.

An attribute set is a group of attributes that can be exchanged with the trusted provider. For example, you can specify that the local attribute of any attribute in the Liberty profile (such as Informal Name) matches the remote attribute specified at the service provider.

2a Specify a set name, then click Next.

2b On the Define Attributes page, click New.

2c Select a local attribute.

2d Optionally, provide the name of the remote attribute and a namespace.

2e Click OK.

For more information about this process, see Section 3.5.1, “Configuring Attribute Sets,” on page 64.

2f To add other attributes to the set, repeat Step 2b through Step 2e.

2g Click Finish.

3 Select an attribute set

4 Select attributes from the Available list, and move them to the left side of the page.

The attributes that you move to the left side of the page are the attributes you want to be obtained during authentication.

5 Click OK twice.

6 Update Identity Server.

3.9.6.2 Configuring the Attributes Sent with Authentication

When Identity Server creates its response for the service provider, it uses the attributes listed on the Attributes page. The response needs to contain the attributes that the service provider requires. If you do not own the service provider, you need to contact the administrator of the service provider and negotiate which attributes you need to send in the response. The service provider can then use these attributes to identify the user, to create policies, to match user accounts, or if it allows provisioning, to create a user accounts on the service provider.

1 Click Devices > Identity Servers > Edit > [Protocol] > [Service Provider] > Attributes.

2 (Conditional) To create an attribute set, select New Attribute Set from the Attribute Set drop-down menu.

An attribute set is a group of attributes that can be exchanged with the trusted provider. For example, you can specify that the local attribute of any attribute in the Liberty profile (such as Informal Name) matches the remote attribute specified at the service provider.

2a Specify a set name, then click Next.

2b On the Define Attributes page, click New.

2c Select a local attribute.
Optionally, you can provide the name of the remote attribute and a namespace.

Click OK.

For more information about this process, see Section 3.5.1, “Configuring Attribute Sets,” on page 64.

To add other attributes to the set, repeat Step 2b through Step 2e.

Click Finish.

Select an attribute set

Select attributes from the Available list, and move them to the left side of the page.

The left side of the page lists the attributes that you want sent in an assertion to the service provider.

Click OK twice.

Update Identity Server.

### 3.9.6.3 Sending Attributes to the Embedded Service Provider

You can configure the Embedded Service Provider (ESP) of Access Gateway to receive attributes when the user authenticates. LDAP traffic is reduced and performance is improved when the required LDAP attribute values are retrieved during authentication. This improvement is easily seen when you have many users and you have configured Identity Injection or Authorization policies to protect resources and these policies use LDAP attributes or Identity Server roles.

When the authentication process does not gather the LDAP attribute values, each user access can generate a new LDAP query, depending upon how the user accesses the resources and how the policies are defined. However, if the LDAP values are gathered at authentication, one LDAP query can retrieve all the needed values for the user.

1. Click Devices > Identity Servers > Shared Settings.
2. On the Attributes page, click New, specify a name, then click Next.
3. For each attribute you need to add because it is used in a policy:
   3a. Click New.
   3b. In the Local attribute drop-down list, scroll to LDAP Attribute section, then select the attribute.
   3c. Click OK.
      The other fields do not need to be configured.
4. If you use Identity Server roles in your policies, click New, select the All Roles attribute, then click OK.
5. Click Finish.
      This saves the attribute set.
6. Click Servers > Edit > Liberty.
7. Click the name of the Embedded Service Provider.
    If the Embedded Service Provider is part of a cluster of Access Gateways, the default name is the cluster name. If Access Gateway is not part of a cluster, the default name is the IP address of Access Gateway.
8. Click Attributes.
9. For the attribute set, select the set you created for the Embedded Service Provider.
Select attributes from the Available list, then move them to the left side of the page.

Click OK, then update Identity Server.

### 3.9.7 Managing Metadata

The Liberty, SAML 1.1, and SAML 2.0 protocols contain pages for viewing and reimporting the metadata of the trusted providers.

- Section 3.9.7.1, “Viewing and Reimporting a Trusted Provider’s Metadata,” on page 163
- Section 3.9.7.2, “Viewing Trusted Provider Certificates,” on page 164
- Section 3.9.7.3, “Editing a SAML 2.0 Service Provider’s Metadata,” on page 164
- Section 3.9.7.4, “Editing a SAML 1.1 Identity Provider’s Metadata,” on page 165
- Section 3.9.7.5, “Editing a SAML 1.1 Service Provider’s Metadata,” on page 166

#### 3.9.7.1 Viewing and Reimporting a Trusted Provider’s Metadata

You might need to reimport a trusted provider’s metadata if you learn that it has changed. The metadata changes when you change the provider to use HTTPS rather than HTTP and when you change the certificate that it is using for SSL. The steps for reimporting the metadata are similar for Liberty and SAML protocols.

**NOTE:** The trusted providers that are from the metadata repository cannot be reimported from this option. Go to Shared Settings > Metadata Repositories and click on the metadata repository created to reimport the trusted provider.

1. Click Devices > Identity Servers > Edit > [Protocol].
2. Click the trusted provider, then click the Metadata tab.
   - This page displays the current metadata the trusted provider is using.
3. To reimport the metadata:
   3a. Copy the URL in the providerID field (Liberty) or the entityID (SAML).
   3b. (SAML 1.1) Paste the URL to a file, click Authentication Card, copy the Login URL to the file, then click Metadata.
   3c. Click Reimport.
   3d. Follow the prompts to import the metadata.
      - For the metadata URL, paste in the value you copied.
      - If your Administration Console is installed with your Identity Server, you need to change the protocol from HTTPS to HTTP and the port from 8443 to 8080.
4. Confirm metadata certificates, then click Finish, or for an identity provider, click Next.
5. (Identity Provider) Configure the card, then click Finish.
   - For SAML 1.1, copy the value you saved into the Login URL.

**NOTE:** Reimport support is not available for SAML 1.1 and SAML 2.0 protocols.
3.9.7.2 Viewing Trusted Provider Certificates

You can review and confirm the certificate information for identity and service providers.

2. View the following information is displayed for the certificates:
   - **Subject**: The subject name assigned to the certificate.
   - **Validity**: The first date the certificate was valid, and the date the certificate expires.
   - **Issuer DN**: The distinguished name of the Certificate Authority (CA) that created the certificate.
   - **Algorithm**: The name of the algorithm that was used to create the certificate.
   - **Serial Number**: The serial number that the CA assigned to the certificate.
3. Click OK if you are viewing the information, or click Next or Finish if you are creating a provider.

3.9.7.3 Editing a SAML 2.0 Service Provider’s Metadata

Access Manager allows you to obtain metadata for SAML 2.0 providers. However, metadata for SAML 2.0 might not be available for some service providers, so you can enter the metadata manually. The page for this is available if you clicked the Manual Entry option when you created the trusted provider.

2. You can reimport the metadata (see Step 2) or edit it (see Step 3).
3. To reimport the metadata, click Reimport on the View page.
   - Follow the on-screen instructions to complete the steps in the wizard.
4. To edit the metadata manually, click Edit.
5. Fill in the following fields:
   - **Provider ID**: (Required) Specifies the SAML 2.0 metadata unique identifier for the provider. For example, https://<dns>:8443/nidp/saml2/metadata. Replace <dns> with the DNS name of the provider.
     - In the metadata, this is the entityID value.
   - **Metadata expiration**: Specifies the date upon which the metadata is no longer valid.
   - **Want assertion to be signed**: Specifies that authentication assertions from the trusted provider must be signed.
   - **Artifact consumer URL**: Specifies where the partner receives incoming SAML artifacts. For example, https://<dns>:8443/nidp/saml2/spassertion_consumer. Replace <dns> with the DNS name of the provider.
     - In the metadata, this URL value is found in the AssertionConsumerService section of the metadata.
   - **Post consumer URL**: Specifies where the partner receives incoming SAML POST data. For example, https://<dns>:8443/nidp/saml2/spassertion_consumer. Replace <dns> with the DNS name of the provider.
     - In the metadata, this URL value is found in the AssertionConsumerService section of the metadata.
   - **Service Provider**: Specifies the public key certificate used to sign SAML data. You can browse to locate the service provider certificate.
5. Click Finish.
3.9.7.4 Editing a SAML 1.1 Identity Provider’s Metadata

Access Manager allows you to import metadata for SAML 1.1 providers. However, metadata for SAML 1.1 might not be available for some trusted providers, so you can enter metadata manually. The page for this is available if you clicked the Manual Entry option when you created the trusted provider.

1. Click Devices > Identity Servers > Edit > SAML 1.1 > [Identity Provider] > Metadata. You can reimport the metadata (see Step 2) or edit it (see Step 4).

2. To reimport the metadata from a URL or text, click Reimport on the View page. The system displays the Create Trusted Identity Provider Wizard that lets you obtain the metadata. Follow the on-screen instructions to complete the steps in the wizard.

3. Select either Metadata URL or Metadata Text, then fill in the field for the metadata.

4. To edit the metadata manually, click Edit.

5. Fill in the following fields as necessary:

   **Supported Version:** Specifies the version of SAML that you want to use. You can select SAML 1.0, SAML 1.1, or both SAML 1.0 and SAML 1.1.

   **Provider ID:** (Required) The SAML 1.1 metadata unique identifier for the provider. For example, https://<dns>/nidp/saml/metadata. Replace <dns> with the DNS name of the provider. In the metadata, this is the entityID value.

   **Source ID:** The SAML Source ID for the trusted provider. The Source ID is a 20-byte value that is used as part of the Browser/Artifact profile. It allows the receiving site to determine the source of received SAML artifacts. If none is specified, the Source ID is auto-generated by using a SHA-1 hash of the site provider ID.

   **Metadata expiration:** The date upon which the metadata is no longer valid.

   **SAML attribute query URL:** The URL location where an attribute query is to be sent to the partner. The attribute query requests a set of attributes associated with a specific object. A successful response contains assertions that contain attribute statements about the subject. A SAML 1.1 provider might use the base URL, followed by /saml/soap. For example, https://<dns>:8443/nidp/saml/soap. Replace <dns> with the DNS name of the provider. In the metadata, this URL value is found in the AttributeService section of the metadata.

   **Artifact resolution URL:** The URL location where artifact resolution queries are sent. A SAML artifact is included in the URL query string. The target URL on the destination site the user wants to access is also included on the query string. A SAML 1.1 provider might use the base URL, followed by /saml/soap. For example, https://<dns>:8443/nidp/saml/soap. Replace <dns> with the DNS name of the provider. In the metadata, this URL value is found in the ArtifactResolutionService section of the metadata.

6. To specify signing certificate settings, fill in the following fields:

   **Attribute authority:** Specifies the signing certificate of the partner SAML 1.1 attribute authority. The attribute authority relies on the identity provider to provide it with authentication information so that it can retrieve attributes for the appropriate entity or user. The attribute authority must know that the entity requesting the attribute has been authenticated to the system.

   **Identity provider:** (Required) Appears if you are editing identity provider metadata. This field specifies the signing certificate of the partner SAML 1.1 identity provider. It is the certificate the partner uses to sign authentication assertions.
7 Click OK.
8 On Identity Servers page, click Update All to update the configuration.

3.9.7.5 Editing a SAML 1.1 Service Provider's Metadata

Access Manager allows you to obtain metadata for SAML 1.1 providers. However, metadata for SAML 1.1 might not be available for some trusted providers, so you can enter the metadata manually. The page for this is available if you clicked the Manual Entry option when you created the trusted provider.

For conceptual information about how Access Manager uses SAML, see Chapter 5.2.4.1, “Understanding How Access Manager Uses SAML,” on page 404.

1 Click Devices > Identity Servers > Edit > SAML 1.1 > [Service Provider] > Metadata.
   You can reimport the metadata (see Step 2) or edit it (see Step 3).
2 To reimport the metadata, click Reimport on the View page.
   Follow the on-screen instructions to complete the steps in the wizard.
3 To edit the metadata manually, click Edit.
4 Fill in the following fields:
   **Supported Version:** Specifies which version of SAML that you want to use. You can select SAML 1.0, SAML 1.1, or both SAML 1.0 and SAML 1.1.
   **Provider ID:** (Required) Specifies the SAML 1.1 metadata unique identifier for the provider. For example, https://<dns>:8443/nidp/saml/metadata. Replace <dns> with the DNS name of the provider.
   In the metadata, this is the entityID value.
   **Metadata expiration:** Specifies the date upon which the metadata is no longer valid.
   **Want assertion to be signed:** Specifies that authentication assertions from the trusted provider must be signed.
   **Artifact consumer URL:** Specifies where the partner receives incoming SAML artifacts. For example, https://<dns>:8443/nidp/saml/spassertion_consumer. Replace <dns> with the DNS name of the provider.
   In the metadata, this URL value is found in the AssertionConsumerService section of the metadata.
   **Post consumer URL:** Specifies where the partner receives incoming SAML POST data. For example, https://<dns>:8443/nidp/saml/spassertion_consumer. Replace <dns> with the DNS name of the provider.
   In the metadata, this URL value is found in the AssertionConsumerService section of the metadata.
   **Service Provider:** Specifies the public key certificate used to sign SAML data. You can browse to locate the service provider certificate.
5 Click Finish.
3.9.8 Configuring an Authentication Response for a Service Provider

The Liberty and SAML 2.0 protocols support slightly different options for configuring how you want Identity Server to respond to an authentication request from a service provider. The SAML 1.1 protocol does not support sending an authentication request. However, you can configure an Intersite Transfer Service (see Section 3.9.11, “Using the Intersite Transfer Service,” on page 168) to trigger a response from Identity Server.

When Identity Server receives an authentication request from a trusted service provider, the request contains the conditions that Identity Server needs to fulfill. The Authentication Response page allows you to configure how you want Identity Server to fulfill the binding and name identifier conditions of the request, or for SAML 1.1, respond to the Intersite Transfer Service. For configuration information, see one of the following:

- “Configuring the Liberty Authentication Response” on page 447
- “Configuring A SAML 2.0 Authentication Response” on page 412
- “Configuring the SAML 1.1 Authentication Response” on page 440

The Defaults page allows you to specify which contract is used when the authentication request specifies a class or type rather than a contract. For more information, see Section 5.1.5, “Specifying Authentication Defaults,” on page 319.

When the service provider sends an authentication request that specifies a specific contract, you need to ensure that Identity Server has a the contract matches the expected URI. For information about how to configure such a contract, see “Creating a Contract for a Specific Authentication Type” on page 320.

3.9.9 Routing to an External Identity Provider Automatically

When the NetIQ Identity Server is configured to federate with multiple external Identity Providers, administrator can specify the list of Authentication Contracts that an external provider can execute. This configuration allows the NetIQ Identity Server (acting as service provider) to automatically select the external identity provider without the user having to click on the external provider's card.

Authentication Contracts in the NetIQ Identity Servers have been enhanced to be configured with an Authentication Class Reference. This reference can be used in federating with External Identity or Service Providers that only respond to AuthnContextClassRef in the Authentication Request and Response. For more information about setting up the contract mapping and adding contracts to the satisfiable list, see “Modifying the Authentication Card for Liberty or SAML 2.0” on page 425 and Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

3.9.10 Configuring Options for Trusted Service Providers

After you create a trusted service provider, you can configure how your Identity Server responds to authentication requests from the service provider.

1. In Administration Console Dashboard, click Devices > Identity Servers > Edit > SAML 2.0 > [Service Provider] > Authentication Response.

2. Select the binding method.

   If the request from the service provider does not specify a response binding, you need to specify a binding method to use in the response. Select Artifact to provide an increased level of security by using a back-channel means of communication between the two servers. Select Post to use
HTTP redirection for the communication channel between the two servers. If you select Post, you might want to require the signing of the authentication requests. See “Configuring the General Identity Provider Settings” on page 151.

3 Specify the identity formats that Identity Server can send in its response. Select the box to choose one or more of the following:

- **Persistent**: Specifies that a persistent identifier, which is written to the directory and remains intact between sessions, can be sent.
- **Transient**: Specifies that a transient identifier, which expires between sessions, can be sent.
- **E-mail**: Specifies that an e-mail attribute can be used as the identifier.
- **Kerberos**: Specifies that a Kerberos token can be used as the identifier.
- **X509**: Specifies that an X.509 certificate can be used as the identifier.
- **Unspecified**: Specifies that an unspecified format can be used and any value can be used. The service provider and the identity provider need to agree on the value that is placed in this identifier.

4 Use the **Default** button to select the name identifier that Identity Server must send if the service provider does not specify a format.

If you select E-mail, Kerberos, x509, or unspecified as the default format, you must also select a value. See Step 5.

**IMPORTANT**: If you have configured the identity provider to allow a user matching expression to fail and still allow authentication by selecting the **Do nothing** option, you need to select **Transient identifier format** as the default value. Otherwise the users who fail the matching expression are denied access. To view the identity provider configuration, see “Defining User Identification for Liberty and SAML 2.0” on page 397.

5 Specify the value for the name identifier.

The persistent and transient formats are generated automatically. For the others, you can select an attribute. The available attributes depend upon the attributes that you have selected to send with authentication (see “Configuring the Attributes Obtained at Authentication” on page 161). If you do not select a value for the E-mail, Kerberos, X509, or Unspecified format, a unique value is automatically generated.

6 To specify that this Identity Server must authenticate the user, disable the **Use proxied requests** option. When the option is disabled and Identity Server cannot authenticate the user, the user is denied access.

When this option is enabled, Identity Server checks to see if other identity providers can satisfy the request. If one or more can, the user is allowed to select which identity provider performs the authentication. If a proxied identity provider performs the authentication, it sends the response to Identity Server. Identity Server then sends the response to the service provider.

7 Click **OK** twice, then update Identity Server.

### 3.9.11 Using the Intersite Transfer Service

- **Section 3.9.11.1, “Understanding the Intersite Transfer Service URL,”** on page 169
- **Section 3.9.11.2, “Specifying the Intersite Transfer Service URL for the Login URL Option,”** on page 171
- **Section 3.9.11.3, “Using Intersite Transfer Service Links on Web Pages,”** on page 172
3.9.11.1 Understanding the Intersite Transfer Service URL

The Intersite Transfer Service is used by an identity provider to provide authentication to occur at a service provider that it trusts. The URLs for accessing the Intersite Transfer Service differ for each supported protocol (Liberty, SAML 1.1, and SAML 2.0). The NetIQ Access Manager identity and service provider components use the following format of the Intersite Transfer Service URL:

<identity provider URL>?PID=<entityID>&TARGET=<final_destination_URL>

The <identity provider URL> is the location where the authentication request can be processed. For an Access Manager Identity Server, the URL is the Base URL of the server that provides authentication, followed by the path to the protocol application being used for federation.

For example:

**SAML 1.1:** https://idp.sitea.novell.com:8443/nidp/saml/idpsend

**SAML 2.0:** https://idp.sitea.novell.com:8443/nidp/saml2/idpsend

**Liberty:** https://idp.sitea.novell.com:8443/nidp/idff/idpsend

If a third-party server provides the authentication, refer the documentation for the format of this URL.

The <entityID> is the URL to the location of the metadata of the service provider. The scheme (http or https) in the <entityID> must match what is configured for the <identity provider URL>.

For SAML 1.1 and SAML 2.0, search the metadata for its entityId value. For Liberty, search the metadata for the providerId value. Access Manager Identity Servers acting as service providers have the following types of values:

**SAML 1.1:** https://idp.siteb.novell.com:8443/nidp/saml/metadata

**SAML 2.0:** https://idp.siteb.novell.com:8443/nidp/saml2/metadata

**Liberty:** https://idp.siteb.novell.com:8443/nidp/idff/metadata

If you are setting up federations with a third-party service provider, refer the documentation for the URL or location of its metadata.

The <final_destination_URL> is the URL to which the browser is redirected following a successful authentication at the identity provider. If this target URL contains parameters (for example, TARGET=https://login.provo.novell.com:8443/nidp/app?function_id=22166&Resp_Id=55321&Resp_App_Id=810&security_id=0), the URL must be encoded to prevent it from being truncated.
Setting Up a Basic Access Manager Appliance Configuration

For example:


To read more about configuring an intersite URL, see “Configuring an Intersite Transfer Service Target for a Service Provider” on page 174.

If you configure an Intersite Transfer Service URL for an Identity Server that is the Access Manager Identity Server and the service provider is either another Identity Server or a third-party server, you can simplify the Intersite Transfer Service URL to the following format:

<identity provider URL>?id=<user_definedID>

For example:


If the Allow any target option is enabled and if the Intersite Transfer Service URL has a target value, then the user is redirected to target URL.

The Intersite Transfer Service URL for SAML 2.0 will be https://testsblr.novell.com:8443/nidp/saml2/idpsend?id=testsaml2&TARGET=http://www.google.com where http://www.google.com is the target URL.

**NOTE:** Depending on the usage, the target parameter serves different purpose. It is case-sensitive.

- **target**: Specifies the idpsend URL with a contract id.
- **TARGET**: Specifies URL of the final destination.

**Use case:** If authentication with a particular contract is enabled in Intersite URL, you are redirected to the default target URL. Use the following format: http(s)://<$idp_host_name>/nidp/app?id=<$contract_to_be_executed>&target=http(s)://<$idp_host_name>/nidp/saml2/idpsend?id=<$saml_sp_identifier>.

For more information, see How to access an Identity Server Intersite Transfer URL with a specific contract.

**NOTE:** The contract_to_be_executed is executed by Identity Server and is case sensitive.

How it works?

In the above example, identity provider authentication is done with the contract id `npbasic`. You are now redirected to the service provider by using the `saml_sp_identifier id` (serviceprovider1). After authentication (if configured with persistent federation), the page redirects you to the available default target, or to the nidp login page of the service provider.

For configuration and ID information, see “Configuring an Intersite Transfer Service Target for a Service Provider” on page 174.

In the Intersite Transfer Service URL, id can be used for the following purposes:

1. To simplify the intersite URL. `<identity provider URL>?id=<user_definedID>`
2. To execute a particular contract in Identity Server login service with intersite URL.
   
   ```
   http(s)://<$idp_host_name>/nidp/
   app?id=<$contract_to_be_executed>&target=http(s)://<$idp_host_name>/nidp/
   saml2/idpsend?id=<$saml_sp_identifier>
   ```

3.9.11.2 Specifying the Intersite Transfer Service URL for the Login URL Option

Liberty and SAML 2.0 support a single sign-on URL. Because SAML 1.1 does not support a single sign-on URL, you need to specify the Intersite Transfer Service URL in the Login URL option on the authentication card for the SAML 1.1 identity provider:

*Figure 3-18  SAML 1.1 Authentication Card*

<table>
<thead>
<tr>
<th>Configuration</th>
<th>Metadata</th>
<th>Authentication Card</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ID:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Text:</strong></td>
<td>idp-saml-205</td>
<td></td>
</tr>
<tr>
<td><strong>Login URL:</strong></td>
<td><a href="https://jwilson.provo.novell.com:6443/nidp/saml/idpser">https://jwilson.provo.novell.com:6443/nidp/saml/idpser</a></td>
<td></td>
</tr>
<tr>
<td><strong>Image:</strong></td>
<td>Customizable</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Show Card</td>
<td></td>
</tr>
</tbody>
</table>

For a card to appear as a login option, you must specify a Login URL and select the Show Card option. Figure 3-19 illustrates a possible configuration that requires the Intersite Transfer Service for the SAML 1.1 protocol.
Figure 3-19  Federated Identity Configuration

If you want a card to appear that allows the user to log in to Site A (as shown in Figure 3-18), you need to specify a value for the Login URL option.

Using the DNS names from Figure 3-19, the complete value for the Login URL option is as follows:


The following happens when this link is invoked:

1. The browser performs a Get to the identity provider (Site A).
2. If the identity provider (Site A) trusts the service provider (Site B), the identity provider prompts the user for authentication information and builds an assertion.
3. The identity provider (Site A) sends the user to the service provider (Site B), using the POST or Artifact method.
4. The service provider (Site B) consumes the assertion and sends the user to the TARGET URL (the user portal on Site B).

To configure the settings for the intersite transfer service, see “Modifying the Authentication Card for SAML 1.1” on page 441.

3.9.11.3 Using Intersite Transfer Service Links on Web Pages

The Intersite Transfer Service URL can be used on a web page that provides links to various protected resources requiring authentication with a specific identity provider and a specific protocol. Links on this web page are configured with the URL of the Intersite Transfer Service of the identity provider to be used for authentication. Clicking these links directs the user to the appropriate identity provider for authentication. Following successful authentication, the identity provider sends a SAML
assertion to the service provider. The service provider uses the SAML assertion to verify authentication, and then redirects the user to the destination URL as specified in the TARGET portion of the Intersite Transfer Service URL.

Below are sample links that might be included on a web page. These links demonstrate the use of SAML 1.1, SAML 2.0, and Liberty formats for the Intersite Transfer Service URL:

**SAML 1.1:** 

**SAML 2.0:** 

**Liberty:**  

Figure 3-20 illustrates a network configuration that could use these sample links.

**Figure 3-20** Using the Intersite Transfer Service URL

In this example, Site Z places links on its web page, using the Intersite Transfer Service URL of Site A. These links trigger authentication at Site A. If authentication is successful, Site A sends an assertion to Site B. Site B verifies the authentication and redirects the user to the myapp application that it is protecting.

When defining the intersite transfer URL within Administration Console, you can define an id and target for the SAML service provider (SP) you are accessing. For more information about accessing an Identity Server intersite transfer URL with a specific contract, see TID 7005810.
3.9.11.4 Configuring an Intersite Transfer Service Target for a Service Provider

If you have created web pages that have links that specify an Intersite Transfer Service URL (see “Using Intersite Transfer Service Links on Web Pages” on page 172), you can have Identity Server control the TARGET parameter.

1. Click Devices > Identity Servers > Edit > [Liberty, SAML1.1, or SAML 2.0] > [Service Provider] > Intersite Transfer Service.

2. Fill in the following:
   - **ID**: (Optional) Specify an alphanumeric value that identifies the target.
     If you specified an ID for the target, you can use this value to simplify the Intersite Transfer URL that must be configured at the service provider. This is the `<user_definedID>` value in the following format for the Intersite Transfer URL.

   `<identity provider URL>?id=<user_definedID>`

   The ID specified here allows Identity Server to find the service provider’s metadata.

   **Target**: Specify the URL of the page that you want to display to users when they authenticate with an Intersite Transfer URL. The behavior of this option is influenced by the Allow any target option. NetIQ recommends you to specify a default target URL, for example, https://www.serviceprovider1.com.

   **Allow any target**: You can either select or not select this option.
   - When you select this option,
     - if the Intersite Transfer URL has a target value, then the user is sent to target url.
     - if the Intersite Transfer URL does not have a target value, then the user is sent to the configured target, that is, www.serviceprovider1.com.
   - When you do not select this option,
     - if the Intersite Transfer URL has a target value, then the user is sent to the target www.serviceprovider1.com irrespective of the target mentioned in the Intersite Transfer URL.
     - if the Intersite Transfer URL does not have a target value, the user is sent to www.serviceprovider1.com.

3. Click OK twice.

4. Update Identity Server.
3.9.11.5 Configuring Whitelist of Target URLs

Redirection, which is required by many applications and services, inherently brings in a security risk. Redirects are dangerous because unsuspecting users who are visiting trusted sites can be redirected to malicious sites that exploit the users' trust. A new feature, called whitelist, has been added that restricts target URLs to specific domains.

The whitelist feature allows you to restrict target URLs to URLs which match the domains in the whitelist. Any target URLs that use a domain that is not in the list are blocked and the user receives the following error message: The request to provide authentication to a service provider has failed (outsidedomain.com-89F57BF823DFE551).

1. Click Devices > Identity Servers > Edit > [Liberty, SAML1.1, or SAML 2.0] > [Service Provider] > Intersite Transfer Service.
2. In the Domain List, click New.
3. Enter the domain name, then click OK.
   - The domain name must be a full domain name, such as www.novell.com. Wildcard domain names, such as www.novell.*.com, do not work.
4. To edit an existing domain name, click the name, modify the name, then click OK.
5. To delete an existing domain name, select the check box by the domain, click Delete, then click OK to delete or Cancel to close the window.
6. Click OK.
7. Update Identity Server.

3.9.11.6 Validating Incoming Authentication Request for Assertion Consumer Service URL

When an authentication request from a service provider is not signed, Identity Provider cannot validate the authenticity and integrity of the request. So any malicious user who can intercept the request can change the Assertion Consumer Service URL in the request and make the Identity Provider to send the assertion to malicious sites.

To secure and validate the authentication request from the service provider, you can use the following options in the service provider configuration of Identity provider:

**NOTE:** These options must be defined to avoid security issues during an unsigned SAML Authentication Request.

**SAML2_ACS_URL_RESTRICT**: This option ensures Identity Provider will validate the Assertion Consumer Service URL in the request against the trusted metadata URL before sending the assertion. So if the Assertion Consumer URL in the Authentication Request is tampered by any malicious user, Identity Provider terminates the request and assertion will not be sent.

**SAML2_ACS_DOMAIN_WHITELIST**: This option ensures Identity Provider will validate the Assertion Consumer Service URL in the request against a white list of domains. If the Assertion Consumer Service URL is not matching with any of the domain URLs in the white list, request is terminated by the Identity Provider.

You must define **SAML2_ACS_DOMAIN_WHITELIST** along with **SAML_ACS_URL_RESTRICT** for a service provider in Identity Provider because this option does not work if **SAML_ACS_URL_RESTRICT** is not enabled.
To define these options, perform the following in Administration Console:

1. Click Devices > Identity Servers > IdP Cluster > SAML2.
2. Select the required service provider from the Service Providers list.
3. Click Options.
4. Click New, then select OTHER from the drop down list.
   
4a. If you want Identity Provider to allow authentication only to the trusted ACS URLs, specify the following:
   
   **Property Name:** SAML2_ACS_URL_RESTRIC
   **Property Value:** true

4b. If you want Identity Provider to perform additional validation of the authentication request with the ACS domain whitelist, specify the following:

   **Property Name:** SAML2_ACS_DOMAIN_WHITELIST
   **Property Value:** Domain names separated with semi-colon(;) and no space. For example, www.airlines.com;www.example.com.

### 3.9.11.7 Federation Entries Management

Identity federation is the association of accounts between an identity provider and a service provider.

### 3.9.11.8 Step up Authentication Example for an Identity Provider Initiated Single Sign-On Request

**Setup:** Let us assume that:

* NetIQ Access Manager is acting as an identity provider.
* The following three contracts in the identity provider are configured:
  * name password basic contract with Authentication level as 10
  * name password form contract with Authentication level as 20
  * secure name password contract with Authentication level as 30

**NOTE:** Enable the Satisfiable by a contract of equal or higher level option for contracts with authentication level 10 or 20 to avoid prompting for authentication when a user is already authenticated against the contract with level 30.

* The name password form contract for a service provider named SP_A is configured in the identity provider.

For more information about creating and configuring the contracts, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

**Configuration:** Complete the following steps:

1. In the NetIQ Identity Server, configure the service provider as a trusted provider.
   
   For more information, see Section 3.9.3, “Managing Trusted Providers,” on page 155.

2. In the service provider, configure the NetIQ Identity Server as a trusted provider.
   
   For more information, see Section 3.9.3, “Managing Trusted Providers,” on page 155.

3. In the NetIQ Identity Server, configure the service provider with the required authentication contracts.
For information about how to configure a service provider, see “Defining Options for SAML 2.0” on page 420, “To Define Options for Liberty Service Provider” on page 448 and “Defining Options for SAML 1.1 Service Provider” on page 441.

**Results:** The following are the four possible scenarios:

- If the user was authenticated with the name password basic contract before making an Intersite Transfer Service request to SP_A, the identity provider will step up to the name password form authentication.
- If the user was authenticated with the name password form contract before making an Intersite Transfer Service request to SP_A, the identity provider will not ask for the authentication.
- If the user was authenticated with the secure name password contract before making an Intersite Transfer Service request to SP_A, the identity provider will not ask for the authentication.
- If the user is not authenticated while making an Intersite Transfer Service request to SP_A, the identity provider will step up to the name password form authentication.

The following diagram illustrates the workflow:

**Workflow:**

1. User tries to authenticate in the identity provider.
2. User is prompted to authentication using the Name Password Basic contract.
3. User enters the credentials.
4. The Name Password Basic contract is authenticated in the identity provider and added to the user session.
   The Name Password Basic contract is the default contract in the identity provider.
5. User logs into the identity provider.
6. User makes an Intersite Transfer Service request to SP_A.
7. The identity provider prompts for the authentication using the Name Password Form contract.
8 User enters the credentials.
9 The Name Password Form contract is authenticated in the identity provider and added to the user session.
10 User is redirected to SP_A.

**NOTE:** For information about service provider initiated single sign-on and its example, see “Contracts Assigned to a SAML 2.0 Service Provider” on page 410.

### 3.9.11.9 URL Query String Parameters

The following table lists query string parameters and their descriptions:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>id</strong></td>
<td>While defining the Intersite Transfer Service URL, you can define an id and target for the SAML service provider being accessed. For example, if you defined the id as testsaml and TARGET as URL of service provider, the login URL is https://&lt;identity provider server&gt;:&lt;port&gt;/nidp/saml/idpsend?id=testsaml&amp;TARGET=&lt;URL of service provider&gt;. If TARGET is not specified, the login URL is https://&lt;identity provider server&gt;:&lt;port&gt;/nidp/saml/idpsend?id=testsaml.</td>
</tr>
<tr>
<td><strong>PID</strong></td>
<td>You can use this parameter when another provider is added and an Intersite Transfer Service id is not defined. For example, the login URL in this case can be https://&lt;identity provider server&gt;:&lt;port&gt;/nidp/saml/idpsend?PID=&lt;<a href="https://identity">https://identity</a> provider server&gt;:8443/nidp/saml/metadata&amp;TARGET=&lt;URL of service provider&gt;.</td>
</tr>
<tr>
<td><strong>target</strong></td>
<td>Specifies the idpsend URL with a contract ID. If authentication with a particular contract is enabled in the Intersite Transfer Service URL, you are redirected to the default target URL. Use the following format: http(s)://&lt;$idp_host_name&gt;/nidp/app?id=&lt;$contract_to_be_executed&gt;&amp;target=http(s)://&lt;$idp_host_name&gt;/nidp/saml2/idpsend?id=&lt;$saml_sp_identifier&gt;. For example, <a href="https://www.idp.com:8443/nidp/app?id=nbasic&amp;target=https://www.idp.com:8443/nidp/saml2/idpsend?id=serviceprovider1">https://www.idp.com:8443/nidp/app?id=nbasic&amp;target=https://www.idp.com:8443/nidp/saml2/idpsend?id=serviceprovider1</a>.</td>
</tr>
</tbody>
</table>
### 3.10 Configuring Single Sign-On to Specific Applications

You can configure single sign-on through Access Gateway and through federation protocols. This section discusses how to configure single sign-on for specific applications through Access Gateway.

For more information about how to configure single sign-on through federation, see Section 5.2, “Federated Authentication,” on page 359.

- Section 3.10.1, “Configuring Protected Resource for a SharePoint Server,” on page 179
- Section 3.10.2, “Configuring a Protected Resource for a SharePoint Server with an ADFS Server,” on page 185
- Section 3.10.3, “Configuring a Protected Resource for Outlook Web Access,” on page 188
- Section 3.10.4, “Configuring a Protected Resource for a Novell Vibe 3.3 Server,” on page 191
- Section 3.10.5, “Configuring Access to the Filr Site through Access Manager,” on page 195

#### 3.10.1 Configuring Protected Resource for a SharePoint Server

You can protect a SharePoint server as a domain-based or a path-based multi-homing resource on Access Gateway. When you protect a SharePoint server on Access Gateway, you might see issues with rewriting if the published DNS name is not the same as the DNS name of the original server. Also, if you access SharePoint folder by using non-browser clients such as Microsoft Network Place, Nautilus in SUSE Linux Enterprise Server (SLES), or the MAC finder, you might see issues because these WebDAV clients do not support 302 redirection for authentication. You must modify the authentication procedure to prevent redirection on initial authentication or redirection to Identity Server when the user session expires.

- Section 3.10.1.1, “Protecting SharePoint Using the Domain-Based Multi-Homing Proxy Service,” on page 179
- Section 3.10.1.2, “Protecting SharePoint for the Path-Based Multi-Homing Proxy Service,” on page 181
- Section 3.10.1.3, “Authorizing Access to SharePoint Files,” on page 184

**NOTE:** These configuration steps are verified for SharePoint 2010.

#### 3.10.1.1 Protecting SharePoint Using the Domain-Based Multi-Homing Proxy Service

You can configure Access Manager to provide protected access to SharePoint by using a domain-based proxy service and single sign-on access by using identity injection. You can access SharePoint with a URL similar to this: `https://<Published DNS name>:<port number if any>/path`. For example, `https://shpt.multibox-mag.com/default.aspx`.

Perform the following configurations:

1. Configure the proxy service type as Domain-Based Multi-Homing.
   
   For example, the published DNS Name = shpt.multibox-mag.com.
   
   For more information, see “Configuring the Domain-Based Proxy Service” on page 192.
2 Configure the following Web servers options:
   - **Web Server Host Name**: Specify the actual host name of the SharePoint server.
   - **Connect Port**: Specify the port that Access Gateway should use to communicate with Web servers.

   For more information, see Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104.

3 Create new HTML Rewriter profiles: one Word profile and one Character profile.

   For more information about how to create a new rewriter profile, “Creating or Modifying a Rewriter Profile” on page 131.

   - Create a Word rewriter and enter the following values:
     - **And Document Content-Type Header is**: click **New**, then specify the following type: `application/x-vermeer-rpc`
     - **Variable or Attribute Name to Search for Is**: Create the following two new attributes: `formvalue` `value`

   - Create a Character rewriter. In the **Additional Strings to Replace** section, specify the search and replace strings as shown in Table 3-1 on page 180, then click **OK**.

   **NOTE**: win2k8-r2-64bit:32274 in tables Table 3-1 and Table 3-2 is referring to SharePoint server's domain name and the port in which it is configured. Change it with your SharePoint server's domain name and the port number.

   **Table 3-1  Search and Replace strings**

<table>
<thead>
<tr>
<th>Search String</th>
<th>Replace String</th>
</tr>
</thead>
<tbody>
<tr>
<td>\u0022http:\u002f\u002fwin2k8-r2-64bit:32274</td>
<td>\u0022<a href="https://shpt.multibox-mag.com">https://shpt.multibox-mag.com</a></td>
</tr>
<tr>
<td>http%253A%252F%252Fwin2k8-r2-64bit%253A32274</td>
<td><a href="https://shpt.multibox-mag.com">https://shpt.multibox-mag.com</a></td>
</tr>
<tr>
<td>http%2F%2Fwin2k8-r2-64bit%2Ecom%3A32274</td>
<td>https%3A%2F%2Fshpt.multibox-mag.com</td>
</tr>
<tr>
<td>http%3A%2F%2Fwin2k8-r2-64bit%3A32274</td>
<td><a href="https://shpt.multibox-mag.com">https://shpt.multibox-mag.com</a></td>
</tr>
<tr>
<td>http%2F%2Fwin2k8-r2-64bit</td>
<td><a href="https://shpt.multibox-mag.com">https://shpt.multibox-mag.com</a></td>
</tr>
<tr>
<td>http\u00252F\u00252Fwin2k8-r2-64bit\u00253A32274</td>
<td>https%253A%252F%252Fshpt.multibox-mag.com</td>
</tr>
<tr>
<td>httpu00252F\u00252Fwin2k8-r2-64bitu00253A32274</td>
<td>https%253A%252F%252Fshpt.multibox-mag.com</td>
</tr>
</tbody>
</table>

   Save and enable this rewriter profile and move it to the top of the ordered list of profiles for this accelerator.

4 Configure the protected resources: pr-private, pr-public, and pr-other.

   For more information, see Section 3.8.4, “Configuring Protected Resources,” on page 106.

   - Protected resource: pr-private
     - **Authentication Procedure**: Secure Name/Password – Form type contract
- **URL Path:** /default.aspx
- **Identity Injection:** Enabled (injects Credential Profile LDAP name and password into the Authorization headers)
- Protected resource: pr-public
- **Authentication Procedure:** None
- **URL Path:** /
- Protected resource: pr-other
- **Authentication Procedure:** WebDAV
  
  Create a new authentication procedure with the following settings:
  
  **Contract:** Secure Name/Password - Form
  
  **Non-Redirected Login:** enabled
  
  **Realm:** Specify the name of the realm. Ensure that the value is same as the value of the SharePoint IIS Basic Authentication Setting.

  For example: If you have specified the value of Basic Authentication Setting value as xyz, enter the same value as the name of the realm.

  **Redirect to Identity Server When No Authentication Header is Provided:** disabled
- **URL Path:** /*
- **Identity Injection:** Enabled (injects Credential Profile LDAP name and password into the Authorization headers)

### 3.10.1.2 Protecting SharePoint for the Path-Based Multi-Homing Proxy Service

You can configure Access Manager to provide protected access to SharePoint using a path-based proxy service with the **Remove Path on Fill** option enabled, and single sign-on access by using identity injection. You can access SharePoint with a URL similar to this: `https://<Published DNS name>:<port number if any>/path`. For example, `https://multibox-mag.com/shpt/default.aspx`.

When the **Remove Path on Fill** option is enabled, SharePoint access requires the following additional entries in the Advanced Options section for Global, Master and path-based service.

Advanced options required in the global settings include:

- **NAGGlobalOptions AllowMSWebDavMiniRedir=on**

Advanced options required in the master service include:

- **NAGHostOptions primaryWebdav=/shpt**
- **NAGHostOptions webdavPath=/_vti_inf.html**
- **NAGHostOptions webdavPath=/_vti_bin/_vti_aut/author.dll**
- **NAGHostOptions webdavPath=/_vti_bin/shtml.dll/_vti_rpc**
- **NAGHostOptions webdavPath=/_vti_bin/_vti_adm/admin.dll**
- **NAGHostOptions webdavPath=/_vti_bin/owssvr.dll**

Advanced options required in the path-based service include:

- **NAGChildOptions WebDav=/shpt**
Perform the following configurations:

1. Configure the proxy service type as Path-Based Multi-Homing. For example, Published DNS Name= shpt.multibox-mag.com)
   - **Path List:** /shpt
   - **Remove Path on Fill:** Select the check box.
   - **Reinsert Path in “set-cookie” Header:** Select the check box.

   For more information, see “Configuring a Path-Based Multi-Homing Proxy Service” on page 145.

2. Configure the following options for web servers:
   - **Web Server Host Name:** Enter the actual host name of the SharePoint server.
   - **Connect Port:** Enter the port that Access Gateway should use to communicate with the Web servers.

   For more information, see Section 3.8.3, “Configuring Web Servers of a Proxy Service,” on page 104.

3. Create new HTML Rewriter profiles: one Word profile and one Character profile.

   For more information about how to create a new rewriter profile, see Section 3.8.5.5, “Creating or Modifying a Rewriter Profile,” on page 131.

   - Create a Word rewriter. Keep the default values except the following:
     - **And Document Content-Type Header Is:** click **New**, then specify the following type:
       ```
       application/x-vermeer-rpc
       ```
     - **Rewrite Inbound Query String Data:** Select the check box.
     - **Rewrite Inbound POST Data:** Select the check box.
     - **Rewrite Inbound Headers:** Select the check box.
     - **Enable Rewriter Actions:** Select the check box.

     **Variable or Attribute Name to Search for Is:** Specify the following attributes:
     ```
     ctx.displayFormUrl
     ctx.editFormUrl
     ctx.HttpPath
     ctx.imagesPath
     ctx.listUrlDir
     editPrmsUrl
     formvalue
     L_Menu_BaseUrl
     sDialogUrl
     strHelpUrl
     strImageAZ
     strImagePath
     value
     webUrl
     WFSC.WebPartPage.WebServerRelativeURL
     ```

     **Java Script Method of Search for is:** Specify the following attributes:
     ```
     insertitem
     ProcessDefaultNavigateHierarchy
     UpdateFormDigest
     ```

     **String to Search for is:** Specify the following attributes:
Create a Character rewriter and enter the following values:

- **And Document Content-Type Header Is:** application/x-vermeer-rpc
- **Additional Strings to Replace:** Specify the search and replace strings as shown in Table 3-2, then click OK.

### Table 3-2  Search and Replace strings

<table>
<thead>
<tr>
<th>Search String</th>
<th>Replace String</th>
</tr>
</thead>
<tbody>
<tr>
<td>\u0022http:\u002fu002fwin2k8-r2-64bit:32274</td>
<td>\u0022<a href="https://multibox-mag.com/shpt">https://multibox-mag.com/shpt</a></td>
</tr>
<tr>
<td>\u002f_layouts</td>
<td>/shpt\u002f_layouts</td>
</tr>
<tr>
<td>\u002f_vti_bin</td>
<td>/shpt\u002f_vti_bin</td>
</tr>
<tr>
<td>event,'/_layouts</td>
<td>event,'/shpt/_layouts</td>
</tr>
<tr>
<td>http%253A%252F%252Fwin2k8-r2-64bit%253a32274</td>
<td><a href="https://multibox-mag.com/shpt">https://multibox-mag.com/shpt</a></td>
</tr>
<tr>
<td>http%3A%2F%2Fmultibox-mag.com/shpt</td>
<td></td>
</tr>
<tr>
<td>http%2A%2F%2Fmultibox-mag.com/shpt</td>
<td></td>
</tr>
<tr>
<td>http%3A%2F%2Fmultibox-mag.com/shpt</td>
<td></td>
</tr>
<tr>
<td>http%3A%2F%2Fmultibox-mag.com/shpt</td>
<td></td>
</tr>
<tr>
<td>http%3A%2F%2Fmultibox-mag.com/shpt</td>
<td></td>
</tr>
<tr>
<td><a href="http://win2k8-r2-64bit">http://win2k8-r2-64bit</a></td>
<td></td>
</tr>
<tr>
<td><a href="http://win2k8-r2-64bit">http://win2k8-r2-64bit</a></td>
<td></td>
</tr>
<tr>
<td><a href="http://win2k8-r2-64bit">http://win2k8-r2-64bit</a></td>
<td></td>
</tr>
<tr>
<td>webUrl=/webUrl=/shpt</td>
<td></td>
</tr>
</tbody>
</table>

Save and enable this rewriter profile and move it to the top of the ordered list of profiles for this accelerator.

4 Configure the protected resources: pr-private, pr-public, and pr-other.

For more information, see Section 3.8.4, "Configuring Protected Resources," on page 106.

- Protected resource: pr-private
  - **Authentication Procedure:** Secure Name/Password – Form type contract
  - **URL Path:** /shpt/default.aspx
  - **Identity Injection:** Enabled (injects Credential Profile LDAP name and password into the Authorization headers)

- Protected resource: pr-public
  - **Authentication Procedure:** None
  - **URL Path:** /shpt

- Protected resource: pr-other
  - **Authentication Procedure:** WebDAV
Create an authentication procedure with the following settings:

**Contract:** Secure Name/Password - Form

**Non-Redirected Login:** enabled

**Realm:** Sharepoint

**Redirect to Identity Server When No Authentication Header is Provided:** disabled

- **URL Path:** /shpt/*
- **Identity Injection:** Enabled (injects Credential Profile LDAP name and password into the Authorization headers)

### 3.10.1.3 Authorizing Access to SharePoint Files

A user can view the files on a SharePoint server after authenticating at Identity Server. However, to authorize access to open or edit any file, you must perform the following steps by using Access Gateway:

1. Set the `NAGGlobalOptionsAllowMSWebDavMiniRedir` advanced Access Gateway option to on.
2. Add the Access manager SharePoint domain to the browser's trusted site, and add the certificates to the Trusted Root Certification Authorities.
3. Add the following line to the `httpd.conf` available at `/etc/opt/novell/apache2/conf/` file.

   ```bash
   LoadModule headers_module libexec/mod_headers.so
   ```

4. Add following entries to `httpd.conf` file:

   ```bash
   <LocationMatch ".*\.doc\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   <LocationMatch ".*\.docx\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   <LocationMatch ".*\.xls\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   <LocationMatch ".*\.xlsx\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   <LocationMatch ".*\.ppt\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   <LocationMatch ".*\.pptx\$">
   Header set Content-Disposition attachment
   </LocationMatch>
   ```

5. Restart novell-apache2 services.

---

**NOTE:** If the preceding steps do not work for other versions of SharePoint, then use WS-Federation protocol to federate SharePoint with Identity Server. For more information about using WS-Federation, see Section 5.2.8, “Configuring WS Federation,” on page 467.
3.10.2 Configuring a Protected Resource for a SharePoint Server with an ADFS Server

If your SharePoint server is configured to use an ADFS server and you want to create a protected resource for the SharePoint server, you need to configure the following Access Manager features. The instructions assume that you have a functioning SharePoint server and a functioning Access Manager system:

- Section 3.10.2.1, “Configuring a Custom Contract,” on page 185
- Section 3.10.2.2, “Creating a Reverse Proxy Service,” on page 186
- Section 3.10.2.3, “Configuring Multiple Protected Resources,” on page 186

3.10.2.1 Configuring a Custom Contract

ADFS requires a different format for a contract URI than the format used in the default contracts. It expects the URI to conform to the format of a URL. You need to create a custom contract.

1. Click Devices > Identity Servers > Servers > Edit > Local > Contracts
2. Click New, then fill in the following fields:
   - Display name: Specifies the name of the authentication contract.
   - URI: Specifies a value that uniquely identifies the contract from all other contracts. No spaces can exist in the URI field. For SharePoint, specify the following format for the URI:
     
     `https://<baseurl>/name/password/uri`

     Replace `<baseurl>` with the base URL of your Identity Server. If the DNS name of your Identity Server is `idp-50.amlab.net`, the URI would have the following format:

     `https://idp-50.amlab.net:8443/nidp/name/password/uri`

   - Methods and Available Methods: Move a name/password method to the Methods list. We recommend Secure Name/Password - Basic, but you can use Name/Password - Basic.
   - Do not configure a password expiration servlet. This contract is going to be used with non-redirected login, which prevents all redirection, including redirection to a password expiration service.

   For more information about other options, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

3. Click Next.
4. Configure a card for the contract by filling in the following:
   - Text: Specify the text that is displayed on the card to the user.
   - Image: Specify the image to be displayed on the card. To use an existing image, select an image from the drop-down list. To add an image to the list, click Select local image.
   - Show Card: Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.

5. Click Finish, then OK.
7. Continue with “Creating a Reverse Proxy Service” on page 186.
3.10.2.2 Creating a Reverse Proxy Service

1. Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].
2. In the Proxy Service List section, click New.
3. Fill in the following fields:
   - **Proxy Service Name**: Specify a display name for the proxy service that Administration Console uses for its interfaces.
   - **Multi-Homing Type**: Select Domain-Based as the multi-homing method that Access Gateway must use to identify this proxy service.
   - **Published DNS Name**: Specify the DNS name you want the public to use to access the SharePoint server. This DNS name must resolve to the IP address you set up as the listening address.

   If the DNS name of the reverse proxy is the same as the DNS name of the SharePoint server, no rewriting configuration is required. If they are different, there is a high probability that the application will respond incorrectly to user requests.

   - **Web Server IP Address**: Specify the IP address of the IIS Web server with the SharePoint server.
   - **Host Header**: Select the Web Server Host Name option.
   - **Web Server Host Name**: Specify the DNS name of the SharePoint server that Access Gateway must forward to the web server.

   For more information about how to create a reverse proxy, see Section 3.8.2, "Managing Reverse Proxies and Authentication," on page 98

4. Click OK.
5. Continue with "Configuring Multiple Protected Resources" on page 186.

3.10.2.3 Configuring Multiple Protected Resources

If your SharePoint server has been configured for multiple domains, you need to create three protected resources to enable single sign-on. The server has two ways to access the home page. You need to create a protected resource for each of these paths, and then a protected resource for the other pages. These protected resources must have a configuration similar to the following:

<table>
<thead>
<tr>
<th>SharePoint Page</th>
<th>URL Path</th>
<th>Contract</th>
<th>Authentication Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>home page</td>
<td>default.aspx</td>
<td>custom</td>
<td>Normal</td>
</tr>
<tr>
<td>root</td>
<td>/</td>
<td>custom</td>
<td>Normal</td>
</tr>
<tr>
<td>all others</td>
<td>/*</td>
<td>custom</td>
<td>Non-redirected login</td>
</tr>
</tbody>
</table>

For single sign-on, all the protected resources need to specify the same contract. When assigning the contract for the /* resource, the contract needs to be configured to use non-redirected login for its authentication procedure. When a user first accesses the SharePoint server, the users are directed either to the home page or the root of the server. From either of these locations, the users can be
redirected to Identity Server for authentication. After the users have authenticated and the SharePoint server requests authentication for access to any of the other pages, these pages need to be configured to use non-redirected login.

1 In the **Proxy Service List**, click the name of the Proxy Service you created, then click **Protected Resources**.

2 To create a protected resource for the home page:

2a In the **Protected Resource List**, click **New**, specify a name such as **homepage**, then click **OK**.

2b For the home page of the SharePoint server, specify the following values:

   - **Authentication Procedure**: Select the custom contract you created.
   - **URL Path**: Click */* and replace it with `default.aspx`, then click **OK** twice.

3 To create a protected resource for the root page:

3a In the **Protected Resource List**, click **New**, specify a name such as **root**, then click **OK**.

3b For the root of the SharePoint server, specify the following values:

   - **Authentication Procedure**: Select the custom contract you created.
   - **URL Path**: Click */* and remove the asterisk, then click **OK** twice.

4 To create a protected resource for all other pages:

4a In the **Protected Resource List**, click **New**, specify a name such as **allothers**, then click **OK**.

4b For all other pages of the SharePoint server, specify the following values:

   - **Authentication Procedure**: Select the custom contract you created.
   - **URL Path**: Leave the default value.

4c Click the **Edit Authentication Procedures** icon on the **Authentication Procedure** line.

4d Click the name of your custom contract, then fill in the following:

   - **Non-Redirected Login**: Select this option.
   - **Realm**: Specify a name that your users associate with the SharePoint server. This name is displayed when the user needs to reauthenticate.

   For more information about this feature, see “Configuring an Authentication Procedure for Non-Redirected Login” on page 110.

5 Click **OK** three times.

In the **Protected Resource List**, you must have three protected resources that use the same Authentication Procedure.

For information about configuring protected resources, see “Setting Up a Protected Resource” on page 107.

6 Click **Access Gateways**, then update Access Gateway.

7 (Conditional) If you have limited your users to one session, modify this limitation:

7a Click **Devices > Identity Servers > Edit**.

7b Increase the value of the **Limit user sessions** option.

7c Click **OK**, then update Identity Server.
3.10.3 Configuring a Protected Resource for Outlook Web Access

If you want to protect your Outlook Web Access server with Access Gateway, you need to configure the following Access Manager features. The instructions assume that you have a functioning Outlook Web Access server and a functioning Access Manager system:

- Section 3.10.3.1, "Configuring a Protected Resource for Outlook Web Access," on page 188
- Section 3.10.3.2, "Configuring an Authentication Procedure," on page 188
- Section 3.10.3.3, "Configuring a Rewriter Profile," on page 189
- Section 3.10.3.4, "Configuring Identity Injection," on page 190
- Section 3.10.3.5, "Configuring Form Fill," on page 190

3.10.3.1 Configuring a Protected Resource for Outlook Web Access

The following instructions assume that you have a basic setup with at least one reverse proxy and proxy service. If you don’t have this basic setup, see Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98 and complete a basic setup before continuing.

1. Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].
2. In the Proxy Service List section, click New.
3. Specify a name for the proxy service, then click OK.
4. Click the newly added proxy service. Fill in the fields:
   - Proxy Service Name: Specify a display name for the proxy service, which Administration Console uses for its interfaces.
   - Published DNS Name: Specify the DNS name you want the public to use to access your site. This DNS name must resolve to the IP address you set up as the listening address.
   - Multi-Homing Type: Select the multi-homing method that Access Gateway must use to identify this proxy service.
   - Web Server IP Address: Specify the IP address of the IIS web server.
   - Host Header: Select the Web Server Host Name option.
   - Web Server Host Name: Specify the DNS name of the Outlook Web Access server that Access Gateway must forward to the web server.
5. Click OK.
6. Continue with "Configuring an Authentication Procedure" on page 188.

3.10.3.2 Configuring an Authentication Procedure

1. Click Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources.
2. Click New, then specify a display name for the resource.
3. (Optional) Specify a description for the protected resource. You can use it to briefly describe the purpose for protecting this resource.
4. Select an authentication contract. If you want to enable non-redirected login, select Name/Password - Basic as the authentication contract.
5. (Optional) If you want to enable non-redirected login, click the Edit Authentication Procedure icon, then click the contract that you have added to specify the following information:
   - Non-Redirected Login: Select the option to enable non-redirected login.
**Realm:** Specify the security realm configured for the IIS server running the Outlook Web Access server.

To check the security realm configured for the IIS server, open the IIS Administration Console, right-click the Outlook Web Access Server Access Gateway is protecting, then select Properties. The Directory Security tab contains the Security realm field.

6 Create protected resource:

6a In the Protected Resource List, click New, specify a name such as root, then click OK.

6b Specify the following values:

- **Authentication Procedure:** Select the contract you created.
- **URL Path:** Make sure that */* is selected. If you have configured Outlook Web Access as a path-based service, then click the URL path and add the path name of the service. For example, */owa/*, where owa is the path name.

Click OK twice.

7 Create a second protected resource:

7a In the Protected Resource List, click New, specify a unique name, then click OK.

7b Specify the following values:

- **Authentication Procedure:** Do not select any authentication procedure because the URL path is a public resource.
- **URL Path:** Specify */exchweb/* in the URL path. If you have configured Outlook Web Access as a path-based service, click the URL path and add the path name of the service. For example, */owa/exchweb/*, where owa is the path name.

Click OK twice.

8 Click OK.

9 In the Protected Resource List, ensure that the protected resource you created is enabled.

10 If you want to enable single sign-on, then configure Identity Injection or Form Fill policy, depending on the Outlook Web Access configuration. For more information, see “Configuring Identity Injection” on page 190.

11 Continue with “Configuring a Rewriter Profile” on page 189.

### 3.10.3.3 Configuring a Rewriter Profile

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > HTML Rewriting.

2 Click New in the HTML Rewriter Profile List.

3 Configure a Word profile:

3a Specify a name for the profile, select Word as the search boundary, then click OK.

3b Click New in the Variable or Attribute Name to Search for Is section, then specify value.

3c Click OK.

3d Select Rewrite Inbound Query String Data.

3e Select Rewrite Inbound Post Data.

3f Select Rewrite Inbound Headers.

3g Ensure that Enable Rewrite Actions remains selected.
4 (Optional) If you have configured the path-based multi-homing service, do the following:

4a Add the following content types for the And Document Content-Type Header Is option in the Word profile:
   - text/x-component
   - extension/htc

4b Configure the following options for Strings to Search for Is:
   - Specify Search as /exchange and Replace With as $path/exchange
   - Specify Search as /exchweb and Replace With as $path/exchweb

5 To save your changes to browser cache, click OK.
6 Use the up-arrow button to move your profile to the top of the HTML Rewriter Profile List.
7 To apply your changes, click the Access Gateways link, then click Update > OK.

3.10.3.4 Configuring Identity Injection

You must configure an Identity Injection policy to enable single sign-on with the Outlook Web Access server that has basic authentication configured. This Identity Injection policy must be configured to inject an authentication header. For information about creating this policy, see Section 8.4.3, “Configuring an Authentication Header Policy,” on page 745.

3.10.3.5 Configuring Form Fill

You can configure a Form Fill policy to prepopulate fields in the form when you log into the Outlook Web Access first time and then save the information in the completed form to the config store for subsequent logins. For information about how to create this policy, see Chapter 8.5, “Form Fill Policies,” on page 762.

Enabling the Auto Submit option requires additional entries apart from the username and password fields. To enable the Auto Submit option:

1 Click Policies > Policies > <Policy Name>.
2 In the Edit Policy page, add the following details under Fill Options:

<table>
<thead>
<tr>
<th>Input Field Name</th>
<th>Input Field Type</th>
<th>Input Field Value</th>
<th>Data Conversion</th>
</tr>
</thead>
<tbody>
<tr>
<td>destination</td>
<td>Hidden</td>
<td>String Constant : http://&lt;webserver DNS Name/owa&gt; (when Web server is configured for http.)</td>
<td>None</td>
</tr>
<tr>
<td></td>
<td></td>
<td>String Constant : https://&lt;webserver DNS Name/owa&gt; (when Web server is configured for https.)</td>
<td></td>
</tr>
<tr>
<td>flags</td>
<td>Hidden</td>
<td>String Constant : 0</td>
<td>None</td>
</tr>
<tr>
<td>forcedownlevel</td>
<td>Hidden</td>
<td>String Constant : 0</td>
<td>None</td>
</tr>
<tr>
<td>isUt8</td>
<td>Hidden</td>
<td>String Constant : 1</td>
<td>None</td>
</tr>
<tr>
<td>trusted</td>
<td>Radio Button</td>
<td>String Constant : 0</td>
<td>None</td>
</tr>
</tbody>
</table>
3.10.4 Configuring a Protected Resource for a Novell Vibe 3.3 Server

The following sections explain how to configure Access Gateway with a domain-base multi-homing service. The instructions assume that you have a functioning Novell Vibe 3.3 server on Linux and a functioning Access Manager system with a reverse proxy configured for SSL communication between the browsers and Access Gateway.

The Novell Vibe server needs to be configured to trust Access Gateway to allow single sign-on with Identity Injection and to provide simultaneous logout. You also need to create an Access Gateway proxy service and configure it.

- Section 3.10.4.1, “Configuring the Novell Vibe Server to Trust Access Gateway,” on page 191
- Section 3.10.4.2, “Configuring a Domain-Based Multi-Homing Service for Novell Vibe,” on page 192
- Section 3.10.4.3, “Creating a Pin List,” on page 195

For information about other possible Access Gateway configurations, see “Teaming 2.0: Integrating with Linux Access Gateway”.

3.10.4.1 Configuring the Novell Vibe Server to Trust Access Gateway

To use Novell Vibe as a protected resource of an Access Gateway and to use Identity Injection for single sign-on, the Teaming server needs a trusted relationship with Access Gateway. With a trusted relationship, the Teaming server can process the authorization header credentials. The Teaming server accepts only a simple username (such as user1) and password in the authorization header.

This section explains how to set up the trusted relationship and how to enable simultaneous logout, so that when the user logs out of Teaming, the user is also logged out of Access Gateway.

To configure the trusted relationship:

1. Log in to the Novell Vibe server.
2. Stop the Teaming server with the following command:
   ```
   /etc/init.d/teaming stop
   ```
3. Run the `installer-teaming.linux` script.
4. Follow the prompts, then select Reconfigure settings.
5. Follow the prompts, then select Advanced installation.
6. Follow the prompts, selecting the defaults until the `Enable Access Gateway` option appears, then type Yes.
7. In the Access Gateway address(es) section, include the IP address of Access Gateway that is used for the connection to the Teaming server.
   If Access Gateway is part of a cluster, add the IP address for each cluster member. Wildcards such as 164.99.*.* are allowed.
When you specify IP addresses in this option, Novell Vibe logins are allowed only from the specified addresses. Also, if authorization header credentials are not present or are incorrect, the user is prompted for login by using Basic Authentication.

8 When prompted for the Logout URL, specify the URL of the published DNS name of the proxy service plus /AGLogout.

For example, if the published DNS name of the proxy service is vibe.doc.provo.novell.com, specify the following URL:

https://Vibe.doc.provo.novell.com/AGLogout

9 When you are prompted to use Access Gateway for WebDAV connections, specify No.

10 Follow the prompts to complete the reconfiguration process.

11 Start the Vibe server with the following command:

/etc/init.d/teaming start

12 Continue with “Configuring a Domain-Based Multi-Homing Service for Novell Vibe” on page 192.

### 3.10.4.2 Configuring a Domain-Based Multi-Homing Service for Novell Vibe

The following instructions describe how to set up a domain-based service to protect the Novell Vibe server. In this example, the published DNS name of the service is Vibe.doc.provo.novell.com. Users would access the Vibe server with a URL similar to http://Vibe.doc.provo.novell.com.

To configure a domain-based service for Vibe, complete the following tasks:

- “Configuring the Domain-Based Proxy Service” on page 192
- “Configuring Protected Resources” on page 193
- “Configuring a Rewriter Profile” on page 194

#### Configuring the Domain-Based Proxy Service

You must create a new reverse proxy before you configure the domain-based proxy service. Configure the Vibe domain as the primary proxy service and enable SSL between browser and Access Gateway. For more information about how to create a new reverse proxy, see “Creating a Proxy Service” on page 99.

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].

2 In the Reverse Proxy List, click New, then specify the following details:

   **Proxy Service Name:** Specify a display name for the proxy service that Administration Console uses for its interfaces.

   **Multi-Homing Type:** Select Domain-Based.

   **Published DNS Name:** Specify the DNS name you want the public to use to access your site. This DNS name must resolve to the IP address you set up as the listening address. For example, vibe.doc.provo.novell.com.

   **Web Server IP Address:** Specify the IP address of the Vibe server.

   **Host Header:** Select the Forward Received Host Name option.

   **Web Server Host Name:** Specify the DNS name of the Vibe server.

3 Click OK.

4 Click the newly added proxy service, then select the Web Servers tab.

5 Change the Connect Port to 8080.
If the Novell Vibe server has port forwarding enabled, you do not need to change from the default port 80.

6 Click TCP Connect Options.

7 Change the value of Data Read Timeout option to 300 seconds.
This longer timeout is needed for file uploads.

8 Click OK.

9 Continue with “Configuring Protected Resources” on page 193.

Configuring Protected Resources

You must configure an Identity Injection policy to enable single sign-on with the Novell Vibe server. This Identity Injection policy must be configured to inject the authentication credentials into the authorization headers.

1 Click Policies > Policies.

2 Select the policy container, then click New.

3 Specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.

4 (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple policies to be used by multiple resources.

5 In the Actions section, click New, then select Inject into Authentication Header.

6 Fill in the following fields:
   - User Name: Select Credential Profile > LDAP User Name.
   - Password: Select Credential Profile > LDAP Password.

7 Click OK twice.

8 Click Apply Changes.

   For more information about how to create such a policy, see Section 8.4.3, “Configuring an Authentication Header Policy,” on page 745.

   Assign this policy to the protected resources. You need to create two protected resources, one for HTML content and one for WebDAV and AJAX content.

9 Click Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources.

10 Create a protected resource for HTML content:

   10a In the Protected Resource List, click New, specify a name, then click OK.

   10b (Optional) Specify a description for the protected resource. You can use it to briefly describe the purpose for protecting this resource.

   10c Specify a value for Authentication Procedure. For example, select the Secure Name/Password - Form contract.

   10d In the URL Path List, remove the /* path and add the following two paths:

      /teaming/*
      /ssf/*

   10e Click OK.
Create a protected resource for WebDAV and AJAX content:

11a In the **Protected Resource List**, click **New**, specify a unique name, then click **OK**.

11b (Optional) Specify a description for the protected resource. You can use it to briefly describe the purpose for protecting this resource.

11c Click the **Edit Authentication Procedure** icon.

11d In Authentication Procedure List, click **New**, specify a name, then click **OK**.

11e Specify details in the following fields:

- **Contract**: Select the Secure Name/Password - Form contract, which is same contract that you selected for the HTML content protected resource.
- **Non-Redirected Login**: Select this option.
- **Realm**: Specify a name that you want to use for the Teaming server. This name does not correspond to a Vibe configuration option. It appears when the user is prompted for credentials.
- **Redirect to Identity Server When No Authentication Header is Provided**: Deselect this option.

11f Click **OK** twice.

11g For the Authentication Procedure, select the procedure you just created.

11h In the **URL Path List**, remove the /* path and add the following paths:

```
/ssfs/*
/ssf/rss/*
/ssf/atom/*
/ssf/ical/*
/ssf/ws/*
/ssr/*
/rest/*
```

The /ssfs/* path is for WebDAV content and the /ssf/rss/* path enables non-redirected login for RSS reader connections.

11i Click **OK**.

12 In the **Protected Resource List**, ensure that the protected resources you created are enabled.

13 To apply your changes, click **Devices > Access Gateways**, then click **Update**.

14 Continue with "Configuring a Rewriter Profile" on page 189.

### Configuring a Rewriter Profile

1 Click **Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > HTML Rewriting**.

2 In **HTML Rewriter Profile List**, click **New**.

3 Specify a name for the profile, select **Word** as the search boundary, then click **OK**.

4 In the **And Document Content-Type Header Is** section, click **New**, then specify the following type:

```
application/rss+xml
```

5 In the **Variable or Attribute Name to Search for Is** section, click **New**, then specify the following as the variable to search for:

```
value
```

6 Click **OK**.
7 Ensure that **Enable Rewrite Actions** remains selected.
8 Click OK.
9 In **HTML Rewriter Profile List**, move the Word profile you created to be the first profile in the list, and move the default profile to be the second profile in the list.
10 Click OK.
11 To apply your changes, click **Devices > Access Gateways, Update**.
12 Continue with “Creating a Pin List” on page 195.

---

**NOTE:** If Vibe is configured to send the binary content in the JSON format, you must disable the HTML Rewriter to prevent errors.

### 3.10.4.3 Creating a Pin List

Configure Access Gateway to bypass the published URL of the proxy service:

1 Click **Devices > Access Gateways > Edit**.
2 Click **Pin List** in the configuration page.
3 Click **New**, then specify the published DNS name of the proxy service. For example, `vibe.doc.provo.novell.com`.
4 Select **Bypass** as the Pin type.
5 Click OK.
6 To save the configuration changes, click **Devices > Access Gateways, then click Update**.

---

**NOTE:** If you do not want Access Manager to cache site information, do not create a Pin List. Instead, you must configure Access Manager to forward cache control headers to the browser. This is the recommended configuration for Novell Vibe. For information about how to forward cache control headers to the browser, see **Section 4.3.2, “Controlling Browser Caching,” on page 265.**

### 3.10.5 Configuring Access to the Filr Site through Access Manager

For information about using Access Manager to configure a protected resource for a Novell Filr server, see **Allowing Access Manager to configure a protected resource for a Novell Filr server** (http://www.novell.com/documentation/novell-filr1/filr1_admin/data/btk7698.html).

### 3.11 Sample Configuration for Protecting an Application Through Access Manager Appliance

This section explains how to use Access Manager Appliance to protect the website illustrated in the following figure. The sample application that comes by default with the Access Manager Appliance showcases the various Access Manager features. Ensure that you remove the landing portal in the production environment. Instructions for removing this portal are given on the landing page.

This section explains how to configure the Access Manager Appliance to allow access to this first page and how to create and assign policies that protect the other pages.
The example web pages are designed to help network administrators understand the basic concepts of Access Manager Appliance by installing and configuring a relatively simple implementation of the software. The example serves as a primer for a more comprehensive production installation of Access Manager Appliance.

- Section 3.11.1, "Installation Overview and Prerequisites," on page 196
- Section 3.11.2, "Accessing the Sample Web Portal," on page 198
- Section 3.11.3, "Understanding the Policies Used in the Sample Portal," on page 198

### 3.11.1 Installation Overview and Prerequisites

This section discusses the concepts involved in installing Access Manager Appliance to protect the example Digital Airlines website:

- Section 3.11.1.1, "Installation Architecture," on page 196
- Section 3.11.1.2, "Deployment Overview," on page 197

After you deploy this example, you must understand the basic features of Access Manager Appliance and know how to configure the software to protect your own web servers and applications.

#### 3.11.1.1 Installation Architecture

Access Manager Appliance offers a simplified deployment model. The entire product is deployed as an appliance in a single-box form factor. For more information, see Installing Access Manager Appliance in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

The primary purpose of Access Manager Appliance is to protect resources by allowing access only to users you have authorized. You can control access to Web (HTTP) resources as well as traditional server-based (non-HTTP) resources. As shown in the following illustration, those users who are authorized to use the protected resources are allowed access, while unauthorized users are denied access.

The following diagram illustrates how the sample portal is integrated with Access Manager Appliance.
Access Manager Appliance secures your protected Web resources from Internet hackers. The addresses of the servers that host the protected resources are hidden from both external and internal users. The only way to access the resources is by logging in to Access Manager Appliance with authorized credentials.

In the Identity Server Cluster option, the configuration assigned to Identity Server that is the default IDP-Cluster is displayed. This establishes the trust relationship between Access Gateway and Identity Server that is used for authentication. In the Reverse Proxy List NAM-RP which is the default reverse proxy is listed.

You can see the IP address of Access Gateway installed in the Access Gateways window. The health of the configured Access Gateway is Green. The published DNS name to access your sample web portal site, in this example uses namapp.com. This DNS name resolves the IP address set up as the listening address. When you edit the Reverse Proxy / Authentication, you can see that it is already configured.

3.11.1.2 Deployment Overview

- “Prerequisite Tasks” on page 197

Prerequisite Tasks

Before starting with the Digital Airlines example, you must perform the following tasks:

- Enable pop-ups on a Firefox browser or Internet Explorer browser for managing and configuring the Access Manager Appliance components. For information about supported version of web browsers, see NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.
- Install the Access Manager Appliance as described in the Installing Access Manager Appliance in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.
### 3.11.2 Accessing the Sample Web Portal

You can access the sample Web portal by going to the portal Web site, in this example, www.namapp.com/portal. This is because the namportal is already configured with the published DNS name www.namapp.com and the Multi-Homing Path-Based proxy service is defined as /portal.

Protected resource details are displayed in the Protected Resource List. The portal_public is a public resource and do not have an authentication procedure. You can access this page without any credentials from the following URL:

https://www.namapp.com/portal/ takes you to the landing page of the Web portal.

The default protected resources in this example are /portal/payinfos/* and /portal/users/* that have an associated authentication procedure. For example if you want to access the portal go to https://www.namapp.com/portal and click on Sample Application on the portal page. You will be asked for credentials. By default Access Manager creates two sample users Alice and Bob with password novell.

### 3.11.3 Understanding the Policies Used in the Sample Portal

The sample portal site is configured for authentication and role based authorization.

Access Manager Appliance uses an Identity Server Role policy to assign roles to logged in users. In the sample portal Identity Server with a policy named role_assignment Manager and Employee are defined. A user Alice is assigned with role Manager and Employee. Another user Bob is assigned with role Employee. The users of role Employee and Manager can see and edit their own as well as an employee’s basic information. Payroll information of each user is a protected information. A user who is assigned the role of Employee cannot see the pay information of other users, unless assigned the role of Manager.

Access Manager Appliance uses authorization policy to define access control. Role Based Access Control can conveniently assign a user to a particular job function or set of permissions within an enterprise. Access Manager Appliance enables you to assign roles to users, based on attributes of their identity, and then associate policies with the roles. In designing your own actual production environment, you need to decide which roles you need (such as, sales, administrative, payroll, and accounting). You can create Role policies that assign the roles to your users, and then create Authorization and Identity Injection policies that use the roles to control access.

Access Manager uses the Identity Injection policy for single sign-on to a web resource using the HTTP header, for example, HTTP authentication. There are Identity Injection policies configured with basic_auth and fillRole which are used for single sign-on to the portal. basic_auth Identity Injection policy will inject authentication header with LDAP User DN and LDAP Password. The DN Format used is LDAP, for example, cn=alice,ou=Payroll,o=Novell. Fillrole injects the defined name and value, in this example Roles into the custom header. The main page of the sample payroll site displays the user’s login name.

Access Manager uses the Form Fill policy to fill the forms from the Web server. A default Form Fill policy, fill_allowance is defined. The Input Field Name payinfo.allowances under Fill Options is defined with the value 10000. When you edit the pay info field, the Allowances field is automatically filled with this value. Any request without basic authentication headers and the required role will be forbidden.
You can use the sample application available to understand the roles by following the procedure below:

1. Login to the portal page for example, https://www.namapp.com/portal and click on Sample Application.

2. Login with the username alice. The login page is displayed with the published DNS name alice. Alice can access her pay information. If the user belongs to payroll, the Pay Info button is displayed on the page.

3. Click on Employees. Alice can access Bob’s pay information because Alice is assigned the manager role. Click show against the DNS name, in this example, Bob and click Pay Info.

4. Click pay edit to edit the pay of the employees. The Allowances field is automatically filled as defined in the Form Fill policy. You can edit the pay information and save your changes.

5. Click on New Employee to create a new employee.

NOTE: If you login as Bob, you cannot create a new employee or access the pay information of other employees and will get a Forbidden error as Bob is not assigned a Manager role.
4 Setting Up an Advanced Access Manager Configuration

- Section 4.1, “Identity Server Advanced Configuration,” on page 201
- Section 4.2, “Access Gateway Server Advance Configuration,” on page 243
- Section 4.3, “Access Gateway Content Settings,” on page 264
- Section 4.4, “Access Gateway Advanced Options,” on page 270
- Section 4.5, “Analytics Server Configuration,” on page 281
- Section 4.6, “Modifying Configuration Files,” on page 288

4.1 Identity Server Advanced Configuration

- Section 4.1.1, "Managing an Identity Server," on page 201
- Section 4.1.2, “Editing Server Details,” on page 203
- Section 4.1.3, “Customizing Identity Server,” on page 204
- Section 5.1, “Local Authentication,” on page 291
- Section 5.2.4, “Configuring SAML 2.0,” on page 404
- Section 5.2.5, “Configuring SAML 1.1,” on page 439
- Section 5.2.6, “Configuring Liberty,” on page 442
- Section 5.2.7, “Configuring Liberty Web Services,” on page 448
- Section 5.2.8, “Configuring WS Federation,” on page 467
- Section 5.2.9, “Configuring WS-Trust Security Token Service,” on page 491
- Section 5.2.10, “Configuring OAuth and OpenID Connect,” on page 513

4.1.1 Managing an Identity Server

Identity Servers page is the starting point for managing Identity Servers. Most often, you use this page to stop and start servers, and to assign servers to Identity Server configurations. An Identity Server cannot operate until you have assigned it to an Identity Server configuration.

1. Click Devices > Identity Servers.
2. On the Servers tab, you can perform the following functions by clicking the server’s check box, then clicking any of the following options:
   - **Start**: Starts the selected server. (See “Restarting Identity Server” on page 203.)
   - **Stop**: Stops the selected server. (See “Restarting Identity Server” on page 203.)
   - **Refresh**: Refreshes the server list.
   - **Actions**: Enables you to perform the following task:
     - **Update Health from Server**: Performs a health check for the device.
     - **Export Configuration**: Enables you to export Identity Server configuration to another setup. See Section 29.6, “Exporting the Configuration Data,” on page 1016.
- **Import Configuration**: Enables you to import Identity Server configuration from another setup. See Section 29.7.3, “Importing Identity Server Configuration Data,” on page 1018.

This page also displays links in the following columns:

<table>
<thead>
<tr>
<th>Column</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Lists Identity Server and cluster configuration names.</td>
</tr>
<tr>
<td>Status</td>
<td>Lists the status of each configuration.</td>
</tr>
<tr>
<td></td>
<td><strong>Current</strong>: Indicates that the server is using the latest configuration data.</td>
</tr>
<tr>
<td></td>
<td>If you change a configuration, the system displays an <strong>Update</strong> or <strong>Update All</strong> link.</td>
</tr>
<tr>
<td></td>
<td><strong>Update</strong>: A link to update an Identity Server’s configuration data without stopping the server.</td>
</tr>
<tr>
<td></td>
<td><strong>Update All</strong>: A link displayed for cluster configurations. This lets you update all Identity Servers in a cluster to use the latest configuration data, with options to include logging and policy settings.</td>
</tr>
<tr>
<td>Health</td>
<td>Lists the health of each configuration and each server.</td>
</tr>
<tr>
<td>Alerts</td>
<td>Displays the Alerts page, where you can monitor and acknowledge server alerts.</td>
</tr>
<tr>
<td>Commands</td>
<td>Displays the Command Status page.</td>
</tr>
<tr>
<td>Configuration</td>
<td>Lists Identity Server configuration to which this server belongs.</td>
</tr>
</tbody>
</table>

### Starting and Stopping an Identity Server Through Commands

**Start**: To start the server, run one of the following commands:

- /etc/init.d/novell-idp start
- rcnovell-idp start

**Stop**: To stop the server, run one of the following commands:

- /etc/init.d/novell-idp stop
- rcnovell-idp stop

### 4.1.1.1 Updating an Identity Server Configuration

Whenever you change an Identity Server configuration, the system prompts you to update the configuration. An **Update Servers** status is displayed under the **Status** column on the Servers page. You must click **Update Servers** to update the configuration so that your changes take effect.

When you click this link, it sends a reconfigure command to all servers that use the configuration. The servers then begin the reconfiguration process. This process occurs without interruption of service to users who are currently logged in.
When you update a configuration, the system blocks inbound requests until the update is complete. The server checks for any current requests being processed. If there are such requests in process, the server waits five seconds and tests again. This process is repeated three times, waiting up to fifteen seconds for these requests to be serviced and cleared out. After this period of time, the update process begins. Any remaining requests might have errors.

During the update process, all settings are reloaded with the exception of the base URL. In most cases, user authentications are preserved; however, there are conditions during which some sessions are automatically timed out. These conditions are:

- A user logged in via an authentication contract that is no longer valid. This occurs if an administrator removes a contract or changes the URI that is used to identify it.
- A user logged in to a user store that is no longer valid. This occurs if you remove a user store or change its type. Changing the LDAP address to a different directory is not recommended, because the system does not detect the change.
- A user received authentication from an identity provider that is no longer trusted. This occurs if you remove a trusted identity provider or if the metadata for the provider changed.

Additionally, if you remove a service provider from an identity provider, the identity provider removes the provided authentication to that service provider. This does not cause a timeout of the session.

Changes to the SAML and Liberty protocol profiles can result in the trusted provider having outdated metadata for Identity Server being reconfigured. This necessitates an update at the other provider and might cause unexpected behavior until that occurs.

- Click Devices > Identity Servers.
- Click Update or Update All.
  These options are only available when you have made changes that require a server update.

### 4.1.1.2 Restarting Identity Server

Starting and stopping an Identity Server terminates active user sessions. These users receive a prompt to log in again unless you have configured session failover (see “Configuring Session Failover” on page 57).

1. Click Devices > Identity Servers, then select Identity Server to stop.
2. Click Stop.
3. Wait for the Command Status to change from Pending to Complete.
4. Select Identity Server, then click Start.
5. When the Command Status changes to Complete, click Refresh.
   The status icon of Identity Server must turn green.

### 4.1.2 Editing Server Details

You can edit server details, such as the server name and port. You can also access the other server management tabs from this page.

1. Click Devices > Identity Servers, then click the server name.
2. To edit the information, click Edit.
3. Modify the following fields as necessary:
   - **Name:** The name of Identity Server. Names must be alphanumeric and can include spaces, hyphens, and underscores.
**Management IP Address:** The IP address of Identity Server. Changing server IP addresses is not recommended and causes the server to stop reporting. See Section 2.4, "Changing the IP Address of Access Manager Appliance," on page 49.

**Port:** Identity Server port used for management.

**Location:** The location of Identity Server.

**Description:** A description of Identity Server.

4 To save your changes, click **OK**. Otherwise, click **Cancel**.

### 4.1.3 Customizing Identity Server

Login page, logout page, and error messages of Identity Server user portal.

This section includes the following topics:

- Section 4.1.3.1, "Getting Started," on page 204
- Section 4.1.3.2, "Customizing Identity Server Login Page," on page 206
- Section 4.1.3.3, "Customizing Identity Server Logout Page," on page 221
- Section 4.1.3.4, "Customizing Identity Server Messages," on page 223
- Section 4.1.3.5, "Maintaining Customized Identity Server," on page 227
- Section 4.1.3.6, "Sample Custom Login Pages," on page 227

#### 4.1.3.1 Getting Started

The default user portal is different from Access Manager 4.2 or later. If you have customized the legacy user portal, you can retain the customized JSP pages.

To understand the differences between the two portal pages, see Section 5.1.14, "Managing Direct Access to Identity Server," on page 355.

To change simple aspects of the default user portal page see, Section 7.8, "Changing the Branding of the User Portal Page," on page 638.

This section includes the following topics:

- "Customizing JSP Files" on page 204
- "Enabling the AppMark Portal Landing Page in Old Customized JSP Files" on page 205
- "Enabling reCAPTCHA in Login Page" on page 205
- "Enabling Impersonation in Login Page" on page 205

**Customizing JSP Files**


2. Any file that has *_legacy.jsp in the file name, add your customization to that file. For example, if there is a nidp.jsp file and a nidp_legacy.jsp, add your customization to the nidp_legacy.jsp file. Make no changes to the nidp.jsp.

3. If there is only one instance of a*.jsp file, add your customization to that file. For example, if there is only a IdentityEditor.jsp file, add your customization to the IdentityEditor.jsp file.

NOTE: For more information, see “Maintaining Customized JSP Files for Identity Server” in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

Enabling the AppMark Portal Landing Page in Old Customized JSP Files

Access the /opt/novell/nids/lib/webapp/jsp folder and make the following changes in nidp_legacy.jsp and content_legacy.jsp files:

1. Both nidp_leagcy.jsp and content_legacy.jsp contain the ContentHandler object that is accessed in the top Java section. Access ContentHandler by using the following method:
   ```java
   ContentHandler handler = new ContentHandler(request, response);
   ```

2. Add the following Java line to determine if the control should be transferred to the AppMark Portal Landing page:
   ```java
   boolean bGotoAlternateLandingPageUrl = handler.gotoAlternateLandingPageUrl();
   ```

3. If the handler.gotoAlternateLandingPageUrl(); method returns “true”, redirect the control to the AppMark Portal Landing page by using JavaScript.
   3a. Find the first `<script> </script>` HTML tag pair in both files.
   3b. In the JavaScript section, add the following lines inside the script tag:
   ```html
   <% if (bGotoAlternateLandingPageUrl) { %>
   document.location = "<%=handler.getAlternateLandingPageUrl()%>";
   <% } %>
   ```

Enabling reCAPTCHA in Login Page

Access the /opt/novell/nids/lib/webapp/jsp folder and include login_captcha.jsp in the following files: login_legacy.jsp and login_latest.jsp.

For example: In the following sample code, include login_captcha.jsp as indicated:

```html
<div id="namepassword" class="content login-page" style="margin: 25px; display: block;">
   <div class="signin-div">
      <div class="input-signin-username"><input type="text" id="Ecom_User_ID" name="Ecom_User_ID" value="<%=uname%>" tabindex="1" placeholder="<%=handler.getResource(JSPResDesc.USERNAME_UNDER_LABEL)%>"></div>
      <div class="signin-div">
         <div class="input-signin-password"><input type="password" id="Ecom_Password" name="Ecom_Password" tabindex="2" placeholder="<%=handler.getResource(JSPResDesc.PASSWORD_UNDER_LABEL)%>"></div>
      </div>
      <% include file="login_captcha.jsp" %>
      <div class="closure-but signin-div">
         <span class="nam-signin-but action-but" name="loginButton2" id="loginButton2" tabindex="3"><%=strSignIn%></span>
      </div>
   </div>
</div>
```

Enabling Impersonation in Login Page

The default User Portal page works with impersonation, but if you have customized Identity Server to provide custom login and logout pages for your customers, you must change your customized pages for impersonation to work. For more information, see Section 27.5, “Implementing Impersonation in Custom Portal Pages,” on page 1003.
4.1.3.2 Customizing Identity Server Login Page

You can create custom login pages that are displayed when the user authenticates to Identity Server. There are a multitude of reasons for customizing the login page. You might want to remove the NetIQ branding and replace it with your company’s brands. You might need to authenticate users with non-default attributes (such as an e-mail address rather than a username). You also might be fronting several protected resources with an Access Gateway, and you need to create a unique login page for each resource.

When you customize the login page:

- You need to decide on the type of page to use. See “Selecting the Login Page and Modifying It” on page 206.
- You need to configure Identity Server to display the correct login page. See “Configuring Identity Server to Use Custom Login Pages” on page 215.
- If the custom page does not display, you need to discover the cause. See “Troubleshooting Tips for Custom Login Pages” on page 220.
- You need to sanitize the JSP file to prevent XSS attacks. See Section 10.7, “Preventing Cross-site Scripting Attacks,” on page 838.

Modifying the Target of the User Portal: If you want to control the target when users log directly into Identity Server, see “Specifying a Target” on page 356.

Modifying Error Pages: Both Identity Server and Access Gateway return error pages to the user. For information about customizing these messages and pages, see the following:

- “Customizing Identity Server Messages” on page 223
- Section 4.2.9.2, “Customizing Error Messages and Error Pages on Access Gateway,” on page 258.

Selecting the Login Page and Modifying It

You must be familiar with customizing JSP files to create a customized login page. You can use any of the following methods to produce the page:

- If you only need to customize the credentials (for example, prompt the user for an e-mail address rather than a name), you can make most of the modifications in Administration Console. You need to add some properties to a method, create a contract from that method, and modify the prompt in the login.jsp file. For configuration information, see “Customizing the Default Login Page to Prompt for Different Credentials” on page 207.

- If you want to maintain the features of the 4.0 page and use its authentication cards but you want to remove the NetIQ branding, you need to modify the nidp_legacy.jsp file. The nidp_legacy.jsp file uses iframes, so the devices that your users use for authentication must also support iframes. For configuration information, see “Customizing the nidp.jsp File” on page 209.

- If you don’t need the authentication cards and if the devices that your users use for authentication support iframes, you can start with the login.jsp file and customize it. For configuration information, see “Modifying the login.jsp File” on page 214.

NOTE: After you have created customized login pages, you need to back them up before doing an upgrade. The upgrade process overrides any custom changes made to JSP files that use the same filename as those included with the product.
During an upgrade, you can select to restore custom login pages, but NetIQ recommends that you have your own backup of any customized files.

**Customizing the Default Login Page to Prompt for Different Credentials**

This section explains how to prompt the users for an identifier other than the user's name. Figure 4-1 displays the default login page with the username prompt.

*Figure 4-1  Modifying the Credential Prompts*

This section explains how to modify the content of the `login.jsp` file. If you want to modify other aspects of this page, you need to select one of the other methods.

The instructions below explain how to create a method that sets up the appropriate query so that the user can be found in the user store with an identifier other than the username (the cn attribute). The instructions then explain how to create a contract that uses this method and how to modify the `login.jsp` page so that it prompts for the appropriate identifier such as an email address instead of a username.

1. Create a method with the appropriate query:
   1a. Click Devices > Identity Servers > Edit > Local > Methods.
   1b. Click New, then specify a Display Name.
   1c. In the drop-down menu for classes, select a class that is a username/password class.
   1d. Leave the Identifies User option enabled, and configure the user store option according to your needs.
   1e. In the Properties section, click New, then specify the following values:
      
      **Property Name:** Query
      **Property Value:** `(&(objectclass=person)(mail=%Ecom_User_ID%))`
      This property is defined so that it queries the user store for the attribute you want to use rather than the cn attribute (in this case, the mail attribute of the person class). The `%Ecom_User_ID%` variable is the default variable name on the login page. You can change this to `%EMail_Address%` if you also change the value in your custom login page.
For more information about how to use this property, see "Query Property" on page 305.

1f In the Properties section, click New, then specify the following values:

   **Property Name:** JSP  
   **Property Value:** `<filename>`  

Replace `<filename>` with the name of the custom login.jsp page you are going to create so that the page prompts the user for an e-mail address rather than a username. This must be the filename without the JSP extension. For example, if you name your file `email_login.jsp`, then you would specify `email_login` for the property value.

1g Click OK.

2 Create a contract that uses this method:

2a Click Contracts > New.

2b Select the method you just created.

2c Configure the other options to fit your requirements.

   For information about configuring the other options for a contract, see Section 5.1.4, "Configuring Authentication Contracts," on page 311.

2d Click OK.

3 Update Identity Server.

4 Copy the login.jsp file and rename it. The JSP files are located on Identity Server in the following directory:

   /opt/novell/nids/lib/webapp/jsp

5 (Conditional) If you modified the `%Ecom_User_ID%` variable, find the string in the file and replace it with your variable.

6 (Conditional) If you need to support only one language, modify the prompt in the login.jsp file:

   6a Find the following string in the file:

   `<label><%=handler.getResource(JSPResDesc.USERNAME)%></label>`

   6b Replace it with the string you want, for example:

   `<label>Email Address:</label>`

   6c Copy the modified file to each Identity Server in the cluster.

   6d Back up your customized file.

7 (Conditional) If you need to localize the prompt for multiple languages, create a custom message properties file for the login prompt. (For more information about how to create a custom message properties file, see "Customizing Messages" on page 223.)

   The following steps assume you want to change the username prompt to an e-mail address prompt.

   7a Find the following definition in the `com/novell/nidp/resource/jsp` directory of the unzipped `nidp.jar` file.

   `JSP.50=Username:`

   7b Add this definition to your custom properties file and modify it so that it prompts the user for an e-mail address.

   `JSP.50=Email Address:`

   7c Translate the value and add this entry to your localized custom properties files.
Copy the customized properties files to the $WEB-INF/classes$ directory of each Identity Server in the cluster.

Restart Tomcat on each Identity Server using one of the following commands:

```
/etc/init.d/novell-idp restart
rcnovell-idp restart
```

To view a sample custom page with these modifications, see “Modified login.jsp File for Credential Prompts” on page 233.

**Customizing the nidp.jsp File**

Figure 4-2 displays the default login page provided by Access Manager. Multiple JSPs are used to create the page.

**Figure 4-2  The JSPs That Create the Login Page**

You can use the `nidp_legacy.jsp` file to customize the header with the Access Manager product name and the NetIQ logo. The `menus.jsp` file controls the Authentication and User Login tabs. The `login.jsp` file controls the credential frame with username and password. The `content.jsp` file controls what is displayed on the page, including the available authentication cards.

The following sections explain how to modify the login page that these JSPs create:

- Rebranding the Header
- Customizing the Card Display
- Customizing the Credential Frame
- Customizing the nidp.jsp File to Customize Error Message
Rebranding the Header

1. Copy the `nidp_legacy.jsp` file and rename it. The JSP files are located on Identity Server in the following directory:

   `/opt/novell/nids/lib/webapp/jsp`;

2. Replace the header title that appears in the top frame (“NetIQ Access Manager” in Figure 4-2):
   2a. Locate the following string at the top of the file.

      ```java
      String hdrTitle = handler.getResource(JSPResDesc.PRODUCT);
      ```
   2b. Replace the value with the title you want to appear. For example:

      ```java
      String hdrTitle = "My Company";
      ```

      Ensure that to enclose your title value with double quotes.

3. Replace the window title that appears in the browser title bar:
   3a. Locate the following line that appears between the `<head></head>` tags:

      ```html
      <title><%=handler.getResource(JSPResDesc.TITLE)%></title>;
      ```
   3b. Replace the content between the `<title>` and `</title>` tags with the title you want to appear. For example:

      ```html
      <title>My Company</title>;
      ```

4. Replace the Access Manager logo on the left of the header (see Figure 4-1):
   4a. Locate the following string:

      ```java
      String hdrImage = "AMHeader_image.png";
      ```
   4b. Replace the value in the quotes with the path and the filename of the image you want to use.

      For example, if you created a `/custom_images` directory in the `images` directory, the `hdrImage` string would have a value similar to the following:

      ```java
      String hdrImage = "/custom_images/myapp.png"
      ```

5c. **NOTE:** Mobile login page customization is only supported in mobile / hand-held Web browsers that support CSS 3.0 specifications. If the browser does not support CSS 3.0 specification, the default logo and header of the normal window is displayed in smaller size.

   To customize the logo for a mobile view, create a new logo and name it `logo_new.gif`. The recommended size of the logo is 40x40 pixels.

5. Replace the NetIQ logo on the right of the header (see Figure 4-2):
   5a. Locate the following string:

      ```java
      String hdrLogo = "AMHeader_logo.png";
      ```
   5b. Replace the value of the `hdrLogo` string with the path and the filename of the image you want to use.

      For example, if you created a `/custom_images` directory in the `images` directory, the `hdrLogo` string would have a value similar to the following:

      ```java
      String hdrLogo = "/custom_images/companylogo.png";
      ```
6 To change the background image for the header (which allows for variable sizing of the page):

6a Locate the following string:

```java
String hdrBgndImg = "AMHeader_background.png";
```

6b Replace the value of the `hdrBgndImg` string with the path and the filename of the image you want to use. You can use a color or an image that can be repeated. The style is set to repeat it from left to right as the window expands.

For example, if you created a `/custom_images` directory in the `images` directory, the `hdrBgndImg` string would have a value similar to the following:

```java
String hdrBgndImg = "/custom_images/mybackground.png";
```

6c To customize the header for a mobile view, create a new header and name it `banner_new.gif`. The recommended size of the banner is 40x800 pixels.

7 If your custom images or title do not appear in the header where you want them, you need to modify the style section.

7a Locate the following lines:

```css
#header { background-image: url(<%= handler.getImage(hdrBgndImg, false)%>); background-repeat: repeat-x; }
#logo { position: absolute; top: 0px; right: 0px; }
#title { position: absolute; font-size: 1.2em; color: white; top: 13px; left: 55px; }
```

7b Modify the top, left, and right values.

8 To change the background colors on the page, modify the color values in the `<style>` section of the `<head>` element.

9 If you need to create multiple custom login pages, repeat Step 1 through Step 8.

10 Copy the custom login pages and the images they require to each Identity Server in the cluster.

11 Continue with one of the following tasks:

- To modify what appears in the credential frame, continue with “Customizing the Credential Frame” on page 212.
- To control the cards displayed in the Authentication Cards section, see “Customizing the Card Display” on page 211.
- To configure Identity Server to use your custom pages, see “Adding Logic to the main.jsp File” on page 216.
- To view a sample custom page with these modifications, see “Custom nidp.jsp File with Custom Credentials” on page 236.

**Customizing the Card Display**

The easiest method to control what appears in the Authentication Cards section is not by modifying the `content.jsp` file. It is by using the Show Card option that appears on the definition of each card. If this option is not selected, the card does not appear in the Authentication Cards section. Each contract has an associated card. For information about modifying the card options, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
Continue with one of the following:

- To modify what appears in the credential frame, continue with “Customizing the Credential Frame” on page 212
- To configure Identity Server to use your custom pages, see “Adding Logic to the main.jsp File” on page 216.

**Customizing the Credential Frame**

The most common reason for modifying the login.jsp page is to prompt the users for an identifier other than the user’s name. To do this, you need to create a method that sets up the appropriate query so that the user can be found in the user store with an identifier other than the username. You then need to create a contract that uses this method. You also need to modify the prompt in the login.jsp page to match the identifier you are prompting for.

1 Create a method with the appropriate query:
   1a Click Devices > Identity Servers > Edit > Local > Methods.
   1b Click New, then specify a Display Name.
   1c In the drop-down menu for classes, select a class that is a username/password class.
   1d Leave the Identifies User option enabled, and configure the user store option according to your needs.
   1e In the Properties section, click New, then specify the following values:
      Property Name: Query
      Property Value: (&(objectclass=person)(mail=%Ecom_User_ID%))
      This property is defined so that it queries the user store for the attribute you want to use rather than the cn attribute (in this case, the mail attribute of the person class). Change mail to the name of the attribute in your user store that you want to use for the user identifier.
      The %Ecom_User_ID% variable is the default variable name on the login page. You can change this to something like %Email_Address% if you also change the value in your custom login page.
      For more information about how to use this property, see “Query Property” on page 305.
   1f In the Properties section, click New, then specify the following values:
      Property Name: JSP
      Property Value: <filename>
      Replace <filename> with the name of the custom login.jsp page you are going to create so that the page prompts the user for an e-mail address rather than a username. This must be the filename without the JSP extension. For example, if you name your file email_login.jsp, then you would specify email_login for the property value.
   1g Click OK.

2 Create a contract that uses this method:
   2a Click Contracts > New.
   2b Select the method you just created.
   2c Configure the other options to fit your requirements.
   If you are creating multiple custom login pages with customized credentials, you might want to use the URI to hint at which custom login.jsp file is used with which custom nidp_legacy.jsp file. For example, the following URI values have the filename of the login page followed by the name of the custom nidp_legacy.jsp page:
login1/custom1
login2/custom2
login3/custom3

For information about configuring the other options for a contract, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

2d Update Identity Server.

3 Copy the login.jsp file and rename it. The JSP files are located on Identity Server in the following directory:

/opt/novell/nids/lib/webapp/jsp

4 (Conditional) If you modified the %Ecom_User_ID% variable, find the string in the file and replace it with your variable.

5 (Conditional) If you need to support only one language, modify the prompt in the login.jsp file:

5a Find the following string in the file:

   <label><%=handler.getResource(JSPResDesc.USERNAME)%></label>

5b Replace it with the string you want, for example:

   <label>Email Address:</label>

5c Copy the modified file to each Identity Server in the cluster.

5d Back up your customized file.

6 (Conditional) If you need to localize the prompt for multiple languages, create a custom message properties file for the login prompt. (For more information about how to create a custom message properties file, see “Customizing Messages” on page 223.)

The following steps assume you want to change the username prompt to an e-mail address prompt.

6a Find the following definition in the com/novell/nidp/resource/jsp directory of the unzipped nidp.jar file.

   JSP.50=Username:

6b Add this definition to your custom properties file and modify it so that it prompts the user for an e-mail address.

   JSP.50=Email Address:

6c Translate the value and add this entry to your localized custom properties files.

6d Copy the customized properties files to the WEB-INF/classes directory of each Identity Server in the cluster.

6e Restart each Identity Server using one of the following commands:

   /etc/init.d/novell-idp restart
   rcnovell-idp restart

7 To view a sample custom page with these modifications, see “Custom nidp.jsp File with Custom Credentials” on page 236.

8 To specify which customized nidp_legacy.jsp to display with the contract, you must modify the main.jsp file. Continue with “Adding Logic to the main.jsp File” on page 216.
Customizing the nidp.jsp File to Customize Error Message

Identity Server publishes a generic error message for error code during SAML failure such as request denied or Invalid Name ID Policy and so on. You can customize the NIDP jsp file available at /opt/novell/nids/lib/webapp/jsp and write an appropriate error message for either redirection or to inform the user about the issue with an appropriate message. Perform the following steps to customize error message.

NOTE: In the following example the specified code snippet is for simulating InvalidNameIDPolicy error for SAML 2.0.

1. Generate an error condition with for example, Invalid Name ID Policy.
2. Customized the nidp.jsp file and add the following code for redirection.

```java
com.novell.nidp.ui.MenuHandler redirectMenuHandler;
com.novell.nidp.NIDPMessage redirectMessage;
String redirectCause;
redirectMenuHandler = new MenuHandler(request, response);
redirectMessage = redirectMenuHandler.getMessage(true);
if (redirectMessage != null && redirectMessage instanceof com.novell.nidp.NIDPError) {
    redirectCause = ((com.novell.nidp.NIDPError)
    redirectMessage).getNIDPExceptionMsg();
    System.out.println("************** redirectCause" + redirectCause);
    if (redirectCause != null &&
    redirectCause.indexOf("InvalidNameIDPolicy") != -1) {
        response.sendRedirect("http://www.novell.com");
        return;
    }
}
```
3. Restart Identity Server by using the `rcnovell-idp restart` command.
4. Verify that when failure occurs, SAML shows the following message in the authentication response.

```xml
<samlp:Status><samlp:StatusCode
Value="urn:oasis:names:tc:SAML:2.0:status:InvalidNameIDPolicy"/></
samlp:StatusCode>

Due to customized nidp_legacy.jsp file, SAML redirects to specified location.
```
5. Rerun the failure and verify that instead of displaying 300101008, nidp page redirects to the specified www.novell.com location.

Modifying the login.jsp File

The login.jsp file gives you just the credential frame with the login prompts in an iframe. It has no branding header. If you use this page, you are responsible for writing the HTML code for the header and the branding.

1. Copy the login.jsp file and rename it. The JSP files are located on Identity Server in the following directory:

    `/opt/novell/nids/lib/webapp/jsp`
2. Add the custom branding and any other content you require to the file.
3. To modify the credentials, see “Customizing the Credential Frame” on page 212.
4 Repeat Step 1 through Step 3 for each resource that requires unique branding.

5 Copy the files to each Identity Server in the cluster.

6 Back up your customized files.

7 Continue with “Using Properties to Specify the Login Page” on page 215.

## Configuring Identity Server to Use Custom Login Pages

There are two ways to configure Identity Server to use a custom login page. You can use properties or you can modify the `main.jsp` file. Which method you can use depends upon your modifications.

- You can use properties if you created your custom page from the 3.2 or later `login.jsp` page. See “Using Properties to Specify the Login Page” on page 215.
- If you created your custom page from the `nidp_legacy.jsp` file, you cannot use properties to specify the main custom page for authentication. You must modify the `main.jsp` file. See “Adding Logic to the main.jsp File” on page 216.

## Using Properties to Specify the Login Page

For each resource that needs a unique login page, you need to create an authentication method and add the JSP and MainJSP properties to the method. You then need to create a contract for each method.

The following steps assume that the custom login page is called `custom1.jsp`.

1 Create a method for a custom login page:

   1a Click Devices > Identity Servers > Edit > Local > Methods.

   1b Select one of the following actions:

      - If you have create a method for a Query property to be used with your custom login page, click the name of the method.
      - If you didn’t modify the credentials on the login page, click New, specify a display name, select a password class, and configure a user store.

   1c In the Properties section, click New, then specify the following:

      Property Name: MainJSP

      Property Value: true

      This property indicates that you want to use a custom login page with this method. It also indicates that the custom login page contains the prompts for user credentials.

      Property names and values are case sensitive.

   1d Click OK.

   1e (Conditional) If the Properties section does not contain a JSP property, click New, specify the following:

      Property Name: JSP

      Property Value: custom1

      The property value for the JSP property is the name of the custom login file without the JSP extension. Replace custom1 with the name of your custom login file. This property determines which login page is displayed when this method is used. The filename cannot contain nidp as part of its name.

   1f Click OK.
For more information about setting property values, see “Specifying Common Class Properties” on page 305.

1g (Conditional) If you created multiple custom login pages, repeat Step 1b through Step 1e for each page.

2 For each method that you modified for a custom login page, create a contract:
2a Click Contracts, then click New.
2b Fill in the fields to fit the needs of the resource, but ensure that to assign the custom method as the method for the contract.
2c Click Next, configure a card for the contract, then click Finish.

3 Update Identity Server.

4 For each resource that you have created a custom login page, assign that resource to use the contract that is configured to display the appropriate login page:
4a Click Devices > Access Gateways > Edit > [Reverse Proxy Name] > [Proxy Service Name] > Protected Resources.
4b For each protected resource that you have created a custom contract for, select the protected resource, then configure it to use the custom contract.

5 Update Access Gateway.

6 (Conditional) If the custom page does not display correctly, see “Troubleshooting Tips for Custom Login Pages” on page 220.

Adding Logic to the main.jsp File

You can modify the main.jsp file and use the contract URI to specify the login page to display. Identity Server must be running 3.2 or later to use this feature. Be aware of the following:

- The main.jsp file cannot be renamed, so any modifications you make to this file can be lost whenever you upgrade Identity Server. During the upgrade, you must select to restore custom files or you must restore your modified file after the upgrade. If this is the only JSP file that you modified that uses an Identity Server name, it is probably best to manually restore this file after an upgrade.
- Modifying the main.jsp file requires knowledge of JSP programming and if/else statements.

Modifying the main.jsp file allows you to have the following type of configuration:

- You can create multiple customized nidp_legacy.jsp pages. For example: custom1.jsp, custom2.jsp, and custom3.jsp.
- You can create multiple customized login.jsp pages that request different login credentials. For example:

  login1.jsp: Configured to request username and password.
  login2.jsp: Configured to request username, email, and password.
  login3.jsp: Configured to request email and password.

With this type of configuration, you must create three different authentication contracts with an authentication method with a JSP property defined for each of them. These contracts require the types of values listed in the table below. The URI is defined so that it reflects the custom login.jsp and the custom nidp_legacy.jsp that are used by the contract.

<table>
<thead>
<tr>
<th>Contract</th>
<th>Configuration Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contract1</td>
<td>URI</td>
</tr>
</tbody>
</table>
1 Create a unique method for each custom login.jps file:

1a Click Devices > Identity Servers > Edit > Local > Methods.

1b Click New, then configure the following fields:

- **Display name:** Specify a name for the method. You might want to use a name that indicates which login page is assigned to this method.
- **Class:** Select a name/password class.

Configure the other fields to match your requirements.

1c In the **Properties** section, add a Query property if the page uses custom credentials.

For example, to add an email address to the login prompts, add the following property:

- **Property Name:** Query
- **Property Value:** (&(objectclass=person)(mail=%Ecom_User_ID%))

If you are creating a method for Contract 1 in the example above (which prompts for a username and password), you do not need to add a query property unless you are using an attribute other than the cn attribute for the username.

<table>
<thead>
<tr>
<th>Contract</th>
<th>Configuration Details</th>
</tr>
</thead>
</table>
| Method1  | Configured with the following JSP property:  
**Property Name:** JSP  
**Property Value:** login1  
This method does not need a query property unless you are using an attribute other than the cn attribute for the username.  |
| Method2  | Configured with the following two properties:  
**Property Name:** JSP  
**Property Value:** login2  
**Property Name:** Query  
**Property Value:** (&(objectclass=person)(mail=%Ecom_User_ID%))  |
| Method3  | Configured with the following two properties:  
**Property Name:** JSP  
**Property Value:** login3  
**Property Name:** Query  
**Property Value:** (&(objectclass=person)(mail=%Ecom_User_ID%))  |
| Contract2| URI login2/custom2  |
| Contract3| URI login3/custom3  |
1d In the Properties section, add a JSP property to specify which `login.jsp` file to use with this method.

For example:

   **Property Name:** JSP  
   **Property Value:** login2

1e Click Finish.

1f If you have created more than one custom `login.jsp` file, repeat Step 1b through Step 1e for each page.

To configure the scenario described in this section, repeat these steps for three login pages.

2 Create a unique contract URI:

2a Click **Contracts**.

2b Click **New**, then configure the following fields:

   **Display name:** Specify a name for the contract. You might want to use a name that indicates which login page is assigned to this contract.

   **URI:** Specify a value that uniquely identifies the contract from all other contracts. No spaces can exist in the URI field. You might want to use a name that indicates the custom login page and custom credential page, such as `login1/custom1`.

   **Methods and Available Methods:** Select the authentication method you configured in Step 1.

2c Configure the other fields to meet your network requirements, then click **Next**.

2d Configure the authentication card, then click **Finish**.

2e (Conditional) If you have created multiple custom login pages, repeat Step 2b through Step 2d for each page.

To configure the scenario described in this section, repeat these steps for `/login2/custom2` and `/login3/custom3`.

2f Click **OK**, then update Identity Server.

3 Modify the `main.jsp` file:

3a Open the `main.jsp` file. The file is located in the following directory:

   `/opt/novell/nids/lib/webapp/jsp`

3b Near the top of the file, add the following line:

   ```java
   String strContractURI = hand.getContractURI();
   ```

   This sets the `strContractURI` variable to the value of the contract URI that is being used for authentication. These lines must look similar to the following:

   ```java
   <% 
   ContentHandler hand = new ContentHandler(request,response);
   String strContractURI = hand.getContractURI();
   // Is there a JSP defined on a class definition or a method
   // definition that must be displayed as the main jsp here?
   if (handler.contractDefinesMainJSP())
   {
   %>
   ```

3c After the `if` statement, add an `else if` statement for each contract URI you have created. For example:
These else if statements set up three contracts for customized login pages:

- The first else if statement specifies the URI of the login1 contract and configures it to display the custom1.jsp page for authentication.

- The second else if statement specifies the URI of the login2 contract and configures it to display the custom2.jsp page for authentication.

- The third else if statement specifies the URI of the login3 contract and configures it to display the custom3.jsp page for authentication.

Your file must look similar to the following:

```jsp
<%@ page language="java" %>
<%@ page pageEncoding="UTF-8" contentType="text/html; charset=UTF-8" %>
<%@ page import="com.novell.nidp.*" %>
<%@ page import="com.novell.nidp.resource.jsp.*" %>
<%@ page import="com.novell.nidp.ui.*" %>
<%@ page import="com.novell.nidp.common.util.*" %>
<%@ page import="com.novell.nidp.liberty.wsf.idsis.apservice.schema.*" %>

<%
    ContentHandler hand = new ContentHandler(request, response);
    String strContractURI = hand.getContractURI();

    // Is there a JSP defined on a class definition
    // or a method definition that must be displayed
    // as the main jsp here?
    if (hand.contractDefinesMainJSP())
    {
        <%
            include file="mainRedirect.jsp" %>
    
    }
    else if(strContractURI != null && strContractURI.equals("login1/custom1"))
    {
        <%
            include file="custom1.jsp" %>
    
    }
    else if(strContractURI != null && strContractURI.equals("login2/custom2"))
    {
        <%
            include file="custom2.jsp" %>
    
    } else if(strContractURI != null && strContractURI.equals("login3/custom3"))
    {
        <%
            include file="custom3.jsp" %>
    
    }
    
```
3d Copy the modified main.jsp file to each Identity Server in your cluster.

4 Back up your customized files.

5 For each resource that you have created a custom login page for, assign that resource to use the contract that is configured to display the appropriate login page:

5a Click Devices > Access Gateways > Edit > [Reverse Proxy Name] > [Proxy Service Name] > Protected Resources.

5b For each protected resource that you have created a custom contract for, select the protected resource, then configure it to use the custom contract.

5c Update Access Gateway.

6 (Conditional) If the custom page does not display correctly, see “Troubleshooting Tips for Custom Login Pages” on page 220.

Troubleshooting Tips for Custom Login Pages

If your custom login page does not display or generates an error message, use the following procedure to discover the root cause:

1 Set the Application option of Component File Logger Levels to debug, update Identity Server, attempt to log in, then view the log file.

Check for Unable to Compile errors in the log file. If your custom page does not compile, a blank page is displayed.

2 If you receive an Unable to Find File error, verify the value of the JSP property. Ensure that the value does not contain the JSP extension as part of the filename.

3 If you see pages that you have deleted or pages where your modifications have not been implemented:

3a Open the new custom file with a text editor to ensure it has a newer date than the compiled file.

If this does not solve the problem, continue with Step 3b.

3b Delete the nidp directory in the Tomcat work directory on each Identity Server to recompile JSP pages.

/opt/novell/nam/idp/work/Catalina/localhosts/nidp

3c Restart Tomcat on each Identity Server.
4.1.3.3 Customizing Identity Server Logout Page

You can also use the following methods to modify Identity Server logout page:

- “Rebranding the Logout Page” on page 221
- “Replacing the Logout Page with a Custom Page” on page 221
- “Configuring for Local Rather Than Global Logout” on page 222
- “Customizing Logout Pages to Redirect Based on Parameters” on page 222

To customize the logout page when the user logs out of an Access Gateway protected resource, see Section 4.2.9.3, “Customizing Logout Requests,” on page 261. When you have both Liberty and SAML 2.0 sessions running on Identity Server and you log out of Access Gateway, the logoutsuccess_legacy.jsp page is not executed with the customization you have made to the logout page. For information about the workaround, see “Logging Out of Sessions of Access Gateway and SAML Connectors when Branding Exists in the Customized Logout Page” on page 263.

NOTE: After customizing a JSP file, you need to sanitize the JSP file to prevent XSS attacks. See, Section 10.7, “Preventing Cross-site Scripting Attacks,” on page 838.

Rebranding the Logout Page

The branding in the header of the logout page is controlled by the branding of the nidp_legacy.jsp file. If you have modified this file for a customized login, the same branding appears in the logout page. For information about how to modify nidp_legacy.jsp for logos, titles, and colors, see “Rebranding the Header” on page 210.

IMPORTANT: Save a copy of your modified nidp_legacy.jsp file. Every time you upgrade your Identity Server, you need to restore this file.

Replacing the Logout Page with a Custom Page

You can create your own logout page and configure Identity Server to use it. To do this, you need to modify the logoutSuccess_legacy.jsp file on Identity Server. It is located in the following directory:

/opt/novell/nids/lib/webapp/jsp

The logoutSuccess_legacy.jsp file is called in a frame from the nidp_legacy.jsp file. You can modify the file to display what you want or you can modify it to redirect the user to your custom page. One way to provide redirection is to replace the information in the <body> element of the file with something similar to the following:

<body>
  <script language="JavaScript">
    top.location.href='http://<hostname/path>,'
  </script>
</body>

Replace the <hostname/path> string with the location of your customized logout page.

IMPORTANT: Save a copy of your modified logoutSuccess_legacy.jsp file. Every time you upgrade your Identity Server, you will need to restore this file.
Configuring for Local Rather Than Global Logout

By default, when Identity Server receives a logout request, Identity Server logs the user out of any identity providers and service providers to which the user has authenticated. If you want to modify this behavior so that the logout request logs the user out of just Identity Server and leaves the user authenticated to identity providers and service providers, you need to add the following query string to the logout URL:

?local=true

The logout URL has the following format:

<Base_URL>/app/logout

Replace <Base_URL> with the base URL of your Identity Server. If the base URL of your Identity Server was https://hhb1.provo.novell.com:8443/nidp, your local logout URL would be the following:

https://hhb1.provo.novell.com:8443/nidp/app/logout?local=true

To modify the logout.jsp file so that it performs a local logout:

1. At Identity Server, open the logout.jsp file.
   /opt/novell/nids/lib/webapp/jsp
2. Find the following line in the file:
   `<form method="post" target="_top" action="<%= request.getContextPath() %>/app/logout">
3. To the /app/logout string, add ?local=true. This modified line must look similar to the following:
   `<form method="post" target="_top" action="<%= request.getContextPath() %>/app/logout?local=true">
4. Save the file.
5. Copy the file to each Identity Server in the cluster.

Customizing Logout Pages to Redirect Based on Parameters

You can customize an Identity Server logout page to redirect to URLs based on parameters specified in the logoutSuccess_legacy.jsp file. To customize the logoutSuccess_legacy.jsp file to redirect to URLs, perform the following steps:

1. At Identity Server, open the logoutSuccess_legacy.jsp file:
   Linux: /opt/novell/nids/lib/webapp/jsp
   Windows: \Program Files (x86)\Novell\Tomcat\webapps\nidp\jsp
2. Add the following as the last line in the logoutSuccess_legacy.jsp file:
   `<%out.println("UIHandler-param: " + uh.getLogoutQueryStringParam("test"));%>
   Here test indicates name of the parameter.
4. Specify a parameter with the logout URL. For example: https://www.idp.com:8443/nidp/app/logout?test=NetIQ.
   The logout page displays UIHandler-param: NetIQ in the logout page.
4.1.3.4 Customizing Identity Server Messages

- "Customizing Messages" on page 223
- "Customizing the Branding of the Error Page" on page 225
- "Customizing Tooltip Text for Authentication Contracts" on page 226

NOTE: After customizing a JSP file, you need to sanitize the JSP file to prevent XSS attacks. See, Section 10.7, “Preventing Cross-site Scripting Attacks,” on page 838.

Customizing Messages

1. To customize the error pages, determine whether you need one custom file or multiple files:
   - If you do not need to support multiple languages, you can create one custom file for all your customized messages.
   - If you need to support multiple languages, you need to create a custom file for each language you want to customize.

2. Create the custom properties file and name it:

   To support one language, name the file `nidp_custom_resources.properties`.
   To support multiple languages, create a `nidp_custom_resources_<le_cy>.properties` file for each supported language. Replace `<le_cy>` with the standard convention for Java Resource Bundles for the language or the language and country. For example:

   `nidp_custom_resources_en_US.properties`
   `nidp_custom_resources_fr.properties`
   `nidp_custom_resources_es.properties`

   If you want to support a custom messages for a language and a country and for just the language, you must create two files. For example:

   `nidp_custom_resources_es_VE.properties`
   `nidp_custom_resources_es.properties`

3. Copy the `nidp.jar` file to a working area. This file is located in the following directory:

   `/opt/novell/nids/lib/webapp/WEB-INF/lib`

4. Unzip the `nidp.jar` file in your working directory.

5. In your working directory, locate the `.properties` files in the following directories.

   `com/novell/nidp/resource/strings`
   `com/novell/nidp/resource/logging`
   `com/novell/nidp/resource/jsp`
   `com/novell/nidp/resource/jcc`
   `com/novell/nidp/resource/noxlate`
   `com/novell/nidp/liberty/wsf/idosis/weights/weights/model`
   `com/novell/nidp/liberty/wsf/idosis/epservice/model`
   `com/novell/nidp/liberty/wsf/idosis/opservice/model`
   `com/novell/nidp/liberty/wsf/idosis/apservice/model`
   `com/novell/nidp/liberty/wsf/idosis/ssservice/model`
   `com/novell/nidp/servlets/handler/identityeditor`
   `com/novell/nidp/servlets/handler/identityaccesseditor`
   `com/novell/nidp/liberty/wsf/ldap/attribute/plugins/resources`
   `com/novell/nidp/liberty/wsf/ldapservice/model`
The properties files that have been localized contain the messages that end users might see. The properties files that have not been localized contain messages that the end users must not see.

6 Locate the messages you want to customize and copy them to your custom file.

All the messages you want to customize are placed in this file, even though they come from different properties files. Your file must look similar to the following if you selected to customize messages from the `nidp_resources_en_US.properties` file and the `SSModelResources_en_US.properties` file. For example:

```
NIDPMAIN.100=An Identity Provider response was received that failed to authenticate this session.
NIDPMAIN.101=A request for identity federation could not be completed.
NIDPMAIN.102=A request for identity federation termination could not be completed.
```

SS.WKSLdapCreds = LDAP Credentials
SS.WKSELdapCredsUserName = LDAP User Name
SS.WKSELdapCredsUserDN = LDAP User DN
SS.WKSELdapCredsUserPassword = LDAP Password
SS.WKSX509Creds = X509 Credentials

7 (Conditional) If you are supporting multiple languages, copy the messages to each custom language file.

8 Replace the messages in the file with your custom messages.

Replace the string after the equals (=) sign with your translated or customized message.

If you are using double-byte characters, the characters need to be in Unicode, hexadecimal format with a \u prefix. For example: \u5c71.

9 Save the file.

10 Copy the custom properties file to the following directory on all Identity Servers in the cluster:

```
/opt/novell/nam/idp/webapps/nidp/WEB-INF/classes
```

11 (Optional) To enable messages about the loading of the custom properties files, enable debug logging:

11a Click Devices > Identity Servers > Edit > Auditing and Logging.

11b In the Component File Logger Levels section, select Debug level for Application.

11c Click OK, then update Identity Server.

12 Restart Tomcat.

```
/etc/init.d/novell-idp restart
Or
rcnovell-idp restart
```
To verify the loading of the custom properties files:

1. View the log file by clicking **Auditing > General Logging**.
2. Search for messages similar to the following in the `catalina.out` or `stdout.log` file:

   - **The named Custom Properties File was loaded and will be used:**
   
   ```
   Custom Properties File successfully loaded! Name: <Custom Properties FileName>
   ```

   - **An error occurred loading a specific Custom Properties File. Loading of other Custom Properties Files will continue:**
   
   ```
   <Error Description>, Attempting to load Custom Properties File! Name: <Custom Properties FileName>
   ```

   - **The locale specifier in the Custom Properties File filename could not be successfully parsed into a valid locale. Loading of other Custom Properties Files will continue:**
   
   ```
   Custom Properties File load failed. Could not determine correct locale! Name: <Custom Properties FileName>
   ```

   - **A general error occurred loading Custom Properties Files. Loading will stop and all un-loaded Custom Properties Files will not be loaded:**
   
   ```
   <Error Description>, Attempting to load Custom Properties Files!
   ```

To create custom error pages for Access Gateway, see Section 4.2.9.2, “Customizing Error Messages and Error Pages on Access Gateway,” on page 258.

**Customizing the Branding of the Error Page**

The error page (`err_legacy.jsp`) is returned when Identity Server encounters an error with the following message:

```text
Error: Unable to authenticate, (300101014-esp-01E79F6000B87D4E8)
```

The file is located in the following directory.

```text
/opt/novell/nids/lib/webapp/jsp
```

**IMPORTANT:** After you have customized this page, you need to ensure you back up this page before doing an upgrade. The upgrade process overrides any custom changes made to the `err_legacy.jsp` page.

For information about customizing the error message, see “Customizing Messages” on page 223.

You can customize the following items:

- The window title and the display title. See “Customizing the Titles” on page 225.
- The header image and the Novell logo. See “Customizing the Images” on page 226.
- Background colors. See “Customizing the Colors” on page 226.

**Customizing the Titles**

The window title appears in the browser title bar. To replace this text, open the `err_legacy.jsp` file and locate the following text that appears between the `<head></head>` tags:
Replace the content between the <title> and </title> tags with the title you want to appear. For example:

<title>My Company</title>

The display title is the title that appears in the top frame of the page. Locate the following text that appears in the <body> of the page:

<div id="title"><%=handler.getResource(JSPResDesc.PRODUCT)%></div>

Replace the content between the <div id="title"> and </div> with the title you want to appear. For example:

<div id="title"> My Company</div>

**Customizing the Images**

To replace the header image, open the err_legacy.jsp file and locate the following text in the body of the file.

```
<div><img src="/nesp/images/AccessMan_Login_Head.png"></div>
```

Replace the value of the src attribute with the path and filename of the image you want to use.

To replace the Novell logo image, locate the following text in the body of the file.

```
<div id="logo"><img src="/nesp/images/AccessMan31_Nlogo.png"></div>
```

Replace the value of the src attribute with the path and filename of the image you want to use.

**Customizing the Colors**

To change the background colors on the page, modify the color values in the <style> section of the <head>.

**Customizing Tooltip Text for Authentication Contracts**

The strings that the users see when they mouse over the cards for authentication contracts can be customized. If you need to support only one language, modify the text in Administration Console.

1. Click Devices > Identity Servers > Edit > Local > Contracts.
2. Click the name of a contract, then click Authentication Card.
3. Replace the English text in the Text option with the required language, then click OK.
4. Repeat Step 2 and Step 3 for each contract in the list.
5. Click OK, then update Identity Server.

If you need to support multiple languages, you need to localize the tooltips. The nidsCardText attribute of the nidsAuthLocalContract object needs to be changed to a resource ID. The following procedure explains how to do this in Administration Console. You can also use an LDAP browser.

1. Click Devices > Identity Servers > Edit > Local > Contracts.
2. Click the name of a contract, then click Authentication Card.
3. Replace the text in the Text option with a resource ID.
   
   For example, replace Name/Password – Form with CUSTOM_NamePwdFormToolTip.
4. Click OK.
5 Repeat Step 2 through Step 4 for each contract in the list.
6 Click OK, then update Identity Server.
7 Use custom string resource files to define the localized strings:
   7a Change to the WEB-INF/classes directory.
   7b For each supported language, create a properties file. For example:
       nidp_custom_resources_fr.properties
       nidp_custom_resources_es.properties
       If you have already created these files for custom messages (see “Customizing Messages” on page 223), use the existing files.
   7c For each resource ID you have created, add an entry that contains the resource ID and the text you want displayed for that language. For example:
       CUSTOM_NamePwdFormToolTip=Forma de Nombre/Clave
   7d Repeat Step 7c for each supported language file.
8 Restart Tomcat.
   /etc/init.d/novell-idp restart Or
   rcnovell-idp restart

4.1.3.5 Maintaining Customized Identity Server
If you have customized JSP files for Identity Server, you must perform few steps to maintain the customized files before upgrading Access Manager. If you do not, Access Manager overwrites the customized JSP files. For more information, see “Maintaining Customized JSP Files for Identity Server” in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

4.1.3.6 Sample Custom Login Pages
- “Modifying the File” on page 227
- “Sample Modified File” on page 231
- “Modified login.jsp File for Credential Prompts” on page 233
- “Custom nidp.jsp File with Custom Credentials” on page 236

NOTE: After customizing a JSP file, you need to sanitize the JSP file to prevent XSS attacks. See, Section 10.7, “Preventing Cross-site Scripting Attacks,” on page 838.

Modifying the File
The following 4.0 login.jsp file has been modified to display line numbers. The lines that require modifications have been highlighted, and a few extra spaces have been added to allow for a better display of the text.
1. `<%@ page language="java" %>
2. `<%@ page pageEncoding="UTF-8" contentType="text/html; charset=UTF-8"%>
3. `<%@ page import="com.novell.nidp.common.provider.*" %>
4. `<%@ page import="java.util.*" %>
5. `<%@ page import="java.net.*" %>
6. `<%@ page import="com.novell.nidp.*" %>
7. `<%@ page import="com.novell.nidp.servlets.*" %>
8. `<%@ page import="com.novell.nidp.resource.*" %>
9. `<%@ page import="com.novell.nidp.resource.jsp.*" %>
10. `<%@ page import="com.novell.nidp.common.xml.w3c.*" %>

11. response.setHeader("Pragma", "No-cache");
12. response.setHeader("Cache-Control", "no-cache");
13. Locale locale = request.getLocale();
14. String strLanguageCode = locale.getLanguage();
15. String strImageDirectory = NIDPResourceManager.getInstance().getImageDirectory(locale);
16. NIDPResource resource = NIDPResourceManager.getInstance().get(JSPResDesc.getInstance(), locale);

17. `<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.01 Transitional//%>`
18. `<html lang="% strLanguageCode%">
19.  
20.  
21.  
22.  
23.  
24.  
25.  
26.  
27.  
28.  
29.  
30.  
31.  
32.  
33.  
34.  
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46.  
47.  
48.  
49.  
50.  
51.  
52.  
53.  

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54.   <td width="50%" height="80 px"></td>
55.   <td colspan="2">
56.     <div id="title"><b><%=resource.getString0(JSPResDesc.
57.       LOGIN_TITLE)%></b></div>
58.     <div id="locallabel"><b><%=resource.getString0(JSPResDesc.
59.       LOCAL_LOGIN)%></b></div>
60.     <div id="headimage"><img src="<%= request.getContextPath() %>/images/
61.       Odyssey_LoginHead.gif" alt="" height="80" width="550" border="0"></div>
62.   </td>
63.   <td width="100%"></td>
64. </tr>
65.   <tr>
66.     <td width="50%"></td>
67.     <td style="background-color: #efeee9; padding: 10px" colspan="2">
68.       String err = (String) request.getAttribute(NIDPConstants.ATTR_LOGIN_ERROR);
69.       if (err != null)
70.       {
71.     }<%
72.   %>
73.   <div><label><%=err%></label></div>
74. </td>
75.   </tr>
76.   <tr nowrap="nowrap">
77.     <td nowrap="nowrap">
78.       <div>
79.         <label style="width: 100px"><%=resource.getString0(JSPResDesc.USERNAME)%></label>
80.       </div>
81.     </td>
82.     <td style="white-space: nowrap">
83.       <div>
84.         <input type="text" class="smalltext" name="Ecom_User_ID" size="30">
85.         <input alt=""><%=resource.getString0(JSPResDesc.PASSWORD)%>
86.       </div>
87.     </td>
88.   </tr>
89.   <tr nowrap="nowrap">
90.     <td nowrap="nowrap">
91.       <div>
92.         <label><%=resource.getString0(JSPResDesc.USERNAME)%></label>
93.       </div>
94.     </td>
95.   </tr>
96.   <tr nowrap="nowrap">
97.     <td nowrap="nowrap">
98.       <div>
99.         <input type="password" class="smalltext" name="Ecom_Passwd" size="30">
100.       </div>
101.     </td>
102.   </tr>
103.   
104.   String prov = (String) request.getAttribute("provision");
(prov != null) 111. (112.%> 113. <td colspan=2> 114. <tr> 115. <div> 116. <label><a href="<%=prov%>"><%=resource.getString0 (JSPResDesc.CREATE_ACCT)%></a></label> 117. </div> 118. </td> 119. </tr> 120.%> 121. </table> 122. </span> 123. </td> 124. <td width="100%"></td> 125. </tr>
126. <% 127. DisplayableProvider[] list = (DisplayableProvider[]) request.getAttribute("providers"); 128. if (list != null & list.length > 0) 129. { 130.%> 131. <tr> 132. <td width="50%"></td> 133. <td style="background-color: #efeeec; padding-left: 10px; padding-bottom: 10px"> 134. <div style="margin-left: -10px; background: url(<%=request.getContextPath()%>/images/dotline_bg.gif) repeat-x">&nbsp;</div> 135. <div><b><%=resource.getString0(JSPResDesc.FEDERATED_LOGIN)%></b></div> 136. for (int i = 0; i < list.length; i++) 137. { 138. <a style="padding: 5px" href="<%=list[i].getAuthenticationUrl(request.getContextPath())%>"> 139. if (list[i].hasIcon()) 140. { 141.%> 142. <img border=0 class="margin4" alt="<%=XMLUtil.stringToHTML String(list[i].getDisplayName())%>" src="<%=XMLUtil.stringToHTMLString(list[i].getIcon(request))%>" align="absmiddle"></a> 143. } 144. else 145. { 146.%> 147. <b><%=XMLUtil.stringToHTMLString(list[i].getDisplayName())%></b> 148. else 149. { 150.%> 151. <a href="<%=XMLUtil.stringToHTMLString(list[i].getDisplayName())%>"/> 152. } 153. } 154. } 155. } 156. </td> 157. <td width="100%"></td> 158. </tr> 159.%> 160. <tr> 161. <td width="50%"></td> 162. <td style="background-color: #E6D88C; padding-left: 10px"><img style="padding-right: 200px" src="<%=request.getContextPath()%>/images/LAP_interoperable_logo_100.gif" align="absmiddle" border="0"></td> 163. <td style="background-color: #E6D88C; padding-right: 10px" align="right" width="100"> 164. 165.%> 166. String cancel = (String) request.getAttribute("cancel"); 167. if (cancel != null) 168. { 169.%> 170. <input alt="<%=resource.getString0(JSPResDesc.CANCEL)%>" border="0" name="Cancel"> 171.%> 172. } 173. } 174.%> 175. &nbsp; 176.%> 177. </td> 178. <td width="100%"></td> 179. </tr> 180.%> 181. if (NIDPCripple.isCripple()) 182. { 183.%> 184. &lt;tr&gt; 185. &lt;td colspan=4 width="100%" align="center">&lt;%=NIDPCripple.getCrippleAdvertisement(locale)%&gt;&lt;/td&gt; 186. &lt;/tr&gt; 187.%> 188. } 189.%> 190. </table> 191. &lt;/form&gt; 192. &lt;/body&gt; 193.&lt;/html&gt;
Sample Modified File

The following file shows all the changes that allow 4.0 login.jsp to compile on a 4.0 SP1 Identity Server. The deleted lines have been replaced with returns, so you can line this file up with the original to see the modifications.

```html
<%@ page language="java" %>
<%@ page pageEncoding="UTF-8" contentType="text/html; charset=UTF-8"%>
<%@ page import="com.novell.nidp.common.provider.*" %>
<%@ page import="java.util.*" %>
<%@ page import="com.novell.nidp.ui.*" %>
<%@ page import="com.novell.nidp.*" %>
<%@ page import="com.novell.nidp.servlets.*" %>
<%@ page import="com.novell.nidp.resource.*" %>
<%@ page import="com.novell.nidp.resource.jsp.*" %>
<%@ page import="com.novell.nidp.common.xml.w3c.*" %>

ContentHandler handler = new ContentHandler(request, response);
%

<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.01 Transitional//"
<html lang="handler.getLanguageCode()">
<head>
    <link rel="stylesheet" href="request.getContextPath() /images/hf_style.css" type="text/css">
    <style type="text/css" media="screen"></style>
    <script type="text/javascript" src="request.getContextPath() /images/showhide_2.js"></script>
    <script language="JavaScript">
        var i = 0;
        function imageSubmit()
        {
            if (i == 0)
                {
                    i = 1;
                    document.IDPLogin.submit();
                }
            return false;
        }
    </script>
</head>
<body marginwidth="0" marginheight="0" leftmargin="0" topmargin="0"
rightmargin="0" onLoad="document.IDPLogin.Ecom_User_ID.focus();">
    <form name="IDPLogin" enctype="application/x-www-form-urlencoded"
method="POST" action="request.getAttribute("url")">
        <table style="margin-top: 6em" width="100%" border="0" cellspacing="0"
```
Modified login.jsp File for Credential Prompts

The following code is a modified version of the 3.2 login.jsp file. It has been modified to add a prompt for the user’s email address.

Such a JSP file must be used with a contract that uses a method that defines the query for the new attribute. The method also needs to define which login file has been modified to display the prompt. For more information about this process, see "Customizing the Default Login Page to Prompt for Different Credentials" on page 207.

The sample code contains the following the text for the prompt:

```
<td align=left>
  <label>Email Address:</label>
</td>
```

It also adds an input element for the query variable:
These elements are both part of the new `<tr>` element that has been added to the file. These lines are marked in bold in the following sample file.

```html
<% page language="java" %>
<% page pageEncoding="UTF-8" contentType="text/html; charset=UTF-8" %>
<% page import="java.util.*" %>
<% page import="com.novell.nidp.*" %>
<% page import="com.novell.nidp.servlets.*" %>
<% page import="com.novell.nidp.resource.*" %>
<% page import="com.novell.nidp.resource.jsp.*" %>
<% page import="com.novell.nidp.ui.*" %>
<%
    ContentHandler handler = new ContentHandler(request, response);
    String target = (String) request.getAttribute("target");
%>
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.01 Transitional//
<html lang=""><%=handler.getLanguageCode()%">">
<head>
    <META HTTP-EQUIV="Content-Language" CONTENT=""><%=handler.getLanguageCode()%">">
    <meta http-equiv="content-type" content="text/html;charset=utf-8">
    <style type="text/css" media="screen">
        td label { font-size: 0.85em; padding-right: 0.2em; }
        label { font-size: 0.77em; padding-right: 0.2em; }
        input { font-family: sans-serif; }
        .instructions { color: #4d6d8b; font-size: 0.8em; margin: 0 10px 10px 0 }
    </style>
    <script type="text/javascript" src=""><%=handler.getImage("showhide_2.js",false)%>">
    <script language="JavaScript">
        var i = 0;
        function imageSubmit() {
            if (i == 0) {
                i = 1;
                document.IDPLogin.submit();
            }
        }
    return false;
    }
    </script>
</head>
<body style="background-color:"><%=handler.getBackgroundColor()%>" marginwidth="0" marginheight="0" leftmargin="0" topmargin="0" rightmargin="0"
onLoad="document.IDPLogin.Ecom_User_ID.focus();">
<form name="IDPLogin" enctype="application/x-www-form-urlencoded" method="POST" action=""><%= (String) request.getAttribute("url") %>
AUTOCOMPLETE="off">
    <input type="hidden" name="option" value="credential">
    <input type="hidden" name="target" value=""><%=target%>
```

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<% } %>
<table border=0 style="margin-top: 1em" width="100%" cellspacing="0" cellpadding="0">
<tr>
<td style="padding: 0px">
<table border=0>
<tr>
<td align=left>
<label><%=handler.getResource(JSPResDesc.USERNAME)%></label>
</td>
<td align=left>
<input type="text" class="smalltext" name="Ecom_User_ID" size="30">
</td>
</tr>
<tr>
<td align=left>
<label>Email Address:</label>
</td>
<td align=left>
<input type="text" class="smalltext" name="Ecom_User_Mail" size="30">
</td>
</tr>
<tr>
<td align=left>
<label><%=handler.getResource(JSPResDesc.PASSWORD)%></label>
</td>
<td align=left>
<input type="password" class="smalltext" name="Ecom_Password" size="30">
</td>
</tr>
<tr>
<td align=right colspan=2 style="white-space: nowrap">
<input alt="<%=handler.getResource(JSPResDesc.LOGIN)%>" border="0" name="loginButton2" src="<%= handler.getImage("btnlogin.gif",true)%>" type="image" value="Login" onClick="return imageSubmit()">
</td>
</tr>
</table>
</td>
</tr>
<% String err = (String) request.getAttribute(NIDPConstants.ATTR_LOGIN_ERROR);
if (err != null) {
  %>
  <td style="padding: 10px">
    <div class="instructions"><%=err%></div>
  </td>
<% } %>
</tr>
</table>
```java
if (NIDPCripple.isCripple())
{
  %>
    <tr>
    <td width="100%" align="center">
      <%=NIDPCripple.getCrippleAdvertisement(request.getLocale())%>
    </td>
  <% }
%>
</table>
</form>
</body>
</html>
```

**Custom nidp.jsp File with Custom Credentials**

To create a custom `nidp_legacy.jsp` file that uses custom credentials, you need to modify the `nidp_legacy.jsp` file, create a method and contract for the file, and modify the `main.jsp` file. For instructions, see “Customizing the `nidp.jsp` File” on page 209 and “Adding Logic to the `main.jsp` File” on page 216.

**Figure 4-3** illustrates the login page that the following custom `nidp_legacy.jsp` file and `main.jsp` file create.

**Figure 4-3  Custom Branding with Custom Credential Prompts**

The credential frame uses the same modifications in the sample from “Modified `login.jsp` File for Credential Prompts” on page 233. The following sections provide the other required sample files to create this login page and information about the required method and contract:

- “The Modified `nidp.jsp` File” on page 236
- “The Modified `main.jsp` File” on page 241
- “The Method and the Contract” on page 242

**The Modified `nidp.jsp` File**

The background, menu, and border colors are set to black. These colors are specified in the following lines in the sample file:
// Background color
String bgcolor   = "#000000";

// Menu color
String menucolor   = "#000000";

// Border color
String bcolor   = "#000000";

Figure 4-4 illustrates the image (images2.jpeg) that this custom page uses for the header background image:

Figure 4-4  Background Image

This image is the repeatable image that allows the header to be resized. This image is specified in the following lines in the file:

// The header background image that gets repeated
String hdrBgndImg  = "/custom_images/images2.jpeg";

Figure 4-5 illustrates the image (images3.jpeg) that this custom page uses for the product logo that appears on left of the header frame.

Figure 4-5  Header Image

Figure 4-6 illustrates the image (hhbimages.jpeg) that this custom page uses to replace the Novell company logo on the right of the header frame.

Figure 4-6  Company Logo

The following lines define what appears as the title for the browser window:

<title>HHB WORLD</title>

The following line defines the header title value:

String hdrTitle     = "Enter MY WORLD";

Its position is controlled by the following line in the file:
The top position has been modified from 13px to 18px and the left position has been modified from 55px to 85px. The other lines in this section control the position of the other items in the header.

The lines that have been modified are marked in bold in the following file.

```html
<% ContentHandler handler = new ContentHandler(request, response);

// Background color
String bgcolor = "#000000";

// Menu color
String menucolor = "#000000";

// Border color
String bcolor = "#000000";

// The header background image that gets repeated
String hdrBgdndImg = "/custom_images/images2.jpeg";

String hdrImage = "/custom_images/images3.jpeg";

String hdrLogo = "/custom_images/hhbimages.jpeg";

String hdrTitle = "Enter MY WORLD";

String query = request.getQueryString();
if (query != null && query.length() > 0)
    query = "&" + query;
else query = "";
%

<!DOCTYPE HTML PUBLIC "-//W3C//Dtd HTML 4.0 transitional//
<%=handler.getLanguageCode()%>">
<html lang="<%=handler.getLanguageCode()%>">
<head>
    <title>HHB WORLD</title>
    <meta http-equiv="content-type" content="text/html; charset=UTF-8">
    <link href="<%= handler.getImage("hf_menu.css",false)%>" rel="stylesheet">
    <link href="<%= handler.getImage("HF_message.css",false)%>
    rel="stylesheet">
    <link href="<%= handler.getImage("HF_obj_list_table.css",false)%>
    rel="stylesheet">
    <style>
* { margin: 0; padding: 0; }
#header { background-image: url(<%= handler.getImage(hdrBgdndImg,false)%>); background-repeat: repeat-x; }
#logo { position: absolute; top: 0px; right: 0px; }
#title { position: absolute; font-size: 1.2em; color: white; top: 18px; left: 85px; }
#subtitle { position: relative; font-size: .9em; color: black; white-space: nowrap; top: 0px; left: 0px; text-align: right; }
#mcontent { position: relative; padding: 5px; background-color: <%=bgcolor%>; }
#content { width: 100%; border: 0; margin: 0; padding: 0; overflow: none; height: 376px; background-color: <%=bgcolor%>; }
#logoutbut { position: absolute; top: 25px; right: 35px; }
#helpbutlogin { position: absolute; color: yellow; top: 25px; right: 10px; }
```
Setting Up an Advanced Access Manager Configuration

```javascript
#loggingbut { position: absolute; color: blue; top: 25px; right: 65px; }

.NLtab .tabs { background-color: <%=menucolor%>; padding-left: 3px; padding-right: 8px; text-align: center; white-space: nowrap; }
.NLtab .tabs a { text-decoration: none; }
.NLtab span.tabs { padding:5; color: white; font-size: 0.9em; font-weight: bold; line-height: 17px; background-color: transparent; background-image: none; text-decoration: none; }
.NLtab .tabu { background-color: <%=bgcolor%>; padding-left: 3px; padding-right: 1px solid <%=bcolor%>; padding-top: 1px solid <%=bcolor%>; border-right: 1px solid <%=bcolor%>; border-top: 1px solid <%=bcolor%>; }
.NLtab span.tabu { border: none; padding:5; color: black; font-size: 0.8em; font-weight: bold; line-height: 17px; text-decoration: none; background-color: transparent; }

.NLtab tr.subtab td { color: white; padding: 2px }
.NLtab tr.subtab a { font-size: .8em; color: white; text-decoration: none; padding: 2px 5px 2px 5px}

.selx { border: 1px solid rgb(239, 238, 236); font-size: 1em; font-weight: bolder; background-repeat: repeat-x; background-position: 0pt bottom; }
.unselx { border: 0px; font-size: .9em; font-weight: normal; background-image: none; }

</style>

<script>
var g_curCard = null; // initial displayed card
var g_cardContainer = null; // div that holds all the authentication cards
var g_curSubtab = null; // subtab currently displayed
var g_curTab = null; // tab currently displayed
var menuItem = 0;

function showHide(i)
{
    document.getElementById('menu1').style.display='none';
    document.getElementById('menu2').style.display='none';
    document.getElementById('submenu1').style.display='none';
    document.getElementById('submenu2').style.display='none';
    document.getElementById('menu' + i).style.display='block';
    document.getElementById('submenu' + i).style.display='block';
    if (i == 1)
        switchContentPage("<%= handler.getJSP("content") %>");
    else
        switchContentPage("<%= handler.getJSP("IdentityEditor") %>");
}

function switchContentPage(newSrc)
{
    parent.document.getElementById("content").src = newSrc;
}

function onloadhandler()
{
    g_cardContainer = document.getElementById("cardcontainer");
    g_curSubtab = document.getElementById("loginsubtab");
    g_curTab = document.getElementById("authtab");
    g_curCard = document.getElementById("selectedCard0");
}
```
function showhideTab(divid)
{
    var element1 = document.getElementById(divid);
    if(element1.style.display == "none")
    {
        element1.style.display = "block";
        g_curTab.style.display = "none";
        g_curTab = element1;
    }
}

function subtabchange(divid)
{
    var element1 = document.getElementById(divid);
    var element2 = g_curSubtab;
    element1.className = "selx";
    if (element1.id != element2.id)
    {
        element2.className = "unselx";
    }
    g_curSubtab = element1;
}

function showHelp()
{
    var helpURL = "login.html";
    if (g_curSubtab.id == "fedsubtab")
        helpURL = "<%=handler.getHelp("federations.html")%>";
    else if (g_curSubtab.id == "myprofile")
        helpURL = "<%=handler.getHelp("myprofile.html")%>";
    else if (g_curSubtab.id == "sharing")
        helpURL = "<%=handler.getHelp("sharing.html")%>";
    else if (g_curSubtab.id == "loginsubtab")
        helpURL = "<%=handler.getHelp("userlogin.html")%>";
    else if (g_curSubtab.id == "newcardsubtab")
        helpURL = "<%=handler.getHelp("newcard.html")%>";
    else if (g_curSubtab.id == "logTicketsubtab")
        helpURL = "<%=handler.getHelp("logticket.html")%>";

    var w;
    w = window.open(helpURL, "nidsPopupHelp",
    "toolbar=no,location=no,directories=no,menubar=no,scrollbars=yes,resizeable=yes,width=500,height=500");
    if (w != null)
    {
        w.focus();
    }
}
</script>
</head>

<body onload="onloadhandler()">
<table width=100% border=0 cellpadding=0 cellspacing=0 bgcolor=<%=bgcolor%>
The Modified main.jsp File

The following sample file has two types of modifications. The following line has been added so that the URI of the contract can be read and used as a condition for selecting the login page to display:

```java
String strContractURI = hand.getContractURI();
```

The following lines define the login page to use when the URI of the contract is set to login/custom.

```java
else if(strContractURI != null && strContractURI.equals("login/custom"))
{
  <jsp:include page="custom.jsp"/>
}
```
The Method and the Contract

After modifying the two files, you still need to create a method and a contract. The method needs to use a name/password class and have the following properties defined:

- Query property values:
  
  **Property Name:** Query
  
  **Property Value:** (&(objectclass=person)(mail=%Ecom_User_Mail%))

- JSP property values:
  
  **Property Name:** JSP
  
  **Property Value:** <filename>
  
  Replace <filename> with the name of your login page that modifies the credential prompts. Do not include the JSP extension in the value.

You then need to create a contract that uses this method and assign it to a protected resource.
4.2 Access Gateway Server Advance Configuration

This section describes the configuration settings that affect Access Gateway as a server, such as changing its name or setting the time.

- Section 4.2.1, “Configuration Overview,” on page 243
- Section 4.2.2, “Saving, Applying, or Canceling Configuration Changes,” on page 244
- Section 4.2.3, “Managing Access Gateways,” on page 246
- Section 4.2.4, “Managing General Details of Access Gateway,” on page 250
- Section 4.2.5, “Setting Up a Tunnel,” on page 252
- Section 4.2.6, “Setting the Date and Time,” on page 253
- Section 4.2.7, “Configuring Network Settings,” on page 254
- Section 4.2.8, “Enabling Access Gateway to Display Post-Authentication Message,” on page 258
- Section 4.2.9, “Customizing Access Gateway,” on page 258

For logging and audit options, see the following:

- Section 21.4.1, “Managing Access Gateway Logs,” on page 931
- Section 21.4.2, “Configuring Logging for a Proxy Service,” on page 932
- Section 19.3, “Enabling Access Gateway Audit Events,” on page 909
- Section 4.4, “Access Gateway Advanced Options,” on page 270

4.2.1 Configuration Overview

The Configuration page allows you to view the configuration status and to configure the features of the cluster or Access Gateway. After an Access Gateway has been made a member of a cluster, you can only configure it from the cluster configuration. Some options are specific to an Access Gateway. For these options, you must select Access Gateway and then configure the options.

1 In Administration Console Dashboard, Devices > Access Gateways > Edit.

   To edit an Access Gateway that is not a member of a cluster, click the Edit button on Access Gateway row.

   To edit an Access Gateway cluster, click the Edit button on Access Gateway cluster row.

2 Select one of the following options:

   **Reverse Proxy / Authentication:** Allows you to configure a reverse proxy so that it hides the IP address of a Web server and accelerates access by caching the most frequently used pages. This option displays the list of configured proxies and allows you to add new proxies and modify existing proxies. To add a new reverse proxy or manage the existing proxies, click Reverse Proxy / Authentication (see Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98). To manage a specific reverse proxy, click its name (see “Creating a Proxy Service” on page 99).

   **Tunneling:** Allows you to tunnel non-HTTP traffic through Access Gateway to a Web server. For more information, see Section 4.2.5, “Setting Up a Tunnel,” on page 252.

   **Date & Time:** Allows you to configure the server’s time source. For more information, see Section 4.2.6, “Setting the Date and Time,” on page 253.
Alerts: Allows you to select the alerts and then configure whether they are sent to a server, a log file, or to selected individuals via e-mail. For more information, see Section 25.2.3, “Managing Access Gateway Alert Profiles,” on page 988.

Auditing: Allows you to select the events to send to a Sentinel or Audit server. For more information, see Section 19.3, “Enabling Access Gateway Audit Events,” on page 909.

Adapter List: Displays the list of configured network cards and allows you to edit an existing configuration or to add a new one. For more information, see “Viewing and Modifying Adapter Settings” on page 254. To manage a specific adapter, click the name of the adapter.

Gateways: Displays the list of configured gateways and allows you to edit an existing configuration or to add a new one. For more information, see “Viewing and Modifying Gateway Settings” on page 255.

DNS: Displays the current DNS configuration that Access Gateway is using to resolve names and allows you to modify it. For more information, see “Viewing and Modifying DNS Settings” on page 256.

Hosts: Allows you to create a static mapping between the host IP addresses and host names. For more information, see “Configuring Hosts” on page 257.

Purge List: Allows you to prevent Web objects from being cached. For more information, see Section 4.3.4, “Configuring a Purge List,” on page 268.

Pin List: Allows you to prepopulate the cache with the Web objects that you want cached, before a user has requested the object. For more information, see Section 4.3.3, “Configuring a Pin List,” on page 266.

Cache Options: Allows you to globally disable caching or configure which objects are cached and how frequently they are refreshed. For more information, see Configuring Caching Options.

Advanced Options: Allows you to configure how all reverse proxies handle specific items in cache. For more information, see Section 4.4.1, “Configuring Global Advanced Options,” on page 270.

For information about using the OK, Cancel, and Revert buttons, see Section 4.2.2, “Saving, Applying, or Canceling Configuration Changes,” on page 244.

4.2.2 Saving, Applying, or Canceling Configuration Changes

When you make configuration changes on a page accessed from Devices > Access Gateways > Edit and click OK on that page, the changes are saved to the browser cache. If your session expires or you close the browser session before you update Access Gateway with the changes, the changes are lost.

The Configuration page allows you to control how your changes are saved so they can be applied with the update options (see “Configuration Options” on page 248).

If you have any configuration changes saved to the browser cache, use the following options to control what happens to the changes:

OK: To save the configuration changes to the configuration store, click OK. This allows you to return at a later time to review or modify the changes before they are applied. If your Access Gateways are clustered and you prefer to update them one at a time, you need to save the configuration change. This ensures that the changes aren’t lost before the last cluster member is updated. When your session times out or you log out, the configuration changes are flushed from the browser cache. If this happens before the changes have been applied to some servers in the cluster, the changes cannot be applied to those servers.

If you decide to cancel the saved changes, click the Revert button and the saved configuration is overwritten by the last successfully applied configuration.
Cancel: To cancel changes that are pending in the browser cache, click the Cancel button. To cancel modifications to specific services, click the Cancel link by the service. The Cancel button does not affect the changes that have been saved to the configuration store.

Revert: To cancel any saved changes, click Revert, then confirm the cancellation. The saved configuration is overwritten by the last successfully applied configuration.

If you have applied the changes to one member of the cluster, you cannot use the Revert button to revert to the configuration you had before applying the changes. If you decide you do not want to apply these changes to other members of the cluster, remove the server that you updated with the changes from the cluster. Then click Revert to cancel the saved changes. The members of the cluster return to the last successfully applied configuration. To apply this configuration to the removed server, add this server to the cluster.

The Revert button and the Cancel button cannot cancel the following configuration changes:

- **Identity Server Cluster**: If you change the Identity Server Cluster option on the Reverse Proxy/Authentication page, then click OK, the Revert button cannot cancel this change. It is saved, and the next time you apply a configuration change, Identity Server cluster configuration is applied. To cancel the change, you need to return to the Reverse Proxy/Authentication page, set the Identity Server Cluster option to the original selection, then click OK on the Configuration page.

- **Reverse Proxy for the Embedded Service Provider**: If you change the Reverse Proxy option on the Reverse Proxy/Authentication page, then click OK, the Revert button cannot cancel this change. It is saved, and the next time you apply a configuration change, the Reverse Proxy option change is applied. To cancel the change, return to the Reverse Proxy/Authentication page, set the Reverse Proxy option to the original selection, then click OK on the Configuration page.

- **Port of the Reverse Proxy for the Embedded Service Provider**: If you change the port of the reverse proxy that is used by the Embedded Service Provider (click Edit > [Name of Reverse Proxy]), then click OK, the Revert button cannot cancel this change. It is saved, and the next time you apply a configuration change, the port change is applied. To cancel the change, return to the Reverse Proxy page, set the port to the original value, then click OK on the Configuration page.

- **Published DNS Name of the Proxy Service for the Embedded Service Provider**: If you change the Published DNS Name of the proxy service that is used by the Embedded Service Provider (click Edit > [Name of Reverse Proxy] > [Name of Proxy Service]), then click OK, the Revert button cannot cancel this change. It is saved, and the next time you apply a configuration change, the Published DNS Name is changed. To undo the change, return to the Proxy Service page, set the Published DNS Name to its original value, then click OK on the Configuration page.

- **Certificates**: Certificates are pushed as soon as they are selected. If you change the server certificate for the reverse proxy (click Edit > [Name of Reverse Proxy]) or change the Web server certificates (click Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers), the Revert button cannot cancel these changes. To undo the change, return to the page, select the original certificate, then click OK.

- **Renaming a Reverse Proxy**: If you change the name of a reverse proxy (click Edit > Reverse Proxies / Authentication), then click OK, you cannot cancel this change. To undo the change, return to the Reverse Proxies / Authentication page, rename the reverse proxy to its original name, then click OK and update Access Gateway.
4.2.3 Managing Access Gateways

The following sections contain information about settings available with Access Gateways, changing the settings, and their impact on users:

- Section 4.2.3.1, “Viewing and Modifying Gateway Settings,” on page 246
- Section 4.2.3.2, “Configuration Options,” on page 248
- Section 4.2.3.3, “Scheduling a Command,” on page 250

4.2.3.1 Viewing and Modifying Gateway Settings

Use the Servers page to view the status of Access Gateways, to modify their configuration, and to perform other actions such as creating a new cluster or stopping and starting an Access Gateway or its Embedded Service Provider.

2. Select one of the following:
   - **Stop**: To stop an Access Gateway, select the service, then click **Stop**. You can use the **Restart** option to start Access Gateway.
   - **Restart**: To stop and start an Access Gateway, select it, then click **Restart**. If Access Gateway is already stopped, use **Restart** to start it.
   - **Refresh**: To update the list of Access Gateways and the status columns (Status, Health, Alerts, Commands), click **Refresh**.
3. To perform an action available in the **Actions** drop-down menu, select an Access Gateway, then select one of the following:
   - **Schedule Restart**: To schedule when the selected Access Gateway must be stopped and then started, select **Schedule Restart**. On an Access Gateway Service, a restart stops Access Gateway Service, then starts it. For information about how to schedule this command, see “Scheduling a Command” on page 250.
   - **Schedule Stop**: To schedule when the selected Access Gateway or cluster must be stopped, select **Schedule Stop**.
     You can use the **Restart** option to start it again.
   - **Purge List Now**: Click **Purge List Now** to cause all objects in the current purge list to be purged from the cache of the selected server or cluster.
   - **Purge All Cache**: Click **Purge All Cache** to purge the server cache for the selected server or cluster. All cached content is lost.

When you make certain configuration changes such as updating or changing certificates, changing the IP addresses of Web servers, or modifying the rewriter configuration, you are prompted to purge the cache. The cached objects must be updated for users to see the effects of such configuration changes. If your Access Gateways are in a cluster, you need to manage the purge process so your site remains accessible to your users. You must apply the configuration changes to one member of a cluster. When its status returns to healthy and current, issue the command to purge its cache. Then apply the changes to the next cluster member.

**IMPORTANT**: Do not issue a purge cache command when an Access Gateway has a pending configuration change. Wait until the configuration change is complete.
**Update Health from Server:** Click this action to send a request to the server for updated health information. If you have selected multiple servers, a request is sent to each one. The health status changes to an animated circle until the reply returns.

**Service Provider:** Select one of the following actions:

- **Start Service Provider:** To start the Embedded Service Provider associated with the selected Access Gateway, click **Start Service Provider**. The Embedded Service Provider is the module within Access Gateway that communicates with Identity Server.
  
  The service provider must be restarted whenever you enable or modify logging on Identity Server.

- **Stop Service Provider:** To stop the Embedded Service Provider associated with the selected Access Gateway, click **Stop Service Provider**. The Embedded Service Provider is the module within Access Gateway that communicates with Identity Server.
  
  When an Access Gateway is not functioning correctly, you must always try stopping and starting the service provider before stopping and starting Access Gateway.

- **Restart Service Provider:** To restart the Embedded Service Provider associated with the selected Access Gateway, click **Restart Service Provider**. This command stops the Embedded Service Provider and then starts it. The Embedded Service Provider is the module within Access Gateway that communicates with Identity Server.
  
  When an Access Gateway is not functioning correctly, you must always try restarting the service provider before stopping and starting Access Gateway.

4 Use the following links to manage a cluster or an Access Gateway.

**Name:** Displays a list of Access Gateway servers and the clusters that can be managed from this Administration Console.

- To view or modify the general details of a particular server, click the name of the server.
- To view or modify general details of a cluster, click the name of the cluster.

**Status:** Indicates the configuration status of the clusters and Access Gateways. Possible states are pending, update, current, and update all. For more information, see “Configuration Options” on page 248.

**Health:** Indicates whether a cluster or an Access Gateway is functional. Click the icon to view additional information about the operational status of an Access Gateway.

- For information about the health of a specific Access Gateway, click the health icon on Access Gateway row. For more information, see Section 24.4.1, “Monitoring the Health of an Access Gateway,” on page 982.

- For information about the health of a Access Gateway cluster, click the health icon on the cluster row. For more information, see Section 24.4.2, “Monitoring the Health of an Access Gateway Cluster,” on page 984.

**Alerts:** Indicates whether any alerts have been sent. If the alert count is non-zero, click the count to view more information.

- For information about the alerts of a specific Access Gateway, click the link on Access Gateway row. For more information, see Section 25.2.1, “Viewing Access Gateway Alerts,” on page 988.

- For information about the alerts sent to the cluster, click the link on the cluster row. For more information, see Section 25.2.2, “Viewing Access Gateway Cluster Alerts,” on page 988.

**Commands:** Indicates the status of the last executed command and whether any commands are pending. Click the link to view more information. For more information, see Section 23.2, “Viewing the Command Status of Access Gateway,” on page 974.
Statistics: Provides a link to the statistic pages.

- For information about the statistics of a specific Access Gateway, click the View link on Access Gateway row and see Section 22.2.1, "Monitoring Access Gateway Statistics," on page 954.
- For information about statistics sent to the cluster, click the View link on the cluster row and see Section 22.2.2, "Monitoring Access Gateway Cluster Statistics," on page 963.

Edit: Provides a link to the configuration page. If the server belongs to a cluster, the Edit link appears on the cluster row. Otherwise, the link is on the server row. See Section 4.2.1, "Configuration Overview," on page 243.

4.2.3.2 Configuration Options

Use the information in this section to modify the Status options described in Step 4 on page 247.

2. View the Status column and make changes as necessary.

<table>
<thead>
<tr>
<th>Status</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current</td>
<td>Indicates that all configuration changes have been applied.</td>
</tr>
<tr>
<td>Update</td>
<td>Indicates that a configuration change has been made, but not applied. To apply the changes, click the Update link, then select one of the following:</td>
</tr>
</tbody>
</table>

- **All Configuration:** The All Configuration option causes Access Gateway to read its complete configuration file and restarts the Embedded Service Provider.
  The configuration update causes logged-in users to lose their connections unless the server is a member of a cluster. When the server is a member of a cluster, the users are sent to another Access Gateway and they experience no interruption of service.

- **Logging Settings:** When the ESP logging settings have been modified on Identity Server, the update option for Logging Settings is available. The Logging Settings option causes no interruption in services. When you modify Access Gateway logging settings, this option is not available because they are considered configuration settings.

- **Policy Settings:** If a policy is modified for a protected resource of Access Gateway and the policy change is the only modification that has occurred, the update option for Policy Settings is available. This option causes no interruption in services.

- **Rewriter Profile Changes:** When the administrator changes the rewriter profile, a purge cache command is issued to a Gateway from Administration Console, the connection is lost and the service is interrupted for a few seconds. Similar experience is observed during the rewriter profile configuration change, as this internally triggers the purge cache command.

- **Changing Certificates:** When a certificate configuration is changed from Administration Console, the service is interrupted due to the Tomcat restart.
### Status | Description
--- | ---
Update All | This link is available when a server belongs to a cluster. You can select to update all the servers at the same time, or you can select to update them one at a time. If the modification is a policy or a logging change, then use **Update All**. If the modification is a configuration change, we recommend that you update the servers one at a time.
- When you select **Update All** for a configuration change, users experience an interruption of service.
- When you update servers one at a time for a configuration change, users experience no interruption of service.

When you make the following configuration changes, the **Update All** option is the only option available and your site will be unavailable while the update occurs:

- Identity Server configuration that is used for authentication is changed ([Access Gateways > Edit > Reverse Proxy/Authentication], then select a different value for the **Identity Server Cluster** option).
- A different reverse proxy is selected to be used for authentication ([Access Gateways > Edit > Reverse Proxy/Authentication], then select a different value for the **Reverse Proxy** option).
- The protocol or port of the authenticating reverse proxy is modified ([Access Gateways > Edit > Reverse Proxy/Authentication] > [Name of Reverse Proxy], then change the SSL options or the port options).
- The published DNS name of the authentication proxy service is modified ([Access Gateways > Edit > Reverse Proxy/Authentication] > [Name of Reverse Proxy] > [Name of First Proxy Service], then modify the **Published DNS Name** option).

For more information, see “Applying Changes to Access Gateway Cluster Members” on page 95.

Update | If the configuration update contains a configuration error, the **Update** link is disabled and the **Configuration Error** icon is displayed. Click the icon to discover which objects have been misconfigured. You need to fix the error by either canceling or modifying the changes before you can perform an update.

Update All | If the configuration update contains a configuration error, the **Update All** and the member **Update** links are disabled and the **Configuration Error** icon is displayed. Click the icon to discover which objects have been misconfigured. You need to fix the error by either canceling or modifying the changes before you can perform an update.

Pending | Indicates that the server is processing a configuration change, but has not completed the process.

Locked | Indicates that another administrator is making configuration changes. Before you proceed with any configuration changes, you need to coordinate with this administrator and wait until Access Gateway has been updated with the other administrator’s changes.
4.2.3.3 Scheduling a Command

Use the Schedule New Command page to schedule a command, such as a shutdown, restart, or upgrade.

2. (Conditional) To schedule a shutdown or restart, select a server, then click Actions > Schedule Restart or Schedule Stop. Continue with Step 3.
3. Fill in the following fields:
   - **Name Scheduled Command**: (Required) Specify a name for this scheduled command. This name is used in log files.
   - **Description**: (Optional) Specify a reason for the command.
   - **Date & Time**: Select the day, month, year, hour, and minute when the command must execute.
   - **Type** displays the type of command that is being scheduled, such as Access Gateway Shutdown, Access Gateway Restart, or Access Gateway Upgrade.
   - **Server** displays the name of the server that the command is being scheduled for.
4. Click OK to schedule the command.

4.2.4 Managing General Details of Access Gateway

The Server Details page allows you to perform general maintenance actions on the selected Access Gateway.

1. Click Devices > Access Gateways > [Name of Access Gateway].
2. Select one of the following options:
   - **Edit**: Click this option to edit the general details of Access Gateway. See "Changing the Name of an Access Gateway and Modifying Other Server Details" on page 250.
   - **New IP**: Click this action to trigger a scan to detect new IP addresses. This might take some time. If you have used a system utility to add an IP address after you have installed Access Gateway Service, use this option to update Access Gateway Service to display the new IP address as a configuration option. For more information about this option, see "Adding a New IP Address to Access Gateway" on page 257.
   - **Configuration**: Click this option to export the configuration of this Access Gateway or to import the configuration of a saved configuration file. See "Exporting and Importing an Access Gateway Configuration" on page 251.
3. Click Close.

4.2.4.1 Changing the Name of an Access Gateway and Modifying Other Server Details

The default name of an Access Gateway is its IP address. You can change this to a more descriptive name as well as modifying other details that can help you identify one Access Gateway from another.

2. Modify the values in the following fields:
**Name:** Specify Administration Console display name for Access Gateway. This is a required field. The default name is the IP address of Access Gateway. If you modify the name, the name must use alphanumeric characters and can include spaces, hyphens, and underscores.

**Management IP Address:** Specify the IP address used to manage Access Gateway. Select an IP address from the list.

**Port:** Specify the port to use for communication with Administration Console.

**Location:** Specify the location of Access Gateway server. This is optional, but useful if your network has multiple Access Gateway servers.

**Description:** Describe the purpose of this Access Gateway. This is optional, but useful if your network has multiple Access Gateways.

3 Click **OK** twice, then click **Close**.

When you click **OK**, any changes are immediately applied to Access Gateway.

### 4.2.4.2 Exporting and Importing an Access Gateway Configuration

You can export an existing Access Gateway configuration and its dependent policies, and then import this configuration to a new server. This feature is especially useful for deployments that set up configurations in a staging environment, test and validate the configuration, then want to deploy the configuration on new hardware that exists in the production environment.

**IMPORTANT:** The export feature is not a backup tool. The export feature is designed to handle configuration information applicable to all members of a cluster, and network IP addresses and DNS names are filtered out during the import. (The server-specific information that is filtered out is the information you set specifically for each member in a cluster.) If you want a copy of all configuration information, including server-specific information, you need to perform a backup. See Chapter 28, “Back Up and Restore,” on page 1007.

The export feature is not an upgrade tool. You cannot export a configuration from one version of Access Manager and import it into a newer version of Access Manager.

If your Access Gateway is not a member of a cluster and you have configured it to use multiple IP addresses, be aware that the export feature filters out multiple IP addresses and uses only eth0. You need to use the backup utility to save this type of information. If you need to reinstall the machine, leave Access Gateway configuration in Administration Console and reinstall Access Gateway. If you use the same IP address for Access Gateway, it imports into Administration Console and inherits the configuration.

When exporting the file, you can select to password-protect the file, which encrypts the file. If you are using the exported file to move an Access Gateway from a staging area to a production area and you need to change the names of the proxy services and DNS names from a staging name to a production area and you need to change the names of the proxy services and DNS names from a staging name to a production name, do not select to encrypt the file. You need a simple text file so you can search and replace these names. If you select not to encrypt the file, remember that the file contains sensitive information and protect it accordingly.

#### Exporting the Configuration

1 Click **Devices** > **Access Gateway** > [Name of Access Gateway].

2 Click **Configuration** > **Export**.

3 (Conditional) If you want to encrypt the file, fill in the following fields:

   **Password protect:** Select this option to encrypt the file.
**Password:** Specify a password to use for encrypting the file. When you import the configuration onto another device, you are prompted for this password.

4. **Click OK**, then select to save the configuration to a file.

The filename is the name of Access Gateway with an `.xml` extension.

5. **Export the policies used by Access Gateway.** Click **Policies > Policies**, then either select **Name** to include all policies or individually select the policies to export.

You need to export all Access Gateway policies and any Role policies used by Access Gateway policies.

6. **Click Export** and modify the proposed filename if needed.

7. **Click OK**, then select to save the policy configurations to a file.

8. **(Conditional)** If you have created multiple policy containers, select the next policy container in the list, and repeat **Step 5** through **Step 7**.

The policies for each container must be saved to a separate export file.

### 4.2.5 Setting Up a Tunnel

The tunnel option lets you create one or more services for the specific purpose of tunneling non-HTTP traffic through Access Gateway to a Web server. To do this, the non-HTTP traffic must use a different IP address and port combination than the HTTP traffic.

An Access Gateway usually processes HTTP requests in order to fill them. However, it is not unusual that some of the traffic coming through the gateway is not HTTP-based. Web servers sometimes handle Telnet, FTP, chat, or other kinds of traffic without attempting to process it. If your Web servers are handling this type of traffic, you must set up a tunnel for it.

Reverse proxies and tunnels cannot share the same IP address and port combination. You can either configure a reverse proxy for an IP address and port or a tunnel for that IP address and port.

To set up a tunnel:

1. **Click Devices > Access Gateways > Edit > Tunneling.**

2. **Click New**, enter a display name for the tunnel, then click **OK**.

3. **Specify the following details:**

   **Enable Tunnel:** Specifies that Access Gateway must set up a tunnel for all incoming traffic. This option must be enabled to configure a tunnel.

   **Tunnel SSL Traffic Only:** Allows you to configure Access Gateway to tunnel only SSL traffic. If this option is selected, Access Gateway verifies that the address and port being accessed are actually an SSL Web site. If verification fails, the service tears down the connection. The SSL port number for the SSL tunnel is specified via the **Listening Port** and the **Connect Port**.

   **Published DNS Name:** Specify the DNS name you want the public to use to access your tunnel or the virtual IP address assigned to Access Gateway cluster by the L4 switch. If you specify a DNS name, the DNS name must resolve to the IP address you set up as the listening address for the tunnel.

4. **Configure the communication options between the browsers and the tunnel by configuring the following fields:**

   **Cluster Member:** (Available only if Access Gateway is a member of a cluster.) Select the server you want to configure from the list of servers. The **Listening Address(es)** modifications apply to the selected server. Any other modifications apply to all servers in the cluster.
**Listening Address(es):** Displays a list of available IP addresses. If Access Gateway has only one IP address, only one is displayed. If it has multiple addresses, you can select one or more addresses to enable. You must enable at least one address by selecting its check box.

**TCP Listen Options:** Provides additional options for configuring how requests are handled. See “Configuring TCP Listen Options for Clients” on page 136. At least one Web server must be configured before you can modify these options.

**Listening Port:** Specifies the port on which to listen for requests from browsers. The listening address and port combination must not match any combination you have configured for a reverse proxy.

5 Configure the communication options between the tunnel and the Web servers by configuring the following fields:

**Connect Port:** Specifies the port that Access Gateway uses to communicate with the Web server.

**TCP Connect Options:** Allows you to control how idle and unresponsive Web server connections are handled and to optimize these processes for your network. See “Configuring TCP Connect Options for Web Servers” on page 136.

6 Specify a Web server to receive the traffic. In the Web Server List section, click New, specify the IP address or DNS name of the Web server, then click OK.

At least one Web server must be specified in the list before you can save a tunnel configuration.

7 To save your changes to browser cache, click OK.

8 To apply your changes, click the Access Gateways link, then click Update > OK.

### 4.2.6 Setting the Date and Time

The Date & Time option lets you set the system time for Access Gateway.

The time between Identity Server and Access Gateway must be either synchronized or set to be within 1 minute of each other for trusted authentication to work.

To configure the date and time options:

1 Click Devices > Access Gateways > Edit > Date & Time.

2 (Conditional) If Access Gateway belongs to a cluster of Access Gateways, select Access Gateway from the list displayed in the Cluster Member field. The modifications you make on this page apply only to the selected Access Gateway.

If Access Gateway does not belong to a cluster, this option is not available.

3 Specify the following details:

**Server Date and Time:** Displays the current time and allows you to set the current time. Click Set Date & Time Manually, then select the current year, month, day, hour, and minute.

**IMPORTANT:** If the date is set to a time before Access Gateway certificates are valid, communication to Access Gateway is lost. This error cannot be corrected from Administration Console. You need to correct it at the console of Access Gateway machine.

Use the yast command and select System > Date and Time.

**Set Up NTP:** Click this option to specify the DNS name or IP address of a Network Time Protocol server. The installation program enters the name of pool.ntp.org, the DNS name of a public NTP server. To disable this feature, you must remove all servers from the NTP Server List. This is not recommended.
**Time Zone:** Select your time zone, then click OK. Regardless of the method you used to set the time, you must select a time zone.

4. Click OK.

5. On the Server Configuration page, click OK.

6. To apply your changes, click Update > OK.

### 4.2.7 Configuring Network Settings

After initial setup, you seldom need to change the network settings unless something in your network changes, such as adding a new gateway or DNS server. These options are for Access Gateway Appliance. For the Linux or Windows Access Gateway Service, use the utilities supplied by the operating system. However, if you add an new network interface card to Access Gateway Service machine and use system utilities to configure it and assign it an IP address, you need to update Access Gateway Service with this information. See "Adding a New IP Address to Access Gateway" on page 257.

This section describes the following tasks:

- Section 4.2.7.1, “Viewing and Modifying Adapter Settings,” on page 254
- Section 4.2.7.2, “Viewing and Modifying Gateway Settings,” on page 255
- Section 4.2.7.3, “Viewing and Modifying DNS Settings,” on page 256
- Section 4.2.7.4, “Configuring Hosts,” on page 257
- Section 4.2.7.5, “Adding a New IP Address to Access Gateway,” on page 257

#### 4.2.7.1 Viewing and Modifying Adapter Settings

The adapter settings allow you to view the current configuration for the network adapters installed in Access Gateway Appliance and manage the IP addresses that are assigned to them.

- If you want to configure an adapter to use more than one IP address, you can use these settings to add them.
- If you have multiple adapters installed on an Access Gateway Appliance machine, you can only configure eth0 during installation. Use the procedure described in this section to configure the others.

To view or modify your current adapter settings:


2. (Conditional) If Access Gateway is a member of a cluster, select the server you want to configure from the list of servers in the Cluster Member field. All changes made to this page apply to the selected server.

3. Select the adapter you want to modify, then select one of the following actions:
   - To add a new subnet to an existing adapter, click New.
   - To delete a subnet, select a subnet, then click Delete. More than one subnet must be configured for you to delete one.
   - To modify an existing subnet, click the IP address of the subnet.

4. To configure a new subnet or a new IP address for a subnet, configure the following fields:
   - **Subnet:** Displays the address of the subnet that you are modifying. This is empty if you are creating a new subnet.
**Subnet Mask**: (Required) Specifies the subnet mask address for this subnet. The address can be specified in standard dotted format or in CIDR format.

**IP Addresses**: Allows you to manage the IP addresses assigned to the subnet.
- To add an address, click New, specify the address, then click OK.
- To delete an address, select the address, then click Delete.
- To change the IP address, select the address, then click Change IP Address, specify the new IP address, then click OK.

5. Click OK.
6. Click OK.
7. On the Server Configuration page, click OK, then click Update > OK.

### 4.2.7.2 Viewing and Modifying Gateway Settings

The gateway settings display the current gateway configuration that Access Gateway Appliance is using to route packets. On this page, you can also configure additional gateways. During installation, you could specify only a default gateway. You must have at least one gateway defined for Access Gateway to function.

Access Gateway routes requests to specific destinations through these gateways. If a request could be routed through multiple gateways, Access Gateway chooses the gateway associated with the most restrictive mask (the smallest range of destination addresses). The default gateway is used only when no other routes apply.

Gateways fall within the following three basic groups:

- Host gateways for specific destination addresses.
- Network gateways for destination addresses that fall within specific subnets.
- The default gateway for destination addresses that aren't covered by host or network gateways.

Access Gateway uses additional gateways only when the Act As Router option is selected. When this option is selected, you can add Host Gateways and Network Gateways. When configuring a Host Gateway or Network Gateway, you specify the IP address of the host or network gateway in the Next Hop field. This address must be on the same subnetwork as the IP address for Access Gateway.

**IMPORTANT**: If you enter an IP address that is on a different subnetwork, Access Gateway reports this error on the Health page, after the configuration has been applied.

To modify your current gateway configuration:

2. Configure your default gateway, which specifies the gateway to use when no other routes apply. Configure the following:
   - **Next Hop**: The IP address of the gateway.
   - **Metric**: A relative number indicating the bias you can add to the normal flow of gateway logic. Specifying a number higher than 1 makes this resource more expensive and alters the gateway logic used. Valid numbers include 1 through 16.
   - **Type**: Gateways are active if they publish their presence, or passive if they do not.
3. Configure your host gateways, which are the gateways to be used for packets being sent to specific hosts. When you select New from the Host Gateway list, you are asked for the following information:
Next Hop: The address of the host gateway that is to be used.

Host: The IP address of the destination host. Valid addresses cannot be the first or last address of a class and must be unique.

Metric: A relative number indicating the bias you can add to the normal flow of gateway logic. Specifying a number higher than 1 makes this resource more expensive and alters the gateway logic used. Valid numbers include 1 through 16.

Type: Gateways are active if they publish their presence, or passive if they do not.

Click OK when the fields are configured.

4 Configure your network gateways, which are the gateways to be used for packets being sent to specific subnets. When you select New from the Network Gateway list, you are asked for the following information:

Next Hop: The address of the gateway that is to be used.

Network Address: The subnet address for the destination IP address range. You must enter the valid subnet address.

Mask: The subnet mask for the subnet or IP address above. A valid entry must be at least as large as a class mask where a Class A mask is 255.0.0.0, a Class B mask is 255.255.0.0, and Class C, D, and E masks are 255.255.255.0.

Metric: A relative number indicating the bias you can add to the normal flow of gateway logic. Specifying a number higher than 1 makes this resource more expensive and alters the gateway logic used. Valid numbers include 1 through 16.

Type: Gateways are active if they publish their presence, or passive if they do not.

Click OK when the fields are configured.

5 Click OK.

6 On the Server Configuration page, click OK, then click Update > OK.

4.2.7.3 Viewing and Modifying DNS Settings

The DNS page displays the current configuration for domain name services for Access Gateway Appliance and allows you to modify it.

1 Click Devices > Access Gateways > Edit > DNS.

2 (Conditional) If Access Gateway is a member of a cluster, select the server you want to configure from the list of servers in the Cluster Member field. All changes made to this page apply to the selected server.

3 Specify the following details:

Server Hostname: Displays the unique host or computer name that you have assigned to Access Gateway machine. If you modify this name, you need to modify the entry for Access Gateway in your DNS server to resolve this new name.

Domain: Specifies the domain name for your network. Your DNS server must be configured to resolve the combination of the server hostname and the domain name to Access Gateway machine. This field assumes you are using dotted names for your machines, such as sales.mytest.com, where sales is the Server Hostname and mytest.com is the Domain.

DNS Server IP Addresses: Displays the IP addresses of the servers on your network that resolve DNS names to IP addresses. You can have up to three servers in the list. If you specified any addresses during installation, they appear in this list. To manage the servers in this list, select one of the following options:

- New: To add a server to the list, click this option and specify the IP address of a DNS server.
• **Delete**: To delete a server from the list, select the address of a server, then click this option.
• **Order**: To modify the order in which the DNS servers are listed, select the server, then click either the up-arrow or the down-arrow buttons. The first server in the list is the first server contacted when a DNS name needs to be resolved.

4 Click **OK**.
5 On the Server Configuration page, click **OK**, then click **Update > OK**.

### 4.2.7.4 Configuring Hosts

You can configure Access Gateway Appliance to have multiple hostnames or to resolve DNS names to IP addresses. If you manually edit the `/etc/hosts` file, your modifications are lost when Access Gateway Appliance is updated. However, if you use the Hosts page to specify the entries, the entries are written to the `/etc/hosts` file whenever the configuration of Access Gateway Appliance is updated.

1 (Access Gateway Appliance) Click **Devices > Access Gateways > Edit > Hosts**.
2 (Conditional) If Access Gateway is a member of a cluster, select the server you want to configure from the list of servers in the **Cluster Member** field. All changes made to this page apply to the selected server.
3 To add a new hostname to an existing IP address, click the name of a **Host IP Address**.
4 In the **Host Name(s)** text box, specify a name for the host. Place each hostname on a separate line, then click **OK**.
5 To add a new IP address and hostname, click **New** in the **Host IP Address List** section, then specify the IP address. In the **Host Name(s)** text box, specify a hostname, then click **OK**.
6 To delete a host, select the check box next to the host you want to delete, then click **Delete**.
7 Click **OK**.
8 On the Server Configuration page, click **OK**, then update Access Gateway.

### 4.2.7.5 Adding a New IP Address to Access Gateway

Before configuring Access Gateway to use a new IP address, you must first use an operating system utility to add the IP address.

After you have used a system utility to add an IP address, you need to update Access Gateway Service to display the new IP address as a configuration option.

1 Click **Devices > Access Gateways > [Name of Gateway Service]**.
2 On the Server Details page, click **New IP > OK**.

Access Gateway scans the operating system for its configured IP addresses and adds any new addresses. The new address is then available for assignment on Access Gateway configuration pages.

3 (Optional) To verify that the scan has completed, click the **Command Status** tab.
4.2.8 Enabling Access Gateway to Display Post-Authentication Message

When Identity Server authentication process is completed, the user-agents are redirected to their originally requested URL. The originally requested URL is then retrieved by the proxy. This process requires SSO and authentication process of its own. As a result, retrieving the requested URL may take a long time. It is not clear how much time the authentication process takes and how much time the origin server request and authentication processes take.

To remove this ambiguity, you can enable Access Gateway to display a message before redirecting the user-agent to the originally requested URL.

To enable this enhancement, complete the following steps:

2. Set IS_DISPLAY_AUTH_DONE_PAGE to true.

When this option is enabled, the following message is displayed before the final redirect to the requested URL:

Authentication successful, please wait while your requested page loads.

The web page that displays this message is a JSP page. Location of this page is /opt/novell/nam/mag/webapps/nesp/jsp/waitredir.jsp. You can perform further customization on this page.

4.2.9 Customizing Access Gateway

- Section 4.2.9.1, “Maintaining a Customized Access Gateway,” on page 258
- Section 4.2.9.2, “Customizing Error Messages and Error Pages on Access Gateway,” on page 258
- Section 4.2.9.3, “Customizing Logout Requests,” on page 261

4.2.9.1 Maintaining a Customized Access Gateway

If you have customized the .jsp files for Access Gateway, you must perform the following steps to maintain the customized files before upgrading Access Manager. If you do not, Access Manager overwrites the customized .jsp files. For more information, see Maintaining Customized JSP Files for Access Gateway in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

4.2.9.2 Customizing Error Messages and Error Pages on Access Gateway

Access Gateway uses the custom error page template to rebrand and localize the language of error pages that are published to the browser.

By default, Access Gateway contains the following files to help customize and localize the error messages:

- The error page configuration file, ErrorPagesConfig.xml
- The error messages file, ErrorMessages.xml.en

**NOTE:** If you are modifying any of the above files, ensure that you retain the original filenames.
Access Gateway maintains /opt/novell/nam/mag/webapps/agm/WEB-INF/config/current/ directory to save files that are used for error page configuration.

You can customize and localize the error template and the error messages:

- “Customizing and Localizing Error Messages” on page 259
- “Customizing the Error Pages” on page 259

### Customizing and Localizing Error Messages

When Access Gateway serves an error message to the browser by using the `Accept-Language` header value received from the browser, it selects a suitable error template and an error message file. To localize the error messages, you must do the following:

Localize or customize the error messages in the `ErrorPagesConfig.xml` file and save it with the language extension.

The error messages contained in the `ErrorMessages.xml.en` file can be localized in various languages and stored as `ErrorMessages.xml.<lang>`, where `<lang>` is the `fileXn` attribute value. You can also customize the English error messages present in the `ErrorMessages.xml.en` file.

**NOTE:** You cannot customize an error message that is not present in the `ErrorMessages.xml.en` file.

To localize the error messages, perform the following steps:

1. Log in as `root`.
2. Open the `ErrorMessages.xml.<lang>` file.
3. Copy the error messages that you have localized or customized to within the `<TranslatedMessage>` tags. For example:

   ```xml
   <Message id="<ID No>" name="<ERROR_MESSAGE_NAME>" enable="yes">
     <EnglishMessage>English Message goes here</EnglishMessage>
     <TranslatedMessage>Localized message goes here</TranslatedMessage>
   </Message>
   ```

   Do not delete the contents within the `<TranslatedMessage>` tags from an English file because, the `ErrorPagesConfig.xml` file selects the error message within these tags for display.
4. Save the file.
5. If Access Gateway belongs to a cluster, copy the modified file to each member of the cluster, then restart that member.
6. Edit the configuration and make dummy changes and push the configuration.

### Customizing the Error Pages

Access Gateway uses the Apache method for localizing error messages. You can modify these messages or customize the page they are displayed on.

1. To change a message:
   1a. Change to the Apache message configuration directory:

```
/etc/opt/novell/apache2/conf/extra
```
1b Open the `http-multilang-errordoc.conf` file.
The first few lines of this file contains comments on how Apache recommends modifying the error messages. You can select to use their method or continue with the following steps.

1c Locate the `ErrorDocument` section and determine the error code message you want to modify. Make note of the `*.var` filename.

1d Change to the Apache error directory:
```
/opt/novell/apache2/share/apache2/error
```

1e Open the `*.var` file that you want to modify.
The message is listed alphabetically by language code.

1f Save the changes.

2 To change the header of the error page:

2a Change to the Apache error include directory:
```
/opt/novell/apache2/share/apache2/error/include
```

2b Open the `top.html` page.

2c To change the title of the page, locate the following line:
```
<title>Access Manager</title>
```

2d Replace the `Access Manager` string with the content you require.

2e To replace the image in the header, locate the following line:
```
<img src="/NAGErrors/images/header_550.png" alt="" height="50px" width="550px" border="0">
```

2f Replace `header_550.png` with the filename of the image you want to display.

2g Adjust the height and width values to match your image.

2h Save the file.

2i Copy your image to the `images` directory:
```
/opt/novell/apache2/share/apache2/error/images
```

3 To change the footer of the error page:

3a Change to the Apache error include directory:
```
/opt/novell/apache2/share/apache2/error/include
```

3b Open the `bottom.html` page.

3c To change the image, find the following line:
```
<td style="background-color: #E6D88C; padding-left: 10px"> <img style="padding-right: 200px" src="/NAGErrors/images/LAP_interoperable_logo_100.gif" align="absmiddle" border="0">
```

3d Change `LAP_interoperable_logo_100.gif` to the filename of the image you want to display.

3e Save the file.

3f Copy your image to the `images` directory:
```
/opt/novell/apache2/share/apache2/error/images
```

4 Copy all modified files and image files to all Access Gateways in the cluster.
The `err_legacy.jsp` file will also log the ESP error messages. For more information on customizing the `err_legacy.jsp` page, see “Customizing Identity Server Messages” on page 223. The procedure for customizing is the same except the paths for Access Gateway. The following are the path changes:

- In **Customizing Identity Server Messages**, the paths for Access Gateway are as follows:
  
  **Step 3**, path on Linux is `/opt/novell/nam/mag/webapps/nesp/WEB-INF/lib` and on Windows is `/Program Files/Novell/Tomcat/webapps/nesp/WEB-INF/lib/`.

  **Step 10**, path on Linux is `/opt/novell/nam/mag/webapps/nesp/WEB-INF/classes` and on Windows is `/Program Files/Novell/Tomcat/webapps/nesp/WEB-INF/classes`.

  **Step 12**, restart Access Gateway by running `/etc/init.d/novell-mag restart`.

- In **Customizing the Branding of the Error Page**, the path for `err_legacy.jsp` in the ESP on Linux is `/opt/novell/nam/mag/webapps/nesp/jsp` and on Windows is `/Program Files/Novell/Tomcat/webapps/nesp/jsp`.

### 4.2.9.3 Customizing Logout Requests

- “Customizing Applications to Use Access Gateway Logout Page” on page 261
- “Customizing Access Gateway Logout Page” on page 262
- “Configuring the Logout Disconnect Interval” on page 263

#### Customizing Applications to Use Access Gateway Logout Page

If any of your protected resources have a logout page or button, you need to redirect the user’s logout request to Access Gateway logout page. Access Gateway can then clear the user’s session and log the user out of any other resources that have been enabled for single sign-on. If you do not redirect the user’s logout request, the user is logged out of one resource, but the user’s session remains active until inactivity closes the session. If the user accesses the resource again before the session is closed, single sign-on reauthenticates the user to the resource, and it appears that the logout did nothing.

1. Click **Devices > Access Gateways > Edit > Reverse Proxy / Authentication**.

2. In the **Embedded Service Provider** section, view the path to the AGLogout page in the **Logout URL** option.

   The Logout URL displays the URL that you need to use for logging users out of protected resources. This option is not displayed until you have created at least one reverse proxy with a proxy service. If you create two or more reverse proxies, you can select which one is used for authentication, and the logout URL changes to match the assigned reverse proxy.

3. Redirect application logout requests to the AGLogout page.

4. Click **OK**.

Access Gateway does not support the following logout pages that were used in previous version of Access Manager and iChain:

- `/cmd/BM-Logout`
- `/cmd/ICSLogout`
Customizing Access Gateway Logout Page

You can create your own logout page and configure Access Gateway to use it. To do this, you need to modify the `logoutSuccess_legacy.jsp` file on Access Gateway. It is located in the following directory:

```
/opt/novell/nesp/lib/webapp/jsp
```

You can modify the file to display what you want or you can modify it to redirect the user to your custom page. The following sections provide some tips for accomplishing this task:

- “Modifying the Header” on page 262
- “Redirecting to Your Custom Page” on page 262
- “Calling Different Logout Pages” on page 262

**Modifying the Header**

The `logoutSuccess_legacy.jsp` file is called in a frame from the `nidp_legacy.jsp` file. The branding in the header of the logout page is controlled by the branding of the `nidp.jsp` file. For information about how to modify `nidp_legacy.jsp` for logos, titles, and colors, see “Rebranding the Header” on page 210.

**IMPORTANT:** Take a backup of `nidp_legacy.jsp` file before modifications. Every time you upgrade your Access Gateway, upgrade process overrides any custom changes made to JSP files that use the same filename as those included with the product. If you want the modified file, you need to restore the `nidp_legacy.jsp` file. During an upgrade, you can select to restore custom login pages, but NetIQ still recommends that you have your own backup of any customized files.

**Redirecting to Your Custom Page**

One way to provide redirection is to replace the information in the `<body>` element of the `logoutSuccess_legacy.jsp` file with something similar to the following:

```
<body>
  <script language="JavaScript"> 
    top.location.href='http://<hostname/path>'; 
  </script>
</body>
```

Replace the `<hostname/path>` string with the location of your customized logout page.

**IMPORTANT:** Take a backup of `logoutSuccess_legacy.jsp` file before modifications. Every time you upgrade your Access Gateway, upgrade process overrides any custom changes made to JSP files that use the same filename as those included with the product. If you want the modified file, you need to restore the `nidp_legacy.jsp` file. During an upgrade, you can select to restore custom login pages, but NetIQ still recommends that you have your own backup of any customized files.

**Calling Different Logout Pages**

If you need to use a different logout page for specific protected resources, you need to modify the logout button of the applications to use the AGLogout URL rather that the plogout URL (see “Customizing Applications to Use Access Gateway Logout Page” on page 261). The AGLogout page redirects to the plogout page, which calls the `logoutSuccess_legacy.jsp`. Any parameter added to
the AGLogout or plogout URL is saved and passed to the logoutSuccess_legacy.jsp file. However, any parameter added to the plogout URL is saved and passed to the logoutSuccess_legacy.jsp file.

The parameter passed to the logoutSuccess_legacy.jsp file can be used with if/else logic in the body of the page to load different custom logout pages based on the parameter value.

To use the plogout URL, you need to modify the application’s logout button to call the following URL:

<ESP Domain>/nesp/app/plogout

Replace <ESP Domain> with the same value as the AGLogout value. For example, suppose your AGLogout value is the following:

https://jwilson1.provo.novell.com:443/AGLogout

You would replace it with the following value:

https://jwilson1.provo.novell.com:443/nesp/app/plogout

If you add a parameter to the URL, it would look similar to the following:

https://jwilson1.provo.novell.com:443/nesp/app/plogout?app=email

Logging Out of Sessions of Access Gateway and SAML Connectors when Branding Exists in the Customized Logout Page

When you have both Liberty and SAML 2.0 sessions running on Identity Server and you log out of Access Gateway, the logoutSuccess_legacy.jsp page is not executed with the customizations you have made to the logout page. You will be able to log out of Access Gateway but the customizations you made are lost.

If the logoutSuccess_legacy.jsp file is not loaded in a frame, the banner will not be displayed, and Access Gateway will comment out the content in the logoutSuccess_legacy.jsp file. Add the below line after the <body> tag in the logoutSuccess_legacy.jsp file.

<!-- BANNER LOADS IF THIS PAGE IS NOT LOADED IN REGULAR FRAME -->
<%@include file="logoutHeader.jsp"%>

Configuring the Logout Disconnect Interval

When a user clicks the logout button and the user is logging out of an Access Gateway that is a member of a cluster, the user is not immediately disconnected from the resource. The logout message must be sent to each member of the cluster. The default interval for checking the pending logout message queue is 30 seconds. If this interval is too long, you can configure a shorter interval in the web.xml file of the Embedded Service Provider. This must be set on each Access Gateway in the cluster.

1 Log in to Access Gateway as the root or administrator user.
2 Open web.xml.
   /opt/novell/nesp/lib/webapps/WEB-INF/web.xml
3 Find the <context-param> section in the file.
4 Add the following parameter to the <context-param> section.

   <context-param>
   <param-name>logoutRetirementFrequency</param-name>
   <param-value>15000</param-value>
   </context-param>
5 Set the `<param-value>` element to a value between 5000 and 30000 milliseconds (5 seconds and 30 seconds).

6 Restart the Embedded Service Provider.
   For information about how to restart the Embedded Service Provider from Administration Console, see Section 4.2.3, “Managing Access Gateways,” on page 246.

4.3 Access Gateway Content Settings

One of the major benefits of using an Access Gateway to protect Web resources is that it can cache the requested information and send it directly to the client browser rather than contacting the origin Web resource and waiting for the requested information to be sent. This can significantly accelerate access to the information.

**IMPORTANT:** For caching to work correctly, the Web servers must be configured to maintain a valid time. If possible, they must be configured to use an NTP server.

The object cache on an Access Gateway is quite different from a browser’s cache, which all users access when they click the Back button and which can serve stale content that does not accurately reflect the fresh content on the origin Web server.

Access Gateway caching system uses a number of methods to ensure cache freshness. Most time-sensitive Web content is flagged by Webmasters in such a way that it cannot become stale unless a caching system ignores the Webmaster’s settings. Access Gateway honors all RFC 2616 directives that affect cache freshness such as Cache-Control, If-Modified-Since, and Expires.

Access Gateway can be fine-tuned for cache freshness in the following ways:

- Accelerated checking of objects that have longer than desirable Time to Expire headers
- Delayed checking of objects that have shorter than desirable Time to Expire headers
- Checking for freshness of objects that do not include Time to Expire headers


The following sections describe the features available to fine-tune this process for your network:

- Section 4.3.1, “Configuring Caching Options,” on page 264
- Section 4.3.2, “Controlling Browser Caching,” on page 265
- Section 4.3.3, “Configuring a Pin List,” on page 266
- Section 4.3.4, “Configuring a Purge List,” on page 268
- Section 4.3.5, “Purging Cached Content,” on page 269
- Section 4.3.6, “Apache htcacheclean Tool,” on page 270

### 4.3.1 Configuring Caching Options

The Cache Options allow you to control how Access Gateway caches objects.

1 Click **Access Gateways > Edit > Cache Options**.
2 To disable caching of all Web server content, select **Disable Caching**.
   When this option is selected, all other caching options are disabled.
3 Modify the Cache Freshness settings. Use the Reset button to return these settings to their default values.

These options govern when the proxy service revalidates requested cached objects against those on their respective origin Web servers. If the objects have changed, the proxy service re-caches them.

**WARNING:** Enter whole number values. Decimal values (2.5) are not supported and generate an XML validation error.

**HTTP Maximum:** Specifies the maximum time the proxy service serves HTTP data from cache before revalidating it against content on the origin Web server. No object is served from cache after this value expires without being revalidated.

This overrides a freshness or Time to Expire directive specified by the Webmasters if they specified a longer time.

You use this value to reduce the maximum time the proxy service waits before checking whether requested objects need to be refreshed. The default is 6 hours.

**HTTP Default:** Specifies the maximum time the proxy service serves HTTP data for which Webmasters have not specified a freshness or Time to Expire directive. The default is 2 hours.

4 To save your changes to browser cache, click OK.

5 To apply the changes, click the Access Gateways link, then click Update > OK.

### 4.3.2 Controlling Browser Caching

Webmasters control how browsers cache information by adding the following cache-control directives to the HTTP headers:

- Cache-Control: no-store
- Cache-Control: no-cache
- Cache-Control: private
- Cache-Control: public
- Pragma: no-cache

You can configure how the proxy service responds to these directives in the HTTP header.

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > HTTP Options.

2 To mark all pages coming through this host as cacheable on the browser, select Allow Pages to be Cached by the Browser.

When this option is enabled, the no-cache and no-store headers are not injected into the HTTP header.

You need to select this option if you have a back-end application that updates the data in the Last-Modified or ETag HTTP headers. These changes are forwarded from the Web server to the browser only when this option is enabled.

You need to select this option if you want the Expires HTTP header forwarded from the Web server to the browser.

If this option is not selected, all pages are marked as non-cacheable on the browser. This forces the browser to request a resend of the data from Access Gateway when a user returns to a previously viewed page.

3 Click OK.

4 To apply the changes, click the Access Gateways link, then click Update > OK.
4.3.3 Configuring a Pin List

A pin list contains URL patterns for identifying objects on the Web. Access Gateway uses the list to prepopulate the cache, before any requests have come in for the content. This accelerates user access to the content because it is retrieved from a local cache rather than from an exchange with the Web server, which would read it from disk.

You can use the pin list to specify the following:

- Which objects you want to cache
- Which objects you never want cached

The pin list is global to Access Gateway and affects all protected resources. The objects remain in cache until their normal cache limits are reached or they are bumped out by more recently requested objects.

To configure a pin list:

2. Select the Enable Pin List option to enable the use of pinned objects. If this option is not selected, the pinned objects in the pin list are not used.
3. In the Pin List section, click New.
4. Fill in the following fields.
   - **URL Mask**: Specifies the URL pattern to match. For more information, see “URL Mask” on page 266.
   - **Pin Type**: Specifies how the URL is to be used to cache objects. Select from Normal and Bypass. For more information, see “Pin Type” on page 268.
5. To save the list item, click OK.
6. To save your changes to browser cache, click OK.
7. To apply the changes, click the Access Gateways link, then click Update > OK.

**URL Mask**

The URL mask can contain complete or partial URL patterns. A single URL mask might apply to a large set of URLs, or it might be so specific that only a single file on the Web matches it.

Access Gateway processes the masks in the pin list in order of specificity. A mask containing a hostname is more specific than a mask that specifies only a file type. The action taken for an object is the action specified for the first mask that the object matches.

Access Gateways recognizes four levels of specificity, using the following format:
<table>
<thead>
<tr>
<th>Level</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>hostname</td>
<td><a href="http://www.foo.gov/documents/picture.gif">http://www.foo.gov/documents/picture.gif</a></td>
</tr>
<tr>
<td></td>
<td><a href="http://www.foo.gov/documents/">http://www.foo.gov/documents/</a>*</td>
</tr>
<tr>
<td></td>
<td><a href="http://www.foo.gov">http://www.foo.gov</a></td>
</tr>
<tr>
<td></td>
<td>foo.gov/documents/*</td>
</tr>
<tr>
<td></td>
<td>foo.gov/*</td>
</tr>
</tbody>
</table>

All of these are classified as hostnames, and they are ordered by specificity. The first item in the list is considered the most specific and is processed first. The last item is the most general and is processed last.

path

<table>
<thead>
<tr>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>/documents/picture.gif</td>
</tr>
<tr>
<td>/documents/pictures.gif/*</td>
</tr>
<tr>
<td>/documents/*</td>
</tr>
</tbody>
</table>

Path entries are processed after hostnames. A leading forward slash must always be used when specifying a path, and the entry that follows must always reference the root directory of the Web server. In these examples, documents is the root directory.

The */ at the end of the path indicates that the entry is a directory. Its absence indicates that the entry is a file. In these examples, picture.gif is a file and pictures.gif/* and documents/* are directories.

If you enter a path without the trailing *, the path matches only the directory. With the trailing *, the path matches everything in the directory and its subdirectories.

These path entry examples are ordered by specificity. The objects in the /documents/picture.gif directory are processed before the objects in the /documents directory.

filename

<table>
<thead>
<tr>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>/picture.gif</td>
</tr>
<tr>
<td>/widget.js</td>
</tr>
<tr>
<td>/widget.jp*g</td>
</tr>
<tr>
<td>/picture*group.gif</td>
</tr>
<tr>
<td>/DailyTask</td>
</tr>
<tr>
<td>/DailyTask*</td>
</tr>
</tbody>
</table>

Filenames are processed after paths. A leading forward slash must always be used when specifying a filename.

You can add asterisks in the file names.

file extension

<table>
<thead>
<tr>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>/*.gif</td>
</tr>
<tr>
<td>/*.js</td>
</tr>
<tr>
<td>/*.htm</td>
</tr>
</tbody>
</table>

File extensions are processed last. They consist of a leading forward slash, an asterisk, a period, and a file extension.
NOTE: More than one wildcard is not allowed in a URL mask. For example, /*picture.gif is not correct.

Also, the wildcard must be only in the last part of the path. For example:

Correct: /picture/*.gif
Incorrect: /documents/*/picture.gif

Specific rules have precedence over less specific rules. Thus, objects matched by a more specific rule are always processed according to its conditions. If a less specific rule also matches the object, the less specific rule is ignored for the object. For example, assume the following two entries are in the pin list:

<table>
<thead>
<tr>
<th>URL Mask</th>
<th>Pin Type</th>
<th>Pin Links</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="http://www.foo.gov/documents/">http://www.foo.gov/documents/</a>*</td>
<td>normal</td>
<td>1</td>
</tr>
<tr>
<td><a href="http://www.foo">www.foo</a>*</td>
<td>bypass</td>
<td>N/A</td>
</tr>
</tbody>
</table>

The first entry, because it is most specific, caches the pages in the documents directory and follows any links on those pages and caches the linked pages. The second entry does not affect what the first entry caches, but it prevents any other domain extensions such as .com, .net, or .org whose DNS names begin with www.foo from being cached.

**Pin Type**

The pin type specifies how Access Gateway caches objects that match the URL mask.

- **Normal:** Access Gateway handles objects matching the mask in the same way it handles any other requested objects. In other words, the objects are cached but not pinned.

  Administrators often use this pin type in combination with a broad URL mask that has a bypass pin type. This allows them to insulate specific objects from the effects of the bypass rule.

  For example, you could specify a URL mask of /*.jpg with a pin type of bypass and a second URL mask of www.foo.gov/graphics/* with a pin type of normal. This causes all files, including .jpg files, in the graphics directory on the foo.gov Web site to be cached as requested. Assuming there are no other URL masks in the pin list, all other JPG graphics are not cached because of the /*.jpg mask.

- **Bypass:** Access Gateway does not cache the objects. In other words, you can use this option to prevent objects from being cached.

### 4.3.4 Configuring a Purge List

The purge list is global to Access Gateway and affects all protected resources. This option allows you to specify URL patterns or masks for the pages and sites whose objects you want to purge from cache.

When you specify the URL mask, do not specify a port. Ports are not stored in the cache file that is used to match the URLs that must be purged.

When defining the masks, keep in mind that Access Gateway interprets everything in the URL mask between the asterisk wildcard (*) and the following delimiter as a wildcard. Delimiters include the forward slash (/), the period (.), and the colon (:) characters. For example:
This option also allows you to purge cached objects whose URL contains a specified query string or cookie. This mask is defined by placing a question mark (?) at the start of the mask followed by text strings and wildcards as necessary. String comparisons are not case sensitive. For example, ?*=SPORTS purges all objects with the text =SPORTS or any other combination of uppercase and lowercase letters for =SPORTS following the question mark in the URL.

**IMPORTANT:** If you also configure a pin list, carefully select the objects that you add to the pin and purge lists. Make sure you don’t configure a pin list that adds objects to the cache and a purge list that removes the same objects.

<table>
<thead>
<tr>
<th>URL Mask</th>
<th>Effects</th>
</tr>
</thead>
<tbody>
<tr>
<td>/*.pdf</td>
<td>Causes all PDF files to be purged from cache.</td>
</tr>
<tr>
<td><a href="http://www.foo.gov/contracts/">www.foo.gov/contracts/</a>*</td>
<td>Causes all objects in the contracts directory and beyond to be purged from cache.</td>
</tr>
</tbody>
</table>

### 4.3.5 Purging Cached Content

You can select to purge the content of the purge list or all content cached on the server.

1. Click **Devices > Access Gateways**.
2. Select the name of the server, then click **Actions**.
3. Select one of the following actions:
   - **Purge List Now**: Click this action to cause all objects in the current purge list to be purged from the cache.
   - **Purge All Cache**: Click this action to purge the server cache. All cached content, including items cached by the pin list, is purged.
4. Click either **OK** or **Cancel**.

When you make certain configuration changes such as updating or changing certificates, changing the IP addresses of Web servers, or modifying the rewriter configuration, you are prompted to purge the cache. The cached objects must be updated for users to see the effects of such configuration changes. If your Access Gateways are in a cluster, you need to manage the purge process so your site remains accessible to your users. You must apply the configuration changes to one member of a cluster. When its status returns to healthy and current, issue the command to purge its cache. Then apply the changes to the next cluster member.

**IMPORTANT:** Do not issue a purge cache command when an Access Gateway has a pending configuration change. Wait until the configuration change completes.
4.3.6 Apache htcacheclean Tool

If you have caching issues with inodes, disk space, and cache corruption in Access Gateway, use Apache htcacheclean tool which is used to keep the size of mod_disk_cache’s storage within a certain limit. This tool can run either manually or in daemon mode. When running in daemon mode, it sleeps in the background and checks the cache directories at regular intervals for cached content to be removed.

The htcacheclean utility tool is located at:

Linux: /opt/novell/apache2/sbin

The default cache location is:

Linux: /var/cache/novell-apache2

Example: To clear 1024 MBytes of cache, run the following command:

Linux: ./htcacheclean -v -t -p/var/cache/novell-apache2 -l1024M

For more information about this tool, see htcacheclean - Clean up the disk cache (https://httpd.apache.org/docs/2.4/programs/htcacheclean.html).

4.4 Access Gateway Advanced Options

Access Gateway provides the following two types of advanced options:

- **Global options**: These settings are applied to all reverse proxies, unless the option is overwritten by an advance proxy service level setting. The global advanced options are disabled by default. See Section 4.4.1, “Configuring Global Advanced Options,” on page 270.

- **Proxy service level options**: These settings are valid only for the proxy service for which you enable these. See Section 4.4.2, “Configuring Advanced Options for Domain-Based and Path-Based Multi-Homing Proxy Services,” on page 278.

4.4.1 Configuring Global Advanced Options

Perform the following steps to configure Access Gateway global advanced options:


2. To activate these options, configure the value, save your changes, then update Access Gateway.

To deactivate these options, add the pound (#) symbol.
### Table 4-1  Access Gateway Global Advanced Options

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
</table>
| NAGGlobalOptions FlushUserCache=on| Specifies whether cached credential data of the user is updated when the session expires or the user changes an expiring password. This option is equivalent to PasswordMgmt in the 3.1 SP4 Access Gateway Appliance.  
- When this option is on, which is the default setting, the credentials and the Identity Injection data are refreshed.  
- When this option is turned off, the cached user data can become stale.  
For example, if your password management service is a protected resource of Access Gateway and this option is turned off, every time a user changes an expiring password, the user’s data is not flushed and Access Gateway continues to use stale data for that user. |
| NAGGlobalOptions DebugHeaders=on  | When this option is enabled, an X-Mag header is added with debug information. The information can be seen in sniffer traces and with plug-ins such as ieHTTPHeaders, Live HTTP Headers, and FireBug.  
This option must only be enabled when you are working with the Product Support and they instruct you to enable the option. |
| NAGGlobalOptions DebugFormFill=on | When this option is enabled, additional debug information related to the processing of a Form Fill policy is added to the Apache error log files (error_log file under /var/log/novell-apache2 and to the X-Mag header in the response to browser. The Form Fill entries generated by this option begin with a FF: marker.  
For example, Oct 23 12:38:29 mag326 httpd[29345]:  
| NAGGlobalOptions ESP_Busy_Threshold=<value> | Proxy starts sending errors to the browser if ESP’s average response time in the last one minute is more than the specified value (time in milliseconds). |
| NAGGlobalOptions noTOPR         | Disables the activity based time-out in proxy. The proxy redirects browser requests after soft timeout of configured timeout value.  
This option is equivalent to disabletoppr in the 3.1 SP4 Access Gateway Appliance. |
### Advanced Option Description

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
</table>
| NAGGlobalOptions InPlaceSilent=on | This enables single sign on to certain Web sites that require the login page to remain as is without any modifications to its structure.  
If you are using this advanced option for a Form Fill on a page with multiple forms, by default, the first form is posted. If you want to post forms other than the first form, use NAGGlobalOptions InPlaceSilentPolicyDoesSubmit=on. For more information, see TID 7011817.  
This option is equivalent to .enableInPlaceSilentFill in the 3.1 SP4 Access Gateway Appliance. |
| NAGGlobalOptions ForceUTF8 | When this option is enabled, Access Gateway uses the UTF-8 character set to serve the Form Fill page to the browser.  
This option is equivalent to forceUTF8Charset in the 3.1 SP4 Access Gateway Appliance. |
| NAGGlobalOptions AllowMSWebDavMiniRedirect=on | This option helps the user to disable the following functionality, which is enabled by default. If a Microsoft Network Places client sends an OPTIONS request with MS-WebDAV-MiniRedirect useragent to Access Gateway, then it receives 409 conflict response. The client uses this response to change the user agent to MS Data Access Internet Publishing Provider DAV.  
This option is equivalent to AllowMSWebDavRedirect in the 3.1 SP4 Access Gateway Appliance. |
| NAGGlobalOptions noURLNormalize=on | When this option is enabled, it disables the URL normalization protection for back-end Web servers. This option resolves issues in serving Web content from Web servers that have double-byte characters such as Japanese language characters.  
By default, this option is set to off and URL is normalized before sending it to back end Web server. |
| NAGAdditionalRewriterScheme webcal:// | When this option is enabled, the rewriter rewrites URLs that have a scheme of webcal://. The default rewriter configuration only rewrites URLs with a scheme of http:// or https://. |
| NAGGlobalOptions AppendProviderID=on | When this option is enabled, it displays the ESP Provider ID in Access Gateway authorization audit logs. This option helps to know the issues related to ESP provider ID in the audit log file. |
| NAGGlobalOptions InPlaceSilentPolicyDoesSubmit=on | This option must be used to fill forms with complex JavaScript or VBScripts.  
This option is equivalent to .enableInPlaceSilentFillNew in the 3.1 SP4 Access Gateway Appliance. |
| NAGGlobalOptions NAGErrorOnIPMismatch=off | In Access Manager 4.3, this option has been merged with Advanced Session Assurance and called as Client IP.  
For more information, see Chapter 11, “Setting Up Advanced Session Assurance,” on page 841. |
| NAGGlobalOptions NAGDisableExternalRewrite=on | Access Gateway does not insert the path for the links with external published DNS when you enable this option.  
This option is equivalent to .disableExternalDNSRewrite in the 3.1 SP4 Access Gateway Appliance. |
<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>DisableGWSHealth on on</td>
<td>When this option is enabled, Access Gateway does not check health of the Web server with the back-end server.</td>
</tr>
<tr>
<td></td>
<td>This option is equivalent to .disableWSHealth in the 3.1 SP4 Access Gateway Appliance.</td>
</tr>
<tr>
<td>NAGIchainCookieVersion on on</td>
<td>When this option is enabled, Access Gateway sends the proxy session cookie to the back-end server as IPCZQX01&lt;clusterid&gt;.</td>
</tr>
<tr>
<td>IgnoreDNSServerHealth on on</td>
<td>When this option is used, Access Gateway does not send the DNS server health status when Access Gateway health is reported to Administration Console.</td>
</tr>
<tr>
<td></td>
<td>When you set the option to IgnoreDNSServerHealth off &lt;lookupname&gt;, Access Gateway sends a DNS query with the specified &lt;lookupname&gt;. Access Gateway sends a successful message to Administration Console if it connects to the DNS server, else it will send an unable to connect message. By default if you have not specified any option, Access Gateway sets the option as IgnoreDNSServerHealth off <a href="http://www.novell.com">www.novell.com</a>.</td>
</tr>
<tr>
<td></td>
<td>This option is equivalent to ignoreDnsServerHealth in the 3.1 SP4 Access Gateway Appliance.</td>
</tr>
<tr>
<td>NAGGlobalOptions</td>
<td>Set this option to off to prevent the session ID from getting changed automatically. By default, this option is set to on</td>
</tr>
<tr>
<td>NAGRenameCookie=on</td>
<td>Setup a firewall between Access Gateway and the back-end Web server. When Access Gateway performs heartbeat check with a simple TCP connect to the Web server, the Web server may throw a TLS handshake error. This may cause the firewall, after a certain threshold, to block the connection. This option enables Access Gateway to perform a SSL handshake while performing a heartbeat check on the back-end SSL-enabled Web server so that the Web server does not respond with a TLS handshake error. By default, Access Gateway performs a simple TCP connect while performing a heartbeat check on the back-end Web server.</td>
</tr>
<tr>
<td>NAGGlobalOptions IIRemoveEmptyHeaderValue</td>
<td>This option enables the Identity Injection policy not to send an empty header with null value when a value is not available. By default, Access Gateway sends an empty header with a null value if a value is not available.</td>
</tr>
<tr>
<td></td>
<td>For example, applications may have a public and a protected resource configured. Both resources may use an identity injection policy such as to inject an USERID. The public resource uses the user name if authenticated. If the user accesses the public resource (before authentication), Access Gateway sends an empty header variable USERID. Web servers may not handle an empty header and may respond with an error. In such a scenario use the advanced option to stop Access Gateway from sending an empty header with null value.</td>
</tr>
<tr>
<td>DumpHeaders on</td>
<td>These options ensure that the proxy, logs the user headers to /var/opt/novell/nam/logs/mag/apache2/error_log file.</td>
</tr>
</tbody>
</table>
SSLProxyVerifyDepth=3

Specifies how many certificates are in a Web server certificate chain. When you activate the verification of the Web server certificate with the Any in Reverse Proxy Trust Store and the public certificate is part of a chain, you need to specify the number of certificates that are in the certificate chain.

For more information about configuring Web servers for SSL, see Section 17.5, "Configuring SSL between the Proxy Service and the Web Servers," on page 883.

The default search level that is when the attribute SSLProxyVerifyDepth is commented to 1, if the number of certificates in the Web server certificate chain is greater than 1, then the SSLProxyVerifyDepth option must be enabled and must be assigned to the respective value (equal to the number of certificates in the chain).

ProxyErrorOverride

Allows you to specify which errors you want returned to the browser unchanged by the Gateway Service. The default behavior of the Gateway Service is to replace Web server errors with Gateway Service errors.

However, some applications put more information, such as keys and JavaScript in the message. If this information is critical, specify an override and allow the error message to be returned to the browser without any modifications.

For example, NetStorage requires an override for the 401 error because it includes a key in the 401 error. The portal page for the Novell Open Enterprise Server requires an override for error 403 because it includes JavaScript.

You can use the following syntax to set this option:

- ProxyErrorOverride on -401 -403: Allows all errors to be changed to Gateway Service errors except errors 401 and 403, which are sent unchanged.
  
  This syntax allows you to list the few errors you want to forward without change while allowing all the others to be changed to Gateway Service errors.

- ProxyErrorOverride off +401 +403: Disables the changing of Web server errors to Gateway Service errors except for errors 401 and 403, which are changed to Gateway Service errors.
  
  Use this option when you have only a few errors that you want changed to Gateway Service errors.

NOTE: Enable the error codes 401 and 403 for override if you are using Identity Manager 4.0 with Role Mapping Administrator.

NAGErrorOnDNSMismatch

If SSL is not enabled in reverse proxy, an error message stating Host Name Does Not Match is displayed.
### Advanced Option Description

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
</table>
| SSLHonorCipherOrder | This option enables you to customize the SSLCipherSuite used by Access Gateway. This helps you in taking preventive measures when new vulnerabilities are published. To avoid Browser Exploit Against SSL/TLS (BEAST) attacks, use the advanced option as follows:  
SSLHonorCipherOrder on  
SSLCipherSuite !aNULL:!eNULL:!EXPORT:!DSS:!DES:RC4-SHA:RC4-MD5:ALL |
| NAGGlobalOptions onFormFillPolicyRedirUseHttp=on | This option enables Access Gateway to redirect based on HTTP status code 302 along with the location header when Form Fill policy requires redirect. By default, Access Gateway uses JavaScript to trigger redirect in Form Fill policy. You can use this advanced option when there are issues with JavaScript redirects. |
| NAGGlobalOptions NoAuthHdrWithoutPwd=on | This option restricts sending the authorization header with Identity Injection policy when a password is unavailable. For example, When users authenticate with Kerberos contract. This option is set to off by default. |
| NAGLAGCompatiability on | This option enables sharing of session information between the 3.1 SP4 Access Gateway Appliance and the 4.0 Access Gateway Appliance during the process of migration. This option is added by default during the process of migration to ensure communication between the two appliances. You can disable or remove this option after the migration is complete. |
| NAGSendURLinErrorResponses on | This option will not include a href when you access a protected resource and a 302 redirect occurs. |
| AllowEncodedSlashes NoDecode | When this option is enabled, URLs are accepted, but encoded slashes are not decoded. For example, the server accepts the encoded URL www.example.com%2Ffinance, but does not try to decode the encoded slash (%2F for /). |
| NAGGlobalOptions ExcludeDNSFull on | When this option is set to on, the DNS name is excluded from being rewritten by that domain. The HTML Rewriting does not happen when the backend DNS name is included in the Exclude DNS Name list. |
| NAGGlobalOptions NoAuthHdrWithoutPwd=on | This option restricts sending the authorization header with Identity Injection policy when a password is unavailable. For example, When users authenticate with Kerberos contract. This option is set to off by default. |
| SetStrictTransportSecurity off | Set this option to off if you want to disable HTTP Strict Transport Security. By default, it is set to on. |

**NOTE:** This option is available in Access Manager 4.3 Service Pack 1 and later versions.
### Advanced Option Description

**NAGGlobalOptions**

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SetHashedCookiesInResponse=</td>
<td>Access Manager 4.3 and later prints only the hashed values of all IPC and AGIDC cookies in the log files. When this advanced option is set to on, Access Gateway sets these hashed values of IPC and AGIDC cookies into browsers with the name IPCZQX0354154289-Hash and AGIDC0354154289-Hash.</td>
</tr>
<tr>
<td><strong>NOTE:</strong> This option is available in Access Manager 4.3 Service Pack 1 and later versions.</td>
<td></td>
</tr>
<tr>
<td>NAGSessionKey Default</td>
<td>For additional security in case of cross-domain authentication, Access Gateway session cookie is encrypted before sending it as a URL query parameter. For example, NAGSessionKey NAM-CROSS-DOMAIN-SESSION-KEY-ENCRYPTION-PASSWORD.</td>
</tr>
</tbody>
</table>

For more information, see Table 4-2 on page 278.

### 4.4.1.1 Options to Clean Up Thick Client State At Browser

When Access Gateway detects the idle timeout, the user is redirected to Identity Server for authentication. If the content type and URL pattern used by the client (as defined in the advanced options NAGUrlPattern and NAGContentType), the user must be redirected to a pre-defined timeout as defined in the NAGAuthFrontChannel advanced option. The redirected URL also contains...
additional information such as ESP login URL, the contract name, and the landing page URL as defined in the advanced options. The following advanced options must be used together to clean up the thick client:

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAGLauncher</td>
<td>URL that launches the client.</td>
</tr>
<tr>
<td>NAGUrlPattern /messagebroker/*</td>
<td>URL pattern that identifies if a specific request came from a client.</td>
</tr>
<tr>
<td>NAGContentType</td>
<td>Content type in the Request header that is used to identify if the request is a client.</td>
</tr>
<tr>
<td>NAGAuthBackChannel</td>
<td>Timeout handler on the server.</td>
</tr>
<tr>
<td>NAGAuthFrontChannel</td>
<td>Timeout handler on the server which includes the published DNS name of the server.</td>
</tr>
</tbody>
</table>

### 4.4.1.2 Enabling Cookie Mangling

When you log out of Access Manager, the Access Manager session cookies is invalidated on all Identity Servers and Access Gateway servers. However, the application session cookie is left unchanged on the browser and on the origin web server. If a different user authenticates to Access Manager again on the same browser and accesses the proxied web server, the browser may resume the previously established HTTP session with the web server so that the new user inherits the old logged out users session. The Cookie Mangling feature in Access Gateway prevents this scenario by manipulating the application cookies set by the web servers, and invalidating these cookies when a user logs out of Access Manager.

The two advanced Access Gateway options required to enable this functionality are NAGHostOptions mangleCookies and NAGWSMangleCookiePrefix. By default, the option NAGHostOptions mangleCookies is disabled.

To enable this feature, add the options NAGHostOptions mangleCookies=on and NAGWSMangleCookiePrefix <AnyString> in the Global Advanced Option.

Use the NAGWSMangleCookiePrefix <AnyString> option to specify the string added to the application cookie after manipulation. You can replace <AnyString> with a string of your choice. For example, adding the NAGWSMangleCookiePrefix AGMANGLE results in the Set-Cookie: AGMANGLEa50b_DzkN=5a8G0 application level cookie set in the browser.

### 4.4.1.3 URL Attribute Filter

This feature lets you define filtering options for each proxy service. It helps in filtering out specified URLs from the ones audited as part of the URL Accessed audit event. These filtered out URLs are not displayed in the Audit Server. This is helpful where auditing every URL is not required and may increase the load on the Audit Server. Unnecessary URLs such as, public images, public javascripts, css, and favicons can be ignored from auditing. The option to set this feature is NAGFilteroutUrlForAudit <regular expression>. This option must be added to the Advanced options section of each service. The regular expression is standard perl based regular expressions. For more information, see Regular Expressions (http://perldoc.perl.org/perlre.html).

Each URL (path?querystring) is matched against this expression. If the match is successful, the URL will not be audited for URL access. For example, NAGFilteroutUrlForAudit ".*\.jpg" and NAGFilteroutUrlForAudit ".*\.gif". If these options are added to a service, all the *.jpg and *.gif files accessed will not be audited under the 'URL Accessed' audit event.
NOTE: Enabling URL Accessed audit events in Access Gateway can overload the Audit subsystem if the number of requests sent to Gateway per second is high. This may result in a delay in web pages getting loaded. It is recommended to use the http common/extended logging option for this purpose.

### 4.4.2 Configuring Advanced Options for Domain-Based and Path-Based Multi-Homing Proxy Services

Perform the following steps to configure the advanced options for domain-based and path-based multi-homing proxy service:


2. Configure the advanced option by removing the pound(#) symbol.

To disable an option, add the # symbol in front of the option, save your changes, then update Access Gateway.

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAGHostOptions mangleCookies=on</td>
<td>This option invalidates the cookies set by the Web server when the user logs out of Access Manager. By default, Access Gateway does not mangle the cookies that are sent by the web server. Proxy mangles the cookies that are sent by the web server using the user information and sets these mangled cookies at the browser. When a browser sends the mangled cookies to proxy, it de-mangles them using the user information and sends the de-mangled cookies to the web server. For more information about this option, see “Enabling Cookie Mangling” on page 277.</td>
</tr>
<tr>
<td>NAGWSMangleCookiePrefix</td>
<td>Use the NAGWSMangleCookiePrefix &lt;AnyString&gt; option to specify the string added to the application cookie after manipulation. For more information about this option, see “Enabling Cookie Mangling” on page 277.</td>
</tr>
<tr>
<td>NAGFilteroutUrlForAudit</td>
<td>You can add this option to proxy service that filters out specific URLs from auditing (URL Accessed). For example, NAGFilteroutUrlForAudit &quot;.<em>.jpg&quot;, and NAGFilteroutUrlForAudit &quot;.</em>.gif&quot;.</td>
</tr>
<tr>
<td>CacheIgnoreHeaders</td>
<td>Prevents Access Gateway from writing any Authorization headers to disk. This option is enabled by default, because writing Authorization headers to disk is a potential security risk. You can allow Authorization headers to be written to disk by placing a pound (#) symbol in front of the option or by setting it to None. For more information about this Apache option, see “CacheIgnoreHeaders Directive” (<a href="http://httpd.apache.org/docs/2.2/mod/mod_cache.html#cacheignoreheaders">http://httpd.apache.org/docs/2.2/mod/mod_cache.html#cacheignoreheaders</a>).</td>
</tr>
</tbody>
</table>

**NOTE:** All the path-based services under the domain-based service will inherit the new value.
<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CacheMaxFileSize</td>
<td>Configuring this option in the Advanced Options of a proxy service allows you to set the size of the file that can be stored in the cache. By default the size is set to 5 MB. Add the line CacheMaxFileSize &lt;bytes&gt;, for example, CacheMaxFileSize 9990000. <strong>NOTE:</strong> All the path-based services under the domain-based service will inherit the new value.</td>
</tr>
<tr>
<td>NAGChildOptions WebDav=/Path</td>
<td>Allows the proxy service to handle the specified path. Remove the pound (#) symbol and replace /Path with the path you want the proxy service to handle.</td>
</tr>
<tr>
<td>ProxyPassIgnorePathCase on</td>
<td>Use this option to make the path-based multi-homing path URL case-insensitive. For example, if you have set up a path based proxy /profile in Administration Console and the end user wants to access the URL <a href="https://www.lagssl.com/Profile/Security/login.aspx">https://www.lagssl.com/Profile/Security/login.aspx</a> and not <a href="https://www.lagssl.com/profile/Security/login.aspx">https://www.lagssl.com/profile/Security/login.aspx</a>. By default, the URL path is case-sensitive.</td>
</tr>
<tr>
<td>NAGPostParkingSizeInKiloBytes</td>
<td>This option allows you to change the post data parking size limit if an error occurs after you post large data (more than 56 Kilobytes in size) after a session timeout.</td>
</tr>
<tr>
<td>SSLProtocol</td>
<td>This option is supported by Access Gateway when listening as a server to clients (typically browsers). This directive specifies SSL protocols for mod_ssl to use when establishing the server environment. Clients can only connect with one of the specified protocols. The accepted values are SSLv3, TLSv1, TLSv1.1, TLSv1.2 and all of these. The syntax for this is SSLProtocol [+/-]protocol. For example, SSLProtocol +SSLv3. For more information about configuring the SSL versions, see Apache documentation.</td>
</tr>
<tr>
<td>SSLProxyProtocol</td>
<td>This option is supported by Access Gateway when the reverse proxy is connecting to the backend web servers. This directive specifies SSL protocols for mod_ssl to use when establishing a proxy connection in the server environment. Proxies can only connect with one of the specified protocols. The accepted values are SSLv3, TLSv1, TLSv1.1, TLSv1.2 and all of these. The syntax for this is SSLProxyProtocol [+/-]protocol. For example, SSLProxyProtocol +SSLv3. For more information about configuring the SSL versions, see Apache documentation.</td>
</tr>
<tr>
<td>For Windows:</td>
<td>This option prevents failure in SSL connection between Access Gateway and webserver, when a self-signed certificate is used. To prevent this, import the webserver certificates to the proxy trust store. After importing, the webserver certificates, use this advanced option.</td>
</tr>
<tr>
<td>SSLProxyCACertificateFile &quot;C:\Program Files\Novell\apache\cacerts\myserver.pem&quot;</td>
<td></td>
</tr>
<tr>
<td>For Linux:</td>
<td></td>
</tr>
<tr>
<td>SSLProxyCACertificateFile /opt/novell/apache2/cacerts/myserver.pem.</td>
<td></td>
</tr>
</tbody>
</table>
## Advanced Option Description

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>FailOnStatus error code1, error code 2, error code3</td>
<td>Back-end servers may return an error code instead of being timed out. Access Gateway keeps sending requests to a web server, even if the web server returns error codes. To prevent sending Access Gateway requests to such web servers, you can use this advanced option.</td>
</tr>
<tr>
<td>AdditionalBalancerMemberOptions</td>
<td>The proxy server checks the web server for each new session request at an interval of one minute by default. You can configure this advanced option to specify a different interval.</td>
</tr>
<tr>
<td></td>
<td>For example, specify <code>AdditionalBalancerMemberOptions retry=180</code>, where 180 is in seconds.</td>
</tr>
<tr>
<td></td>
<td>You can set the following parameters for this option:</td>
</tr>
<tr>
<td></td>
<td>• min</td>
</tr>
<tr>
<td></td>
<td>• max</td>
</tr>
<tr>
<td></td>
<td>• smax</td>
</tr>
<tr>
<td></td>
<td>• acquire</td>
</tr>
<tr>
<td></td>
<td>• connectiontimeout</td>
</tr>
<tr>
<td></td>
<td>• disablerese</td>
</tr>
<tr>
<td></td>
<td>• flushpackets</td>
</tr>
<tr>
<td></td>
<td>• flushwait</td>
</tr>
<tr>
<td></td>
<td>• ping</td>
</tr>
<tr>
<td></td>
<td>• loadfactor</td>
</tr>
<tr>
<td></td>
<td>• redirect</td>
</tr>
<tr>
<td></td>
<td>• retry</td>
</tr>
<tr>
<td></td>
<td>• status</td>
</tr>
<tr>
<td></td>
<td>For more information about these parameters, see Apache Module <code>mod_proxy</code>.</td>
</tr>
<tr>
<td></td>
<td>The following parameters are not supported:</td>
</tr>
<tr>
<td></td>
<td><code>keepalive</code>, <code>lbset</code>, <code>route</code>, <code>timeout</code>, <code>and ttl</code></td>
</tr>
<tr>
<td>RWOutboundHeaderQueryString on</td>
<td>This option enables outbound header query string rewriting.</td>
</tr>
<tr>
<td>NAGAddProxyHeader on</td>
<td>When this option is set to off, Access Gateway will not send the XForwarded Headers to the back-end web server.</td>
</tr>
<tr>
<td></td>
<td>By default, this option is set to on.</td>
</tr>
<tr>
<td>NAGHostOptions DisableIDC on</td>
<td>This disables Advance Session Assurance for small lived session IDs.</td>
</tr>
<tr>
<td></td>
<td>Set to off to enable Advance Session Assurance for session ID.</td>
</tr>
<tr>
<td></td>
<td>For more information, see “Disabling Advanced Session Assurance for Access Gateway Proxy Services” on page 847.</td>
</tr>
</tbody>
</table>
### Advanced Option Description

<table>
<thead>
<tr>
<th>Advanced Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAGHostOptions DisableSFP on</td>
<td>This disables server-side fingerprinting Session Assurance. Set to off to enable server-side fingerprinting Session Assurance. For more information, see “Disabling Advanced Session Assurance for Access Gateway Proxy Services” on page 847.</td>
</tr>
<tr>
<td>NAGHostOptions primaryWebdav=&lt;path of pbmh service&gt;</td>
<td>This option enables users who use the Microsoft Network Places client to connect to the WebDAV folders of a SharePoint server when the SharePoint server has been configured as a path-based multi-homing service on Access Gateway. This must be added to master proxy service Advanced Options whose path based child services accelerates webdav resources with remove path on fill option enabled. This option is equivalent to .modifyRequestURI in the 3.1 SP4 Access Gateway Appliance.</td>
</tr>
<tr>
<td>NAGHostOptions webdavPath=_vti_bin</td>
<td>This can be added to master proxy service Advanced Options which path based child services with remove path on fill option enabled accelerating webdav resources.</td>
</tr>
<tr>
<td>NAGChildOptions WebDav=&lt;path of pbmh service&gt;</td>
<td>This option can be added to any path based service that accelerates webdav resources with remove path on fill enabled. This option is equivalent to .modifyRequestURI in the 3.1 SP4 Access Gateway Appliance.</td>
</tr>
</tbody>
</table>

For the list of global advanced options, see Table 4-1 on page 271.

### 4.5 Analytics Server Configuration

This section describes the configuration settings that affects the Analytics server, such as changing its name, updating the health status from the server, and restarting it.

- Section 4.5.1, “Managing Analytics Server,” on page 282
- Section 4.5.2, “Managing General Details of Analytics Server,” on page 283
- Section 4.5.3, “Analytics Server Cluster Configuration,” on page 284
- Section 4.5.4, “Managing Details of a Cluster,” on page 284
- Section 4.5.5, “Configuring Analytics Server,” on page 285
- Section 4.5.6, “Forwarding Events from Sentinel Server to Analytics Server,” on page 286
- Section 4.5.7, “Importing Analytics Server,” on page 288
4.5.1 Managing Analytics Server

The following sections contain information about settings available with Analytics Server, changing the settings, and their impact on users:

- Section 4.5.1.1, “Viewing Server Settings,” on page 282
- Section 4.5.1.2, “Configuration Options,” on page 283

4.5.1.1 Viewing Server Settings

Use the Analytics Servers page to view the status of Analytics Server, to view the health, and to perform other actions such as creating a cluster or stopping, refreshing, and starting Analytics Server.

1. Click Devices > Analytics Server.
2. Select one of the following:
   - **Analytics-Cluster:** To create a new cluster of Analytics Server, click Analytics-Cluster. A cluster can be one or more Analytics Server machines.
     For configuration information, see “Configuring Analytics Server” on page 285.
   - **Stop:** To stop an Analytics Server, select the server, then click Stop. You must have physical access to the Analytics Server machine to start it again.
   - **Restart:** To reboot an Analytics Server machine, select the machine name, then click Restart. Analytics Server is stopped, the operating system reboots, then the machine is started.
   - **Refresh:** To update the list of Analytics Server machines and the status columns (Status, Health), click Refresh.
3. To perform the delete action available in the Actions drop-down menu, select Analytics Server, then select the following:
   - **Delete:** To remove the selected Analytics Server from the list of servers that can be managed from this Administration Console. If that Analytics Server is a member of a cluster, you must first disable the cluster configuration before you can delete it.

**IMPORTANT:** When an Analytics Server is deleted from an Administration Console, you can no longer manage it. To access it again or to access it from another Administration Console, you must manually import Analytics Server by running reimport_ar.sh in the /opt/novell/nam/scripts directory. For more information about re-importing Analytics Server, see Section 4.5.7, “Importing Analytics Server,” on page 288.

4. Use the following to manage an Analytics Server machine or a cluster.
   - **Name:** Displays a list of Analytics Server machines and the clusters that can be managed from Administration Console.
     - To view or modify the general details of a particular server, click the name of the server.
     - To view or modify general details of a cluster, click the name of the cluster.
   - **Status:** Indicates the configuration status of the clusters and Analytics Server. Possible states are pending, update, current, and update all. For more information, see “Configuration Options” on page 283.
Health: Indicates whether an Analytics Server machine or a cluster is functional. Click the icon to view additional information about the operational status of an Analytics Server.

- For information about the health of a specific Analytics Server machine, click the health icon on the Analytics Server row. For more information, see Section 24.5, “Monitoring the Health of Analytics Server,” on page 984.
- For information about the health of an Analytics Server cluster, click the health icon on the cluster row. For more information, see Section 24.5.2, “Monitoring the Health of Analytics Server Cluster,” on page 985.

Commands: Indicates the status of the last executed command and whether any commands are pending. Click the link to view more information. For more information, see Section 23.3, “Viewing the Command Status of the Analytics Server,” on page 976.

### 4.5.1.2 Configuration Options

1. In Administration Console Dashboard, click Devices > Analytics Server.
2. View the Status column and make changes as necessary.

<table>
<thead>
<tr>
<th>Status</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current</td>
<td>Indicates that all configuration changes are applied.</td>
</tr>
<tr>
<td>Update</td>
<td>Indicates that a configuration change is made, but not applied. To apply the changes, click the Update link.</td>
</tr>
<tr>
<td>Update All</td>
<td>This link is available when a server belongs to a cluster. You can select to update all the servers at the same time, or you can select to update them one at a time. We recommend that you update the servers one at a time.</td>
</tr>
<tr>
<td>Update</td>
<td>If there is an error when you update the server, the Update link is disabled and the Configuration Error icon is displayed.</td>
</tr>
<tr>
<td>Update All</td>
<td>If there is an error when you click Update All, the member Update links are disabled and the Configuration Error icon is displayed.</td>
</tr>
<tr>
<td>Pending</td>
<td>Indicates that the server is processing a configuration change, but has not completed the process.</td>
</tr>
</tbody>
</table>


**NOTE:** To view the graphs on Analytics dashboard you must enable the respective events in Identity Server and Access Gateway. For information about enabling required events, see Section 18.5, “Enabling Events for Each Graph,” on page 889.

### 4.5.2 Managing General Details of Analytics Server

The Server Details page allows you to perform general maintenance action on the selected Analytics Server.

1. Click Devices > Analytics Server > [Name of Analytics Server].
2. Click Edit.
To edit the general details of Analytics Dashboard, see "Changing the Name of an Analytics Server and Modifying Other Server Details" on page 284.

3 Click Close.

4.5.2.1 Changing the Name of an Analytics Server and Modifying Other Server Details

The default name of an Analytics Server is its IP address. You can change this to a more descriptive name and modify other details that can help you identify one Analytic Server from another.

1 Click Devices > Analytics Server > [Name of Analytics Server] > Edit.

2 Modify the values in the following fields:
   
   **Name**: Specify the Administration Console display name for Analytics Server. This is a mandatory field. The default name is the IP address of Analytics Server. If you modify the name, the name must include alphanumeric characters and can include spaces, hyphens, and underscores.
   
   **Management IP Address**: Specify the IP address that is used for managing Analytics Server. It is recommended not to change this IP address.
   
   **Port**: Specify the port to use for communication with the Administration Console.
   
   **Location**: Specify the location of Analytics Server. This is optional, but useful if your network has multiple Analytics Server machines.
   
   **Description**: Describe the purpose of this Analytics Server. This is optional, but useful if your network has multiple Analytics Server.

3 Click OK > Close.

When you click OK, changes are immediately applied to Analytics Server.

4.5.3 Analytics Server Cluster Configuration

To use the cluster with high availability, you must perform the steps mentioned for "Deploying Analytics Server for High Availability" in NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

**NOTE**: After following the steps for deploying Analytics Server for high availability, you can view both Active and Standby nodes. To use Analytics Server in high availability mode, you must enable cluster configuration in Administration Console.

There can be only two servers in an Analytics Server high availability cluster. Both servers will use the virtual IP address that you create after installing Analytics Server in cluster mode. This IP address is an external IP address for Analytics server.

The following sections describe how to set up and manage a cluster of Analytics Server.

4.5.4 Managing Details of a Cluster

1 Click Devices > Analytics Server > Analytics-Cluster.

2 Specify the following details:
   
   **Cluster Name**: Specify a display name for the cluster.
**Primary Server:** Specify the IP address of the primary server. The Access Manager reports and Analytics Dashboard are displayed from this server.

**NOTE:** When you change the IP address in **Primary Server**, the **Secure Logging Server** settings are changed. Hence, you must configure Syslog for auditing again. For more information about configuring the settings, see Section 19.1.2, “Configuring Syslog for Auditing,” on page 904.

3. In the **Server Name** list, select the server that you want to add as a member of the cluster. You can create a cluster of one additional server.

   When you add a server to the cluster, it adds about 30 seconds to the time it takes to configure the cluster because certificates must be synchronized and configuration options must be sent to that server.

4. Click **OK**.

### 4.5.5 Configuring Analytics Server

The configuration page allows you to view the configuration of a cluster or an Analytics Server machine. You can specify the log level and also configure the geolocation provider either for a particular server or for a cluster. The Analytics server uses this information to generate logs and reports.

To configure the settings for Analytics Server or a cluster, perform the following in Administration Console:

1. Click **Devices > Analytics Server**.
2. In the cluster row, click **Edit**.
3. In the **Log level** field, select the required log level from the list.

<table>
<thead>
<tr>
<th>Log level</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>severe</td>
<td>Sends only messages that render the system unusable, if they are not resolved.</td>
</tr>
<tr>
<td>warning</td>
<td>Sends warning messages.</td>
</tr>
<tr>
<td>info</td>
<td>Sends informational messages such as requests sent to Web servers and the results of authentication requests.</td>
</tr>
<tr>
<td>debug</td>
<td>Sends debug messages</td>
</tr>
</tbody>
</table>

4. In the **Geolocation Provider** field, click the edit icon.
5. Select **Enable Location Profiling** to fetch location data from a geolocation database. This helps to identify the location of the user based on the IP address details.
6. Select a Geolocation Provider. The available options are:
### Setting Up an Advanced Access Manager Configuration

Analytics Dashboard uses this detail to plot the Geolocation of Users graph.

7. **(Conditional)** If you have deployed Analytics Server for high availability, then in the Cluster Configuration section, set the Clustering is Configured: field to Yes and specify the same virtual IP address in the Cluster's Virtual IP: field that you had specified during installation. For more information, refer NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

**NOTE:** You cannot disable the high availability configuration. Even when you set the Clustering is Configured: field to No, the cluster configuration for active and standby nodes remains unchanged.

When you set this field to No, the virtual IP address is not used and you have to change the server details for auditing. For more information about changing the server details for auditing, see Section 19.1.1, “Specifying the Logging Server and Console Events,” on page 903.

### 4.5.6 Forwarding Events from Sentinel Server to Analytics Server

If you have an existing audit server as Sentinel Server and require a graphical view of Access Manager events by using Analytics Dashboard, then you can forward the audit events from Sentinel Server to Analytics Server by using Sentinel link connector.

When Analytics Server is installed and configured, Access Manager displays the following message:

*Analytics Server will be functional only when it is set as the Audit Server.*

If you want to continue using Sentinel server as the audit server, then you can ignore this message. To view the graphical view of the audit events in Analytics Dashboard you can perform the following steps:

To forward the events you must perform the following:

1. **Configure Analytics Server to receive events.**
   1a. Log in to Access Manager Administration Console
   1b. Click Devices > Analytics Server > Reports
   1c. Log in to Analytics Server with the Analytics Server administrator credentials
   1d. Click admin > Applications
   1e. Click Launch Control Center
   1f. Click Event Source Management > Live view
   1g. Click on the Table tab, then click the expand symbol (+) next to Sentinel
   1h. Right click Sentinel Server, then click Add Event Source Server
   1i. Select Sentinel Link from the installed connectors list, then click Next

<table>
<thead>
<tr>
<th>Database</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neustar Service</td>
<td>• Specify the API Key and API Secret.</td>
</tr>
<tr>
<td></td>
<td>• Specify the Web Service URL.</td>
</tr>
<tr>
<td>Custom Provider</td>
<td>• Specify a name to identify the provider.</td>
</tr>
<tr>
<td></td>
<td>• Specify the fully qualified name of the JAVA class.</td>
</tr>
<tr>
<td></td>
<td>• Click Add Property to add properties to the custom class.</td>
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</tr>
<tr>
<td></td>
<td>• Specify the fully qualified name of the JAVA class.</td>
</tr>
<tr>
<td></td>
<td>• Click Add Property to add properties to the custom class.</td>
</tr>
</tbody>
</table>
1. Configure network settings by specifying Port Number for Event Source Server, then click Next. The default port is 1290.

2. Continue with the default configuration for Security and Auto Configuration.

3. In the General dialog box, select the Run icon. This allows the connector to run on 1290 so that Sentinel Server can connect with Analytics Server.

4. Click Finish.

5. Click the expand symbol (+) next to Sentinel Server and verify if the status of Sentinel Link Server All: <port number> is On.

2. Configure Sentinel Server to send events to Analytics Server.

   a. Update the latest NetIQ Access Manager Collector in Sentinel Server.

   b. Log in to the Sentinel Control Center with administrator rights.

   c. Click the Configuration tab to enable Configuration on the menu bar.

   d. Click Configuration, then select Integrator Manager.

   e. Click the Add Integrator (+) icon to configure Integrator plug-in.

   f. Select the Sentinel Link Integrator from the Select Integrator drop-down list.

   g. Specify a name for the integrator in the Name field.

   h. Click Next.

   i. In the Server Configuration dialog box, specify the Host Name as the IP address of Analytics Server.

   j. Specify the port number to connect to Analytics Server. The default port is 1290.

   k. Click Next to continue with the default configurations, then click Test configuration to verify the connection is successful.

   l. Click OK > Finish.

3. Add an Action by using Sentinel Action Manager.

   a. Navigate to control center > configuration > Action Manager.

   b. Click Add.

   c. In the Configure Action dialog box, specify the following:

      - Action Name: any name
      - Action: Sentinel Link
      - Name: Integrator
      - Value: Select the same integrator name that you have specified in Step 2g.

   d. Click Save.

4. In Sentinel Server, create a routing rule to enable default routing that sends events automatically to Analytics Server.

   a. Log in to Sentinel Server.

   b. On the main menu, click Routing.

   c. Click Edit next to Forward Events To Another Sentinel System.
4d Specify the following:

- **Criteria**: (((sev:[0 TO 5]) NOT st:"I" NOT st:"A" NOT st:"P") AND ("NIDS\: User session was authenticated" OR evt:"NIDS\: Risk based authentication action for user" OR rv40:"002E0606" OR rv40:"002E0525" OR rv40:"002E001F" OR rv40:"002E0029" OR rv40:"002E0514" OR rv40:"002E0102" OR rv40:"002E000C"))
- **Route to the following services**: All
- **Perform the following actions**: Specify the action name that you specified during the configuration of Action Manager in Step 3.

4e Click **Save**

Ensure that the routing rule is enabled.

### 4.5.7 Importing Analytics Server

If you want to import Analytics Server to any Administration Console, you must run the re-import script on the required Analytics Server.

To import or re-import Analytics Server to a specific Administration Console, perform the following on the required Analytics Server:

1. Go to the directory: `/opt/novell/nam/scripts`

   ```
   cd /opt/novell/nam/scripts
   ```

2. Run the `sh reimport_ar.sh` script and enter the details against the following prompts:

   a. **Choose a local listener IP address** `[x.x.x.x]`
   b. **(Optional) Choose a local NAT IP address** `[optional]`
   c. **Choose Administration Console’s IP address** `[]`

      Specify the IP address of the Administration Console on which you want to import the Analytics Server.

   d. **Enter Admin User’s DN** `[cn=admin,o=novell]`
   e. **Enter Admin Password**: `*****`

3. Wait for few minutes for the configuration to finish.

### 4.6 Modifying Configuration Files

You can modify configuration files such as server.xml and web.xml to set up various configurations.

#### 4.6.1 Modifying web.xml

You can modify the `web.xml` file to perform the following configurations:

- Managing Administration Console Session Timeout. For information about how to configure it, see Section 2.2, "Managing Administration Console Session Timeout," on page 41.
- Configuring the Logout Disconnect Interval. For information about how to configure it, see "Configuring the Logout Disconnect Interval" on page 263.
- Disabling User Profile Objects. For information about how to configure it, see "Disabling User Profile Objects" in the *NetIQ Access Manager 4.3 Best Practices Guide*. 
• Configuring a Specific IP Address for Proxied Requests. For information about how to configure it, see “Configuring a Specific IP Address for Proxied Requests” in the NetIQ Access Manager 4.3 Best Practices Guide.

• Disabling Phishing For information about how to configure it, see “Disabling Phishing” in the NetIQ Access Manager Appliance 4.3 Security Guide.

4.6.2 Modifying server.xml

You can modify the server.xml file to perform the following configurations:

• Customizing Certificate Errors. For information about how to configure it, see “Customizing Certificate Errors” on page 333.

• Configuring X.509 Authentication to Provide Access Manager Error Message. For information about how to configure it, see “Configuring X.509 Authentication to Provide Access Manager Error Message” on page 333.

• Securing the Embedded Service Provider Session Cookie on Access Gateway. For information about how to configure it, see “Securing the Embedded Service Provider Session Cookie on Access Gateway” on page 837.

• Configuring the SSL Communication. For information about how to configure it, see “Configuring the SSL Communication” on page 883.

• For information about how to configure it, see “Configuring a Specific IP Address for Proxied Requests” in the NetIQ Access Manager 4.3 Best Practices Guide.
Identity Server is responsible for authenticating users, building the user’s role information, and distributing it to various components. It also serves as the central point for components that request identity information.

This part discusses how to configure various types of authentications. Topics include:

- Section 5.1, “Local Authentication,” on page 291
- Section 5.2, “Federated Authentication,” on page 359
- Section 5.3, “Advanced Authentication,” on page 576
- Section 5.4, “Social Authentication,” on page 583
- Section 5.5, “Risk-based Authentication,” on page 589

You can configure third-party authentication classes. You can also write your own Java class for authentication. For information about how to write your own class, see NetIQ Access Manager 4.3 Developer Guide and Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

5.1 Local Authentication

To guard against unauthorized access, Access Manager Appliance supports a number of ways for users to authenticate. These include name/password and X.509 digital certificates. You configure authentication at Identity Server by creating authentication contracts that Access Manager components (such as an Access Gateway) can use to protect a resource.

Figure 5-1 illustrates the components of a contract:

- **User stores**: The user directories to which users authenticate on the back end. You set up your user store when you create an Identity Server cluster configuration. See Section 5.1.1, “Configuring Identity User Stores,” on page 292.
- **Classes**: The code (a Java class) that implements a particular authentication type (name/password, RADIUS, and X.509) or means of obtaining credentials. Classes specify how Identity Server requests authentication information, and what it must do to validate those credentials. See Section 5.1.2, “Creating Authentication Classes,” on page 302.
- **Methods**: The pairing of an authentication class with one or more user stores, and whether the method identifies a user. See Section 5.1.3, “Configuring Authentication Methods,” on page 309.
Contracts: The basic unit of authentication. Contracts can be local (executed at the server) or external (satisfied by another Identity Server). Contracts are identified by a unique URI that can be used by Access Gateways and agents to protect resources. Contracts are comprised of one or more authentication methods used to uniquely identify a user. You can associate multiple methods with one contract. See Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

This section explains the following:

- Section 5.1.1, “Configuring Identity User Stores,” on page 292
- Section 5.1.2, “Creating Authentication Classes,” on page 302
- Section 5.1.3, “Configuring Authentication Methods,” on page 309
- Section 5.1.4, “Configuring Authentication Contracts,” on page 311
- Section 5.1.5, “Specifying Authentication Defaults,” on page 319
- Section 5.1.6, “Persistent Authentication,” on page 321
- Section 5.1.7, “Client Integrity Check,” on page 323
- Section 5.1.8, “Mutual SSL (X.509) Authentication,” on page 330
- Section 5.1.9, “ORed Credential Class,” on page 335
- Section 5.1.10, “OpenID Authentication,” on page 336
- Section 5.1.11, “Password Retrieval,” on page 337
- Section 5.1.12, “Configuring Access Manager for NESCM,” on page 339
- Section 5.1.13, “Kerberos Authentication,” on page 343
- Section 5.1.14, “Managing Direct Access to Identity Server,” on page 355

5.1.1 Configuring Identity User Stores

User stores are LDAP directory servers to which end users authenticate. You must specify an initial user store when creating an Identity Server configuration. You use the same procedure for setting up the initial user store, adding a user store, or modifying an existing user store.

1. Click Devices > Identity Servers > Servers > Edit > Local.
2. Select from the following actions:
   - **New**: To add a user store, click New. For configuration information, see “Configuring the User Store” on page 293.
   - **Delete**: To delete a user store, select the user store, then click Delete. The user store list needs to contain at least one configured user store for Identity Server to be functional.
   - **Modify**: To modify the configuration of an existing user store, click the name of a user store. For configuration information, see “Configuring the User Store” on page 293.
3. Click OK, then update Identity Server if you have modified the configuration.

See the following sections for specific configuration tasks:

- Section 5.1.1.1, “Using More Than One LDAP User Store,” on page 293
- Section 5.1.1.2, “Configuring the User Store,” on page 293
- Section 5.1.1.3, “Configuring an Admin User for the User Store,” on page 296
- Section 5.1.1.4, “Configuring a User Store for Secrets,” on page 296
5.1.1.1 Using More Than One LDAP User Store

You can configure Identity Server to search more than one user store during authentication. Figure 5-2 illustrates this type of configuration.

Figure 5-2 Multiple LDAP Directories

It is assumed that each LDAP directory contains different users. You must ensure that the users have unique names across all LDAP directories. If both directories contain a user with an identical name, the name and password information discovered in the search of the first directory is always used for authentication. You specify the search order when configuring the authentication method.

When users are added to the configuration store, objects are created for Access Manager profiles. If you delete a user from the LDAP directory, orphaned objects for that user remain in the configuration store.

If you add a secondary Administration Console and you have added replicas to the user store of the primary Administration Console, ensure that you also add the replicas to the secondary Administration Console.

All user stores that you add are included in health checks. If health problems are found, the system displays the user store on the Health page and in the trace log file.

5.1.1.2 Configuring the User Store

1. Click Devices > Identity Servers > Servers > Edit > Local.
2. In the User Stores list, click New or the name of an existing user store.
   If you are creating an Identity Server configuration, this is Step 3 of the wizard.
3. Fill in the following fields:
   - **Name**: The name of the user store for reference.
   - **Admin Name**: The distinguished name of the admin user of the LDAP directory, or a proxy user with specific LDAP rights to perform searches. For the LDAP extension to work, the proxy user requires write rights on the ACL. Administrator-level rights are required for setting up a user store. This ensures read/write access to all objects used by Access Manager. For more information about this user, see "Configuring an Admin User for the User Store" on page 296.
Each directory type uses a slightly different format for the DN:

- **eDirectory**: `cn=admin,ou=users,o=novell`
- **Active Directory**: `cn=Administrator,cn=users,dc=domeh,dc=test,dc=com`
  or `cn=john smith,cn=users,dc=domeh,dc=test,dc=com`
- **Sun ONE**: `cn=admin,cn=users,dc=novell,dc=com`

**Admin Password and Confirm Password**: Specify the password for the admin user and confirm it.

**Directory Type**: The type of LDAP directory. You can select **eDirectory**, **Active Directory**, or **Sun ONE**. If you have installed an LDAP server plug-in, you can select the custom type that you have configured it to use. For more information, see “LDAP Server Plug-In” in the NetIQ Access Manager 4.3 Developer Guide.

If eDirectory has been configured to use Domain Services for Windows, eDirectory behaves like Active Directory. When you configure such a directory to be a user store, its **Directory Type** must be set to Active Directory for proper operation.

**Install NMAS SAML method**: (eDirectory only) Extends the schema on the eDirectory server and installs an NMAS method. This method converts Identity Server credentials to a form understood by eDirectory. This method is required if you have installed Novell SecretStore on the eDirectory server and you are going to use that SecretStore for Access Manager secrets. If you select this option, ensure that the admin you have configured for the user store has sufficient rights to extend the schema and add objects to the tree.

For additional configuration steps required to use secrets, see “Configuring a User Store for Secrets” on page 296.

**Enable Secret Store lock checking**: (eDirectory only) Enables Access Manager to prompt users for a passphrase when secrets are locked.

- If Access Manager is sharing secrets with other applications and these applications are using the security flag that locks secrets when a user’s password is reset, you need to enable this option.
- If Access Manager is not sharing secrets with other applications, the secrets it is using are never locked, and you do not need enable this option.

4 Under **LDAP timeout settings**, specify the following:

**LDAP Operation**: Specify how long in seconds a transaction can take before timing out.

**Idle Connection**: Specify how long in seconds before connections begin closing. If a connection has been idle for this amount of time, the system creates another connection.

5 To specify a server replica, click **New**, then fill in the following fields:

For an eDirectory server, you must use a replica of the partition where the users reside. Ensure that each LDAP server in the cluster has a valid read/write replica. One option is to create a users partition (a partition that points to the OU containing the user accounts) and reference this server replica.

**Name**: The display name for the LDAP directory server. If your LDAP directory is replicated on multiple servers, use this name to identify a specific replica.

**IP Address**: The IP address of the LDAP directory server.

**Port**: The port of the LDAP directory server. Specify 389 for the clear text port, and 636 for the encrypted port.

**Use secure LDAP connections**: Specifies that the LDAP directory server requires secure (SSL) connections with Identity Server.
This is the only configuration we recommend for the connection between Identity Server and the LDAP server in a production environment. If you use port 389, usernames and passwords are sent in clear text on the wire.

This option must be enabled if you use this user store as a Novell SecretStore User Store Reference in the Credential Profile details. (See “Configuring Credential Profile Security and Display Settings” on page 455.) If you have specified that this user store is a SecretStore User Store Reference, this option is enabled but not editable.

**NOTE:** If the LDAP user store is using a trusted root which is not present in Trusted Roots or External Trusted Roots tab, you need to manually import the trusted root. For more information, see Chapter 16, “Managing Trusted Roots and Trust Stores,” on page 871.

**Connection limit:** The maximum number of pooled simultaneous connections allowed to the replica. Valid values are between 5 and 50. How many you need depends upon the speed of your LDAP servers. If you modify the default value, monitor the change in performance. Larger numbers do not necessarily increase performance.

6 Select the replica, then click Validate to test the connection between Identity Server and the replica.

The system displays the result under Validation Status. The system displays a green check mark if the connection is valid.

7 (Optional) To add additional replicas for the same user store, repeat Step 5 through Step 6.

Adding multiple replicas adds load balancing and failover to the user store. Replicas must be exact copies of each other.

For load balancing, a hash algorithm is used to map a user to a replica. All requests on behalf of that user are sent to that replica. Users are moved from their replica to another replica only when their replica is no longer available.

8 Add a search context.

The search context is used to locate users in the directory when a contract is executed.

- If a user exists outside of the specified search context (object, subtree, one level), Identity Server cannot find the user, and the user cannot log in.
- If the search context is too broad, Identity Server might find more than one match, in which case the contract fails, and the user cannot log in.

For example, if you allow users to have the same username and these users exist in the specified search context, these users cannot log in if you are using a simple username and password contract. The search for users matching this contract would return more than one match. In this case, you need to create a contract that specifies additional attributes so that the search returns only one match. For more information about how to create such contracts, see “Authentication Classes and Duplicate Common Names” on page 1066.

**IMPORTANT:** For Active Directory, do not set the search context at the root level and set the scope to Subtree. This setting can cause serious performance problems. It is recommended that you set multiple search contexts, one for each top-level organizational unit.

9 Click Finish.

10 If prompted to restart Tomcat, click OK. Otherwise, update Identity Server.
5.1.1.3 Configuring an Admin User for the User Store

Identity Server must log in to each configured user store. It searches for users, and when a user is found, it reads the user's attributes values. When you configure a user store, you must supply the distinguished name of the user you want Identity Server to use for logging in. You can use the admin user of your user store, or you can create a specialized admin user for this purpose. When creating this admin user, you need to grant the following rights:

- The admin user needs rights to browse the tree, so Identity Server can find the user who is trying to authenticate. The admin user needs browse rights to object class that defines the users and read and compare rights to the attributes of that class. When looking for the user, Identity Server uses the GUID and naming attributes of the user class.

<table>
<thead>
<tr>
<th>Directory</th>
<th>Object Class</th>
<th>GUID Attribute</th>
<th>Naming Attribute</th>
</tr>
</thead>
<tbody>
<tr>
<td>eDirectory</td>
<td>User</td>
<td>guid</td>
<td>cn</td>
</tr>
<tr>
<td>Active Directory</td>
<td>User</td>
<td>objectGUID</td>
<td>sAMAccountName</td>
</tr>
<tr>
<td>Sun ONE</td>
<td>inetOrgPerson</td>
<td>nuniqueid</td>
<td>uid</td>
</tr>
</tbody>
</table>

- The admin user needs read rights to any attributes used in policies (Role, Form Fill, Identity Injection, ).
- If a secret store is used in Form Fill policies, the admin user needs write rights to the attributes storing the secrets.
- If a password management servlet is enabled, the admin user needs read rights to the attributes controlling grace login limits and remaining grace logins.
- If you use an LDAP extension, the user must have write rights on ACL allowing the user to check for account locks, password expiration, grace logins used, and so on.

To perform these operations, the user must have additional rights. Access Manager uses NMAS that requires the user to have write rights on ACL.

- If you enable provisioning with the SAML or Liberty protocols, the admin user needs write rights to create users in the user store.
- If you use X.509 authentication, the admin user needs write rights to update the user’s login status attributes.

If your user store is an eDirectory user store, Access Manager verifies that the admin user has sufficient rights to browse for users in the specified search contexts.

**IMPORTANT:** This check is not performed for Active Directory or Sun ONE. If your users cannot log in, you need to verify that you have given the admin for the user store sufficient rights to the specified search contexts.

5.1.1.4 Configuring a User Store for Secrets

Access Manager allows you to securely store user secrets. Secrets are a way to capture user input like Login ID and password credentials. These input data can later be reused or injected using Form Fill and Identity Injection policies. This feature is especially helpful when your Access Manager Credential Profile does not contain credentials for an application protected by Access Manager yet a single sign-on experience is required. Where and how the secrets can be stored is configurable and depends upon your user store:

- “Configuring the Configuration Datastore to Store Secrets” on page 297.
If you want to do minimal configuration, you can use the configuration datastore on Administration Console to store the secrets. This option can be used without changes, but is recommended only for use in small Access Manager environments. To increase the security of the secrets, NetIQ recommends that you change the default security options.

**IMPORTANT:** Using this option will put additional load on your Administration Console and introduces login delays compared to other options. Therefore it is recommended that this option is used wisely.

- “Configuring an LDAP Directory to Store the Secrets” on page 298.

This is the recommended option and can be used with any LDAP directory. To use this option, extend the schema to add an attribute to your user object on the LDAP directory that will encrypt and store the secrets.

- “Configuring an eDirectory User Store to Use SecretStore” on page 299.

If your user store is eDirectory and you have installed Novell SecretStore, you can choose to use the SecretStore on your eDirectory server to store the secrets. This differs from the schema extension method as Novell SecretStore can also be accessed and managed by Novell SecureLogin. This allows secrets to be shared with SecureLogin to provide a thick client single sign-on while Access Manager can provide a web single sign-on experience without credential collisions.

For troubleshooting tips, see “Troubleshooting the Storing of Secrets” on page 301.

### Configuring the Configuration Datastore to Store Secrets

When you use the configuration datastore of Administration Console as the secret store, the nidswsfss attribute of the nidsLibertyUserProfile object is used to store the secrets.

1. Click Devices > Identity Servers > Edit > Liberty > Web Service Provider.
2. Click Credential Profile.
3. Scroll to the Local Storage of Secrets section and configure the following security options:

   - **Encryption Password Hash Key:** (Required) Specify the password that you want to use as a seed to create the encryption algorithm. To increase the security of the secrets, we recommend that you change the default password to a unique alphanumeric value.

**IMPORTANT:** Before using Access Manager to store and encrypt secrets, ensure that you choose your **Preferred Encryption Method** and change the default **Encryption Password Hash Key** value. If either of these options are changed after any secrets are stored, Access Manager will not be able to retrieve the secrets.

**Preferred Encryption Method:** Specify the preferred encryption method. Select the method that complies with your security model:

- **Password Based Encryption With MD5 and DES:** MD5 is an algorithm that is used to verify data integrity. Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key.

- **DES:** Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key. Like other private key cryptographic methods, both the sender and the receiver must know and use the same private key.

- **Triple DES:** A variant of DES in which data is encrypted three times with standard DES, using two different keys.

**Extended Schema User Store References:** Do not specify a user store reference. When this option contains no values, the configuration datastore is used to store the secrets.
4 Click OK.

5 On Identity Servers page, update Identity Server.

6 To use the secret store to store policy secrets, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

**Configuring an LDAP Directory to Store the Secrets**

When you use an LDAP directory to store the secrets, you need to enable the user store for the secrets. You select the LDAP directory, then specify an attribute. The attribute you specify is used to store an XML document that contains encrypted secret values. This attribute must be a single-valued case ignore string that you have defined and assigned to the user object in the schema.

To use an LDAP directory to store secrets, your network environment must conform to the following requirements:

- The user class object must contain an attribute that can be used to store the secrets. This attribute must be a string attribute that is single valued and case ignore.
- The user store must be configured to use secure connections (click Devices > Identity Servers > Edit > Local > User Stores > [User Store Name]. In the Server replicas section, ensure that the Port is 636 and that Use SSL is enabled. If they aren’t, click the name of the replica and reconfigure it.

To configure the LDAP directory:

1 Click Devices > Identity Servers > Edit > Liberty > Web Service Providers.

2 Click Credential Profile.

3 Scroll to the Local Storage of Secrets section and configure the following options:

   **Encryption Password Hash Key**: (Required) Specifies the password that you want to use as a seed to create the encryption algorithm. To increase the security of the secrets, we recommend that you change the default password to a unique alphanumeric value.

   **Preferred Encryption Method**: Specifies the preferred encryption method. Select the method that complies with your security model:

   - **Password Based Encryption With MD5 and DES**: MD5 is an algorithm that is used to verify data integrity. Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key.
   - **DES**: Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key. Like other private key cryptographic methods, both the sender and the receiver must know and use the same private key.
   - **Triple DES**: A variant of DES in which data is encrypted three times with standard DES, using two different keys.

**IMPORTANT**: Before using Access Manager to store and encrypt secrets, ensure that you choose your Preferred Encryption Method and change the default Encryption Password Hash Key value. If either of these options are changed after any secrets are stored, Access Manager will not be able to retrieve the secrets.

4 To specify where to store secret data, click New under Extended Schema User Store References and fill in the following:

   **User Store**: Select the user store where you want secret store enabled.

   **Attribute Name**: Specify the LDAP attribute that you have created to store the secrets on the selected user store.

5 Click OK twice.
6 On Identity Servers page, update Identity Server.

7 To create policies that use the stored secrets, see Section 8.5.4, "Creating and Managing Shared Secrets," on page 784.

For troubleshooting information, see “Troubleshooting the Storing of Secrets” on page 301.

## Configuring an eDirectory User Store to Use SecretStore

For Access Manager to use Novell SecretStore, the user store must be eDirectory and Novell SecretStore must be installed there. When configuring this user store for secrets, Access Manager extends the eDirectory schema for an NMAS method. This method converts authentication credentials to a form understood by eDirectory. For example, Access Manager supports smart card and token authentications, and these authentication credentials must be converted into the username and password credentials that eDirectory requires. This allows Identity Server to authenticate as that user and access the user’s secrets. Without this NMAS method, Identity Server is denied access to the user’s secrets.

To use a remote SecretStore, your network environment must conform to the following requirements:

- The eDirectory server must have Novell SecretStore installed.
- When you configure a user store to use Novell SecretStore, the admin user that you have configured for the user store must have sufficient rights to extend the schema on the eDirectory server, to install the SAML NMAS method, and set up the required certificates and objects. For more information about the rights required, see “Configuring an Admin User for the User Store” on page 296.
- The user store must be configured to use secure connections (click **Devices > Identity Servers > Edit > Local > User Stores** > [User Store Name]). In the **Server replicas** section, ensure that the **Port** is 636 and that **Use SSL** is enabled. If they aren’t, click the name of the replica and reconfigure it.

**NOTE:** While configuring new replicas for the same user store, by default the **Use secure LDAP connections** option will be selected and the default port will be 636. The **Use secure LDAP connections** option will be non-editable.

- If you have enabled a firewall between Administration Console and the user store, and between Identity Server and the user store, ensure that both LDAP ports (389 and 636) and the NCP port (524) are opened.
- If you are going to configure Access Manager to use secrets that are used by other applications, you need to plan a configuration that allows the user to unlock a locked SecretStore. See “Determining a Strategy for Unlocking the SecretStore” on page 300.

To configure the user store:

1. Click **Devices > Identity Servers > Edit > Local**.
2. Click the name of your user store.
3. Select **Install NMAS SAML method**, then click **OK**.

   This installs a required NMAS method in the eDirectory schema and adds required objects to the tree.

**IMPORTANT:** If your eDirectory user store is running on SLES 11 SP1 64-bit operating system (or a higher version), the eDirectory server is missing some support libraries that this SAML method requires. For information about installing these libraries, see **TID 7006437** (http://www.novell.com/support/viewContent.do?externalId=7006437&sliceld=1).
4 Click Liberty > Web Service Providers.
5 Click Credential Profile.
6 Scroll to the Remote Storage of Secrets section.
7 Click New under Novell Secret Store User Store References.
   This adds a reference to a user store where SecretStore has been installed.
8 Click the user store that you configured for SecretStore.
9 Click OK twice.
10 On Identity Servers page, update Identity Server.
11 Continue with one of the following:
   ✷ If other applications are using the secret store, you need to determine whether Access
     Manager users need the option to unlock the secret store. See “Determining a Strategy for
     Unlocking the SecretStore” on page 300.
   ✷ To create policies that use the stored secrets, see Section 8.5.4, “Creating and Managing
     Shared Secrets,” on page 784.
   ✷ For troubleshooting information, see “Troubleshooting the Storing of Secrets” on page 301.

Determining a Strategy for Unlocking the SecretStore

When an administrator resets a user’s password, secrets written to the Novell SecretStore with an
enhanced security flag become locked. Identity Server does not write the secrets that it creates with
this flag, but other applications might:

✦ If Access Manager is not sharing secrets with other applications, the secrets it is using are never
   locked, and you do not need to configure Access Manager to unlock secrets.
✦ If Access Manager is sharing secrets with other applications and these application are using the
   security flag that locks secrets when a user’s password is reset, you need to configure Access
   Manager so that users can unlock their secrets.

If you want users to receive a prompt for a passphrase when secrets are locked, complete the
following configuration steps:

1 Require all users to set up a passphrase (also called the Master Password).
   Access Manager uses the SecretStore Master Password as the passphrase to unlock the
   secrets. If the user has not set a passphrase before the SecretStore is locked, this feature of
   Access Manager cannot unlock the SecretStore. If it is necessary to unlock the SecretStore by
   using the user’s prior password, another tool must be used. See your SecretStore
   documentation.
2 Configure Identity Server to perform the check:
   2a Click Devices > Identity Servers > Edit > Local > [User Store Name].
   2b Select the Enable Secret Store lock checking option.
   2c Click OK twice, then update Identity Server.
3 Ensure that Web Services Framework is enabled:
   3a Click Devices > Identity Servers > Edit > Liberty > Web Services Framework.
   3b In the Framework General Settings section, ensure that Enable Framework is selected.
   3c Click OK. If you made any changes, update Identity Server.
4 Continue with Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.
When the SecretStore is locked and the users log in, the users are first prompted for their login credentials, then prompted for the passphrase that is used to unlock the SecretStore.

**Troubleshooting the Storing of Secrets**

- “Secrets Are Not Stored in Novell SecretStore” on page 301
- “Users Are Receiving Invalid Credential Messages” on page 302
- “Secrets Are Not Stored in the LDAP Directory” on page 302

**Secrets Are Not Stored in Novell SecretStore**

When you use Novell SecretStore to store the secrets, the schema on the eDirectory server must be extended, and specific SAML objects and certificates must be created.

To verify that the schema was extended and the objects were created on the eDirectory server:

1. Open an LDAP browser and connect to the LDAP server.
2. Browse to the Security container.
3. Look for objects similar to the following:

   ![Diagram](image)

   If the schema has been extended correctly, you can find a SAML Assertion object in the Authorized Login Methods container. The SAML_Assertion object contains an alphanumeric generated name for a SAML affiliate object. This object has four attributes.

   The SAML affiliate object name is used to generate another container in the Security container. This new container is the `<AffiliateObjectName>` Trusted Root container that contains public key signing certificate.
4 Complete one of the following:
   - If these objects do not exist, verify the following, then continue with Step 5:
     - The admin user for the user store has sufficient rights to extend the schema and add these objects to the Security container.
     - Any configured firewalls must allow NCP and LDAP traffic for Administration Console, Identity Server, and the LDAP user store.
   - If the objects exist, check for time synchronization problems. For more information, see “Users Are Receiving Invalid Credential Messages” on page 302.

5 In Administration Console, modify the secret store configuration so that it is resent to the user store:
   5a Click Devices > Identity Servers > Edit > Liberty > Web Service Providers > Credential Profile.
   5b In the Remote Storage of Secrets section, remove the user store, then add it again.
   5c Click OK.

6 On Identity Servers page, update Identity Server.

**Users Are Receiving Invalid Credential Messages**

The `<SAML_Affiliate_Object>.SAML-Assertion.AuthorizedLoginMethods.Security` object contains two attributes that determine how long credentials are valid. If your Identity Server and eDirectory server are not time synchronized, the credentials can become invalid before a user has time to use them.

Either ensure that the time of your Identity Server and eDirectory server are synchronized, or increase the value of the authsamlValidAfter and authsamlValidBefore attributes of the SAML affiliate object.

**Secrets Are Not Stored in the LDAP Directory**

1 Open an LDAP browser and connect to the eDirectory server.
2 Browse to the user object.
3 Verify that the user object contains the LDAP attribute that you have specified as the attribute to store the secrets.
4 If the attribute exists, browse to the schema and verify that the attribute has the following characteristics:
   - Single valued
   - Case ignore
   - String

**5.1.2 Creating Authentication Classes**

Authentication classes let you define ways of obtaining end user credentials. You specify the code (Java class) and properties to be executed to implement a particular authentication type.

Several authentication classes are included with Access Manager to provide a variety of ways to authenticate end users. Custom authentication classes provided by other vendors can also be configured to run in the system.

1 Click Devices > Identity Server > Edit > Local > Classes.

The following classes are predefined for Access Manager:
**Introductions**: When the class is configured, it allows users to select an identity provider from a list of introducable identity providers. For information about how to configure and use this class, see “Configuring the Introductions Class” on page 153.

**Name/Password - Basic**: Basic authentication over HTTP using a standard login pop-up page provided by the Web browser.

**Name/Password - Form**: Form-based authentication over HTTP or HTTPS.

**Secure Name/Password - Basic**: Basic authentication over HTTPS using a standard login page provided by the Web browser.

**Secure Name/Password - Form**: Form-based authentication over HTTPS.

**Trust Levels**: When this class is configured, it defines authentication levels for classes that can be used in authentication requests. For more information about how to configure and use this class, see “Configuring the Trust Levels Class” on page 154.

To delete a class, select the class, then click **Delete**.

You cannot delete a class if a method is using it.

For information about how to create a name/password class, see the following sections:

- “Creating Basic or Form-Based Authentication Classes” on page 303.
- “Specifying Common Class Properties” on page 305

Some classes require additional configuration to enable their use for authentication. See the following sections:

- “RADIUS Authentication” on page 578
- “Mutual SSL (X.509) Authentication” on page 330
- “ORed Credential Class” on page 335
- “OpenID Authentication” on page 336
- “Password Retrieval” on page 337
- “Configuring Access Manager for NESCM” on page 339
- “Kerberos Authentication” on page 343
- “Two-Factor Authentication Using Time-Based One-Time Password” on page 576
- “Risk-based Authentication” on page 589

### 5.1.2.1 Creating Basic or Form-Based Authentication Classes

1. Click Devices > Identity Server > Edit > Local > Classes.
2. Click New to launch the Create Authentication Class Wizard.
3. Specify a display name, then select a class from the Java class drop-down menu.
   - The following classes are recommended only for testing purposes:
     - **BasicClass**: Uses basic HTTP authentication.
     - **PasswordClass**: Passes the user name and password over HTTP in readable text, and uses a form-based login to collect the name and password.
     - **RadiusClass**: RADIUS enables communication between remote access servers and a central server. For a production environment, use ProtectedRadiusClass.
   - For a production environment, select one of the following protected classes:
SocialAuthClass: The authentication class used for implementing authentication through external OAuth providers such as Facebook, GooglePlus, LinkedIn and Twitter. See Section 5.4, “Social Authentication,” on page 583.

TOTPClass: The authentication class used for implementing two-factor authentication. See Section 5.3.1, “Two-Factor Authentication Using Time-Based One-Time Password,” on page 576.


Risk-based Pro-Auth Class: The authentication class used for assessing the risk before authentication. See Section 5.5, “Risk-based Authentication,” on page 589.

ProtectedBasicClass: BasicClass protected by HTTPS.

ProtectedPasswordClass: PasswordClass protected by HTTPS (form-based).

ProtectedRadiusClass: RadiusClass protected by HTTPS. See Section 5.3.2, “RADIUS Authentication,” on page 578 for configuration steps.

KerberosClass: The authentication class used for using Kerberos for Active Directory and Identity Server authentication. See Section 5.1.13, “Kerberos Authentication,” on page 343 for configuration steps.

NMASAuthClass: The authentication class used for Novell Modular Authentication Services (NMAS), which uses fingerprint and other technology as a means to authenticate a user. For instructions on using the NMAS NESCM method, see Section 5.1.12, “Configuring Access Manager for NESCM,” on page 339.

NPOrRadiusOrX509Class: The authentication class that allows the creation of a contract from which the user can select an authentication method: name/password, RADIUS, or X.509. For configuration information, see Section 5.1.9, “ORed Credential Class,” on page 335.

PasswordFetchClass: The authentication class that allows Identity Server to retrieve the user’s password when the user has used a non-password class for authentication. For configuration information, see Section 5.1.11, “Password Retrieval,” on page 337.

PersistentAuthClass: The authentication class that allows for persistent logins, long authentication sessions, or remember my password functionality. For configuration information, see Section 5.1.6, “Persistent Authentication,” on page 321.

Other: Used for third-party authentication classes or if you have written your own Java class. For information about how to write your own class, see Novell Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

AliasUserPasswordClass: This class supports authentication of a user against user’s alias name. This class uses the alias object of the user object and the password of the corresponding user object to authenticate.

Advanced Authentication: The authentication classes that support Advanced Authentication (for example, Email OTP, FIDO U2F). For configuration information, see Section 3.5.9, “Specifying Advanced Authentication Server Details,” on page 89.

IMPORTANT: To enable CSRF check, perform the steps mentioned in “LOGIN CSRF CHECK” on page 61 and add a property AntiCSRFCheck=true to the class. You do not need to add this property to Password Class and TOTP Class.

NOTE: You cannot enable CSRF check for Advanced Authentication class and Social Authentication class.
4 Click Next to configure the properties for each class. Click New, then enter a name and value. The names and values you enter are case sensitive. See “Specifying Common Class Properties” on page 305 for the properties that are used by the basic and password classes.

5 Click Finish.

6 Continue with Section 5.1.3, “Configuring Authentication Methods,” on page 309.

To use an authentication class, the class must have one or more associated methods.

### 5.1.2.2 Specifying Common Class Properties

The following properties can be used by the basic and password classes:

- “Query Property” on page 305
- “JSP Property” on page 306
- “MainJSP Property” on page 307
- “Modifying LDAP Query Parameter of Kerberos Method” on page 307
- “Enabling reCAPTCHA” on page 307

These properties can also be specified on a method derived from the class. If you are going to create multiple methods from the same class, consider the following conditions:

- If you want the methods to share the same properties, you can save configuration steps by defining the properties on the class.
- If you want the methods to use different values for the properties such as one method specifying one custom login page and another method specifying a different custom login page, then you must specify the properties on the method.

#### Query Property

Normally, Identity Server uses the username to find a user in the user store. You can change this behavior by using the Query property. This property determines the username value for authentication. The default Query string prompts the users for the value of the CN attribute. You can modify this by requesting a different attribute in the LDAP query.

The Query property can be used by the following classes:

- BasicClass
- PasswordClass
- ProtectedBasicClass
- ProtectedPasswordClass

For example, to query for the user’s UID attribute to use for the username, you would specify the following query:

**Property Name:** Query

**Property Value:** `(&(objectclass=person)(uid=%Ecom_User_ID%))`

The values are case sensitive. The name of the property must be Query with an initial capital. The `%Ecom_User_ID%` variable is used in the default login.jsp for the username in the four classes that support the Query property. The variable is replaced with the value the user enters for his or her username, and the LDAP query is sent to the user store to see if the user’s attribute value matches the entered value. You can specify any attribute for the Query that is defined in your user store for the object class of person and that is used to identify the user.
The Query you define for the BasicClass and the ProtectedBasicClass needs to use an attribute that your users define as their username. The PasswordClass and the ProtectedPasswordClass do not have this requirement. They also support the JSP property, which allows you to specify a custom login.jsp and have it prompt for other attributes that can be used for login.

The LDAP query parameter of Kerberos method can be modified using SearchQuery property. For example if you want to use the SearchQuery property for emails, perform the following steps:

1. Navigate to Identity Servers > Edit > Local > Methods
2. Click Kerberos Method
3. Click Properties > New
4. In the Add Property dialog box, specify the following:
   - Property Name: SearchQuery
   - Property Value: (&(objectclass=person)(mail=%Email%))

For example, you can define the following Query to prompt the users for their email address rather than their username.

- Property Name: Query
- Property Value: (&(objectclass=person)(email=%Email Value%))

The %Email Value% must match the variable in the custom login page that is filled in when the users enter their credentials. The objectclass value must be a valid object class in the LDAP user store. The email attribute must be a valid attribute of the person class.

When you specify such a Query, you must also modify the login page to prompt the user for the correct information. Instead of prompting the user for a username, the login form must prompt the user for an e-mail address. The JSP Property allows you to specify a custom login page. For information about creating a custom login page, see “Customizing Identity Server Login Page” on page 206.

JSP Property

The JSP property allows you to specify a custom login page. This property can be used with the following classes:

- PasswordClass
- ProtectedPasswordClass

The property name is JSP and the property value is the filename of the login page you customized without the .jsp extension of the file. The property value cannot contain nidp in its name.

For example, if you created a custom file named emaillogin.jsp, you would specify the following values. The values are case sensitive. The property name needs to be entered as all capitals.

- Property Name: JSP
- Property Value: emaillogin

If you use two methods to create a contract, this property must be set to the same value on both or set on only one. When it is set on only one method, the value is applied to both. This property needs to be used with the MainJSP Property. For information about how to create a custom login page, see “Customizing Identity Server Login Page” on page 206.
MainJSP Property

When the MainJSP property is set to true, it indicates that you want to use the page specified in the JSP property for the login page. When this property is set to false, which is the default value, the nidp.jsp is used for the login page. If you use two methods to create a contract, this property must be set to the same value on both or set on only one. When it is set on only one method, the value is applied to both.

Property Name: MainJSP

Property Value: true

For information about how to create a custom login page, see “Customizing Identity Server Login Page” on page 206.

Modifying LDAP Query Parameter of Kerberos Method

The LDAP query parameter of Kerberos method can be modified using SearchQuery property. For example if you want to use the SearchQuery property for emails, perform the following steps:

1. Navigate to Identity Servers > Edit > Local > Methods
2. Click Kerberos Method
3. Click Properties > New
4. In the Add Property dialog box, specify the following:
   - Property Name: SearchQuery
   - Property Value: Specify one of the following parameters:
     - (&(objectclass=person)(mail=%Email%))
     - (&(objectclass=person)(givenName=%AMTEST.COM%))
     - (&(objectclass=person)(name=%Ecom_User_ID%))
     - (&(objectclass=person)(CN=%Ecom_User_ID%))

Enabling reCAPTCHA

reCAPTCHA helps you to protect your user login page against spam, malicious registrations, and other forms of attack where bots or malicious software pretend as humans to access your computer. reCAPTCHA can help you secure Access Manager against attacks such as denial-of-service (DoS) and brute-force, which can impact the system performance to a large extent.

reCAPTCHA provides an additional layer of security by requesting users to confirm that they are not a robot. It displays images that users must select based on a matching criteria. If a response succeeds, Access Manager authenticates the user’s authentication credentials. If a response fails, Access Manager does not authenticate the user credentials, and redirects to the login page. Software bots typically cannot scan the images to provide a response.

Access Manager supports the latest No CAPTCHA reCAPTCHA version of the reCAPTCHA. For more information, see Google reCAPTCHA. reCAPTCHA works on both: Name/Password – Form and Secure Name/Password – Form authentication.

The following sections provide information about configuring reCAPTCHA:

- “Prerequisites” on page 308
- “Configuring Intrusion Detection for Failed Logins” on page 308
- “Setting Up a reCAPTCHA Account” on page 309
Prerequisites

Ensure that you meet the following prerequisites before configuring the reCAPTCHA:

- One or more supported identity sources:
  - reCAPTCHA supports Active Directory, and eDirectory. It does not support other types of identity sources, such as Microsoft SQL Server or Oracle Database type identity sources that use the JDBC identity source connector.
  - Each identity source should be configured with an intrusion detection policy. For more information, see “Configuring Intrusion Detection for Failed Logins” on page 308.
- A Google reCAPTCHA account. For more information, see “Setting Up a reCAPTCHA Account” on page 309.

Configuring Intrusion Detection for Failed Logins

Someone who attempts to use more than a few unsuccessful passwords while trying to log on to your system might be a malicious user. reCAPTCHA cannot prevent attacks by malicious users who can read the image. It cannot differentiate between malicious users and legitimate users. reCAPTCHA cannot prevent coordinated human DoS attacks.

To prevent brute-force or human attacks that bypass the reCAPTCHA protection, you should enable the user’s identity source to respond to this type of potential attack by disabling the user account for a preset period of time after a specified number of failed login attempts.

The supported identity sources have the following built-in intrusion detection systems:

Active Directory Account Lockout Policy: Active Directory allows you to specify an account lockout policy for users and global security groups in a domain. Set the policy on the domain group policy object from the domain controller.

To configure the Account Lockout Policy settings:

1. Log in as an Active Directory administrator user to the Windows Server that hosts Active Directory Domain Services (the domain controller).
2. Configure the Account Lockout Policy on the group policy object for the domain controller.
   - For more information, see the Account Lockout Policy in Microsoft TechNet Library.
3. Verify that the Account Lockout Threshold value is higher than the number of failed login attempts you plan to specify for Start reCAPTCHA at in the reCAPTCHA tool.
4. Repeat these steps for each configured Active Directory identity source.

eDirectory Intruder Lockout Policy: eDirectory allows you to enable intruder detection and specify an Intruder Lockout policy for the container object where your user objects reside.

To configure eDirectory Intruder Detection and Intruder Lockout Policy:

1. Log in as the eDirectory administrator user to the eDirectory server management console.
2. Configure Intruder Detection and the Intruder Lockout policy on the container object where your user objects reside.
   - For more information, see Setting Up Intruder Detection for All Users in a Container in the eDirectory 9.0 Administration Guide.
3 Verify that the Intruder Lockout value is higher than the number of failed login attempts you plan to specify for Start reCAPTCHA at in the reCAPTCHA tool.

4 Repeat these steps for each configured eDirectory identity source.

After you have configured intrusion detection for the supported identity sources, continue with “Setting Up a reCAPTCHA Account” on page 309.

**Setting Up a reCAPTCHA Account**

Before configuring reCAPTCHA, you must set up a reCAPTCHA account.

To set up an account, perform the following steps:

1 Log in to the Google reCAPTCHA website.
2 Click Get reCAPTCHA > Sign up Now.
3 Specify a label and the registered domains.
4 Click Register.
5 Make a note of Site Key and Secret Key for future use.
6 Continue with “Configuring reCAPTCHA” on page 309.

**Configuring reCAPTCHA**

To configure reCAPTCHA, perform the following steps:

1 Click Devices > Identity Server > Servers > Edit > Local > Classes > Name/Password – Form OR Secure Name/Password – Form. Click Properties.
2 Select Enable reCAPTCHA.
3 Specify the threshold value. It is the number of failed attempts before you are prompted for reCAPTCHA authentication.
4 Specify the value that you noted down when setting up your reCAPTCHA account, for the following fields:
   - Site Key
   - Secret Key
   For more information, see “Setting Up a reCAPTCHA Account” on page 309.
5 Click OK, then update Identity server.

**Enabling reCAPTCHA in Login Page**

To enable reCAPTCHA in login page, see “Enabling reCAPTCHA in Login Page” on page 205.

### 5.1.3 Configuring Authentication Methods

Authentication methods let you associate authentication classes with user stores. You use a particular authentication class to obtain credentials about an entity, and then validate those credentials against a list of user stores.

After the system locates the entity in a particular user store, no further checking occurs, even if the credentials fail to validate the entity. Typically, the entity being authenticated is a user, and the definition of an authentication method specifies whether this is the case. You can alter the behavior of an authentication class by specifying properties (name/value pairs) that override those of the authentication class.
To configure a method for an authentication class:

1. Click Devices > Identity Servers > Edit > Local > Methods.
2. To delete a method, select the method, then click Delete.
   A method cannot be deleted if a contract is using it.
3. To modify an authentication method, click its name, or to create one, click New.
4. Fill in the following fields:
   - **Display Name**: The name to be used to refer to the new method.
   - **Class**: The authentication class to use for this method. See Section 5.1.2, “Creating Authentication Classes,” on page 302.
   - **Identifies User**: Specifies whether this authentication method must be used to identify the user. Usually, you must enable this option. When configuring multiple methods for a contract, you might need to disable this option for some methods.
     - If you enable this option on two or more methods in a contract, these methods need to identify the same user in the same user store.
     - If you enable this option on just one method in the contract, that method identifies the user when the authentication method succeeds. The other methods in the contract must succeed, but might not authenticate the user. For example, the method that identifies the user could require a name and a password for authentication, and the other method in the contract could prompt for a certificate that identifies the user’s computer.
   - To achieve SSO on back end web application when the passwordfetch class is enabled, see TID.
   - **Overwrite Temporary User**: If you select this check box, then the temporary user credentials profile got form previous authentication method in the same session will be overwritten with real user credentials profile got from this authentication method.
   - **Overwrite Real User**: If you select this check box, then the real user credentials profile got form previous authentication method in the same session will be overwritten with real user credentials profile got from this authentication method.
5. Add user stores to search.
   You can select from the list of all the user stores you have set up. If you have several user stores, the system searches through them based on the order specified here. If a user store is not moved to the User stores list, users in that user store cannot use this method for authentication.
   - **<Default User Store>**: The default user store in your system. See Section 5.1.5, “Specifying Authentication Defaults,” on page 319.
6. (Optional) Under Properties, click New, then fill in the following fields:
   - **Advanced Authentication Property**: Select a property name from the list. For more information about each property, see “Optional Properties” on page 581.
   - **Property Name**: The name of the property to be set. This value is case sensitive and specific to an authentication class. The same properties that can be set on an authentication class can be set on the method.
     - You can use the method properties to override the property settings specified on the authentication class. For example, you might want to use the authentication class for multiple companies, but use a slightly different login page that is customized with the company’s logo. You can use the same authentication class, create a different method for each company, and use the JSP property to specify the appropriate login page for each company.
   - For information about the available properties for the basic and form classes, see “Specifying Common Class Properties” on page 305
The Radius classes have the following additional properties that can be set on the method:

- **RADIUS_LOOKUP_ATTR**: Defines an LDAP attribute whose value is read and used as the ID is passed to the RADIUS server. If not specified, the user name entered is used.

- **NAS_IP_ADDRESS**: Specifies an IP address used as a RADIUS attribute. You might use this property for situations in which service providers are using a cluster of small network access servers (NASs). The value you enter is sent to the RADIUS server.

If this method is part of a multi-factor authentication, you can set the following additional property:

- **PRINCIPAL_MISMATCH_ERR**: Specifies the error message to be displayed if this method identifies a different principal than other methods in the multi-factor authentication.

**Property Value**: The values associated with the **Property Name** field.

7 Click Finish.

8 Continue with Section 5.1.4, "Configuring Authentication Contracts," on page 311.

To use a method for authenticating a user, each method must have an associated contract.

## 5.1.4 Configuring Authentication Contracts

Authentication contracts define how authentication occurs. An Identity Server can have several authentication contracts available, such as name/password, X.509, or Kerberos. From the available contracts, you assign a contract to a specific resource or resources. It is access to a resource that triggers the authentication process. If the user has already supplied the required credentials for the contract, the user is not prompted for them again.

Each contract is assigned a URI that uniquely identifies it. This URI can be shared with other providers so that they can identify the type of credentials the Identity Provider is requiring. You can also restrict a contract so that it can only be used for local authentication and not with other providers.

1 Click Devices > Identity Servers > Edit > Local > Contracts.

2 To delete a contract, select the contract, then click Delete. You cannot delete a contract if it is in use by an Access Gateway.

3 To create a new contract, click New.

4 Fill in the following fields:

   **Display name**: Specifies the name of the authentication contract.

   **URI**: Specifies a value that uniquely identifies the contract from all other contracts. It is used to identify this contract for external providers and is a unique path value that you create. No spaces can exist in the URI field.

   The following are all valid values for the URI:

   /mycompany/name/password/form  
   http://mycompany.com/login  
   secure/form/password/bcompany

   **Password expiration servlet**: Specifies a URL to a page where the user can change password when the password expires or is within the grace login period. You must use eDirectory to change the number of grace logins. Grace logins work only with eDirectory.

   For more information about how to use this type of servlet, see “Using a Password Expiration Service” on page 315.
**Allow User Interaction:** If you specify a password expiration servlet, you can enable this option, which allows the users to decide whether to go to the servlet and change their passwords or to skip the servlet. If you always want to force the users to go to the servlet to change their passwords, do not enable this option.

**Login Redirect URL:** You will be redirected to the URL specified in this field. Use this field for the following scenarios:

- Forcing the user to a specific home page after successful Access Manager authentication.
- Forcing the user to configure challenge/response forgotten password questions

For more information about the URL parameters, see "Using Login Redirect URL Parameters" on page 318.

**Allow User Interaction:** You can enable this option, which allows the user to decide whether to continue to access a pre-configured URL or to continue to the page that the user usually accesses. For example, the user may usually access www.a.com and have specified the redirect URL as `https://someservice.com/path/password?user=<USERID>&store=<STOREID>&returl=<RETURN_URL>` then, continue will allow you to continue with the website you access i.e. www.a.com and redirect URL will take you to the URL `https://someservice.com/path/password?user=<USERID>&store=<STOREID>&returl=<RETURN_URL>&action=expire` and then to www.a.com.

**Authentication Level:** A number you can assign to this authentication contract to specify its security level or rank. You use this setting to preserve authentication contracts of a higher security level. When you enable the Satisfiable by a contract of equal or higher level option on this page, the system uses this value as a reference.

For example, you might create a name/password authentication contract and assign it to level one. You might also create an X.509 authentication contract and assign it to level two. If a user supplies the credentials for the X.509 level-two contract, the system does not require the credentials to satisfy the name/password level-one authentication contract.

**Authentication Timeout:** Specify how long the session can be inactive before the user is prompted to log in again. The value can be from 5 minutes to 66535 minutes and must be divisible by 5.

If you modify the timeout value for a contract, the newly assigned value is given to users as they log in. Currently logged in users retain the old value until they re-authenticate.

You need to experiment to discover what values are best for your network configuration, your security requirements, and your users.

- Shorter timeouts increase back-channel traffic and require more threads for authentication checks, but quickly free resources that are being used by inactive users. If you have slow back-end services, users could get disconnected waiting for a response, and these disconnects can generate more authentication traffic.
- Longer timeouts, which allow inactive users to remain connected, increase memory requirements to store session information, but require fewer threads and don't generate as much back-channel traffic.

For example, if you set the timeout to 5 minutes, an authentication check needs to be done 12 times each hour for each user authenticating with this contract. If the timeout is set to 60 minutes, an authentication check is done only one time each hour for each user. However, for the 5 minute timeout, resources can be freed within 5 minutes of inactivity by the user. For the 60-minute timeout, resources can take as long as 60 minutes to be freed, depending upon when the user goes inactive.
NOTE: In case of Name/Password - Basic and Secure Name/Password - Basic contracts applied to a protected resource, then you won’t find the session as timed out, as the session gets renewed after timeout without user intervention using the Basic header sent from browser to Identity Provider.

For information about how to use this feature with Access Gateway, see “Assigning a Timeout Per Protected Resource” on page 114.

Activity Realm(s): Specify the name of the realm that can be used to indicate activity. Use a comma-separated list to specify multiple realms. This allows a user’s session to be kept alive when the user is accessing resources that are protected by different contracts. If both contracts belong to the same realm, activity on either resource keeps the session alive on the other resource. For more information about this feature, see “Using Activity Realms” on page 318.

Satisfiable by a contract of equal or higher level: Allows the system to satisfy this authentication contract if a user has logged in using another contract of an equal or higher authentication level, as specified in the Authentication Level field of an authentication contract.

When you enable this option, you need to be aware of the authentication levels you have set for other contracts and the level that has been assigned to the default contract.

When the protected resource is configured with Name/Password - Form as Authentication procedure, the user authentication details are prompted with transient federation. This option must be enabled to avoid prompting for authentication in the Target Service Provider.

Satisfiable by External Provider: Allows this contract to be selected when configuring an identity provider for Liberty or SAML 2.0. When you configure the authentication request, you can select a contract that has this option enabled and require the identity provider to use this contract for authentication to succeed.

Requested By: Select one of the following options:

- Do not specify: Specifies that the identity provider can send any type of authentication to satisfy a service provider’s request, and instructs a service provider to not send a request for a specific authentication type or contract.

- Use Types: Specifies that authentication types must be used.
  
  Select the types from the Available types field to specify which type to use for authentication between trusted service providers and identity providers. Standard types include Name/Password, Secure Name/Password, X509, Token, and so on.

- Use Contracts: Specifies that authentication contracts must be used.
  
  Select the contract from the Available contracts list. For a contract to appear in the Available contracts list, the contract must have the Satisfiable by External Provider option enabled. To use the contract for federated authentication, the contract’s URI must be the same on the identity provider and the service provider. For information about contract options, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

  Most third-party identity providers do not use contracts.

Allowable Class: Specifies the class that instructs a service provider to send a request for a specific authentication type to the Identity Provider. You are allowed to modify this option only when you select authentication types.

NOTE: In SAML 2.0 federation with Access Manager as a service provider, if external identity provider is authenticating a user, it sends <AuthnContext> information after authentication in the response. Access Manager uses this <AuthnContext> to find a matching contract at the service provider to identify the user. It identifies the contract by trying to match <saml:AuthnContextClassRef> with AllowableClass attribute or <saml:AuthnContextDeclRef> with URI attribute of existing contracts at the service provider.
For example, if the external identity provider sends the following AuthnContext:

```
<saml:AuthnContext>
</saml:AuthnContext>
```

and if Access Manager (as a Service Provider) has a contract A with `uri = adroit:login:user:np` or with `Allowable class = urn:oasis:names:tc:SAML:2.0:ac:classes:Password`, then it matches the contract.

**NOTE:** The Allowable class field is blank when an inbuilt Authentication Class is used in Identity Server.

**Methods and Available Methods:** Specifies the authentication method to use for the contract. You can specify the order in which the methods are executed for login; however, this is not a graded list, so all the methods you specify are required. Available methods are the authentication methods you have set up.

You can enable the multi-factor authentication by associating more than one methods to a contract.

If you add more than one X.509 method, only the first one is used and it is automatically moved to the top of the list.

When you choose a secure method, such as Secure Name/Password, ensure that you have enabled security for Identity Server configuration by setting the protocol to HTTPS. See Chapter 17, “Enabling SSL Communication,” on page 875.

5 Click Next.

6 Configure a card for the contract by filling in the following:

- **ID:** (Optional) Specify an alphanumeric value that identifies the card. If you need to reference this card outside of Administration Console, you need to specify a value here. If you do not assign a value, Identity Server creates one for its internal use.

- **Text:** Specify the text that is displayed on the card to the user.

- **Image:** Specify the image to be displayed on the card. Select the image from the drop down list. To add an image to the list, click Select local image.

- **Show Card:** Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.

- **Passive Authentication Only:** Select this option if you do not want Identity Server to prompt the user for credentials. If Identity Server can fulfill the authentication request without any user interaction, the authentication succeeds. Otherwise, it fails.

7 Click Finish, then OK.

8 Update Identity Server and any devices that use Identity Server configuration.

9 To use this contract, you must configure Access Manager to use it:

   - You can assign it as the default contract for Identity Server. See Section 5.1.5, “Specifying Authentication Defaults,” on page 319.

   - You can configure a protected resource to use it. See Chapter 3.8, “Protecting Web Resources Through Access Gateway,” on page 96.
5.1.4.1 Configuring Options for an Authentication Contract

You can configure an authentication contract to perform the following actions:

- To redirect a user trying to log in to the authentication contract with an expired password to the password management URI. For more information about how and where to use this option, see “Redirection to Password Management Servlet Protected by Access Gateway When Password Expires” on page 317.
- To hide contracts with equal levels.

Perform the following steps:

1. Click Identity Servers > Edit > Local > Contracts > [Contract Name].
2. Click Options > New.
3. Specify the following details:

<table>
<thead>
<tr>
<th>Property</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AUTHENTICATE WITH EXPIRED PASSWORD</td>
<td>Select the Property Value as true if you want to redirect a user logging with an expired password to the password management URI protected by an Access Gateway.</td>
</tr>
<tr>
<td>HIDE CARDS WITH EQUAL LEVEL</td>
<td>Select the Property Value as true if you want to disable showing any other higher level authentication cards, if Satisfiable by a contract of equal or higher level is enabled.</td>
</tr>
<tr>
<td>OTHER</td>
<td>Specify Property Name and Value if you want to configure any other property for this contract.</td>
</tr>
</tbody>
</table>

4. Click OK.

5.1.4.2 Using a Password Expiration Service

Access Manager works with any password management service that works with your user store. For an implementation example, see Configuring Access Manager for UserApp and SAML.

Configure the following options:

- “URL Parameters” on page 316
- “Forcing Authentication after the Password Has Changed” on page 316
- “Grace Logins” on page 317
- “Federated Accounts” on page 317
- “Redirection to Password Management Servlet Protected by Access Gateway When Password Expires” on page 317
URL Parameters

When you are defining the URL for the password service on the Contracts page, the following optional tags can be used in the parameter definitions of the URL. You need to use parameter names that are understood by the service you have selected to use. Identity Server does not need to understand these parameters, but the password expiration service needs to understand them.

The table below lists a few common ones. Your service might or might not use these, and might require others.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;USERID&gt;</td>
<td>Provides the DN of the user with a password that is expired or expiring.</td>
</tr>
<tr>
<td>&lt;STOREID&gt;</td>
<td>Provides the name of the user store that authenticated the user before redirecting the user to the password expiration service.</td>
</tr>
<tr>
<td>&lt;RETURN_URL&gt;</td>
<td>Provides the URL at Identity Server to which the user can be redirected after the password service completes.</td>
</tr>
<tr>
<td>action=expire</td>
<td>Causes the password expiration service to behave as though the user's password policy is set to allow the user to reset the password even though the user's policy might be set to show the user a hint. The user sees the page to create a new password rather than seeing a hint for an existing password.</td>
</tr>
</tbody>
</table>

For example:

https://someservice.com/path/password?user=<USERID>&store=<STOREID>&returl=<RETURN_URL>&action=expire

NOTE: If you copy and paste this text, ensure that you remove the white space between <STOREID> and &returl.

Identity Server fills in these values, which results in the following URL:


Forcing Authentication after the Password Has Changed

The password service can also include parameters on the return URL sent to Identity Server. Identity Server understands the following parameter:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>forceAuth=TRUE</td>
<td>When the user is returned to Identity Server, this parameter forces the user to authenticate with the new password. This eliminates the possibility of an old password being used in an Identity Injection policy.</td>
</tr>
</tbody>
</table>

The following example sends this parameter with https://testnidp.novell.com:8443 as the base URL of Identity Server.

<form id="externalForm" action='https://testnidp.novell.com:8443/nidp/idff/sso?sid=0&id=117&forceAuth=TRUE' method="post">

When the user is redirected to the password management service URL because of an expired password, the POST data in that redirect contains the sid=<> and id=<> values as part of the value used for Identity Server return URL.
Grace Logins

If you specify a password service and do not specify a value for the number of grace logins in eDirectory, the contract redirects to the password management service only when the grace login count has reached 0 and the password has expired.

Identity Server needs to read the value of the grace login attribute to properly redirect to the password management servlet. If restricting grace logins is not important to your security model, enable grace logins and set the maximum to 9999 (the equivalent of infinite in most environments). For more information, see TID 3465171 (http://www.novell.com/support/php/search.do?cmd=displayKC&docType=kc&externalId=3465171&sliceId=2&docTypeID=DT_TID_1_1&dialogID=131458644&stateId=0%200%20131454892).

Federated Accounts

A user’s password does not expire and grace logins are not decremented when you have the following setup:

- Identity Server is configured to act as a service provider
- User identification is configured to allow federation
- Federation is set up with SAML 2.0, Liberty, or WS Federation protocols

The password expiration service is not called because the user is not using a password for authentication. The service can only be called when the user’s account is defederated. After the user has defederated the account, the next time the user logs in, a password is required and the service is called.

Redirection to Password Management Servlet Protected by Access Gateway When Password Expires

When an Active Directory user with an expired password logs in to an authentication contract with a Password Expiration servlet configured, the user is redirected to the password management URI. If the Password Management portal is protected by Access Manager, the user is prompted again for authentication and is not permitted to login as the user password has expired.

If you want the user to be redirected to the Password Management Servlet, perform the following steps:

1. Click Devices > Identity Servers > Edit > Local > Methods.
2. Select the authentication method, which is used by the contract where Password Management Servlet is configured.
3. Add the following property for the method used by contract with Password Expiration servlet:
   - Property Name = ExpiredCheck
   - Property Value = true
4. Go to Identity Servers > Edit > Local > Contracts.
5. Click the associated contract and then click Options > New.
6. Select AUTHENTICATE WITH EXPIRED PASSWORD in Property Type and true in Property Value.
7. Click OK > Apply, and then Update Identity Server.
5.1.4.3 Using Login Redirect URL Parameters

When you are defining the URL for login redirect URL on the Contracts page, the following optional tags can be used in the parameter definitions of the URL. You need to use parameter names that are understood by the service you have selected to use. The login redirect URL must understand the name-value pairs you have defined and will use the resolved values in the redirected URL.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;USERID&gt;</td>
<td>Provides the DN of the user with a password that is expired or expiring.</td>
</tr>
<tr>
<td>&lt;STOREID&gt;</td>
<td>Provides the name of the user store that authenticated the user before redirecting the user to the password expiration service.</td>
</tr>
<tr>
<td>&lt;RETURN_URL&gt;</td>
<td>Provides the URL at Identity Server to which the user can be redirected after the password service completes.</td>
</tr>
</tbody>
</table>

For example:

https://someservice.com/path/password?user=<USERID>&store=<STOREID>&returl=<RETURN_URL>

**NOTE:** If you copy and paste this text, ensure that you remove the white space between `<STOREID>` and `&returl`.

Identity Server fills in these values, that results in the following URL:


In addition to the above three parameters you can also configure other parameters.

5.1.4.4 Using Activity Realms

Activity realms are designed to be used with an Access Manager system that uses multiple contracts to protect resources that require different activity timeouts. Activity realms allow you to define how activity at one protected resource affects the activity timeout at another protected resource.

An activity realm essentially represents a time line that tracks the last activity for any resource that is protected by a contract assigned to the activity realm. When a protected resource is accessed, the activity realm associated with the contract is marked as having activity. The contract times out for a protected resource when the elapsed time for activity on the activity realm is greater than the time limit specified in the contract.

For example, suppose you create an activity realm called shared1 and assign it to contract C1 with a timeout of 30 minutes and to contract C2 with a timeout of 15 minutes. Any activity at the resource protected by C1 or C2 marks activity to the shared1 time line. Figure 5-3 illustrates this scenario.

**Figure 5-3** Two Contracts Sharing an Activity Realm
In Figure 5-3, the user logs into PR1 at time 0, then logs into PR2 at time 6. During the next 30 minutes, the user is active on PR1. The time line for the shared1 activity realm is updated with the user’s activity. The user then access PR2 at time 38. Even though no activity has taken place on PR2 for more than the 15-minute contract timeout, PR2 does not time out because activity has occurred within this time at PR1 and because the resources share the same activity realm. Assigning two or more contracts to the same activity realm allows the contracts to influence the timeouts of the other contracts in the activity realm.

When you configure protected resources to use different contracts with different timeouts, they can keep each other alive when they share the same activity realm. If protected resources must not affect each other’s activity, they must not share a common activity realm.

You can assign a contract to multiple activity realms. With this configuration, activity on a resource updates the time lines of all activity realms associated with the contract. As long as one of the activity realms has activity within the contract’s timeout limit, the user’s session remains authenticated.

Activity realms are defined by specifying a name, and the names are case insensitive. Use a comma-separated list to specify multiple names. The system has two default realms that you can use:

- **Any**: Leave the field blank or specify any when you want the user’s session to remain alive as long as there is some activity by the user at Access Gateway or at Identity Server. When Identity Server receives an assertion from another Identity Server that cannot be mapped to a contract, the activity realm is set to any with the timeout value equal to the value of the Tomcat session. (The Tomcat session timeout is set to the greatest timeout value of the contracts configured for Identity Server.)
- **NIDPActivity**: Specify NIDPActivity for the realm when any activity at Identity Server by the user can be used to keep the user’s session alive.

When you place multiple contracts in the same activity realm, you need to plan carefully so that security limits aren’t overruled by activity on less critical protected resources. You also need to carefully balance the desire for single sign-on with the need to require reauthentication for sensitive data. Highly sensitive resources are most secure when they are protected by a contract that is created from its own unique method and that is assigned its own unique activity realm. For more information, see “Assigning a Timeout Per Protected Resource” on page 114.

### 5.1.5 Specifying Authentication Defaults

You can specify default values for how the system processes user stores and authentication contracts. The default contract is executed when users access the system without a specified contract, and when Access Gateway is configured to use any authentication.

Additional default contracts can be specified for well-known authentication types that might be required by a service provider. These contracts are executed when a request for a specific authentication type comes from a service provider.

1. Click Devices > Identity Servers > Edit > Local > Defaults.
2. Configure the following fields as necessary:

   - **User Store**: Specifies the default user store for local authentication. If you selected <Default User Store> when configuring an authentication method, the system uses the user store you specify here.

   - **Authentication Contract**: Specifies the default authentication contract to be used when users access Identity Server directly or a protected resource is configured to use Any Contract. If you create a new contract and specify it as the default, ensure that you update Access Gateway configuration if it has protected resources configured to use Any Contract.
**Authentication Type:** Specifies the default authentication contracts to be used for each authentication type. When a service provider requests a specific authentication type, rather than a contract, the identity provider uses the authentication contract specified here for the requested authentication type. For more information, see “Specifying Authentication Types” on page 320.

3 Click OK.

4 Update Identity Server.

### 5.1.5.1 Specifying Authentication Types

Trusted service providers can send Identity Server an authentication request that contains a request for contract or for an authentication type. When the request is for an authentication type, Identity Server must translate the type to a contract before authenticating the user. You can use the **Authentication Type** section of the Defaults page to specify which contract to use for the common types (classes).

Identity Server has not implemented all possible types. For types that do not appear on the Defaults page, you can do one of the following:

- You can define a contract for the class whose URI matches the requested class type. When the authentication request is received, Identity Server uses the URI to match the request with a contract.

  When you create such a contract, you are stating that the contract is security equivalent to the class that is being requested. For configuration information, see "Creating a Contract for a Specific Authentication Type" on page 320.

- You can use the Trust Levels class to assign an authentication level for the requested class. This level is used to rank the requested type. Using the authentication level and the comparison context, Identity Server can determine whether any contracts meet the requirements of the request. If one or more contracts match the request, the user is presented with the appropriate authentication prompts.

  For configuration information, see “Configuring the Trust Levels Class” on page 154.

### 5.1.5.2 Creating a Contract for a Specific Authentication Type

The following steps explain how to create a contract that matches what a trusted service provider is asking for in its authentication request.

1 Click Devices > Identity Servers > Edit > Local > Contracts.

2 To create a new contract, click **New**.

3 Fill in the following fields:

   **Display name:** Specifies the name of the authentication contract.

   **URI:** Specifies a value that uniquely identifies the contract from all other contracts. This value must match what the service provider is sending in its authentication request for the type.

   **Authentication Level:** (Optional) Specify a security level or rank for the contract. This value is not used when authentication request sets the comparison type to exact. It is only used when a contract is selected based on a comparison of authentication levels.

   If the service provider sets the comparison type to minimum, the authentication level can be the same or higher. If the comparison type is set to better, the authentication level must be higher.

   **Methods:** Select the method that matches the class or type you specified in the URI.
The other fields for the contract are not requirements of the authentication request and can be configured to meet the requirements of Identity Server. For information about these fields, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

4 Click Next.
5 Configure an authentication card for the contract.
   For information about these fields, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
6 Click Finish, then OK.
7 Update Identity Server.

### 5.1.6 Persistent Authentication

This authentication class stores user session on the browser after successful login. When the user is prompted for authentication subsequently, this class will reuse the saved authentication instead of prompting the user for credentials. The user will be prompted for credentials again only when the cookie lifetime expires. This authentication class is used only for applications that do not require very high security. You can configure persistent authentication as a standalone class.

#### 5.1.6.1 Frequent Re-authentication Using Password

This class helps in configuring websites that have low security such as enterprise forums. Frequently typing the password to re-authenticate may be vulnerable and cause security issues. To avoid this with PersistentAuthClass configuration you will not be required to re-authenticate using the password frequently. For sites that you use a low-grade identity for example, enterprise forums or some web sites that restrain your preferences, having to re-authenticate every few-hours is annoying. Some web sites offer the remember my password feature that will not ask the user to re-authenticate if you select this option. This class provides that remember my password functionality so that the user does not need to frequently re-authenticate.

#### 5.1.6.2 PersistentAuthClass Properties

You can set the following class properties in the configuration file.

- **CryptoKey**: This key is used to encrypt the user’s information in the cookie. If this value is long and random, the user information will be secure. The value must be at least ten characters. The certificate private key will be used if you do not set this value. The certificate private key will be used if you do not set this value. If you change or update the certificate, the user is re-authenticated.
- **CookieSuffix**: The Cookie Name is derived using this suffix. PA_ is added as a prefix to the cookie name. By default, cookie name is PA_PERSISTENT_AUTH. For example, if you configure the CookieSuffix as PER_AUTH, Identity Server sends cookie with PA_PER_AUTH name at browser.
- **MaxAgeSeconds**: This property will decide the cookie lifetime. Default value is 86400 seconds (1 day). Maximum value is 4294967295 seconds.
- **ParamName**: The name of the HTTP parameter to enable this feature. The default value of the parameter is EnableCookieAuth. If you want to modify the default value of parameter name for example to TestCookieName, follow the procedure given below.
  1. Login to Identity Server.
  2. Go to /opt/novell/nids/lib/webapp/jsp
  3. Open login.jsp file using an editor.
4. Search for EnableCookieAuth parameter name and provide the new name as TestCookieName in the input tag.

5. Ensure that you select the Remember Me option.

   
   This value is used by Identity Server to identify if user has enabled Remember Me option on the login page.

5.1.6.3 Customizing Login Page For Persistent Authentication

To enable Remember Me option on a custom login page, use the following custom code:

```html
<td align=left>
<input type="checkbox" name="EnableCookieAuth" value="true" /> <label> Remember Me
</label>
</td>
</tr>
<tr>
<td>
<span class="instructions">&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;(Do not check this box if you are using public computer.)</span>
</td>
</tr>
```

**NOTE:**

- Ensure that you add the MainJSP and JSP in the method property.
- This class doesn't replace the form-based contract. For more information, see “Customizing Identity Server Login Page” on page 206.

5.1.6.4 Configuring Persistent Authenticator Class

The following procedure allows you to configure the PersistentAuthClass. Persistent Authentication supports method properties described in the following section.

1. Login to Administration Console.
2. Click Devices > Identity Servers > Edit > Local > Classes.
3. Click New, then specify a Display name for example, PersistentAuth.
4. Select PersistentAuthClass from the Java Class drop-down list.
5. Click New to create a new authentication class.
6. In the Add property window, specify the following values. Specifying these values are optional.
   
   - **Property Name:** Specify the name of the property. For more information on the names you can specify here, see “PersistentAuthClass Properties” on page 321
   - **Property Value:** Specify the property value you would like to define here.
7. Click OK and Finish.
8. Continue with creating a contract and method for this class.

For configuration information, see Section 5.1.3, “Configuring Authentication Methods,” on page 309 and Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
5.1.6.5 Logging Out of the Persistent Sessions

When a user performs an explicit logout, Identity Server clears the persistent authentication cookie at browser if the logout request goes through the browser. If SOAP communication is used between the service provider and Identity Server, then Identity Server does not clear the cookie automatically. The cookie can only be cleared by sending a request to a page on the server that issued it. If the page is available on Identity Server, the clearCookieAuth.jsp file clears the page. You must customize the service provider's logout page to run Identity Server's clearCookieAuth.jsp page.

The clearCookieAuth.jsp file clears it. The URL for this page will be https://idpserver.example.com/nidp/clearCookieAuth.jsp. Any request to that URL will clear the authentication cookie.

With this class in use, the user will be unable to logout of the system because re-accessing any protected page will simply re-authenticate the user using the user information stored in the browser. There are at least two ways to invalidate an outstanding browser stored authentication cookie. The first is to change the user's password and second is to clear the stored cookie from the browser. Only way to invalidate the cookie is to change the encryption key used. The cookie that is created can only be cleared by a request from the server which created it.

The following configurations are specific to the Novell service provider. If the users uses third party service provider, then the user must customize the logout pages.

In a federation scenario add the following to the logoutSuccess.jsp file at /opt/novell/nam/idp/webapps/nidp/jsp/ of the service provider. You can have logout page redirect to this page, or have an <iframe> that references the page. You may also customize the /opt/novell/nam/mag/webapps/nesp/jsologinLogoutSuccess.jsp file to provide login links or instructions to your user.

```html
<tr>
  <td><iframe src="https://idp.labs.com:8443/nidp/jsp/clearCookieAuth.jsp" width="0" height="0"> </td>
</tr>
```

where idp.labs.com is the URL of Identity Server.

5.1.6.6 Limitations

Following are the limitations with the Persistent Authentication Class:

- User is authenticated even if the password is changed.
- If the user is already logged in with Remember Me option enabled, you will be unable to stop the session until the cookie expires.

5.1.7 Client Integrity Check

You can configure a client integrity check policy to verify the recommended software (such as firewall and antivirus software) are installed on the client machine. You can configure different policies for Windows, Linux, and Macintosh machines and specify software that must be available in client machines to pass the client integrity check.

You need to create an identity provider authentication class that checks for the specified software on the client machine. You can configure policies to check processes, files, Windows registry, system services, and so on. This class can be executed with the first method of the contract. If the check fails, the user authentication fails.
5.1.7.1 Configuring Client Integrity Check

1. Download the Client Integrity Check (CIC) package from the CIC Package download page (https://www.netiq.com/documentation/access-manager-43/resources/CICtool_utility.tar.gz) and extract the CIC_utility.tar.gz file.

Specifying details for the Operating System

2. Traverse to CICtool/conf/config.xml file. Add the following details to the config.xml file:

```xml
<OperatingSystem Name="Linux" UserInterfaceID="Linux" CICOSID="Linux"> . . . </OperatingSystem>
```

To define operating system details for Windows and Macintosh, substitute UserInterfaceID, Name and CICOSID with Macintosh or Windows.

**NOTE:** The attribute Name indicates the identifier for the operating system. Ensure that you use the same identifier for UserInterfaceID and CICOSID.

Adding a Category

A category is a group of similar software. For example, a firewall category can contain a list of firewalls such as the Windows Firewall and ZoneAlarm firewall. You can configure multiple software categories under each operating system in single cic policy.

When multiple categories are configured for an operating system, if one of the enabled category does not exist on the client, the client integrity check fails.

3. A category can be added to an operating system by adding `<Type>` tag in config.xml as follows:

```xml
<OperatingSystem Name="Linux" UserInterfaceID="Linux" CICOSID="Linux"> <Type Name="Firewall_Linux" UserInterfaceID="Firewall_Linux" Status="true" CICTypeID="Firewall_Linux"> . . . </Type> <Type Name="Antivirus_Linux" UserInterfaceID="Antivirus_Linux" Status="true" CICTypeID="Antivirus_Linux"> . . . </Type></OperatingSystem>
```

As described in this example, multiple categories can be configured under an operating system. The Name attribute inside the `<Type>` tags indicate the category name. Set status to true to enable a specific category.

Adding Applications for a Category

A category consists of group of applications. You can add more than one application under a category. A client workstation is checked for the presence of any one of the software items in the category. If at least one of the enabled application definition exists on the system, the client integrity check passes for that category.

4. To configure applications to a category, add `<Info>` tag as shown below.

```xml
<OperatingSystem Name="Linux" UserInterfaceID="Linux" CICOSID="Linux"> <Type Name="Firewall_Linux" UserInterfaceID="Firewall_Linux" Status="true" CICTypeID="Firewall_Linux"> <Info Name="FireStarter" UserInterfaceID="FireStarter" Status="true"> . . . </Info> </Type> <Type Name="Antivirus_Linux" UserInterfaceID="Antivirus_Linux" Status="true" CICTypeID="Antivirus_Linux"> <Info Name="AntiVir" UserInterfaceID="AntiVir" Status="true"> . . . </Info> </Type></OperatingSystem>
```

The Name attribute inside the `<Info>` tags indicate the application name. Set status to true to enable a specific application.

**NOTE:** To enable an application you must have already enabled the category that it belongs to.

Adding Attributes for an Application
After you have added an application to a category, you must configure the attributes for each of these applications. These attributes can be in the form of RPMs, processes, registry keys, or executable files. The client integrity check detects the presence of these attributes.

5 These attributes can be configured under each application by adding attribute type tags to `config.xml` as follows:

```xml
<OperatingSystem Name="Linux" UserInterfaceID="Linux" CICOSID="Linux"> <Type Name="Firewall_Linux" UserInterfaceID="Firewall_Linux" Status="true" CICTypeID="Firewall_Linux"> <Info Name="FireStarter" UserInterfaceID="FireStarter" Status="true"> <AbsoluteFile UserInterfaceID="0" Name="/var/lock/subsys/firestarter" HashMD5="" /> <RPM UserInterfaceID="1" Name="FireStarter" Version="0.9.3" /> </Info> </Type> <Type Name="Antivirus_Linux" UserInterfaceID="Antivirus_Linux" Status="true"> <Info Name="AntiVir" UserInterfaceID="AntiVir" Status="true"> <Process UserInterfaceID="0" Name="antivir" Owner="root" /> <AbsoluteFile UserInterfaceID="1" Name="/usr/lib/AntiVir/avguard" HashMD5="ss" /> </Info> </Type> </OperatingSystem>
```

In this example, `<AbsoluteFile>`, `<RPM>`, `<Process>`, `<AbsoluteFile>` are examples of attribute type tags and fields like `Name`, `Version`, `Owner` are examples of attribute names.

For more information about attributes for applications on different operating systems, see "Configuring Attributes for an Application" on page 327

Client Security Levels

6 You can configure different levels of client security. For more information about the different levels of client security, see "Client Security Levels" on page 327

The security level can be configured by adding the following details to the `config.xml` file:

```xml
<SecurityLevel Name="None" UserInterfaceID="None" DisplayMessage="Client Integrity failed" SecurityLevelID="None" CICReferenceCount="0" TrafficReferenceCount="1" /> <SecurityLevel Name="Low" UserInterfaceID="Low" DisplayMessage="Your workstation is at Least Secure Level" SecurityLevelID="1" CICReferenceCount="3" TrafficReferenceCount="1"> . . . </SecurityLevel>
```

The value of the `Name` field can be `None`, `Low`, `Moderate` and `High`, and the `SecurityLevelID` value in each case must be `None`, `1`, `2` and `3` respectively.

Adding Operating System details to the Security Level

7 Under each security level, an operating system can be configured by adding `<CICOS>` tag as follows:

```xml
<SecurityLevel Name="Low" UserInterfaceID="Low" DisplayMessage="Your workstation is at Least Secure Level" SecurityLevelID="1" CICReferenceCount="3" TrafficReferenceCount="1"> <CICOS UserInterfaceID="Linux" CICOSIDRef="Linux"> . </CICOS> <CICOS UserInterfaceID="Windows" CICOSIDRef="Windows"> . </CICOS> <CICOS UserInterfaceID="Macintosh" CICOSIDRef="Macintosh"> . . . </CICOS> </SecurityLevel>
```

This example shows configuration of operating system for security level `Low`. Other levels can be incorporated in the same manner.

8 Under each operating system, category can be configured by adding `<CICType>` tag as follows:

```xml
<SecurityLevel Name="Low" UserInterfaceID="Low" DisplayMessage="Your workstation is at Least Secure Level" SecurityLevelID="1" CICReferenceCount="3" TrafficReferenceCount="1"> <CICOS UserInterfaceID="Linux" CICOSIDRef="Linux"> <CICType UserInterfaceID="Firewall_Linux" CICTypeIDRef="Firewall_Linux" CICTypeStatus="true" /> <CICType UserInterfaceID="Antivirus_Linux" CICTypeIDRef="Antivirus_Linux" CICTypeStatus="true" /> </CICOS> <CICOS
```
9 Traverse to the CIC/CICtool/bin directory. Execute the CICtool binary by using the following command:

```
./CICtool ../conf/config.xml
```

This creates .txt policy files in the CICtext folder.

10 In Identity Server, create the following directories by using the following commands:

11 From the CICtext directory, copy the cic_linux.txt, cic_mac.txt and cic_windows.txt to the respective CIC system directory created in step 10.

   Use the following command to copy:

   Substitute idp login credentials with the server IPaddress, port, username and password to login to Identity Server.

12 From the CIC bin directory, copy the LinCic, MacCic and wincic.exe to the respective CIC system directory created in step 10.

   Use the following commands to copy:

   Substitute idp login credentials with the server IPaddress, port, username and password to login to Identity Server

13 Click Identity Server > Edit > Local > Classes > New

14 Specify a name for the class and select ClientIntegrityCheckClass in Java class. Click Next.

15 Click New and specify the following property name and property value:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>windowsBinary</td>
<td>/nidp/classUtils/windows/wincic.exe</td>
</tr>
<tr>
<td>windowsPolicy</td>
<td>/nidp/classUtils/windows/cic_windows.txt</td>
</tr>
<tr>
<td>linuxBinary</td>
<td>/nidp/classUtils/linux/LinCic</td>
</tr>
<tr>
<td>linuxPolicy</td>
<td>/nidp/classUtils/linux/cic_linux.txt</td>
</tr>
<tr>
<td>maci386Binary</td>
<td>/nidp/classUtils/mac/MacCic</td>
</tr>
<tr>
<td>maci386Policy</td>
<td>/nidp/classUtils/mac/cic_mac.txt</td>
</tr>
</tbody>
</table>

16 Click OK > Finish.

17 Create a method for this class and deselect Identifies User check box and set all other fields to default settings and click OK. For instructions, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.

18 Go to the Contracts tab and select CIC method from the Available Methods list and click OK. For instructions, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
5.1.7.2 Client Security Levels

You can configure the level of security configured at the client machine. You can decide the categories of software that you want to be present for each level.

You can configure the following security levels:

- **Least Secure**: Specifies the minimum categories of software that must be present on a client machine for the client to be at the lowest secure level. When a client is at a least secure level, you can configure the traffic policies so that the client has access to limited set of resources.

- **Moderately Secure**: Specifies the categories of software that must be present on a client machine for the client to be at a moderately secure level. When a client is at a moderately secure level, you can configure the traffic policies accordingly.

- **Secure**: Specifies the software categories that must be present on a client machine for the client to be secure. When a client is at a secure, the traffic policies can be configured so that the client has access to all or most of the protected resources, depending on the role of the client.

- **None**: If a client does not have any of the software such as firewall or antivirus specified in the client integrity check policy, then the security level of that client is None. When a client is at this level, the SSL VPN connection is established, but the client is given access to only a minimal set of resources.

5.1.7.3 Configuring Attributes for an Application

Specify details for the attributes. The following table lists the attributes for applications on different operating systems:

<table>
<thead>
<tr>
<th>Operating System</th>
<th>Attribute Type</th>
<th>Attribute Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linux RPM</td>
<td>Name:</td>
<td>Specify the name of the RPM that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td>Version:</td>
<td>Specify the version of the RPM that must be present on the client machine.</td>
</tr>
<tr>
<td>Process</td>
<td>Name:</td>
<td>Specify the name of the process that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td>Owner:</td>
<td>Specify the owner of the process.</td>
</tr>
<tr>
<td>Absolute File</td>
<td>Name:</td>
<td>Specify the name and absolute path of the file that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td>HashMD5:</td>
<td>Specify the MD5 checksum value of the absolute file. To calculate the MD5 checksum value of an absolute file located in your local system, click Select File to select the file. The MD5 checksum value of the selected file is displayed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>To calculate the MD5 checksum value for an absolute file that is on another system, remotely connect to that system, calculate the MD5 value, then copy the value in the HasMD5 field.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>NOTE</strong>: You can also copy the file from the remote system to the local system, then calculate the MD5 checksum by using the Select File option. However, this might change the MD5 value of the file during the process. If you want to use this method, then ensure that the file size and file contents did not change during the process.</td>
</tr>
</tbody>
</table>
### Macintosh

<table>
<thead>
<tr>
<th>Attribute Type</th>
<th>Attribute Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Package</td>
<td><strong>Name:</strong> Specify the name of the software package that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td><strong>Version</strong> Specify the version of the software package.</td>
</tr>
<tr>
<td>Process</td>
<td><strong>Name:</strong> Specify the name of the executable file that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td><strong>Owner:</strong> Specify the owner of the process.</td>
</tr>
<tr>
<td>Absolute File</td>
<td><strong>Name:</strong> Specify the name and absolute path of the file that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td><strong>HashMD5:</strong> Specify the MD5 checksum value of the absolute file. To calculate the MD5 checksum value of an absolute file located in your local system, click Select File to select the file. The MD5 checksum value of the selected file is displayed.</td>
</tr>
<tr>
<td></td>
<td>To calculate the MD5 checksum value for an absolute file that is on another system, remotely connect to that system, calculate the MD5 value, then copy the value in the HashMD5 field.</td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> You can also copy the file from the remote system to the local system, then calculate the MD5 checksum by using the Select File option. However, this might change the MD5 value of the file during the process. If you want to use this method, then ensure that the file size and file contents did not change during the process.</td>
</tr>
</tbody>
</table>

### Windows

<table>
<thead>
<tr>
<th>Attribute Type</th>
<th>Attribute Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process</td>
<td><strong>Name:</strong> Specify the name of the executable file that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td><strong>RegistryKeyName:</strong> Specify the registry key name. When you add this name, make sure that you also specify a value for RegistryKey Value.</td>
</tr>
<tr>
<td></td>
<td><strong>ValueName:</strong> Specifies the value for RegistryKey configured. The data found in this key value must be the absolute path of the folder where the process file is present.</td>
</tr>
<tr>
<td></td>
<td><strong>Version:</strong> Specify the version of the software process that must be running in the client machine.</td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> The version attribute specifies the Windows Explorer file version number.</td>
</tr>
</tbody>
</table>
### Configuring Authentication

#### RegistryKey

- **Name:** Specify the name and absolute path of the registry key that must be present on the client machine.

- **Value Name:** Specify the name of the registry key value.

- **Value Data:** Specify a data for the registry key value. This data can be for registry type REG_BINARY, REG_DWORD, REG_DWORD_LITTLE_ENDIAN, REG_MULTI_SZ, or REG_SZ. The value for REG_DWORD and REG_DWORD_LITTLE_ENDIAN is hexadecimal or decimal. The value of a REG_MULTI_SZ or REG_SZ can be a string value or, numeric or alphanumeric. The value of REG_BINARY can be binary or hexadecimal.

  The Value name and Value data are separated by a comparison operator such as `=`, `>`, `<`, `<=`, `>=`. You must always use `=` with a string or with the registry type REG_BINARY. You can use any comparison operator with other registry types.

  For example, if the registry key name is specified as `RegKey` with a Value Name of `RegValue`, a comparison operator of `=`, and a Value Data of `RegData`, the client integrity check process looks for the presence of `RegKey` with a value name `RegValue` = value data `RegData` on the client machine. If the registry is present with the specified values, the client passes the client integrity check.

**NOTE:** Registry keys are not case sensitive, and they can contain either a single backslash (`\`) or double backslash (`\\`).

  For example: One of the registry key descriptions is `HKEY_Local_Machine\Software\Symantec`. It can also be written as `HKEY_Local_Machine\Software\Symantec`.

#### Absolute File

- **Name:** Specify the name and absolute path of the file that must be present on the client machine.

- **Version:** Specify the version of the absolute file that must be running on the client machine.

- **HashMD5:** Specify the MD5 checksum value of the absolute file. To calculate the MD5 checksum value of an absolute file located in your local system, click `Select File` to select the file. The MD5 checksum value of the selected file is displayed.

  To calculate the MD5 checksum value for an absolute file that is on another system, remotely connect to that system, calculate the MD5 value, then copy the value in the `HasMD5` field.

**NOTE:** You can also copy the file from the remote system to the local system, then calculate the MD5 checksum by using the `Select File` option. However, this might change the MD5 value of the file during the process. If you want to use this method, then ensure that the file size and file contents did not change during the process.

#### Service

- **Name:** Specify the display name of the service.

- **Status:** Specify the status of the process in the client machine. The status of the process can be **Running** or **Stopped**.

---

<table>
<thead>
<tr>
<th>Operating System</th>
<th>Attribute Type</th>
<th>Attribute Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>RegistryKey</td>
<td>Name</td>
<td>Specify the name and absolute path of the registry key that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td>Value Name</td>
<td>Specify the name of the registry key value.</td>
</tr>
<tr>
<td></td>
<td>Value Data</td>
<td>Specify a data for the registry key value. This data can be for registry type REG_BINARY, REG_DWORD, REG_DWORD_LITTLE_ENDIAN, REG_MULTI_SZ, or REG_SZ. The value for REG_DWORD and REG_DWORD_LITTLE_ENDIAN is hexadecimal or decimal. The value of a REG_MULTI_SZ or REG_SZ can be a string value or, numeric or alphanumeric. The value of REG_BINARY can be binary or hexadecimal.</td>
</tr>
<tr>
<td></td>
<td>Value comparison Operator</td>
<td>The Value name and Value data are separated by a comparison operator such as <code>=</code>, <code>&gt;</code>, <code>&lt;</code>, <code>&lt;=</code>, <code>&gt;=</code>. You must always use <code>=</code> with a string or with the registry type REG_BINARY. You can use any comparison operator with other registry types.</td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> Registry keys are not case sensitive, and they can contain either a single backslash (<code>\</code>) or double backslash (<code>\\</code>).</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> One of the registry key descriptions is <code>HKEY_Local_Machine\Software\Symantec</code>. It can also be written as <code>HKEY_Local_Machine\Software\Symantec</code>.</td>
<td></td>
</tr>
<tr>
<td>Absolute File</td>
<td>Name</td>
<td>Specify the name and absolute path of the file that must be present on the client machine.</td>
</tr>
<tr>
<td></td>
<td>Version</td>
<td>Specify the version of the absolute file that must be running on the client machine.</td>
</tr>
<tr>
<td></td>
<td>HashMD5</td>
<td>Specify the MD5 checksum value of the absolute file. To calculate the MD5 checksum value of an absolute file located in your local system, click <code>Select File</code> to select the file. The MD5 checksum value of the selected file is displayed.</td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> You can also copy the file from the remote system to the local system, then calculate the MD5 checksum by using the <code>Select File</code> option. However, this might change the MD5 value of the file during the process. If you want to use this method, then ensure that the file size and file contents did not change during the process.</td>
<td></td>
</tr>
<tr>
<td>Service</td>
<td>Name</td>
<td>Specify the display name of the service.</td>
</tr>
<tr>
<td></td>
<td>Status</td>
<td>Specify the status of the process in the client machine. The status of the process can be <strong>Running</strong> or <strong>Stopped</strong>.</td>
</tr>
</tbody>
</table>
5.1.8 Mutual SSL (X.509) Authentication

Mutual authentication is used when a user is issued an X.509 certificate from a trusted source, and the certificate is then used to identify the user. To ensure the validity of the certificates, Access Manager supports both Certificate Revocation Lists (CRLs) and Online Certificate Status Protocol (OCSP) methods of verification.

To configure X.509 authentication, you need to create an authentication class, then configure the validation and attribute mapping options.

1. Log in to Administration Console.
2. Import the trusted root certificate or certificate chain of the Certificate authority into Identity Server trusted root store.
   For information about how to import trusted roots, see “Importing Public Key Certificates (Trusted Roots)” on page 871.
   Identity Server must trust the Certificate authority that created the user certificates.
3. To create the X.509 authentication class, click Devices > Identity Servers > Edit > Local > Classes.
4. Click New.
5. Specify a display name, then select X509Class from the drop-down menu.
6. Click Next.
7. Configure the validation options:
   Access Manager caches CRLs, so the revoked status of a newly revoked certificate is not picked up until the next cache refresh. For higher security requirements, use OCSP validation with CRL validation. You can select None, CRL, OCSP, OCSP-CRL, or CRL-OCSP validation. In a production environment, for highest security, select either OCSP-CRL or CRL-OCSP validation. The default setting is to check OCSP first, then CRL.
   **CRL Validation:** Checks the CRL. If you enable CRL validations, the CRL distribution point extension is read out of the user’s X.509 certificate. The CRL distribution point contains the URL where the complete CRL can be found, as published by the certificate authority. The system checks the CRL itself and then checks to see if the user certificate is in the revoked list. The system can get the CRL over HTTP and LDAP. If you are not expecting the distribution point in user certificates, you can specify a value in the LDAP URL option to get the CRL.
   Access Manager supports two schemes for a URL: http:// and ldap://.
   **OCSP Validation:** If OCSP validation is enabled, the Authority Info Access point (AIA) is read out of the user certificate, which contains the URL for the OCSP responder. A signed OCSP request for the user certificate is sent to OCSP responder. A signed OCSP response is received from the responder that has the revoked status for the user certificate. Alternately, if you are not expecting an AIA in a user certificate, you can specify a value in the OCSP responder URL field. The value you enter here overrides any OCSP responder URLs in a certificate.
   Access Manager supports two schemes for a URL: http:// and ldap://.
   **Disable Root CA Revocation Check:** Disables whether to check if a certificate authority has been revoked. This option checks the CRL and OCSP for the trusted root certificate in the chain. You can enable or disable this option for X.509 user authentication performance.
   If you enable the root CA revocation check, what Identity Server checks depends upon the certificates that have been added to Identity Server trust store. If the root certificate and the intermediate certificates in the chain are in the trust store, Identity Server only validates the client (leaf) certificate. If the trust store only contains the root certificate, the browser sends the intermediate and leaf certificates, which are then validated by Identity Server.
Configure the browser restart option.

Some browsers, such as Internet Explorer, keep the SSL session active until the user closes the browser. When the user logs in with the certificate on a smart card, then removes the card and logs out but does not close the browser, the SSL session is still active. If another user has access to the machine, that user can use the existing session.

To prevent this from happening, select **Force browser restart on logout**.

Click **Next**.

Continue with "Configuring Attribute Mappings" on page 331.

### 5.1.8.1 Configuring Attribute Mappings

The attribute mapping options allow you to specify how Identity Server maps the certificate to a user in the user store. **Subject name** is the default map.

1. Step 3 of the wizard or click **Devices > Identity Servers > Edit > Local > Classes > [Name of X.509 class] > Properties > Attributes**.

2. Configure attribute mappings.

   **Show certificate errors**: Displays an error page when a certificate error occurs. This option is disabled by default.

   **Auto Provision X509**: Enables using X.509 authentication for automatic provisioning of users. This option allows you to activate X.509 for increased security, while using a less secure way of authentication, such as username/password. Extra security measures can even include manual intervention to activate X.509 authentication by adding an extra attribute that is checked during authentication.

   An example of using this option is when a user authenticates with an X.509 certificate, a lookup is performed for a matching SASallowableSubjectNames with the name of the user certificate. When no match is found, and **Auto Provision X509** is enabled, the user is presented with a custom error page specifying to click a button to provide additional credentials, such as a username and password, or to start an optional Identity Manager workflow. If the authentication is successful, then the user’s SASallowableSubjectNames attribute is filled in with the certificate name of the user certificate.

   When **Auto Provision X509** is enabled, and the attribute that is used for subject name mapping is changed from the default sasAllowableSubjectNames, you need to ensure that the LDAP attribute that is used can store string values with a length as long as the longest client certificate subject name. For example, if you use the LDAP attribute title (which has an upper bound of 64 characters) the **Auto Provision X509** fails the provisioning part of the authentication if the client certificate subject name is longer 64 characters. The authentication works if a valid name and password is given. However, provisioning fails.

   **Attributes**: The list of attributes currently used for matching. If multiple attributes are specified, the evaluation of these attributes must resolve to only one user in the user store.

   The evaluation first does a DN lookup for subject name or directory name mapping. If this fails, the rest of the mappings are looked up in a single LDAP query.

   **Available attributes**: The available X.509 attributes. To use an attribute, select it and move it to the Attributes list. When the attribute is moved to the Attributes list, you can modify the mapping name in the Attribute Mappings section. The mapped name must match an attribute in your LDAP user store.
**Directory name:** Searches for the directory address in the client certificate and tries to match it to the DN of a user in the user store. If that fails, it searches the sasAllowableSubjectNames attribute of all users for a value that matches. The sasAllowableSubjectNames attribute must contain values that are comma-delimited, with a space after the comma. (For example, O=CURLY, OU=Organization CA or OU=Organization CA, O=CURLY.)

**Email:** Searches for the email attribute in the client certificate and tries to match it with a value in the LDAP mail attribute.

**Serial number and issuer name:** Lets you match a user’s certificate by using the serial number and issuer name. The issuer name and the serial number must be put into the same LDAP attribute of the user, and the name of this attribute must be listed in the **Attribute Mappings** section.

When using a Case Ignore String attribute, both the issuer name and the serial number must be in the same attribute separated by a dollar sign ($) character. The issuer name must precede the $ character, with the serial number following the $ character. Do not use any spaces preceding or following the $ character. For example: O=CURLY, OU=Organization CA$21C0562C5C4

The issuer name can be from root to leaf or from leaf to root. The issuer name must be comma-delimited with a space after the comma. (For example, O=CURLY, OU=Organization CA or OU=Organization CA, O=CURLY.)

The serial number cannot begin with a zero (0) or with a hexadecimal notation (0x). If the serial number is 0x0BAC05, the value of the serial number in the attribute must be BAC05. The certificate number is displayed in Internet Explorer with a space after every fourth digit. However, you must enter the certificate number without using spaces.

The LDAP attribute can be any Case Ignore List or Case Ignore String attribute of the user. If you are configuring your own attribute, ensure that the attribute is added to the Person class. When using a Case Ignore List attribute, both the issuer name and the serial number must be in the same list. The issuer name needs to be the first item in the list, with the serial number being the second and last item in the list.

**Subject name:** Searches for the Subject name of the client certificate and tries to match it to the DN of a user in the user store. If that fails, it searches the sasAllowableSubjectNames attribute of all users for a value that matches the Subject name of the client certificate. The sasAllowableSubjectNames attribute must contain values that are comma-delimited, with a space after the comma. (For example, O=CURLY, OU=Organization CA or OU=Organization CA, O=CURLY.)

3 Click Finish.

4 Create a method for this class.
   For instructions, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.

5 Create a contract for the method:
   For instructions, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
   If you want the user’s credentials available for Identity Injection policies, add the password fetch method as a second method to the contract. For more information about this class and method, see Section 5.1.11, “Password Retrieval,” on page 337.

6 Update Identity Server.

### 5.1.8.2 Setting Up Mutual SSL Authentication

SSL provides the following security services from the client to the server:

- Authentication and nonrepudiation of the server, using digital signatures
• Data confidentiality through the use of encryption
• Data integrity through the use of authentication codes

Mutual SSL provides the same things from the server to the client as SSL. It provides authentication and nonrepudiation of the client, using digital signatures.

1 Set up Access Manager certificates for security, and import them into the Access Manager system. (See Section 13, “Creating Certificates,” on page 851.)

2 Create an X.509 authentication class. (See Section 5.1.8, “Mutual SSL (X.509) Authentication,” on page 330.)

3 Create an authentication method using this class. (See Section 5.1.3, “Configuring Authentication Methods,” on page 309.)

4 Create an authentication contract using the X.509 method. (See Section 5.1.4, “Configuring Authentication Contracts,” on page 311.)

5 Update Identity Server cluster configuration. (See “Updating an Identity Server Configuration” on page 202.)

6 Update any associated Access Gateways to read the new authentication contract.

7 Assign the contract to protect resources.

    See Section 3.8.4, “Configuring Protected Resources,” on page 106.

8 Update Access Gateway.

### Customizing Certificate Errors

In case of certificate validation failure, the browser displays a standard Page expired error. If you want Identity Server to display an Access Manager error instead of the usual error messages provided by the browser, edit the `/opt/novell/nam/idp/conf/server.xml` by using the following procedure:

1 Search for the clientauth attribute in the `server.xml` file.

2 Modify the value of the clientauth attribute from the default value of false to want.

    **NOTE:** if you use clientauth=want in a connector, ensure that the connector also contains protocol="org.apache.coyote.http11.Http11.Http11Protocol".

3 Save the file and restart Identity Server by using the `rcnovell-idp restart` command.

    This setting ensures that the certificate is exchanged between the client and the server. This will result in a prompt being displayed on the browser during authentication.

4 Export the user and server certificate from Administration Console by using the Security > Certificates option.

    To avoid the untrusted certificate messages in browsers, import the trusted root certificate of the CA into your browsers. For details, see Section 30.5.2, “Resolving Certificate Import Issues,” on page 1082.

### 5.1.8.3 Configuring X.509 Authentication to Provide Access Manager Error Message

You can configure the X.509 class property and Identity Server to avoid the browser provided message and display Access Manager error message. This occurs when the SSL mutual handshake fails because of non availability of client certificate.
**NOTE:** You can specify the Port and URL name as per your environment. The URL name and port number specified in the following procedure is an example.

**Prerequisite:** To configure you must have a parent domain, for example, https://240onbox.provo.novell.com:8443/nidp/ and sub-domain https://onbox.provo.novell.com:8443/ available. You can also have a different port or IP combination.

To create a sub-domain, perform the following steps:

1. Create a secondary Ethernet in Identity Server with the IP address that you want to create the sub-domain.
2. Specify the sub-domain IP address and URL in the hosts file.

Perform the following steps to configure Access Manager specific error messages during X.509 authentication:

1. In Identity Server, navigate to the `/opt/novell/nam/idp/conf` directory.
2. Open the `server.xml` file using the vi editor.
3. Search for `<Connector NIDP_Name="connector"` and create a copy of the existing connector.
5. Save the `server.xml` file and exit.
7. Change Tomcat `context.xml` to set a same cookie for sub-domains. Ensure that the path is set to "/" as follows:

   ```xml
   <?xml version="1.0" encoding="UTF-8"?>
   <Context sessionCookiePath="/"
   sessionCookieDomain=".provo.novell.com">
   <!-- Disable session persistence across Tomcat restarts -->
   <Manager pathname="" saveOnRestart="false"/>
   </Context>
   ```
8. Change session proxying for setting this cookie.
8a. Navigate to Devices > Identity Servers > Edit > Options.
8b. Click **New** and specify the following details:
   - **Property Name:** CLUSTER COOKIE DOMAIN
   - **Property Value:** provo.novell.com
   - **Property Name:** CLUSTER COOKIE PATH
   - **Property Value:** /nidp

   **NOTE:** Before you go to the next step, ensure that you have configured X.509 class, method, and contract. For more information, see Section 5.1.8, "Mutual SSL (X.509) Authentication," on page 330.

9. For X.509Class-based redirection, add the property in the X.509 method for X.509 to be redirected to the new connector as follows:

   ```
   Property Name: CONNECTOR_HOST Property Value: https://onbox.provo.novell.com:8443
   ```

   Here, the DNS name `onbox` is a sub-domain as indicated in the prerequisite.

   Use a wildcard name for the identity server certificate. For example, `*.provo.novell.com`
10 Restart Tomcat using the following commands.

- Linux: `/etc/init.d/novell-idp restart`
- Windows: Enter the following commands:
  - `net stop Tomcat7`
  - `net start Tomcat7`

Verify the configuration as follows:

Access Identity Server URL in a browser that does not have client certificate. Access the X.509 authentication card and verify the behavior. It must redirect to the connector page and redirect to the original page with an Access Manager error message or error code.

### 5.1.9 ORed Credential Class

Access Manager includes a class that can be configured to accept any combination of name/password, X.509, or RADIUS credentials. When this class executes as part of a contract, users can select and enter their preferred type of credential.

For example, if a name/password credential is ORed with an X.509 credential, the user can select to use a certificate or to enter a name and password. As an administrator, you have decided that both credentials are equally secure for the protected resource the contract is protecting.

To create an ORed credential class:

1. Click Devices > Identity Servers > Edit > Local > Classes.
2. Click New, then fill in the following fields:
   - **Display name:** Specify a name for the class.
   - **Java class:** Select NPOrRadiusOrX509Class.
3. Click Next, then select the types of classes you want to OR. You must select at least one of the following:
   - **Use Name/Password:** Select this option if you want the PasswordClass to be one of the authentication options available to the user.
   - **Use Radius:** Select this option if you want the RadiusClass to be one of the authentication options available to the user.
   - **Use X509:** Select this option if you want the X509Class to be one of the authentication options available to the user.
4. (Conditional) If you want to use the protected version of the PasswordClass or RadiusClass, select the Enforce use of HTTPS option.
5. (Conditional) If you selected the Use Name/Password option, configure the properties:
   5a In the Name/Password Properties section, click New.
   5b Specify a property name and property value.
   - For information about the properties that the PasswordClass and the ProtectedPasswordClass support, see “Specifying Common Class Properties” on page 305.
   5c Click OK.
   5d Repeat Step 5a through Step 5c to add more than one property.
6. Click Next.
7. (Conditional) If you selected the Use Radius option, configure the Radius properties.
For information about the configuration options, see Section 5.3.2, “RADIUS Authentication,” on page 578.

8 (Conditional) If you selected the Use X509 option, configure how the certificate is validated.

For information about the configuration options, see Section 5.1.8, “Mutual SSL (X.509) Authentication,” on page 330.

9 Click Next.

10 (Conditional) If you selected the Use X509 option, configure the attribute mappings.

For information about the configuration options, see Section 5.1.8, “Mutual SSL (X.509) Authentication,” on page 330.

11 Click Next.

12 Click Finish.

13 Continue with creating a method and a contract for this class.

For configuration information, see Section 5.1.3, “Configuring Authentication Methods,” on page 309 and Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

The Radius class prompts the user for a token instead of a password. The user can use the drop-down menu to select between the password and the token. If the user selects to send a certificate, the username and password/token options become unavailable.

5.1.10 OpenID Authentication

OpenID is an open, decentralized method for identifying users which allows users to use the same digital identity for logging in to multiple services. You can configure Identity Server to trust the provider or providers of OpenIDs by configuring the OpenID class. You then configure a method and contract and assign a protected resources to use the contract for authentication. When the users supply the OpenID, they are granted access if Identity Server has been configured to trust the provider of the OpenID server.

NOTE: Access Manager supports OpenID1.1.

1 Click Devices > Identity Servers > Edit > Local > Classes.

2 Click New, then fill in the following fields:

   Display name: Specify a name for the class.

   Java class: Select OpenIdClass.

   The Java class path is configured automatically.

3 Click Next, then configure the following properties:

   OpenID Provider Substrings: Specify at least one URL substring of an OpenID provider. The OpenID URL that user enters during the login process must contain one of the strings as a subset of the OpenID URL. For example, if user enters https://user123.myopenid.com, this field needs to contain one of the following strings:

myopenid.com
.myopenid.com

   To specify multiple URLs, separate them with a semicolon (;)
Configuring Authentication

Identity the OpenID user locally: After the user authenticates at the OpenID provider, Access Manager can associate a username from the user store with the OpenID user. With this association, Access Manager can use the policies defined for the username to enforce access to protected resources.

- When this option is not selected, the OpenID user is not mapped to a local user. The username of the authenticated user remains as the OpenID URL. For example, if the user enters http://user123.myopenid.com for the URL, http://user123.myopenid.com becomes the username.
- When this option is selected, an attempt is made to map the OpenID user with a username in the user store. You can do this manually by storing the user’s OpenID in the attribute specified in the LDAP Attribute Name option. You can also have Identity Server add the OpenID value to the attribute by selecting the Auto Provision LDAP Attribute option.

LDAP Attribute Name: Specify the name of the attribute that contains the identification information for the users. For OpenID authentication, this attribute must contain the OpenID for the user.

Auto Provision LDAP Attribute: Select this option when you want the user to provide additional information for identification for the first authentication, such as a username and password. Identity Server uses this information to identify the user, then writes the user’s OpenID value to the attribute specified in the LDAP Attribute Name option. On subsequent logins, Identity Server can identify the user by using the specified attribute and the user is not prompted for additional information.

4 Click Finish.

5 Create a method for this class.

For instructions, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.

6 Create a contract for the method:

For instructions, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

If you want the user’s credentials available for Identity Injection policies, add the password fetch method as a second method to the contract. For more information about this class and method, see Section 5.1.11, “Password Retrieval,” on page 337.

7 Update Identity Server.

5.1.11 Password Retrieval

If you have configured contracts that do not use a username and password for the credentials and you want to configure single sign-on to protected resources that require a user’s name and password, you can use the PasswordFetchClass to retrieve the user’s name and password.

You need to create the class, then create a method from the class. Assign this method as the second method to the authentication contract that does not prompt for the username and password. When Identity Server executes the contract, the PasswordFetchClass retrieves the username and password and stores these with the LDAP credentials, which makes them available for Identity Injection and Form Fill policies.

For example, your contract is using Kerberos or X.509 certificate authentication where the password is not available. Use the PasswordFetchClass to retrieve the username and password.

IMPORTANT: The PasswordFetchClass works only with eDirectory user stores.

Perform the following steps:

1 Click Devices > Identity Servers > Edit > Local > Classes.
2 Click **New**, specify a name for the class, and then select **PasswordFetchClass** in Java class. The Java class path is configured automatically.

3 Click **Next**, then configure the following general properties:

- **Ignore password retrieval failure**: Select this option if you want users to continue with their sessions when Identity Server cannot retrieve their passwords. If this option is not selected, users are denied access when their passwords cannot be retrieved.

- **Retain Previous Principal**: Select this option to retain the principal obtained from the previous authentication method. If you do not select this option, then the principal will be used from the method associated with this class.

- **Password to be retrieved**: If your users have been configured to use a universal password, select **Universal Password**. Otherwise, select **Simple Password**.

---

**NOTE**

- Set the Universal Password Retrieval options in the configuration of the Universal Password policy, so that the policy allows the password to be retrieved from the user store.

- User must reset the password after configuring the password policy for universal password.

For more information about unable to retrieve universal password from eDirectory by using PasswordFetchClass issue, see TID 7007114.

The user object must be looked up and found in an eDirectory user store for retrieval to succeed. This is done by matching the currently authenticated user by using the CN attribute. If your CN does not match in both of your directories (common when using Active Directory and eDirectory), then use the DN of the user to locate the matching user object. When NetIQ Identity Manager is used between Active Directory and eDirectory, you will find the Active Directory DN value populated in the DirXML-ADContext attribute, which can be used for lookup or matching. If no attribute has the DN value populated, then use the Auto Provision feature.

4 Configure the following userstore lookup settings:

- **Based on the CN of the user object**: CN of users are mapped between two different user stores. CN is mapped with for retrieving the password from the user store. For example, Active Directory CN is mapped with eDirectory CN for retrieving the password from the eDirectory user store.

- **Based on the Attribute value of the user object**: The user names are detected and handled in the LDAP attribute or DN of users of the Active Directory are mapped with LDAP attribute of the eDirectory. If you select this option, then specify the attribute value in attribute details of the Attribute name of DN and select **Auto Provision** if required.

- **Attribute Name of the DN**: Specify the attribute name of DN.

This attribute must contain CN of user whose password you want to obtain. For example, if you are trying to obtain a password from eDirectory for a user with cn=a,dc=b, then you need to specify name of the attribute, which value is cn=a,dc=b. The passwordfetchclass tries fetching the password from the current user store based on the value of the LDAP attribute specified, which are mapped to user's DN of in Active Directory.

- **Auto Provision**: If you select this option, the passwordfetchclass tries fetching the password from LDAP attribute specified which has the value of the DN users of Active Directory and retrieves the password, else it prompts to log in to eDirectory. If the login is successful, then the LDAP attribute value gets populated with the DN user of Active Directory. When the user is logged next time, the same value is used.

5 Click **OK**.

6 Create a method for this class.

For instructions, see Section 5.1.3, "Configuring Authentication Methods," on page 309.
7 Assign the password fetch method as the second method for a contract that is using one of the following methods:
   - RADIUS. See “RADIUS Authentication” on page 578.
   - OpenID. See “OpenID Authentication” on page 336.
   - Smart Card. See “Configuring Access Manager for NESCM” on page 339.
   - Kerberos. See “Kerberos Authentication” on page 343.
   - Time-Based One-Time Password. See “Two-Factor Authentication Using Time-Based One-Time Password” on page 576.

8 Click Apply and update Identity Server.

5.1.12 Configuring Access Manager for NESCM

To use a smart card with Access Manager, you need to configure Access Manager to use the eDirectory server where you have installed the Novell Enhanced Smart Card Login Method for NMAS (NESCM). You then need to create a contract that knows how to prompt the user for the smart card credentials. The last task is to assign this contract to the protected resources that you want protected with a smart card. The following sections describe the prerequisites and the tasks:

- Section 5.1.12.1, “Prerequisites,” on page 339
- Section 5.1.12.2, “Creating a User Store,” on page 340
- Section 5.1.12.3, “Creating a Contract for the Smart Card,” on page 341
- Section 5.1.12.4, “Assigning the NESCM Contract to a Protected Resource,” on page 342
- Section 5.1.12.5, “Verifying the User’s Experience,” on page 343
- Section 5.1.12.6, “Troubleshooting,” on page 343

5.1.12.1 Prerequisites

☐ Ensure that you can authenticate to the eDirectory server by using the smart card from a workstation.
   - Provision your smart card according to your company policy.

☐ Ensure that you have a basic Access Gateway configuration with a protected resource that you want to protect with a smart card. For more information, see Installing Access Manager Appliance in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.
5.1.12.2 Creating a User Store

Identity Server must be configured to use the eDirectory replica where you have installed the NESCM server method.

- If you have already configured Identity Server to use this replica, skip this section and continue with “Creating a Contract for the Smart Card” on page 341.
- If your Identity Server is using a different user store, you need to configure Identity Server.

To configure Identity Server for the eDirectory replica that has the NESCM method:

1. Click Devices > Identity Servers > Edit > Local> User Stores > New.
2. On the Create User Store page, fill the following fields:
   - **Name**: A display name for the eDirectory replica (for example, nescm_replica).
   - **Admin Name**: The distinguished name of the admin user of the directory. Administrator-level rights are required for setting up a user store.
   - **Admin Password and Confirm Password**: The password for the admin user and the confirmation for the password.

   **NOTE**: If the admin account's password needs to be changed in the LDAP directory due to some issue, then change the admin password in the Create User Store page accordingly and apply the change. Else, this admin account of the user store will get locked.

   **Directory Type**: Select eDirectory.

3. In the Server replica section, click New, and fill the following fields:
   - **Name**: The display name for the LDAP directory server (for example, nescm_server).
   - **IP Address**: The IP address of the LDAP directory server. The port is set automatically to the standard LDAP ports.

4. Click Use secure LDAP connections. You must enable SSL between the user store and Identity Server. The port changes to 636, which is the secure LDAP port.
5. Click Auto import trusted root.
6. Click OK to confirm the import.
7. Select the Root CA Certificate to trust any certificate signed by that certificate authority.
8. Specify an alias, then click OK.
   An alias is a name you use to identify the certificate used by Access Manager.
9. Click Close, then click OK.
10. Under Server Replicas, verify the Validation Status.
    The system displays a green check mark if the connection is valid.
11. Set up a search context.
12. Click Finish to save the information.
5.12.3 Creating a Contract for the Smart Card

You need to create a contract that uses the NESCM method. To do this, you need to first create an NMAS class, then a method that uses that class. The last task is to create a contract that uses the method. The following sections describe these tasks:

- "Creating an NMAS Class for NESCM" on page 341
- "Creating a Method to Use the NMAS Class" on page 341
- "Creating an Authentication Contract to Use the Method" on page 342

Creating an NMAS Class for NESCM

When you create a class, you can specify values for properties. In the following steps, you specify a property value that determines the sequence of login prompts that the user receives when authenticating with a smart card.

1. Click Devices > Identity Servers > Edit > Local > Classes > New.
2. Specify a display name for the class (for example, Class-NMAS-NESCM).
3. For the Java class, select NMASAuthClass from the selection list.
4. Click Next.
6. Specify the following values for the property:
   - **Property Name:** Specify NMAS_LOGIN_SEQUENCE
   - **Property Value:** Specify Enhanced Smart Card

   The Property Value matches the method name as displayed in the NMAS task > NMAS Login Methods.

7. Click OK, then click Finish.
8. Continue with “Creating a Method to Use the NMAS Class” on page 341.

Creating a Method to Use the NMAS Class

When you create a method, you can specify property values that are applied to just this method and not the entire class. In this tutorial, we want the method to use the same login sequence as the class. The method also allows you to specify which user stores can use the method. For a smart card method, you need to ensure that the user store or stores specified for the method have NESCM installed.

1. On the Local page for Identity Server, click Methods > New.
2. Specify a Display name (for example, Method-NMAS-NESCM).
3. From the Class selection list, select the class created in "Creating an NMAS Class for NESCM" on page 341.
4. In the Available user stores list, select the user store created in "Creating a User Store" on page 340, then click the left-arrow to move this user store into the User stores list.
   Leave other settings on this page unchanged.
5. Click Finish.
6. Continue with “Creating an Authentication Contract to Use the Method” on page 342.
Creating an Authentication Contract to Use the Method

Contracts are the element you can assign to a resource to protect it.

1. On the Local page for Identity Server, click **Contracts > New**.
2. Specify a **Display name** (for example, Contract-NMAS-NESCM-UserStore1).
3. Enter a **URI** (for example, nescm/test/uri). The URI is used to identify this contract for external providers and is a unique path value that you create.
4. In the **Available methods** list, select the method created in “Creating a Method to Use the NMAS Class” on page 341, then click the left-arrow to move this method into the **Methods** list. All other fields can remain in the default state.
5. (Conditional) If you want the user’s credentials (username and password) to be available for Identity Injection policies, add the password fetch method as a second method for the contract. For more information about this method and class, see *Section 5.1.11, “Password Retrieval,” on page 337*.
6. Click **Next**, then configure a card for the contract by filling in the following fields:

   - **ID**: (Optional) Specify an alphanumeric value that identifies the card. If you need to reference this card outside of Administration Console, you need to specify a value here. If you do not assign a value, Identity Server creates one for its internal use.
   - **Text**: Specify the text that is displayed on the card to the user, for example Smart Card.
   - **Image**: Select the image to display on the card. You can select the NMAS Biometrics image or you can select the **Select local image** option and upload an image that your users can associate with using this smart card authentication contract.
   - **Show Card**: Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.
7. Click **Finish**, then click **OK**.
8. Update Identity Server.
10. Continue with “Assigning the NESCM Contract to a Protected Resource” on page 342.

### 5.1.12.4 Assigning the NESCM Contract to a Protected Resource

Contracts must be created before they can be assigned to protected resources. The following steps explain how to assign the NESCM contract to an existing protected resource. If you have not created a protected resource, see *Section 3.8.4, “Configuring Protected Resources,” on page 106*.

1. Click **Devices > Access Gateways > Edit > [Name of Reverse Proxy]**. The reverse proxy must be configured with a resource that you want to protect with the smart card.
2. Click the **Protected Resource** link for the proxy service where you want to assign the NESCM contract.
3. To enable the NESCM contract on an existing protected resource, click the **Authentication Procedure** link for that resource, then select the NESCM contract created in “Creating an Authentication Contract to Use the Method” on page 342.
If the contract is not listed, ensure that you have updated the changes to the servers, first to Identity Server and then Access Gateway. If you have multiple Identity Server configurations, ensure that Access Gateway is assigned to Identity Server configuration that contains the NESCM contract (click Access Gateways > Edit > Reverse Proxy / Authentication).

4 Click OK.
5 Click the Access Gateways task, then update Access Gateway.
6 Continue with “Verifying the User’s Experience” on page 343.

5.1.12.5 Verifying the User’s Experience

1 From the smart-card-equipped workstation, browse to and select the URL of the proxy service where the protected resource requiring NESCM type authentication is enabled.
2 When prompted by Access Manager, enter a username.
3 When prompted for the smart card password, enter a password (the smart card PIN).

If the Smart Card contains a certificate that meets the defined criteria (in this example, a matching Subject name and trusted signing CA), the user is now successfully authenticated to the IDP and is connected through Access Gateway to the protected resource.

5.1.12.6 Troubleshooting

<table>
<thead>
<tr>
<th>Error</th>
<th>Resolution</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication fails without prompting the user for the token</td>
<td>Verify that you have configured the class and method correctly. See “Creating an NMAS Class for NESCM” on page 341 and “Creating a Method to Use the NMAS Class” on page 341.</td>
</tr>
<tr>
<td>Certificate validation fails</td>
<td>Verify that a trusted root object created for the signing CA of the certificate on the smart card exists in the eDirectory trusted root container.</td>
</tr>
</tbody>
</table>

5.1.13 Kerberos Authentication

Kerberos is an authentication method that allows users to log in to an Active Directory domain. This authentication method provides them with a token, which an Identity Server can be configured to use as a contract. This provides single sign-on for the user between Active Directory and Identity Server.

Kerberos authentication is achieved using SPNEGO with GSS-API (JGSS). SPNEGO (RFC 2478 - Simple and Protected GSSAPI Negotiation implementation in Microsoft Windows 2000/XP/2k3/2k8) is a GSSAPI mechanism for extending a Kerberos single-sign-on environment to Web transactions and services. It lets peers determine which GSSAPI mechanisms are shared and lets them select one and establish a security context with it. SPNEGO’s most visible use is in Microsoft's HTTP Negotiate authentication mechanism.

The Kerberos module for Access Manager is implemented as additional out-of-the-box authentication mechanism to securely negotiate and authenticate HTTP requests for protected resources. This makes it possible to seamlessly authenticate (single-sign-on) to Identity Server from enterprise-wide Microsoft Windows Domain Logon.

This section explains how to configure Active Directory, Identity Server, and Access Gateway for Kerberos authentication to a protected Web server.
Kerberos requires the following configuration tasks:

- Section 5.1.13.1, “Prerequisites,” on page 344
- Section 5.1.13.2, “Configuring Active Directory,” on page 345
- Section 5.1.13.3, “Configuring Identity Server,” on page 347
- Section 5.1.13.4, “Configuring the Clients,” on page 353
- Section 5.1.13.5, “Configuring Access Gateway for Kerberos Authentication,” on page 355

### 5.1.13.1 Prerequisites

Kerberos authentication is supported for the following configuration:

- Clients must be running one of the following operating systems:
  - Windows XP with Internet Explorer 7 or later. Some minimal testing has been done with Internet Explorer 6. To make Kerberos work with Internet Explorer 6, you need to enable integrated Windows authentication. For information about how to enable this feature, see “Authentication Uses NTLM instead of Kerberos” (http://technet.microsoft.com/en-us/library/cc779070.aspx).
  - Windows Vista with the latest version of Internet Explorer.
Windows 7 with Internet Explorer 8 or later. Be aware of the following issues:

- Internet Explorer needs to have the Internet Options configured to trust the URL of Identity Server.
- The keytab file must be configured to trust more than DES encryption. If you created your keytab file for an earlier version of Access Manager where only DES was supported, you need to recreate the keytab file. For the new procedure, see "Configuring the Keytab File" on page 346.

For more information about these issues, see TID 7006036 (http://www.novell.com/support/viewContent.do?externalId=7006036&sliceId=1).

- Active Directory and Identity Server must be configured to use a Network Time Protocol server. If time is not synchronized, authentication fails.
- If a firewall separates the Active Directory Server from Identity Server, the firewall needs to open ports TCP 88 and UDP 88 so that Identity Server can communicate with the KDC on the Active Directory Server.

### 5.1.13.2 Configuring Active Directory

You must create a new user in Active Directory for Identity Server, set up this user account to be a service principal, create a keytab file, and add Identity Server to the Forward Lookup Zone. These tasks are described in the following sections:

- "Installing the spn and the ktpass Utilities for Windows Server 2003" on page 345
- "Creating and Configuring the User Account for Identity Server" on page 345
- "Configuring the Keytab File" on page 346
- "Adding Identity Server to the Forward Lookup Zone" on page 347

#### Installing the spn and the ktpass Utilities for Windows Server 2003

When you install Windows Server 2003 and Active Directory, the spn and ktpass utilities are not installed in a default installation. These utilities are installed in a default Windows Server 2012 installation.

You need the spn and ktpass utilities to configure Identity Server for Kerberos authentication.

1. Insert the Windows 2003 CD into the CD drive.
2. To install the utilities, run \SUPPORT\TOOLS\SUPTOOLS.MSI on the CD.
   
   The utilities are installed in C:\Program Files\Support Tools.

#### Creating and Configuring the User Account for Identity Server

1. In Manage Your Server on your Windows server, select the Manage users and computers in Active Directory option.
2. Select to create a new user.
3. Fill in the following fields:
   
   **First name:** Specify the hostname of Identity Server. This is the username. For the example configuration, this is amser.
User logon name: Specify HTTP/<Identity_Server_Base_URL>. For this example configuration, your Identity Server has a base URL of amser.provo.novell.com, and you would specify the following for the User Logon Name:

HTTP/amser.provo.novell.com

The realm is displayed next to the User logon name.

User logon name (pre Windows 2000): Specify the hostname of Identity Server. The default value must be modified. For the example configuration, this is amser.

4 Click Next, and configure the password and its options:

Password: Specify a password for this user
Confirm password: Enter the same password.
User must change password at next logon: Deselect this option.
Password never expires: Select this option.

5 Click Next, then click Finish.

This creates Identity Server user. You need to remember the values you assigned to this user for First name and User logon name.

6 To set the servicePrincipalName (spn) attribute for this user, open a command window and enter the following commands:

setspn -A HTTP/<userLogonName> <userName>

For this configuration example, you would enter the following command:

setspn -A HTTP/amser.provo.novell.com@AD.NOVELL.COM amser

This adds the servicePrincipalName attribute to the user specified with the value specified in the -A parameter.

NOTE: For Domain Services for Windows, set HOST spn also by using this command: setspn -A HOST/<userLogonName> <userName>

7 (Optional) Verify that the user has the required servicePrincipalName attribute with a valid value. Enter the following command:

setspn -L <userName>

For this configuration example, you would enter the following command:

setspn -L amser

Configuring the Keytab File

The keytab file contains the secret encryption key that is used to decrypt the Kerberos ticket. You need to generate the keytab file and copy it to Identity Server.

1 On the Active Directory server, open a command window and enter a ktpass command with the following parameters:

ktpass /out value /princ value /mapuser value /pass value
The command parameters require the following values:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>/out</td>
<td>&lt;outputFilename&gt;</td>
<td>Specify a name for the file, with .keytab as the extension. For example: nidpkey.keytab</td>
</tr>
<tr>
<td>/princ</td>
<td>&lt;servicePrincipalName&gt;@&lt;KERBEROS_REALM&gt;</td>
<td>Specify the service principal name for Identity Server, then @, followed by the Kerberos realm. The default value for the Kerberos realm is the Active Directory domain name in all capitals. The Kerberos realm value is case sensitive.</td>
</tr>
<tr>
<td>/mapuser</td>
<td>&lt;identityServerUser&gt;@&lt;AD_DOMAIN&gt;</td>
<td>Specify the username of Identity Server user and the Active Directory domain to which the user belongs.</td>
</tr>
<tr>
<td>/pass</td>
<td>&lt;userPassword&gt;</td>
<td>Specify the password for this user.</td>
</tr>
</tbody>
</table>

For this configuration example, you would enter the following command to create a keytab file named nidpkey:

```
ktpass /out nidpkey.keytab /princ HTTP/amser.provo.novell.com@AD.NOVELL.COM /mapuser amser@AD.NOVELL.COM /pass novell
```

2 Copy the file to the default location on Identity Server:

```
/opt/novell/java/jre/lib/security
```

3 If the cluster contains multiple Identity Servers, copy the keytab file to each member of the cluster.

### Adding Identity Server to the Forward Lookup Zone

1 In Manage Your Server on your Windows server, click Manage this DNS server.
2 Click Forward Lookup Zone.
3 Click the Active Directory domain.
4 In the right pane, right click, and select New Host (A).
5 Fill in the following fields:
   - **Name**: Specify the hostname of Identity Server.
   - **IP Address**: Specify the IP address of Identity Server.
6 Click Add Host.

### 5.1.13.3 Configuring Identity Server

You need to configure Identity Server to use the Active Directory server as a user store, configure a Kerberos authentication class, method, and contract, create a configuration file, enable logging to verify the configuration, then restart Tomcat. These instructions assume that you have installed and configured an Identity Server cluster configuration. See Installing Access Manager Appliance in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide and Section 3.4, "Identity Servers Cluster," on page 54.

- “Enabling Logging for Kerberos Transactions” on page 348
- “Configuring Identity Server for Active Directory” on page 348
- “Creating the Authentication Class, Method, and Contract” on page 349
Enabling Logging for Kerberos Transactions

Enabling logging is highly recommended. If Kerberos authentication does not function after you have finished the configuration tasks, the first step in solving the problem is to look at the `catalina.out`.

1. Click Devices > Identity Servers > Edit > Auditing and Logging.
2. Enable the File Logging and Echo To Console options.
3. In the Component File Logger Levels section, set Application to debug.
4. Click OK, then update Identity Server.

Configuring Identity Server for Active Directory

You need to either configure your Identity Server to use Active Directory as a user store or verify your existing configuration for your Active Directory user store.

1. Click Devices > Identity Servers > Edit.
2. Click Local.
3. View your installed user stores.
   - If you have already configured your Identity Server to use the Active Directory server, click its name.
   - If you haven’t configured a user store for the Active Directory server, click New.
4. For a new user store, fill in the following fields. For an existing Active Directory user store, verify the values.
   - **Name**: Specify a name of the user store for reference.
   - **Admin name**: Specify the name of the administrator of the Active Directory server. Administrator-level rights are required for setting up a user store. This ensures read/write access to all objects used by Access Manager.
   - **Admin password and Confirm password**: Specify the password for the administrator of the Active Directory server and confirm the password.
   - **Directory Type**: Select Active Directory.
   - **Search Contexts**: For a new user store, click New and specify the context of the administrator of the Active Directory server. For an existing user store, verify that you have an entry for the context of the administrator and add one if it is missing.
5. (Conditional) For a new Active Directory user store, add a replica. In the Server replicas section, click New.
   - **5a** Fill in the following fields:
     - **Name**: Specify a name of the replica for reference. This can be the name of your Active Directory server.
     - **IP Address**: Specify the IP address of the Active Directory server and the port you want Identity Server to use when communicating with the Active Directory server.
   - 5b Configure the other fields to fit your security model.
   - 5c Click OK.
6 (Optional) Specify values for the other configuration options.
7 To save your changes, click OK or Finish.
8 Continue with “Creating the Authentication Class, Method, and Contract” on page 349.

Creating the Authentication Class, Method, and Contract

1 In the Local page, click Classes > New.
2 Fill in the following fields:
   - **Display name**: Specify a name that you can use to identify this class.
   - **Java class**: Select KerberosClass.
     The Java class path field displays the name of the KerberosClass.
3 Click Next.
4 Fill in the following fields:
   - **Service Principal Name (SPN)**: Specify the value of the servicePrincipalName attribute of Identity Server user. For this example configuration, this is HTTP/amser.provo.novell.com.
   - **Kerberos Realm**: Specify the name of the Kerberos realm. The default value for this realm is the domain name of the Active Directory server, entered in all capitals. The value in this field is case sensitive. For this example configuration, this is AD.NOVELL.COM.
   - **JAAS config file for Kerberos**: Verify the default path. This must be the same path to which you copied the keytab file (see Step 2 in “Configuring the Keytab File” on page 346) and end with the name of the configuration file, bcsLogin.conf.
     Instructions for creating this file are in “Creating the bcsLogin Configuration File” on page 350.
   - **Kerberos KDC**: Specify the IP address of the Key Distribution Center. If multiple KDCs are present for fail-over support, then specify the IP addresses separated by colon (:). Maximum of 4 IP addresses can be configured.
     If a L4 switch is configured for load balancing between the KDCs, then enter the virtual IP address of the L4 switch in this field.
   - **User Attribute**: Specify the name of the Active Directory attribute that combines the cn of the user with the DNS domain name to form its value. It is an alternate name for user login. Accept the default value unless you have set up a different attribute.
5 (Conditional) If you have configured your users to have multiple User Principal Names (UPN) so they can log in using different names (such as jdoe@abc.com, jdoe@bcd.com, and jdoe@cde.com), click New, specify the suffix (such as @abc.com), then click OK.
6 Click Finish.

**IMPORTANT**: You must create only one Kerberos class. This is caused by a limitation in the underlying Sun JGSS.

7 On the Local page, click Methods > New.
8 Fill in the following fields:
   - **Display name**: Specify a name that you can use to identify this method.
   - **Class**: Select the class that you created for Kerberos.
   - **User stores**: Move the Active Directory user store to the list of User stores. If you have only one installed user store, `<Default User Store>` can be used. If you have multiple user stores, the Active Directory user store must be in this list (or if it is configured to be the default user store, `<Default User Store>` must be in this list).
NOTE: The testing procedure to verify Kerberos authentication is dependent upon having the Active Directory user store configured as the default user store. See Step 13.

You do not need to configure properties for this method.

9 Click Finish.

10 In the Local page, click Contracts > New.

11 Fill in the following fields:

   **Display name:** Specify a name that you can use to identify this method.
   **URI:** Specify a value that uniquely identifies the contract from all other contracts.
   **Methods:** From the list of Available methods, move your Kerberos method to the Methods list.

You do not need to configure the other contract options.

12 Click Finish.

13 (Optional) To use the procedure that verifies the authentication configuration, you need to make the Active Directory user store the default user store. In the Local page, click Defaults.

13a Fill in the following fields:

   **User Store:** Select the name of your Active Directory user store.
   **Authentication Contract:** Select the name of your Kerberos contract.

13b Click OK.

This allows you to log in directly to Identity Server by using the Kerberos contract. If you have already logged in to the Active Directory domain on the Windows machine, single sign-on is enabled and you are not prompted to log in to Identity Server.

14 On Identity Servers page, click Update.

   Wait until the Health icon turns green. Click Refresh to update the page.

15 If you have Access Gateways or that you want to configure to use the Kerberos contract, update these devices so that the Kerberos contract is available.

16 Continue with “Creating the bcsLogin Configuration File” on page 350.

Creating the bcsLogin Configuration File

If you are upgrading from 3.1 SP2 to 3.1 SP3, the syntax of the bcsLogin.conf file has changed.

To create the file:

1 Open a text editor. A sample bcsLogin.conf file called bcsLogin.conf.template is included that can be edited. Open this file.

2 Enter the following lines. The file cannot contain any white space, only end-of-line characters.

   Two lines (principal and keyTab) need to specify unique information for your configuration. The principal line needs to specify the service principle name for Identity Server. The keyTab line needs to specify the location of the keytab file. The following file uses the values of the example configuration for the principal and keyTab lines. The keyTab and ticketCache lines use the default path for SUSE Linux Enterprise Server (SLES).
com.sun.security.jgss.accept {
com.sun.security.auth.module.Krb5LoginModule required
debug="true"
useTicketCache="true"
ticketCache="/opt/novell/java/jre/lib/security/spnegoTicket.cache"
doNotPrompt="true"
principal="HTTP/amser.provo.novell.com@AD.NOVELL.COM"
useKeyTab="true"
keyTab="/opt/novell/java/jre/lib/security/nidpkey.keytab"
storeKey="true";
};

Identity Server will check the Kerberos server for each user transaction. When you set the isInitiator value to false (isInitiator="false") in the bcsLogin.conf file after the keyTab="/opt/novell/java/jre/lib/security/nidpkey.keytab" line, Identity Server does not communicate to the Kerberos server.

Path of bcsLogin.conf on SLES and Red Hat is /opt/novell/java/jre/lib/security/.

NOTE: Before setting the value to "false", it is recommended that you access the protected site via https and keytab file is secure.

3 Save this file with a name of bcsLogin.conf.

4 Copy this file to the location specified in the JAAS config file for Kerberos field of Step 4 in “Creating the Authentication Class, Method, and Contract” on page 349.

5 Ensure that the file permissions are set correctly. They must be set to 644.

6 Restart Identity Server:
   /etc/init.d/novell-idp restart

   Whenever you make changes to the bcsLogin.conf file, you need to restart Tomcat.

7 If the cluster contains multiple Identity Servers, copy the bcsLogin.conf file to each member of the cluster, then restart Tomcat on that member.

Verifying the Kerberos Configuration

To view catalina.out:

1 Click Auditing > General Logging.
2 In Identity Servers section, select the catalina.out file.
3 Download the file and open it in a text editor.
4 Search for Kerberos and verify that a subsequent line contains a Commit Succeeded phrase. For the configuration example, the lines look similar to the following:

   principal's key obtained from the keytab
   principal is HTTP/amser.provo.novell.com@AD.NOVELL.COM
   Added server's keyKerberos Principal HTTP/
   amser.provo.novell.com@AD.NOVELL.COMKey Version 3key EncryptionKey: keyType=3
   keyBytes (hex dump)=0000: CB 0E 91 FB 7A 4C 64 FE

   [Krb5LoginModule] added Krb5Principal HTTP/
   amser.provo.novell.com@AD.NOVELL.COM to Subject
   Commit Succeeded

5 If the file does not contain any lines similar to these, verify that you have enabled logging. See “Enabling Logging for Kerberos Transactions” on page 348.
6 If the commit did not succeed, search backward in the file and verify the following values:
   • Service Principal Name
   • Name of keytab file

For the example configuration, the file must contain lines with text similar to the following:

Principal is HTTP/amser.provo.novell.com
KeyTab is /usr/lib/jaVa/jre/lib/security/nidpkey.keytab

7 (Conditional) If you make any modifications to the configuration, either in Administration Console or to the bcsLogin file, restart Tomcat on Identity Server.

(Optional) Using the Name/Password Form Authentication

You can configure the IP address or the range of IP addresses of the clients for which the kerberos authentication must be skipped or performed using the kerberos.exclude or kerberos.include keywords respectively.

**NOTE:** You can specify only kerberos.exclude or kerberos.include argument in the kerb.properties file not both.

To configure this option, add the following entry in the kerb.properties file:

- kerberos.exclude=IP Address/Range separated by comma.
- kerberos.include=IP Address/Range separated by comma.

For example:

kerberos.exclude=1.1.1.1-9.255.255.255,10.50.1.1 - 10.50.1.50,11.1.1.1-255.255.255.255

or

kerberos.include=10.1.1.1-10.49.255.255,10.50.1.51-10.255.255.255

For the clients coming from the IP addresses specified in kerberos.exclude, Kerberos authentication will be skipped and will fall back to the custom authentication class. See "(Optional) Configuring the Fall Back Authentication Class" on page 352.

For the clients coming from the IP addresses that are not specified in kerberos.include, kerberos authentication will be skipped and will fall back to the custom authentication class. See "(Optional) Configuring the Fall Back Authentication Class" on page 352.

The kerb.properties file is available at:

/opt/novell/nam/idp/webapps/nidp/WEB-INF/classes/kerb.properties

(Optional) Configuring the Fall Back Authentication Class

You can configure an optional authentication class that has to be executed when either kerberos authentication fails or when kerberos authentication has to be skipped.

For information about how to skip the kerberos authentication for certain IP addresses, see "(Optional) Using the Name/Password Form Authentication" on page 352.
To configure the fall back authentication class:

1. Go to the Identity Server Cluster > Edit > Local > Methods > (Kerberos Method) > Properties tab.

2. Add a new property /value pair with name as FALLBACK_AUTHCLASS and set the property value to be the qualified class name such as `com.novell.nidp.authentication.local.PasswordClass`.

   The class name value must be the same as the value configured in the Java class path of the class at IDP Cluster > Edit > Local > Classes> (Authentication class).

---

**NOTE:** If your authentication class requires a custom JSP file for seeking credentials, add the property `JSP` and specify the name of the jsp file. When the JSP property is not specified, Identity Server will use the default login.jsp for seeking the credentials.

If you want to fall back to basic authentication, configure any of the following properties:

**Property Name:** FALLBACK_AUTHCLASS

**Property Value:** Basic or `com.novell.nidp.authentication.local.BasicClass`

---

**NOTE:** The property name is case-sensitive.

For example, if you want to fall back to Radius, configure the following properties for the kerberos method:

```
FALLBACK_AUTHCLASS=com.novell.nidp.authentication.local.RadiusClass
JSP=radiusloginServer
SharedSecret=<secret string>
Port=<port>
ReplyTime=7000 (in milli seconds, this is optional)
ResendTime=2000 (in milli seconds, this is optional)
Retry=5 (this is optional)
Password=false
```

---

**NOTE:** The property name is case-sensitive.

Also, you can configure fall back to other mechanism based on the incoming header. In the kerberos Method, add the name/value in the property field with name as NO_NEGO_HEADER_NAME and in the value field you can provide the header, which needs to be ignored for the kerberos authentication.

For Example, in the kerberos method properties, if you configure the name as NO_NEGO_HEADER_NAME with value X-NovINet. Then if the client comes with header X-NovINet, the kerberos class will not be executed and it will fall back to the name password form by default or to the configured fall back mechanism.

---

### 5.13.4 Configuring the Clients

1. Add the computers of the users to the Active Directory domain.
   
   For instructions, see your Active Directory documentation.

2. Log in to the Active Directory domain, rather than the machine.

3. (Conditional) If you are using Internet Explorer, configure the web browser to trust Identity Server:
   
   3a Click Tools > Internet Options > Security > Local intranet > Sites > Advanced.

   3b In the Add this website to the zone text box, enter the Base URL for Identity Server, then click Add.
In the configuration example, this is http://amser.provo.novell.com.

3c  Click Close > OK.
3d  Click Tools > Internet Options > Advanced.
3e  In the Security section, select Enable Integrated Windows Authentication, then click OK.
3f  Restart the browser.

4  (Conditional) If you are using Firefox, configure the Web browser to trust Identity Server:
4a  In the URL field, specify about:config.
4b  In the Filter field, specify network.n.
4c  Double click network.negotiate-auth.trusted-uris.
    This preference lists the sites that are permitted to engage in SPNEGO Authentication with the browser. Specify a comma-delimited list of trusted domains or URLs.
    For this example configuration, you would add http://amser.provo.novell.com to the list.
4d  If the deployed SPNEGO solution is using the advanced Kerberos feature of Credential Delegation, double-click network.negotiate-auth.delegation-uris. This preference lists the sites for which the browser can delegate user to the server. Specify a comma-delimited list of trusted domains or URLs.
    For this example configuration, you would add http://amser.provo.novell.com to the list.
4e  Click OK, then restart your Firefox browser.

5  In the URL field, enter the base URL of Identity Server with port and application. For this example configuration:

    http://amser.provo.novell.com:8080/nidp

    Identity Server must authenticate the user without prompting the user for authentication information. If a problem occurs, check for the following configuration errors:
    •  Verify the default user store and contract. See Step 13.
    •  View Identity Server logging file and verify the configuration. See “Verifying the Kerberos Configuration” on page 351.
    •  If you make any modifications to the configuration, either in Administration Console or to the bcsLogin file, restart Tomcat on Identity Server.

6  (Conditional) If you have users who are outside the firewall, they cannot use Kerberos. SPNEGO defaults first to NTLM, then to HTTPS basic authentication. Access Manager does not support NTLM, so the NTLM prompt for username and password fails. The user is then prompted for a username and password for HTTPS basic authentication, which succeeds if the credentials are valid.

    To avoid these prompts, you can have your users enable the Automatic logon with current user name and password option in Internet Explorer 7.x. To access this option, click Tools >Internet Options >Security >Custom Level, then scroll down to User Authentication.
5.1.13.5 Configuring Access Gateway for Kerberos Authentication

If you have set up a Web server that you want to require Kerberos authentication for access, you can set up a protected resource for this Web server as you would for any other Web server, and select the name of your Kerberos contract for the authentication procedure. For instructions, see Section 3.8.4, “Configuring Protected Resources,” on page 106.

When using Kerberos for authentication, the LDAP credentials are not available. If you need LDAP credentials to provide single sign-on to some resources, see Section 5.1.11, "Password Retrieval," on page 337.

5.1.14 Managing Direct Access to Identity Server

Users usually log into Identity Server when they request access to a Web resource. Access Gateway redirects users from the resource to Identity Server to provide the required credentials for the resource. After they are authenticated, they are not prompted for credentials again, unless a resource requires credentials that they haven’t already supplied.

Users can log directly into Identity Server and access either the default user portal or the legacy user portal. Alternatively, they can access information about the available Web Services Description Language (WSDL) services.

5.1.14.1 Logging in to the Default User Portal

Access Manager Appliance 4.2 default user portal page is the user portal page that contains appmarks. This is a different portal page than for prior release. For more information, see Access Manager Appliance 4.3 Release Notes.

User log directly in to Identity Server through the default user portal page when they access the following URL:

https://NAM-Base-URL:8443/nidp/portal

**IMPORTANT:** The Access Manager domain name for the IDP must be resolvable through DNS or the hosts file on the IDP.

You can customize the default user portal page through Branding in Administration Console. For more information, see Section 7.8, "Changing the Branding of the User Portal Page," on page 638.

5.1.14.2 Logging in with the Legacy Customized Portal

If you have customized the legacy user portal page, and want to keep the customized pages, you must perform some manual steps. For more information, see Maintaining Customized JSP Files for Identity Server.
5.1.14.3 Managing Authentication Cards

The default user portal page prompts the user to authentication with the credentials required for the default contract. When users log directly into Identity Server, the users must use the default card for authentications. The menu in the top left corner displays all available cards, when the users click the menu.

On a newly installed system, the menu displays cards for all the authentication contracts that are installed with the system. To avoid confusing your users, you need to disable the Show Card option for the contracts you do not want your users to use. Also, ensure that you modify the default contract to match a card that Administration Console displays.

If you display multiple cards, users can use different credentials to authenticate multiple times by selecting another authentication card and entering the required credentials. This is only useful if the credentials grant the user different roles or authorize access to different resources.

If you have configured Identity Server to be a service provider and have established a trusted relationship with one or more identity providers, the cards of these trusted identity providers appear in the menu under REMOTE LOGINS. Your users can use the identity provider’s authentication card to federate their account at the identity provider with their account at the service provider. When they federate an account, they are telling the service provider to trust the authentication established at the identity provider. This enables single sign-on between the providers. The card can also be used to defederate the accounts. On the authentication card, click Defederate.

If you have configured Identity Server to be an identity provider for service providers, in the menu in the upper right corner of the user portal page contains a Federations options that displays a Federations page. From this page, users can federate and deferated their accounts with trusted service providers.

To edit the default contracts:

1. Log in to Administration Console.
2. Click Devices > Identity Servers > Edit > Local > Contracts > Name of Contract > Authentication Card.
3. Unselect the Show Card option, then click OK to save the change.
5. Modify the contract to match the cards that Administration Console displays.
6. Click OK to save the changes.

5.1.14.4 Specifying a Target

You can specify a target for the new and legacy user portal pages. You must specify a target for the following conditions:

- You want to direct the users to a specific URL after the users log in to Identity Server.
- You do not want users to have access to the user portal page.

Use one of the following methods to specify the target:

- **Specify a Target in the URL:** You can have your users access Identity Server with a URL that contains the desired target. For example: https://domain.com:8443/nidp/app?target=http://www.acme.com

  where domain.com is the DNS name of your Identity Server. In this example, the users would see the Acme Web site after logging in.
Specify a Hidden Target on your Form: If you have your own login form to collect credentials and are posting these credentials to Identity Server, you can add a hidden target to your login form. When authentication succeeds, Access Manager Appliance directs the user to this target URL. This entry on your form should look similar to the following:

<input type="hidden" target="http://www.acme.com">

These methods work only when the user’s request is for the user portal (/nidp/portal or /nidp/app). If the user’s request is a redirected authentication request for a protected resource, the protected resource is the target and cannot be changed.

5.1.14.5 Blocking Access to the User Portal Page

This information is for the legacy user portal page only. You cannot block the default user portal page.

If a user is already authenticated and accesses Identity Server, the user is presented with Identity Server user portal page.

This page provides information about the logged-in user:

- Any federations this user has established with third-party service providers
- Identity attributes such as Liberty Personal or employee profile attributes, Access Manager credential, or custom profile attributes
- Policy attributes that users or administrators have selected to share with other service providers

You might want to prevent users from seeing this page for the following reasons:

- **Security**: Users accessing this page have access to sensitive information that administrators might want to restrict such as the user’s attributes and federations with other third-party SAML or Liberty providers.

- **Help Desk Support**: Most users have no need to access the information presented in this page. As a result, they might be confused if they see it. By preventing access to the page, any potential calls into the help desk are avoided.

When you enable the legacy, mode. all end user login pages use the legacy UI. However, there are several places where issues the URL /nidp and shows the portal page. This is because in the index.html file for the nidp webapp there is a redirect from /nidp to /nidp/portal.

To block the legacy user portal page:

1. Ensure that you create the WEB-INF/legacy directory. For more information, see “Logging in with the Legacy Customized Portal” on page 355.

2. As a system administrator, edit the /webapp/index.html file.

3. Change the line:

   `<meta http-equiv="refresh" content="0; URL=portal">`

   to:

   `<meta http-equiv="refresh" content="0; URL=app">`


After this change takes place, the only way to access the legacy user portal page is to enter the URL in the browser of /nidp/portal.
5.1.14.6 Blocking Access to the WSDL Services Page

Users can access the WSDL services page when they enter the base URL of Identity Server in their browsers with the path to the Services page. For example, if your base URL is http://bfrel.provo.novell.com:8080/nidp, the users can access the services page by using http://bfrel.provo.novell.com:8080/nidp/services.

The Services page contains the following information and links:

![WSDL Services Page](image)

**Nidp Web Services List**

- **IDSIACredentialProfile** *(View WSDL)*
  - Query
  - QueryOperation
  - Modify
  - ModifyOperation

- **IDSIAuthenticationProfile** *(View WSDL)*
  - Query
  - QueryOperation
  - Modify
  - ModifyOperation

- **DiscoveryService** *(View WSDL)*
  - Query
  - Modify
  - processRequest

- **LDAPService** *(View WSDL)*
  - Query
  - QueryOperation
  - Modify
  - ModifyOperation

System Properties:
- Name: axis.EngineConfigFactory
- Value: com.novell.nidp.liberty.wsf.axis.NIDPAxisEngineConfigFactory

**Access Manager Home Page**

The amount of information displayed on this page depends upon the profiles you have enabled. To enable profiles, click Devices > Identity Servers > Edit > Liberty > Web Service Provider.

If you do not want your users to have access to this page, you can block access.

1. Click Devices > Identity Servers > Edit > Options.
2. Click New. Specify the following details:
5.2 Federated Authentication

Federation allows a user to associate two accounts with each other. This allows the user to log into one account and access the resources of the other account without logging in to the second account. It is one method for providing single sign-on when a user has accounts in multiple user stores.

- Section 5.2.1, “Configuring Federation,” on page 359
- Section 5.2.2, “Service Provider Brokering,” on page 379
- Section 5.2.3, “Configuring User Identification Methods for Federation,” on page 397
- Section 5.2.4, “Configuring SAML 2.0,” on page 404
- Section 5.2.5, “Configuring SAML 1.1,” on page 439
- Section 5.2.6, “Configuring Liberty,” on page 442
- Section 5.2.7, “Configuring Liberty Web Services,” on page 448
- Section 5.2.8, “Configuring WS Federation,” on page 467
- Section 5.2.9, “Configuring WS-Trust Security Token Service,” on page 491
- Section 5.2.10, “Configuring OAuth and OpenID Connect,” on page 513
- Section 5.2.11, “Configuring Authentication Through Federation for Specific Providers,” on page 547
- Section 5.2.12, “Integrating Amazon Web Services with Access Manager,” on page 551
- Section 5.2.13, “Configuring Single Sign-On for Office 365 Services,” on page 553

5.2.1 Configuring Federation

This section describes what is federation, how to configure federation, and how to set up federation with third-party providers. Topics include:

- Section 5.2.1.1, “Understanding a Simple Federation Scenario,” on page 360
- Section 5.2.1.2, “Configuring Federation,” on page 361
- Section 5.2.1.3, “Sharing Roles,” on page 372
- Section 5.2.1.4, “Setting Up Federation with Third-Party Providers,” on page 378
5.2.1.1 Understanding a Simple Federation Scenario

Suppose Company A has a centralized user store that does the authentication for most of the company’s internal resources on its inner Web site. But Company A also has a customer feedback application that employees and customers need access to, and for this application, a second user store has been created. This user store contains both employee and customer user accounts. The centralized user store can’t be used, because it can contain only employee accounts. This means that the employee must log in to both accounts to access both the inner Web site and the customer feedback application. With federation, the employee can access the resources of both sites by using a single login.

Figure 5-6 illustrates such a network configuration where the user accounts of Site A are configured to federate with the user accounts at Site B.

**Figure 5-6 Using Federated Identities**

In this configuration, Site A is Identity Server for the corporate resources, and the employees authenticate to this site and have access to the resources on the Web server with the URL of https://provo.novell.com/inner. Site B is Identity Server for the Bugzilla application, and both employees and customers authenticate to this site to have access to the resources of the Web server with the URL of https://provo.novell.com/bugz. After an account has been federated, the user can log in to Site A and have access to the resources on the Web servers of both Site A and Site B.

In this scenario, Site B is not as secure a site as Site A, so federation is configured to go only one way, from Site A to Site B. This means that users who log in to Site A have access to the resources at Site A and B, but users who log in to Site B have access only to the resources at Site B. Federation can be configured to go both ways, so that it does not matter whether the user logs into Site A or Site B. When federation is configured to be bidirectional, both sites need to be equally secure.
Access Gateways in Figure 5-6 are service providers and are configured to use Identity Servers as identity providers. The trusted relationship is automatically set up for you when you specify authentication settings for Access Gateway and select an Identity Server Cluster.

Federation can be set up between providers in the same company or between providers of separate companies. For example, most companies have contracts with other companies for their user’s health benefits and retirement accounts. Their users have accounts with these companies. These accounts can be federated with the user’s employee account when both companies agree to set up the trusted relationship.

### 5.2.1.2 Configuring Federation

Federation requires the configuration of a trusted relationship between an identity provider and a service provider. Figure 5-7 illustrates setting up federation between two identity servers, because a Access Manager Identity Server can act as either an identity provider or a service provider.

![Figure 5-7 Configuring Trust Between Site A and Site B](image)

Site A must be configured to trust Site B as a service provider, and Site B must be configured to trust Site A as an identity provider. Until this two-way trust is established, federation cannot occur.

Before setting up a trusted relationship, you must make the following decisions:

**Protocol:** Identity Server supports SAML 1.1, SAML 2.0, and Liberty. You need to decide which of these protocols to use. If no user interaction is needed, SAML 1.1 is probably a good choice. The SAML 2.0 and Liberty protocols permit user interaction when federating. The user decides whether to federate (link) the accounts and must be logged in at both sites to accomplish this. Liberty offers an additional service, not available with SAML 2.0, that allows the user to select attributes that can be shared with the service provider.

The instructions in this documentation, starting in “Prerequisites” on page 362, use the Liberty protocol. They also indicate how to configure for the SAML 2.0 and SAML 1.1 protocols.

**Trust Relationship:** You need to decide whether the trusted relationship is going to be from Site A to Site B, from Site B to Site A, or bidirectionally from Site A to Site B and from Site B to Site A. Federation is set up to go from the most secure site to the less secure site. The only time federation is set up to be bidirectional is when both sites are equally secure. The scenario described in Figure 5-6 on page 360 is an example of a trusted relationship that you would want to go only one way, from Site A to Site B, because Site B is not as secure as Site A.

The instructions, starting in “Prerequisites” on page 362, explain how to set up the trusted relationship between Site A and Site B. You can easily modify them to set up the bidirectional trust relationships by substituting Site B for Site A (and vice versa) in the instructions and then repeating them for Site B.

**Attributes to Share:** You need to decide whether there are user attributes or roles at Site A that you want to share with Site B. The attributes from Site A can be used to identify the users at Site B. Other attributes might be needed to access protected resources, for example, to satisfy the requirements of an Identity Injection policy.
For all the protocols, “Sharing Roles” on page 372 explains how to share the roles at Site A with Site B. For the SAML 1.1 protocol, the instructions starting in “Prerequisites” on page 362 use the LDAP mail attribute to share the user’s e-mail address.

User Identification: You need to decide how assertions can be used to map users from Site A to users at Site B. Identity Server supports four methods:

- **Temporary**: This method allows the user access to Site B solely from the credentials of Site A. No effort is made to map the user to a user account at Site B. A temporary account is set up for the user on Site B, and when the user logs out, the account is destroyed.

- **Login**: This method requires that the user have login credentials at both Site A and Site B, and when logged in at both sites, the user can select to federate the accounts.

- **Mapped Attributes**: This method requires that the sites share attributes and that these attributes are used to create a matching expression that determines whether the user accounts match. For an added security check, the first time the accounts are matched, the user is asked to verify the match by supplying the password for Site B.

  If the match fails, you can allow the federation to fail or you can configure the method to allow the user to use the Login method or the Provisioning method.

- **Provisioning**: This method allows the user to create a new, permanent account at Site B.

The configuration instructions, starting in “Prerequisites” on page 362, use the Login method for the SAML 2.0 and Liberty protocols and Mapped Attributes method for the SAML 1.1 protocol.

The instruction for setting up a trusted relationship between two Access Manager Identity Servers have been divided as follows:

- “Prerequisites” on page 362
- “Establishing Trust between Providers” on page 363
- “Configuring SAML 1.1 for Account Federation” on page 369

Prerequisites

☐ A basic Access Manager Appliance configuration with Identity Server and Access Gateway configured for SSL.

  This can be the one you set up using the instructions in Chapter 3, “Setting Up a Basic Access Manager Appliance Configuration,” on page 51. For SSL configuration, see Chapter 17.1, “Enabling SSL Communication,” on page 875.

  Identity Server from this configuration becomes Site B in Figure 5-7.

☐ A second Identity Server with a basic configuration, an LDAP user store, and SSL. This Identity Server is configured to be Site A in Figure 5-7.

☐ Time synchronization must be set up for all the machines, or authentication can fail if assertions expire before they can be used.

☐ A DNS server must be configured to resolve the DNS names of Site A, Site B, and Access Gateways.

☐ (Recommended) Logging has been enabled on Identity Servers of Site A and Site B. See Section 21.3.1, “Configuring Logging for Identity Server,” on page 922. Make sure that you enable at least application and protocol (Liberty, SAML 1, or SAML 2.0) logging at an Info level or higher.
Establishing Trust between Providers

To set up this very basic example of federation, complete the following tasks.

- “Configuring Site A to Trust Site B as a Service Provider” on page 363
- “Configuring Site B to Trust Site A as an Identity Provider” on page 364
- “Verifying the Trust Relationship” on page 366
- “Configuring User Authentication” on page 367

**Configuring Site A to Trust Site B as a Service Provider**

To establish trust between Site A and Site B, you must perform two tasks:

- The providers must trust the certificates of each other so you need to import the trusted root certificate of Site B to Site A.
- You must also import the metadata of Site B to Site A. The metadata allows Site A to verify that Site B is truly Site B when Site B sends a request to Site A.

The following instructions explain how to import the certificate and the metadata:

1. Log in to Administration Console for Site A.
   
   The configuration for Site A can be created in the same Administration Console as Site B; it cannot be configured to be a cluster member of Site B.

2. Import the trusted root certificate of Site B into the NIDP trust store of Site A:
   
   2a. Click **Devices > Identity Servers > Edit > Security > NIDP Trust Store.**
   
   2b. In the Trusted Roots section, click **Auto-Import From Server**, then fill the following fields:
       
       **Server IP/DNS:** Specify the IP address or DNS name of Site B. For Site B in Figure 5-7 specify the following:
       
       idp.siteb.novell.com
       
       **Server Port:** Specify 8443.
       
       2c. Click **OK**, then specify an alias for the certificate (for example, SiteB).
       
       You will get two certificate options: Root CA Certificate and Server certificate. We recommend you to select Root CA Certificate.
       
       2d. Examine the trusted root that is selected for you.
       
       If the trusted root is part of a chain, make sure you select the parent and all intermediate trusted roots.
       
       2e. Click **OK**.
       
       The trusted root certificate of Site B is added to the NIDP trust store.
       
       2f. Click **Close**.
       
       2g. Click **Devices > Identity Servers**, then update Identity Server.
       
       Wait for the health status to return to green.

3. Configure a service provider for Site A:
   
   3a. Click **Identity Servers > Edit > Liberty [or SAML 2.0 or SAML 1.1]**.
   
   3b. Click **New**, select **Service Provider**, then fill the following fields:
       
       **Name:** Specify a name for the provider. If you plan on configuring more than one protocol, include the protocol as part of the name, such as, SiteB_Liberty
Configuring Authentication

Metadata URL: Specify the URL of the Liberty metadata on Site B. For Site B in Figure 5-7, specify the following:

http://idp.siteb.novell.com:8080/nidp/idff/metadata

This example uses port 8080 to avoid any potential certificate problems that occur when Identity Server and Administration Console are installed on separate machines.

SAML 2.0: If you are using SAML 2.0, the metadata path is /nidp/saml2/metadata. For Site B in Figure 5-7, specify the following for SAML 2.0:

http://idp.siteb.novell.com:8080/nidp/saml2/metadata

SAML 1.1: If you are using SAML 1.1, the metadata path is /nidp/saml/metadata. For Site B in Figure 5-7, specify the following for SAML 1.1:

http://idp.siteb.novell.com:8080/nidp/saml/metadata

3c Click Next > Finish > OK.

3d Update Identity Server.

Wait for the health status to return to green.

4 Continue with “Configuring Site B to Trust Site A as an Identity Provider” on page 364.

Configuring Site B to Trust Site A as an Identity Provider

The following instructions explain how to import the trusted root certificate and metadata of Site A into the configuration for Site B.

1 Log in to Administration Console for Site B.

The configuration of Site B can be created in the same Administration Console as Site A; it cannot be configured to be a cluster member of Site A.

2 Import the trusted root certificate of Site A into the NIDP trust store of Site B.

2a Click Devices > Identity Servers > Edit > Security > NIDP Trust Store.

2b In the Trusted Roots section, click Auto-Import From Server, then fill the following fields:

Server IP/DNS: Specify the IP address or DNS name of Site A. For Site A in Figure 5-7, specify the following:

idp.sitea.novell.com

Server Port: Specify 8443.

2c Click OK, then specify an alias for the certificate (for example, SiteA).

You will get two certificate options: Root CA Certificate and Server certificate. We recommend you to select Root CA Certificate.

2d Examine the trusted root that is selected for you.

If the trusted root is part of a chain, make sure you select the parent and all intermediate trusted roots.

2e Click OK.

The trusted root certificate of Site A is added to the NIDP trust store.

2f Click Close.

2g Click Identity Servers > Update > OK.

Wait for the health status to return to green.
3 Configure an identity provider for Site B.

3a Click Identity Servers > Edit > Liberty [or SAML 2.0 or SAML 1.1].

3b Click New, select Identity Provider, then fill the following fields:

   **Name:** Specify a name for the provider. If you plan on configuring more than one protocol, include the protocol as part of the name, such as SiteA_Liberty

   **Metadata URL:** Specify the URL of the Liberty metadata on Site A. For Site A in Figure 5-7, specify the following:

   http://idp.sitea.novell.com:8080/nidp/idff/metadata

   This example uses port 8080 to avoid any potential certificate problems that occur when Identity Server and Administration Console are installed on separate machines.

   **SAML 2.0:** If you are using SAML 2.0, the metadata path is /nidp/saml2/metadata. For Site A in Figure 5-7, specify the following for SAML 2.0:

   http://idp.sitea.novell.com:8080/nidp/saml2/metadata

   **SAML 1.1:** If you are using SAML 1.1, the metadata path is /nidp/saml/metadata. For Site B in Figure 5-7, specify the following for SAML 1.1:

   http://idp.siteb.novell.com:8080/nidp/saml/metadata

3c Click Next.

3d To configure an authentication card, fill in the following:

   **ID:** (Optional) Specify an alphanumeric number that identifies the card. If you need to reference this card outside of Administration Console, you need to specify a value here. If you do not assign a value, Identity Server creates one for its internal use.

   **Text:** Specify the text that is displayed on the card to the user

   **Image:** Specify the image to be displayed on the card. Select the image from the drop down list. To add an image to the list, click **Select local image**.

   **Login URL:** (Conditional) If you are configuring an authentication card for SAML 1.1, specify an Intersite Transfer Service URL. For Figure 5-6 on page 360, specify the following value:


   For more information, see “Specifying the Intersite Transfer Service URL for the Login URL Option” on page 171.

   **Show Card:** Determine whether the card is shown to the user. If this option is not selected, the card is only used when a service provider makes a request for the card. For this scenario, select this option.

   **Passive Authentication Only:** Do not select this option.

3e Click Finish > OK.

3f Update Identity Server.

   Wait for the health status to return to green.
4 Continue with one of the following:
   - If you are using Liberty or SAML 2.0, continue with “Verifying the Trust Relationship” on page 366.
   - If you are using SAML 1.1, continue with “Configuring SAML 1.1 for Account Federation” on page 369.

**Verifying the Trust Relationship**

Before continuing with federation configuration, you need to verify that Site A and Site B trust each other.

1 To test the trusted relationship, log in to the user portal of Site B. For Site B in Figure 5-7, specify the following:

   https://idp.siteb.novell.com:8443/nidp/app

   In this configuration, the customizable image was used for the Liberty authentication card.

2 Click the menu, then click Liberty (or SAML2) authentication card.

   You are directed to Site A for login, with the default card selected for you.

![NetIQ Access Manager](image)

3 Enter the credentials for a user from Site A.

   The Federation consent prompt appears.

   **NOTE:** To disable this prompt, add the following parameter in the `web.xml` file under the `ldapLoadThreshold` context parameter:

   ```xml
   <context-param>
   <param-name>federationConsent</param-name>
   <param-value>true</param-value>
   </context-param>
   
   Linux: /opt/novell/nids/lib/webapp/WEB-INF/web.xml
   
   Windows: \Program Files (x86)\Novell\Tomcat\webapps\nidp\WEB-INF\web.xml
   
4 Click Yes.

   You are returned to the login page for Site B.

5 Enter the credentials of a user from Site B that you want to federate with the user from Site A.

   These two accounts are now federated. You can enter the URL to the user portal on Site A or Site B, and you are granted access without logging in again.
If you log out and log back in, the accounts are still federated, but you might be prompted for login credentials as you access resources on Site A and Site B. To enable a single sign-on experience, Identity Server at Site A, Identity Server at Site B, and the protected resources of Access Gateways must be configured to share a contract.

6 To enable a single sign-on experience, continue with “Configuring User Authentication” on page 367.

**Configuring User Authentication**

The following instructions describe one way to enable single sign-on to Identity Servers and Access Gateways in Figure 5-6 on page 360. It explains how to configure all sites to use the same contract. The instructions explain the following tasks:

- Selecting the contract for federation
- Configuring the contract at Site B to allow authentication at Site A
- Configuring Site A so its contract can satisfy the requirements of the contract at Site B
- Configuring Site A and Site B to use this contract as their default contract

To configure the contracts:

1 Log in to Administration Console for Site B.

2 Configure the authentication request:
   2a Click Devices > Identity Servers > Edit > Liberty [or SAML 2.0] > [Name of Identity Provider] > Authentication Card > Authentication Request.
   2b (Liberty) Verify the settings of the following fields:
      - **Allow federation**: Make sure this option is selected. If this option is not selected, users cannot federate their accounts at Site A with an account at Site B.
      - **After authentication**: Make sure this option is selected. Enabling this option assumes that a user account exists at the service provider and that the account can be associated with a user’s account at the identity provider.
      - **During authentication**: Make sure this option is selected. Enabling this option allows federation to occur when the user selects the authentication card of the identity provider.
   2c (SAML 2.0) Verify the settings of the following fields:
      - **Persistent**: Select this option to set up a persistent relationship between the two accounts.
      - **After authentication**: Make sure this option is selected. Enabling this option assumes that a user account exists at the service provider and that the account can be associated with a user’s account at the identity provider after authentication.
      - **During authentication**: Make sure this option is selected. Enabling this option allows federation to occur when the user selects the authentication card of the identity provider.
   2d For **Requested By**, select **Use Contracts**.
   2e (SAML 2.0) For **Context Comparison**, accept the default value of **Exact**.
   2f In the **Authentication contracts** section, select the name of the contract used by the protected resources and move it to the **Contracts** section.
      - If the contract you require is not in the list, it has not been configured for federation. See step 3.
   2g Click **OK**, then update Identity Server configuration.
(Conditional) Configure the contract at Site B to allow federation:

3a Click Identity Servers > Edit > Local > Contracts.
3b Record the URI for the contract you are using. This URI needs to exist as a contract on Site A. The name of the contract can be different at each site, but the URI must be the same.

NOTE: If site A only understands authentication class or type, select Use Types in the Requested By field and specify the authentication class in the Allowable Class field. Record the allowable class for the contract you are using. This allowable class must exist as a contract on site B. The name of the contract can be different at each site, but the allowable class must be the same.

3c Click the name of the contract.
3d Make sure the Satisfiable by External Provider option is selected.
3e Click OK twice, then update Identity Server if you made any changes.
3f Return to Step 2 to select the contract.

4 Verify that Site A contains the same contract:

4a Log in to Administration Console for Site A.
4b Click Identity Servers > Edit > Local > Contracts.
4c Match the URI from step 3b to a contract.

NOTE: Match the allowable class if you have selected Use Types in the Requested By field at site B.

If such a contract does not exist, you need to create it. For help, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

4d Click OK.

5 In Administration Console for Site A, click Identity Servers > Edit > Local > Defaults.

6 For the Authentication Contract, select the name of the contract from step 5c.

7 (Conditional) If you have multiple user stores, set the default contract for each user store.

8 Click OK, then update Identity Server.

9 Test the configuration:

9a Enter the URL to the user portal of Site B.
9b Click the federated login link to Site A.
9c Enter the credentials for Site A and log in.
9d Enter the URL for a protected resource at Site B.

You are granted access without being prompted for credentials.

10 If you want to allow federated users to log in at Site A rather than using the card at Site B to redirect them to Site A, complete the following tasks:

10a In Administration Console for Site B, click Devices > Identity Servers > Edit > Local > Defaults.

10b For the Authentication Contract, select the name of the contract whose URI matches the URI of the contract used by Site A.

10c Click Liberty [or SAML 2.0] > [Name of Identity Provider] > Authentication Card > Authentication Request.

10d In the Options section, enable the Use automatic introduction option.
This enables single sign-on to Site B when the user has already federated the accounts at the two sites.

10e Click OK, then update Identity Server.

10f To test single sign-on, log in to the user portal on Site A, then enter a URL for a protected resource at Site B.

Configuring SAML 1.1 for Account Federation

SAML 1.1 does not support user-controlled federation, but you can configure it so that accounts that match are automatically federated. The Liberty and SAML 2.0 protocols allow users to federate accounts without sharing any common attributes, but the SAML 1.1 protocol requires that the user accounts need to share some common attributes for SAML 1.1 to match them and allow federation.

- “Configuring User Account Matching” on page 369
- “Configuring the Default Contract for Single Sign-On” on page 370
- “Verifying the Trust Relationship with SAML 1.1” on page 371

Configuring User Account Matching

When federating with SAML 1.1, the security of a user matching method depends upon the accuracy of the mapping. You need to select an attribute or attributes that uniquely identify the user at both Site A and Site B. The attributes must identify only one user at Site A and match only one user at Site B. If the attributes match multiple users, you have a security problem.

The following steps use the e-mail address of the user and the LDAP mail attribute to set up a matching rule that matches one user account at Site A with one user account at Site B. To securely use such a matching rule, you need to have a rule in place at both Site A and Site B to ensure that all users have unique e-mail addresses.

Configuring Site B for User Account Matching

1 In Administration Console of Site B, click 

2 For the Satisfies contract option, select the contract that you want to use for single sign-on.

For this example, select Secure Name/Password-Form.

3 Select Attribute matching.

The Prompt for password on successful match option is automatically selected. Leave this option enabled.

4 Click the Define Attribute Matching Settings icon.

5 Move the user store that you want to search for the attribute to the User stores list.

6 For the User Matching Expression, select New User Matching Expression.

7 Specify a name for the matching expression, such as email.

8 In Logic Group 1, click the Add Attributes icon, select Ldap Attribute:mail [LDAP Attribute Profile], then click OK.

The form allows you to create a very complex set of matching rules, with multiple conditions. This example uses one attribute, the simplest form of a matching expression.

9 Click Finish, then select your matching expression for the User Matching Expression.

10 Click OK.

11 Click OK twice, then update Identity Server.

12 Continue with “Configuring the Attribute for Sharing” on page 370.
Configuring the Attribute for Sharing

1. In Administration Console of the Site B (the service provider), click Devices > Identity Servers > Shared Settings.

2. Click Attribute Sets, then click New.

3. Specify a Set Name, such as email, then click Next.

4. Click New, then fill the Add Attribute Mapping options:
   - **Local attribute**: Select Ldap Attribute:mail [LDAP Attribute Profile].
   - **Remote attribute**: Specify a name, such as email. Make sure you use the same remote name in the mapping for both Site B and Site A.
     Leave the other options set to their default values.

5. Click OK, then click Finish.

   Your newly created attribute mapping appears in the list of Attribute Sets.

6. Repeat step1 through step 5 for Site A (the identity provider).

7. If Site A and Site B are imported into the same Administration Console, skip this step.

8. Continue with “Configuring the Providers to Use the Shared Attribute” on page 370.

Configuring the Providers to Use the Shared Attribute

You need to configure Site A to send the shared attribute with the authentication credentials, and you need to configure Site B to process the shared attribute that is included with the authentication credentials.

1. In Administration Console for Site B, click Devices > Identity Servers > Edit > SAML 1.1 > [Name of Identity Provider] > Attributes.

2. For the Attribute set, select the set name you created in “Configuring the Attribute for Sharing” on page 370.

3. Move the email attribute so that it is obtained at authentication.

4. Click OK twice, then update Identity Server.

5. In Administration Console for Site A, click Devices > Identity Servers > Edit > SAML 1.1 > [Name of Service Provider] > Attributes.

6. For the Attribute set, select the set name you created in “Configuring the Attribute for Sharing” on page 370.

7. Move the email attribute so that it is sent with authentication.

8. Click OK twice, then update Identity Server.


Configuring the Default Contract for Single Sign-On

Identity Servers at Site A and Site B need to use the contract you specified in your user matching expression to be the default contract for Site A, Site B, and the protected resources of Access Gateway.

For the user matching expression contract, see step 2 in “Configuring Site B for User Account Matching” on page 369.
To configure the default contracts for Site A and Site B:

1. In Administration Console for Site B, click Devices > Identity Servers > Edit > Local > Defaults.
2. For the Authentication Contract, select the name of the contract used by the user matching expression.
3. Click OK, then update Identity Server.
4. For Site A, repeat step 1 through step 3.
5. For Access Gateway, review the contracts you have assigned to the protected resources:
   5a. In Administration Console for Site B, click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources.
   5b. For single sign-on, change the contract to match the contract for the user matching expression.
   5c. (Conditional) If you have multiple reverse proxies and proxy services, verify the contracts on all protected services that you want enabled for single sign-on.
   5d. Click OK to save your changes, then update Access Gateway.
6. Continue with “Verifying the Trust Relationship with SAML 1.1” on page 371.

**Verifying the Trust Relationship with SAML 1.1**

1. To test the trusted relationship, enter the URL for the user portal of Site B. For Site B in Figure 5-7, you would specify the following:
   
   https://idp.siteb.novell.com:8443/nidp/app
   
   Use the scroll bar to see all available cards.
2. Click the menu then click card you have configured for SAML 1.1 authentication.
   
   You are directed to Site A for login.
3. Enter the credentials for Site A.
4. Enter the password for the user at Site B.
   
   You are directed to the target page specified in the Login URL of the authentication card.
   
   If you disabled the Prompt for password on successful match option on the User Identification page, the accounts are mapped without any user interaction.
5. (Conditional) If you receive an error, try one of the following:
   
   - If you are not redirected to the target URL on Site B, verify the value you enter for the Login URL option. See Step 3d on page 365.
   - If you receive an authentication error at Site B, verify the user matching setup. See “Configuring User Account Matching” on page 369.
   - If you have enabled logging, open the logging file (catalina.out or stdout.log) and search for the error string. There must be additional information about the cause of the error in the error string entry as well as log entries before the error string.
6. (Optional) If your protected resources on Site A and Site B use the same contract, enter the URLs of these resources.
   
   You are granted access without entering any additional credentials.
5.2.1.3 Sharing Roles

When two Identity Servers are configured to trust each other, one as an identity provider and the other as a service provider, they can be configured so that roles are shared. The following instructions are written for when both the identity provider and the service provider are Access Manager Identity Servers. If you are using a third-party identity or service providers, you need to modify the instructions.

Figure 5-8 illustrates a configuration where Identity Server of Site A is acting as an identity provider for Site B. When you configure Identity Servers correctly, Access Gateway can use the roles defined for the users of Site A in its policies.

Figure 5-8 Two Federated Identity Servers

The key to sharing roles is to set up the configuration so that the SAML assertion that the identity provider (Site A) sends to the service provider (Site B) contains the roles that the user has been assigned. Site B evaluates the roles and assigns them to the federated users at Site B. Access Gateway can use these roles in its policy evaluations, and grant or deny access based on the assigned roles.

For example, when user tsmith authenticates to Site A, tsmith is assigned the role of doc. Tom, a user at Site B, is federated with the tsmith user. The doc role is shared with Site B, and Site B contains a policy that assigns users with the shared doc role to the tester role. Access Gateway is configured with an Authorization policy that grants access to a resource when the requester is assigned the tester role. However, Tom does not have the qualifications at Site B to be assigned the tester role.

In this scenario, when Tom requests access to the protected resource at Site B, a login page with a federated link to Site A is displayed. If Tom selects to log in to Site A, Site A assigns him to the doc role. The doc role is sent with tsmith’s authentication credentials to Site B. Site B evaluates the credentials and assigns Tom to the tester role because the following conditions are met:

- Tom is federated with tsmith.
• tsmith was assigned the doc role.
• The shared role and tester policies on Site B qualify the user to be assigned the tester role.

When Access Gateway evaluates the credentials of Tom, Tom is granted access to the protected resource because he now has the tester role.

This section describes how to set up such a configuration. It assumes that the following have already been done:

• The trusted relationship between the identity provider and service provider is set up. For configuration instructions, see “Establishing Trust between Providers” on page 363.
• The following policies have been created: the doc role policy at Site A, the tester role policy at Site B, and the Authorization policy (that uses the tester role) for Access Gateway. The following instructions explain how to set up the shared policy.

This section explains how to configure Site A and Site B so that Site A shares its roles with Site B.

• “Configuring Role Sharing” on page 373
• “Verifying the Configuration” on page 376

Configuring Role Sharing

There are three major tasks for configuring role sharing. You need to configure a shared attribute for transferring the roles. You need to configure the identity provider and the service provider so that the role assignments can be added to the attribute and retrieved from the attribute. Finally, you need to create a shared Role policy for each role sent to the service provider. This policy defines how the role must be processed.

The following sections describe these configuration tasks:

• “Defining a Shared Attribute Set” on page 373
• “Obtaining the Role Assignments” on page 374
• “Configuring Policies to Process Received Roles” on page 374

Defining a Shared Attribute Set

1 In Administration Console of the Site A (the identity provider), click Devices > Identity Servers > Shared Settings.
2 Click Attribute Sets, then New.
3 Specify a Set Name, such as role_sharing, then click Next.
4 Click New and fill the Add Attribute Mapping options:
   - **Local attribute:** Select All Roles.
   - **Remote attribute:** Specify a name, such as roles. Make sure you use the same remote name in the mapping for both the identity provider and the service provider.
   - Leave the other options set to their default values.
5 Click OK, then click Finish.
   - Your newly created attribute mapping appears in the list of Attribute Sets.
6 Repeat Step 1 through Step 5 on Site B (the service provider).
7 Continue with “Obtaining the Role Assignments” on page 374.
Obtaining the Role Assignments

1 To export the roles from the identity provider, log in to Administration Console for the identity provider. (In Figure 5-8, this is Site A.)

1a Click Devices > Identity Servers > Edit > Liberty > [Name of Service Provider] > Attributes.
   If you are using SAML 2.0 or SAML 1.1 protocol, the steps are the same. You just need to click the appropriate tab after clicking Edit. The path is the same for these protocols.

1b Select the attribute set you created, then move All Roles so this attribute is sent with authentication.

1c Click OK.

1d Update Identity Server of Site A.

2 To import the roles from the identity provider to the service provider, log in to Administration Console for the service provider. (In Figure 5-8, this is Site B.)

2a Click Devices > Identity Servers > Edit > Liberty > [Name of Identity Provider] > Attributes.

2b Select the attribute set you created, then move All Roles so this attribute is obtained with authentication.

2c Click OK.

2d Update Identity Server of Site B.

2e Continue with “Configuring Policies to Process Received Roles” on page 374.

Configuring Policies to Process Received Roles

For each role that is sent from Site A, you need to create a Role policy that specifies the role that must be activated on Site B. For example, suppose the tsmith user from Site A is assigned the doc role at authentication. You can create a Role policy on Site B that assigns the tester role to anyone with the doc role from Site A.

1 Log in to Administration Console for Site B.

2 Click Policies > Policies > New.

3 Specify a name for the policy, select Identity Server: Roles for the type, then click OK.

4 In the Condition Group 1 section, click New, then select Roles from Identity Provider.

5 (Conditional) If you have federated with more than one identity provider, select the provider. If you have federated with only one identity provider, the provider is selected for you.

   In this example, you have federated with only the identity provider at Site A, and it is selected for you.

6 For the value, select Data Entry Field, then specify the name of a role that is assigned by Site A, for example doc.

   If you leave Mode set to Case Sensitive, make sure you specify the case correctly.

7 In the Actions section, specify the role to activate on Site B for the role received from Site A.

   Your policy must look similar to the following:
8 Click OK twice, then click **Apply Changes**.

9 To enable the role for Identity Server, click **Identity Servers > Edit > Roles**.

10 Select the role, then click **Enable**.

11 (Optional) Repeat Step 2 through Step 10 for other roles assigned at Site A.

   If you have other Role policies at Site A, you need to set up Role policies at Site B to have the roles activated. For example, if Site A had a Tester Role policy and you wanted users assigned to the Tester Role policy to also be assigned to the Tester Role policy at Site B, you could create a separate policy for this activation, or you could add an Or condition group with a value field of tester to the policy in Step 7. The policy would assign federated users who belonged to the doc or tester roles at Site A, to the tester role at Site B.

12 To test role sharing:

   12a Enter the URL of a protected resource that requires a role for access. For the policy above, it would be a resource requiring the tester role.

   12b Click the federated link to Site A.

   12c Log in with the credentials of a user who is assigned the doc role.

      You are granted access to the resource. If you are denied access, continue with “Verifying the Configuration” on page 376 to discover the problem.
Verifying the Configuration

This section traces the role assignment from Identity Server that assigns it to the user, through Identity Server that receives the roles with the user’s authentication assertion, to the policy evaluation. If you are having trouble, this must help you determine the source of the problem.

The following procedures refer to the configuration displayed in Figure 5-8, “Two Federated Identity Servers,” on page 372. A tsmith user from Site A, who is assigned the doc role, is federated with a Tom user at Site B. Site B does not assign Tom the tester role. The Web server has been configured to protect the bugz site, which requires the tester role.

To verify the configuration:

1. Make sure policy logging is enabled on the identity provider and the service provider. Make sure that you enable at least Application logging at an Info level.
   For configuration procedures, see Section 21.3.1, “Configuring Logging for Identity Server,” on page 922.
   You can access log files for downloading and viewing by clicking Auditing > General Logging.
2. Have a user access a resource that is protected by a policy requiring a role from Site A.
   For this trace, the tsmith user from Site A requests access to the bugz page. The user uses the federated link and logs in with the credentials of the tsmith user.
3. Verify that Site A is assigning the user the role.
   3a View the catalina.out file (Linux) or the stdout.log file (Windows) of Identity Server at Site A.
   3b Search for the name of the role. You must find a line similar to the following:

   <amLogEntry> 2009-08-22T20:30:19Z INFO NIDS Application: AM#500105013: AMDEVICEID#C5F467BA50B009AC: AMAUTHID#DEEF6BEC3655DEB71CA56832DDDF866E: Authenticated user cn=tsmith,o=novell in User Store sitea-nids-user-store with roles doc,authenticated. </amLogEntry>

   If the role you need is not listed, look at the policy evaluation trace to discover why the user has not been assigned the role. For more information about how to understand role traces, see “Role Assignment Traces” on page 1133.
4. Verify that Site A is sending an authentication assertion to Site B.
   In the catalina.out file (Linux) or the stdout.log file (Windows) of Identity Server from Site A, look for lines similar to the following:

   <amLogEntry> 2009-08-22T20:30:19Z INFO NIDS Application: AM#500105018: AMDEVICEID#C5F467BA50B009AC: AMAUTHID#DEEF6BEC3655DEB71CA56832DDDF866E: Responding to AuthnRequest with artifact AAPLsCVpfv3ha9Mpn+cUiCXcf3D63sc0Qfscl5mZaaygHBKVOOh9aPSQ </amLogEntry>

   <amLogEntry> 2009-08-22T20:30:19Z INFO NIDS Application: AM#500105019: AMDEVICEID#C5F467BA50B009AC: AMAUTHID#F8B1C147EB3DDEFE9A3DB0287BA8E4A3: Sending AuthnResponse in response to artifact AAPLsCVpfv3ha9Mpn+cUiCXcf3D63sc0Qfscl5mZaaygHBKVOOh9aPSQ </amLogEntry>

   If you do not see these types of entries, verify that you have configured Site A to send the roles. See “Obtaining the Role Assignments” on page 374.
5. Verify that Site B is receiving the SAML assertion with the roles.
   In the catalina.out file (Linux) or the stdout.log file (Windows) of Identity Server from Site B, look for lines similar to the following:
The artifact ID must be the same as the artifact ID in Step 4.

If you do not see these types of entries, verify that you have configured Site B to receive the roles. See “Obtaining the Role Assignments” on page 374.

6 Verify that Site B is evaluating the received role assignments and activating the roles.

In the catalina.out file (Linux) or the stdout.log file (Windows) of Identity Server from Site B, search for a policy evaluation for RolesFromIdentityProvider. You must find lines similar to the following:

```

```~FA~ActionID_1203705845727~~AddRole-tester~~~Success(0)
```

The policy evaluation shows that the condition evaluates to true and that the tester role is activated. Tom is the user that is federated with the tsmith user, and the entry shows that Tom has been assigned the tester role.

If you do not see a policy evaluation for RolesFromIdentityProvider, make sure you have created such a Role policy and that you have enabled it. See “Configuring Policies to Process Received Roles” on page 374.

7 If the use has been assigned the correct role, the last step is to verify how the embedded service provider evaluated the policy protecting the resource.

In the catalina.out file of the ipd-esp file for Access Gateway, search for lines similar to the following for the authorization policy trace:
<amLogEntry> 2009-08-22T20:30:20Z INFO NIDS Application: AM#501102050: AMDEVICEID#esp-2559E7C93738D15: AMAUTHID#BCF3CB40B51E8A0AF8582BEF762B4DDD: PolicyID#651N2330-KN19-1I7M-176M-P942LMN6@832: NXPESID#1411: AGAuthorization Policy Trace: ~~~RL~1~~~~Rule Count: 2~~~Success(0) ~~~RU~RuleID_1198874340999-Allow_Tester-DNF~~1:1~~Success(0) ~~~CS~1~~ANDs~~1~~True(69) ~~~CO~1~CurrentRoles(6660):no-param:TESTER,AUTHENTICATED-com.novell.nxpe.condition.NxpeOperator@string-substring~SelectedRole (6661):hidden-param:hidden-value:~True(69) ~~~PA~1~~Permit Access~~~~Success(0) ~~~PC~1~~Document=(ou=xpemlPEP,ou=mastercdn,ou=ContentPublisher Container,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerCont tainer,o=novell:romaContentCollectionXMLDoc),Policy=(Allow_Tester),Rule=(1::RuleID_1198874340999),Action=(Permit::1)~~~~Success(0) </amLogEntry>

If the PA line does not evaluate to Permit Access, then you need to review the Authorization policy and discover the conditions, other than the tester role, that must be met to permit access.

5.2.1.4 Setting Up Federation with Third-Party Providers

Setting up federation with providers other than Access Manager Identity Servers requires the same basic tasks as setting up federation with Access Manager Identity Servers, with some modifications.

When you set up federation with identity providers and service providers that are controlled by a single company, you have access to Administration Consoles for both Identity Servers and know the admin credentials. When setting up federation with another company, additional steps are required.

- You need to negotiate with the other company and gain approval for federation because metadata must be shared and both sites require configuration. You need to negotiate a schedule for these configuration changes.
- The other site might not be using Access Manager for its identity or service provider. The basic tasks need to be modified to accommodate how that implementation shares metadata, authentication methods, and roles.
- Many SAML 1.1 providers do not support a metadata URL, and the data must be imported manually.
  
  For example, instead of sharing URLs that allow you to import metadata, you might need to share the actual metadata and paste it into the configuration. The Access Manager Identity Server validates the metadata of another identity provider or service provider; some implementations do not validate it. If Identity Server determines that the metadata is invalid, you need to negotiate with the provider to send you metadata that has been validated.
  
  Most third-party providers do not support authentication cards and contracts. However, most do support either authentication types or authentication URIs. You can use either of these to map from their authentication procedure to an Identity Server authentication contract.

For sample implementations with third-party providers that explain the modifications that were required to set up the federation, see the following:

- “Integrating Novell's Access Manager with Shibboleth's IDP Server” (http://www.novell.com/communities/node/6943/integrating-novells-access-manager-shiboleths-idp-server)
- “Integrating Google Apps and Novell Access Manager using SAML2” (http://www.novell.com/communities/node/8645/integrating-google-apps-and-novell-access-manager-using-saml2)
- “SAML 1.1 with Concur” (http://www.novell.com/coolsolutions/appnote/19673.html)
5.2.2 **Service Provider Brokering**

The Service Provider Brokering (SP Brokering) feature enables Identity Server to act as a federation gateway or a service provider broker. This federation gateway allows you to connect to different protocols such as Liberty, SAML 1.1, and SAML 2.0. You can use SP Brokering with the Intersite Transfer service of the identity provider. Intersite Transfer service enables authentication at a trusted service provider. SP Brokering helps companies establish trust between identity providers and their service providers that support different federation protocols. For example, an identity provider that supports SAML 2.0 can provide authentication to a Liberty or SAML 1.1 service provider by using SP broker.

SP Brokering helps reduce the number of trust relationships between an identity provider and their service provider. For example, identity providers can now provide authentication to their service providers by establishing a single trust relationship instead of multiple trust relationships. Similarly, a service provider must establish a single trust relationship with SP Broker to receive authentication from several identity providers.

You can control the authentication flow between several identity providers and service providers in a federation circle by allowing the administrator to configure policies that control Intersite Transfers. For example, an administrator can configure a policy with SP Broker that allows only certain users from an identity provider to be authenticated at a given service provider.

An Intersite Transfer URL has the following format: https://<identity provider>/idpsend?PID=<Service Provider ID>&TARGET=<final_destination_URL>

This Intersite Transfer URL consists of three parts:

- **https://<identity provider>:** The user can authenticate at the identity provider.
- **/idpsend?PID=<Service Provider ID>:** Authentication occurs at the service provider represented by the service provider ID at the identity provider.
- **&TARGET=<final_destination_URL>:** The user is finally redirected to the specified target URL associated with the service provider.

A Web page is created with many Intersite Transfer URLs for each combination of identity provider, service provider, and the target application.

For more information about the Intersite Transfer Service, see Section 3.9.11, “Using the Intersite Transfer Service,” on page 168.
This following illustration explains the flow of providing access to the target URL by using SP Brokering:

![Diagram of SP Brokering Flow]

1. **Web Page (User Portal):** A Web page (user portal) is created with a list of URLs called Brokered URLs, which provide access to various target applications.

2. **Originating Identity Providers:** The Originating Identity Provider is the identity provider with which the user credentials are stored for authentication. The Origin IDP must be configured as a Liberty/SAML1.1/SAML2.0 trusted identity provider in the SP Broker.

3. **Federation Gateway or SP Broker:** The Federation Gateway or SP Broker is a Access Manager identity provider that can be configured to control the authentication between several Origin IDPs and Allowed SPs in a federation circle.

4. **Allowed Service Provider:** The Allowed SP is the service provider in which the SP Broker provides authentication. The allowed SP must be configured as a Liberty/SAML1.1/SAML2.0 trusted service provider on SP Broker.

5. **Target Application:** The target application is the application running on a Web Sever that is protected by the service provider.

6. **Broker URL:** A Broker URL is a specially designed Intersite Transfer URL, which consists of four parts. You can click the brokered URL, which results in the following:

   2. The Origin IDP causes an authentication to occur at the SP Broker (?PID=SPBroker).
3. The SP Broker causes an authentication to occur at the allowed SP (TARGET=https://spbroker.com/idpsend?PID=SP1).

4. You are redirected to the target application (?TARGET=TARGET1).

SP Brokering requests are the Intersite Transfers resulting from brokered URLs processed on the SP Broker. The SP Broker can control the brokering requests before providing an authentication to the service provider. The SP Broker enforces the policies configured by the administrator by either causing the authentication at the service provider or by denying the request.

The SP Broker provides the following options to configure policies that control SP brokering requests:

1. A set of SAML 1.1, SAML 2.0 and Liberty trusted identity providers and trusted service providers can be configured as a brokering group. The brokering request is allowed only if the Origin identity provider and Allowed service provider belong to the same brokering group. Brokering Request is not allowed from an Origin identity provider of one group to an Allowed service provider of another group.

2. In a brokering group, a set of brokering rules can be configured that provides granular control on the brokering requests. For example, a brokering rule can be configured to deny a brokering request from an Origin identity provider to an Allowed service provider, if the user satisfies a certain condition at the SP Broker.

SP brokering is enabled on Identity Server only if at least one brokering group is enabled. If an Intersite Transfer request is received with neither the origin identity provider nor the Allowed service provider in any of the brokering group, the request is treated as a regular Intersite Transfer and SP brokering controls are not applied.

This chapter provides information about configuring the Access Manager SP Brokering functionalities, various deployment scenarios, and associated configuration details.

- Section 5.2.2.1, “Functionalities,” on page 381
- Section 5.2.2.2, “Brokering Flow,” on page 382
- Section 5.2.2.3, “Deployment Scenarios,” on page 384
- Section 5.2.2.4, “Configuring a Brokering for Authorization of Service Providers,” on page 386
- Section 5.2.2.5, “Creating and Viewing Brokering Groups,” on page 387
- Section 5.2.2.6, “Generating the Brokering URLs by Using an ID and Target in the Intersite Transfer Service,” on page 392
- Section 5.2.2.7, “Transient Federation within SAML 2.0,” on page 393
- Section 5.2.2.8, “Assigning the Roles for the Origin IDP users in SP Broker Using the Transient Federation Attributes,” on page 393
- Section 5.2.2.9, “Assigning The Local Roles Based On Remote Roles And Attributes,” on page 394
- Section 5.2.2.10, “SP Brokering Example,” on page 395

5.2.2.1 Functionalities

- Defines logical groups for Brokering
  - Brokering happens only among the group members. For example, Brokering of User Group1 users to Application 2 is not allowed.
  - A trusted provider is present in more than one group. For example, common partner is configured as a trusted service provider in the broker. The common partner is part of both Broker Group-1 and Broker Group-2.
• All the brokering rules apply within a group.
  • The brokering rules defines the origin Identity Server, Service Provider and the application target.
  • The brokering rule is attached to *any* role or a specific Identity Server role is defined at Broker Identity Server.
  • The brokering rules are based on prioritized list.

5.2.2.2 Brokering Flow

*Figure 5-9* Brokering Group Configuration

The Brokering Group configuration image provides information about how the Identity Provider Brokering group is configured with Service Provider Brokering Group.

1 Identify the Company and Partners’ Identity Providers.
  • Company 1 Brokering Group is configured with their Identity Server.
  • 1a is the partner of Company 1 Brokering Group configured with Service Provider Brokering Group that is Novell Identity Server.

2 The federation is established between the company and partners’ Identity Provider and the Service Provider Brokering Group that is Novell Identity Server.
  • Company 1 Brokering Group is configured with their Service Provider Brokering Group that is Novell Identity Server.
  • 2a is the partner of Company 1 Brokering Group configured with Service Provider Brokering Group that is Novell Identity Server.

3 Create a new brokering group.
   The Service Provider manages the brokering group based on roles.
   • Roles based on Identity Provider authentication.
- Roles based on Service Provider brokering authentication.
- Assign the Identity Providers and Service Providers.

4 Using Liberty, SAML 1.1, and SAML 2.0 protocols define policies and do the intersite transfer around the Service Provider Brokering feature.

5 Using the Brokering Service construct URLs.

6 Construct URL for each Identity Provider and Service Provider pair.

Figure 5-10  Brokering Group Flow

Identity Server is being shared to provide Service Provider brokering to a set of logical customers. Company 1 has one partner. All the trusted providers are configured at one broker Identity Server


2 Customer Identity Provider prompts the user for credentials if not already logged in. User logs in at Customer-1-IDP. The Identity Provider then performs an inter site transfer to Identity Provider Broker. This involves creating an sp-assertion-consumer-URL request and redirects the user to the following URL which eventually lands at Broker Identity Providers’ Assertion Consumer URL https://brokeridp.abc.com/nidp/saml2/sp_assertion_consumer
3 POST contents will include SAML Artifact = <artifact> and RelayState=https://brokeridp.abc.com/nidp/saml2/?idpsend=partner1-sp-id&TARGET=https://www.partnerapp.partner1.com

4 The service provider assertion consumer URL processing includes a hook to enforce broker rules.
   - From the Artifact, it finds the trusted provider that it is receiving the artifact from origin trusted provider.
   - If the RelayState contains IDPsend, then it finds the target trusted provider from the RelayState and also finds the target.
   - Using origin trusted provider, the group to which this brokering request belongs is found and a search is made for the policies representing origin trusted provider, target trusted provider and brokering service provider.
   - At this time, only role is unknown. A decision can be taken if the brokering is allowed between origin trusted provider and target tested provider for a particular target or not. If it is allowed then it is proceeded to the next step of artifact resolution.
   - after this request needs further processing of role enforcement which will be known only after an assertion is received from customer identity provider, a flag is set on the Novell identity provider session object. This flag (Broker_role_enforcement) is checked during assertion processing.

5 Artifact resolution happens at customer identity server.

6 Artifact resolution response is sent to the broker identity server which contains the assertion.

7 A new hook is made in the assertion processing.
   - If Broker_role_enforcement flag is set on the session, then Roles are identified for this user.
   - Broker rules are again enforced for the Roles.
   - If the brokering is not allowed for the Role an error message is displayed at the browser. Otherwise the browser is redirected back to the Broker Identity Server (to itself) with the following URL https://brokeridp.verizon.com/nidp/saml2/?idpsend=partner1-sp-id&TARGET=https://www.partnerapp.partner1.com
   - Intersite transfer is now made to the DSP with the following URL https://partner.idp.com/nidp/saml2/spassertion_consumer
   - The POST message contains SAML Artifact and RelayState (which contains the target URL).

8 The partner service provider verifies the artifact over SOAP back channel with broker identity servers.

9 Broker Identity Servers resolves the artifact and sends the assertion.

10 Partner Service Providers redirects the browser to the target URL (https://www.partnerapp.partner1.com). It sets its cookie on the browser during the redirection. At this time the user has a valid authenticated session on Partner Service Provider.

11 The Partnerapp.partner1.com validates the session and provides access to the user.

### 5.2.2.3 Deployment Scenarios

- “Configuring Trusted Providers at One Broker Identity Server” on page 385
- “Brokering Across Group is not Allowed” on page 385
- “Brokering Within Group is Allowed” on page 386
- “Brokering Within a Group Based On Groups and Members” on page 386
Configuring Trusted Providers at One Broker Identity Server

Identity Server is shared among two sets of logical customers to provide Service Provider brokering feature.

- The Company 1 Brokering Group consists of Company 1 and Partner 1 logical customers.
- The Company 2 Brokering Group consists of Company 2 and Partner 2 logical customers.

**Brokering Across Group is not Allowed**

The brokering feature is not allowed among different company groups.

The brokering is not allowed between the logical customers of Company 1 Brokering Group and Company 2 Brokering Group.
**Brokering Within Group is Allowed**

The brokering feature is allowed among different partners of the company group.

Brokering is allowed between the brokering groups such as COmpany 1 Brokering Group and Company 2 Brokering Group.

- Role based brokering is allowed among Company 1 and Partner 1 logical customers.
- Role based brokering is allowed among Company 2 and Partner 2 logical customers.

**Brokering Within a Group Based On Groups and Members**

The brokering feature is allowed among different partners based on roles and groups authentication of the company.

### 5.2.2.4 Configuring a Brokering for Authorization of Service Providers

Authorization rules for authorizing service provider requests must be configured from the Access Manager Brokering page. To configure authorization policy, configure the broker rule policy. Ensure that the service providers are configured to the local Identity Server that will be evaluated during authorization. Figure 5-11 on page 386 displays the sample configuration.

*Figure 5-11  SAMI2 Service Provider Initiated Authorization Rule Configuration*
5.2.2.5 Creating and Viewing Brokering Groups

Identity Server cluster configuration provides a Brokering tab that you can use to configure the groups and generate brokered URLs.

1 Click Devices > Identity Servers > Brokering.
2 The Brokering tab allows you to create new Groups as well as display the configured Groups. The Display Brokering Groups page displays the list of groups configured. You can also create, delete, enable, and disable the brokering group on this page.
3 The Display Brokering Groups page displays the following information for each group:
   - **Group Name**: Specifies a unique name to identify the group. When you click on the hyperlink, you can view the Group Details page, where the Group configuration such as name and list of Identity Providers and Service Providers can be modified.
   - **Enabled**: A check mark indicates that brokering is enabled for the group by applying the configured rules. A blank means that brokering is disabled.
   - **Identity Providers**: Display the total number of Liberty/SAML1.1/SAML2 IDPs assigned to this group.
   - **Service Providers**: Display the total number of Liberty/SAML1.1/SAML2 SPs assigned to this group.
   - **Brokering Rules**: If the rules are not configured, then "No Rules Config" is displayed. The default rule allows for brokering between any IDP to any SP in the group. If new rules are configured, then the first rule name is displayed along with the count of total rules.

- "Creating a Brokering Group" on page 387
- "Configuring Trusted Identity Providers and Service Providers" on page 388
- "Configuring Brokering Rules" on page 388
- "Constructing Brokering URLs" on page 390
- "Validating Brokering Rules" on page 391

Creating a Brokering Group

You can create Broker Group and configure rules for the selected groups. Enter the name of the group and select the trusted providers using the arrow navigation button.

To create a new broker group follow these steps:

1 Click Devices > Identity Servers > Brokering.
2 Click New. The Creating Brokering Group page displays.
3 Perform the following actions in the fields:
   - **Display Name**: Specify the brokering group display name.
   - **Selected IDPs**: Select at least one trusted IDP using navigation button.
   - **Selected SPs**: Select at least one trusted SP using navigation button.
   - **Available Trusted IDPs**: Displays Liberty/SAML1.1/SAML2.0 trusted IDP configured on the given IDP cluster (idp_cluster1).
   - **Available Trusted SPs**: Displays Liberty/SAML1.1/SAML2.0 Trusted Service Providers configured on the given Identity Provider Cluster (idp_cluster1).
4 Click Finish to complete creation of the brokering group creation.
Configuring Trusted Identity Providers and Service Providers

You can configure the rules between the trusted identity providers and service providers by configuring rules, roles, and actions. You can view the configured rules, create new, delete the existing rule, edit the rules, enable and disable the configured rules.

You can configure the service providers and identity providers for all of the protocols in Identity Server, which are configured in Identity Server cluster. Using the brokering group, you can view the list of available service providers and identity providers in the selection box. Using the arrow keys, configure the trusted identity providers and trusted service providers for the respective brokering group.

1. Click Devices > Identity Servers > Brokering Group Name. The Configuration page displays the Trusted Providers, Brokering rules, Construct URL and Rule Validation tabs.
2. Click Trusted Providers tab.
3. Specify the display name and configure the brokering groups.
   - **Display Name:** Specify the display name of the configuring brokering group.
   - **Select IDPs:** Configure the selected identity providers using the arrow keys from the available trusted IDPs.
   - **Available Trusted IDPs:** Configure the available trusted identity providers using the arrow keys from Selected Identity Providers selection box.
   - **Selected SPs:** Configure the selected service providers using the arrow keys from the Available Trusted Service Providers selection box.
   - **Available Trusted SPs:** Configure the available trusted service providers using the arrow keys from the Selected Service Providers selection box.
4. Click OK to continue and the configured service providers and identity providers details are displayed in the Brokering page.
5. Click Finish to complete the rules configuration for the brokering group.
6. Click Apply to see the configuration changes.

**NOTE:** When you log out from Access Gateway device, then the logout is not propagated on the other Identity Servers if you have SAML 1.1 as one of the trusted provider in the brokering group.

Configuring Brokering Rules

You can create, edit, delete, enable, and the disable brokering rules.

1. Click Devices > Identity Servers > Brokering.
2. Click the existing or newly created Brokering Group hyperlink.
3. Click Rules. The Brokering Group Rules page is displayed.
   - **Name:** Displays the rule name of the brokering group.
   - **Enabled:** Displays the status of the brokering group rule.
   - **Identity Providers:** Displays the number of identity providers configured to the brokering group.
   - **Service Providers:** Displays the number of service providers configured to the brokering group.
   - **Priority:** Displays the brokering group rule priority number.
   - **Actions:** Displays the configured brokering group rule action status either as permit or deny.
   - **Role Conditions:** Displays the brokering group role condition, such as manager and employee, configured on the rule page.
4 Click OK to continue and display the configured brokering group rule details on the Brokering Rules page.
5 Click Apply to see the brokering rule configuration changes.

Creating a Brokering Rule

You can configure the rules to the created brokering groups.

1 Click Devices > Identity Servers > Brokering.
2 Click the existing or newly created Brokering Group hyperlink.
3 Click Rules. The Creating Brokering Group page displays.

   **Rule Name:** Specify the name of the rule.
   **Rule Priority:** Select the rule priority from the drop-down list.
   
   **NOTE:** The default rule specified during creation of the group has a priority of 1. Additional rules can be added, and existing rules can be deleted or modified. You can use the Edit Rules Page to modify the priority of the rules.

   **Origin IDP:** Displays all Identity Servers or one or more Identity Servers that are available in the group.
   **Allowed SP:** Displays all service providers or one or more service providers that are available in the group.
   **Role Conditions:** Displays the brokering group role condition such as manager and employee, configured on the rule page.
   **Actions:** Select the Permit or Deny action radio button for the rule you configure to the brokering group.

   **NOTE:** By default, Access Manager allows any role. If you want to allow access to only particular roles, configure a permit condition for roles with higher priority and configure a deny condition in which no roles are defined with lower priority.

4 Click Finish to complete configuration of rules for the brokering group.

Deleting a Brokering Rule

1 Click Devices > Identity Servers > Edit > Brokering > (Brokering Group in the Brokering Group list) > Rules.
2 Select the check box of the brokering group rule you want to delete, then click Delete. A message is displayed as “Delete selected brokering rule(s)?”.
3 Click OK to continue.

Enabling a Brokering Rule

1 Click Devices > Identity Servers > Edit > Brokering > (Brokering Group in the Brokering Group list) > Rules.
2 Select the check box of the brokering group rule you want to enable.
3 Click Enable. The selected brokering group is enabled.
**Disabling a Brokering Rule**

1. Click Devices > Identity Servers > Edit > Brokering > (Brokering Group in the Brokering Group list) > Rules.

2. Select the check box of the brokering group you want to disable from the brokering group rule configuration.

3. Click Disable. The selected brokering group is disabled.

**Editing Brokering Rules**

You can edit the group rules in the Brokering page.

1. Click Devices > Identity Servers > Edit > Brokering.

2. Click the existing or newly created brokering group hyperlink.

3. Click Rules tab.

4. Click the Brokering Rules hyperlink to edit the information. The Edit Brokering Rule page displays the information. You can also edit the information.

You can edit all the fields and modify the information about the Create Brokering Rule page. For more information about create brokering rule, see “Creating a Brokering Rule” on page 389

**Constructing Brokering URLs**

The Construct URL page helps you to create a URL, which you use in your application to navigate to your trusted partners.

You can generate the URL according to the origin and allowed service provider Identity Servers.

1. Click Devices > Identity Servers > Brokering.

2. Click the existing or newly created brokering group hyperlink.

3. Click Construct URL.

**IDP Type:** Select the Identity Provider type from the drop-down list. The three types of IDP in the drop-down list are Local IDP, Access Manager IDP, and Other IDP. If you select Access Manager IDP as the IDP type, then you can select the Origin IDP from the drop-down list. If you select Other IDP as the IDP type, you can enter the Origin IDP URL and you can select the Origin IDP from the drop-down list.

**Origin IDP:** The Origin identity providers are the trusted providers. The drop-down list displays all the trusted providers created for the specific Access Manager brokering group. Select the Origin IDP from the drop-down list.

**NOTE:** If the Origin IDP drop-down list does not list any trusted providers, it is because a local Identity Server exists as a trusted provider. To resolve this, add another Identity Server to the Access Manager brokering group.

**Origin IDP URL:** If you select Other IDP as the IDP type, you can enter the Origin IDP URL manually. The <OriginIDPURL> represents (protocol :// domain : port / path ? querystring).

**Provider Parameter Name:** If you select Other IDP as the IDP Type, you can enter the trusted provider parameter ID. For more information about Intersite Transfer Service target for a service provider, see “Configuring an Intersite Transfer Service Target for a Service Provider” on page 174

**Target Parameter Name:** If you select Other IDP as the IDP type, you can enter the target provider parameter name manually.
Allowed SP: The allowed service providers are the selected service providers of the trusted providers. The drop-down list displays all the service providers created for the specific brokering group. Select the service providers from the drop-down list.

Target URL: Specify the target URL for the specific trusted providers and service provider pair. This URL will be appended to the login URL. Click Generate to generate the login URL.

Login URL: The login URL consists of Origin IDP URL and the target URL.

4 Click Cancel to close the Construct URL page.

Validating Brokering Rules

The rule validation page helps you to validate the Origin identity providers and the allowed service provider rule according to the role associated with the respective trusted partners.

1 Click Devices > Identity Servers > Brokering.
2 Click on the existing or newly created brokering group hyperlink.
3 Click the Rule Validation tab.

Origin IDP: The Origin identity providers are the trusted providers. The drop-down list displays all the trusted providers created for the specific Access Manager brokering group. Select the Origin identity providers from the drop-down list.

Allowed SP: The Allowed SPs are the selected SPs of the trusted providers. The drop-down list displays all the service providers created for the specific brokering group. Select the service providers from the drop-down list.

Role: Specify the role you want to validate for the selected Origin identity trusted providers and allowed SP. Click the Validate Rule.

A list is displayed according to the rule validation for the selected trusted providers, role, and permission.

<table>
<thead>
<tr>
<th>Name</th>
<th>Identity Providers</th>
<th>Service Providers</th>
<th>Priority</th>
<th>Action</th>
<th>Role Conditions</th>
<th>Evaluate State</th>
</tr>
</thead>
<tbody>
<tr>
<td>DENY-Manager</td>
<td>130logincompany1</td>
<td>127partner2_b_sp</td>
<td>1</td>
<td>Deny</td>
<td>IAManager(1)</td>
<td>Ignored</td>
</tr>
<tr>
<td>CEO</td>
<td>122company2_ldp</td>
<td>130logincompany1</td>
<td>1</td>
<td>Deny</td>
<td>CEO(1)</td>
<td>Disabled</td>
</tr>
<tr>
<td>DENY-EMP</td>
<td>122company2_ldp</td>
<td>130logincompany1</td>
<td>1</td>
<td>Deny</td>
<td>EMP(1)</td>
<td>Disabled</td>
</tr>
<tr>
<td>Not-Allow-Manager-from-IDP2</td>
<td>122company2_ldp</td>
<td>130logincompany1</td>
<td>1</td>
<td>Deny</td>
<td>MANAGER(1)</td>
<td>Disabled</td>
</tr>
<tr>
<td>DENY SPBROLE</td>
<td>122company2_ldp</td>
<td>130logincompany1</td>
<td>1</td>
<td>Deny</td>
<td>SPBROLE(1)</td>
<td>Disabled</td>
</tr>
<tr>
<td>HIGH-RULE</td>
<td>Any</td>
<td>Any</td>
<td>1</td>
<td>Permit</td>
<td>No Role Conditions Configured</td>
<td>Disabled</td>
</tr>
</tbody>
</table>

Name: Displays the role name of the selected trusted providers.

Identity Providers: Displays the identity provider name.

Service Providers: Displays the service provider name.
**Priority:** In ascending order, displays the priority number of the rule validation of the selected trusted providers.

**Action:** Displays the permission action for validation of the selected trusted providers rule validation.

**Role Conditions:** Displays the role conditions for the selected trusted providers rule validation. Denial takes precedence over Permit.

**Evaluate State:** Displays the role conditions evaluate state for the selected trusted providers rule validation. You can see different evaluation states in the role conditions.

**Pass 1:** If the rule matches the Origin identity provider, allowed service provider or any roles mentioned.

**Pass 2:** If the rule matches the Origin identity provider, allowed service provider or any specific role mentioned.

**Ignored:** If the rule does not match either Pass 1 or Pass 2.

**Not Executed:** The default state of all the roles.

---

**NOTE:** If the rule has the evaluate State as Pass 1 action as Deny, then the remaining rules are in the non-executed state.

After a rule has the evaluate state as Pass 2, regardless of the action, the remaining rules are in the non-executed state.

The rules before Pass 1, must have the evaluate state of Ignored. All these ignored rules must have the role condition as Any, without specifying any role condition.

Pass 1 evaluation stops, as soon as a match for the Origin identity provider and allowed service provider is found with specific to some role condition.

---

4 Click Cancel to close the Rule Validation page.

### 5.2.2.6 Generating the Brokering URLs by Using an ID and Target in the Intersite Transfer Service

You can generate the brokering URL’s using the ID of the target. You can use this value to simplify the Intersite Transfer Service URL that must be configured at the service provider. For more information, see “Configuring an Intersite Transfer Service Target for a Service Provider” on page 174.

1 Click Devices > Identity Servers > Brokering or click Devices > Identity Servers > Edit > SAML 2.0 > Trusted Providers > > (Broker Identity under the Service Providers list) > Intersite Transfer Service.

2 **ID:** Specify the ID value of the target.

3 **Target:** Specify the URL of the page that you want to display to users when they authenticate with an Intersite Transfer URL. The behavior of this option is influenced by the **Allow any target** option. If you are using the target ID as part of the Intersite Transfer URL and did not specify a target in the URL, you need to specify the target in this field. For example, if you enter the target URL as it appears below, then it will be displayed when you select **Allow Any Target** option.
4 **Allow any Target**: Select this option to use the target that was specified in the Intersite Transfer URL. If this option is not selected, the target value in the Intersite Transfer URL is ignored and you can see the URL specified in the Target option.

### 5.2.2.7 Transient Federation within SAML 2.0

You have to make the following configuration changes for the transient federations to work from Origin Identity Provider to SP Broker to Target Service Provider. For example, if the Origin Identity Provider is on SAML 1.0 (transient), the SP Broker and the Target Service Provider also have to be on transient federation.

**Origin Identity Provider Configuration**

1. Go to **Edit > SAML2 > Trusted Providers > (Broker IDP under the Service Providers list) > Authentication Response**
2. Enable the **Transient Name ID Format** and make it as Default.

**Broker Identity Provider Configuration**

1. Go to **Edit > SAML2 > Trusted Providers > (Origin IDP under the Identity Providers list) > Authentication Card > Authentication Request.**
2. Select the Transient Name ID Format.
3. Go to **Edit > SAML2 > Trusted Providers > (Next hop SP under the Service Providers list) > Authentication Response.**
4. Enable the Transient Name ID Format and make it as Default.

**Service Provider Configuration**

1. Go to **Edit> SAML2> Trusted Providers > (Broker IDP under the Identity Providers list) > Authentication Card > Authentication Request.**
2. Select the Transient Name ID Format

### 5.2.2.8 Assigning the Roles for the Origin IDP users in SP Broker Using the Transient Federation Attributes

You can assign the roles for the origin Identity Provider users in Service Provider Brokering using the attributes of the transient federation. When you login as a transient user the federation is authenticated based on roles.

**Origin Identity Provider Attribute Configuration**

1. In Administration Console Dashboard, click **Devices > Identity Servers > Brokering** or click **Devices > Identity Servers > Edit > SAML 2.0 > Trusted Providers > (Broker Identity under the Identity Providers list) > Configuration > Attributes.**
2. Select the Attribute set from the drop-down list.
Select the attribute names in the **Available List** and move to **Send with Authentication** list using the arrows.

Click **Apply** to map and set the attribute changes to the selected role of the origin identity provider.

### Target Service Provider Attribute Configuration

1. In Administration Console Dashboard, click **Devices > Identity Servers > Brokering** or click **Devices > Identity Servers > Edit > SAML 2.0 > Service Providers > (Broker Identity under the Service Providers list) > Configuration > Attributes**.
2. Select the Attribute set from the drop-down list.
3. Select the attribute names in the **Available List** and move to **Send with Authentication** list using the arrows.
4. Click **Apply** to map and set the attribute changes to the selected role of the target service provider.

### Brokering Service Provider Attribute Configuration

The attributes configured in origin identity provider and the target service provider displays the attributes based on the role selected in the brokering service provider attribute configuration available list.

1. In Administration Console Dashboard, click **Devices > Identity Servers > Brokering** or click **Devices > Identity Servers > Edit > SAML 2.0 > Service Providers > (Broker Identity under the Service Providers list) > Configuration > Attributes**.
2. Select the Attribute set from the drop-down list.
3. Select the attribute names in the **Available List** and move to **Send with Authentication** list using the arrows.
4. Click **Apply** to map and set the attribute changes to the selected role of the brokering service provider.

### 5.2.2.9 Assigning The Local Roles Based On Remote Roles And Attributes

You are able to configure the attributes based on the roles you select in the Attribute set field. You are able to log in and authenticated based on roles federated in the Origin Identity Provider, Target Service Provider and the Brokering Service Provider configuration.

### Origin Identity Provider Role Attribute Configuration

1. Click **Devices > Identity Servers > Shared Settings > Attribute Sets > Mapping > New**. The **Add Attribute Mapping** window displays.
2. Select the local attribute name from the drop-down list.
3. Enter the remote attribute name for the selected local attribute.
4. Click **OK** to add the remote attribute name. The newly added attribute displays in the Mapping list.
5. Click **Devices > Identity Servers > Edit > SAML 2.0 > Trusted Providers > (Broker Identity under the Identity Providers list) > Configuration > Attributes**.
6. Select the role from drop-down list in the **Attribute set**.
7. Using the arrows map the attributes in the **Send with Authentication** and **Available List**.
8. Click **Apply** to map the set role and attribute of the origin Identity Provider.
Allowed Service Provider Role Attribute Configuration

2. Select the local attribute name from the drop-down list.
3. Specify the remote attribute name for the selected local attribute.
4. Click OK. The newly added attribute displays in the Mapping list.
5. Click Devices > Identity Servers > Edit > SAML 2.0 > Service Providers > (Broker Identity under the Service Providers list) > Configuration > Attributes.
6. Select the role from Attribute set.
7. Using the arrows, map the attributes in the Send with Authentication and Available List.
8. Click Apply to map and set the attribute changes to the selected role of the target Identity Service Provider.

Brokering Service Provider Role Attribute Configuration

The roles set and the attribute configured in origin identity provider and the target service provider is added and mapped in the brokering service provider attribute configuration.

2. Select the local attribute name from the drop-down list.
3. Enter the remote attribute name for the selected local attribute.
4. Click OK to add the remote attribute name. The newly added attribute displays in the Mapping list.
5. Click Devices > Identity Servers > Brokering or click Devices > Identity Servers > Edit > SAML 2.0 > Service Providers > (Broker Identity under the Service Providers list) > Configuration > Attributes.
6. Select the role from drop-down list in Attribute set.
7. Using the arrows map the attributes in Send with Authentication and Available List.
8. Click Apply to set the role and configure the attribute mappings.

5.2.2.10 SP Brokering Example

This example explains how SP Brokering works. Let us assume that two companies Digital Airlines and ACME are business partners. There are certain applications that users of both Digital Airlines and ACME require to access.

With SP Brokering, users in Digital Airlines are provided with an intersite transfer URL that allows users to authenticate at Digital Airlines, set the assertion at ACME, and give access to the target application. With this approach, users do not need to choose from different authentication cards.
The following diagram depicts the SP Brokering workflow:

Workflow:

1. A user is authenticated at Digital Airlines identity provider. The user clicks Broker URL. Digital Airlines checks if this user is authenticated. If not, it asks for user credentials and authenticates the user.

2. Digital Airlines identity provider processes an intersite URL and creates an assertion for SP Broker (Access Manager Identity Server).

3. SP Broker receives the assertion and validates that this assertion is received from a trusted identity provider.
4. SP Broker checks if the trusted identity provider and the service provider (available in the target URL) belong to the same group. SP Broker denies the request if both do not belong to same group.

5. SP Broker sends a request to Digital Airlines identity provider to resolve the artifact.

6. SP Broker receives the SAML assertion from Digital Airlines identity provider and caches attributes/roles received. SP Broker applies any Role policies that have been enabled.

7. SP Broker performs intersite transfer. In the processing of intersite transfer, SP Broker checks if this user was a result of SP Brokering (step 4 earlier). SP Broker enforces the SP Brokering rules check: if any of the rules result in deny, an error page is displayed.

8. SP Broker creates an assertion for ACME.

9. ACME sends a request to SP Broker to resolve the artifact.

10. ACME receives the SAML assertion from the SP Broker along with roles/attributes.

11. ACME sends a redirect to the final target URL. (Note: Redirect happens from ACME’s ESP to ACME’s identity provider where the user is already authenticated.)

12. The user accesses the target application.

5.2.3 Configuring User Identification Methods for Federation

Configuring authentication involves determining how the service provider interacts with the identity provider during user authentication and federation. Three methods exist for you to identify users from a trusted identity provider:

- You can identify users by matching their authentication credentials
- You can match selected attributes and then prompt for a password to verify the match, or you can use just the attributes for the match.
- You can assume that the user does not have an account and create new accounts with user provisioning. You can also allow for provisioning when the matching methods fail. If there are problems during provisioning, you see error messages with more information.

The following sections describe how to configure these methods:

- Section 5.2.3.1, “Defining User Identification for Liberty and SAML 2.0,” on page 397
- Section 5.2.3.2, “Defining User Identification for SAML 1.1,” on page 400
- Section 5.2.3.3, “Defining the User Provisioning Method,” on page 401
- Section 5.2.3.4, “User Provisioning Error Messages,” on page 403

5.2.3.1 Defining User Identification for Liberty and SAML 2.0

- “Selecting a User Identification Method for Liberty or SAML 2.0” on page 398
- “Configuring the Attribute Matching Method for Liberty or SAML 2.0” on page 399
Selecting a User Identification Method for Liberty or SAML 2.0

User identification determines how an account at the identity provider is matched with an account at the service provider. If federation is enabled between the two, the user can set up a permanent relationship between the two accounts. If federation is not enabled (see “Configuring a SAML 2.0 Authentication Request” on page 416 and “Configuring a Liberty Authentication Request” on page 445), you cannot set up a user identification method.

1 Click Devices > Identity Servers > Edit > Liberty [or SAML 2.0] > [Identity Provider] > User Identification.

2 Specify how users are identified on the SAML 2.0 or Liberty provider. Select one of the following methods:

- **Authenticate**: Select this option when you want to use login credentials. This option prompts the user to log in at both the identity provider and the service provider on first access. If the user selects to federate, the user is prompted, on subsequent logins, to authenticate only to the identity provider.
  - **Allow ‘Provisioning’**: Select this option to allow users to create an account when they have no account on the service provider. This option requires that you specify a user provisioning method.

- **Provision account**: Select this option when the users on the identity provider do not have accounts on the service provider. This option allows the service provider to trust any user that has authenticated to the trusted identity provider. This option requires that you specify a user provisioning method.

- **Attribute matching**: Select this option when you want to use attributes to match an identity server account with a service provider account. This option requires that you specify a user matching method.
  - **Prompt for password on successful match**: Select this option to prompt the user for a password when the user’s name is matched to an account, to ensure that the account matches.

3 Select one of the following:

- If you selected the Attribute matching option, select a method, then click OK. If you have not created a matching method, continue with “Configuring the Attribute Matching Method for Liberty or SAML 2.0” on page 399.

- If you selected the Provision account option, select a method, then click OK. If you have not created a provisioning method, continue with “Defining the User Provisioning Method” on page 401.

- If you selected the Authenticate option with the Allow Provisioning option, select a method, then click OK. If you have not created a provisioning method, continue with “Defining the User Provisioning Method” on page 401.

- If you selected the Authenticate option without the Allow Provisioning option, click OK.

4 Configure the post authentication method.

**Selected Methods**: Using the arrow keys to move methods from the Available Methods list to the Selected Methods list. The selected method is executed when post remote authentication completes.

For example if you select the passwordfetch method, this method is executed at the service provider after the identity provider authentication and federation completes.

**Logout on method execution failure**: If you select this check box, then whenever there is a session failure, the user is logged out automatically.

5 Configure the session options.
Allow IDP to set session timeout: Select Allow Identity Provider to set session timeout between the principal identified by the subject and the SAML authority based on SessionNotOnOrAfter attribute in SAML assertion of authnStatement.

Overwrite Temporary User: If you select this check box, then the temporary user credentials profile got form previous authentication method in the same session will be overwritten with real user credentials profile got from this authentication method.

Overwrite Real User: If you select this check box, then the real user credentials profile got form previous authentication method in the same session will be overwritten with real user credentials profile got from this authentication method.

Assertion Validity Window: You can manually set the assertion validity time for SAML Service Provider (SP) to accommodate clock skew between Service Provider and SAML Identity (IDP) Server.

6 Click OK twice, then update Identity Server.

Configuring the Attribute Matching Method for Liberty or SAML 2.0

If you enabled the Attribute matching option when selecting a user identification method, you must configure a matching method.

The Liberty Personal Profile is enabled by default. If you have disabled it, you need to enable it. See “Managing Web Services and Profiles” on page 449.

1 Click Devices > Identity Servers > Servers > Edit > Liberty [or SAML 2.0] > [Identity Provider] > User Identification.

2 Click Attribute Matching settings.

3 Select and arrange the user stores you want to use.

Order is important. The user store at the top of the list is searched first. If a match is found, the other user stores are not searched.

4 Select a matching expression, or click New to create a look-up expression. For information about creating a look-up expression, see Section 3.5.3, “Configuring User MatchingExpressions,” on page 67.

5 Specify what action to take if no match is found.

• Do nothing: Specifies that an identity provider account is not matched with a service provider account. This option allows the user to authenticate the session without identifying a user account on the service provider.

IMPORTANT: Do not select this option if the expected name format identifier is persistent. A persistent name format identifier requires that the user be identified so that information can be stored with that user. To support the Do nothing option and allow anonymous access, the authentication response must be configured for a transient identifier format. To view the service provider configuration, see Section 3.9.8, “Configuring an Authentication Response for a Service Provider,” on page 167.

• Prompt user for authentication: Allows the user to specify the credentials for a user that exists on the service provider. Sometimes users have accounts at both the identity provider and the service provider, but the accounts were created independently, use different names (for example, joe.smith and jsmith) and different passwords, and share no common attributes except for the credentials known by the user.

• Provision account: Assumes that the user does not have an account at the service provider and creates one for the user. You must create a provisioning method.

6 Click OK.
(Conditional) If you selected Provision account when no match is found, select the Provision settings icon. For information about this process, see “Defining the User Provisioning Method” on page 401.

Click OK twice, then update Identity Server.

5.2.3.2 Defining User Identification for SAML 1.1

- “Selecting a User Identification Method for SAML 1.1” on page 400
- “Configuring the Attribute Matching Method for SAML 1.1” on page 401

Selecting a User Identification Method for SAML 1.1

Two methods exist for identifying users from an identity provider when using the SAML 1.1 protocol. You can specify that no account matching needs to occur, or you can configure a match method. You configure a match method when you want to use attributes from the identity provider to uniquely identify a user on the service provider.

1 Click Devices > Identity Servers > Edit > SAML 1.1 > [Identity Provider] > User Identification.

2 In the Satisfies contract option, specify the contract that can be used to satisfy the assertion received from the identity provider. Because SAML 1.1 does not use contracts and because Identity Server is contract-based, this setting permits an association to be made between a contract and a SAML 1.1 assertion.

Use caution when assigning the contract to associate with the assertion, because it is possible to imply that authentication has occurred, when it has not. For example, if a contract is assigned to the assertion, and the contract has two authentication methods (such as one for name/password and another for X.509), the server sending the assertion might use only name/password, but the service provider might assume that X.509 took place and then incorrectly assert it to another server.

3 Select one of the following options for user identification:
   - **Do nothing**: Specifies that an identity provider account is not matched with a service provider account. This option allows the user to authenticate the session without identifying a user account on the service provider.
   - **Attribute matching**: Authenticares a user by matching a user account on the identity provider with an account on the service provider. This option requires that you set up the match method.
   - **Prompt for password on successful match**: Specifies whether to prompt the user for a password when the user is matched to an account, to ensure that the account matches.

4 Select one of the following:
   - If you selected Do nothing, continue with Step 6.
   - If you selected Attribute matching, continue with “Configuring the Attribute Matching Method for SAML 1.1” on page 401.

5 You can also configure the assertion time manually.
   - **Assertion Validity Window**: You can manually set the assertion validity time for SAML Service Provider (SP) to accommodate clock skew between Service Provider and SAML Identity (IDP) Server.

6 Click OK twice.

7 Click Apply to make the user identification configuration changes.

8 Update Identity Server.
Configuring the Attribute Matching Method for SAML 1.1

A user matching expression is a set of logic groups with attributes that uniquely identify a user. User matching expressions enable you to map the Liberty attributes to the correct LDAP attributes during searches. You must know the LDAP attributes that can be used to identify unique users in the user store.

To use user matching, the Personal Profile must be enabled. It is enabled by default. If you have disabled it, you need to enable it. See “Managing Web Services and Profiles” on page 449.

1 Click Devices > Identity Servers > Servers > Edit > SAML 1.1 > [Identity Provider] > User Identification.
2 To configure the match method, click Attribute Matching settings.
3 Select and arrange the user stores you want to use.
   Order is important. The user store at the top of the list is searched first. If a match is found, the other user stores are not searched.
4 Select a matching expression, or click New to create a look-up expression. For information about creating a look-up expression, see Section 3.5.3, “Configuring User Matching Expressions,” on page 67.
5 Click OK.
6 Update Identity Server.

5.2.3.3 Defining the User Provisioning Method

If you have selected Provision account as the user identification method or have created an attribute matching setting that allows for provisioning when no match is found, you need to create a provision method. This procedure involves selecting required and optional attributes that the service provider requests from the identity provider during provisioning.

IMPORTANT: When a user object is created in the directory, some attributes are initially created with the value of NAM Generated. Afterwards, an attempt is made to write the required and optional attributes to the new user object. Because required and optional attributes are profile attributes, the system checks the write policy for the profile’s Data Location Settings (specified in Liberty > Web Service Provider) and writes the attribute in either LDAP or the configuration store. For the LDAP write to succeed, each attribute must be properly mapped as an LDAP Attribute. Additionally, you must enable the read/write permissions for each attribute in the Liberty/LDAP attribute maps. See “Mapping LDAP and Liberty Attributes” on page 458.

To configure user provisioning:

1 Click Devices > Identity Servers > Servers > Edit > Liberty [or SAML 2.0] > [Identity Provider] > User Identification.
2 Click the Provisioning settings icon.
3 Select the required attributes from the Available Attributes list and move them to the Attributes list.
   Required attributes are those used in the creation of a user name, or that are required when creating the account.
4 Click Next.
5 Select optional attributes from the Available Attributes list and move them to the Attributes list.
   This step is similar to selecting required attributes. However, the user provisioning request creates the user account whether the optional attributes exist on the service provider.
6 Click Next.

7 Define how to create the username.

You can specify whether users are prompted to create their own usernames or whether the system automatically creates usernames. Selecting an attribute for the username segments from the required attributes list improves the chances that a new username is successfully created.

**Maximum length**: The maximum length of the user name. This value must be between 1 and 50.

**Prompt for user name**: Enables users to create their own usernames.

**Automatically create user name**: Specifies that the system creates usernames. You can configure the segments for the system to use when creating usernames and configure how the names are displayed.

For example, if you are using the required attributes of Common First Name and Common Last Name, a username for Adam Smith might be generated as A.Smith_02, as shown in the following illustration:

```
Segment 2

Segment 1 —— A.Smith_02 —— Suffix Length

Junction | Junction
```

Use the following settings to specify how this is accomplished:

- **Segment 1**: The required attribute to use as the first segment for the user name. The values displayed in this drop-down menu correspond to the required attributes you selected. For example, you might select Common First Name to use for Segment 1.

- **Length**: The length of the first attribute segment. For example, if you selected Common First Name for the Segment 1 value, setting the length to 1 specifies that the system uses the first letter of the Common First Name attribute. Therefore, Adam Smith would be ASmith.

- **Junction**: The type of junction to use between the attributes of the user name. If a period is selected, Adam Smith would display as A.Smith.

- **Segment 2**: The required attribute to use as the second segment for the user name. The values displayed in this drop-down menu correspond to the required attributes you selected. For example, you might select Common Last Name to use for Segment 2.

- **Length**: The length of the second attribute segment. For example, if you selected Common Last Name for the Segment 2 value, you might set the length to All, so that the full last name is displayed. However, the system does not allow more than 20 characters for the length of segment 2.

- **Ensure name is unique**: Applies a suffix to the colliding name until a unique name is found, if using attributes causes a collision with an existing name. If no attributes are provided, or the lengths for them are 0, and this option is selected, the system creates a unique name.

8 Click Next.

9 Specify password settings.

Use this page to specify whether to prompt the user for a password or to create a password automatically.

**Min. password length**: The minimum length of the password.
Max. password length: The maximum length of the password.
Prompt for password: Prompts the user for a password.
Automatically create password: Specifies whether to automatically create passwords.

10 Click Next.

11 Specify the user store and context in which to create the account.
   User Store: The user store in which to create the new user account.
   Context: The context in the user store you want accounts created.

The system creates the user within a specific context; however, uniqueness is not guaranteed across the directory.

Delete user provisioning accounts if federation is terminated: Specifies whether to automatically delete the provisioned user account at the service provider if the user terminates his or her federation between the identity provider and service provider.

12 Click Finish.

13 Click OK twice, then update Identity Server.

5.2.3.4 User Provisioning Error Messages

The following error messages are displayed for the end user if there are problems during provisioning:

<table>
<thead>
<tr>
<th>Error Message</th>
<th>Cause</th>
</tr>
</thead>
<tbody>
<tr>
<td>Username length cannot exceed (?) characters.</td>
<td>The user entered more characters for a user name than is allowed, as specified by the administrator.</td>
</tr>
<tr>
<td>Username is not available.</td>
<td>The user entered a name that already exists in the directory.</td>
</tr>
<tr>
<td>Passwords don't match.</td>
<td>The user provided two password values that do not match.</td>
</tr>
<tr>
<td>Passwords must be between (x) and (y) characters in length.</td>
<td>The user provided password values that are either too short or too long.</td>
</tr>
<tr>
<td>Username unavailable.</td>
<td>The provisioned user account was deleted without first defederating the user. Remove orphaned identity objects from the configuration datastore.</td>
</tr>
</tbody>
</table>

IMPORTANT: Only experienced LDAP users must remove orphaned identity objects from the configuration datastore. You must ensure that the objects you are removing are orphaned. Otherwise, you create orphaned objects by mistake.
5.2.4 Configuring SAML 2.0

Access Manager Appliance has two different ways of configuring a SAML 2.0 federated connection for single sign-on to external web services and applications. The heritage way still works and that is what is covered in the following sections. The new way of creating a federated SAML 2.0 connection is to use the predefined SAML 2.0 connector templates that simplify the configuration process. For more information, see “Understanding Federated Single Sign-On with SAML 2.0” in the Access Manager Appliance Applications Configuration Guide.

This section explains how to use the SAML 2.0 protocol to set up the trust with internal and external identity providers, service providers, and Embedded Service Providers (ESPs). Topics include:

- Section 5.2.4.1, “Understanding How Access Manager Uses SAML,” on page 404
- Section 5.2.4.2, “Configuring a SAML 2.0 Profile,” on page 408
- Section 5.2.4.3, “Managing a SAML 2.0 Service Provider,” on page 409
- Section 5.2.4.4, “Managing a SAML 2.0 Identity Provider,” on page 415
- Section 5.2.4.5, “Defining Options for SAML 2.0,” on page 420
- Section 5.2.4.6, “Configuring the Liberty or SAML 2.0 Session Timeout,” on page 424
- Section 5.2.4.7, “Modifying the Authentication Card for Liberty or SAML 2.0,” on page 425
- Section 5.2.4.8, “Configuring Multiple SAML 2.0 Service Providers on the Same Host for a Single SAML Identity Provider,” on page 426
- Section 5.2.4.9, “Configuring Active Directory Federation Services with SAML 2.0 for Single Sign-On,” on page 426

5.2.4.1 Understanding How Access Manager Uses SAML

Security Assertions Markup Language (SAML) is an XML-based framework for communicating security assertions (user authentication, entitlement, and attribute information) between trusted identity providers and trusted service providers. For example, an airline company can make assertions to authenticate a user to a partner company or another enterprise application, such as a car rental company or hotel.

Identity Server allows SAML assertions to be exchanged with trusted service providers that use SAML servers. Using SAML assertions in each Access Manager component protects confidential information by removing the need to pass user credentials between the components to handle session management.
An identity provider using the SAML protocol generates and receives assertions for authentication, according to the SAML 1.0, 1.1, and 2.0 specifications described on the Oasis Standards Web site (http://www.oasis-open.org/specs/index.php).

This section describes how Access Manager uses SAML. It includes the following topics:

- “Attribute Mapping with Liberty” on page 405
- “Trusted Provider Reference Metadata” on page 405
- “Authorization Services” on page 405
- “Identity Provider Process Flow” on page 406
- “SAML Service Provider Process Flow” on page 407

**Attribute Mapping with Liberty**

Attribute-based mapping involves one website communicating identity information about a subject to another website to support transactions. However, the identity information might be some characteristic of the subject, such as a role. The attribute-based mapping is important when the subject’s identity is either not important, must not be shared, or is insufficient on its own.

To interoperate with trusted service providers through the SAML protocol, Identity Server distinguishes between different attributes from different SAML implementations. Access Manager uses Liberty attributes in the SAML administration. When you specify which attributes to include in an assertion, or which attributes to use when locating the user from an assertion, these attributes must always be specified in the Liberty format.

In an attribute map, SAML attributes from each vendor’s implementation is converted to Liberty attributes. (See Section 3.5.1, “Configuring Attribute Sets,” on page 64.)

You can find detailed information about SAML 2.0 on the OASIS Standards Web site (http://www.oasis-open.org/specs/).

**Trusted Provider Reference Metadata**

Identity Server generates metadata for server communication and identification. You can obtain metadata through URL or XML document and then enter it in the system while creating the reference. Metadata is traded with federation partners and supplies various information regarding contact and organization information located at Identity Server. Metadata is generated automatically for SAML 2.0. You enter it manually for SAML 1.1.

**IMPORTANT:** The SAML 2.0 and Liberty 1.2 protocols define a logout mechanism whereby the service provider sends a logout command to the trusted identity provider when a user logs out at a service provider. SAML 1.1 does not provide such a mechanism. For this reason, when a logout occurs at the SAML 1.1 service provider, no logout occurs at the trusted identity provider. A valid session is still running at the identity provider, and no credentials need to be entered. To log out at both providers, users must navigate to the identity provider that authenticated them to the SAML 1.1 service provider and log out manually.

**Authorization Services**

When a user has authenticated to a site or application, the user has access to a resource controlled by a Policy Enforcement Point (PEP). The PEP checks for user access to the desired resource. The user is either granted or denied access to the resource. SAML is used as the communication mechanism between the PEP and a Policy Decision Point (PDP). In NetIQ product terminology, a PEP could be thought of as the NetIQ Access Gateway, and the PDP as the NetIQ Identity Server.
Identity Provider Process Flow

The following illustration provides an example of an Identity Server automatically creating an authenticated session for the user at a trusted SAML service provider. PP indicates a Personal Profile Service as defined by the Liberty specification.

**Figure 5-12  SAML Service Provider Process Flow**

1. A user is logged in to Identity Server at abc.com (the user’s identity provider) and clicks a link to xyz.com, a trusted SAML service provider.

   Identity Server at abc.com generates the artifact. This starts the process of generating and sending the SAML assertion. The HREF would look similar to the following:


2. Identity Server processes attributes as follows:

   a. The server looks up for LDAP or Liberty-LDAP mapped attributes. (See “Mapping LDAP and Liberty Attributes” on page 458.) In this example, you use Liberty attributes such as PP: sn instead of surname. PP: sn and PP: ph# are attributes that you are sending to xyz.com.

   b. Identity Server processes these attributes with a SAML implementation-specific attribute.

      Because the identity provider must interoperate with other SAML service providers that probably do not use consistent attribute names, you can map the service provider attributes to your Liberty and LDAP attributes on Identity Server. In this example, the service provider names for the Liberty PP: sn and PP: ph# attributes are lastname and phonenumber, respectively. (See “Configuring the Attributes Obtained at Authentication” on page 161.)

   c. Identity Server uses the PP service to look up the values for the user’s PP: sn and PP: ph# attributes.

      Identity Server recognizes that the values for the user’s PP: sn and PP: ph# attributes are Jones and 555-1212, respectively.

3. Identity Server sends an HTTP redirect with an artifact.

   Identity Server now has the information to generate a SAML assertion. Identity Server sends an HTTP redirect containing the artifact to the browser. The redirect looks similar to the following:


4. The remote SAML server requests the assertion.
The HTTP redirect results in the browser sending the artifact to the SAML server at xyz.com. The SAML server at xyz.com requests the SAML assertion from Identity Server.

5. Identity Server sends the assertion to the remote SAML server.

The remote SAML server receives the artifact and looks up the assertion. The assertion is sent to the SAML server at xyz.com in a SOAP envelope. The assertion contains the attributes `lastname=Jones` and `phonenumber=555-1212`.

The user now has an authenticated session at xyz.com. The xyz.com SAML server redirects the user’s browser to http://xyz.com/index.html, which was referenced in the original HREF in Step 1.

**SAML Service Provider Process Flow**

The following illustration provides an example of the authentication process on the consumer side, when a user clicks a link at the SAML service provider (xyz.com) to begin an authentication session with an identity provider (such as abc.com). PP indicates a Personal Profile Service as defined by the Liberty specification.

**Figure 5-13  SAML Consumer Process Flow**

1. The user clicks a link at xyz.com.

   This generates a SAML assertion intended for Identity Server at abc.com, which is the identity provider in an Access Manager configuration. After the SAML server generates the artifact, it sends the browser a redirect containing the artifact. The browser is redirected to the identity provider, which receives the artifact. The URL sent to Identity Server looks similar to the following:


2. Identity Server at abc.com receives the assertion.

   The assertion is sent to Identity Server packaged in a SOAP envelope. In this example, the assertion contains the attributes `lastname=Jones`, and `phonenumber=555-1212`.

3. Identity Server determines which attributes to use when locating the user.
Identity Server must determine how to locate the user in the directory. When you created the SAML service provider reference for xyz.com, you specified which Liberty attributes must be used for this purpose. In this case, the you specified that PP: sn and PP: ph# must be used.

a. Identity Server processes the Liberty attribute map (see “Mapping LDAP and Liberty Attributes” on page 458) to the SAML implementation-specific attributes (see “Configuring the Attributes Obtained at Authentication” on page 161).

Because this SAML implementation must interoperate with other SAML implementations that probably do not use consistent attribute names, you can map the attributes used by each third-party SAML implementation to Liberty attributes on Identity Server.

b. Identity Server receives implementation-specific SAML attribute names.

The trusted service provider’s names for the Liberty PP: sn and PP: ph# attributes are returned. Using the attribute map, Identity Server knows that the service provider’s names for these attributes are lastname and phonenum, respectively.

c. Identity Server uses the PP service to lookup the values for the user’s PP: sn and PP: ph# attributes.

Identity Server now recognizes that the values for the user’s PP: sn and PP: ph# attributes are Jones and 555-1212, respectively. The user’s DN is returned to Identity Server, and the user is authenticated.

4. The user’s DN is returned to Identity Server, and the user is authenticated.

5. The user is redirected to the target resource at xyz.com.

5.2.4.2 Configuring a SAML 2.0 Profile

You can configure the methods of communication that are available at the server for requests and responses sent between providers. These settings affect the server metadata, so you must determine these prior to publishing to other sites.

Profiles control the methods of communication that are available for SAML 2.0 protocol requests and responses sent between trusted providers. An identity provider uses the incoming metadata to determine how to respond.

All available profile bindings are enabled by default. SOAP is used when all profile bindings are enabled (or if the service provider has not specified a preference), followed by HTTP Post, then HTTP Redirect.

1 Click Devices > Identity Servers > Edit > SAML 2.0 > Profiles.

2 Specify the following details for identity providers and identity consumers (service providers):

   Artifact Resolution: Specify whether to enable artifact resolution for the identity provider and identity consumer.

   The assertion consumer service at the service provider performs a back-channel exchange with the artifact resolution service at the identity provider. Artifacts are small data objects pointing to larger SAML protocol messages. They are designed to be embedded in URL and conveyed in HTTP messages.

   Login: Specifies the communication channel to use when the user logs in. Select one or more of the following:

   Post: A browser-based method used when SAML requester and responder communicate through an HTTP user agent. This occurs when the communicating parties do not share a direct path of communication. You also use this when the responder requires user interaction to fulfill the request, such as when the user must authenticate to it.
• **Redirect**: A browser-based method that uses HTTP 302 redirects or HTTP GET requests to communicate requests from this identity site to the service provider. SAML messages are transmitted within URL parameters.

**Single Logout**: Specifies the communication channel to use when the user logs out. Select one or more of the following options:

- **HTTP Post**: A browser-based method used when the SAML requester and responder need to communicate by using an HTTP user agent. This occurs, for example, when the communicating parties do not share a direct path of communication. You also use this when the responder requires user interaction to fulfill the request, such as when the user must authenticate to it.

- **HTTP Redirect**: A browser-based method that uses HTTP 302 redirects or HTTP GET requests to communicate requests from this identity site to the service provider. SAML messages are transmitted within URL parameters.

- **SOAP**: Uses SOAP back-channel over HTTP messaging to communicate requests from this identity provider to the service provider.

**NOTE**: If you enable the **Show logged out providers** option (Identity Servers > Edit > Identity Providers) with HTTP Post profile, Access Manager does not complete a logout request from the service provider. This occurs because of the difference in the HTTP method used in the logout request. It is recommended to use HTTP Redirect method when **Show logged out providers** option is enabled.

**Name Management**: Specifies the communication channel for sharing the common identifiers for a user between identity providers and service providers. When an identity provider has exchanged a persistent identifier for the user with a service provider, the providers share the common identifier for a length of time. When either the identity provider or service provider changes the format or value to identify the user, the system can ensure that the new format or value is properly transmitted. Select one or more of the following options:

- **HTTP Post**: A browser-based method used when the SAML requester and responder need to communicate by using an HTTP user agent. This occurs, for example, when the communicating parties do not share a direct path of communication. You also use this when the responder requires user interaction to fulfill the request, such as when the user must authenticate to it.

- **HTTP Redirect**: A browser-based method that uses HTTP 302 redirects or HTTP GET requests to communicate requests from this identity site to the service provider. SAML messages are transmitted within URL parameters.

- **SOAP**: Uses SOAP back-channel over HTTP messaging to communicate requests from this identity provider to the service provider.

3 Click **OK**, then update Identity Server.

4 (Conditional) If you have set up trusted providers and have modified these profiles, reimport providers' metadata from this Identity Server.

---

### 5.2.4.3 Managing a SAML 2.0 Service Provider

Topics include:

- “Creating a SAML 2.0 Service Provider” on page 410
- “Contracts Assigned to a SAML 2.0 Service Provider” on page 410
- “Configuring A SAML 2.0 Authentication Response” on page 412
Creating a SAML 2.0 Service Provider

See “Creating a Trusted Service Provider” on page 157.

Contracts Assigned to a SAML 2.0 Service Provider

During federation, when a service provider initiates an authentication request, contract information may not be available. If the contract information is not available, Identity Server executes a default contract for validating the user. You can use the step-up authentication to assign a default contract for service providers in such scenarios.

The following scenario helps you understand the execution of contracts that are assigned to a SAML 2.0 service provider:

*Figure 5-14  Step-up authentication example with two applications*

Two web applications Payroll Portal and HR Portal that are protected through different service providers use Access Manager Identity Server as an identity provider. A user wants to use the name/password form contract whenever the user accesses the HR application and wants to use the higher level contract X509 for the Payroll application. Identity Server provides ability to execute the appropriate contract that has been assigned to the service provider instead of executing the default contract.
The following procedure allows you to assign a specific contract to a service provider:

1. Click Devices > Identity Servers > Edit > SAML 2.0.
2. Click the configured service provider.
3. Go to Options > Step Up Authentication contracts and select the contracts from the Available contracts list.

The following table lists the behavior of a service provider request:

<table>
<thead>
<tr>
<th>Service Provider Request</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Service provider request has no contract information to be executed at Identity Server</strong></td>
<td></td>
</tr>
<tr>
<td>1. Identity Server has no contracts set for this service provider as in Step 3.</td>
<td>Execute default contract for validating the user and default contract name is sent in the response.</td>
</tr>
<tr>
<td>2. Identity Server has contract C1 set for this service provider as in Step 3.</td>
<td>C1 is executed for validating the user and C1 is sent in the response.</td>
</tr>
<tr>
<td><strong>Service provider requests execution of contract C1 at Identity Server</strong></td>
<td></td>
</tr>
<tr>
<td>1. Identity Server has no contracts set for this service provider as in Step 3.</td>
<td>C1 is executed for validating the user and C1 is sent in the response.</td>
</tr>
<tr>
<td>2. Identity Server has contract C1 set for this service provider as in Step 3.</td>
<td>C1 is executed for validating the user and C1 is sent in the response.</td>
</tr>
<tr>
<td>3. Identity Server has contract C2 set for this service provider. C2 has trust level check disabled.</td>
<td>C2 is executed for validating the user and C2 is sent in the response.</td>
</tr>
<tr>
<td><strong>NOTE:</strong> C1 is not considered to be executed in this case.</td>
<td></td>
</tr>
<tr>
<td>4. Identity Server has contract C2 set for this service provider. C2 has trust level check enabled.</td>
<td>If trust level of C2 &gt;= trust level of C1, then C2 is executed and C2 is sent in the response.</td>
</tr>
<tr>
<td></td>
<td>If trust level of C2 &lt; trust level of C1, then C1 is executed and C1 is sent in the response.</td>
</tr>
<tr>
<td></td>
<td>If C1 is not available at Identity Server, then C2 is executed and C2 is sent in the response.</td>
</tr>
</tbody>
</table>

**NOTE:** When using the service provider (SP) initiated login with a SAML 2.0 SP federation, the SP configuration can impact the selection of the Access Manager contract for authentication depending on the values sent in SAML authentication request. To make it work properly, you must define your Access Manager contract URI to match with the request sent by the service provider.

For more information, see “Allowable Class” in Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
Configuring A SAML 2.0 Authentication Response

After you create a trusted service provider, you can configure how Identity Server responds to authentication requests from the service provider.


2. Select the binding method.

   If the request from the service provider does not specify a response binding, you need to specify a binding method to use in the response. Select **Artifact** to provide enhanced security by using a back-channel communication between two servers. Select **Post** to use HTTP redirection for the communication channel between two servers. If you select **Post**, you might require the signing of the authentication requests. See “Configuring the General Identity Provider Settings” on page 151.

   **NOTE:** The post binding can be configured to be sent as a compressed option. Perform the following steps to achieve this:
   1. Click Devices > Identity Servers > Edit > Options > New.
   2. Select **IS SAML2 POST INFLATE** in Property Type and **true** in Property Value. This provider will receive deflated SAML2 POST messages from its trusted providers.
   3. Click OK.
   4. Click Devices > Identity Servers > Edit > SAML 2.0 > Service Provider or Identity Provider > Options > New.
   5. Select **SAML2 POST DEFLATE TRUSTEDPROVIDERS** in Property Type and specify trusted provider's name, metadata URI, or provider ID in Property Value. You can specify multiple trusted providers in a comma separated format. These are the trusted providers who expect SAML2 POST messages in deflated format.
   6. Click OK.
   7. Restart Identity Server by using the `/etc/init.d/novell-idp restart` command.

3. Specify the identity formats that Identity Server can send in its response. Select one or more of the following options:

   - **Persistent**: Specifies that a persistent identifier, which is written to the directory and remains intact between sessions, can be sent.
   - **Transient**: Specifies that a transient identifier, which expires between sessions, can be sent.
   - **E-mail**: Specifies that an e-mail attribute can be used as the identifier.
   - **Kerberos**: Specifies that a Kerberos token can be used as the identifier.
   - **X509**: Specifies that an X.509 certificate can be used as the identifier.
   - **Unspecified**: Specifies that an unspecified format can be used and any value can be used. The service provider and the identity provider need to agree on the value that is placed in this identifier.

4. Use the **Default** button to select the name identifier that Identity Server must send if the service provider does not specify a format.

   If you select E-mail, Kerberos, x509, or unspecified as the default format, you must also select a value. See Step 5.
**IMPORTANT:** If you have configured the identity provider to allow a user matching expression to fail and still allow authentication by selecting the Do nothing option, you need to select Transient identifier format as the default value. Otherwise, the users who fail matching expression are denied access. To view the identity provider configuration, see “Defining User Identification for Liberty and SAML 2.0” on page 397.

5 Specify the value for the name identifier.

The persistent and transient formats are generated automatically. For others, you can select an attribute. The available attributes depend upon the attributes that you have selected to send with authentication (see “Configuring the Attributes Obtained at Authentication” on page 161). If you do not select a value for the E-mail, Kerberos, X509, or Unspecified format, a unique value is automatically generated.

6 To specify that this Identity Server must authenticate the user, deselect Use proxied requests. When the option is not selected and Identity Server cannot authenticate the user, the user is denied access.

   When this option is selected, Identity Server verifies if other identity providers can satisfy the request. If yes, the user is allowed to select the identity provider to perform the authentication. If a proxied identity provider performs the authentication, it sends the response to Identity Server. Identity Server then sends the response to the service provider.

7 Click OK > OK, then update Identity Server.

**Executing Authorization Based Roles Policy During SAML 2.0 Service Provider Initiated Request**

Access Manager service provider federation profiles do not allow control based authorization policies. Usually, the service providers enforce authorization rules. However, every service provider does not have this flexibility. It is recommended not to trust the service provider to enforce such rules. You can now apply an authorization policy to a configured service provider to either allow or not to allow access to the service provider. Identity Server evaluates service providers and generates assertions.

**Scenario:** Company xyz.com uses a CRM application that is protected through a SAML 2.0 service provider. This application must only be accessible to the sales team. Whenever a user accesses the application through the service provider, it redirects to Identity Server for validating the user.
Identity Server authenticates the user and then verifies whether the user is a member of the sales team. If yes, Identity Server sends a successful assertion to the service provider. Else, Identity Server sends an error response to the service provider.

By default, Identity Server executes these authorization policies after a user is authenticated during spsend. Set the `ALLOW_AUTH_POLICY_EXECUTION` option to false to disable Identity Server to execute the authorization policies. For information about how to set this option, see "Configuring Identity Server Global Options" on page 59.

If the authorization policy is configured to deny execution, Identity Server sends the following message as part of an assertion response.

```
<ds:Status>
  <ds:StatusCode>
  </ds:StatusCode>
  <ds:StatusMessage>Authorization is failed</ds:StatusMessage>
</ds:Status>
```

For more information about configuring a brokering for authorization of service providers, see "Configuring a Brokering for Authorization of Service Providers" on page 386.

**Editing a SAML 2.0 Service Provider’s Metadata**

See “Editing a SAML 2.0 Service Provider’s Metadata” on page 164.
Configuring Communication Security for a SAML 2.0 Service Provider

The security settings control the direct communication between Identity Server and the service provider across the SOAP back-channel.

1. Click Devices > Identity Servers > Edit > SAML 2.0.

2. The Security section specifies how to validate messages received from trusted providers over the SOAP back-channel. Both the identity provider and the service provider in the trusted relationship must be configured to use the same security method.

Specify the following details:

- **Encrypt assertions**: Specifies whether you want the assertions encrypted on the wire.
- **Encrypt name identifiers**: Specifies whether you want the name identifiers encrypted on the wire.

**SOAP Back Channel Security Method**: Select one of the following security methods.

- **Message Signing**: Relies upon message signing by using a digital signature.
- **Mutual SSL**: Specifies that this trusted provider provides a digital certificate (mutual SSL) when it sends a SOAP message.
  
  SSL communication requires only the client to trust the server. For mutual SSL, the server must also trust the client. For the client to trust the server, the server’s certificate authority (CA) certificate must be imported into the client trust store. For the server to trust the client, the client’s CA certificate must be imported into the server trust store.

- **Basic Authentication**: Specifies standard header-based authentication. This method assumes that a name and password for authentication are sent and received over the SOAP back-channel.

  **Send**: The name and password to be sent for authentication to the trusted partner. The partner expects this password for all SOAP back-channel requests, which means that the name and password must be agreed upon.

  **Verify**: The name and password used to verify data that the trusted provider sends.

3. Click OK twice.

4. Update Identity Server.

### 5.2.4.4 Managing a SAML 2.0 Identity Provider

Topics include:

- “Creating a SAML 2.0 Identity Provider” on page 415
- “Configuring a SAML 2.0 Authentication Request” on page 416
- “Configuring Communication Security for a SAML 2.0 Identity Provider” on page 419
- “Defining Session Synchronization for the A-Select SAML 2.0 Identity Provider” on page 420

### Creating a SAML 2.0 Identity Provider

See “Creating a Trusted Identity Provider” on page 155.
### Configuring a SAML 2.0 Authentication Request

You can configure how an authentication request is federated. When users authenticate to a service provider, they can be given the option to federate their account identities with the preferred identity provider. This process creates an account association between the identity provider and service provider that enables single sign-on and single log-out.

The authentication request specifies how you want the identity provider to handle the authentication process so that it meets the security needs of Identity Server.


2. Configure the name identifier format:

   - **Persistent**: A persistent identifier federates the user profile on the identity provider with the user profile on the service provider. It remains intact between sessions.
     
     The persistent identifier is saved to the user data store and hides the user's identity to prevent tracking of user activities across different relying parties.
     
     - **After authentication**: Specifies that the persistent identifier can be sent after the user has authenticated (logged in) to the service provider. When you set only this option, users must log in locally. Because the user is required to authenticate locally, you do not need to set up user identification.
     
     - **During authentication**: Specifies that the persistent identifier can be sent when the user selects the authentication card of the identity provider. Typically, a user is not authenticated at the service provider when this selection is made. When the identity provider sends a response to the service provider, the user needs to be identified on the service provider. If you enable this option, ensure that you configure a user identification method. See "Selecting a User Identification Method for Liberty or SAML 2.0" on page 398.

   - **Transient**: Specifies that a transient identifier, which expires between sessions, can be sent.

   - **Unspecified**: Allows either a persistent or a transient identifier to be sent.

3. Select one of the following options for the **Requested By** option:

   - **Do not specify**: Specifies that the identity provider can send any type of authentication to satisfy a service provider’s request, and instructs a service provider to not send a request for a specific authentication type or contract.

   - **Use Types**: Specifies that authentication types must be used.

     Select the type of comparison (for more information, see "Understanding Comparison Contexts" on page 418):

     - **Exact**: Indicates that the class or type specified in the authentication statement must be an exact match to at least one contract.

     - **Minimum**: Indicates that the contract must be as strong as the class or type specified in the authentication statement.

     - **Better**: Indicates the contract that must be stronger than the class or type specified in the authentication statement.

     - **Maximum**: Indicates that contract must as strong as possible without exceeding the strength of at least one of the authentication contexts specified.

     Select the types from the **Available types** field to specify which type to use for authentication between trusted service providers and identity providers. Standard types include Name/Password, X.509, Token, and so on.

   - **Use Contracts**: Specifies that authentication contracts must be used.
Select the type of comparison (for more information, see "Understanding Comparison Contexts" on page 418):

- **Exact**: Indicates that the class or type specified in the authentication statement must be an exact match to at least one contract.
- **Minimum**: Indicates that the contract must be as strong as the class or type specified in the authentication statement.
- **Better**: Indicates the contract that must be stronger than the class or type specified in the authentication statement.
- **Maximum**: Indicates that contract must as strong as possible without exceeding the strength of at least one of the authentication contexts specified.

Select the contract from the Available contracts list. For a contract to appear in the Available contracts list, the contract must have the Satisfiable by External Provider option enabled. To use the contract for federated authentication, the contract’s URI must be the same on the identity provider and the service provider. For information about contract options, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

Most third-party identity providers do not support contracts.

4 Configure the options:

**Response protocol binding**: Select Artifact or Post or Let IDP Decide. Artifact and Post are the two methods for transmitting assertions between the authenticating system and the target system.

If you select Let IDP Decide, the binding is selected based on the profile that is enabled at Identity Provider and the binding selected in the service provider.

**NOTE**: The post binding can be configured to be sent as a compressed option. Perform the following steps to achieve this:

1. Click Devices > Identity Servers > Edit > Options > New.
2. Select IS SAML2 POST INFLATE in Property Type and true in Property Value.
3. Click OK.
4. Click Devices > Identity Servers > Edit > SAML 2.0 > Service Provider or Identity Provider > Options > New.
5. Select SAML2 POST DEFLATE TRUSTEDPROVIDERS in Property Type and enter trusted provider’s name, metadata URI, or provider ID in Property Value. You can specify multiple trusted providers in a comma separated format. These are the trusted providers who expect SAML2 POST messages in deflated format. In other words, this provider has to send deflated SAML2 POST messages to the listed trusted providers.
6. Click OK.
7. Restart Identity Server by using this command: /etc/init.d/novell-idp restart.

**Allowable IDP proxy indirections**: Specifies whether the trusted identity provider can proxy the authentication request to another identity provider. A value of None specifies that the trusted identity provider cannot redirect an authentication request. Values 1-5 determine the number of times the request can be proxied. Select Let IDP Decide to let the trusted identity provider decide how many times the request can be proxied

**Force authentication at Identity Provider**: Specifies that the trusted identity provider must prompt users for authentication, even if they are already logged in.
**Use automatic introduction:** Attempts single sign-on to this trusted identity provider by automatically sending a passive authentication request to the identity provider. (A passive requests does not prompt for credentials.) The identity provider sends one of the following authentication responses:

- **When the federated user is authenticated at the identity provider:** The identity provider returns an authentication response indicating that the user is authenticated. The user gains access to the service provider without entering credentials (single sign-on).

- **When the federated user is not authenticated at the identity provider:** The identity provider returns an authentication response indicating that the user is not logged in. The user can then select a card for authentication, including the card for the identity provider. If the user selects the identity provider card, an authentication request is sent to the identity provider. If the credentials are valid, the user is also authenticated to the service provider.

**IMPORTANT:** Enable the Use automatic introduction option only when you are confident the identity provider will be up. If the server is down and does not respond to the authentication request, the user gets a page-cannot-be-displayed error. Local authentication is disabled because the browser is never redirected to the login page.

This option must be enabled only when you know the identity provider is available 99.999% of the time or when the service provider is dependent upon this identity provider for authentication.

5 Click **OK** twice, then update Identity Server.

### Understanding Comparison Contexts

When a service provider makes a request for an identity provider to authenticate a user, the authentication request can contain a class or type and a comparison context. The identity provider uses these to determine which authentication procedure to execute. There are four comparison contexts:

- **Exact:** Indicates that the class or type specified in the authentication statement must be an exact match to at least one contract.

  For example, when the comparison context is set to exact, the identity provider uses the URI in the request to find an authentication procedure. If an exact URI match is found, the user is prompted for the appropriate credentials. If an exact match is not found, the user is denied access.

- **Better:** Indicates the contract that must be stronger than the class or type specified in the authentication statement.

  If the identity provider is a NetIQ Identity Server, Identity Server first finds the specified class or type and its assigned authentication level. It then uses this information to find a contract that matches the conditions. For example if the authentication level is set to 1 for the class or type, the identity provider looks for a contract with an authentication level that is higher than 1. If one is found, the user is prompted for the appropriate credentials. If more than one is found, the user is presented with the matching cards and is allowed to select the contract. If a match is not found, the user is denied access.

- **Minimum:** Indicates that the contract must be as strong as the class or type specified in the authentication statement.

  If the identity provider is a NetIQ Identity Server, Identity Server first finds the specified class or type and its assigned authentication level. It then uses this information to find a contract that matches the conditions. For example if the authentication level is set to 1 for the class or type, the identity provider looks for a contract with an authentication level of 1 or higher. If one is
found, the user is prompted for the appropriate credentials. If more than one is found, the user is presented with the matching cards and is allowed to select the contract. If a match is not found, the user is denied access.

- **Maximum**: Indicates that contract must as strong as possible without exceeding the strength of at least one of the authentication contexts specified.

If the identity provider is a NetIQ Identity Server, Identity Server first finds the specified classes or types and their assigned authentication levels. It then uses this information to find a contract that matches the conditions. For example if the authentication level is set to 1 for some types and 3 for other types, the identity provider looks for contracts with an authentication level of 3. If a match or matches are found, the user is presented with the appropriate login prompts. If there are no contracts defined with a authentication level of 3, the identity provider looks for a match with an authentication level of 2, or if necessary, level 1. It cannot search below the lowest level of class in the authentication request or higher than the highest level of a class in the authentication request.

When you configure the authentication request, you specify the comparison context for a type or a contract.

### Configuring Communication Security for a SAML 2.0 Identity Provider

The security settings control the direct communication between Identity Server and an identity provider across the SOAP back-channel.

1. Click **Devices > Identity Servers > Edit > SAML 2.0**.
2. Click the name of an identity provider.
3. On the Trust page, specify the following details:

   - **Name**: Name for this trusted provider. The default name is the name you entered when creating the trusted provider.

   The **Security** section specifies how to validate messages received from trusted providers over the SOAP back-channel. Both the identity provider and the service provider in the trusted relationship must be configured to use the same security method.

   - **Encrypt name identifiers**: Specifies whether you want the name identifiers encrypted on the wire.

Select one of the following security methods:

- **Message Signing**: Relies upon message signing by using a digital signature.

- **Mutual SSL**: Specifies that this trusted provider provides a digital certificate (mutual SSL) when it sends a SOAP message.

   SSL communication requires only the client to trust the server. For mutual SSL, the server must also trust the client. For the client to trust the server, the server’s certificate authority (CA) certificate must be imported into the client trust store. For the server to trust the client, the client’s CA certificate must be imported into the server trust store.

- **Basic Authentication**: Specifies standard header-based authentication. This method assumes that a name and password for authentication are sent and received over the SOAP back-channel.

   **Send**: The name and password to be sent for authentication to the trusted partner. The partner expects this password for all SOAP back-channel requests, which means that the name and password must be agreed upon.

   **Verify**: The name and password used to verify data that the trusted provider sends.
Certificate Revocation Check Periodicity: Specifies if the certificate is valid or not. You can define periodicity to validate on start up, on assertion level, or set frequency to hourly/daily.

4 Click OK > OK.
5 Update Identity Server.

Defining Session Synchronization for the A-Select SAML 2.0 Identity Provider

If a user session is active on the service provider, the service provider periodically sends session synchronization to Identity Server to maintain the session. You must configure the properties for the session synchronization between the service provider and the target identity provider.

1 Click Devices > Identity Servers > Servers > Edit > Liberty or SAML 2.0 > Identity Provider > Options.
2 Click New.
3 Select Other in Property Type.
4 Specify the following values:
   Property Name: config.aselect.sessionsync.enabled
   Property Value: true
5 For session synchronization, add two options, one to enable the session synchronization and the other to provide the URL to which synchronization message must be sent.

The session synchronization message is sent from the Access Manager service provider to the A-Select identity provider, in tandem with Access Gateway ESP's activity update. The session synchronization message is sent only if the user session is active at Access Gateway portal, which is the ESP to the Access Manager service provider. If you log in directly to the Access Manager service provider, even if the session is active, the session synchronization message is not sent to the A-Select identity provider.

6 Click OK, then update Identity Server.

5.2.4.5 Defining Options for SAML 2.0

OIOSAML enables service providers to use external authentication services, implements single sign-on across disparate systems, and establishes a foundation for federated identity management. OIOSAML enables reuse of authentication services and consistent application of security technology.

You can implement the Single Logout Profile of OIOSAML. This profile enables you to logout from all service providers whose session originate from a particular identity provider. To use this profile, you must use a front channel binding.

This section includes the following topics:

- “Defining Options for a SAML 2.0 Identity Provider” on page 420
- “Defining Options for a SAML 2.0 Service Provider” on page 422

Defining Options for a SAML 2.0 Identity Provider

1 Click Devices > Identity Servers > Servers > Edit > SAML 2.0 > Identity Provider > Options.
2 Select the required options:
   OIOSAML Compliance: Select this option to make the identity provider OIOSAML compliant.
   Enable Front Channel Logout: Select this option to enable a service provider to initiates a logout at the identity provider by using the HTTP Redirect method.
3 Click New to set SAML properties for an identity provider. The following table lists the available properties:

**NOTE:** Access Manager 4.2 onwards, configuring the following options through files is deprecated. You must configure these option by using Administration Console.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Extensions</td>
<td>Specify the value in this format: <a href="">samlp:Extensions</a>. This value is sent in the authentication request to this identity provider.</td>
</tr>
<tr>
<td>SAML ASSERTION INCLUDE MILLISECS</td>
<td>Select true to get SAML requests for this identity provider including the timestamp in millisecond in IssueInstant.</td>
</tr>
<tr>
<td>SAML2 ATTRIBUTE CONSUMING INDEX</td>
<td>Select the value of AttributeConsumingServiceIndex in SAML requests to this identity provider from the specified integer value.</td>
</tr>
<tr>
<td>SAML2 AVOID CONSENT</td>
<td>Select true to not include Consent as part of the SAML 2.0 request to this identity provider.</td>
</tr>
<tr>
<td>SAML2 AVOID ISPASSIVE</td>
<td>If you select true, IsPassive is not included in SAML 2.0 request to this identity provider.</td>
</tr>
<tr>
<td>SAML2 AVOID NAMEIDPOLICY</td>
<td>If you select true, NameIDPolicy is not included in a SAML 2.0 request to this identity provider.</td>
</tr>
<tr>
<td>SAML2 AVOID PROTOCOLBINDING</td>
<td>If you select true, ProtocolBinding is not included in a SAML 2.0 request to this identity provider.</td>
</tr>
<tr>
<td>SAML2 AVOID PROXYCOUNT</td>
<td>If you select true, ProxyCount is not included in a SAML 2.0 request to this identity provider.</td>
</tr>
<tr>
<td>SAML2 AVOID SIGN AND VALIDATE ASSERTION TRUSTED PROVIDER</td>
<td>If you select true, the cluster will accept SAML 2.0 POST responses from this provider when the response is signed and assertion is not. For more information, see “Avoiding Assertion Signing Validation” on page 836.</td>
</tr>
<tr>
<td>SAML2 CHANGE ISSUER</td>
<td>Specify the provider ID to be sent as issuer in the SAML requests to this identity provider. The value is in format {SPProviderID}&gt;({issuer name}). {SPProviderID} will be replaced by the actual provider ID of the service provider. This will set the issuer of SAML 2.0 requests to the issuer name specified here. For example, <a href="https://nam.treyresearch.net:8443/nidp/saml2/metadata-%3Ehttps://saml.mariagerfjord.dk:8443/nidp/saml2/metadata">https://nam.treyresearch.net:8443/nidp/saml2/metadata-&gt;https://saml.mariagerfjord.dk:8443/nidp/saml2/metadata</a>.</td>
</tr>
<tr>
<td>SAML2 POST DEFLATE TRUSTEDPROVIDERS</td>
<td>If you select true, the cluster will send deflated post messages to this provider.</td>
</tr>
<tr>
<td>SAML2 SEND ACS INDEX</td>
<td>Select true to send AssertionConsumerServiceIndex with AuthnRequest to this identity provider.</td>
</tr>
<tr>
<td>SAML2 SEND ACS URL</td>
<td>Select true to send AssertionConsumerServiceURL with AuthnRequest to this identity provider.</td>
</tr>
</tbody>
</table>
Configuring Authentication

4 Click OK > Apply.

Sample XML File When All SAML Options Are Set to True

```xml
</samlp:AuthnRequest>
</saml:Issuer>

Sample XML File When All SAML Options Are Set to False

```xml
</saml:AuthnRequest>
</saml:Issuer>

Defining Options for a SAML 2.0 Service Provider

You can use Access Manager as an identity provider for several service providers. You can configure a specific authentication contract that is required for a service provider. If you have configured more than one authentication contract for a service provider, the contract with minimum level is selected.

When providing authentication to a service provider, Identity Server ensures that the user is authenticated by the required contract. When a user is not authenticated or when a user is authenticated, but the authenticated contracts do not satisfy the required contracts, user is prompted to authenticate with the required contract. This is called step up authentication.

If no required contract is configured, then the default contract is executed.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAML2 SIGN METHOD DIGEST SHA256</td>
<td>Set the value to true to use SHA256 algorithm as signing algorithm for assertions.</td>
</tr>
<tr>
<td>OTHER</td>
<td>Specify Property Name and Value if you want to configure any other property for this identity provider.</td>
</tr>
<tr>
<td>SAML2 RESPONSE AVOID REMOVE EXTRANEOUS NAMESPACES</td>
<td>Select true to have assertion name space in a SAML message and assertion.</td>
</tr>
</tbody>
</table>
**NOTE:** For SAML 2.0, this step up authentication is supported for Intersite Transfer Service (for both identity provider initiated and service provider initiated requests). For Liberty, it works only for identity provider initiated requests.

**Perform the following steps to define options for a SAML 2.0 service provider:**

1. Click Devices > Identity Servers > Servers > Edit > SAML 2.0 > Service Provider > Options.
2. Select OIOSAML Compliance to make the service provider OIOSAML compliant.
   The OIOSAML attribute set is automatically populated with the required attributes to send with authentication after selecting this option.
3. Select the required step up authentication contracts from the Available contracts list and move them to the Selected contracts list. This is to provide the step up authentication for the service provider.
   **NOTE:** Only the contract that is configured first in the Selected contracts list will be executed. This is applicable only for SAML 2.0.
4. Click New.
   The following table lists the available properties:

   **NOTE:** Access Manager 4.2 onwards, configuring the following options through files is deprecated. You must configure these option by using Administration Console.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAML ASSERTION INCLUDE MILLISECS</td>
<td>Select true to get SAML responses for this service provider including the timestamp in millisecond in IssuedInstant.</td>
</tr>
<tr>
<td>SAML2 AVOID AUDIENCE RESTRICTION</td>
<td>Select true to avoid sending the audience restriction information with assertion to this service provider.</td>
</tr>
<tr>
<td>SAML2 AVOID AUTHNCONTEXT CLASS REFERENCE</td>
<td>Set this to true to exclude AuthnContextClassRef as part of the SAML 2.0 assertion response for this service provider. (Access Manager 4.3 Service Pack 1 and later) <strong>NOTE:</strong> In Access Manager 4.3, you can set this property by specifying SAML2_AVOID_AUTHN_CONTEXT_CLASS_REF in OTHER.</td>
</tr>
<tr>
<td>SAML2 AVOID AUTHNCONTEXT DECLARATION REFERENCE</td>
<td>Set this to true to exclude AuthnContextDeclRef as part of the SAML 2.0 assertion response for this service provider. (Access Manager 4.3 Service Pack 1 and later) <strong>NOTE:</strong> In Access Manager 4.3, you can set this property by specifying SAML2_AVOID_AUTHN_CONTEXT_DECL_REF in OTHER.</td>
</tr>
<tr>
<td>SAML2 AVOID CONSENT</td>
<td>Select true to not include Consent as part of the SAML 2.0 request.</td>
</tr>
</tbody>
</table>
5.2.4.6 Configuring the Liberty or SAML 2.0 Session Timeout

When you are active on a session on the service provider and a timeout occurs, the service provider initiates a logout. You can configure this timeout by using the web.xml parameter in Access Gateway ESP, then ESP initiates a logout message to the Access Manager service provider over the SOAP back-channel when the timeout is reached. After the service provider receives this message, it creates a SAML 2.0 logout request to the remote identity provider over SOAP.

To send session timeout message:

2. Remove the pound (#) symbol before notifysessionTimetoIDP and set the value as true. ESP sends a ESP session timeout message. After timeout, the service provider sends a samlp:LogoutRequest xmlns:samlp="urn:oasis:names:tc:SAML:2.0:protocol request to the remote identity provider.
3 Restart Tomcat on each Identity Server in the cluster by using the following command:

/etc/init.d/novell-idp restart

Session Termination

If you set the session synchronization between the Service Provider and remote Identity Provider, then the remote Identity Provider never sends the logout request to the active Service Provider.

5.2.4.7 Modifying the Authentication Card for Liberty or SAML 2.0

When you create an identity provider, you must also configure an authentication card. After it is created, you can modify it.

1 Click Devices > Identity Servers > Edit > [Protocol] > [Identity Provider] > Authentication Card.

2 Modify the values in one or more of the following fields:

   **ID:** If you have need to reference this card outside of the user interface, specify an alphanumeric value here. If you do not assign a value, Identity Server creates one for its internal use. The internal value is not persistent. Whenever Identity Server is rebooted, it can change. A specified value is persistent.

   **Text:** Specify the text that is displayed on the card to the user. This value, in combination with the image, must identify to the users, which provider they are logging into.

   **Image:** Specify the image to be displayed on the card. Select the image from the drop-down list. To add an image to the list, click <Select local image>.

   **Show Card:** Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.

   **NOTE:** Do not disable the Show Card option for default contracts.

   **Passive Authentication Only:** Select this option if you do not want Identity Server to prompt the user for credentials. If Identity Server can fulfill the authentication request without any user interaction, the authentication succeeds. Otherwise, it fails.

   **Satisfies Contract:** Select the required contracts from the Available contracts list and move them to the Satisfies contract list.

   If the Access Manager identity provider is unable to execute the requested authentication contract, it looks for the configured external identity provider. This happens when the Satisfiable by External Contract option is enabled that satisfies the incoming authentication (contract) request. If the match is found, the identity provider lists all the satisfiable contracts to select the appropriate contract. If only a single match is found, the identity provider redirects it to an external contract.

   If the local identity provider is able to authenticate by using a local contract, which is satisfiable by an external provider, then the first preference is given to the local contract along with the other authentication cards listed.

   To configure the contract matching criteria, see “Allowable Class” in Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

3 Click OK > OK and update Identity Server.
5.2.4.8 Configuring Multiple SAML 2.0 Service Providers on the Same Host for a Single SAML Identity Provider

When the same Access Manager server hosts more than one SAML service provider and federate with another Access Manager acting as an identity provider for these service providers, Access Manager must send different sets of attributes in SAML 2.0 assertions to these service providers.

Perform the following steps to create multiple service providers on the same Access Manager host:

1. To create multiple service providers from the same identity provider metadata, manually modify the identity provider's metadata's entityID for each service provider. You can import the metadata text that was edited into the Access Manager configuration to create service providers with different entity IDs.

   For more information about how to create a SAML 2.0 service provider, see “Creating a Trusted Service Provider” on page 157.

2. In Administration Console Dashboard of the SAML 2.0 identity provider, click Devices > Identity Servers > Servers > Edit > SAML 2.0 > Service Provider > Options > New.

3. Set the SAML2 AVOID AUDIENCE RESTRICTION property to true. Setting this property to true avoids audience restriction in the SAML 2.0 assertion.

4. To avoid the spnamequalifier attribute in nameidentifier of the assertion, do the following:
   4a. In Administration Console Dashboard of the SAML 2.0 service provider, click Devices > Identity Servers > Servers > Edit > SAML 2.0 > Service Provider > Options > New.
   4b. Set the SAML2 AVOID SPNAMEQUALIFIER TO property to true.
   4c. Click OK.

5. Restart Identity Server.

NOTE: This is possible only when the identity provider and service providers are deployed on Access Manager.

5.2.4.9 Configuring Active Directory Federation Services with SAML 2.0 for Single Sign-On

This section describes step-by-step instructions for configuring a basic identity federation deployment between Microsoft Active Directory Federation Services 2.0 (AD FS 2.0) and Access Manager by using SAML 2.0, specifically its Web Browser SSO Profile and HTTP POST binding.

You can configure AD FS 2.0 as the claims provider and Access Manager as the relying party, or you can configure Access Manager as the claims provider and AD FS 2.0 as the relying party or service provider.

- “Prerequisites and Requirements” on page 427
- “Configuring Access Manager as a Claims or Identity Provider and AD FS 2.0 as a Relying Party or Service Provider” on page 428
- “Configuring AD FS 2.0 as the Claims or Identity Provider and Access Manager as the Relying Party or Service Provider” on page 435
- “AD FS 2.0 Basics” on page 438
- “Debugging AD FS 2.0” on page 439
Prerequisites and Requirements

- Two servers, one to host AD FS 2.0 and the other to host Access Manager.
- AD FS 2.0 is deployed.
- ADFS 2.0 with WIF is deployed.

The test deployment that was created in the AD FS 2.0 Federation with a Windows Identity Foundation (WIF) (http://go.microsoft.com/fwlink/?LinkId=193997) application is used as starting point for this deployment. A single Windows Server 2012 instance (fsweb.contoso.com) is used to host both the AD FS 2.0 federation server and a WIF sample application. It presumes the availability of a Contoso.com domain, in which fsweb.contoso.com is a member server. The same computer can act as the domain controller and federation server in the test deployments.

- ADFS 2.0 with SharePoint 2010 is deployed.

The test deployment that was created in Configuring SharePoint 2010 AAM applications with AD FS 2.0 (http://technet.microsoft.com/en-us/library/gg295319.aspx) is used as starting point for this deployment. A single Windows Server 2012 instance (fsweb.contoso.com) is used to host the AD FS 2.0 federation server and a Windows Server 2012 instance (SP2010) is used to host the SharePoint 2010 application. It presumes the availability of a Contoso.com domain, in which fsweb.contoso.com is a member server. The same computer can act as the domain controller and federation server in the test deployments.

- Access Manager is deployed.

The Access Manager environment in this deployment is hosted by a fictitious company called nam.example.com. Only Identity Server component of Access Manager is required for this federation. For more information about installation and deployment of Access Manager, see NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

NOTE: You can download the evaluation version of Access Manager from NetIQ's download portal.

Environment

- Access Manager 4.x.x.
- SUSE Linux Enterprise Server (SLES) 11 SP4 64-bit or a higher version.

IP Connectivity

Ensure that the Access Manager (nam.example.com) and AD FS 2.0 (fsweb.contoso.com) systems have IP connectivity between them. The Contoso.com domain controller, if it is running on a separate computer, does not require IP connectivity to the Access Manager system. If the Access Manager firewall is set up, open the ports required for Identity Server to communicate with Administration Console.

For more information about these ports, see Setting Up Firewalls in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

For HTTPS communication, Access Manager Identity Server uses TCP 8443 by default. Your browsers need to access this port when using the HTTP POST Binding. Or, you can change this port to 443 by using iptables.

For back-channel communication with cluster members, you need to open two consecutive ports for the cluster, such as 7801 and 7802. The initial port (7801) is configurable. See “Configuring a Cluster with Multiple Identity Servers” on page 57.

All federation servers (AD FS and Access Manager) need access to a reliable Network Time Protocol (NTP) time source.
**Name Resolution**

The hosts file on the AD FS 2.0 computer (fsweb.contoso.com) is used to configure name resolution of the partner federation servers and sample applications.

**Clock Synchronization**

Federation events have a short time to live (TTL). To avoid errors based on time-outs, ensure that both computers have their clocks synchronized.

**NOTE:** On SLES 11 SP1 64-bit or a higher version, use the command `sntp -P no -p pool.ntp.org` to synchronize time with the Internet time server.

---

**Configuring Access Manager as a Claims or Identity Provider and AD FS 2.0 as a Relying Party or Service Provider**

This section explains how to configure a setup in which an Access Manager user gets federated access to the WIF sample application or SharePoint 2010 through AD FS 2.0. This setup uses the SAML 2.0 POST profile.

- “Configuring Access Manager” on page 428
- “Configuring AD FS 2.0” on page 430
- “Example Scenario: Access Manager as the Claims Provider and AD FS 2.0 as the Relying Party” on page 434

**Configuring Access Manager**

- Using ADFS Metadata to Add a New Service Provider for Access Manager
- Exporting the Identity Provider Metadata to a File

**NOTE:** To deploy this identity federation, create a new contract with the “urn:oasis:names:tc:SAML:2.0:ac:classes:Password” URI and with the name password form method. Configure this contract as the default contract.

**Using ADFS Metadata to Add a New Service Provider for Access Manager**

- Getting the AD FS 2.0 Metadata
- Adding a New Service Provider Connection
- Adding an AD FS Server Trusted Certificate
- Creating an Attribute Set in Access Manager
- Configuring the Service Provider in Access Manager

**Getting the AD FS 2.0 Metadata**

1. Access the AD FS server metadata URL at `https://<<ADFS (hostname or IP)/FederationMetadata/2007-06/FederationMetadata.xml`.
2. Save the AD FS metadata file.
3. Open the AD FS metadata file in any XML editor.
4. Remove the `<RoleDescriptor>` tags from the metadata. For example, remove the following tags:
<RoleDescriptor xsi:type="fed:ApplicationServiceType"
protocolSupportEnumeration=http://..................... ....>
</RoleDescriptor>

<RoleDescriptor xsi:type="fed:SecurityTokenServiceType"
protocolSupportEnumeration=http://...... .....>
</RoleDescriptor>

5 Save the changes.

Adding a New Service Provider Connection

1 In the Access Manager Administration Console, click Devices > Identity Server > Edit > SAML 2.0.
2 Click New > Add Service Provider.
3 In Name, specify a name by which you want to refer to the provider.
4 Select Metadata Text from the Source list.
5 In Text, paste the copied AD FS metadata that you saved in Step 5.
6 Click Next > Finish.
7 Update Identity Server.

Adding an AD FS Server Trusted Certificate

1 Download the certificate authority (CA) certificate from the AD FS server.
2 In Access Manager Administration Console, click Security > Certificates > Trusted Roots > Import.
3 Specify a name for the certificate and browse for the ADFS certificate.
4 Click OK.
5 Click Uploaded AD FS CA.
6 Click Add to Trusted Store and select config store.
7 Update Identity Server.

Creating an Attribute Set in Access Manager

1 In Access Manager Administration Console, click Devices > Identity Servers > Shared Settings > Attribute Sets > click New.
2 Provide the attribute set name as adfs-attributes.
3 Click Next with the default selections.
4 In the Create Attribute Set section, click New.
5 Select ldapattribute mail from the Local Attribute list.
6 Specify emailaddress in Remote attribute.
8 Click OK.
9 Click New.
10 Select All Roles from the Local Attribute list.
11 Specify roles in Remote Attribute.
Configuring the Service Provider in Access Manager

1. In the Access Manager Administration Console, select the ADFS service provider in the SAML 2.0 tab.
2. Click Authentication Response.
3. Select Binding to POST.
4. Specify the name identifier format default value and select unspecified along with the defaults.
5. Click Attributes.
6. Select adfs-attributes from the Attribute Set list.
7. Select the required attributes to be sent with authentication. For example, the mail and cn attributes.
8. Click OK.

Exporting the Identity Provider Metadata to a File

Access https://<<Identity server IP / dns name>>:8443/nidp/saml2/metadata in a browser and save the page as an XML file, such as nam_metadata.xml. AD FS 2.0 uses this file to automate the setup of the Access Manager Claims Provider instance.

Configuring AD FS 2.0

- Using Metadata to Add Claims Provider
- Editing Claim Rules for the Claims Provider Trust
- Editing Claim Rules for the WIF Sample Application
- Editing Claim Rules for the SharePoint 2010 Application
- Disabling CRL Checking in the Linux Identity Server

Using Metadata to Add Claims Provider

Use the metadata import capabilities of AD FS 2.0 to create the Example.com claims provider. The metadata includes the public key that is used to validate security tokens signed by Access Manager.

Using Metadata to Add a Relying Party

1. In AD FS 2.0, in the console tree, right-click the Claims Provider Trusts folder, then click Add Claims Provider Trust to start the Add Claims Provider Trust Wizard.
2. Click Start.
3. On the Select Data Source page, select Import data about the claims provider from a file.
4. In the Federation metadata file location field, click Browse.
5. Navigate to the location where you saved nam_metadata.xml, click Open, then click Next.
6. On the Specify Display Name page, type NAM Example.
7. Click Next > Next > Close.
Editing Claim Rules for the Claims Provider Trust

The following claim rule describes how the data from Access Manager is used in the security token that is sent to the WIF sample application or SharePoint 2010.

1 In AD FS 2.0, click Relying Party Trusts, right click WIF Sample App, and then click Edit Claim Rules.

or

In the AD FS 2.0 center pane, under Claims Provider Trusts, right-click NAM Example, then click Edit Claim Rules.

2 On the Acceptance Transform Rules tab, click Add Rule.

3 On the Select Rule Template page, select the Pass Through or Filter an Incoming Claim option.

4 Click Next.

5 On the Configure Claim Rule page, use the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Name ID Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>Name ID</td>
</tr>
<tr>
<td>Incoming name ID format</td>
<td>Unspecified</td>
</tr>
</tbody>
</table>

6 Select the Pass through all claim values option and click Finish.

7 Click Add Rule.

8 On the Select Rule Template page, select the Pass Through or Filter an Incoming Claim option.

9 Click Next.

10 On the Configure Claim Rule page, under Claim rule name, specify the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Name Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>Name</td>
</tr>
</tbody>
</table>

11 Keep Pass through all claim values selected and click Finish.

12 To acknowledge the security warning, click Yes.

13 Click OK.

14 Click Add Rule.

15 On the Select Rule Template page, select Pass Through or Filter an Incoming Claim.

16 Click Next.

17 On the Configure Claim Rule page, specify the following values under Claim rule name:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Email Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>E-Mail Address</td>
</tr>
</tbody>
</table>

18 Keep Pass through all claim values selected and click Finish.
To acknowledge the security warning, click Yes.

Click OK.

### Editing Claim Rules for the WIF Sample Application

At this point, incoming claims have been received at AD FS 2.0, but rules that describe what to send to the WIF sample application have not yet been created. You need to edit the existing claim rules for the sample application to take into account the new Access Manager external claims provider.

1. In AD FS 2.0, click Relying Party Trusts.
2. Right-click WIF Sample App, then click Edit Claim Rules.
4. On the Select Rule Template page, click Pass Through or Filter an Incoming Claim > Next.
5. On the Configure Claim Rule page, specify the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Pass Name Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>Name</td>
</tr>
</tbody>
</table>

6. Keep Pass through all claim values selected, then click Finish.
8. On the Select Rule Template page, click Pass Through or Filter an Incoming Claim.
9. Click Next.
10. On the Configure Claim Rule page, specify the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Pass Name ID Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>Name ID</td>
</tr>
<tr>
<td>Incoming Name ID format</td>
<td>Unspecified</td>
</tr>
</tbody>
</table>

11. Keep Pass through all claim values selected, then click Finish.
12. Click OK.

**NOTE:** If you changed the rules while federating AD FS 2.0 with the WIF sample application, ensure that you add the Permit All Users issuance rules back to the WIF sample application. See Step 6: – Change Rules in the AD FS 2.0 Federation with a WIF Application Step-by-Step Guide (http://technet.microsoft.com/en-us/library/adfs2-federation-wif-application-step-by-step-guide%28WS.10%29.aspx).

Or, as an alternative, add a new Permit or Deny Users Based on an Incoming Claim rule allowing incoming Name ID = john@example.com to access the application.
Configuring Authentication

Editing Claim Rules for the SharePoint 2010 Application

At this point, incoming claims have been received at AD FS 2.0, but the rules that describe what to be sent to the SharePoint 2010 application have not yet been created. You need to edit the existing claim rules for the SharePoint 2010 application, which is added as relying party to ADFS 2.0, to configure the new Access Manager external claims provider.

**Editing the Claim Rules for the SharePoint 2010 Application**

1. In AD FS 2.0, click **Relying Party Trusts**.
2. Right-click **SP2010**, then click **Edit Claim Rules**.
3. On the **Issuance Transform Rules** tab, click **Add Rule**.
4. On the Select Rule Template page, click **Pass Through or Filter an Incoming Claim** > **Next**.
5. On the Configure Claim Rule page, specify the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Pass eMail Rule</td>
</tr>
<tr>
<td>Incoming claim type</td>
<td>Email Address</td>
</tr>
</tbody>
</table>

6. Leave the **Pass through all claim values** option selected and click **Finish**.

**Using Certificates and Certificate Revocation Lists**

For security reasons, production federation deployments require the use of digitally signed security tokens, and optionally allows encryption of the security token contents. Self-signed private key certificates, which are generated from inside the AD FS 2.0 and Access Manager products, are used for signing security tokens. As an alternative, organizations can use a private key certificate that is issued by a certificate authority (CA) for signing and encryption. The primary benefit of using CA-issued certificates is the ability to check for possible certificate revocation against the certificate revocation list (CRL) from the issuing CA. Also, to avoid the untrusted certificate messages in browsers, the trusted root certificate of the CA must also be imported into your browsers. Many well-known CA's trusted roots are included with common browsers. Using one of these existing CAs to mint your certificates also prevents the untrusted certificate messages.

In AD FS 2.0 and in Access Manager, CRL checking is enabled by default for all partner connections, if the certificate being used by the partner includes a CRL Distribution Point (CDP) extension. This has implications in federation deployments between Access Manager and AD FS 2.0:

- If a signing/encryption certificate provided by one side of a federation includes a CDP extension, that location must be accessible by the other side's federation server. Otherwise, CRL checking fails, resulting in a failed access attempt. The CDP extensions are added by default to certificates that are issued by Active Directory Certificate Services (AD CS) in Windows Server 2012.
- If the signing/encryption certificate does not include a CDP extension, no CRL checking is performed by AD FS 2.0 or Access Manager.

**Disabling CRL Checking in the Linux Identity Server**

1. Modify `/opt/novell/nam/idp/conf/tomcat.conf` and add `JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.nidp.serverOCSPCRL=false"

2. To apply the changes, restart Identity Server by running the `/etc/init.d/novell-idp restart` command.
Disabling CRL Checking in AD FS 2.0

2. Enter the following command at the PowerShell command prompt:
   
   set-ADFSClaimsProviderTrust -TargetName "NAM Example"
   -SigningCertificateRevocationCheck None

**NOTE:** You can make many configuration changes to AD FS 2.0 by using the Windows PowerShell command line and scripting environment. For more information, see the AD FS 2.0 Windows PowerShell Administration (http://go.microsoft.com/fwlink/?LinkId=194005) section of the AD FS 2.0 Operations Guide and the AD FS 2.0 Cmdlets Reference (http://go.microsoft.com/fwlink/?LinkId=177389).

Example Scenario: Access Manager as the Claims Provider and AD FS 2.0 as the Relying Party

- “Accessing the WIF Sample Application” on page 434
- “Accessing the SharePoint 2010 Application” on page 434

Accessing the WIF Sample Application

In this scenario, John from Example.com accesses the Contoso WIF sample application.

**NOTE:** Clear all the cookies in the Internet Explorer on the AD FS 2.0 computer (fsweb.contoso.com). To clear cookies, click Tools > Internet Options > Delete under Browsing History, and then select cookies for deletion.

1. On the AD FS 2.0 computer, open a browser window, then navigate to https://fsweb.contoso.com/ClaimsAwareWebAppWithManagedSTS/default.aspx.
   
   The first page prompts you to select your organization from a list.
2. Select NAM Example, then click Continue to sign in.
   
   When only one Identity Provider is available, AD FS 2.0 forwards the request to that Identity Provider by default.
3. The NAM login page appears. Type the user name john, type the password test, then click Login.

Accessing the SharePoint 2010 Application

The user's email ID is used as the mapped attribute to access the SharePoint 2010 application. Assume that a user is created in the NetIQ Identity Server. The email ID configured for this user is namuser1@namidp.com.

**NOTE:** Clear all the cookies in the Internet Explorer on the AD FS 2.0 computer (fsweb.contoso.com). To clear cookies, click Tools > Internet Options > Delete under Browsing History, then select cookies for deletion.

1. Ensure that an email ID has been configured for the user in the Access Manager user store.
   
   For this example, use namuser1@namidp.com.
2. Access the SharePoint 2010 application.
   
   The user is redirected to AD FS 2.0.
3 Select NetIQ Identity Server.
   The user is redirected to the NAM IDP nidp page for authentication.
4 Provide namuser1 as the username and password.
   After authentication, the user is redirected to the SharePoint application.

Configuring AD FS 2.0 as the Claims or Identity Provider and Access Manager as the Relying Party or Service Provider

This section explains how to configure an application through AD FS 2.0 that gets federated access to an application by using Access Manager. The setup uses the SAML 2.0 POST profile.

- “Configuring Access Manager” on page 435
- “Configuring AD FS 2.0” on page 436

Configuring Access Manager

The AD FS metadata is used to add an Identity Provider to Access Manager.

- “Getting the AD FS 2.0 Metadata” on page 435
- “Using the Metadata to Add a New Identity Provider Connection” on page 435
- “Adding the AD FS Server Trusted Certificate” on page 436
- “Configuring the Identity Provider in Access Manager” on page 436

Getting the AD FS 2.0 Metadata

1 Access the AD FS server metadata by going to https://<<ADFS hostname or IP>/FederationMetadata/2007-06/FederationMetadata.xml
2 Save the AD FS metadata data.
3 Open the AD FS metadata file in Notepad, WordPad, or an XML editor).
4 Remove the <RoleDescriptor> tags from the metadata.
   For example, remove the following tags:

   "<RoleDescriptor xsi:type="fed:ApplicationServiceType"
   protocolSupportEnumeration=http://..................... ......> ......
   </RoleDescriptor>

   "<RoleDescriptor xsi:type="fed:SecurityTokenServiceType"
   protocolSupportEnumeration=http://..... .......> </RoleDescriptor>

5 Save the changes.

Using the Metadata to Add a New Identity Provider Connection

1 In the Access Manager Administration Console, select Devices > Identity Server.
2 Click Edit.
3 Select SAML 2.0.
4 Click New > Identity Provider.
5 Specify the name as ADFS in the Name field.
6 Select Metadata Text from the Source list.
7 Paste the copied ADFS metadata that you saved in Step 5 on page 435 into the Text field.
8 Click Next.
9 Specify an alphanumeric value that identifies the card in the ID field.
10 Specify the image to be displayed on the card in the Image field.
11 Update Identity Server.

Adding the AD FS Server Trusted Certificate
1 Retrieve the AD FS server's CA trusted root certificate.
2 In the Access Manager Administration Console, select Security > Certificates.
3 Select Trusted Roots.
4 Click Import.
5 Specify the certificate name, and browse for the AD FS certificate authority.
6 Click OK.
7 Click uploaded AD FS CA.
8 Click Add to Trusted Store and select config store.
9 Update Identity Server.

Configuring the Identity Provider in Access Manager
1 Select the AD FS Identity Provider in the SAML 2.0 tab.
2 Click Authentication Card > Authentication Request.
3 Select Response Protocol Binding to POST.
4 Select NAME Identifier Format as Transient.
5 Click OK.
6 Update Identity Server.

Configuring AD FS 2.0
- "Using the Metadata to Add a Relying Party" on page 436
- "Editing Claim Rules for a Relying Party Trust" on page 437
- "Disabling the Certificate Revocation List" on page 437
- "AD FS 2.0 Encryption Strength" on page 438

Using the Metadata to Add a Relying Party
The metadata import capability of AD FS 2.0 is used to create a relying party. The metadata includes the public key that is used to validate security tokens signed by Access Manager.

1 In AD FS 2.0, right-click the Relying Party Trusts folder, then click Add Relying Party Trust to start the Add Relying Party Trust Wizard.
2 Click Start.
3 On the Select Data Source page, select Import data about the claims provider from a file.
4 In the Federation metadata file location section, click Browse.
5 Navigate to the location where you saved nam_metadata.xml earlier, select the file, then click Open > Next.
6 On the Specify Display Name page, specify NAM Example.
7 Click Next > Next > Close.
Editing Claim Rules for a Relying Party Trust

The data from AD FS is used in the security token that is sent to Access Manager.

1 The Edit Claim Rules dialog box must already be open. If not, in the AD FS 2.0 center pane, under Relying Party Trusts, right-click NAM Example, then click Edit Claim Rules.
2 On the Issuance Transform Rules tab, click Add Rule.
3 On the Select Rule Template page, leave the Send LDAP Attributes as Claims option selected, then click Next.
4 On the Configure Claim Rule page, specify Get attributes in the Claim rule name field.
5 Select Active Directory from the Attribute Store list.
6 In the Mapping of LDAP attributes section, create the following mappings:

<table>
<thead>
<tr>
<th>LDAP Attribute</th>
<th>Outgoing Claim Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>User-Principal-Name</td>
<td>UPN</td>
</tr>
<tr>
<td>E-Mail-Address</td>
<td>E-Mail Address</td>
</tr>
</tbody>
</table>

7 Click OK.
8 Click Apply > OK.
9 On the Issuance Transform Rules tab, click Add Rules.
10 On the Select Rule Template page, select Transform an Incoming Claim, then click Next.
11 On the Configure Claim Rule page, use the following values:

<table>
<thead>
<tr>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claim rule name</td>
<td>Mapping To Transient Name Identifier</td>
</tr>
<tr>
<td>Incoming Claim Type</td>
<td>UPN</td>
</tr>
<tr>
<td>Outgoing Claim Type</td>
<td>Name ID</td>
</tr>
<tr>
<td>Outgoing name ID format</td>
<td>Transient Identifier</td>
</tr>
</tbody>
</table>

12 Select Pass Through All Claims, then click OK.
13 Click Apply > OK.

Disabling the Certificate Revocation List

For more information about signing and encryption certificates, see "Using Certificates and Certificate Revocation Lists" on page 433.

Disabling the CRL Checking Option in the Linux Identity Provider

Disabling the CRL Checking Option in AD FS 2.0

1 Click Start > Administrative Tools > Windows PowerShell Modules.
2 Enter the following command at the PowerShell command prompt:
   ```powershell
   set-ADFSRelyingPartyTrust -TargetName "NAM Example" -SigningCertificateRevocationCheck None
   ```
AD FS 2.0 Encryption Strength

In AD FS 2.0, encryption of the outbound assertions is enabled by default. Assertion encryption occurs for any relying party or service provider for which AD FS 2.0 possesses an encryption certificate. AD FS 2.0 uses 256-bit Advanced Encryption Standard (AES) keys or AES-256 for encryption. In contrast, Failing to reconcile these conflicting defaults can result in the failed SSO attempts. To resolve this issue, disable the encryption in AD FS 2.0.

1. In AD FS 2.0, click Start > Administrative Tools > Windows PowerShell Modules.
2. Enter the following command in at the PowerShell command prompt:
   ```
   set-ADFSRelyingPartyTrust -TargetName "NAM Example" -EncryptClaims $False
   ```

AD FS 2.0 Basics

- “Configuring the Token-Decrypting Certificate” on page 438
- “Adding CA Certificates to AD FS 2.0” on page 438

Configuring the Token-Decrypting Certificate

1. Open the AD FS 2.0 Management tool, then click Start > Administrative Tools > AD FS 2.0 Management.
2. In the left pane, expand the Service folder and click Certificates.
3. In the Certificates section, select Add Token-Decrypting Certificate.
4. (Conditional) If you see an error prompting you to run certain commands during the token-decrypting process, run the following PowerShell commands:
   ```
   Add-FSSnapin Microsoft.Adfs.PowerShell
   Set-ADFSProperties -AutoCertificateRollover $false
   ```
   These commands allow you to select other certificates. The certificate must be installed on the server. The certificates are configured on the IIS Manager.
5. Click Start > Administrative Tools > Internet Information Services (IIS) Manager.
6. Click ServerName.
7. Click Server Certificates in the IIS section.

Adding CA Certificates to AD FS 2.0

1. In Windows, Start > Run > mmc.
2. Attach snapshot certificates as service.
3. Select AD FS.
4. Import the CA certificate to trusted authorities.
5.2.5  Configuring SAML 1.1

This section explains how to use the SAML 1.1 protocol to set up the trust with internal and external identity providers, service providers, and Embedded Service Providers (ESPs). Topics include:

- Section 5.2.5.1, “Configuring a SAML 1.1 Profile,” on page 439
- Section 5.2.5.2, “Creating a SAML 1.1 Service Provider,” on page 440
- Section 5.2.5.3, “Creating a SAML 1.1 Identity Provider,” on page 440
- Section 5.2.5.4, “Configuring Communication Security for SAML 1.1,” on page 440
- Section 5.2.5.5, “Editing a SAML 1.1 Identity Provider’s Metadata,” on page 440
- Section 5.2.5.6, “Editing a SAML 1.1 Service Provider’s Metadata,” on page 440
- Section 5.2.5.7, “Configuring the SAML 1.1 Authentication Response,” on page 440
- Section 5.2.5.8, “Defining Options for SAML 1.1 Service Provider,” on page 441
- Section 5.2.5.9, “Modifying the Authentication Card for SAML 1.1,” on page 441

5.2.5.1  Configuring a SAML 1.1 Profile

You can configure the methods of communication that are available at the server for requests and responses sent between providers. These settings affect the metadata for the server and must be determined prior to publishing to other sites.

Profiles control what methods of communication are available at the server for the SAML 1.1 protocol. These settings affect the metadata for the server and must be determined prior to publishing to other sites. If you have set up trusted providers, and then modify these profiles, the trusted providers need to reimport the metadata from this Identity Server.

1  Click Devices > Identity Servers > Edit > SAML 1.1 > Profiles.

2  Configure the following fields:

   Login: Specifies the communication channel when the user logs in. Select one or more of these methods for the identity provider and the identity consumer:

   - The Artifact binding provides an increased level of security by using the back channel for communication between the two servers during authentication.
The Post method uses HTTP redirection to accomplish communication between servers. The Post method is enabled by default and you are not able to modify the default settings. The Post profile creates a metadata that includes only a Post binding on the Service Provider. If you have the default setup, then always both Artifact and Post options are enabled. If both the options are enabled, then by default Artifact binding is used. If Artifact binding is disabled or removed, only Post method is used.

**Source ID:** Displays the hexadecimal ID generated by Identity Server for the SAML 1.1 service provider. This is a required value when establishing trust with a service provider.

1. Click OK, then update Identity Server.
2. (Conditional) If you have set up trusted providers and have modified the profile, these providers need to reimport the metadata from this Identity Server.

### 5.2.5.2 Creating a SAML 1.1 Service Provider

See “Creating a Trusted Service Provider” on page 157.

### 5.2.5.3 Creating a SAML 1.1 Identity Provider

See “Creating a Trusted Identity Provider” on page 155.

### 5.2.5.4 Configuring Communication Security for SAML 1.1

Liberty and SAML 1.1 have the same security options for the SOAP back channel for both identity and service providers. See “Configuring Communication Security for Liberty” on page 444.

### 5.2.5.5 Editing a SAML 1.1 Identity Provider’s Metadata

See “Editing a SAML 1.1 Identity Provider’s Metadata” on page 165.

### 5.2.5.6 Editing a SAML 1.1 Service Provider’s Metadata

See “Editing a SAML 1.1 Service Provider’s Metadata” on page 166.

### 5.2.5.7 Configuring the SAML 1.1 Authentication Response

You can specify the name identifier and its format when Identity Server sends an authentication response. You can also restrict the use of the assertion.

When an identity provider sends an assertion, the assertion can be restricted to an intended audience. The intended audience is defined to be any abstract URI in SAML 1.1. The URL reference can also identify a document that describes the terms and conditions of audience membership.

2. To specify a name identifier format, select one of the following:
   - **E-mail:** Specifies that an e-mail attribute can be used as the identifier.
   - **X509:** Specifies that an X.509 certificate can be used as the identifier.
   - **Unspecified:** Specifies that an unspecified format can be used and any value can be used. The service provider and the identity provider need to agree on what value is placed in this identifier.
3 To specify the format of the name identifier, select an attribute.
   The available attributes depend upon the attributes that you have selected to send with
   authentication (see the Attributes page for the service provider).
4 To configure an audience, click New.
5 Specify the SAML Audience URL value.
   The Provider ID, which can be used for the audience, is displayed on the Edit page for the
   metadata.
6 Click OK twice, then update Identity Server.

5.2.5.8 Defining Options for SAML 1.1 Service Provider

For more information about Options, see “Defining Options for a SAML 2.0 Service Provider” on
page 422

1 Click Devices > Identity Servers > Servers > Edit > SAML 1.1 > Service Provider > Options.
2 Select the required step up authentication contracts from the Available Contracts list and move
   them to the Selected Contracts list. These selected contracts will be used to provide the step up
   authentication for the service provider.
3 Click OK.

5.2.5.9 Modifying the Authentication Card for SAML 1.1

When you create an identity provider, you must also configure an authentication card. After it is
created, you can modify it.

1 Click Devices > Identity Servers > Edit > SAML 1.1 > [Identity Provider] > Authentication Card.
2 Modify the values in one or more of the following fields:
   ID: If you have need to reference this card outside of the user interface, specify an alphanumeric
   value here. If you do not assign a value, Identity Server creates one for its internal use. The
   internal value is not persistent. Whenever Identity Server is rebooted, it can change. A specified
   value is persistent.
   Text: Specify the text that is displayed on the card to the user. This value, in combination with
   the image, must identify to the users, which provider they are logging into.
   Login URL: Specify an Intersite Transfer Service URL. The URL has the following format, where
   idp.sitea.novell.com is the DNS name of the identity provider, idp.siteb.novell.com is the name of
   the service provider, and idp.siteb.novell.com:8443/nidp/app specifies the URL that you want to
   users to access after a successful login.

   NOTE: The PID in the login URL must exactly match the entity ID specified in the metadata.

   idp.siteb.novell.com:8443/nidp/saml/metadata&TARGET=https://
   idp.siteb.novell.com:8443/nidp/app

   For more information, see “Specifying the Intersite Transfer Service URL for the Login URL
   Option” on page 171.

   If your identity provider is a Access Manager Identity Server and you know the ID specified for
   the target, you can use the following simplified format for the Login URL:

   <URL for site a>?id=<ID of target>
The target and the target ID are specified in the service provider configuration at the identity provider. See "Configuring an Intersite Transfer Service Target for a Service Provider" on page 174.

Image: Specify the image to be displayed on the card. Select the image from the drop-down list. To add an image to the list, click <Select local image>.

Show Card: Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.

3 Click OK twice, then update Identity Server.

5.2.6 Configuring Liberty

This section explains how to use the Liberty protocol to set up the trust with internal and external identity providers, service providers, and Embedded Service Providers (ESPs). Topics include:

- Section 5.2.6.1, “About Liberty,” on page 442
- Section 5.2.6.2, “Configuring a Liberty Profile,” on page 443
- Section 5.2.6.3, “Creating a Liberty Service Provider,” on page 444
- Section 5.2.6.4, “Creating a Liberty Identity Provider,” on page 444
- Section 5.2.6.5, “Configuring Communication Security for Liberty,” on page 444
- Section 5.2.6.6, “Configuring a Liberty Authentication Request,” on page 445
- Section 5.2.6.7, “Configuring the Liberty Authentication Response,” on page 447
- Section 5.2.6.8, “Defining Options for Liberty Service Provider,” on page 447
- Section 5.2.6.9, “Defining Options for Liberty Identity Provider,” on page 448
- Section 5.2.6.10, “Configuring the Session Timeout,” on page 448
- Section 5.2.6.11, “Modifying the Authentication Card,” on page 448

5.2.6.1 About Liberty

The Liberty Alliance is a consortium of business leaders with a vision to enable a networked world in which individuals and businesses can more easily conduct transactions while protecting the privacy and security of vital identity information.

To accomplish its vision, the Liberty Alliance established an open standard for federated network identity through open technical specifications. In essence, this open standard is a structured version of the Security Assertions Markup Language, commonly referred to as SAML, with the goal of accelerating the deployment of standards-based single sign-on technology.

For general information about the Liberty Alliance, visit the Liberty Alliance Project Web site (http://www.projectliberty.org/).

Liberty resources, including specifications, white papers, FAQs, and presentations, can be found at the Liberty Alliance Resources Web site (http://www.projectliberty.org/liberty/resource_center/).
The following table provides links to specific Liberty Alliance specifications:

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### 5.2.6.2 Configuring a Liberty Profile

You can configure the methods of communication that are available at the server for requests and responses sent between providers. These settings affect the metadata for the server and must be determined prior to publishing to other sites.

The profile specifies what methods of communication are available at the server for the Liberty protocol. These settings affect the metadata for the server and must be determined prior to publishing to other sites. If you have set up trusted providers, and then modify these profiles, the trusted providers need to reimport the metadata from this Identity Server.

1. Click Devices > Identity Servers > Edit > Liberty > Profiles.
2. Configure the following fields for identity providers and service providers:

   **Login**: Specifies whether to support Artifact or Post binding for login. Select one or more of the following for the identity provider and the service provider:
   - The *Artifact* binding provides an increased level of security by using a back channel means of communication between the two servers during authentication.
   - The *Post* method uses HTTP redirection to accomplish communication between the servers.

   **Single Logout**: Specifies the communication method to use when the user logs out. Typically, you select both of these options, which enables the identity provider or service provider to accept both HTTP and SOAP requests. SOAP is used if both options are selected, or if the service provider has not specified a preference.
   - *HTTP*: Uses HTTP 302 redirects or HTTP GET requests to communicate logout requests from this identity site to the service provider.
   - *SOAP*: Uses SOAP over HTTP messaging to communicate logout requests from this identity provider to the service provider.
Federation Termination: Specifies the communication channel to use when the user selects to defederate an account. Typically, you select both of these options, which enables the identity provider or service provider to accept both HTTP and SOAP requests. SOAP is the default setting if the service provider has not specified a preference.

- **HTTP**: Uses HTTP 302 redirects to communicate federation termination requests from this server.
- **SOAP**: Uses SOAP back channel over HTTP messaging to communicate logout requests from this server

Register Name: Specifies the communication channel to use when the provider supplies a different name to register for the user. Typically, you select both of these options, which enables the identity provider or service provider to accept both HTTP and SOAP requests. SOAP is the default setting if the service provider has not specified a preference.

- **HTTP**: Uses HTTP 302 redirects to communicate federation termination requests from this server.
- **SOAP**: Uses SOAP back channel over HTTP messaging to communicate logout requests from this server.

3 Click **OK**, then update Identity Server.

4 (Conditional) If you have set up trusted providers and have modified the profile, these providers need to reimport the metadata from this Identity Server.

### 5.2.6.3 Creating a Liberty Service Provider

See “Creating a Trusted Service Provider” on page 157.

### 5.2.6.4 Creating a Liberty Identity Provider

See “Creating a Trusted Identity Provider” on page 155.

### 5.2.6.5 Configuring Communication Security for Liberty

Liberty and SAML 1.1 have the same security options for the SOAP back channel for both identity and service providers. You cannot configure the trust relationship of the SOAP back channel for Identity Server and its Embedded Service Providers.

1 Click **Devices > Identity Servers > Edit > [Protocol]**.

   For the protocol, select either Liberty or SAML 1.1.

2 Click the name of a provider.

3 On the Trust page, fill in the following field:

   **Name**: Specify the display name for this trusted provider. The default name is the name you entered when creating the trusted provider.

   For an Embedded Service Provider, the **Name** option is the only available option on the Trust page.

   The **Security** section specifies how to validate messages received from trusted providers over the SOAP back channel. Both the identity provider and the service provider in the trusted relationship must be configured to use the same security method.

4 Select one of the following security methods:

   **Message Signing**: Relies upon message signing using a digital signature.
**Mutual SSL:** Specifies that this trusted provider provides a digital certificate (mutual SSL) when it sends a SOAP message.

SSL communication requires only the client to trust the server. For mutual SSL, the server must also trust the client. For the client to trust the server, the server’s certificate authority (CA) certificate must be imported into the client trust store. For the server to trust the client, the client’s CA certificate must be imported into the server trust store.

**Basic Authentication:** Specifies standard header-based authentication. This method assumes that a name and password for authentication are sent and received over the SOAP back channel.

- **Send:** The name and password to be sent for authentication to the trusted partner. The partner expects this password for all SOAP back-channel requests, which means that the name and password must be agreed upon.
- **Verify:** The name and password used to verify data that the trusted provider sends.

5 Click **OK** twice.

6 Update Identity Server.

### 5.2.6.6 Configuring a Liberty Authentication Request

You can configure how Identity Server creates an authentication request for a trusted identity provider. When users authenticate, they can be given the option to federate their account identities with the preferred identity provider. This process creates an account association between the identity provider and service provider that enables single sign-on and single log-out.

The authentication request specifies how you want the identity provider to handle the authentication process so that it meets the security needs of Identity Server.

1 Click **Devices > Identity Servers > Edit > Liberty > [Identity Provider] > Authentication Card > Authentication Request.**

2 Configure the federation options:

**Allow Federation:** Determines whether federation is allowed. The federation options that control when and how federation occurs can only be configured if the identity provider has been configured to allow federation.

- **After authentication:** Specifies that the federation request can be sent after the user has authenticated (logged in) to the service provider. When you set only this option, users must log in locally, then they can federate by using the **Federate** option on the card in the Login page of the Access Manager User Portal. Because the user is required to authenticate locally, you do not need to set up user identification.

- **During authentication:** Specifies whether federation can occur when the user selects the authentication card of the identity provider. Typically, a user is not authenticated at the service provider when this selection is made. When the identity provider sends a response to the service provider, the user needs to be identified on the service provider to complete the federation. If you enable this option, ensure that you configure a user identification method. See “Selecting a User Identification Method for Liberty or SAML 2.0” on page 398.

3 Select one of the following options for the **Requested By** option:

**Do not specify:** Specifies that the identity provider can send any type of authentication to satisfy a service provider’s request, and instructs a service provider to not send a request for a specific authentication type or contract.

**Use Types:** Specifies that authentication types must be used.
Select the types from the **Available types** field to specify which type to use for authentication between trusted service providers and identity providers. Standard types include Name/Password, Secure Name/Password, X509, Token, and so on.

**Use Contracts:** Specifies that authentication contracts must be used.

Select the contract from the **Available contracts** list. For a contract to appear in the **Available contracts** list, the contract must have the **Satisfiable by External Provider** option enabled. To use the contract for federated authentication, the contract’s URI must be the same on the identity provider and the service provider. For information about contract options, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

Most third-party identity providers do not use contracts.

4 Configure the options:

**Response protocol binding:** Select **Artifact** or **Post** or **None**. Artifact and Post are the two methods for transmitting assertions between the authenticating system and the target system.

If you select **None**, you are letting the identity provider determine the binding.

**Identity Provider proxy redirects:** Specifies whether the trusted identity provider can proxy the authentication request to another identity provider. A value of **None** specifies that the trusted identity provider cannot redirect an authentication request. Values 1-5 determine the number of times the request can be proxied. Select **Configured on IDP** to let the trusted identity provider decide how many times the request can be proxied.

**Force authentication at Identity Provider:** Specifies that the trusted identity provider must prompt users for authentication, even if they are already logged in.

**Use automatic introduction:** Attempts single sign-on to this trusted identity provider by automatically sending a passive authentication request to the identity provider. (A passive requests does not prompt for credentials.) The identity provider sends one of the following authentication responses:

- **When the federated user is authenticated at the identity provider:** The identity provider returns an authentication response indicating that the user is authenticated. The user gains access to the service provider without entering credentials (single sign-on).

- **When the federated user is not authenticated at the identity provider:** The identity provider returns an authentication response indicating that the user is not logged in. The user can then select a card for authentication, including the card for the identity provider. If the user selects the identity provider card, an authentication request is sent to the identity provider. If the credentials are valid, the user is also authenticated to the service provider.

**IMPORTANT:** Enable the **Use automatic introduction** option only when you are confident the identity provider will be up. If the server is down and does not respond to the authentication request, the user gets a page-cannot-be-displayed error. Local authentication is disabled because the browser is never redirected to the login page.

This option must be enabled only when you know the identity provider is available 99.999% of the time or when the service provider is dependent upon this identity provider for authentication.

5 Click **OK** twice, then update Identity Server.
Configuring the Liberty Authentication Response

After you create a trusted service provider, you can configure how your Identity Server responds to authentication requests from the service provider.


2. Select the binding method.

   If the request from the service provider does not specify a response binding, you need to specify a binding method to use in the response. Select Artifact to provide an increased level of security by using a back-channel means of communication between the two servers. Select Post to use HTTP redirection for the communication channel between the two servers. If you select Post, you might want to require the signing of the authentication requests. See “Configuring the General Identity Provider Settings” on page 151.

3. Specify the identity formats that Identity Server can send in its response. Select the Use box to choose one or more of the following:
   - Persistent Identifier Format: Specifies a persistent identifier that federates the user profile on the identity provider with the user profile on the service provider. It remains intact between sessions.
   - Transient Identifier Format: Specifies that a transient identifier, which expires between sessions, can be sent.

   If the request from the service provider requests a format that is not enabled, the user cannot authenticate.

4. Use the Default button to specify whether a persistent or transient identifier is sent when the request from the service provider does not specify a format.

5. To specify that this Identity Server must authenticate the user, disable the Use proxied requests option. When the option is disabled and Identity Server cannot authenticate the user, the user is denied access.

   When this option is enabled, Identity Server checks to see if other identity providers can satisfy the request. If one or more can, the user is allowed to select which identity provider performs the authentication. If a proxied identity provider performs the authentication, it sends the response to Identity Server. Identity Server then sends the response to the service provider.

6. Enable the Provide Discovery Services option if you want to allow the service provider to query Identity Server for a list of its Web Services. For example, when the option is enabled, the service provider can determine whether the Web Services Framework is enabled and which Web Service Provider profiles are enabled.

7. Click OK twice, then update Identity Server.

Defining Options for Liberty Service Provider

Access Manager can be used as an identity provider for several service providers. You can configure a specific authentication contract that is required for a Service provider. If more than one authentication contract is configured for a service provider, the contract having minimum level will be selected.

When providing authentication to a service provider, Identity Server ensures that the user is authenticated by the required contract. When a user is not authenticated or when user is authenticated, but the authenticated contracts do not satisfy the required contracts, user will be prompted to authenticate with required contract. This is called step up authentication.

If no required contract is configured, then the default contract is executed.
NOTE: This step up authentication is supported only for Intersite Transfer Service (identity provider initiated) requests on Liberty and works for both identity and service provider initiated requests for SAML 2.0.

To Define Options for Liberty Service Provider

1. Click Devices > Identity Servers > Servers > Edit > Liberty > Service Provider > Options.
2. Select the required step up authentication contracts from the Available contracts list and move them to the Selected contracts list. This is to provide the step up authentication for the service provider.
3. Click OK.

5.2.6.9 Defining Options for Liberty Identity Provider

1. Click Devices > Identity Servers > Servers > Edit > Liberty or SAML 2.0 > Identity Provider > Options.
2. Enable Front Channel Logout: After this option is enabled, a service provider initiates a logout at the identity provider by using the HTTP Redirect method.

5.2.6.10 Configuring the Session Timeout

See “Configuring the Liberty or SAML 2.0 Session Timeout” on page 424.

5.2.6.11 Modifying the Authentication Card

See “Modifying the Authentication Card for Liberty or SAML 2.0” on page 425.

5.2.7 Configuring Liberty Web Services

A Web service uses Internet protocols to provide a service. It is an XML-based protocol transported over SOAP, or a service whose instances and data objects are addressable via URIs.

Access Manager consists of several elements that comprise Web services:

- **Web Service Framework**: Manages all Web services. The framework defines SOAP header blocks and processing rules that enable identity services to be invoked via SOAP requests and responses.
- **Web Service Provider**: An entity that provides data via a Web service. In Access Manager, Web service providers host Web service profiles, such as the Employee Profile, Credential Profile, Personal Profile, and so on.
- **Web Service Consumer**: An entity that uses a Web service to access data. Web service consumers discover resources at the Web service provider, and then retrieve or update information about a user, or on behalf of a user. Resource discovery among trusted partners is necessary because a user might have many kinds of identities (employee, spouse, parent, member of a group), as well as several identity providers (employers or other commercial Web sites).
- **Discovery Service**: The service assigned to an identity provider that enables a Web Service Consumer to determine which Web service provider provides the required resource.
- **LDAP Attribute Mapping**: Access Manager’s solution for mapping Liberty attributes with established LDAP attributes.
This section describes the following topics:

- Section 5.2.7.1, “Web Services Framework,” on page 449
- Section 5.2.7.2, “Managing Web Services and Profiles,” on page 449
- Section 5.2.7.3, “Configuring Credential Profile Security and Display Settings,” on page 455
- Section 5.2.7.4, “Customizing Attribute Names,” on page 457
- Section 5.2.7.5, “Configuring the Web Service Consumer,” on page 457
- Section 5.2.7.6, “Mapping LDAP and Liberty Attributes,” on page 458

For additional resources about the Liberty Alliance specifications, visit the Liberty Alliance Specification (http://www.projectliberty.org/resources/specifications.php) page.

5.2.7.1 Web Services Framework

The Web Services Framework page lets you edit and manage all the details that pertain to all Web services. This includes the framework for building interoperable identity services, permission-based attribute sharing, identity service description and discovery, and the associated security mechanisms.

2. Fill in the following fields:

   - **Enable Framework**: Enables Web Services Framework.
   - **Axis SOAP Engine Settings**: Axis is the SOAP engine that handles all Web service requests and responses. Web services are deployed using XML-based files known as Web service deployment descriptors (WSDD). On startup, Access Manager automatically creates the server-side and client-side configuration for Axis to handle all enabled Web services.

   If you need to override this default configuration, use the **Axis Server Configuration WSDD XML** field and the **Axis Client Configuration WSDD XML** field to enter valid WSDD XML. If either or both of these controls contain valid XML, then Access Manager does not automatically create the configuration (server or client) on startup.

3. Click OK.

5.2.7.2 Managing Web Services and Profiles

After a service has been discovered and data has been received from a trusted identity provider, the Web service consumer can invoke the service at the Web service provider. A Web service provider is the hosting or relying entity on the server side that can make access control decisions based on this data and upon its business practices and preferences.

1. In Administration Console Dashboard click Identity Servers > Edit > Liberty > Web Service Provider.
2. Select one of the following actions

   - **New**: To create a new Web service, click New. This activates the Create Web Service Wizard. You can create a new profile only if you have deleted one.
   - **Delete**: To delete an existing profile, select the profile, then click Delete.
   - **Enable**: To enable a profile, select the profile, then click Enable.
   - **Disable**: To disable a profile, select the profile, then click Disable.
   - **Edit a Policy**: To edit the policy associated with a profile, click the Policy link. For configuration information, see “Editing Web Service Policies” on page 453.
Edit a profile: To edit a profile, click the name of a profile. For information about configuring the details, see “Modifying Service and Profile Details for Employee, Custom, and Personal Profiles” on page 450 and “Modifying Details for Authentication, Discovery, LDAP, and User Interaction Profiles” on page 452.

For information about modifying the description, see “Editing Web Service Descriptions” on page 452.

Identity Server comes with the following Web service profile types:

**Authentication Profile:** Allows the system to access the roles and authentication contracts in use by current authentications. This profile is enabled by default so that Embedded Service Providers can evaluate roles in policies. This profile can be disabled. When it is disabled, all devices assigned to use this Identity Server cluster configuration cannot determine which roles a user has been assigned, and the devices evaluate policies as if the user has no roles.

**Credential Profile:** Allows users to define information to keep secret. It uses encryption to store the data in the directory the user profile resides in.

**Custom Profile:** Used to create custom attributes for general use.

**Discovery:** Allows requesters to discover where the resources they need are located. Entities can place resource offerings in a discovery resource, allowing other entities to discover them. Resources might be a personal profile, a calendar, travel preferences, and so on.

**Employee Profile:** Allows you to manage employment-related information and how the information is shared with others. A company address book that provides names, phones, office locations, and so on, is an example of an employee profile.

**LDAP Profile:** Allows you to use LDAP attributes for and general use.

**Personal Profile:** Allows you to manage personal information and to determine how to share that information with others. A shopping portal that manages the user’s account number is an example of a personal profile.

**User Interaction:** Allows you to set up a trusted user interaction service, used for identity services that must interact with the resource owner to get information or permission to share data with another Web service consumer. This profile enables a Web service consumer and Web service provider to cooperate in redirecting the resource owner to the Web service provider and back to the Web service consumer.

3 Click **OK**.
4 On the Servers page, update Identity Server.

**Modifying Service and Profile Details for Employee, Custom, and Personal Profiles**

The settings on the Details page are identical for the Employee, Custom, and Personal Profiles. This page allows you to specify the display name, resource ID encryption, and how the system reads and writes data.

1 Click **Devices > Identity Servers > Edit > Liberty > Web Service Provider**.
2 Click **Custom Profile**, **Employee Profile**, or **Personal Profile**, depending on which profile you want to edit.
3 Click the **Details** tab (it is displayed by default).
4 Specify the general settings, as necessary:
**Display Name:** The Web service name. This specifies how the profile is displayed in Administration Console.

**Have Discovery Encrypt This Service’s Resource Ids:** Specifies whether the Discovery Service encrypts resource IDs. A resource ID is an identifier used by Web services to identify a user. The Discovery Service returns a list of resource IDs when a trusted service provider queries for the services owned by a given user. The Discovery Service has the option of encrypting the resource ID or sending it unencrypted.

5 Specify data location settings:

**Selected Read Locations:** The list of selected locations from which the system reads attributes containing profile data. If you add multiple entries to this list, the system searches attributes in each location in the order you specify. When a match is found for an attribute, the other locations are not searched. Use the up/down and left/right arrows to control which locations are selected and the order in which to read them. Read locations can include:

- **Configuration Datastore:** Liberty attribute values can be stored in the configuration store of Administration Console. If your users have access to the User Portal, they can add values to a number of Liberty attributes.

- **LDAP Data Mappings:** If you have mapped a Liberty attribute to an LDAP attribute in your user store, the values can be read from the LDAP user store. To create LDAP attribute maps, see “Mapping LDAP and Liberty Attributes” on page 458.

- **Remote Attributes:** If you set up federation, Identity Server can read attributes from these remote service providers. Sometimes, the service provider is set up to push a set of attribute values when the user logs in. These pushed attributes are cached, and Identity Server can quickly read them. If a requested attribute has not been pushed, a request for the Liberty attribute is sent to remote service provider. This can be time consuming, especially if the user has federated with more than one remote service provider. **Remote Attributes** must always be the last item in this list.

**Available Read Locations:** The list of available locations from which the system can read attributes containing profile data. Locations in this list are currently not being used.

**Selected Write Locations:** The list of selected locations to write attribute data to. If you add multiple entries to this list, the system searches attributes in each location in the order you specify. When a match is found for an attribute, the other locations are not searched. Use the up/down and left/right arrows to control which locations are selected and the order in which they are selected.

- **Configuration Datastore:** Liberty attribute values can be stored in the configuration store of Administration Console. Identity Server can write values to these attributes. If this location appears first in the list of **Selected Write Locations**, all Liberty attribute values are written to this location. If you want values written to the LDAP user store, the **LDAP Data Mappings** location must appear first in the list.

- **LDAP Data Mappings:** If you have mapped a Liberty attribute to an LDAP attribute in your user store, Identity Server can write values to the attribute in the LDAP user store. To create LDAP attribute maps, see “Mapping LDAP and Liberty Attributes” on page 458.

**Available Write Locations:** The list of available locations to write attributes containing profile data. Locations in this list are currently not being used.

6 (Optional) Specify data model extensions.

**Data Model Extension XML:** The data model for some Web services is extensible. You can enter XML definitions of data model extensions in this field. Data model extensions hook into the existing Web service data model at predefined locations.

All schema model extensions reside inside of a schema model extension group. The group exists to bind model data items together under a single localized group name and description. Schema model extension groups can reside inside of a schema model extension root or inside of
a schema model extension. There can only be one group per root or extension. Each root is
hooked into the existing Web service data model. Multiple roots can be hooked into the same
location in the existing Web service data model. This conceptual model applies to the structure
of the XML that is required to define data model extensions.


7 Click OK, then click OK on the Web Service Provider page.

8 Update Identity Server.

Modifying Details for Authentication, Discovery, LDAP, and User Interaction
Profiles

This page allows you to specify information for Discovery, LDAP, and User Interaction Web service
profiles. If you are creating a Web service type, this is Step 2 of the Create Web Service Wizard.

For conceptual information about profiles, see Managing Web Services and Profiles.

1 Click Devices > Identity Servers > Edit > Liberty > Web Service Provider > [Profile].

2 Click Authentication, Discovery, LDAP, or User Interaction, depending on which profile you want
to edit.

3 Configure the following fields:

   Display name: The Web service name. This specifies how the profile is displayed in
   Administration Console.

   Have Discovery Encrypt This Service’s Resource Ids: (Not applicable for the Discovery
   profile) Specifies whether the Discovery Service encrypts resource IDs. A resource ID is an
   identifier used by Web services to identify a user. The Discovery Service returns a list of
   resource IDs when a trusted service provider queries for the services owned by a given user.
   The Discovery Service has the option of encrypting the resource ID or sending it unencrypted.
   This ID is encrypted with the public key of the resource provider generated at installation.
   Encrypting resource IDs is turned off by default.

4 Click OK.

Editing Web Service Descriptions

All of the Description pages on each profile are identical. You can define how a service provider gains
access to portions of the user’s identity information that can be distributed across multiple providers.
The service provider uses the Discovery Service to ascertain the location of a specific identity service
for a user. The Discovery Service enables various entities to dynamically and securely discover a
user’s identity service, and it responds, on a permission basis, with a service description of the
desired identity service.

1 Click Devices > Identity Servers > Edit > Liberty > Web Service Provider.

2 Click the profile or service.

3 Click Descriptions.

4 Click the description name, or click New.

5 Fill in the following fields:

   Name: The Web Service Description name.

   Security Mechanism: (Required) Liberty uses channel security (TLS 1.0) and message security
   in conjunction with the security mechanism. Channel security addresses how communication
   between identity providers, service providers, and user agents is protected. For authentication,
service providers are required to authenticate identity providers by using identity provider server-side certificates. Identity providers have the option to require authentication of service providers by using service provider client-side certificates.

Message security addresses security mechanisms applied to the discrete Liberty protocol messages passed between identity providers, service providers, and user agents.

Select the mechanism for message security. Message authentication mechanisms indicate which profile is used to ensure the authenticity of a message.

- **X.509**: Used for message exchanges that generally rely upon message authentication as the principle factor in making decisions.
- **SAML**: Used for message exchanges that generally rely upon message authentication as well as the conveyance and attestation of information.
- **Bearer**: Based on the presence of the security header of a message. In this case, the bearer token is verified for authenticity rather than proving the authenticity of the message.

6 Under **Select Service Access Method**, select either **Brief Service Access Method** or **WSDL Service Access Method**.

**Brief Service Access Method**: Provides the information necessary to invoke basic SOAP-over-HTTP-based service instances without using WSDL.

- **EndPoint URL**: This is the SOAP endpoint location at the service provider to which Liberty SOAP messages are sent. An example of this for the Employee Profile is \[BASEURL\]/services/IDSISEmployeeProfile. If the service instance exposes an endpoint that is different from the logically generated concrete WSDL, you must use the WSDL URI instead. A WSF service description endpoint cannot contain double-byte characters.
- **SOAP Action**: The SOAP action HTTP header required on HTTP-bound SOAP messages. This header can be used to indicate the intent of a SOAP message to the recipient.

**WSDL Service Access Method**: Specify the method used to access the WSDL service. WSDL (Web Service Description Language) describes the interface of a Web service.

- **Service Name Reference**: A reference name for the service.
- **WSDL URI**: Provides a URI to an external concrete WSDL resource containing the service description. URIs need to be constant across all implementations of a service to enable interoperability.

7 Click **OK**.

8 Update Identity Server configuration.

**Editing Web Service Policies**

Web Service policies are permission policies (query and modify) that govern how identity providers share end-user data with service providers. Administrators and policy owners (users) can control whether private information is always allowed to be given, never allowed, or must be requested.

As an administrator, you can configure this information for the policy owner, for specific service providers, or globally for all service providers. You can also specify what policies are displayed for the end user in the User Portal, and whether users are allowed to edit them.

1 Click **Devices > Identity Servers > Edit > Liberty > Web Service Provider**.

2 Click the **Policy** link next to the service name.

3 Click the category you want to edit.

**All Trusted Providers**: Policies that are defined by the service provider’s ability to query and modify the particular Liberty attributes or groups of attributes for the Web service. When All Trusted Providers permissions are established, and a service provider needs data, the system
first looks here to determine whether user data is allowed, never allowed, or must be asked for. If no solution is found in All Trusted Providers, the system examines the permissions established within the specific service provider.

Owners: Policies that limit the end user’s ability to modify or query data from his or her own profile. The settings you specify in the Owner group are reflected on the My Profile page in the User Portal. Portal users have the authority to modify the data items in their profiles. The data items include Liberty and LDAP attributes for personal identity, employment, and any customized attributes defined in Identity Server configuration. Any settings you specify in Administration Console override what is displayed in the User Portal. Overrides are displayed in the Inherited column.

If you want the user to have Write permission for a given data item, and that data item is used in an LDAP Attribute Map, then you must configure the LDAP Attribute Map with Write permission.

4 On the All Service Policy page, select the policy’s check box, then click Edit Policy.
This lets you modify the parent service policy attribute. Any selections you specify on this page are inherited by child policies.

Query Policy: Allows the service provider to query for the data on a particular attribute. This is similar to read access to a particular piece of data.

Modify Policy: Allows the service provider to modify a particular attribute. This is similar to write access to a particular piece of data.

Query and Modify: Allows you to set both options at once.

5 To edit child attributes of the parent, click the policy.
In the following example, child attributes are inheriting Ask Me permission from the parent Entire Personal Identity attribute. The Postal Address attribute, however, is modified to never allow permission for sharing.

If you click the Postal Address attribute, you can see that all of its child attributes have inherited the Never Allow setting. You can specify different permission attributes for Address Type (for example), but the inherited policy still overrides changes made at the child level, as shown below.

Postal Addresses

<table>
<thead>
<tr>
<th>Policy</th>
<th>Query Policy</th>
<th>Modify Policy</th>
<th>Inherited</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address Type</td>
<td>Always Allow</td>
<td>Always Allow</td>
<td>Never Allow : Never Allow</td>
</tr>
<tr>
<td>NickName</td>
<td>Ask Me</td>
<td>Ask Me</td>
<td>Never Allow : Never Allow</td>
</tr>
<tr>
<td>Localized NickNames</td>
<td>Ask Me</td>
<td>Ask Me</td>
<td>Never Allow : Never Allow</td>
</tr>
<tr>
<td>Comment</td>
<td>Ask Me</td>
<td>Ask Me</td>
<td>Never Allow : Never Allow</td>
</tr>
<tr>
<td>Postal Address</td>
<td>Ask Me</td>
<td>Ask Me</td>
<td>Never Allow : Never Allow</td>
</tr>
<tr>
<td>Postal Addresses Extensions</td>
<td>Ask Me</td>
<td>Ask Me</td>
<td>Never Allow : Never Allow</td>
</tr>
</tbody>
</table>

The interface allows these changes to simplify switching between configurations if, for example, you want to remove an inherited policy.

Inherited: Specifies the settings inherited from the parent attribute policy, when you view a child attribute. In the User Portal, settings displayed under Inherited are not modifiable by the user. At the top-level policy in the User Portal, the values are inherited from the settings in Administration Console. Thereafter, inheritance can come from the service policy or the parent data item’s policy.

Ask Me: Specifies that the service provider requests from the user what action to take.
Always Allow: Specifies that the identity provider always allows the attribute data to be sent to the service provider.

Never Allow: Specifies that the identity provider never allows the attribute data to be sent to the service provider.

When a request for data is received, Identity Server examines policies to determine what action to take. For example, if a service provider requires a postal address for the user, Identity Server performs the following actions:

- Checks the settings specified in All Service Providers.
- If no solution is found, checks for the policy settings configured for the service provider.

6 Click OK until the Web Service Provider page is displayed.
7 Click OK, then update Identity Server as prompted.

Create Web Service Type

This page allows you to create a Web service profile type. This is Step 1 of the Create Web Service Wizard. Access Manager comes with several Web service profiles, but if you have deleted a profile type, you can create it again.

1 Click Devices > Identity Servers > Edit > Liberty > Web Service Provider > New.
2 Select the Web service type from the drop-down list, then click Next.
3 Continue with one of the following:
   - “Modifying Service and Profile Details for Employee, Custom, and Personal Profiles” on page 450.
   - “Modifying Details for Authentication, Discovery, LDAP, and User Interaction Profiles” on page 452.

5.2.7.3 Configuring Credential Profile Security and Display Settings

On the Credential Profile Details page, you can specify whether this profile is displayed for end users, and determine how you control and store encrypted secrets. You can store and access secrets locally, on remote eDirectory servers that are running Novell SecretStore, or on a user store that has been configured with a custom attribute for secrets.

For more information about storing encrypted secrets, see the following:

- For information about how to configure Access Manager for secrets, see “Configuring a User Store for Secrets” on page 296.
- For information about creating shared secrets for Form Fill and Identity Injection policies, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

To configure the Credential Profile:

1 Click Devices > Identity Servers > Edit > Liberty > Web Service Providers.
2 Click Credential Profile.
3 On the Credential Profile Details page, fill in the following fields as necessary:
   - Display name: The name you want to display for the Web service.
Have Discovery Encrypt This Service's Resource IDs: Specify whether the Discovery Service encrypts the resource IDs. A resource ID is an identifier used by Web services to identify a user. The Discovery Service returns a list of resource IDs when a trusted service provider queries for the services owned by a given user. The Discovery Service has the option of encrypting the resource ID or sending it unencrypted. Encrypting resource IDs is disabled by default.

4 Under Credential Profile Settings, enable the following option if necessary:

Allow End Users to See Credential Profile: Specify whether to display or hide the Credential Profile in the Access Manager User Portal. Profiles are viewed on the My Profile page, where the user can modify his or her profile.

5 Specify how you want to control and store secrets:

5a To locally control and store secrets, configure the following fields:

Encryption Password Hash Key: (Required) Specify the password that you want to use as a seed to create the encryption algorithm. To increase the security of the secrets, ensure that you change the default password to a unique alphanumeric value.

Preferred Encryption Method: Specify the preferred encryption method. Select the method that complies with your security model:

- **Password Based Encryption With MD5 and DES:** MD5 is an algorithm that is used to verify data integrity. Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key.
- **DES:** Data Encryption Standard (DES) is a widely used method of data encryption that uses a private key. Like other private key cryptographic methods, both the sender and the receiver must know and use the same private key.
- **Triple DES:** A variant of DES in which data is encrypted three times with standard DES by using two different keys.

5b Specify where to store secret data. (For more information about setting up a user store for secret store, see “Configuring a User Store for Secrets” on page 296.)

- To have the secrets stored in the configuration database, do not configure the list in the Extended Schema User Store References section. You only need to configure the fields in Step 5a.
- To store the secrets in your LDAP user store, click New in Extended Schema User Store References and configure the following fields:

  **User Store:** Select a user store where secret data is stored.

  **Attribute Name:** Specify the LDAP attribute of the User object that can be used to store the secrets. When a user authenticates by using the user store specified here, the secret data is stored in an XML document of the specified attribute of the user object. This attribute must be a single-valued case ignore string that you have defined and assigned to the user object in the schema.

  **NOTE:** Do not use this LDAP attribute in Policy configuration as shared secrets. Instead you create the shared secrets attributes. The Shared secret attributes are populated in the configured LDAP attribute, and are used by policy for mapping. For more information about how to create shared secret, see Chapter 8.5, “Form Fill Policies,” on page 762.

  - To use Novell SecretStore to remotely store secrets, click New under Novell Secret Store User Store References.
Click the user store that you have configured for SecretStore.

Secure LDAP must be enabled between the user store and Identity Server to add this user store reference.

5c  Click OK twice.

6  On Identity Server page, update Identity Server.

5.2.7.4 Customizing Attribute Names

You can change the display names of the attributes for the Credential, Custom, Employee, and Personal profiles. The customized names are displayed on the My Profile page in the User Portal. The users see the custom names applicable to their language. Custom Attributes are displayed on the My Profile page in the User Portal in place of the corresponding English attribute name when the language in the drop-down list is the accepted language of the browser.

1  Click Devices > Identity Servers > Edit > Liberty > Web Service Provider > [Profile] > Custom Attribute Names.

2  Click the data item name to view the customized attribute names.

3  Click New to create a new custom name.

4  Type the name and select a language.

5  Click OK.

6  On the Custom Attribute Names page, click OK.

7  On the Web Service Provider page, click OK.

8  Update Identity Server configuration on the Servers page.

5.2.7.5 Configuring the Web Service Consumer

The Web service consumer is the component within the identity provider that requests attributes from Web service providers. The identity provider and Web services consumer cooperate to redirect the user or resource owner to the identity provider, allowing interaction. You can configure an interaction service, which allows the identity provider to pose simple questions to a user. This service can be offered by trusted Web services consumers, or by a dedicated interaction service provider that has a reliable means of communication with the users.

1  Click Devices > Identity Servers > Edit > Liberty > Web Service Consumers

The following general settings configure time limits and processing speed:

Protocol Timeout (seconds): Limits the time the transport protocol allows.

Provider Timeout (seconds): Limits the request processing at the Web service provider. This value must always be equal to or greater than the Protocol Timeout value.

Attribute Cache Enabled: A subsystem of the Web service consumer that caches attribute data that the Web service consumer requests. For example, if the Web service consumer has already requested a first name attribute from a Web service provider, the Web service consumer does not need to request the attribute again. This setting improves performance when enabled. However, you can disable this option to increase system memory.

2  Specify how and when the identity provider interacts with the user:

Always Allow Interaction: Allows interaction to take place between users and service providers.

Never Allow Interaction: Never allows interaction between users and service providers.
Always Allow Interaction for Permissions, Never for Data: Allows interaction for permissions, never for data.

Maximum Allowed Interaction Time: Specifies the allowed time (in seconds).

3 To specify the allowable methods that a Web service provider can use for user interaction, click one of the following options:

Redirect to a User Interaction Service: Allows the Web service consumer to redirect the user agent to the Web service provider to ask questions. After the Web service provider has obtained the information it needs, it can redirect the user back to the Web service consumer.

Call a Trusted User Interaction Service: Allows the Web service provider to trust the Web service consumer to act as proxy for the resource owner.

4 Under Security Settings, fill in the following fields:

WSS Security Token Type: Instructs the Web service consumer/requestor how to place the token in the security header as outlined in the Liberty ID-WSF Security Mechanisms.

Signature Algorithm: The signature algorithm to use for signing the payload.

5 Click OK, then update Identity Server configuration as prompted.

5.2.7.6 Mapping LDAP and Liberty Attributes

You can create an LDAP attribute map or edit an existing one. To create an attribute map, you specify how single-value and multi-value data items map to single-value and multi-value LDAP attributes. A single-value attribute can contain no more than one value, and a multi-value attribute can contain more than one. An example of a single-value attribute might be a person's gender, and an example of a multi-value attribute might be a person's various e-mail addresses, phone numbers, or titles.

1 Click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping.

2 Select one of the following actions:

New: Allows you create an LDAP attribute mapping. Select from the following types:

- One to One: Maps a single Liberty attribute to a single LDAP attribute. See “Configuring One-to-One Attribute Maps” on page 459.
- Employee Type: Maps the Employee Type attribute to an LDAP attribute, then maps the possible Liberty values to LDAP values. See “Configuring Employee Type Attribute Maps” on page 463.
- Employee Status: Maps the Employee Status attribute to an LDAP attribute, then maps the possible Liberty values to LDAP values. See “Configuring Employee Status Attribute Maps” on page 463.
- Postal Address: Maps the Postal Address attribute to either multiple LDAP attributes or a delimited LDAP attribute. See “Configuring Postal Address Attribute Maps” on page 464.
- Contact Method: Maps the Contact Method attribute to multiple LDAP attributes. See “Configuring Contact Method Attribute Maps” on page 465.
- Gender: Maps the Gender attribute to an LDAP attribute, then maps the possible Liberty values to LDAP values. See “Configuring Gender Attribute Maps” on page 466.
- Marital Status: Maps the Marital Status attribute to an LDAP attribute, then maps the possible Liberty values to LDAP values. See “Configuring Marital Status Attribute Maps” on page 466.

Delete: Deletes the selected mapping.

Enable: Enables the selected mapping.
**Disable:** Disables the selected mapping. When the mapping is disabled, the server does not load the definition. However, the definition is not deleted.

3 Click **OK**, then update Identity Server.

### Configuring One-to-One Attribute Maps

A one-to-one map enables you to map single-value and multiple-value LDAP attribute names to standard Liberty attributes. A default one-to-one attribute map is provided with Access Manager, but you can also define your own.

An example of a one-to-one attribute map might be the single-valued Liberty attribute Common Name (CommonName) used by the Personal Profile that is mapped to the LDAP attribute givenName. You can further configure the various Liberty values to map to any LDAP attribute names that you use.

1 Click **Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > One to One**.

2 Configure the following fields:

   - **Type:** Displays the type of mapping you are modifying or creating:
   - **Name:** The name you want to give the map.
   - **Description:** A description of the map.
   - **Access Rights:** A drop-down menu that provides the broadest control for the page. If you set this to **Read/Write**, you can specify rights for individual data items.

   For user provisioning to succeed, you must select **Read/Write** from the **Access Rights** drop-down menu for any maps that use an attribute during user provisioning.

   - **User Stores:** The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.

3 Use the following guidelines to configure the map:

   - Mapping Personal Profile Single-Value Data Items to LDAP Attributes
   - Mapping Personal Profile Multiple-Value Data Items to LDAP Attributes
   - Mapping Employee Profile Single-Value Data Items to LDAP Attributes
   - Mapping Employee Profile Multiple-Value Data Items to LDAP Attributes
   - Mapping Custom Profile Single-Value Data Items to LDAP Attributes
   - Mapping Custom Profile Multiple-Value Data Items to LDAP Attributes

4 After you create the mapping, click **Finish**.

5 On the LDAP Attribute Mapping page, click **OK**.

6 Update Identity Server.
# Mapping Personal Profile Single-Value Data Items to LDAP Attributes

The data items displayed are single-value Liberty Personal Profile attributes that you can map to the single-valued LDAP attributes that you have defined for your directory.

## Default One-To-One LDAP Attribute Mapping

<table>
<thead>
<tr>
<th>Data Item Name</th>
<th>LDAP Attribute Name</th>
<th>Access Rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Informal Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Every Day Name</td>
<td>fullName</td>
<td>Read Only</td>
</tr>
<tr>
<td>Common Personal Title</td>
<td>title</td>
<td>Read Only</td>
</tr>
<tr>
<td>Common First Name</td>
<td>givenName</td>
<td>Read Only</td>
</tr>
<tr>
<td>Common Last Name</td>
<td>sn</td>
<td>Read Only</td>
</tr>
<tr>
<td>Common Middle Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Personal Title</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal First Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Last Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Middle Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Fiscal Identification Type</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Legal Fiscal Identification Value</td>
<td></td>
<td>Read Only</td>
</tr>
</tbody>
</table>

[OK] [Cancel]
### Mapping Personal Profile Multiple-Value Data Items to LDAP Attributes

Use the fields on this page to map multiple-value attributes from the Liberty Personal Profile to the multiple-value LDAP attributes you have defined for your directory. For example, you can map the Liberty attribute Alternate Every Day Name (AltCN) to the LDAP attribute you have defined for this purpose in your directory.

<table>
<thead>
<tr>
<th>Data Item Name</th>
<th>Ldap Attribute Name</th>
<th>Access Rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alternate Every Day Name</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Alternate Department Names</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Spoken or Understood Languages</td>
<td></td>
<td>Read Only</td>
</tr>
</tbody>
</table>

### Default One-To-One LDAP Attribute Mapping

<table>
<thead>
<tr>
<th>Data Item Name</th>
<th>Ldap Attribute Name</th>
<th>Access Rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Id</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Date of Hire</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Job Start Date</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Status</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Type</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Internal Job Title</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Department</td>
<td>ou</td>
<td>Read Only</td>
</tr>
</tbody>
</table>

### Mapping Employee Profile Single-Value Data Items to LDAP Attributes

Map the Liberty Employee Profile single-value attributes to the LDAP attributes you have defined in your directory for entries such as ID, Date of Hire, Job Start Date, Department, and so on.

### Mapping Employee Profile Multiple-Value Data Items to LDAP Attributes

Map the Liberty Employee Profile multiple-value attributes to the LDAP attributes you have defined in your directory.
Mapping Custom Profile Single-Value Data Items to LDAP Attributes

Map custom Liberty profile single-value attributes to LDAP attributes you have defined in your directory. These attributes are customizable strings associated with the Custom Profile.

**Default One-To-One LDAP Attribute Mapping**

<table>
<thead>
<tr>
<th>Data Item Name</th>
<th>Ldap Attribute Name</th>
<th>Access Rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Customizable String One</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Two</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Three</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Four</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Five</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Six</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Seven</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Eight</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Nine</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable String Ten</td>
<td></td>
<td>Read Only</td>
</tr>
</tbody>
</table>

**Custom Profile Multiple Valued Data Items to LDAP Attributes**

<table>
<thead>
<tr>
<th>Data Item Name</th>
<th>Ldap Attribute Name</th>
<th>Access Rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Customizable Multi-Valued Strings One</td>
<td></td>
<td>Read Only</td>
</tr>
<tr>
<td>Customizable Multi-Valued Strings Two</td>
<td></td>
<td>Read Only</td>
</tr>
</tbody>
</table>

**Customizable String (1 - 10):** The Custom Profile allows custom single-value and multiple-value attributes to be defined without using the Data Model Extension XML to extend a service’s schema. To use a customizable attribute, navigate to the Custom Attribute Names tab on the Custom Profile Details page (see “Customizing Attribute Names” on page 457). Use the page to customize the name of any of the predefined single-value or multiple-value customizable attributes in the Custom Profile. After you customize a name, you can use that attribute in the same way you use any other profile attribute.

**Mapping Custom Profile Multiple-Value Data Items to LDAP Attributes**

**Customizable Multi-Valued Strings (1 - 5):** Similar to customizable strings for single-value attributes, except these attributes can have multiple values. Use this list of fields to map directory attributes that can have multiple values to multiple-value strings from the Custom Profile.
Configuring Employee Type Attribute Maps

You can map the LDAP attribute name and values to the Liberty profile values for Employee Type. This is an Employee Profile attribute. Examples of Liberty values appended to this attribute include Contractor Part Time, Contractor Full Time, Full Time Regular, and so on.

1. Click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Employee Type.

2. Configure the following fields:
   - **Name**: The name you want to give the map.
   - **Description**: A description of the map.
   - **Access Rights**: A drop-down menu that provides the broadest control for the page. If you set this to Read/Write, you can specify rights for individual data items.
     
     For user provisioning to succeed, you must select Read/Write from the Access Rights drop-down menu for any maps that use an attribute during user provisioning.
   - **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.

3. In the **LDAP Attribute Name** field, type the LDAP attribute name that you want to map to the Liberty Employee Type attribute.

4. In the **LDAP Attribute Value** fields, type the predefined LDAP attribute values that you want to map to the Liberty Employee Type values.
   
   These are the values that you want to store in the LDAP attribute for each given Liberty attribute value. The LDAP attribute map then maps the actual Liberty URI value, back and forth, to this supplied value.

5. Click **Finish**.

6. On the LDAP Attribute Mapping page, click **OK**.

7. Update Identity Server.

Configuring Employee Status Attribute Maps

You can map the LDAP attribute name and values to the Liberty profile values for Employee Status. This is an Employee Profile attribute. Examples of the values appended to this Liberty attribute include Active, Trial, Retired, Terminated, and so on.

1. Click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Employee Status.

2. Configure the following fields:
   - **Name**: The name you want to give the map.
   - **Description**: A description of the map.
   - **Access Rights**: A drop-down menu that provides the broadest control for the page. If you set this to Read/Write, you can specify rights for individual data items.
     
     For user provisioning to succeed, you must select Read/Write from the Access Rights drop-down menu for any maps that use an attribute during user provisioning.
   - **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.

3. In the **LDAP Attribute Name** field, type the LDAP attribute name that you want to map to the Liberty Employee Status element.
4 In the **LDAP Attribute Value** fields, type the predefined LDAP attribute values that you want to map to the **Liberty Employee Status** values. These are the values that you want to store in the LDAP attribute for each given Liberty attribute value. The LDAP attribute map then maps the actual Liberty URI value, back and forth, to this supplied value.

5 Click **Finish**.

6 On the LDAP Attribute Mapping page, click **OK**.

7 Update Identity Server.

### Configuring Postal Address Attribute Maps

You can map the LDAP attribute name and values to the Liberty profile values for Postal Address. The **PostalAddress** element refers to the local address, including street or block with a house number, and so on. This is a **Personal Profile** attribute.

1 Click **Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Postal Address**.

2 Configure the following fields:

   **Name**: The name you want to give the map.

   **Description**: A description of the map.

   **Access Rights**: A drop-down menu that provide the broadest control for the page. If you set this to **Read/Write**, you can specify rights for individual data items. For user provisioning to succeed, you must select **Read/Write** from the **Access Rights** drop-down menu for any maps that use an attribute during user provisioning.

   **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.

3 In the **Mode** drop-down menu, select either **Multiple LDAP Attributes** or **Single Delimited LDAP Attributes**.

   **Multiple LDAP Attributes**: Allows you to map multiple LDAP attributes to multiple Liberty Postal Address elements. When you select this option, the following Liberty Postal Address elements are displayed under the **Postal Address to LDAP Attributes** group. Type the LDAP attributes that you want to map to the Liberty elements.

   - Postal Address
   - Postal Code
   - City
   - State
   - Country

   **Single Delimited LDAP Attributes**: Allows you to specify one LDAP attribute that is used to hold multiple elements of a Liberty Postal Address in a single delimited value. When you select this option, the page displays the following fields:

   - **Delimited LDAP Attribute Name**: The delimited LDAP attribute name you have defined for the LDAP postal address that you want to map to the Liberty Postal Address attribute.
   - **Delimiter**: The character to use to delimit single-value entries. A $ sign is the default delimiter.

4 (Single Delimited LDAP Attributes mode) Under **One-Based Field Position in Delimited LDAP Attribute**, specify the order in which the information is contained in the string. Select 1 for the value that comes first in the string, 2 for the value that follows the first delimiter, etc.
5 (Multiple LDAP Attributes mode) Under **Postal Address Template Data**, fill in the following options:

- **Nickname**: (Required) A Liberty element name used to identify the Postal Address object.
- **Contact Method Type**: Select the contact method type, such as **Domicile**, **Work**, **Emergency**, and so on.

6 Click Finish.

7 On the LDAP Attribute Mapping page, click **OK**.

8 Update Identity Server.

**Configuring Contact Method Attribute Maps**

You can map the LDAP attribute you have defined for contact methods to the Liberty attribute **Contact Method (MsgContact)**.

1 Click **Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Contact Method**.

2 Configure the following fields:

- **Name**: The name you want to give the map.
- **Description**: A description of the map.
- **Access Rights**: A drop-down menu that provide the broadest control for the page. If you set this to **Read/Write**, you can specify rights for individual data items.

For user provisioning to succeed, you must select **Read/Write** from the **Access Rights** drop-down menu for any maps that use an attribute during user provisioning.

- **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.

3 Under **Contact Method to LDAP Attributes**, fill in the following fields to map to the Liberty Contact Method attribute:

- **Provider LDAP Attribute**: Maps to the Liberty attribute **MsgProvider**, which is the service provider or domain that provides the messaging service.
- **Account LDAP Attribute**: Maps to the Liberty attribute **MsgAccount**, which is the account or address information within the messaging provider.
- **SubAccount LDAP Attribute**: Maps to the Liberty **MsgSubaccount**, which is the subaccount within a messaging account, such as the voice mail box associated with a phone number.

4 Under **Contact Method Template Data**, specify the settings for the following Liberty attribute values:

- **Nickname**: Maps to the Liberty attribute **Nick**, which is an informal name for the contact.
- **Type**: Maps to the Liberty attribute **MsgType** (such as Mobile, Personal, or Work).
- **Method**: Maps to the Liberty **MsgMethod** (such as Voice, Fax, or E-mail).
- **Technology**: Maps to the Liberty attribute **MsgTechnology** (such as Pager, VOIP, and so on).

5 Click Finish.

6 On the LDAP Attribute Mapping page, click **OK**.

7 Update Identity Server.
Configuring Gender Attribute Maps

You can map the LDAP attribute name and values to the Liberty profile values for the Gender attribute. You can use gender to differentiate between people with the same name, especially in countries where national ID numbers cannot be collected. This is a Personal Profile attribute.

1. Click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Gender.
2. Configure the following fields:
   - **Name**: The name you want to give the map.
   - **Description**: A description of the map.
   - **Access Rights**: A drop-down menu that provides the broadest control for the page. If you set this to Read/Write, you can specify rights for individual data items.
     For user provisioning to succeed, you must select Read/Write from the Access Rights drop-down menu for any maps that use an attribute during user provisioning.
   - **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.
3. In the **LDAP Attribute Name** field, type the LDAP attribute name that you want to map to the Liberty element Gender.
4. In the **LDAP Attribute Value** fields, type the predefined LDAP attribute values that you want to map to the Gender values.
   These are the values that you want to store in the LDAP attribute for each given Liberty attribute value. The LDAP attribute map then maps the actual Liberty URI value, back and forth, to this supplied value.
5. Click **Finish**.
6. On the LDAP Attribute Mapping page, click **OK**.
7. Update Identity Server.

Configuring Marital Status Attribute Maps

You can map the LDAP marital status attribute to the Liberty attribute. The Liberty Marital Status (MaritalStatus) element includes appended values such as single, married, divorced, and so on. For example, urn:liberty:id-sis-pp:maritalstatus:single. This is a Personal Profile attribute.

1. Click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping > New > Marital Status.
2. Configure the following fields:
   - **Name**: The name you want to give the map.
   - **Description**: A description of the map.
   - **Access Rights**: A drop-down menu that provides the broadest control for the page. If you set this to Read/Write, you can specify rights for individual data items.
     For user provisioning to succeed, you must select Read/Write from the Access Rights drop-down menu for any maps that use an attribute during user provisioning.
   - **User Stores**: The user store that a map applies to. If a user logs into a user store that is not in the map’s user store list, that map is not used to read or write attributes for that user.
3. In the **LDAP Attribute Name** field, type the LDAP attribute name that you want to map to the Liberty element Marital Status (MaritalStatus).
4. In the **LDAP Attribute Value** fields, type the predefined LDAP attribute values that you want to map to the MaritalStatus values.
These are the values that you want to store in the LDAP attribute for each given Liberty attribute value. The LDAP attribute map then maps the actual Liberty URI value, back and forth, to this supplied value.

5 Click Finish.
6 On the LDAP Attribute Mapping page, click OK.
7 Update Identity Server.

5.2.8 Configuring WS Federation

The first two topics in this section describe two different methods for setting up federation with a SharePoint server. The next sections describe how you can manage and modify WS Federation providers and configure Security Token Service (STS). STS is used to process authentication requests received at Identity Server for the WS Federation protocol.

The WS-Federation metadata can be obtained by using Sam1v2Meta as described in the WS-Federation 1.1 and 1.2 specification. To obtain the metadata, use the following URL format: \<base-url>/nidp/wsfed/metadata\?type=Samlv2Meta.

- Section 5.2.8.1, “Using Identity Server as an Identity Provider for ADFS,” on page 467
- Section 5.2.8.2, “Using the ADFS Server as an Identity Provider for an Access Manager Protected Resource,” on page 477
- Section 5.2.8.3, “Managing WS Federation Providers,” on page 483
- Section 5.2.8.4, “Modifying a WS Federation Identity Provider,” on page 484
- Section 5.2.8.5, “Modifying a WS Federation Service Provider,” on page 488
- Section 5.2.8.6, “Configuring STS Attribute Sets,” on page 490
- Section 5.2.8.7, “Configuring STS Authentication Methods,” on page 491
- Section 5.2.8.8, “Configuring STS Authentication Request,” on page 491

5.2.8.1 Using Identity Server as an Identity Provider for ADFS

Identity Server can provide authentication for resources protected by an Active Directory Federation Services (ADFS) server. This allows Identity Server to provide single sign-on to Access Manager resources and ADFS resources, such as a SharePoint server. Figure 5-16 illustrates this configuration.
In this scenario, the following exchanges occur:

1. The user requests access to a SharePoint server protected by the ADFS server.
2. The resource sends an authentication request to the ADFS server.
3. The ADFS server, which has been configured to use Identity Server as an identity provider, gives the user the option of logging in to Identity Server.
4. The user logs in to Identity Server and is provided a token that is sent to the ADFS server and satisfies the request of the resource.
5. The user is allowed to access the resource.

The following section describe how to configure your servers for this scenario:

- “Configuring Identity Server” on page 468
- “Configuring the ADFS Server” on page 473
- “Logging In” on page 476
- “Troubleshooting” on page 476

**Configuring Identity Server**

- “Prerequisites” on page 469
- “Creating a New Authentication Contract” on page 469
- “Setting the WS-Fed Contract to Be the Default Contract” on page 470
- “Enabling the WS Federation Protocol” on page 470
- “Creating an Attribute Set for WS Federation” on page 470
- “Enabling the Attribute Set” on page 471
- “Creating a WS Federation Service Provider” on page 471
- “Configuring the Name Identifier Format” on page 472
“Setting Up Roles for ClaimApp and TokenApp Claims” on page 472
“Importing the ADFS Signing Certificate into the NIDP-Truststore” on page 473

Prerequisites

- You have set up the Active Directory Federation Services, Active Directory, and SharePoint servers and the client as described in the ADFS guide from Microsoft. See the “Step-by-Step Guide for Active Directory Federation Services”.
- You have set up the Access Manager 4.0 system with a site configuration that is using SSL in Identity Server’s base URL. See Chapter 17, “Enabling SSL Communication,” on page 875.

Creating a New Authentication Contract

The Microsoft ADFS server rejects the contract URI names of the default Access Manager contracts, which have a URI format of secure/name/password/uri. The ADFS server expects the URI to look like a URL.

We suggest that you use the following format for the URI of all contracts that you want to use with the ADFS server:

\<\texttt{baseurl}\>/\texttt{name/password/uri}

If the DNS name of your Identity Server is idp-50.amlab.net, the URI would have the following format:

https://idp-50.amlab.net:8443/nidp/name/password/uri

This URL does not resolve to anything because Identity Server interprets it as a contract URI and not a URL.

To create a new authentication contract:

1. Click Devices > Identity Servers > Edit > Local > Contracts.
2. Click New, then fill in the following fields:
   - Display name: Specify a name, for example WS-Fed Contract.
   - URI: Specify a URI, for example https://idp-50.amlab.net:8443/nidp/name/password/uri. Satisfiable by External Provider: Enable this option. The ADFS server needs to satisfy this contract.
3. Move Name/Password – Form to the Methods list.
4. Click Next, then fill in the following fields:
   - ID: Leave this field blank. You only need to supply a value when you want a reference that you can use externally.
   - Text: Specify a description that is available to the user when the user mouses over the card.
   - Image: Select an image, such as Form Auth Username Password. This is the default image for the Name/Password - Form contract.
   - Show Card: Enable this option so that the card can be presented to the user as a login option.
5. Click Finish.
**Setting the WS-Fed Contract to Be the Default Contract**

It is not possible to specify the contract to request from the ADFS service provider to Identity Server. You must either set the contract for WS-Fed to be the default, or have your users remember to click that contract every time.

1. On the Local page of Identity Server, click *Defaults*.
2. For the *Authentication Contract* option, select the WS-Fed Contract.
3. Click *Apply*.

**Enabling the WS Federation Protocol**

Access Manager ships with only SAML 1.1, Liberty, and SAML 2.0 enabled by default. To use the WS Federation protocol, you must enable it on Identity Server.

1. Click the *General* tab.
2. In the *Enabled Protocols* section, select WS Federation.
3. Click *OK*.
4. Update Identity Server.
5. Continue with "Creating an Attribute Set for WS Federation" on page 470.

**Creating an Attribute Set for WS Federation**

The WS Federation namespace is http://schemas.xmlsoap.org/claims. With WS Federation, you need to decide which attributes you want to share during authentication. This scenario uses the LDAP mail attribute and the All Roles attribute.

1. On Identity Servers page, click *Shared Settings*.
2. To create a new attribute set, click *New*, then fill in the following fields:
   - **Set Name**: Specify a name that identifies the purpose of the set, for example, wsfed_attributes.
   - **Select set to use as template**: Select *None*.
3. Click *Next*.
4. To add a mapping for the mail attribute:
   4a. Click *New*.
   4b. Fill in the following fields:
      - **Local attribute**: Select *LDAP Attribute:mail [LDAP Attribute Profile]*.
      - **Remote attribute**: Specify *emailAddress*. This is the attribute that this scenario uses for user identification.
      - **Remote namespace**: Select the radio button by the text box, then specify the following namespace:

        http://schemas.xmlsoap.org/claims

    4c. Click *OK*.
5. To add a mapping for the All Roles attribute:
   5a. Click *New*.
   5b. Fill in the following fields:
      - **Local attribute**: Select *All Roles*. 
Remote attribute: Specify group. This is the name of the attribute that is used to share roles.

Remote namespace: Select the radio button by the text box, then specify the following namespace:

http://schemas.xmlsoap.org/claims

5c Click OK.

6 Click Finish.

7 Continue with “Enabling the Attribute Set” on page 471.

Enabling the Attribute Set

Because the WS Federation protocol uses STS, you must enable the attribute set for STS to use it in an WS Federation relationship.

1 On Identity Servers page, click Servers > Edit > WS Federation > STS Attribute Sets.

2 Move the WS Federation attribute set to the Attribute sets list.

3 Select the WS Federation attribute set and use the up-arrow to make it first in the Attribute set list.

4 Click OK, then update Identity Server.

Creating a WS Federation Service Provider

To establish a trusted relationship with the ADFS server, you need to set up the Trey Research site as a service provider. The trusted relationship allows the service provider to trust Identity Server for user authentication credentials.

Trey Research is the default name for the ADFS resource server. If you have used another name, substitute it when following these instructions. To create a service provider, you need to know the following about the ADFS resource server.

Table 5-3 ADFS Resource Server Information

<table>
<thead>
<tr>
<th>What You Need to Know</th>
<th>Default Value and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider ID</td>
<td><strong>Default Value:</strong> urn:federation:treyresearch</td>
</tr>
<tr>
<td></td>
<td>This is the value that the ADFS server provides to Identity Server in the realm parameter of the query string. This value is specified in the Properties of the Trust Policy page on the ADFS server. The parameter label is Federation Service URI.</td>
</tr>
<tr>
<td>Sign-on URL</td>
<td><strong>Default Value:</strong> <a href="https://adfsresource.treyresearch.net/adfs/ls/">https://adfsresource.treyresearch.net/adfs/ls/</a></td>
</tr>
<tr>
<td></td>
<td>This is the value that the identity provider redirects the user to after login. Although it is listed as optional, and is optional between two Access Manager Identity Servers, the ADFS server does not send this value to the identity provider. It is required when setting up a trusted relationship between an ADFS server and a Access Manager Identity Server.</td>
</tr>
<tr>
<td></td>
<td>This URL is listed in the Properties of the Trust Policy page on the ADFS server. The parameter label is Federation Services endpoint URL.</td>
</tr>
<tr>
<td>Logout URL</td>
<td><strong>Default Value:</strong> <a href="https://adfsresource.treyresearch.net/adfs/ls/">https://adfsresource.treyresearch.net/adfs/ls/</a></td>
</tr>
<tr>
<td></td>
<td>This parameter is optional. If it is specified, the user is logged out of the ADFS server and Identity Server.</td>
</tr>
</tbody>
</table>
Configuring Authentication

To create a service provider configuration:

1. On Identity Servers page, click Edit > WS Federation.
2. Click New > Service Provider, then fill in the following fields:
   - **Name:** Specify a name that identifies the service provider, such as *TreyResearch*.
   - **Provider ID:** Specify the provider ID of the ADFS server. The default value is `urn:federation:treyresearch`.
   - **Sign-on URL:** Specify the URL that the user is redirected to after login. The default value is `https://adfsresource.treyresearch.net/adfs/ls/`.
   - **Logout URL:** (Optional) Specify the URL that the user can use for logging out. The default value is `https://adfsresource.treyresearch.net/adfs/ls`.
   - **Service Provider:** Specify the path to the signing certificate of the ADFS server.
3. Click Next, confirm the certificate, then click Finish.
4. Continue with “Configuring the Name Identifier Format” on page 472.

**Configuring the Name Identifier Format**

The Unspecified Name Identifier format is the default for a newly created WS Federation service provider, but this name identifier format does not work with the ADFS federation server. Additionally, some Group Claims (Adatum ClaimApp Claim and Adatum TokenApp Claim) must be satisfied to gain access to the SharePoint server.

1. On the WS Federation page, click the name of the TreyResearch service provider.
2. Click Attributes, then fill in the following fields:
   - **Attribute set:** Select the WS Federation attribute set you created.
   - **Send with authentication:** Move the All Roles attribute to the Send with authentication list.
3. Click Apply, then click Authentication Response.
4. Select E-mail for the Name Identifier Format.
5. Select LDAP Attribute:mail [LDAP Attribute Profile] as the value for the e-mail identifier.
6. Click OK twice, then update Identity Server.

**Setting Up Roles for ClaimApp and TokenApp Claims**

When users access resources on the ADFS server, they need to have two roles assigned: a ClaimApp role and a TokenApp role. The following steps explain how to create these two roles so that they are assigned to all users that log in to Identity Server.

1. On Identity Servers page, click Edit > Roles > Manage Policies.
2. Click New, specify a name for the policy, select Identity Server: Roles, then click OK.
3. On the Rule 1 page, leave Condition Group 1 blank.
With no conditions to match, this rule matches all authenticated users.

4 In the Actions section, click New > Activate Role.

5 In the text box, specify ClaimApp.

6 In the Actions section, click New > Activate Role.

7 In the text box, specify TokenApp.

8 Click OK twice, then click Apply Changes.

9 Click Close.

10 On the Roles page, select the role policy you just created, then click Enable.

11 Click OK, then update Identity Server.

12 Continue with “Importing the ADFS Signing Certificate into the NIDP-Truststore” on page 473.

**Importing the ADFS Signing Certificate into the NIDP-Truststore**

The Access Manager Identity Provider (NIDP) must have the trusted root of the ADFS signing certificate (or the certificate itself) listed in its Trust Store, as well as specified in the relationship. This is because most ADFS signing certificates are part of a certificate chain, and the certificate that goes into the metadata is not the same as the trusted root of that certificate. However, because the Active Directory step-by-step guide uses self-signed certificates for signing, it is the same certificate in both the Trust Store and in the relationship.

To import the ADFS signing certificate’s trusted root (or the certificate itself) into the NIDP-Truststore:

1 On Identity Servers page, click Edit > Security > NIDP Trust Store.

2 Click Add.

3 Next to the Trusted Root(s) field, click the Select Trusted Root(s) icon.

   This adds the trusted root of the ADFS signing certificate to the Trust Store.

4 On the Select Trusted Roots page, select the trusted root or certificate that you want to import, then click Add Trusted Roots to Trust Stores.

   If there is no trusted root or certificate in the list, click Import. This enables you to import a trusted root or certificate.

5 Next to the Trust store(s) field, click the Select Keystore icon.

6 Select the trust stores where you want to add the trusted root or certificate, then click OK twice.

7 Update Identity Server so that the changes can take effect.

This finishes the configuration that must be done on Identity Server for Identity Server to trust the ADFS server. The ADFS server must be configured to trust Identity Server. Continue with “Configuring the ADFS Server” on page 473.

**Configuring the ADFS Server**

The following tasks must be completed on the Trey Research server (adfsresoucetreyresearch.net) to establish trust with the Access Manager Identity Server.

- “Enabling E-mail as a Claim Type” on page 474
- “Creating an Account Partners Configuration” on page 474
- “Enabling ClaimApp and TokenApp Claims” on page 475
- “Disabling CRL Checking” on page 475
Enabling E-mail as a Claim Type

There are three types of claims for identity that can be enabled on an ADFS server. They are Common Name, E-mail, and User Principal Name. The ADFS step-by-step guide specifies that you do everything with a User Principal Name, which is an Active Directory convention. Although it could be given an e-mail name that looks the same, it is not. This scenario selects to use E-mail instead of Common Name because E-mail is a more common configuration.

1. From the Administrative Tools, open the Active Directory Federation Services tool.
2. Navigate to the Organizational Claims by clicking Federation Service > Trust Policy > My Organization.
3. Verify that E-mail is in this list. If it isn’t, move it to the list.
4. Navigate to your Token-based Application and enable e-mail by right-clicking the application, editing the properties, and clicking the Enabled box.
5. Navigate to your Claims-aware Application and repeat the process.

Creating an Account Partners Configuration

WS Federation requires a two-way trust relationship. Both the identity provider and the service provider must be configured to trust the other provider. This task sets up the trust between the ADFS server and Identity Server.

1. In the Active Directory Federation Services console, navigate to the Account Partners by clicking Federation Services > Trust Policy > Partner Organizations.
2. Right-click Partner Organizations, then select New > Account Partner.
3. Supply the following information in the wizard:
   - You do not have an account partner policy file.
   - For the display name, specify the DNS name of Identity Server.
   - For the Federation Services URI, specify the following:
     https://<DNS_Name>:8443/nidp/wsfed/
     Replace <DNS_Name> with the DNS name of Identity Server.
     This URI is the base URL of your Identity Server with the addition of /wsfed/ on the end.
   - For the Federation Services endpoint URL, specify the following:
     https://<DNS_Name>:8443/nidp/wsfed/ep
     Replace <DNS_Name> with the DNS name of Identity Server.
     This URL is the base URL of your Identify Server with the addition of /wsfed/ep at the end.
   - For the verification certificate, import the trusted root of the signing certificate on your Identity Server.
     If you have not changed it, you need the Organizational CA certificate from your Administration Console. This is the trusted root for the test-signing certificate.
   - Select Federated Web SSO.
     Identity Server is outside of any forest, so do not select Forest Trust.
   - Select the E-mail claim.
Add the suffix that you will be using for your e-mail address.
You need to have the e-mail end in a suffix that the ADFS server is expecting, such as @novell.com, which grants access to any user with that e-mail suffix.

4 Enable this account partner.
5 Finish the wizard.
6 Continue with “Enabling ClaimApp and TokenApp Claims” on page 475.

**Enabling ClaimApp and TokenApp Claims**

The Active Directory step-by-step guide sets up these roles to be used by the resources. You set them up to be sent in the All Roles attribute from Identity Server. You must map these roles into the Adatum ClaimApp Claim and the Adatum TokenApp Claim.

1 In the Active Directory Federation Services console, click the account partner that you created for Identity Server (see “Creating an Account Partners Configuration” on page 474).

2 Right-click the account partner, then create a new **Incoming Group Claim Mapping** with the following values:
   - **Incoming group claim name**: Specify **ClaimApp**.
   - **Organization group claim**: Specify **Adatum ClaimApp Claim**.

3 Right-click the account partner, and create another **Incoming Group Claim Mapping** with the following values:
   - **Incoming group claim name**: Specify **TokenApp**.
   - **Organization group claim**: Specify **Adatum TokenApp Claim**.

4 Continue with “Disabling CRL Checking” on page 475.

**Disabling CRL Checking**

If you are using the Access Manager certificate authority as your trusted root for the signing certificate (test-signing certificate), there is no CRL information in that certificate. However, the ADFS has a mandatory requirement to do CRL checking on any certificate that they receive. For instructions on how to disable this checking, see “Turn CRL checking on or off”.

Use the following tips as you follow these instructions.

- Create a file from the script contained at that link called TpCrlChk.vbs.
- Exit the Active Directory Federation Services console.
  
  If you do not exit the console, the console overwrites the changes made by the script file and CRL checking is not turned off.
- Run the command with the following syntax:

  ```vbs
  Cscript TpCrlChk.vbs <location of ADFS>\TrustPolicy.xml "<service URI>" None
  ```

  Replace `<location of ADFS>` with the location of the ADFS TrustPolicy.xml file. The default location is C:\ADFS\TrustPolicy.xml.

  Replace `<service URI>` with the URI you specified in Step 3 on page 474. If the DNS name of your Identity Server is idp-50.amlab.net, replace it with https://idp-50.amlab.net:8443/nidp/wsfed/.

  Your command must look similar to the following:

  ```vbs
  Cscript TpCrlChk.vbs C:\ADFS\TrustPolicy.xml "https://idp-50.amlab.net:8443/nidp/wsfed/" None
  ```
Logging In

1. In a browser on your client machine, enter the URL of the SharePoint server. For example:
   https://adfsweb.treyresearch.net/default.aspx

2. Select the IDP from the drop-down list of home realm, then submit the request. If you are not prompted for the realm, clear all cookies in the browser and try again.

3. Log in with a user at the Access Manager Identity Provider.

4. Verify that you can access the SharePoint server. If you only see a page that says Server Error in '/adfs' Application, see "Turning On Logging on the ADFS Server" on page 476 and follow the instructions in "Common Errors" on page 476.

Troubleshooting

- "Turning On Logging on the ADFS Server" on page 476
- "Common Errors" on page 476

Turning On Logging on the ADFS Server

If you see the message Server Error in '/adfs' Application displayed in the client's browser, the best place to look for the cause is in the ADFS log file.

To turn on this log file:

1. In the Active Directory Federation Services console, right-click Federation Service, then click Properties.
2. Click the Troubleshooting tab, then enable everything on the page.
3. Click OK, then look for the file that is created in the path listed in the Log files directory.
4. Look in that file for reasons that the federation is failing.

For an explanation of some of the common errors, see "Common Errors" on page 476.

Common Errors

- "[ERROR] SamlViolatesSaml:" on page 476
- "[ERROR] Saml contains an unknown NameIdentifierFormat:" on page 476
- "CRL Errors" on page 477
- "[ERROR] EmailClaim.set_Email:" on page 477

[ERROR] SamlViolatesSaml:

Error parsing AuthenticationMethod: Invalid URI: The format of the URI could not be determined.

Cause: This is because the contract has the wrong format for its URI. The URI must start with urn: or http:/

[ERROR] Saml contains an unknown NameIdentifierFormat:


Cause: The name identifier format is set to unspecified, and it needs to be set to E-mail.
[ERROR] Saml contains an unknown Claim name/namespace:

Issuer=https://idp-51.amlab.net:8443/nidp/wsfed/;
Namespace=urn:oasis:names:tc:SAML:1.0:assertion; Name=emailaddress

Cause: The emailAddress attribute is not in the correct namespace for WSFed.

CRL Errors

- 2008-08-01T19:56:55 [WARNING] VerifyCertChain: Cert chain did not verify - error code was 0x80092012
- 2008-08-01T19:56:55 [ERROR] KeyInfo processing failed because the trusted certificate does not have a a valid certificate chain. Thumbprint = 09667EB26101A98F44034A3EBAAFF9A3A09A0F327
- 2008-08-01T19:56:55 [WARNING] Failing signature verification because the KeyInfo section failed to produce a key.
- 2008-08-01T19:56:55 [WARNING] SAML token signature was not valid: AssertionID = idZ0KQH0kflVK8kmKfv6YaVPglRNo

Cause: The CRL check isn't turned off. See “Disabling CRL Checking” on page 475.

[ERROR] EmailClaim.set_Email:

Email 'mPmNXOA8Rv+j16L1iNKn/4HVpfeJ3av1L9c0GQ==' has invalid format

Cause: The drop-down list next to E-mail in the identifier format was not changed from <Not Specified> to a value with a valid e-mail address in it.

5.2.8.2 Using the ADFS Server as an Identity Provider for an Access Manager Protected Resource

The Active Directory Federation Services server can be configured to provide authentication for a resource protected by Access Manager.

*Figure 5-17 Using an ADFS Server for Access Manager Authentication*
In this scenario, the following exchanges occur:

1. The user requests access to a resource protected by an Access Gateway.
2. The resource sends an authentication request to the Access Manager Identity Server.
3. Identity Server is configured to trust an Active Directory Federation Services server and gives the user the option of logging in at the Active Directory Federation Services server.
4. The user logs into the Active Directory Federation Services server and is provided a token.
5. The token is sent to Identity Server.
6. The token satisfies the authentication requirements of the resource, so the user is allowed to access the resource.

The following sections describe how to configure this scenario.

- “Configuring Identity Server as a Service Provider” on page 478
- “Configuring the ADFS Server to Be an Identity Provider” on page 481
- “Logging In” on page 482
- “Additional WS Federation Configuration Options” on page 482

**Configuring Identity Server as a Service Provider**

- “Prerequisites” on page 478
- “Enabling the WS Federation Protocol” on page 478
- “Creating a WS Federation Identity Provider” on page 479
- “Modifying the User Identification Specification” on page 480
- “Importing the ADFS Signing Certificate into the NIDP-Truststore” on page 480

**Prerequisites**

- You have set up the Active Directory Federation Services, Active Directory, and SharePoint servers and the client as described in the ADFS guide from Microsoft. See the “Step-by-Step Guide for Active Directory Federation Services”.
- You have set up the Access Manager system with a site configuration that is using SSL in Identity Server's base URL. See Chapter 17, “Enabling SSL Communication,” on page 875.
- Enable the Liberty Personal Profile.
  - Click Identity Servers > Edit > Liberty > Web Service Provider. Select the Personal Profile, then click Enable > Apply. Update Identity Server.

**Enabling the WS Federation Protocol**

Access Manager ships with only SAML 1.1, Liberty, and SAML 2.0 enabled by default. To use the WS Federation protocol, it must be enabled on Identity Server.

1. Click Devices > Identity Servers > Edit.
2. In the Enabled Protocols section of the General Configuration page, select WS Federation.
3. Click OK.
4. Update Identity Server.
5. Continue with “Creating a WS Federation Identity Provider” on page 479.
Creating a WS Federation Identity Provider

To have a trust relationship, you need to set up the Adatum site (adfsaccount.adatum.com) as an identity provider for Identity Server.

Adatum is the default name for the identity provider. If you have used another name, substitute it when following these instructions. To create an identity provider, you need to know the following information about the Adatum site:

Table 5-4  Adatum Values

<table>
<thead>
<tr>
<th>What You Need to Know</th>
<th>Default Value and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider ID</td>
<td>Default Value: urn:federation:adatum</td>
</tr>
<tr>
<td></td>
<td>The ADFS server provides this value to the service provider in the realm parameter in the assertion. You set this value in the Properties of the Trust Policy on the ADFS server. The label is Federation Service URI.</td>
</tr>
<tr>
<td>Sign-on URL</td>
<td>Default Value: <a href="https://adfsaccount.adatum.com/adfs/ls/">https://adfsaccount.adatum.com/adfs/ls/</a></td>
</tr>
<tr>
<td></td>
<td>The service provider uses this value to redirect the user for login. This URL is listed in the Properties of the Trust Policy on the ADFS server. The label is Federation Services endpoint URL.</td>
</tr>
<tr>
<td>Logout URL</td>
<td>Default Value: <a href="https://adfsresource.treyresearch.net/adfs/ls/">https://adfsresource.treyresearch.net/adfs/ls/</a></td>
</tr>
<tr>
<td></td>
<td>The ADFS server makes no distinction between the login and logout URL. Access Manager has separate URLs for login and logout, but from a Access Manager Identity Server to an ADFS server, they are the same.</td>
</tr>
<tr>
<td>Signing Certificate</td>
<td>This is the certificate that the ADFS server uses for signing.</td>
</tr>
<tr>
<td></td>
<td>You need to export it from the ADFS server. It can be retrieved from the properties of the Trust Policy on the ADFS Server on the Verification Certificates tab. This certificate is a self-signed certificate that you generated when following the step-by-step guide.</td>
</tr>
</tbody>
</table>

To create an identity provider:

1 Click Devices > Identity Servers > Edit > WS Federation.
2 On the WS Federation page, click New, select Identity Provider, then fill in the following fields:
   - **Name**: Specify a name that identifies the identity provider, such as Adatum.
   - **Provider ID**: Specify the federation service URI of the identity provider, for example urn:federation:adatum.
   - **Sign-on URL**: Specify the URL for logging in, such as https://adfsaccount.adatum.com/adfs/ls/.
   - **Logout URL**: Specify the URL for logging out, such as https://adfsresource.treyresearch.net/adfs/ls/
   - **Identity Provider**: Specify the path to the signing certificate of the ADFS server.
3 Confirm the certificate, then click Next.
4 For the authentication card, specify the following values:
   - **ID**: Leave this field blank.
   - **Text**: Specify a description that is available to the user when the user mouses over the card.
**Image:** Select an image, such as Customizable, or any other image.

**Show Card:** Enable this option so that the card can be presented to the user as a login option.

5 Click Finish.

6 Continue with "Modifying the User Identification Specification" on page 480.

**Modifying the User Identification Specification**

The default settings for user identification are set to do nothing. The user can authenticated but the user is not identified as a local user on the system. This is not the scenario we are configuring. We want the user to be identified on the local system. Additionally, we want to specify which contract on Access Gateway is satisfied with this identification. If a contract is not specified, Access Gateway resources must be configured to use the Any Contract option, which is not a typical configuration.

1 On the WS Federation page, click the name of the Adatum identity provider configuration.
2 Click User Identification.
3 For Satisfies contract, select Name/Password – Form.
4 Select Allow federation.
5 For the User Identification Method, select Authenticate.
6 Click OK twice.
7 Update the Identity Provider.
8 Continue with "Importing the ADFS Signing Certificate into the NIDP-Truststore" on page 480.

**Importing the ADFS Signing Certificate into the NIDP-Truststore**

Identity Server must have the trusted root of the ADFS signing certificate (or the certificate itself) listed in its trust store, as well as specified in the relationship. This is because most ADFS signing certificates have a chain, and the certificate that goes into the metadata is not the same as the trusted root of that certificate. However, because the Active Directory step-by-step guide uses self-signed certificates for signing, it is the same certificate in both the trust store and in the relationship.

To import the ADFS signing certificate’s trusted root (or the certificate itself) into the NIDP-Truststore:

1 On Identity Servers page, click Edit > Security > NIDP Trust Store.
2 Click Add.
3 Next to the Trusted Root(s) field, click the Select Trusted Root(s) icon.
   This adds the trusted root of the ADFS signing certificate to the Trust Store.
4 On the Select Trusted Roots page, select the trusted root or certificate that you want to import, then click Add Trusted Roots to Trust Stores.
   If there is no trusted root or certificate in the list, click Import. This enables you to import a trusted root or certificate.
5 Next to the Trust store(s) field, click the Select Keystore icon.
6 Select the trust stores where you want to add the trusted root or certificate, then click OK twice.
7 Update Identity Server so that changes can take effect.

This ends the basic configuration that must be done to for Identity Server to trust the ADFS server as an identity provider. However, the ADFS server needs to be configured to act as an identity server and to trust Identity Server. Continue with "Configuring the ADFS Server to Be an Identity Provider" on page 481.
**Enabling a Claim Type for a Resource Partner**

You can enable three types of claims for identity on an ADFS Federation server. They are Common Name, E-mail, and User Principal Name. The ADFS step-by-step guide specifies that you do everything with a User Principal Name, which is an Active Directory convention. Although it could be given an e-mail that looks the same, it is not. This scenario selects to use E-mail instead of Common Name because E-mail is a more common configuration.

1. In the Administrative Tools, open the **Active Directory Federation Services** tool.
2. Navigate to the **Organizational Claims** by clicking **Federation Service > Trust Policy > My Organization**.
3. Ensure that E-mail is in this list.
4. Navigate to Active Directory by clicking **Federation Services > Trust Policy > Account Stores**.
5. Enable the **E-mail Organizational Claim**:
   - 5a Right-click this claim, then select **Properties**.
   - 5b Click the **Enabled** box.
   - 5c Add the LDAP mail attribute by clicking **Settings > LDAP attribute** and selecting **mail**. This is the LDAP attribute in Active Directory where the user’s e-mail address is stored.
   - 5d Click **OK**.
6. Verify that the user you are going to use for authentication has an E-mail address in the mail attribute.
7. Continue with “Creating a Resource Partner” on page 481.

**Creating a Resource Partner**

The WS Federation protocol requires a two-way trust. The identity provider must be configured to trust the service provider, and the service provider must be configured to trust the identity provider. You have already set up the service provider to trust the identity provider (see “Creating a WS Federation Identity Provider” on page 479). This section sets up the trust so that the identity provider (the ADFS server) trusts the service provider (Identity Server).

1. In the Active Directory Federation Services console, access the Resource Partners page by clicking **Federation Services > Trust Policy > Partner Organizations**.
2. Right-click the **Partner Organizations**, then click **New > Resource Partner**.
3. Supply the following information in the wizard:
   - You do not have a resource partner policy file to import.
   - For the display name, specify the DNS name of Identity Server.
   - For the **Federation Services URI**, enter the following:

```
https://<DNS_Name>:8443/nidp/wsfed/
```
Replace <DNS_Name> with the name of your Identity Server.
This is the base URL of your Identity Server with the addition of /wsfed/ at the end.

- For the Federation Services endpoint URL, specify the following:

  https://<DNS_Name>:8443/nidp/wsfed/spassertion_consumer

  Replace <DNS_Name> with the name of your Identity Server.
  This is the base URL of your Identity Server with the addition of /wsfed/spassertion_consumer at the end.

- Select Federated Web SSO.
  Identity Server is outside of any forest, so do not select Forest Trust.
- Select the E-mail claim.
- Select the Pass all E-mail suffixes through unchanged option.

4 Enable this resource partner.
5 Finish the wizard.
6 To test the configuration, continue with "Logging In" on page 482.

Logging In

1 In a client browser, enter the base URL of your Identity Server.
2 From the list of cards, select the Adatum contract.
3 (Conditional) If you are not joined to the Adatum domain, enter a username and password in the browser pop-up. Use a name and a password that are valid in the Adatum domain.
   If you are using the client that is joined to the Adatum domain, the card uses a Kerberos ticket to authenticate to the ADFS identity provider (resource partner).
4 When you are directed back to Identity Server for Federation User Identification, log in to Identity Server with a username and password that is valid for Identity Server (the service provider).
5 Verify that you are authenticated.
6 Close the browser.
7 Log in again.
   This time you are granted access without entering credentials at the service provider.

Additional WS Federation Configuration Options

You can enable the sharing of attribute information from Identity Server to the ADFS server. This involves creating an attribute set and enabling the sending of the attributes at authentication. See "Configuring the Attributes Obtained at Authentication" on page 485.

For other options that can be modified after you have created the trusted identity server configuration, see "Modifying a WS Federation Identity Provider" on page 484.
5.2.8.3 Managing WS Federation Providers

The WS Federation page allows you to create or edit trusted identity providers and trusted service providers. When you create an identity provider configuration, you are configuring Identity Server to be a WS Federation resource partner. When you create a service provider configuration, you are configuring Identity Server to be a WS Federation account partner.

1. Click Devices > Identity Servers > Edit > WS Federation.
2. Select one of the following actions:
   - **New**: Launches the Create Trusted Identity Provider Wizard or the Create Trusted Service Provider Wizard, depending on your selection. For more information, see one of the following:
     - "Creating an Identity Provider for WS Federation" on page 483
     - "Creating a Service Provider for WS Federation" on page 484
   - **Delete**: Allows you to delete the selected identity or service provider. This action deletes the definition.
   - **Enable**: Enables the selected identity or service provider.
   - **Disable**: Disables the selected identity or service provider. When the provider is disabled, the server does not load the definition. However, the definition is not deleted.
   - **Modify**: Click the name of a provider. For configuration information, see "Modifying a WS Federation Identity Provider" on page 484 or "Modifying a WS Federation Service Provider" on page 488.
3. Click OK, then update Identity Server.

Creating an Identity Provider for WS Federation

To have a trust relationship, you need to set up the ADFS server as an identity provider for Identity Server.

1. Click Devices > Identity Servers > Edit > WS Federation.
2. On the WS Federation page, click New, select Identity Provider, then fill in the following fields:
   - **Name**: Specify a name that identifies the identity provider, such as Adatum.
   - **Provider ID**: Specify the federation service URI of the identity provider, for example urn:federation:adatum.
   - **Sign-on URL**: Specify the URL for logging in, such as https://adfsaccount.adatum.com/adfs/ls/.
   - **Logout URL**: Specify the URL for logging out, such as https://adfsresource.treyresearch.net/adfs/ls/
   - **Identity Provider**: Specify the path to the signing certificate of the ADFS server.
3. Confirm the certificate, then click Next.
4. For the authentication card, specify the following values:
   - **ID**: Leave this field blank.
   - **Text**: Specify a description that is available to the user when the user mouses over the card.
   - **Image**: Select an image, such as Customizable, or any other image.
   - **Show Card**: Enable this option so that the card can be presented to the user as a login option.
5. Click Finish.

For information about additional configuration steps required to use this identity provider, see “Using the ADFS Server as an Identity Provider for an Access Manager Protected Resource” on page 477.
Creating a Service Provider for WS Federation

To establish a trusted relationship with the ADFS server, you need to set up the ADFS server as service provider. The trusted relationship allows the service provider to trust Identity Server for user authentication credentials.

1. Click Devices > Identity Servers > Edit > WS Federation.
2. Click New > Service Provider, then fill in the following fields:
   - **Name**: Specify a name that identifies the service provider, such as TreyResearch.
   - **Provider ID**: Specify the provider ID of the ADFS server. The default value is urn:federation:treyresearch.
   - **Sign-on URL**: Specify the URL that the user is redirected to after login. The default value is https://adfsresource.treyresearch.net/adfs/ls/.
   - **Logout URL**: (Optional) Specify the URL that the user can use for logging out. The default value is https://adfsresource.treyresearch.net/adfs/ls.
   - **Service Provider**: Specify the path to the signing certificate of the ADFS server.
3. Click Next, confirm the certificate, then click Finish.

For information about additional configuration steps required to use this service provider, see “Using Identity Server as an Identity Provider for ADFS” on page 467.

5.2.8.4 Modifying a WS Federation Identity Provider

This section explains how to modify a WS Federation identity provider after it has been created. “Creating an Identity Provider for WS Federation” on page 483 explains the steps required to create an identity provider. You can modify the following configuration details:

- “Renaming the Trusted Provider” on page 484
- “Configuring the Attributes Obtained at Authentication” on page 485
- “Modifying the User Identification Method” on page 485
- “Viewing the WS Identity Provider Metadata” on page 486
- “Editing the WS Identity Provider Metadata” on page 487
- “Modifying the Authentication Card” on page 487
- “Assertion Validity Window” on page 487

Renaming the Trusted Provider

1. Click Devices > Identity Servers > Edit > WS Federation > [Provider Name].
2. In the Name field, specify a new name for the trusted provider.
3. Click OK twice, then update Identity Server.
Configuring the Attributes Obtained at Authentication

When Identity Server creates its request to send to the identity provider, it uses the attributes that you have selected. The request asks the identity provider to provide values for these attributes. You can then use these attributes to create policies, to match user accounts, or if you allow provisioning, to create a user account on the service provider.

To select the attributes:

2. (Conditional) To create an attribute set, select New Attribute Set from the Attribute Set drop-down menu.
   
   An attribute set is a group of attributes that can be exchanged with the trusted provider. For example, you can specify that the local attribute of any attribute in the Liberty profile (such as Informal Name) matches the remote attribute specified at the service provider.

   2a. Specify a set name, then click Next.
   2b. On the Define Attributes page, click New.
   2c. Select a local attribute.
   2d. Specify the name of the remote attribute.
   2e. For the namespace, specify http://schemas.xmlsoap.org/claims.
   2f. Click OK.
   2g. To add other attributes to the set, repeat Step 2b through Step 2e.
   2h. Click Finish.
3. Select an attribute set.
4. Select attributes from the Available list, and move them to the left side of the page.
5. (Conditional) If you created a new attribute set, it must be enabled for STS.
   
   For more information, see “Enabling the Attribute Set” on page 471.
6. Click OK, then update Identity Server.

Modifying the User Identification Method

The user identification method specifies how to identify the user.

2. Select the contract that can be used for authentication. Fill in the following field:

   **Satisfies contract:** Specifies the contract that is satisfied by the assertion received from the identity provider. WS Federation expects the URI name of the contract to look like a URL, so it rejects all default Access Manager contracts. You must create a contract with a URI that conforms to WS Federation requirements.

   For more information about how to create this contract, see “Creating a New Authentication Contract” on page 469.
3. Specify whether the user can associate (federate) an account at the identity provider (the ADFS server) with an account at Identity Server. Fill in the following field:

   **Allow federation:** Indicates whether account federation is allowed. Enabling this option assumes that a user account exists at the provider or that a method is provided to create an account that can be associated with the user on subsequent logins. If you do not use this feature, authentication is permitted but is not associated with a particular user account.
4 Select one of the following methods for user identification:

- **Do nothing**: Allows the user to authenticate without creating an association with a user account. This option cannot be used when federation is enabled.

- **Authenticate**: Allows the user to authenticate using a local account.
  - **Allow ‘Provisioning’**: Provides a button that the user can click to create an account when the authentication credentials do not match an existing account.

- **Provision account**: Allows a new account to be created for the user when the authenticating credentials do not match an existing user. When federation is enabled, the new account is associated with the user and used with subsequent logins. When federation is not enabled, a new account is created every time the user logs in.
  
  This option requires that you specify a user provisioning method.

- **Attribute matching**: Enables account matching. The service provider can uniquely identify a user in its directory by obtaining specific user attributes sent by the trusted identity provider. This option requires that you specify a user matching method.
  - **Prompt for password on successful match**: Specifies whether to prompt the user for a password when the user’s name is matched to an account, to ensure that the account matches.

5 (Conditional) If you selected a method that requires provisioning (**Allow ‘Provisioning’** or **Provision account**), click the **Provision settings** icon and create a provisioning method.

For configuration information, see “Defining the User Provisioning Method” on page 401.

6 (Conditional) If you selected **Attribute matching** as the identification method, click the **Attribute Matching settings** icon and create a matching method.

For configuration information, see “Configuring the Attribute Matching Method for Liberty or SAML 2.0” on page 399.

7 Click **OK** twice, then update Identity Server.

**Viewing the WS Identity Provider Metadata**

You can view the metadata of the ADFS server, edit it, and view information about the signing certificate.

1 Click **Devices > Identity Servers > Edit > WS Federation > [Identity Provider] > Metadata**.

   The following values need to be configured accurately:

   - **ID**: This is provider ID. The ADFS server provides this value to the service provider in the realm parameter in the assertion. You set this value in the **Properties of the Trust Policy** on the ADFS server. The label is **Federation Service URI**. The default value is **urn:federation:adatum**.

   - **sloUrl**: This is the sign-on URL. This URL is listed in the **Properties of the Trust Policy** on the ADFS server. The label is **Federation Services endpoint URL**.

   - **ssoUrl**: This is the logout URL. The default value is **https://adfsresource.treyresearch.net/adfs/ls/_.** The ADFS server makes no distinction between the login URL and the logout URL.

   If the values do not match the ADFS values, you need to edit the metadata.

2 To edit the metadata, click **Edit**. For configuration information, see “Editing the WS Identity Provider Metadata” on page 487.

3 To view information about the signing certificate, click **Certificates**.

4 Click **OK** twice.
Editing the WS Identity Provider Metadata

You can view and edit the metadata of the ADFS server.

2. Configure the following fields:
   - **Provider ID:** This is the provider ID. The ADFS server provides this value to the service provider in the realm parameter in the assertion. You set this value in the Properties of the Trust Policy on the ADFS server. The label is Federation Service URI. The default value is urn:federation:adatum.
   - **Sign-on URL:** This is the sloUrl. This URL is listed in the Properties of the Trust Policy on the ADFS server. The label is Federation Services endpoint URL.
   - **Logout URL:** This is the ssoUrl. The default value is https://adfsresource.treyresearch.net/adfs/ls/. The ADFS server makes no distinction between the login URL and the logout URL.

3. If you need to import a new signing certificate, click the Browse button and follow the prompts.
4. To view information about the signing certificate, click Certificates.
5. Click OK twice, then update Identity Server.

Modifying the Authentication Card

When you create an identity provider, you must also configure an authentication card. After it is created, you can modify it.

2. Modify the values in one or more of the following fields:
   - **ID:** If you have need to reference this card outside of Administration Console, specify an alphanumeric value here. If you do not assign a value, Identity Server creates one for its internal use. The internal value is not persistent. Whenever Identity Server is rebooted, the value can change. A specified value is persistent.
   - **Text:** Specify the text that is displayed on the card. This value, in combination with the image, indicates to the users the provider they are logging into.
   - **Image:** Specify the image to be displayed on the card. Select the image from the drop-down list. To add an image to the list, click <Select local image>.
   - **Show Card:** Determine whether the card is shown to the user, which allows the user to select and use the card for authentication. If this option is not selected, the card is only used when a service provider makes a request for the card.
   - **Passive Authentication Only:** Select this option if you do not want Identity Server to prompt the user for credentials. If the user has already authenticated and the credentials satisfy the requirements of this contract, the user is passively authenticated. If the user’s credentials do not satisfy the requirements of this contract, the user is denied access.

3. Click OK twice, then update Identity Server.

Assertion Validity Window

You can configure the assertion validity time for WS Federation Provider (SP) to accommodate clock skew between a service provider and a SAML identity provider.

To set the assertion validity for WSFed configuration, go to Devices > Identity Servers > Edit > Options, click new and configure the following property:
**Property Type:** WSFED ASSERTION VALIDITY

**Property Value:** Specify the assertion validity time in second

Restart Tomcat by using the following command:

```
/etc/init.d/novell-idp restart
```

### 5.2.8.5 Modifying a WS Federation Service Provider

This section explains how to modify a WS Federation service provider after it has been created. “Creating a Service Provider for WS Federation” on page 484 explains the steps required to create the service provider. You can modify the following configuration details:

- “Renaming the Service Provider” on page 488
- “Configuring the Attributes Sent with Authentication” on page 488
- “Modifying the Authentication Response” on page 489
- “Viewing the WS Service Provider Metadata” on page 489
- “Editing the WS Service Provider Metadata” on page 490

#### Renaming the Service Provider

1. Click Devices > Identity Servers > Edit > WS Federation > [Service Provider].
2. In the Name field, specify a new name for the service provider.
3. Click OK twice, then update Identity Server.

#### Configuring the Attributes Sent with Authentication

When Identity Server creates its response for the service provider, it uses the attributes listed on the Attributes page. The response needs to contain the attributes that the service provider requires. If you do not own the service provider, you need to contact the administrator of the service provider and negotiate which attributes you need to send in the response. The service provider can then use these attributes to identify the user, to create policies, to match user accounts, or if it allows provisioning, to create a user account on the service provider.

2. (Conditional) To create an attribute set, select New Attribute Set from the Attribute Set drop-down menu.
   
   An attribute set is a group of attributes that can be exchanged with the trusted provider. For example, you can specify that the local attribute of any attribute in the Liberty profile (such as Informal Name) matches the remote attribute specified at the service provider.
   
   2a. Specify a set name, then click Next.
   2b. On the Define Attributes page, click New.
   2c. Select a local attribute.
   2d. Specify the name of the remote attribute.
   2e. For the namespace, specify `http://schemas.xmlsoap.org/claims`.
   2f. Click OK.
   2g. To add other attributes to the set, repeat Step 2b through Step 2e.
   2h. Click Finish.
3. Select an attribute set.
4 Select attributes that you want to send from the Available list, and move them to the left side of the page.

5 (Conditional) If you created a new attribute set, it must be enabled for STS. For more information, see “Enabling the Attribute Set” on page 471.

6 Click OK, then update Identity Server.

Modifying the Authentication Response

When Identity Server sends its response to the service provider, the response can contain an identifier for the user. If you do not own the service provider, you need to contact the administrator of the service provider and negotiate whether the user needs to be identified and how to do the identification. If the service provider is going to use an attribute for user identification, that attribute needs to be in the attributes sent with authentication. See “Configuring the Attributes Sent with Authentication” on page 488.

To select the user identification method to send in the response:


2 For the format, select one of the following:
   - Unspecified: Specifies that the SAML assertion contains an unspecified name identifier.
   - E-mail: Specifies that the SAML assertion contains the user’s e-mail address for the name identifier.
   - X509: Specifies that the SAML assertion contains an X.509 certificate for the name identifier.

3 For the value, select an attribute that matches the format. For the Unspecified format, select the attribute that the service provider expects.
   - The only values available are from the attribute set that you have created for WS Federation.

4 To specify that this Identity Server must authenticate the user, disable the Use proxied requests option. When the option is disabled and Identity Server cannot authenticate the user, the user is denied access.
   - When this option is enabled, Identity Server checks to see if other identity providers can satisfy the request. If one or more can, the user is allowed to select which identity provider performs the authentication. If a proxied identity provider performs the authentication, it sends the response to Identity Server. Identity Server then sends the response to the service provider.

5 Click OK twice, then update Identity Server.

Viewing the WS Service Provider Metadata

You can view the metadata of the ADFS server, edit it, and view information about the signing certificate.

1 Click Devices > Identity Servers > Edit > WS Federation > [Service Provider] > Metadata.

   The following values need to be configured accurately:
   - ID: This is provider ID. This is the value that the ADFS server provides to Identity Server in the realm parameter of the query string. This value is specified in the Properties of the Trust Policy page on the ADFS server. The parameter label is Federation Service URI. The default value is urn:federation:treyresearch.
   - sloUrl: This is the sign-on URL. This URL is listed in the Properties of the Trust Policy on the ADFS server. The label is Federation Services endpoint URL. The default value is https://adfsresource.treyresearch.net/adfs/ls/.
**ssoUrl:** This is the logout URL. The default value is `https://adfsresource.treyresearch.net/adfs/ls/`. The ADFS server makes no distinction between the login URL and the logout URL.

If the values do not match the ADFS values, you need to edit the metadata.

2. To edit the metadata, click **Edit**. For configuration information, see “Editing the WS Service Provider Metadata” on page 490.

3. To view information about the signing certificate, click **Certificates**.

4. Click **OK** twice.

**Editing the WS Service Provider Metadata**

You can view the metadata of the ADFS server and edit metadata.

1. Click **Devices > Identity Servers > Edit > WS Federation > [Service Provider] > Metadata > Edit.**

2. Configure the following fields:

   **Provider ID:** This is provider ID. This is the value that the ADFS server provides to Identity Server in the realm parameter of the query string. This value is specified in the **Properties** of the **Trust Policy** page on the ADFS server. The parameter label is **Federation Service URI**. The default value is `urn:federation:treyresearch`.

   **Sign-on URL:** This is the sloUrl. This URL is listed in the **Properties** of the **Trust Policy** on the ADFS server. The label is **Federation Services endpoint URL**. The default value is `https://adfsresource.treyresearch.net/adfs/ls/`.

   **Logout URL:** This is the ssoUrl. The default value is `https://adfsresource.treyresearch.net/adfs/ls/`. The ADFS server makes no distinction between the login URL and the logout URL.

3. If you need to import a new signing certificate, click **Browse** and follow the prompts.

4. To view information about the signing certificate, click **Certificates**.

5. Click **OK** twice, then update Identity Server.

5.2.8.6 **Configuring STS Attribute Sets**

Use the Attribute Set page to select the attribute set or sets that contain attributes the STS can provide to a relying party. An attribute set must be created before you can select it.

When creating an attribute set for the STS, you need to know which protocol you are going to use for the attribute set and select the attributes and namespace appropriate for the protocol.

1. Click **Devices > Identity Servers > Edit > WS Federation > STS Attribute Sets.**

2. To select a set, move the set from the **Available attribute sets list** to the **Attribute sets list**.

   **WS Federation:** There is no default attribute set for WS Federation. For information about how to create the set, see “Configuring the Attributes Obtained at Authentication” on page 485 and “Configuring the Attributes Sent with Authentication” on page 488.

3. Click **OK**, then update Identity Server if you have changed the configuration.
5.2.8.7 Configuring STS Authentication Methods

Use the Authentication Methods page to select the methods that can be used for authentication at the STS. The methods determine the credentials the user must supply for authentication and the user store that is used to verify the credentials. The WS Federation protocol does not use methods for authentication.

2. To enable a method, move the method from the Available methods list to the Methods list.
   All the methods that you have defined for Identity Server appear in the Available methods list, but the only default method that works is the Secure Name/Password-Form method. It has been extended so that it knows how to extract name and password information from a managed card that is not backed by a personal card. You can use the Secure Name/Password-Form class to create additional methods for specific user stores.
   You can also create a custom method, if required. For information, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).
3. Click OK, then update Identity Server if you have changed the configuration.

5.2.8.8 Configuring STS Authentication Request

Use the Authentication Request page to select the format for the name identifier that is returned in the SAML assertion. The selected attribute sets determine the values that are available for the formats. If you select a format but do not specify a value, a unique value is generated.

2. Select one of the following:
   - **None**: Indicates that the SAML assertion does not contain a name identifier.
   - **Unspecified**: Specifies that the SAML assertion contains an unspecified name identifier. For the value, select the attribute that the relying party and the identity provider have agreed to use.
   - **E-mail**: Specifies that the SAML assertion contains the user’s e-mail address for the name identifier. For the value, select an e-mail attribute.
   - **X509**: Specifies that the SAML assertion contains an X.509 certificate for the name identifier. For the value, select an X.509 attribute.
3. Click OK, then update Identity Server if you have changed the configuration.

5.2.9 Configuring WS-Trust Security Token Service

This section describes WS-Trust Security Token Service (WS-Trust STS) and how to configure it. Topics include:

- Section 5.2.9.1, “Overview,” on page 492
- Section 5.2.9.2, “Benefits,” on page 494
- Section 5.2.9.3, “Scenarios,” on page 494
- Section 5.2.9.4, “Configuring WS-Trust STS,” on page 500
- Section 5.2.9.5, “Configuring Service Providers,” on page 502
- Section 5.2.9.6, “Configuring Web Service Clients,” on page 507
- Section 5.2.9.7, “Renew Token - Sample Request and Response,” on page 508
5.2.9.1 Overview

Web services are software applications that interact over network by using the Hyper Text Transfer Protocol (HTTP). World Wide Web Consortium (W3C) describes Web services as a standard means of interoperating between software applications running on a variety of platforms and frameworks. A Web service provides a fine-grained service to its client.

Web services use network for communication and data exchange spanning from protected network (intranet) to unprotected network (internet). This demands for security requirements such as client authentication, access control, data integrity, and confidentiality.

You can secure Web services and protect your information against authentication attacks and unauthorized access by using security tokens. A security token contains a set of claims made by a client that includes details such as a user name, password, identity, key, and certificate.

Access Manager addresses the need for a secure token mechanism through WS-Trust STS that is based on the WS-Trust protocol. WS-Trust is built on top of the WS-Security specification. It enables Web applications to construct trusted SOAP message exchanges.

WS-Trust STS provides secure communication and integration between services. It issues and validates security tokens to establish trust relationships between a Web service client and a Web service provider. If the requestor (Web service client) does not have the necessary token to provide required claims to a service, it contacts WS-Trust STS and requests the needed tokens with proper claims. WS-Trust STS may in turn require its own set of claims for authenticating and authorizing the request for security tokens.

How WS-Trust STS Works

WS-Trust STS allows secure identity propagation and token exchange between Web services. It provides a standard framework for requesting and returning security tokens by using Request Security Token (RST) and Request Security Token Response (RSTR) messages. RST provides the means for requesting a security token from WS-Trust STS. RSTR contains the requested token, claims, and other related information.

The Web service client provides its credentials to WS-Trust STS and gets a SAML token from WS-Trust STS. A trust is established between the Web service provider and WS-Trust STS. The Web service client presents the token from WS-Trust STS to the Web service provider. The Web service provider validates if the token has been issued from WS-Trust STS and grants access to the required service.
The following diagram illustrates an example of how WS-Trust STS facilitates a secure communication between a Web service client and a Web service provider through security tokens:

![Diagram of WS-Trust STS](image)

**WS-Trust STS** is designed to interoperate with many different Web Service environments and supports SOAP and WS-Trust specifications.

Web services must implement the protocol defined in the WS-Trust 1.3 or 1.4 specification by making assertions based on evidence that it trusts, to whoever trusts it, or to specific recipients. For more information about WS-Trust specification, see [WS-Trust 1.3 Specification](#) and [WS-Trust 1.4 Specification](#).

The following table lists supported SOAP and WS-Trust versions and corresponding namespaces:

<table>
<thead>
<tr>
<th>Specification</th>
<th>Version</th>
<th>Namespace</th>
</tr>
</thead>
<tbody>
<tr>
<td>Soap</td>
<td>1.1</td>
<td><a href="http://schemas.xmlsoap.org/soap/envelope/">http://schemas.xmlsoap.org/soap/envelope/</a></td>
</tr>
<tr>
<td></td>
<td>1.2</td>
<td><a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a></td>
</tr>
<tr>
<td>WS-Trust</td>
<td>1.3</td>
<td><a href="http://docs.oasis-open.org/ws-sx/ws-trust/200512">http://docs.oasis-open.org/ws-sx/ws-trust/200512</a></td>
</tr>
<tr>
<td></td>
<td>1.4</td>
<td><a href="http://docs.oasis-open.org/ws-sx/ws-trust/200802">http://docs.oasis-open.org/ws-sx/ws-trust/200802</a></td>
</tr>
</tbody>
</table>

**NOTE**

- WS-Trust STS supports SAML tokens in addition to username tokens.

WS-Trust STS can issue both SAML 1.1 and SAML 2.0 based tokens.
• WS-Trust STS supports issuing, validating and renewing tokens. This release does not support canceling tokens.
• Web service clients and Web service providers must be in the same domain. This release does not support multiple domains.

5.2.9.2 Benefits

WS-Trust STS offers the following benefits:
• Enables the secure exchange of SOAP messages among Web services.
• Facilitates identity delegation (through ActAs) and impersonation (through OnBehalfOf) where an authenticated user is granted access to downstream Web services.
• Reduces complexity for the Web service consumer as the Web service consumer does not need token specific knowledge.

5.2.9.3 Scenarios

This section describes the basic scenarios supported by WS-Trust STS.

• “Scenario 1: Web Service Client Communicating with Token Protected Web Service Provider” on page 494
• “Scenario 2: Web Single Sign-On and STS” on page 495
• “Scenario 3: Identity Delegation and Impersonation” on page 496
• “Renewing a Token” on page 498
• “Authentication by Using SAML Tokens” on page 499

Scenario 1: Web Service Client Communicating with Token Protected Web Service Provider

In this scenario, a Web service client situated outside the enterprise tries to access a Web service provider hosted inside the enterprise.

This process consists of requesting a token by means of the request-response message pairs of a Request Security Token (RST) and a Request Security Token Response (RSTR). The tokens are included in SOAP messages.
The following diagram illustrates this scenario:

1. A Web service client, which is outside the enterprise, sends its credentials to WS-Trust STS and request for the security token through RST.
2. WS-Trust STS verifies the client’s credentials and then issues a security token (SAML token) through RSTR.
   The Web service client caches the security token and then uses it in multiple requests to the Web service provider.
3. The Web service client presents the token to the Web service provider.
4. The Web service provider validates the token and sends the response to the Web service client.

**Scenario 2: Web Single Sign-On and STS**

In this scenario, a Web service client that resides as part of a Web application within an enterprise tries to access services from other Web service providers of the same enterprise. A user named Alice accesses to the Web application through a browser and needs single sign-on access to other applications.
1. A Web application sends a single sign-on authentication request to WS-Trust STS on behalf of Alice. The Web application is residing within the enterprise.

2. The Web application passes the credentials corresponding to the single sign-on session to the Web service client.

3. The Web service client requests for security token by using the passed on credentials.

4. WS-Trust STS verifies the credentials. After checking the credentials, it verifies if the Web service provider for which the token has been requested for is a trusted service provider. Then it issues a security token consumable by the service provider.

5. The Web service client residing within the Web application presents the token to the Web service provider. The Web service client caches the security token and then uses it in multiple requests to the Web service provider.

6. The Web service provider validates the token and sends the response to the Web service client residing within the Web application.

The tokens are included in SOAP messages.

**Scenario 3: Identity Delegation and Impersonation**

In this scenario, a Web service provider requests services from other Web service providers.

The following use-case explains this scenario:

There are two Web service providers called Service1 and Service2 providing some fine-grained service. Both Service1 and Service2 require authentication and trust WS-Trust STS. A Web service client tries to access Service1 and requests for the service. To fulfill the request, Service1 needs to access the service of Service2. Service1 can request a token from WS-Trust STS to access Service2. This exchange of information happens through security tokens embedded in SOAP messages.

This chaining of service request can be any number of nodes based on the implementation.
Requests for tokens can be made in two ways:

- **By using the ActAs element (Identity Delegation):** The ActAs element is used for delegated requests. Delegated scenarios require composite delegation, where the final recipient of the issued token can see the entire delegation chain. ActAs is commonly used in multi-tiered systems to authenticate and pass information about identities between the tiers without having to pass this information at the application or business logic layer.

- **By using the OnBehalfOf element (Impersonation):** The OnBehalfOf element is used for proxy requests. OnBehalfOf is used when the identity of only the original client is important. The final recipient of the issued token can only see claims about the original client. The information about intermediaries is not preserved.

The following diagram illustrates the workflow:

The following workflow explains the ActAs scenario:

1. The Web service client sends a RST to WS-Trust STS for its authentication.
2. WS-Trust STS returns a SAML token to the client in the RSTR. Let us refer to this SAML token as token1. The subject of this SAML token is client.
3. The client forwards the token1 with its SOAP request to Service1.
4. Then Service1 sends a RST to WS-Trust STS again authenticating itself to the STS. This time the RST contains the token1 inside the ActAs element.
5. WS-Trust STS issues a SAML token (referred to as token2). The subject of this token is Service1. It contains an attribute called ActAs with the value of client.
6. Service1 sends token2 to Service2. By processing token2, Service2 understands that the original requester is client and Service1 is acting as the original requester.
7. Service2 sends the response to Service1.
8. Service1 forwards the response to the client.
The following workflow explains the OnBehalfOf scenario:

1. The Web service client sends a RST to WS-Trust STS for its authentication.
2. WS-Trust STS returns a SAML token to the client in the RSTR. Let us refer to this SAML token as token1. The subject of this SAML token is client.
3. The client forwards the token1 with its SOAP request to Service1.
4. Then Service1 sends a RST to WS-Trust STS again authenticating itself to the STS. This time the RST contains the token1 inside the OnBehalfOf element.
5. WS-Trust STS issues a SAML token (referred to as token2). The subject of this token is client.
6. Service1 sends token2 to Service2. Service2 understands that the original requester is client but cannot see the details of Service1.
7. Service2 sends the response to Service1.
8. Service1 forwards the response to the client.

**IMPORTANT:** Starting from Access Manager 4.0 SP1 release, the default binding supported is SOAP 1.2. If you want to use SOAP 1.1 instead, perform the following steps on all instances of Identity Server:

1. Traverse to the /opt/novell/nam/idp/webapps/nidp/WEB-INF folder and edit the sun-jaxws.xml file.
2. Remove all instances of bindings from the endpoints in the sun-jaxws.xml file and save the changes. A binding is represented by the following line in this file:
   
   binding="http://java.sun.com/xml/ns/jaxws/2003/05/soap/bindings/HTTP/
   

**Renewing a Token**

The renew token operation helps in renewing a token issued by WS-Trust Security Token Service(STS). Only a token that is issued by an Identity Server that is part of the same cluster can be renewed. Tokens issued by a different Identity Server in a different cluster or by a third-party STS cannot be renewed.

Each token generated by the STS is valid for the duration specified using the Token Lifetime setting. A token can be renewed only before lapse of the expiry period. For example: if the Token Lifetime has been specified as 180 seconds, token renew operation will succeed only till the 179th second.

**Workflow:**
1. The Web service client sends a RST to WS-Trust STS for its authentication and WS-Trust STS returns a SAML token to the client in the RSTR.

2. Web Service Provider uses the SAML token from STS and requests access to resources hosted on the Web Service provider.

3. The Web Service Provider validates the SAML token and provides access to the resources.

4. When the token is nearing expiry, the Web service client sends a RST to WS-Trust STS to renew the token previously issued. The STS renews the validity of the token and sends a renewed token to the Web Service client for any further requests.

5. Web Service client uses the renewed SAML token from STS and requests access to resources hosted on the Web Service provider.

**Authentication by Using SAML Tokens**

WS-Trust STS accepts SAML tokens issued by a third-party STS for authentication. The tokens can be in SAML 1.1 or SAML 2.0.
Workflow:

1. The Web service client sends a RST to third-party STS. The third-party STS returns a SAML token to the client in the RSTR.
2. The Web Service client uses SAML token issued by the third-party STS and requests WS-Trust STS to grant access to resources hosted on the Web Service Provider.
3. WS-Trust STS authenticates the client using the third-party SAML token and issues a new SAML token.
4. The Web Service client uses this new SAML token to get access to resources hosted on the Web Service Provider.
5. The Web Service Provider validates the SAML token with WS-Trust STS.
6. The Web Service client can access the resources on the Web Service Provider if the SAML token is valid.

5.2.9.4 Configuring WS-Trust STS

Before a Web service can invoke operations on STS, you must enable WS-Trust and configure it in Access Manager. This section discusses the following topics:

- "Enabling WS-Trust" on page 501
- "Configuring Access Manager for WS-Trust STS" on page 501
- "Viewing STS Service Details" on page 501
Enabling WS-Trust

Access Manager ships with only SAML 1.1, Liberty, and SAML 2.0 enabled by default. To use the WS-Trust protocol, you must enable it on Identity Server.

To enable WS-Trust, perform the following steps:

1. Click **Devices > Identity Servers > Edit**.
2. In the **Enabled Protocols** section, select **WS-Trust**.
3. Click **OK**.
4. Update Identity Server.

Configuring Access Manager for WS-Trust STS

To configure WS-Trust STS, perform the following steps:

1. Click **Devices > Identity Servers > Edit**.
2. Select **WS-Trust > STS Configuration**.
3. Specify the following details:
   - **Token Lifetime**: Specify the duration in seconds for which the token is valid. The default value is 360 seconds.
   - **Token Issuer**: Specify the name of the issuer of the authentication token.
   - **Authentication Methods**: Select methods that can be used for authentication at STS for WS-Trust.
     Select and move methods from **Available Authentication Methods** to **Selected Authentication Methods**.
   - **Tokens**: Select the type of tokens that can be issued for authentication at STS for WS-Trust. SAML 1.1 and SAML 2.0 tokens are supported. If you select both token types, the token type configured in the service provider is returned.
4. Click **Apply**.

Viewing STS Service Details

EndPoint URL is the SOAP endpoint of STS. The Web service client and Web service provider must be configured to these endpoints.

In Administration Console Dashboard under **Devices > Identity Servers > Edit > WS-Trust > EndPoint Summary**, you can view the following STS EndPoint details:

- **Service Name**: The name of the STS service endpoint that is configured in the Web service client.
- **Port Name**: The port that STS implements. This is configured in the Web service client.
- **MEX EndPoint URI**: The MetadataExchange endpoint of STS.
- **WSDL of STS Username authentication**: WSDL location for username authentication. This file is used by applications that use the token service with username authentication.
- **WSDL of STS SAML authentication**: WSDL location for SAML. This file is used by applications that use the token service with SAML authentication.
5.2.9.5 Configuring Service Providers

You need to configure Web service providers to accept tokens issued by an STS. The Web service provider uses an IssuedToken policy for the same. The IssuedToken policy is wrapped in WSDL. For a sample policy, see “A Sample WS-Policy for Web Service Providers” on page 506.

Configuring a service provider includes adding a service provider domain and then adding a service provider in a configured domain. Access Manager also allows you to modify and delete configured service provider domains and service providers.

- “Adding a Domain and Assigning WS-Trust Operations” on page 502
- “Adding Web Service Providers” on page 502
- “Managing Service Provider Domains” on page 504
- “Managing Service Providers” on page 505
- “Modifying Service Providers” on page 505
- “A Sample WS-Policy for Web Service Providers” on page 506

Adding a Domain and Assigning WS-Trust Operations

1. Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domain.
2. Click New > General to create a general domain. Selecting New > Office 365 creates an Office 365 domain that can be configured for active authentication. For details on creating an Office 365 domain, see “Configuring an Office 365 Domain By Using WS-Trust Protocol” on page 555.
3. Specify the following details:
   - **Name**: Specify a name for the domain.
   - **WS-Trust Operations**: Select operations in Available operations that WS-Trust STS performs for tokens and move these to Selected operations.
     The available operations are Issue, Validate, OnBehalfOf, ActAs and Renew.
     If you select OnBehalfOf and Act As the Available operations, additional configuration is required. For more information, see “Adding Policy for ActAs and OnBehalfOf” on page 503.
4. Click Finish. Continue with creation of a trusted Service Provider. For more information, see Adding Web Service Providers.

Adding Web Service Providers

This section discusses how to add service providers for WS-Trust STS. Adding a service provider includes adding service provider EndPoint URL, configuring trust certificates, selecting token types, and customizing attributes.

Perform the following steps:

1. Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domain.
2. Select the domain under which you want to configure a service provider.
3. Click Service Provider > New.
4. Specify the following details:
   - **Name**: Specify a name for the service provider.
   - **Endpoint**: Specify the SOAP endpoint location at the service provider to which SOAP messages are sent.
   - **Token Type**: Select the type of token that the service provider will accept or validate.
Encrypt Proof Token Using: Import a certificate from the file system or paste content of the certificate here. This certificate must be configured in the Web service provider and is used for creating the subject confirmation in the SAML token.

5 Click Finish.

6 Select the Service Provider to define the Attributes and Authentication Response. For more information, see "Modifying Service Providers" on page 505

Enabling Delegation and Impersonation

By default, ActAs and OnBehalfOf requests are disabled in the Access Manager Identity Server. To enable delegation and impersonation, you must enable ActAs and OnBehalfOf by performing the following steps:

1 Go to WS-Trust > Service Provider Domain.
2 Click the service provider domain name for which you want to enable ActAs and OnBehalfOf operations.
3 Under WS Trust Operations, select ActAs and OnBehalfOf in Available operations and move to Selected operations.
4 Click OK.

These operations are restricted to a set of privileged user accounts defined in the policy. You need to configure the allowed user accounts, who can perform ActAs and OnBehalfOf operations, in the nidconfig.properties file of each Identity Server installation. For more information, see “Adding Policy for ActAs and OnBehalfOf” on page 503

Configuring ActAs to Lookup Multiple User Stores

For ActAs, the username on behalf of whom a client requests for a token must be present in the user store (eDirectory). The default implementation checks for this user only in the default user store. If you want to search the user in a different user store, perform the following steps:

1 Click Devices > Identity Server > Edit > Local > Classes.
2 Click New and specify the following details:
   Display name: Specify Find_By_Username
   Java class: Select Other
   Java class path: Specify com.novell.nidp.authentication.local.UserNameAuthenticationClass
3 Click Next > Finish.
4 Go to Local > Methods.
5 Click New and select the Find_By_Username class.
   For more information about how to configure an authentication method, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.

Adding Policy for ActAs and OnBehalfOf

You must add an policy to allow ActAs and OnBehalfOf operations. The default policy looks for a configuration of allowed user names from the nidpconfig.properties file. Allowed usernames are the user accounts that the intermediate Web service provider uses to authenticate with STS when
sending a request with ActAs or OnBehalfOf elements. For ActAs and OnBehalfOf, you must specify multiple username values separated with comma. If no value is specified, ActAs and OnBehalfOf are denied.

1 Click Devices > Identity Servers > Edit > Options.
2 Click New.
3 Set the following properties based on your requirement:

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED ACTAS VALUES</td>
<td>Specify the user names who can perform ACTas operations. Allowed user names are the user accounts that the intermediate web service provider uses to authenticate with STS when sending a request with Actas elements.</td>
</tr>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED ONBEHALF VALUES</td>
<td>Specify the user names who can perform OnBehalfOf operations. Allowed user names are the user accounts that the intermediate web service provider uses to authenticate with STS when sending a request with OnBehalfOf elements.</td>
</tr>
<tr>
<td>WSTRUST AUTHORIZATION ALLOWED VALUES</td>
<td>Specify the user names who can perform both Actas and onBehalfOf operations.</td>
</tr>
</tbody>
</table>

4 Click OK > Apply.
5 Restart Identity Server by running the following command:

    /etc/init.d/novell-idp restart

Managing Service Provider Domains

The WS-Trust page allows you to create, modify, and delete service provider domains. This page lists all configured service provider domains.

1 Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domains.

The list of all configured service provider domains is displayed.

2 Select one of the following actions:
   - **New**: Select New > General to create a general domain. Selecting New > Office 365 creates a domain that can be configured for single sign-on to Office 365 services. For more on creating Office 365 domain, see “Adding a Domain and Assigning WS-Trust Operations” on page 502.
   - **Delete**: Deletes the selected service provider domain.

3 Click OK, then update Identity Server.
4 Select the Service Provider domain to modify the following details:
   - **Name**: Modify the name of the service provider domain.
   - **WS Trust Operations**: Modify the list of selected WS-Trust operations.

5 Click OK.
Managing Service Providers

Access Manager allows you to create, modify, and delete trusted service providers. The Service Providers page lists all configured service provider.

1 Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domains > [name of the service provider domain] > Service Providers.

The list of all configured service provider for the selected domain is displayed.

2 Select one of the following actions:
   - **New**: Launches the Create a Service Provider page. For more information, see "Adding Web Service Providers" on page 502.
   - **Delete**: Deletes the selected service providers.

3 Click OK.

Modifying Service Providers

1 Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domains > [name of the service provider domain] > Service Providers.

The list of all configured service provider for the selected domain is displayed.

2 Click the name of the service provider you want to edit.

   Configuration > Trust
   
   You can modify the following details:
   - Name
   - Endpoint
   - Token Type
   - Encrypt Proof Token Using

   For more information about these fields, see "Adding Web Service Providers" on page 502.

   Configuration > Attributes
   
   Select the Attribute Set and move attributes from the Available list to the Send with Authentication pane. This indicates the attributes that you want sent in an assertion to the service provider.

   Configuration > Authentication Response
   
   Specify a value for the name identifier.
   - The persistent and transient formats are generated automatically. For the others, you can select an attribute. The available attributes depend upon the attributes that you have selected to send with authentication (see Configuring the Attributes Obtained at Authentication). If you do not select a value for the E-mail, Kerberos, X509, or Unspecified format, a unique value is automatically generated.

   **IMPORTANT**: In Access Manager 4.0 SP1, the SAML tokens with Name Identifier value other than username do not support ActAs, OnBehalfOf and SAML authentication operations.

3 Click **Apply**.
A Sample WS-Policy for Web Service Providers

You must modify WSDL of a Web service provider to include IssuedTokenPolicy that points to Access Manager WS-Trust STS. To modify WSDL, you need to add a WS-Policy with IssuedTokenElement. The following is a sample configuration:

```xml
<wsp:Policy wsu:Id="<policy_name>">
  <wsp:ExactlyOne>
    <wsp:All>
      <wsaws:UsingAddressing xmlns:wsaws="http://www.w3.org/2006/05/addressing/wsd1" wsp:Optional="false"/>
      <sc:KeyStore wsp:visibility="private" alias="xws-security-server"/>
      <sp:SymmetricBinding>
        <wsp:Policy>
          <sp:ProtectionToken>
            <wsp:Policy>
              <sp:IssuedToken sp:IncludeToken="http://schemas.xmlsoap.org/ws/2005/07/securitypolicy/IncludeToken/AlwaysToRecipient">
                <sp:RequestSecurityTokenTemplate>
                  <t:TokenType>http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.1#SAMLV1.1</t:TokenType>
                  <t:KeyType>http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey</t:KeyType>
                  <t:KeySize>256</t:KeySize>
                </sp:RequestSecurityTokenTemplate>
                <wsp:Policy>
                  <sp:RequireInternalReference/>
                </wsp:Policy>
              </sp:Issuer>
            </sp:IssuedToken>
          </wsp:Policy>
        </sp:SymmetricBinding>
      </wsp:Policy>
    </wsp:All>
  </wsp:ExactlyOne>
</wsp:Policy>
```
<sp:Policy>
  <sp:MustSupportRefIssuerSerial/>
  <sp:MustSupportRefThumbprint/>
  <sp:MustSupportRefEncryptedKey/>
</sp:Policy>
</sp:Wss11>
<sp:Trust10>
  <sp:Policy>
    <sp:MustSupportIssuedTokens/>
    <sp:RequireClientEntropy/>
    <sp:RequireServerEntropy/>
  </sp:Policy>
</sp:Trust10>
</wsp:All>
</wsp:ExactlyOne>
</wsp:Policy>

5.2.9.6 Configuring Web Service Clients

Access Manager WS-Trust STS can be accessed from various Web service clients. The following sections provide example configurations and sample code snippets for CXF-based and Metro-based Web service clients:

- "Configuring Apache CXF-based Web Service Clients" on page 507
- "Configuring Metro-based Web Service Clients" on page 508

Configuring Apache CXF-based Web Service Clients

You can configure CXF-based Web service clients either programmatically or through XML configuration files. Below is a sample XML configuration. Add the following features to cxf.xml under the top-level beans section:

```xml
<jaxws:client name="{your webservice target namespace}WebServicePort" createdFromAPI="true">
  <jaxws:properties>
    <entry key="ws-security.sts.client">
      <bean class="org.apache.cxf.ws.security.trust.STSClient">
        <constructor-arg ref="cxf" />
        <property name="wsdlLocation" value="https://<your idp base url>nidp/wstrust/sts?wsdl" />
        <property name="serviceName" value="{http://www.netiq.com/nam-4-0/wstrust}SecurityTokenService" />
        <property name="endpointName" value="{http://www.netiq.com/nam-4-0/wstrust}STS_Port" />
        <property name="wspNamespace" value="http://schemas.xmlsoap.org/ws/2004/09/policy" />
      </bean>
    </entry>
  </jaxws:properties>
</jaxws:client>
```
You can configure ws-security.callback-handler to provide username and password programmatically. You can also configure global sts-client in cxf.xml that can be used across multiple Web services.

For more information about configuring Apache CXF-based Web service clients, see Apache CFX (http://cxf.apache.org/docs/ws-trust.html).

## Configuring Metro-based Web Service Clients

You can configure Metro-based clients through NetBeans (an integrated development environment).

1. Create a Web service client project in NetBeans.
2. Right click the project and click Create Web Service Client to create a STS client. Point the WSDL to http://<name of the identity provider server>:<port>/nidp/wstrust/sts?wsdl.
3. Configure the username and password to access WS-Trust STS.
   The user configured needs to get authenticated into Access Manager password-based authentication classes. You can also configure the Callback-based configuration in NetBeans to provide username and passwords dynamically.
4. When you create a Web service client for your Web service, which is configured for STS-issued tokens, you need to specify the endpoint URL of WS-Trust STS in the Web service client properties. You can specify this in NetBeans by right clicking Web Service References > Web Service and selecting Secure Token Service.

For more information about configuring Metro-based Web service clients, see To Specify an STS on the Service Side and To Specify an STS on the Client Side in Configuring A Secure Token Service (STS).

### 5.2.9.7 Renew Token - Sample Request and Response

- “Renew Token - Sample Request” on page 509
- “Renew Token - Sample Response” on page 511
Renew Token - Sample Request

<soap:Envelope xmlns:soap="http://www.w3.org/2003/05/soap-envelope">
  <soap:Header>
    <MessageID xmlns="http://www.w3.org/2005/08/addressing">urn:uuid:9cfedcee-2ebf-47e0-a24a-45281d785136</MessageID>
    <To xmlns="http://www.w3.org/2005/08/addressing">https://namsb.blr.novell.com:443/nidp/wstrust/sts</To>
    <ReplyTo xmlns="http://www.w3.org/2005/08/addressing">
      <Address>http://www.w3.org/2005/08/addressing/anonymous</Address>
    </ReplyTo>
      <wsu:Timestamp wsu:Id="TS-1">
        <wsu:Created>2014-02-10T23:36:42Z</wsu:Created>
        <wsu:Expires>2014-02-10T24:36:42Z</wsu:Expires>
      </wsu:Timestamp>
      <wsse:UsernameToken wsu:Id="UsernameToken-2">
        <wsse:Username>admin</wsse:Username>
        <wsse:Password Type="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#PasswordText">novell</wsse:Password>
      </wsse:UsernameToken>
    </wsse:Security>
  </soap:Header>
  <soap:Body>
      <wst:RequestType>http://docs.oasis-open.org/ws-sx/ws-trust/200512/Renew</wst:RequestType>
      <wst:TokenType>urn:oasis:names:tc:SAML:2.0:assertion</wst:TokenType>
      <wst:KeyType>http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey</wst:KeyType>
      <wst:Entropy>
        <wst:BinarySecret Type="http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonc"e">2O0dAaqhrBJgbouqT7p7d2pXtXRo3f6Wi/yswGeoqJiQ=</wst:BinarySecret>
      </wst:Entropy>
      <wst:RenewTarget/>
        <ds:Signature>
NotOnOrAfter="2014-05-26T10:35:50.564Z">
    <saml2:AudienceRestriction>
    </saml2:AudienceRestriction>
</saml2:Conditions>
</saml2:Advice/>
</saml2:AuthnStatement>
</saml2:AuthnContext>
</saml2:Assertion>
</wst:RenewTarget>
</wst:RequestSecurityToken>
                               xmlns:soap="http://www.w3.org/2001/06/xmllangspec"
                               xmlns:trust="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
                               xmlns:wst="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.1.xsd">
                                       xmlns:ns13="http://www.w3.org/2001/10/xml-exc-c14n#"
                                       xmlns:ns3="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
                                       xmlns:ns5="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.1.xsd"
                                       xmlns:ns2="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.2.xsd"
                                       xmlns:ns1="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.1.xsd"
                                       xmlns:ns4="http://docs.oasis-open.org/wss/2001/05/oasis-200105-wss-policy#"
                                       xmlns:ns11="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-token-1.0.xsd"
                                       xmlns:ns12="http://docs.oasis-open.org/wss/2001/05/oasis-200105-wss-utility-1.0.xsd" xmlns:wsu="http://docs.oasis-open.org/wss/2001/05/oasis-200105-wss-utility-1.0.xsd">
  </trust:RequestSecurityTokenResponse>
</trust:RequestSecurityTokenResponse>
</S:Envelope>
5.2.10 Configuring OAuth and OpenID Connect

OAuth 2.0 is an open protocol to allow secure authorization. It enables users to allow third-party client to access users’ private resources. Users do not need to share their credentials. The third-party clients can be web applications, mobile phones, handheld devices, and desktop applications. OAuth provides a method to issue Access tokens to third-party client applications with the user’s approval. The third-party client application can then use the Access token to access protected resources hosted by the resource server.

OAuth allows users to control the access to web resources by scope, action, and time.
Access Manager uses OpenID Connect along with OAuth. OpenID Connect implements a single sign-on protocol on top of the OAuth authorization process. It allows client applications to verify the identity of a user based on the authentication performed by Identity Server (authorization server). It also allows client applications to obtain a user’s basic profile information.

See Appendix C, “OAuth versus Other Protocols,” on page 1301 for differences among OAuth and other single sign-on protocols.

- Section 5.2.10.1, “How OAuth Helps,” on page 514
- Section 5.2.10.2, “OAuth Terminology,” on page 514
- Section 5.2.10.3, “How OAuth Works,” on page 515
- Section 5.2.10.4, “Why Use OpenID Connect,” on page 518
- Section 5.2.10.5, “OAuth Scenarios,” on page 519
- Section 5.2.10.6, “OAuth Implementation Flow,” on page 521
- Section 5.2.10.7, “OAuth Authorization Grant,” on page 524
- Section 5.2.10.8, “OpenID Connect Authentication Flows,” on page 526
- Section 5.2.10.9, “Managing OAuth and OpenID Connect,” on page 527
- Section 5.2.10.10, “Configuring Access Gateway for OAuth,” on page 536
- Section 5.2.10.11, “Viewing Endpoint Details,” on page 539
- Section 5.2.10.12, “OAuth and OpenID Connect Audit Events,” on page 540
- Section 5.2.10.13, “Enabling Logging for OAuth and OpenID Connect,” on page 540
- Section 5.2.10.14, “Managing Clients Applications by Using REST API,” on page 540
- Section 5.2.10.15, “Managing OAuth 2.0 Resource Server and Scope by Using REST API,” on page 543
- Section 5.2.10.16, “End User Operations,” on page 546
- Section 5.2.10.17, “Configuring the Demo OAuth Application,” on page 547

5.2.10.1 How OAuth Helps

OAuth addresses the following security concerns:

- To provide access to protected resources, users share their credentials in clear-text with third-party applications. Potential security breaches that can result from the ability of third-party applications to store a user’s credentials for future use.
- Security issues associated with password authentication.
- The inability of resource owners to restrict a client application’s access to protected resources for a specified duration or to limit the client application’s access to a subset of resources.
- The inability of resource owners to revoke a client application’s access to a specific client application.

5.2.10.2 OAuth Terminology

The following list includes frequently used terms in an OAuth flow:

OAuth Roles

- **Resource Owner**: Grants access to a protected resource.
- **Resource Server**: Hosts the protected resources. It accepts and responds to requests by using Access tokens.

- **Client**: An application that requests access to protected resources on behalf of the resource owner with the resource owner's authorization. A client application, for example, can be a gaming application.

- **Authorization Server**: Generates Access tokens for a client application after authenticating the resource owner and obtaining authorization from the resource owner. The authorization server in Access Manager is Identity Server.

**OAuth Credentials and Tokens**

- **ID Token**: JSON Web Token (JWT): Contains a user's claims such as identity, email address, and other profile information. It also specifies the issuing authority.

- **Access Token**: Required to access protected resources. Contains the attributes, such as scope and duration, that are granted by the authorization server.

- **Refresh Token**: Used to obtain Access tokens. The authorization server issues a Refresh token to the client application. Client applications use this token to obtain a new Access token when the current Access token expires or is no longer valid.

- **Client Key and Secret**: A client application uses a client key to identify itself to a service provider. A client application uses the client secret to establish the ownership of the client key. The authorization server assigns a key and a secret to a client application while registering it.

**OAuth Endpoints**

- **Authorization Endpoint**: Client applications use this endpoint to interact with the resource owner and obtain an authorization grant. It is located on an authorization server.

- **Token Endpoint**: Client applications use this endpoint to obtain an Access token by providing their authorization grant or Refresh token. It is also located on an authorization server.

### 5.2.10.3 How OAuth Works

You can configure OAuth with Access Manager in one of the ways based on the following requirements:

- If the web applications validate the Access token before allowing a client application accesses to resources.

- If Access Gateway validates the Access token on behalf of web applications.

- If Access Gateway injects the Access token on behalf of web applications.

**Web applications validate an Access token before allowing a client application to access resources**

Identity Server (authorization server) issues an Access token and web applications validate the token before granting a client application to access resources. This configuration is suitable in the following scenarios:

- Web server authentication
- Accessing resources without using owner’s credentials
- RESTful applications security
- Mobile authentication

For more information about these scenarios, see “OAuth Scenarios” on page 519.
Figure 5-18  The following diagram illustrates the OAuth flow:

1. The client application requests authorization from the user (resource owner). Client applications can make the authorization request directly to the resource owner or through the authorization server (Identity Server) as an intermediary.

2. The client application receives an authorization grant from the authorization server. An authorization grant represents the resource owner's authorization. The user communicates the authorization by using one of four grants types (see “OAuth Authorization Grant” on page 524) or by using an extension grant.

3. The client application authenticates itself at the authorization server, sends the authorization grant, and requests an Access token.

4. The authorization server authenticates the client application and validates the authorization grant. The authorization server issues an Access token for a valid grant.

5. The client application requests the resource server to provide access to the protected resource and authenticates this by presenting the Access token.

6. The resource server accepts the request for a valid token.

**Access Gateway validates the Access token on behalf of web applications**

This configuration is suitable when client applications want to access resources on legacy web applications. See “Legacy Web Applications Security” on page 521 for details about when to use this configuration.
Figure 5-19 The following diagram illustrates the workflow:

1. A client application requests access to a web resource and provides authentication details to Identity Server.
2. Identity Server authenticates the client application, gets the user’s consent, generates an OAuth token, and sends the token to the client application.
3. The client application provides the token to Access Gateway.
5. If the token is not valid, Access Gateway returns a 401 error.
6. If the token is valid, Access Gateway performs the following tasks:
   6a. Executes the authorization policy, if configured, based on OAuth scopes or claims.
   6b. Sends user attributes and grants details provided to the client application to the web application by using the Identity Injection policy, if configured.
7. The resource server returns a response to Access Gateway and Access Gateway sends this response to the client application.

Access Gateway injects the Access token on behalf of web applications

This configuration is used when Access Gateway injects the Access tokens into the authorization header.
1. The user sends a request to access a web application protected by Access Gateway.

2. Access Gateway redirects the user to Identity Server, which prompts for user authentication.

3. On successful authentication, Access Gateway shares the session details with Identity server to fetch the OAuth token.

4. Identity server authenticates the session details and issues an Access token to Access Gateway.

5. Access Gateway injects the Access token into the authorization header.

### 5.2.10.4 Why Use OpenID Connect

OAuth allows users to authorize client applications to access users' protected resources through an Access token. The Access token does not contain any information about a user's identity. Hence, a client application does not know who the user is. A client application also does not know if the authorization server has issued the access token to it or to any other relying party.

OpenID Connect builds on OAuth and provides solutions for OAuth's limitations. It issues an ID token that contains signed assertions about the user. Client applications can verify the ID token and obtain additional details about the user. The ID token also contains information about the issuing authority, the intended client application, time of the token created, and the token expiration time.

### OpenID Connect Claims

A client application can obtain information about a user and authentication events through claims. A claim contains information about a user such as phone number, first name, and last name.
OAuth Scenarios

This section describes the following basic scenarios supported by OAuth:

- "RESTful Applications Security" on page 519
- "Accessing Resources without Using the Resource Owner’s Credentials" on page 519
- "Web Server Authentication" on page 519
- "Mobile Authentication" on page 521
- "Legacy Web Applications Security" on page 521

RESTful Applications Security

OAuth provides a way to secure REST APIs. For example, an enterprise acme.com exposes REST APIs that provide various functions. Using OAuth, acme.com can provide secure authorization control on APIs to ensure that the right people have access to these APIs. In addition, they can also enable applications to call APIs on behalf of a user. acme.com can also revoke access to an API even if an application uses it.

See Appendix B, "SOAP versus REST API," on page 1299 to learn the differences between SOAP and REST API.

Accessing Resources without Using the Resource Owner’s Credentials

In a typical web authentication model, a client application uses the resource owner’s credentials to access the resource owner’s information that is hosted on a server. OAuth allows a client application to access resources that are controlled by the resource owner and provides a method to obtain permission from the resource owners to access their resources. The resource owners provide this permission in the form of a token and a matching shared-secret. The resource owner does not need to share credentials with the client application. Tokens are issued with a restricted scope and limited life.

For example, a user named Alice has installed a gaming application that runs in her browser and uses OAuth for accessing a social site at www.example.com. The gaming application updates scores in a database at www.example.com. The gaming application is registered with the social site and has an identifier. Alice has registered with the social site for identification and authentication. To upload Alice’s scores, the gaming application accesses the score database when Alice authorizes it. When Alice accesses the page from the redirect URI in the game application, the authorization server sends the client ID, password, and authentication code received in the redirect request parameters to www.example.com, which in turn returns an Access token to the game application. The gaming application sends the token to www.example.com to access Alice’s resources. www.example verifies the token and grants the gaming application access to Alice’s account for updating the scores.

NOTE: This example is derived from the OAuth RFC document.

Web Server Authentication

To access the user data of other sites, third-party applications seek user’s credentials (user names and passwords). Sharing passwords may result in a security breach. OAuth enables third-party applications to access a user’s information without using the users’ passwords. OAuth also provides a way to grant limited access. For example, a user (resource owner) can allow a printing service
Configuring Authentication (client application) to access private photos stored at a photo sharing service (server), without sharing credentials with the printing service. Instead, the user authenticates directly with the photo sharing service that issues the printing service delegation-specific credentials.

For example, a user named Alice accesses an application running on a web server at www.printphotos.example and instructs it to print her photographs that are stored on a server at www.storephotos.example. The application at www.printphotos.example receives Alice’s authorization consent for accessing her photographs without learning her authentication credentials of www.storephotos.example.

The following diagram illustrates the workflow of the web server authentication:

NOTE: This example is derived from the OAuth RFC document.
Mobile Authentication

Applications on a mobile device request for authentication and the web server redirects you to the authorization server (Identity Server) to authenticate and authorize the server to access your data. When you approve, the web server receives an Access token as part of the redirect URL. After the authorization server grants the token, the application can access the protected data with the Access token. Less confidential applications, such as mobile clients or thick clients use this authentication.

Legacy Web Applications Security

Scenario 1: OAuth enables client applications including thick clients, mobile, and OAuth enabled browser-based applications to access resources available on legacy web applications. In this scenario, Access Gateway validates the token on behalf of web applications. You do not need to modify web applications to understand the OAuth flow.

Scenario 2: In addition to scenario 1, OAuth also supports the following scenario:

Let suppose an enterprise acme.com has a multi-tier application: a front-end web application utilizing services from web service layers. The enterprise wants to protect these services and applications using OAuth and thereby need to place the RESTful API endpoints behind Access Gateway. The applications need to be modified to fetch OAuth token from Identity server. To minimize this change, Access Manager has the ability to enable Access Gateway to fetch OAuth tokens on behalf of the application and pass it over to the application via HTTP header. Now, the application can simply take the token from the header and use it to invoke the web service.

5.2.10.6 OAuth Implementation Flow

The following diagrams depict the implementation flow of OAuth in Access Manager:

- OAuth Implementation without using Access Gateway
- OAuth Implementation using Access Gateway

NOTE: Access Manager uses variable length Access tokens and authorization codes. The client applications and web servers must not assume any fixed size of tokens and codes and must allocate necessary memory to handle the token. Token size depends on the size of scope names. Some servers may have size limitations on query string and HTTP headers. Ensure that an application uses only necessary scopes to avoid any issue.
OAuth Implementation without using Access Gateway

1. Develop a resource server (web application or REST service). (Application Developer)

2. Identify scopes and permissions for this resource server. (Application Developer or Administrator)

3. Add the resource server in Access Manager. See “Adding a Resource Server” on page 531. (Application Developer or Administrator)

4. Define the identified scopes and permissions for the resource server in Access Manager. See “Defining Scopes and Claims for a Resource Server” on page 532. (Application Developer or Administrator)

5. Develop a client application. (Application Developer)

6. Register the client application in Access Manager. You can register a client by using Administration Console (Administrator), Identity Server (Application Developer or Administrator), or REST API (Application Developer or Administrator). See “Registering OAuth Client Applications” on page 534 and “Registering a Client Application by Using REST API” on page 541.

7. Identity Server assigns a unique client ID and client secret to this client application.

8. Configure the client ID and secret in the application. (Application Developer or Administrator)

9. Deploy the resource server. (Application Developer)

10. Deploy the client application. (Application Developer)

For information about basic scenarios in which you can implement this configuration, see “OAuth Scenarios” on page 519.
For more information about how to enable and configure OAuth in Access Manager for this implementation flow, see "Managing OAuth and OpenID Connect" on page 527.

OAuth Implementation using Access Gateway

1. Determine the web application or REST service for which you want to implement this configuration.

3. Configure an authorization policy based on OAuth Scopes. See “Configuring an Authorization Policy based on OAuth Scopes” on page 537.

4. Configure an Identity Injection policy to inject user name and password. See “Configuring an Identity Injection Policy for OAuth Claims” on page 538.

5. Configure optional Identity Injection policies to inject other user claims, if required. You can define the additional roles in the same policy also that you configured for injecting user name and password. See "Configuring an Identity Injection Policy for OAuth Claims" on page 538.

6. Apply the changes.

For information about the scenario in which you can implement this configuration, see “Legacy Web Applications Security” on page 521.

For information about how to configure OAuth in Access Manager for this implementation flow, see “Configuring Access Gateway for OAuth” on page 536.

### 5.2.10.7 OAuth Authorization Grant

Authorization grant is an intermediate credential that represents the resource owner authorization. To request an Access token, the client application obtains authorization from the resource owner. The resource owner communicates the authorization in the form of an authorization grant that a client application uses to request the Access token. OAuth defines four grant types: authorization code, implicit, resource owner credentials, and client credentials. It also provides an extension mechanism for defining additional grant types. This section discusses the authorization flow for the following grants:

- “Authorization Code Grant (Web Server)” on page 524
- “Implicit Grant” on page 525
- “Resource Owner Credential Grant” on page 526
- “Client Credential Grant” on page 526

**Authorization Code Grant (Web Server)**

Client applications hosted on a secure server use Authorization Code Grant. Client applications use this grant to obtain both Access tokens and Refresh tokens. This grant ensures that both types of tokens remain with the client web application (the server side) and the authorization server does not send these to the browser. Only the authorization code is visible to the browser.

The client application redirects the resource owner to the authorization server through the web browser. The resource owner authenticates at the authorization server. The authorization server obtains resource owner’s consent and then redirects the web browser with the authorization code to the client application.
This flow is suitable for client applications who can interact with the resource owner’s user-agent and can receive incoming requests from the authorization server.

Implicit Grant

This flow is suitable for client applications residing in the user's device. A client application can implement this flow in a browser using a scripting language such as JavaScript or Flash, from a mobile device, or from a desktop application. After a user grants the requested authorization, the authorization server returns an Access token to the application. An intermediate authorization code is not required. As the authorization server sends the Access token to the web browser, this flow offers less security than the authorization code.
Resource Owner Credential Grant

This flow is suitable for client applications who have a trust relationship with resource owners. In this flow, the client application sends user's credentials along with its own credentials to the authorization server (Identity Server). Identity Server provides an Access token and an Refresh token to the client application. The user does not need to log in to approve the request.

Client Credential Grant

The Client Credential Grant is useful for applications that access their own resources from the resource server. This grant type only requires the client application’s credentials. Resource owner’s credentials are not required.

5.2.10.8 OpenID Connect Authentication Flows

This section describes the flow of OpenID Connect authentication by using the Authorization Code flow and Implicit flow.

- “Authentication by Using the Authorization Code Flow” on page 527
- “Authentication by Using the Implicit Flow” on page 527
**Authentication by Using the Authorization Code Flow**

In this authentication process, the Token endpoint returns all tokens. The Authorization Code Flow returns an authorization code to the client application. The client application exchanges it for an ID token and an Access token. The authorization server can also authenticate the client application before exchanging the authorization code for an Access token. This process does not expose tokens to the User Agent.

**Process Flow:**

1. The client application prepares an authentication request containing the desired request parameters and sends the request to the authorization server.
2. The authorization server authenticates the user.
3. The authorization server obtains the user consent for the request.
4. The authorization server sends the user consent to the client application with an authorization code.
5. The client application requests a response by using the authorization code at the Token endpoint.
6. The client application receives a response that contains an ID token and Access token in the response body.
7. The client application validates the ID token and retrieves the user's subject identifier.

**Authentication by Using the Implicit Flow**

In this authentication process, the authorization endpoint returns all tokens. The endpoint returns the Access token and ID token directly to the client application that may result in revealing the tokens to the user and applications that have access to the User Agent.

**Process Flow:**

1. The client application generates an authentication request containing the desired request parameters and sends the request to the authorization server.
2. The authorization server authenticates the user.
3. The authorization server obtains the user consent.
4. The authorization server sends the user to the client application with an ID token and, if requested, an Access token.
5. The client application validates the ID token and retrieves the user's Subject Identifier.

### 5.2.10.9 Managing OAuth and OpenID Connect

**NOTE:** NTS will support the Access Manager setup and any app issues where the API request is sent to the right Access Manager endpoint. Any other code changes needed to integrate with Access Manager are outside the scope of traditional NTS support and need to go through the namsdk@netiq.com channel.

The following is the sequence of the OAuth and OpenID Connect configuration:

1. Enable the OAuth protocol in Administration Console
2. Define the global settings
3. Configure a resource server
4. Configure scopes and claims for a resource server
5. Register client applications

NOTE: Use Internet Explorer 10 or later, Firefox, or Chrome for configuring OAuth 2.0.

This section discusses the following topics:

- “Extending a User Store for OAuth 2.0 Authorization Grant Information” on page 528
- “Enabling OAuth and OpenID Connect” on page 529
- “Defining Global Settings” on page 530
- “Configuring a Resource Server” on page 531
- “Modifying Scopes of a Resource Server” on page 533
- “Modifying Claims and Attributes” on page 533
- “Managing OAuth Client Applications” on page 534

Extending a User Store for OAuth 2.0 Authorization Grant Information

Access Manager OAuth 2.0 implementation stores the information about a client application, which a user authorizes to access attributes and resources. This information is unique per user. So, you need to store as part of a User Object in the user store. If you already have an attribute, you can use it in Authorization Grant LDAP Attribute while defining Global Settings.

If a free attribute is unavailable, then extend the User Object schema to add a new single-valued binary (LDAP) stream (eDirectory) attribute with a name. Access Manager will store an XML object in this attribute for each user authorization.

The following example describes how to extend the schema of a User Object in eDirectory:

1. Click to Roles and Tasks > Schema > Create Attribute.
2. Specify Attribute Name as nidsOAuthGrant.
3. Click Next.
4. Select Stream under Syntax.
5. Click Next.
7. Click Next > Finish.
8. Go to Roles and Tasks > Schema > Add Attribute.
9. Select Person under Available Classes.
10. Click OK.
11. Move nidsOAuthGrant from Available optional attributes to Optional attributes.
12. Click OK.
Enabling OAuth and OpenID Connect

Access Manager ships with only SAML 1.1, Liberty, and SAML 2.0 enabled by default. To use OAuth, you must enable it in Identity Server. Otherwise, the configuration will not work.

To enable OAuth and OpenID Connect, perform the following steps:

1. Click Devices > Identity Servers > Edit.
2. In the Enabled Protocols section, select OAuth & OpenID Connect.
3. Click OK.
4. Update Identity Server.

**NOTE:** For OAuth authorization, Identity provider and ESP must be enabled with SSL.
Defining Global Settings

The Global Settings page enables you to specify the default OAuth and OpenID Connect settings for the authorization server such as issuer URL, token types, grants, and so on.

1. Click Devices > Identity Server > Edit > OAuth & OpenID Connect > Global Settings.
2. You can configure and view the following details on this page:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Issuer</td>
<td>Specify the name of the authorization server. This name is part of the ID token.</td>
</tr>
<tr>
<td>Authorization Grant LDAP Attribute</td>
<td>Specify a valid LDAP attribute. The attribute specified must exist in the user store. A scope uses this attribute to store user consent. Whenever a user grants authorization to an application, this attribute is updated. Ensure that no other application uses this attribute. For more information, see “Extending a User Store for OAuth 2.0 Authorization Grant Information” on page 528.</td>
</tr>
<tr>
<td>CORS Domains</td>
<td>Select any one of the following options based on your requirement:</td>
</tr>
<tr>
<td></td>
<td>✷ None: If you want to deny access for requests from all domains other than the domain of the resource. The resource referred here are resources such as Javascript on the client application.</td>
</tr>
<tr>
<td></td>
<td>✷ Allow All: If you want to allow access for requests from any domains.</td>
</tr>
<tr>
<td></td>
<td>✷ Limit to: If you want to allow access for requests from only selected domains. Specify the domain.</td>
</tr>
<tr>
<td>Grant Type(s)</td>
<td>Select the types of grants that the authorization server will support. Based on the grant type you select, the system selects corresponding token type by default. For more information about grant types, see “OAuth Authorization Grant” on page 524.</td>
</tr>
<tr>
<td>Token Type(s)</td>
<td>Select the types of tokens that the authorization server will support.</td>
</tr>
<tr>
<td></td>
<td>✷ ID Token: A security token that contains claims about the authentication of an end user by an authorization server to the relying party.</td>
</tr>
<tr>
<td></td>
<td>✷ Access Token: Includes the specific scopes and durations of granted access.</td>
</tr>
<tr>
<td></td>
<td>✷ Refresh Token: Used to obtain a new access token when an Access token becomes invalid or expires.</td>
</tr>
<tr>
<td>Authorization Code Timeout</td>
<td>Specify the duration in minute after how long the authorization code becomes invalid.</td>
</tr>
<tr>
<td>Access Token and ID Token</td>
<td>Specify the duration in minute after how long the Access token and ID token become invalid.</td>
</tr>
<tr>
<td>Token Timeout</td>
<td>Specify the duration in minute after how long the Refresh token becomes invalid.</td>
</tr>
</tbody>
</table>
Configuring Authentication

3 Click OK.

Configuring a Resource Server

Access Manager allows you to define settings for each resource server. A resource server validates and accepts tokens sent by client applications, and then grants access to resources.

Setting up a resource server includes adding a resource server, defining scopes, and defining claims associated with each scope. Scopes and claims decide what resources client applications can access and what actions they can perform on the resources.

Access Manager also allows you to modify and delete configured resource servers. Configuring a resource server consists of the following actions:

- “Adding a Resource Server” on page 531
- “Defining Scopes and Claims for a Resource Server” on page 532
- “Restricting the Number of Requests” on page 533

Adding a Resource Server

Perform the following steps:

1 Click Devices > Identity Server > Edit > OAuth & OpenID Connect > Resource Server.
2 Click New.
3 Specify a name for the resource server.

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supports Signing</td>
<td>Select this option if you want the authorization server to sign the ID token. If you selected Supports Signing, select a signing certificate.</td>
</tr>
<tr>
<td>Signing Certificate</td>
<td>Select a certificate to sign ID tokens.</td>
</tr>
<tr>
<td>Contracts for Resource Owner Credentials</td>
<td>Select the supported contracts from the Available contracts list and move them to the Contracts Field. This option allows the administrator to configure the Resource Owner flow to execute specific authentication contract. It supports Name/Password based contracts only. The order of authentication contract execution must be as follows: 1. The acr_values in request parameter. 2. OAuth Global Setting option. 3. Default contract. For example, if no acr_values and no global RO authentication contracts are specified, then only the default authentication contract of Identity server is executed. To select a custom contract for authentication, the custom authentication class must override the cbAuthenticate method. For more information, see the NetIQ Access Manager 4.3 Developer Guide.</td>
</tr>
<tr>
<td>Authentication</td>
<td></td>
</tr>
</tbody>
</table>
4 Click OK.

Continue with “Defining Scopes and Claims for a Resource Server” on page 532.

**Defining Scopes and Claims for a Resource Server**

A scope is a set of permissible actions that a client application can perform on the accessed resources. You can define scopes and then set up claims or permissions for each scope. When a user grants client applications access to protected resources, they can perform actions based on permissions defined in the scope.

A scope can be of the following two types:

- **Resource permissions:** The resource server can fetch these scopes/permissions specific to requirements. For example, for the edit_photo scope, the resource server recognizes and grants edit rights for the photo.
- **User Claims:** Access Manager acts as a special resource server managing access to users’ claims. A client application can request these claims.

For example, you can define a scope named email and define permissions associated with this scope such as read only. A client application who will access the email can only read the content.

**NOTE:**

- You can get only LDAP based attributes in a scope.
- You can configure roles as OAuth scope and use them to inject with the Identity Injection policy. Role attribute is calculated when the token is sent to user info endpoint.

Perform the following steps to define scopes and permissions:

1. Click **Devices > Identity Server > Edit > OAuth & OpenID Connect > Resource Server.**
2. Select the resource server name for which you want to define a new scope.
3. Click **New.**
4. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Specify a name for the scope.</td>
</tr>
<tr>
<td>Description</td>
<td>Specify a description for the scope. The consent page shows this description.</td>
</tr>
<tr>
<td>Require user permission</td>
<td>Select this option if this scope requires the user’s permission before providing access to the protected resources.</td>
</tr>
<tr>
<td>Contains user’s claims</td>
<td>Select this option to include user’s claims in this scope.</td>
</tr>
<tr>
<td>Allow modification in consent</td>
<td>Select this option to allow modification in consent. When selected, the resource owner can choose not to share this scope with the client application.</td>
</tr>
</tbody>
</table>

5. Click **Next.**
Based on whether you have selected **Require user permission**, you need to perform any one of the following actions:

- **Select an attribute set**: If you have selected the **Require user permission** option, Identity Server will fetch the required information from the user endpoint. You must specify the LDAP attributes. You can select an attribute set from the list or create a new attribute set. For more information about how to create an attribute set, see Section 3.5.1, “Configuring Attribute Sets,” on page 64.

- **Create Claims/Permissions**: If you have not selected **Require user permission**, create claims for the scope.
  - Click **New**, specify a name for the claim, and click **OK**.

7. Click **Finish**.

**Restricting the Number of Requests**

You can restrict the number of users accessing a service by updating the **tomcat.conf** file.

Open `/opt/novell/nam/idp/conf/tomcat.conf`. Add the following parameter:

```
JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.oauth.threshold.maxrequestsallowed=<number of requests>"
```

For example, `JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.oauth.threshold.maxrequestsallowed=10"`. It will not allow more than 10 request per second.

**Modifying Scopes of a Resource Server**

You can modify the scopes of a registered resource server. Access Manager allows you to delete a resource server or delete the scope of a resource server.

To modify scopes of a resource server, perform the following steps:

1. Click **Devices > Identity Server > Edit > OAuth & OpenID Connect > Resource Server**. This page lists all registered resource servers.

2. Click the resource server > scope you want to modify.

3. On the Edit Scope page, modify the details as required. For more information about the fields on this page, see “Defining Scopes and Claims for a Resource Server” on page 532.

4. Click **OK**.

**Modifying Claims and Attributes**

You can modify or delete a defined claim. You can also update the attributes associated with a scope. If you have selected **Require user permission** while creating the scope, Identity Server fetches the required information from the user endpoint. In this case, you can change the associated LDAP attributes.

If you have not selected **Require user permission**, the scope contains claims instead of LDAP attributes. You can change the name of the claim. For more information about the fields on this page, see “Defining Scopes and Claims for a Resource Server” on page 532.
Managing OAuth Client Applications

A client application that sends API requests to the authorization server must be registered with the authorization server. As part of the registration, specify the client name, redirections (URIs), and any other provider-specific data required by the API. You can register a client application in Administration Console or in Identity Server.

Prerequisites for managing client applications include:

- Administration Console: The user must have the NAM_OAUTH2_ADMIN role defined in the OAuth policy.
- Identity Server: The user must have the NAM_OAUTH2_DEVELOPER role defined in the OAuth policy.

An application developer must log into Identity Server for registering a client application.

The My Applications tab lists all the applications that you added. You can view details, modify, and delete applications.

Registering OAuth Client Applications

Perform the following steps to register a client application:

1. Click Devices > Identity Server > Edit > OAuth & OpenID Connect > Client Applications > Register New Clients.
2. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Client Name</td>
<td>Specify the name of the client application.</td>
</tr>
<tr>
<td>Client Type</td>
<td>Select whether this is a web-based or a desktop client application.</td>
</tr>
<tr>
<td>Redirect URIs</td>
<td>Specify the URI based on the Client type.</td>
</tr>
<tr>
<td></td>
<td>Specify the URIs that Identity Server uses to send the authorization code and implicit requests.</td>
</tr>
<tr>
<td></td>
<td>For web-based applications specify the client type in this format: <a href="https://client.example.org/callback">https://client.example.org/callback</a></td>
</tr>
<tr>
<td></td>
<td>For native/desktop applications, specify the client type in any one of the following formats:</td>
</tr>
<tr>
<td></td>
<td><a href="https://www.namnetiq.in/">https://www.namnetiq.in/</a></td>
</tr>
<tr>
<td></td>
<td>x-com.netiq.sample://www.namnetiq.in/</td>
</tr>
<tr>
<td></td>
<td>urn:ietf:wg:oauth:2.0:oob</td>
</tr>
<tr>
<td>Grants Required</td>
<td>Select the grant types required for this client application. Available grant types include:</td>
</tr>
<tr>
<td></td>
<td>• Authorization Code (default)</td>
</tr>
<tr>
<td></td>
<td>• Implicit</td>
</tr>
<tr>
<td></td>
<td>• Resource Owner Credentials</td>
</tr>
<tr>
<td></td>
<td>• Client Credentials</td>
</tr>
</tbody>
</table>
3 Click **Consent Screen Configuration**. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Client Logo URL</td>
<td>Specify the URL of the logo that you want to include in the consent page.</td>
</tr>
<tr>
<td>Privacy Policy URL</td>
<td>Specify the URL of the privacy policy you want to include in the consent page. You can define your own privacy policy.</td>
</tr>
<tr>
<td>Terms of Service URL</td>
<td>Specify the URL of the terms of service.</td>
</tr>
<tr>
<td>Contacts</td>
<td>Specify email addresses of people related to this client application.</td>
</tr>
</tbody>
</table>

4 Click **Authorized JavaScript origins (CORS)** and add **Domains**. The domains configured here can access restricted resources available on the client application. This is an optional step. **Examples:** `beem://www.test.com`, `fb://app.local.url`, `https://namapp.com`

5 Click **Advanced OpenID Connect**. This is an optional step. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>JSON Web Key Set URI</td>
<td>Specify the URI of the JSON file containing the JSON web keys.</td>
</tr>
<tr>
<td>ID Token Signed Response Algorithm</td>
<td>Specify the ID Token Signed Response Algorithm.</td>
</tr>
<tr>
<td>ID Token Encrypted Response Algorithm</td>
<td>Specify the algorithm used to encrypt the key.</td>
</tr>
<tr>
<td>ID Token Encrypted Response Enc</td>
<td>Specify the algorithm used to encrypt the content.</td>
</tr>
</tbody>
</table>

6 Click **Register Client**.

Identity Server assigns a client ID and a client secret. To see this ID and secret, go to the list of registered client applications on the Client Application page and click the view icon for this client application.

**Modifying Registered Client Applications**

To modify a registered client application, perform the following steps:

1 Click **Devices > Identity Server > Edit > OAuth & OpenID Connect > Client Applications**. The page lists all registered client applications along with the following details:
Click the edit icon under **Actions**. The Client Configuration page opens. Modify the details as required. For more information about fields, see “Registering OAuth Client Applications” on page 534.

3 Click **Modify Client**.

### 5.2.10.10 Configuring Access Gateway for OAuth

You can configure Access Gateway to validate OAuth tokens on behalf of the resource server. If the token is not valid then Access Gateway returns the unauthorized 401 error to the client application. You can also configure Access Gateway to inject OAuth tokens on behalf of the web applications.

Configuring Access Gateway for OAuth consists of the following:

1. **Enabling OAuth in Access Gateway**
2. **Configuring an Authorization Policy based on OAuth Scopes**
3. **Configuring an Identity Injection Policy for OAuth Claims** or **Configuring an Identity Injection Policy for User Passwords**
4. **Configuring Access Gateway to Inject OAuth Tokens**

#### Enabling OAuth in Access Gateway

If you want Access Gateway to validate a token before granting access to a protected resource, you must enable OAuth for that protected resource.

Perform the following steps to enable OAuth in Access Gateway:

1. Click **Devices > Access Gateway > Edit > [Reverse Proxy name] > [Proxy Service name]**.
2. Select the **Protected Resources** tab.
3. Click the protected resource for which you want to enable OAuth.
4. Select **Validate OAuth Token**.
5. Click **OK**.
Configuring an Authorization Policy based on OAuth Scopes

You must configure an authorization policy and then assign it to the protected resource. Access Gateway makes decisions based on the rules defined in the authorization policy after validating the OAuth tokens.

Resources protected by OAuth tokens do not execute any authentication procedure. Hence, evaluation of policies associated with OAuth protected resources cannot fetch any user attributes outside the OAuth scope. All the user attributes needed for the protected resource must be part of the OAuth scope. Ensure that the proxy services protected by OAuth are not associated with any policies that refer to authentication contract, profiles, LDAP attribute, LDAP OU, roles, or RISK score. Any policy, which requests for data other than the scope of OAuth token fails.

Perform the following steps to configure an Authorization policy for scopes:

1. Click Devices > Access Gateway > Edit > [Reverse Proxy name] > [Proxy Service name].
2. Select the Protected Resources tab.
3. Click the protected resource for which you want to configure an Authorization policy.
4. Select the Authorization tab.
5. Click Manage Policies > New.
7. Click OK.
8. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>(Optional) Describe the purpose of this rule.</td>
</tr>
<tr>
<td>Priority</td>
<td>Specify the order in which a rule is applied in the policy, when the policy has multiple rules. The highest priority is 1 and the lowest priority is 10.</td>
</tr>
<tr>
<td>NOTE:</td>
<td>If two rules have the same priority, a Deny rule is applied before a Permit rule.</td>
</tr>
<tr>
<td>Conditions</td>
<td>Click New and then select OAuth Scopes.</td>
</tr>
<tr>
<td>For Value</td>
<td>select the scope from the list.</td>
</tr>
<tr>
<td>Actions</td>
<td>Select one of the following:</td>
</tr>
<tr>
<td>• Permit:</td>
<td>Allows the user to access the resource.</td>
</tr>
<tr>
<td>• Deny:</td>
<td>Select one of the following deny actions:</td>
</tr>
<tr>
<td>• Display Default Deny Page:</td>
<td>Displays a generic message, indicating that the user has insufficient rights to access the resource.</td>
</tr>
<tr>
<td>• Deny Message:</td>
<td>Allows you to provide a customized message that you want to display to users after denying their access attempts.</td>
</tr>
<tr>
<td>• Redirect to URL:</td>
<td>Allows you to specify a URL to redirect users after denying access. For example: <a href="http://www.example.com">http://www.example.com</a></td>
</tr>
<tr>
<td>• Redirect:</td>
<td>Specify the URL to which you want the users to redirect when they meet the conditions of this policy.</td>
</tr>
<tr>
<td>• Re-authenticate with Contract:</td>
<td>Allows you to specify an authentication contract used to authenticate the user.</td>
</tr>
</tbody>
</table>

9. Click OK > OK.
10 Select the policy you created and click **Apply Changes > Close**.
   The Authorization page of the protected resource opens.
11 Select the Authorization policy and click **Enable > OK**.

### Configuring an Identity Injection Policy for OAuth Claims

You must configure an Identity Injection policy if you want to send the claims details to the resource server. Claims can include user attributes or permissions.

Perform the following steps to configure an Identity Injection policy for scopes:

1. Click **Devices > Access Gateway > Edit > [Reverse Proxy name] > [Proxy Service name]**.
2. Select the **Protected Resources** tab.
3. Click the protected resource for which you want to configure an Identity Injection policy.
4. Select the **Identity Injection** tab.
5. Click **Manage Policies > New**.
6. Specify a name for the policy, and then select **Access Gateway: Identity Injection** for the type of policy.
7. Click **OK**.
8. Specify the following details:

<table>
<thead>
<tr>
<th>Field</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Specify the purpose of this policy.</td>
</tr>
<tr>
<td>Priority</td>
<td>Specify the sequence in which you want to apply the rule in the policy, if the policy has multiple rules. The highest priority is 1 and the lowest priority is 10.</td>
</tr>
<tr>
<td>Action</td>
<td>Click <strong>New</strong>, then select one of the following:</td>
</tr>
<tr>
<td></td>
<td>- <strong>Inject into Authentication Header</strong>: Inserts the user name and password into the header. Select <strong>OAuth Claims</strong> under <strong>user name</strong> and then select a claim.</td>
</tr>
<tr>
<td></td>
<td>- <strong>Inject into Custom Header</strong>: Inserts custom names into the custom header. Select <strong>OAuth Claims</strong> under <strong>Value</strong> and then select a claim.</td>
</tr>
<tr>
<td></td>
<td>- <strong>Inject into Custom Header with Tags</strong>: Inserts custom tags with name/value content into the custom header. Select <strong>OAuth Claims</strong> under <strong>Tag Value</strong> and then select a claim.</td>
</tr>
<tr>
<td></td>
<td>- <strong>Inject into Query String</strong>: Inserts a query string into the URL for the page. Select <strong>OAuth Claims</strong> under <strong>Tag Value</strong> and then select a claim.</td>
</tr>
<tr>
<td></td>
<td>- <strong>Inject Kerberos Ticket</strong>: Inserts authentication values from the Kerberos ticket into the custom header. Select <strong>OAuth Claims</strong> under <strong>Value</strong> and then select a claim.</td>
</tr>
</tbody>
</table>

9. Click **OK > OK**.
10 Select the policy you created and click **Apply Changes > Close**.
11 The Identity Injection page of the protected resource opens.
12 Select the Identity Injection policy and click **Enable > OK**.
Configuring an Identity Injection Policy for User Passwords

Ensure that you have enabled the Allow admin to retrieve passwords option under Universal Password Retrieval in the eDirectory user store for all users, so that the policy can retrieve the password from the user store. Without this configuration, the identity injection policy for user password will not work.

For more information about how to enable the password retrieval in eDirectory, see Universal Password Configuration Options in the Password Management Administration Guide.

NOTE: The password retrieval works only with eDirectory.

Perform the following steps:

1. Click Devices > Access Gateway > Edit > [Reverse Proxy name] > [Proxy Service name].
2. Select the Protected Resources tab.
3. Click the protected resource for which you want to configure an Identity Injection policy.
4. Select the Identity Injection tab.
5. Click Manage Policies > New.
7. Click OK.
8. Configure the policy with the following details:
   - **Action**: Select Inject into Authentication Header.
   - **User name**: Select OAuth Claims > Access Token: User
   - **Password**: Select OAuth Claims > Password
9. Click OK > OK.
10. Select the policy you created and click Apply Changes > Close.
    The Identity Injection page of the protected resource opens.
11. Select the Identity Injection policy and click Enable > OK.

Configuring Access Gateway to Inject OAuth Tokens

To configure Access Gateway to inject OAuth tokens, see Section 8.4.9, “Configuring an OAuth Token Inject Policy,” on page 760.

5.2.10.11 Viewing Endpoint Details

In Administration Console Dashboard under Devices > Identity Servers > Edit > OAuth & OpenID Connect > EndPoint Summary, you can view the following endpoints:

- **Authorization EndPoint**: Enables client applications to interact with the resource owner and obtain an authorization grant. It is located on an authorization server.
- **Registration EndPoint**: Enables registering client applications on the authorization server. It is located on the authorization server.
- **Token EndPoint**: Enables client applications to obtain an Access token by providing its authorization grant or Refresh token. It is located on an authorization server.
- **UserInfo EndPoint**: Provides information about the user associated with the Access token in the standard OpenID Connect format.
Configuring Authentication

- **OpenID Metadata EndPoint**: Provides information about OpenID provider metadata.

**NOTE**: As per OAuth specifications, endpoints must not accept any non-HTTPS request. However, Access Manager supports non-HTTPS requests also. This is required to enable OAuth in scenarios when Access Manager is deployed behind a third-party SSL accelerator.

### 5.2.10.12 OAuth and OpenID Connect Audit Events

Access Manager provides the following OAuth audit events:

- OAuth & OpenID Token Issued
- OAuth & OpenID Token Issue Failed
- OAuth Consent Provided
- OAuth Consent Revoked
- OAuth Client Applications
- OAuth & OpenID Token Validation Success
- OAuth & OpenID Token Validation Failed

For more information, see Section 19.2, “Enabling Identity Server Audit Events,” on page 906.

### 5.2.10.13 Enabling Logging for OAuth and OpenID Connect

To enable logging for OAuth and OpenID Connect events, perform the following steps:

1. Click **Devices** > **Identity Servers** > **Edit** > **Auditing and Logging**.
2. Select **Enabled** under **File Logging**.
3. In the **Component File Logger Levels** section, specify any one of the following options for OAuth and OpenID Connect:
   - **Off**: Turns off component file logging
   - **Severe**: Logs serious failures that can stop system processing
   - **Warning**: Logs potential failures that have minimal impact on execution.
   - **Info**: Logs informational events.
   - **Verbose**: Logs static configuration information
     - The system logs any configuration errors under one of the primary three levels: Severe, Warning, and Info.
   - **Debug**: Logs events for all of the preceding levels (Severe, Warning, Info, and Verbose)
4. Click **OK**.

### 5.2.10.14 Managing Clients Applications by Using REST API

You can programmatically register, view, modify, and delete a client application in Access Manager.

Before performing any of these actions, you must have your role defined as **NAM_OAUTH2_DEVELOPER** or **NAM_OAUTH2_ADMIN** in the OAuth policy.

You can register an application in any of the following two ways:

- Using username and password
Configuring Authentication

Using Access token: To register a client application by using an Access token, you must have your role defined as NAM_OAUTH2_DEVELOPER in the OAuth policy.

Use the Resource Owner flow to get an Access token. The endpoint for Resource Owner flow is https://<Identity Server URL: Port Number>/nidp/oauth/nam/token. This endpoint requires the followings parameters to provide an Access token:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>grant_type</td>
<td>password</td>
</tr>
<tr>
<td>username</td>
<td>Application developer's user name</td>
</tr>
<tr>
<td>password</td>
<td>Application developer's password</td>
</tr>
<tr>
<td>scope</td>
<td>✷ urn:netiq.com:nam:scope:oauth:registration:full (This scope allows you to register, view, modify, and delete client applications.)</td>
</tr>
<tr>
<td></td>
<td>✷ urn:netiq.com:nam:scope:oauth:registration:read (This scope provides read-only access)</td>
</tr>
<tr>
<td>token endpoint</td>
<td>Identity Server URL: Port Number&gt;/ nidp/oauth/nam/token</td>
</tr>
</tbody>
</table>

This section discusses the following topics:

- “Registering a Client Application by Using REST API” on page 541
- “Modifying a Client Application by Using REST API” on page 543
- “Viewing a Client Application by Using REST API” on page 543
- “Deleting a Client Application by Using REST API” on page 543

Registering a Client Application by Using REST API

To register a client application, the HTTP method value must be POST.

Identity Server uses the following endpoint for registering a client application:

https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients

The endpoint requires the following parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Required/Optional</th>
</tr>
</thead>
<tbody>
<tr>
<td>client_id</td>
<td>Name of the client application.</td>
<td>Required</td>
</tr>
<tr>
<td>application_type</td>
<td>web or native</td>
<td>Optional</td>
</tr>
<tr>
<td>response_types</td>
<td>Redirection URI values used by the client application.</td>
<td>Required</td>
</tr>
</tbody>
</table>
Configuring Authentication

The following are the supported grant types:

- `authorization_code`
- `implicit`
- `refresh_token`
- `resource_owner_credentials`
- `client_credentials`

If you do not specify a grant type, the default grant type is used. The default value is `authorization_code`.

The following are the supported response types:

- `code`
- `id_token`
- `access_token`

Specify the URL of the logo that you want to include in the consent page.

Example: `https://client.example.org/logo.png`

URL of the Relying Party Client's privacy policy.

Example: `https://client.example.org/privacypolicy`

URL of the Relying Party's terms of service.

Example: `https://client.example.org/terms`

Email addresses of people related to this client application.

Specify the URI of the JSON file containing the json web keys. This key set contains signing keys that the relying party uses to validate signatures from the OpenID provider.

Example: `https://client.example.org/my_public_keys.jwks`

Specify the ID Token Signed Response Algorithm. This algorithm is required for signing the ID token issued to the client.

Specify the algorithm used to encrypt the key.

Specify the algorithm used to encrypt the content.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Required/Optional</th>
</tr>
</thead>
<tbody>
<tr>
<td>grant_types</td>
<td>The following are the supported grant types:</td>
<td>Optional</td>
</tr>
<tr>
<td></td>
<td>- <code>authorization_code</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>implicit</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>refresh_token</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>resource_owner_credentials</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>client_credentials</code></td>
<td></td>
</tr>
<tr>
<td>response_types</td>
<td>The following are the supported response type:</td>
<td>Optional</td>
</tr>
<tr>
<td></td>
<td>- <code>code</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>id_token</code></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- <code>access_token</code></td>
<td></td>
</tr>
<tr>
<td>logo_uri</td>
<td>Specify the URL of the logo that you want to include in the consent page.</td>
<td>Optional</td>
</tr>
<tr>
<td></td>
<td>Example: <code>https://client.example.org/logo.png</code></td>
<td></td>
</tr>
<tr>
<td>policy_uri</td>
<td>URL of the Relying Party Client’s privacy policy.</td>
<td>Optional</td>
</tr>
<tr>
<td></td>
<td>Example: <code>https://client.example.org/privacypolicy</code></td>
<td></td>
</tr>
<tr>
<td>tos_uri</td>
<td>URL of the Relying Party’s terms of service</td>
<td>Optional</td>
</tr>
<tr>
<td></td>
<td>Example: <code>https://client.example.org/terms</code></td>
<td></td>
</tr>
<tr>
<td>contacts</td>
<td>Email addresses of people related to this client application.</td>
<td>Optional</td>
</tr>
<tr>
<td>jwks_uri</td>
<td>Specify the URI of the JSON file containing the json web keys. This key set contains signing keys that the relying party uses to validate signatures from the OpenID provider.</td>
<td>Optional</td>
</tr>
<tr>
<td>id_token_signed_response_alg</td>
<td>Specify the ID Token Signed Response Algorithm. This algorithm is required for signing the ID token issued to the client.</td>
<td>Optional</td>
</tr>
<tr>
<td>id_token_encrypted_response_alg</td>
<td>Specify the algorithm used to encrypt the key.</td>
<td>Optional</td>
</tr>
<tr>
<td>id_token_encrypted_response_enc</td>
<td>Specify the algorithm used to encrypt the content.</td>
<td>Optional</td>
</tr>
</tbody>
</table>
Modifying a Client Application by Using REST API

Perform the following steps:

1. Retrieve the client details from the https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients/<client ID> endpoint. In the request for retrieving client details, use GET as the HTTP method value.

2. Send the update request. In the update request, use POST as the HTTP method value.

   Identity Server uses the following endpoint for modifying a registered client application:
   https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients/<client ID>

   For the list of parameters this endpoint requires for a client application modification, see Table 5-5 on page 541.

NOTE: For updating a client application, you must send the complete xml with all parameters during the update request. If you do not include a parameter in the update xml, the server will not initialize this parameter. For example, if you want to update the response_types parameter, send the updated value for this parameter and existing values for other parameters in the request.

Viewing a Client Application by Using REST API

To view a client application, use GET as the HTTP method value.

You can view a registered client application by using the following two endpoints:

- https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients/: To view all clients applications registered by a developer
- https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients/<client ID>: To view a specific client application registered by a developer

Deleting a Client Application by Using REST API

To delete a client application, the HTTP method value must be DELETE.

Identity Server uses the following endpoint for deleting a registered client application:

https://<Identity Server URL: Port Number>/nidp/oauth/nam/clients/<client ID>

5.2.10.15 Managing OAuth 2.0 Resource Server and Scope by Using REST API

You can programmatically register, view, modify, and delete a resource server and scopes in Access Manager.

- "Registering a Resource Server by Using REST API" on page 544
- "Deleting a Resource Server by Using REST API" on page 544
- "Viewing Registered Resource Servers" on page 544
- "Creating a Scope by Using REST API" on page 544
- "Modifying a Scope by Using REST API" on page 545
- "Deleting a Scope by Using REST API" on page 545
- "Viewing Configured Scopes by Using REST API" on page 545
Registering a Resource Server by Using REST API

To register a resource server by using REST API, you must have your role defined as NAM_OAUTH2_ADMIN in the OAuth policy. Send an HTTPS POST request with the appropriate URI parameters to resource server endpoint URI. The request includes the following information:

- **Scope**: urn:netiq.com:nam:scope:oauth:registration:full
- **Resource Server Endpoint**: https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers
- **HTTP Method**: POST
- **URI Parameter**: Include the following parameter:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Required</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>name</td>
<td>yes</td>
<td>Name of the resource server</td>
</tr>
</tbody>
</table>

Deleting a Resource Server by Using REST API

To delete a resource server by using REST API, you must have your role defined as NAM_OAUTH2_ADMIN in the OAuth policy. The delete request includes the following details:

- **Scope**: urn:netiq.com:nam:scope:oauth:registration:full
- **HTTP Method**: DELETE
- **Resource Server Endpoint**: https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>

Viewing Registered Resource Servers

To view all registered resource servers by using REST API, you must have your role defined as NAM_OAUTH2_ADMIN or NAM_OAUTH2_DEVELOPER in the OAuth policy. The request includes the following details:

- **Scope**: urn:netiq.com:nam:scope:oauth:registration:full
- **HTTP Method**: GET
- **Resource Server Endpoint**: https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers

Creating a Scope by Using REST API

To create a scope by using REST API, you must have your role defined as NAM_OAUTH2_ADMIN in the OAuth policy. The request includes the following details:

- **Scope**: urn:netiq.com:nam:scope:oauth:registration:full
- **Resource Server Endpoint**: https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>/scopes
- **HTTP Method**: POST
Request URI Parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Required</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>scope</td>
<td>Yes</td>
<td>Name of the scope</td>
</tr>
<tr>
<td>scope_description</td>
<td>Yes</td>
<td>Description of the scope. The consent page displays this description while obtaining authorization from the user.</td>
</tr>
<tr>
<td>claims</td>
<td>Either claims or attribute_set is required.</td>
<td>List of claims</td>
</tr>
<tr>
<td>attribute_set</td>
<td></td>
<td>Attribute name and attribute dn.</td>
</tr>
<tr>
<td>userPermissionRequired</td>
<td>No</td>
<td>Boolean value. Default value is true.</td>
</tr>
<tr>
<td>adminApprovalRequired</td>
<td>Yes</td>
<td>Boolean value. Default value is true, set it to false always.</td>
</tr>
<tr>
<td>isGroupOfUserAttributes</td>
<td>No</td>
<td>Boolean value. Default value is false.</td>
</tr>
<tr>
<td>allowModifyInConsent</td>
<td>No</td>
<td>Boolean value. Default value is false.</td>
</tr>
</tbody>
</table>

Modifying a Scope by Using REST API

To modify a scope by using REST API, you must have your role defined as `NAM_OAUTH2_ADMIN` in the OAuth policy. The request includes the following details:

**Scope:** `urn:netiq.com:nam:scope:oauth:registration:full`

**Resource Server Endpoint:** `https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>/scopes/<scopename>`

**HTTP Method:** POST

Send only those parameters which you want to modify.

Deleting a Scope by Using REST API

To delete a scope by using REST API, you must have your role defined as `NAM_OAUTH2_ADMIN` in the OAuth policy. The request includes the following details:

**Scope:** `urn:netiq.com:nam:scope:oauth:registration:full`

**Resource Server Endpoint:** `https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>/scopes/<scopename>`

**HTTP Method:** DELETE

Viewing Configured Scopes by Using REST API

You can view details of all scopes together or a specific scope. To view a scope by using REST API, you must have your role defined as `NAM_OAUTH2_DEVELOPER` or `NAM_OAUTH2_ADMIN` in the OAuth policy.
**Viewing Details of a Specific Scope**

The request includes the following details:

**Scope:** urn:netiq.com:nam:scope:oauth:registration:full

**Resource Server Endpoint:** https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>/scopes/<scopename>

**HTTP Method:** GET

**Viewing Details of All Configured Scopes**

The request includes the following details:

**Scope Required:** urn:netiq.com:nam:scope:oauth:registration:full

**Resource Server Endpoint:** https://<Identity Server URL: Port Number>/nidp/oauth/nam/resourceservers/<resourceServerName>/scopes

**HTTP Method:** GET

---

### 5.2.10.16 End User Operations

End users can perform the following actions:

- “User Authorization” on page 546
- “Revoking Authorizations” on page 546

#### User Authorization

When end users access a client application, they are required to give consent for the application to access their email, basic profile, and any other information. An administrator configures a list of allowed scopes. The Consent page shows only these scopes. For example, if an administrator configures email and basic profile, a user can see only these two scopes in the consent page.

Select the scope that you want the application to access and click **Accept**.

**NOTE:** Email is a mandatory scope configured by the administrator and all client applications can access this scope by default. You cannot deselect this scope on the consent page.

The client application must remember the scopes a user has provided earlier in the consent. For the next request, the client application must ask for the scopes that the user did not provide in the earlier request. For example, if a client application asks for five scopes and the user provides only three scopes, the client application must remember user’s choice and must not ask for the five scopes again unless it is an absolute requirement.

#### Revoking Authorizations

You can view all authorized client applications with their scopes and claims under the **Authorized Applications** tab. An end user can revoke the consent given earlier to client applications by using the Revoke Consent page.

Log into Identity Server and go to Applications > Authorized Applications. You can view details of client applications and revoke the client applications’ access if required.
5.2.10.17 Configuring the Demo OAuth Application

This application demonstrates how to protect an OAuth enabled application by using Access Manager. This application contains a RESTful web service and a client application that uses this RESTful web service.

The RESTful web service allows you to perform TODO tasks for a hypothetical application. This web service exposes an API to add, modify, and delete tasks on behalf of a user. The client application provides a web interface that uses REST APIs to manage these tasks. The REST service protects REST APIs with OAuth Access tokens issued by a trusted Access Manager OAuth provider.

This demo configuration provides a way to test OpenID connect endpoints such as metadata, userinfo, and tokeninfo endpoints.

Download OAuth 2.0 Demo Application and follow the instructions provided in the RunningDemoApp document.

5.2.11 Configuring Authentication Through Federation for Specific Providers

- Section 5.2.11.1, “Setting Up Google Applications,” on page 547
- Section 5.2.11.2, “Setting Up Office 365 Services,” on page 548
- Section 5.2.11.3, “Integrating Salesforce With Access Manager By Using SAML 2.0,” on page 548
- Section 5.2.11.4, “Integrating Shibboleth Identity Provider With Access Manager,” on page 550

5.2.11.1 Setting Up Google Applications

Google Applications are pre-configured to establish federation with external service providers.

1. Click Devices > Identity Servers > Edit > [Protocol].
   For the protocol, click SAML 2.0.
2. Click New > Service Provider.

   **NOTE:** By default, the Provider Type > General is selected.

3. Select Google Application from the Provider Type drop-down list.
   
   By default, the Metadata Text source is selected and the Text field is pre-filled with the metadata XML. You must edit the Location in the metadata text and replace YOURDOMAIN with the domain name configured in Google Applications.

4. In the Name option, specify a name by which you want to refer to the provider and click Next.

5. Review the metadata certificates and click Finish. For Google Applications, the certificates page displayed is empty because the metadata does not contain information about the certificates. The system displays the trusted provider on the protocol page. For example, if you have specified the Name as GoogleApps, the page displays the trusted service provider when you click Finish.
6 Click OK, then update Identity Server.

The wizard allows you to configure the required options and relies upon the default settings for the other federation options. For information about how to configure the default settings and how to configure the other available options, see Section 3.9.4, “Modifying a Trusted Provider,” on page 159.

You can configure Access Manager to provide the single sign-on services to Google applications by using Security Assertion Markup Language (SAML) 2.0. For more information, see Integrating Google Apps and Novell Access Manager using SAML 2.0.

5.2.11.2 Setting Up Office 365 Services

Office 365 is pre-configured to establish federation with external service providers. For more information, see “Setting Up Google Applications” on page 547. In Step 3 on page 547, select Office 365. The system displays the trusted provider on the protocol page. For example, if you have specified the Name as Office365, the screen displays the trusted service provider Office365 as in Figure 5-21 on page 548, when you click Finish.

Access Manager is compatible with Microsoft Office 365 and provides single sign-on access to Office 365 services.

For more information, see Chapter 5.2.13, “Configuring Single Sign-On for Office 365 Services,” on page 553.

5.2.11.3 Integrating Salesforce With Access Manager By Using SAML 2.0

Salesforce.com is pre-configured to establish federation with external service providers.

Integrating Salesforce With Access Manager By Using SAML 2.0 for Identity Provider Initiated Login

To integrate Salesforce for idpsend, follow the procedure in “Setting Up Google Applications” on page 547. In Step 3 on page 547, select Salesforce. The system displays the trusted provider on the protocol page. For example, if you have specified the Name as SalesForce, the screen displays the trusted service provider as in Figure 5-21 on page 548, when you click Finish.

Access Manager allows your users to use their existing LDAP credentials for single sign-on access to salesforce.com as well as any Web applications protected by Access Manager.
For information using SAML 2.0 for Identity Provider initiated login, follow the procedure below.

1 Create domain in Salesforce.
   To enable IDP-initiated login in Salesforce.com, you must enable and configure the My Domain option in Salesforce.com. Defining your own domain provides the basis for an IDP-initiated URL.
   1a Login as administrator. Go to Administration Setup > Domain Management > My Domain.
   1b Specify the subdomain name and check the availability.
   1c Agree to the terms and conditions and click Register Domain.

2 If you have already configured your identity provider for Salesforce.com using the wizard, you must update configuration in the identity provider according to the new domain. Perform the following steps.
   2a Download the metadata from Salesforce site for your domain. See Step 3 on page 547. Send and import this metadata into your Identity Server Salesforce configuration. For reimporting metadata in Access Manager Identity Server, see “Viewing and Reimporting a Trusted Provider’s Metadata” on page 163.
   2b Change the Intersite Transfer URL to point to the new domain URL

3 Perform Step 4 on page 550 and Step 5 on page 550.

4 Update Identity Server.

**Integrating Salesforce With Access Manager By Using SAML 2.0 for Service Provider Initiated Login**

Service provider configuration options offer you more flexibility and control for example, simultaneously federating with more than one Identity Server. Salesforce.com also supports SP-initiated login along with IDP-initiated login. SP-initiated login lets the user use a simple and intuitive URL to access the target application.

Follow the procedure given below to integrate Salesforce with Access Manager by using SAML 2.0 for service provider initiated login. Assume that the user has a Salesforce account.

1 Create domain in Salesforce.
   To enable SP-initiated login in Salesforce.com, you must enable and configure the My Domain option in Salesforce.com. Defining your own domain provides the basis for an SP-initiated URL.
   1a Login as administrator. Go to Administration Setup > Domain Management > My Domain.
   1b Specify the subdomain name and check the availability.
   1c Agree to the terms and conditions and click Register Domain.
   If you have already configured your identity provider for Salesforce.com using wizard, you must update configuration in the identity provider according to the new domain. Perform the following steps.

---

**NOTE:** Configure SSO configuration. Follow the procedure below to enable SAML support in Salesforce.

1. Go to your Salesforce account and login.
2. From the left panel, select Security Control > Single sign setting > Saml Single Sign-on Setting > New and fill the form.

2 Change the Intersite Transfer URL to point to the new domain URL.
3 Import Salesforce metadata in Access Manager.

As with any other SAML federation you must configure both your Access Manager Identity Server and Salesforce.com Service Provider (SP) to establish a trust. You now have an option to download your metadata from Salesforce.com. To download your specific metadata go to your Salesforce.com instance.

3a Login as administrator. Go to Administration Setup > Security Controls > Single Sign-On Settings.

3b Select Name which you have configured above and Download Metadata.

3c Reimport this metadata into your service provider configuration in Access Manager assuming that you have created Salesforce using the wizard.

The metadata file you download will include a certificate. For Access Manager to trust or use this certificate, the trusted root certificate chain that minted the certificate must exist in the Access Manager certificate trust stores.

4 Import certificate in Access Manager, for example, Salesforce.com.


4b Copy the information into its own file and give it a .cer file extension. Windows will recognize this as a certificate.

4c Double click and open the file.

4d Click Certification Path to see the chain of authority for the certificate.

You will need the trusted root certificate for every CA in the chain that you see listed.

4e In the example above, select the VeriSign Class 3 International Server CA – G3 and click View Certificate.

4f Click Details.

5 You can now export the CA trusted root certificate.

5a Click Copy to File…. This will launch the Windows Certificate Export Wizard.

5b Select .DER encoded when prompted. Give the file a name and save.

5c Repeat this process for every CA in the certificate path chain.

5d Use the Access Manager Administration Console to import the resulting CA trusted root certificates into your Access Manager keystores.

Ensure to add Root certificate of Salesforce into your OCSP trust store else, OCSP validation fails and Identity Server displays an error.

5.2.11.4 Integrating Shibboleth Identity Provider With Access Manager

You can establish a single sign-on exchange between Access Manager SAML 2 service provider and a Shibboleth SAML 2 identity provider.

For more information, see Integrating Access Manager with Shibboleth’s Identity Provider Server.
## 5.2.12 Integrating Amazon Web Services with Access Manager

Access Manager now enables you to federate with the Amazon Web Services (AWS) with the help of a wizard. The wizard allows you to configure the required options and relies upon the default settings for the other federation options.

Integrating AWS with Access Manager includes the following steps:

- Section 5.2.12.1, “Enabling Web Single Sign-On in the AWS Console,” on page 551
- Section 5.2.12.2, “Configuring AWS as a Service Provider in Access Manager,” on page 551

### 5.2.12.1 Enabling Web Single Sign-On in the AWS Console

Before you integrate AWS in Access Manager, you must enable web single sign-on (sso) in the AWS console. To enable web sso, perform the following steps:

3. Log in to AWS.
5. Click `Identity Providers`.
6. Click `Create Provider`.
   - **6a Provider Type**: Select SAML.
   - **6b Provider Name**: Specify a name. For example, NAM-IDP.
   - **6c Metadata Document**: Select the file that you saved in Step 1 on page 551.
7. Verify the provider information and click **Create**.
8. On the dashboard, click **Roles**.
9. Click **Create New Role**.
10. Specify a role name.
11. Click **Next**.
12. Select **Role for Identity Provider Access > Grant Web Single Sign-On (WebSSO) access to [SAML providers]**.
13. Click **Next Step**.
14. On the **Attach Policy** page, select the desired policies. Click **Next Step**.
15. Review the role information. Make a note of the Role ARN and Trusted Entries.
16. Click **Create Role**.

### 5.2.12.2 Configuring AWS as a Service Provider in Access Manager

1. Click **Devices > Identity Servers > Edit > SAML 2.0**.
2. Click **New > Service Provider**.
3. Specify the following details:
   - **Provider Type**: Select Amazon Web Services.
By default, the Metadata Text source is selected and the Text field is pre-filled with the metadata XML.

**Name:** Specify a name for the provider and click Next.

**Role ARN:** Specify the role ARN. For example, specify `arn:aws:iam::625143326143:role/MyAdmin`.

**Trusted SAML Provider ARN:** Specify the trusted SAML provider ARN. For example, specify `arn:aws:iam::625143326143:saml-provider/idp1`.

To fetch ARN values, see “Enabling Web Single Sign-On in the AWS Console” on page 551.

**NOTE:** The Role ARN and Trusted SAML Provider ARN parameters are used to create the attribute mapping. If you have configured multiple roles in AWS, you can add any Role ARN while creating a service provider. To modify the attribute set, see “Re-Mapping Attribute Sets” on page 552.

4 Review the metadata certificates and click Finish.

5 Click OK, then update Identity Server.

### Re-Mapping Attribute Sets

By default, the AWS wizard creates an attribute set with the name AmazonWebServices. This attribute set has the following mappings:

1 **Constant Value:** It is created using the Role ARN and trusted SAML provider. It is mapped to Role.

   For example: if Role ARN is `arn:aws:iam::638116851885:role/NewRole` and the Trusted SAML Provider ARN is `arn:aws:iam::638116851885:saml-provider/NAM-IDP`, then, the constant value is `arn:aws:iam::638116851885:role/NewRole,arn:aws:iam::638116851885:saml-provider/NAM-IDP`. This is mapped to the Role.

**NOTE:** When multiple roles are configured in AWS, create a virtual attribute to change the Role ARN dynamically depending on the user. After creating the virtual attribute, create the corresponding attribute mapping. For more information, see use case 3 in “Sample JavaScripts with Examples” on page 83.

2 **LDAP Attribute:** It is the `givenName` mapped to the Remote Attribute `RoleSessionName`. You can also map any other attribute instead of the `givenName`.

   If you want to use any other LDAP attribute to be mapped for `RoleSessionName`, perform the following steps:

   1 Click Devices > Identity Server > Shared Settings > Attribute Sets > AmazonWebServices > Mapping.

   In the attribute list, select the existing LDAP attribute set.

   2 Click Delete.

   3 Click Apply > OK.

   4 Click New.

   5 In Add Attribute Mapping, specify the following details:

      5a **Local attribute:** Select a local attribute from the available list.

      5b **Remote Attribute:** Specify `RoleSessionName`.

      5c **Remote nameSpace:** Specify `http://aws.amazon.com/SAML/Attributes/`

   6 Click OK > Finish.
Configuring Authentication

7 Click Devices > Identity Servers > Edit > SAML 2.0.
8 Select AWS and click Attributes.
9 Select the new attribute set from Available and move it to Send with authentication.
10 Click OK, then update Identity Server.

Re-Importing The Metadata

The AWS metadata has a validity associated with it. You need to re-import the metadata before the license expires. To re-import the metadata, perform the following steps:

1 Click Devices > Identity Servers > Edit > SAML 2.0.
2 Under Trusted provider, click AWS service provider.
3 In Metadata, click Reimport.
4 Specify the following:
   4a Provider Type: Select General.
   4b Source: Select Metadata text.
   4c Name: Name for the service provider is displayed by default.
   4d Text: Fetch the metadata from: https://signin.aws.amazon.com/static/saml-metadata.xml. Remove the string content <KeyDescriptor use="signing"> .... </KeyDescriptor>. Copy this edited metadata and paste it in Text.
5 Click Next.
6 Confirm metadata certificates, then click Finish.
7 Update Identity Server.

5.2.13 Configuring Single Sign-On for Office 365 Services

Access Manager provides single sign-on access to Office 365 services such as Exchange Server, SharePoint Online and Lync without using ADFS (Active Directory Federation Services). You can use your existing enterprise credentials to access any of the Office 365 services without having to remember multiple passwords or sign in multiple times to access different services. You can sign in once with an existing password and Access Manager grants you access to all services.

This single sign-on access is achieved by implementing Passive or Active authentication by using WS-Federation, WS-Trust, and SAML 2.0 protocols.

A trust model is set up for Access Manager and Office 365 to communicate with each other. Access Manager, configured as an identity provider, allows Office 365 to trust it for authentication. Office 365 configured as a service provider, consumes authentication assertions from Access Manager.

Access Manager supports single sign-on to the following Office 365 applications:

- SharePoint
- Office 365 Portal
- Outlook Web Access
- Lync 2010
- Lync 2013
- Skype for Business 2015
- Outlook 2013
5.2.13.1 Passive and Active Authentication

In a Passive authentication scenario, the user signs in through a Web form displayed by the identity provider and the user is requested to log in. In Active authentication scenario, the user is authenticated using thick clients. As the thick client does not support redirection, Office 365 gets the credentials and validates the authentication with Access Manager by communicating directly with it.

Passive authentication is supported by using the WS-Federation protocol and supports sign-in to Office 365 using the Web interface. The clients includes the Office 365 portal, SharePoint Online, Outlook Web Access, and the Office Web Apps. You can achieve passive authentication using either SAML 2.0 or WS-Federation protocol.

Active authentication is supported by using the WS-Trust protocol and supports sign-in to Office 365 using Office client applications. The clients includes Outlook, Lync, Word, Excel, PowerPoint, and OneNote. If you are using Microsoft Exchange, you can use SAML 2.0 but for active authentication, WS-Trust is the recommended protocol.

5.2.13.2 Configuring Active and Passive Authentication By Using WS-Trust and WS-Federation Protocols

Using the wizard, when you configure an Office 365 domain with WS-Trust protocol it creates the following two domains:

- A domain preconfigured for active authentication using WS-Trust protocol
- A domain preconfigured for passive authentication using WS-Federation protocol.

If your business needs demand using a domain based on SAML 2.0 protocol, you can configure a domain manually using the steps in “Configuring an Office 365 Domain That Supports Passive Federation by using SAML 2.0” on page 560

The following sections cover the details about how to configure a domain by using WS-Trust and WS-Federation protocols:

- “Prerequisite” on page 555
- “Configuring an Office 365 Domain By Using WS-Trust Protocol” on page 555
- “Configuring an Office 365 Domain to Federate with Access Manager” on page 555
Prerequisite

Use the following steps to verify that WS-Trust and WS-Federation protocols are enabled in Access Manager:

1. Click Devices > Identity Servers > Edit.
2. In the Enabled Protocols section, ensure that WS-Trust and WS-Federation protocols are selected.

Configuring an Office 365 Domain By Using WS-Trust Protocol

When you configure a new Office 365 domain by using the WS-Trust protocol, it creates a domain preconfigured for Active authentication and also creates a WS-Federation Service Provider that is preconfigured for Passive authentication.

1. Click Devices > Identity Servers > Edit > WS-Trust > Service Provider Domain.
2. Click New > Office 365 Domain and specify a name to identify the domain. This domain is by default configured with ImmutableID and Attribute Set information and a Service Provider with the same name as the Office 365 domain is automatically created.
   
   An authentication method Name/Password - Form-WebService is created and this is selected for WS-Trust. This method ensures that an email address/password is accepted for authentication.
   
   Click the domain name to make further modifications.
   
   For more details, see link “Modifying Service Providers” on page 505
3. Click the WS-Federation tab and verify that a new Service Provider with the same name as the Office 365 domain is created. This Service Provider is preconfigured with Attribute Set information and Authentication Response for the Passive authentication.

Configuring an Office 365 Domain to Federate with Access Manager

- “Prerequisite” on page 555
- “Enabling Federation Settings in Office 365 Domain” on page 556
- “Verifying Single Sign-On Access” on page 556

Prerequisite

Ensure that the following requirements are met before configuring an Office 365 domain:

- Identity Server must be accessible from outside the firewall so that the Office 365 domain can communicate with Identity Server.
- Sign up for an Office 365 account.
- To single-sign on to any of the Office 365 applications, ensure that you download it from the Office 365 portal.
- Create a federated domain in Office 365 and prove ownership of it. This ensures that you add your company domain into the Office 365 domain. For more information, see Adding and Verifying a Domain for Office 365.
- Ensure that the Windows 7 or Windows 8 workstations do not have the Active Directory Federation Service 2.0 snap-in installed.
- Ensure that the Identity Server Base URL SSL certificate is issued by a well-known external certification authority (CA).
- Install Microsoft Live Sign-in Module to help manage and establish a remote session with the Office 365 account that is created to manage the Office 365 domain. To download, go to Microsoft Downloads Center.

- Install Microsoft Azure Active Directory Module. To download, go to Manage Azure AD using Windows PowerShell.

**Enabling Federation Settings in Office 365 Domain**

Run the following commands in Powershell by modifying the commands with your domain name as per your setup. The domain name in the example is `namtest.com`.

1. From the Start Menu launch Windows Azure Active Directory Module for Windows PowerShell.
2. Run `$cred=Get-Credential`. Enter your cloud service administrator account credentials.
3. Run `Connect-MsolService -Credential $cred`.

   For example, if the name of the domain is `namtest.com` and the Base URL of Identity Server is `https://namtest.com/nidp/`, execute the following commands at the Powershell prompt:

   **NOTE:** In this example, the Base URL the port is not specified as it uses the default port 443. If you are using a different port, specify the port with the Base URL.

   For example: `https://namtest.com/nidp/`

   1. `$dom = "namtest.com"`
   2. `$url = "https://namtest.com/nidp/wsfed/ep"`  
   3. `$ecpUrl = "https://namtest.com/nidp/wstrust/sts/active12"`  
   4. `$uri = "https://namtest.com/nidp/wsfed/"`  
   5. `$logoutUrl = "https://namtest.com/nidp/jsp/o365wsfedlogout.jsp"`  
   6. `$mex = "https://namtest.com/nidp/wstrust/sts/mex"`  

   **NOTE**
   - If the certificate used has a `.crt` extension ensure that you convert it to a `.cer` extension file.
   - While executing this command, ensure that you specify the path to the certificate within the double quotes. For example: "C:\local\netiq-off365-sign.cer"

   8. `$certData = [system.convert]::tobase64string($cert.rawdata)`

4. Use the following cmdlet to update the settings of the single sign-on domain.

   ```powershell
   ```

**Verifying Single Sign-On Access**

**Prerequisite:**

- You need at least one user in Office 365 to verify that single sign-on is set up. If you have an existing user, ensure that the Immutable ID matches the GUID of the Access Manager user.

  For instance if your user store is eDirectory and you want to retrieve the GUID of an existing Access Manager user, execute the following command on the eDirectory server terminal:
ldapsearch -x -h 127.0.0.1 -p 389 -D cn=admin,o=novell -w novell -b cn=anand,o=novell guid

Where h is host, p is port, D is bind credential, w is password, b is search scope, and guid is the attribute to search.

Create an Office 365 user with this GUID as the Immutable ID by using the following command in Powershell:

new-msolUser -userprincipalName "user1@domain name" -immutableID "GUID of user1" - lastname "lastname of user 1" - firstname user1 - DisplayName "user1 users" - BlockCredential $false - "LicenseAssignment testdomain:ENTERPRISEPACK" - usageLocation "two letter country code [example: US, IN, DE, BE, GB etc]" - Password "password of the user" - LicenseAssignment validlicense.

**Procedure to verify:**

To verify that single sign-on is set up correctly, perform the following procedure in a server that is not added to the domain.

1. Go to Microsoft Online Services (http://login.microsoftonline.com/).
2. Log in with your corporate credentials. (For example: user1@namnetiq.in)
   
   If single sign-on is enabled, the password field is dimmed. You will instead see the following message: You are now required to sign in at <your company>.

3. Select the Sign in at your company link.
   
   If you are able to sign in without errors, single sign-on is set up successfully.

**5.2.13.3 Configuring Federation with Office 365 Services for Multiple Domains**

You can now federate multiple parent domains with a single Access Manager cluster. This means that if the enterprise has users belonging to multiple domains, a single Access Manager cluster can handle the single sign-on requests for all the users for Office 365 services.

For example: Let us assume you have users spread across two domains: user1@namtest.com and user2@namnetiq.in. When user1@namtest.com and user2@namnetiq.in access Office 365 services, the same Access Manager identity provider automatically forms the response with the corresponding Issuer URI and sends it to corresponding domains configured in the Office 365 service.

- “Creating Multiple Domains in Office 365 and Establishing Federation with Access Manager” on page 557
- “Configuring Federation for Multiple Domains that Include Child Domains” on page 559

**Creating Multiple Domains in Office 365 and Establishing Federation with Access Manager**

1. Ensure that you meet the prerequisites for creating a domain. For more information, see “Prerequisite” on page 555.
2. Create a new Office 365 domain and verify it. For more information see Adding and Verifying a Domain for Office 365. (http://office365support.ca/adding-and-verifying-a-domain-for-the-new-office-365/)
NOTE: Office 365 does not support creating a child domain if federation configuration for parent domain is already established by using powershell. Ensure that you add all child domains from the Office 365 admin center before establishing federation for the parent domain.

For more information about establishing federation when there are multiple domains and a child domain, see “Configuring Federation for Multiple Domains that Include Child Domains” on page 559.

3 According to the example used in section Enabling Federation Settings in Office 365 Domain, we have an existing domain named namtest.com.

To create a new domain named namnetiq.in, run the following commands in Powershell by modifying the commands with your domain name as per your setup.

3a Run $cred=Get-Credential. Enter your cloud service administrator account credentials.

3b Run Connect-MsolService -Credential $cred

For example, if the name of the domain is namnetiq.in and the Base URL of Identity Server is https://namnetiq.in/nidp/, execute the following commands at the Powershell prompt:

NOTE

- In the following example, port is not mentioned as it uses 443. However, if you are using port 8443, specify the port with the Base URL as follows:

  For example: https://namnetiq.in:8443/nidp/

- When you add additional domains to Office 365 using Powershell commands, the variables $certdata, $url, $ecpurl, $logouturl, and $mex must contain the details provided for the existing domain. If you configure a new domain, change the values of $dom and the $uri

1. $dom = "namnetiq.in"
2. $url = "https://namtest/nidp/wsfed/ep"
3. $ecpUrl = "https://namtest.com/nidp/wstrust/sts/active12"
4. $uri = "https://namnetiq.in/nidp/wsfed/"
5. $logouturl = "https://namtest.com/nidp/jsp/o365wsfedlogout.jsp"
6. $mex = "https://namtest.com/nidp/wstrust/sts/mex"

NOTE

- If the certificate used has a .crt extension ensure that you convert it to a .cer extension file.

- While executing this command, ensure that you specify the path to the certificate within the double quotes. For example: "C:\local\netiq-off365-sign.cer"

8. $certData = [system.convert]::tobase64string($cert.rawdata)

3c Use the following cmdlet to update the settings of the single sign-on domain.

To configure any more domains, follow the same steps. Ensure that the Issuer URI includes the UPN of the domain. For example, if you are configuring a domain named support.in, the Issuer URI will be https://support.in/nidp/wsfed/

4 Go to Devices > Identity Servers > Edit > Options and ensure that the value for STS OFFICE365 MULTI DOMAIN SUPPORT AUTO is configured as true.

This property enables users to access Office 365 services using the Issuer URI specific to the domain they belong to.

Configuring Federation for Multiple Domains that Include Child Domains

Consider a scenario where you already have users as part of namtest.com and namnetiq.in. You now need to create a child domain support.namnetiq.in under namnetiq.in. In this case no federation settings are available in Office 365 for the child domain. The federation setting for the parent domain is used. So, it is important that the Issuer URI is not automatically changed to the User Principal Name of the user. The Issuer URI must be set to the parent domain Issuer URI. For the child domain support.namnetiq.in, the Issuer URI will be https://namnetiq.in/nidp/wsfed/

1 Click Devices > Identity Servers > Edit > Options > New.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>STS CHANGE ISSUER</td>
<td>Specify the value in this format: SPentityID:UPNDomain -&gt; new IssuerID. For example, urn:federation:MicrosoftOnline: support.namnetiq.in -&gt; <a href="https://namnetiq.in/nidp/wsfed/">https://namnetiq.in/nidp/wsfed/</a></td>
</tr>
<tr>
<td>STS OFFICE365 MULTI DOMAIN SUPPORT AUTO</td>
<td>Select false. This ensures that the Issuer URI is formed based on the UPN of the parent domain.</td>
</tr>
</tbody>
</table>

2 Click OK > Apply.
5.2.13.4 Configuring an Office 365 Domain That Supports Passive Federation by using SAML 2.0

- “Prerequisite” on page 560
- “Configuring an Office 365 Domain to Federate with Access Manager” on page 560

Prerequisite

Ensure that SAML 2.0 is enabled in Access Manager.

1. Click Devices > Identity Servers > Edit.
2. In the Enabled Protocols section, verify whether SAML 2.0 is selected.

Configuring an Office 365 Domain to Federate with Access Manager

- “Prerequisite” on page 560
- “Setting Up Office 365 Services” on page 561
- “Establishing Trust Between an Identity Provider and a Service Provider” on page 561
- “Configuring Specific Attributes as ImmutableID” on page 562
- “Configuring Desktop Email Client to Access Office 365 Emails” on page 563
- “Configuring Desktop Email Client to Access Office 365 Emails” on page 564
- “Verifying Single Sign-On Access” on page 566

Prerequisite

Ensure that the following requirements are met before configuring an Office 365 domain:

- Sign up for an Office 365 account.
- To single-sign on to any of the Office 365 applications, ensure that you download it from the Office 365 portal.
- Create a federated domain in Office 365 and prove ownership of it. By doing this you add your company domain into the Office 365 domain. For more information, see Adding and Verifying a Domain for Office 365.
- Ensure that the Windows 7 or Windows 8 workstations do not have the Active Directory Federation Service 2.0 snap-in installed.
- Ensure that the Identity Server Base URL SSL certificate is issued by a well-known external certification authority (CA).
- Install Microsoft Live Sign-in Module to help manage and establish a remote session with the Office 365 account that is created to manage the Office 365 domain. To download, go to Microsoft Downloads Center.
- Install Microsoft Azure Active Directory Module. To download, go to Manage Azure AD using Windows PowerShell.
Setting Up Office 365 Services

Office 365 is preconfigured to establish federation with an external service providers.

Perform the following steps to create a trusted service provider:

1. Click Devices > Identity Servers > Edit.
2. Click SAML 2.0 > New > Service Provider.
3. Select Provider Type as Office 365. Ensure that Source is selected as the Metadata Text.
4. Specify a name for the Office 365 domain. The XML metadata is automatically populated in the Text field. Click Next.
5. Confirm the certificates and click Finish to save the changes.

Establishing Trust Between an Identity Provider and a Service Provider

You can configure Office 365 domains federations by using the Microsoft Online Services Module. You can use the Microsoft Online Services Module to run a series of cmdlets in the Windows PowerShell command-line interface to add or convert domains for single sign-on.

Each Active Directory domain that you want to federate by using Access Manager must either be added as a single sign-on domain or converted to be a single sign-on domain from a standard domain. Adding or converting a domain sets up a trust between Access Manager and Office 365.

Adding a Domain:

To add a domain to Office 365, perform the following steps:

1. Log in to Office 365 as an administrator.
2. On the Administrator page, click Management > Domains > Add a domain.
3. Specify the domain name that you want to add.
4. Click Next.
5. Verify the domain name.
   For more information about how to verify a domain, see Verify your domain and change name servers.
6. Select appropriate services.
7. Configure the DNS records on the domain registrar for other services.

   NOTE: Do not configure the new domain to the primary domain. Using the Set-MsolDomainAuthentication command to set the domain as a federated domain results in an error if the domain is the default domain.

For more information, see Add a domain to Office 365.

Converting a standard domain to a federated domain: To convert a standard domain to a federated domain, perform the following steps:

1. Open the Microsoft Online Services Module from the Start menu.
2. Run $cred=Get-Credential. Enter your cloud service administrator account credentials.
3. Run Connect-MsolService -Credential $cred.
   This cmdlet connects you to the cloud service. Creating a context that connects you to the cloud service is required before running any of the additional cmdlets installed by the tool.
For example, if the name of the domain you are converting to a single sign-on domain is namtest.com, and the base URL of Identity Server is https://namtest.com:8443/nidp, execute the following commands at the Powershell prompt:

1. $dom = "namtest.com"
2. $url = "https://namtest.com:8443/nidp/saml2/sso"
3. $ecpUrl = "https://namtest.com:8443/nidp/saml2/soap"
4. $uri = "https://namtest.com:8443/nidp/saml2/metadata"
5. $logouturl = "https://namtest.com:8443/nidp/jsp/o365Logout.jsp"

NOTE: While executing this command, ensure that you specify the path to the certificate within the double quotes. For example: "C:\local\netiq-off365-sign.cer"

7. $certData = [system.convert]::tobase64string($cert.rawdata)

Use the following cmdlet to update the settings of the single sign-on domain:


NOTE: Ensure that there are no spaces after the hyphen if you are copy pasting the command.

### Configuring Specific Attributes as ImmutableID

By default, Office 365 uses GUID as the ImmutableID. If you want to use any other attribute as the ImmutableID of the Office 365 user, configure and then add a property name/value pair.

1. In Administration Console, go to Identity Server and select an Identity Server.
2. Select SAML 2.0 and then select the service provider you created.
3. Select Options and click New.
4. Add a property name/value pair as follows:
   - **Property Name:** SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME
   - **Property Value:** title

The title you specify in the Property Value must be base64 encoded and stored in the user store. This value must be used as ImmutableID while creating a user in Office 365.

### Configuring Virtual Attributes as ImmutableID

Virtual attributes can be helpful in many scenarios:

- If the attribute has clear text value, virtual attribute can be used to dynamically convert it into a base64 value
- Retrieve the attribute from an external datasource and use it as the ImmutableID
To use virtual attribute as ImmutableID, perform the following steps:

1. Create a virtual attribute. For information about how to create a virtual attribute, see “Managing a Virtual Attribute” on page 78.
2. Go to Devices > Identity Servers > Servers > Edit > SAML 2.0 > Service Provider > Options and add the following property for Office 365:
   - **Property Name:** SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME
   - **Property Value:** Specify the name of the virtual attribute
3. Add the virtual attribute created in step 1 to the attribute set created for the SAML 2.0 service provider configuration for Office 365. This ensures that the name specified in SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME is mapped to the virtual attribute with that value. If the virtual attribute is not added to the attribute set, Access Manager considers the name as a normal LDAP attribute name.

### Configuring Desktop Email Client to Access Office 365 Emails

You can configure your desktop email client to access Office 365 emails. The email clients must use a basic authentication and a supported exchange access method such as IMAP, POP, Active Sync, and MAPI.

The following are the list of email clients supported for this configuration:

- Microsoft Outlook 2007
- Microsoft Outlook 2010
- Thunderbird 8 and 9
- The iPhone (various iOS versions)
- Windows Phone 7

**NOTE:** You can download the email clients from the download section of Office 365.

These steps are explained with an example where the federated domain name is namtest.com and the base URL is https://namtest.com:8443/nidp. Replace the domain name and base URL based on your system configuration.

1. Open the Microsoft Online Services Module.
2. Run the following command:
   ```powershell
   $cred=Get-Credential
   Specify your cloud service administrator account credentials.
   ```
3. Run the following command:
   ```powershell
   Connect-MsolService -Credential $cred
   This cmdlet connects you to the cloud service.
   ```
4. Execute the following command to check the existing domain federation settings:
   ```powershell
   Get-MsolDomainFederationSettings -DomainName namtest.com
   Substitute namtest.com with your domain name before executing this command.
   ```
   In the output, look for the ActiveLogOnUri parameter.

If the value of ActiveLogOnUri in the command output is https://namtest.com:8443/nidp/saml2/soap, go to Step 5 without modifying the configuration.

(Conditional) If the ActiveLogOnUri is not https://namtest.com:8443/nidp/saml2/soap, execute the following command. Substitute namtest.com and port 8443 with your domain name and port number respectively before executing the following command.

```
Set-MsolDomainFederationSettings -DomainName namtest.com -ActiveLogOnUri "https://namtest.com:8443/nidp/saml2/soap" -preferredauthenticationprotocol SAML
```

5 Create a new email account in your email client and enter your Office 365 email ID.

**NOTE:** Configure Outlook related DNS settings before using email clients. You can configure these settings after adding the domain on the Office 365 port page.

6 The system prompts for specifying the basic authentication. Specify Access Manager credentials.

The email account is created after successful authentication.

**NOTE:** While logging in to the new email account, enter Access Manager credentials.

### Configuring Desktop Email Client to Access Office 365 Emails

You can configure your desktop email client to access Office 365 emails. The email clients must use a basic authentication and a supported exchange access method such as IMAP, POP, Active Sync, and MAPI.

The following are the list of email clients supported for this configuration:

- Microsoft Outlook 2007
- Microsoft Outlook 2010
- Thunderbird 8 and 9
- The iPhone (various iOS versions)
- Windows Phone 7

**NOTE:** You can download the email clients from the download section of Office 365.

These steps are explained with an example where the federated domain name is namtest.com and the base URL is https://namtest.com:8443/nidp. Replace the domain name and base URL based on your system configuration.

1 Open the Microsoft Online Services Module.

2 Run the following command:

```
$cred=Get-Credential
```

Specify your cloud service administrator account credentials.

3 Run the following command:

```
Connect-MsolService -Credential $cred
```

This cmdlet connects you to the cloud service.
4 Execute the following command to check the existing domain federation settings:

```powershell
Get-MsolDomainFederationSettings -DomainName namtest.com
```

Substitute `namtest.com` with your domain name before executing this command.

In the output, look for the `ActiveLogOnUri` parameter.


If the value of `ActiveLogOnUri` in the command output is `https://namtest.com:8443/nidp/saml2/soap`, go to Step 5 without modifying the configuration.

(Conditional) If the `ActiveLogOnUri` is not `https://namtest.com:8443/nidp/saml2/soap`, execute the following command. Substitute `namtest.com` and port `8443` with your domain name and port number respectively before executing the following command.

```powershell
Set-MsolDomainFederationSettings -DomainName namtest.com -ActiveLogOnUri "https://namtest.com:8443/nidp/saml2/soap" -preferredauthenticationprotocol SAML
```

5 Create a new email account in your email client and enter your Office 365 email ID.

**NOTE:** Configure Outlook related DNS settings before using email clients. You can configure these settings after adding the domain on the Office 365 port page.

6 The system prompts for specifying the basic authentication. Specify Access Manager credentials.

The email account is created after successful authentication.

**NOTE:** While logging in to the new email account, enter Access Manager credentials.

### Configuring Specific Attributes as ImmutableID

By default, Office 365 uses GUID as the ImmutableID. If you want to use any other attribute as the ImmutableID of the Office 365 user, configure and then add a property name/value pair.

1 In Administration Console, go to Identity Server and select an Identity Server.
2 Select SAML 2.0 and then select the service provider you created.
3 Select Options and click New.
4 Add a property name/value pair as follows:
   - **Property Name:** SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME
   - **Property Value:** title

The title you specify in the **Property Value** must be base64 encoded and stored in the user store. This value must be used as ImmutableID while creating a user in Office 365. If the value is in a clear text format, you have to use virtual attributes to convert it into a base64 format.
To use virtual attribute as ImmutableID, perform the following steps:

1. Create a virtual attribute. For information about how to create a virtual attribute, see “Managing a Virtual Attribute” on page 78.

2. Ensure that the final value of the virtual attribute is the base64 encoded value. You can achieve it in any of the following ways:
   - Configure a virtual attribute to retrieve a value stored in the clear text format from an attribute source (LDAP or database)
   - Transform the value into the base 64 encoded format by using the advanced JavaScript option

3. Go to Devices > Identity Servers > Servers > Edit > SAML 2.0 > Service Provider > Options and add the following property for Office 365:
   - Property Name: SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME
   - Property Value: Specify the name of the virtual attribute

   This ensures that the NAMEID value is fetched based on the property name. Currently, the name is considered as a user attribute.

4. Add the virtual attribute created in step 1 to the attribute set created for the SAML 2.0 service provider configuration for Office 365. This ensures that the name specified in SAML2_OFFICE365_NAMEID_ATTRIBUTE_NAME is mapped to the virtual attribute with that name. If the virtual attribute is not added to the attribute set, Access Manager considers the name as a normal LDAP attribute name.

**Verifying Single Sign-On Access**

You need at least one user in Office 365 to verify that single sign-on is set up. If you have an existing user, ensure that the Immutable ID matches with the GUID of the Access Manager user.

**Prerequisite:**

- You need at least one user in Office 365 to verify that single sign-on is set up. If you have an existing user, ensure that the Immutable ID matches the GUID of the Access Manager user.

   For instance, if your user store is eDirectory and you want to retrieve the GUID of an existing Access Manager user, execute the following command on the eDirectory server terminal:

   ```bash
   ldapsearch -D cn=<context> -w <password> -b <search base> cn=<name of the user> GUID | grep GUID
   ```

   Create an Office 365 user with this GUID as the Immutable ID using the following command in Powershell:

   ```powershell
   new-msolUser -userprincipalName "user1@domain name" -immutableID "GUID of user1" -lastname "lastname of user1" -firstname user1 -DisplayName "user1 users" -BlockCredential $false -"LicenseAssignment testdomain:ENTERPRISEPACK" -usageLocation "two letter country code[example: US,IN,DE,SE,GB etc]" -Password "password of the user".
   ```

**Verifying Single Sign-on:**

To verify that single sign-on is set up correctly, perform the following procedure in a server that is not added to the domain:

1. Go to Microsoft Online Services.
2. Log in with your corporate credentials. (For example: user1@namedtest.com)
If single sign-on is enabled, the password field is dimmed. You will instead see the following message: You are now required to sign in at <your company>.

3 Select the Sign in at your company link.

If you are able to sign in without errors, single sign-on is set up successfully.

5.2.13.5 Useful Resources

The following list includes few useful resources for troubleshooting:

- Office 365 Troubleshooting
- Microsoft Remote Connectivity Analyzer
- Sign in to Office 365 for Business
- Description of Office 365 Desktop Setup Tool Logging Errors

Links for Troubleshooting Sign-in issues with Microsoft Lync:

- Describes how to troubleshoot sign-in issues with Microsoft Lync and Office 365
- Describes how to clear the credential cache that can occur on some operating systems
- Describes how Microsoft Lync can cache its connection endpoints and how to resolve the issue
- Describes how to troubleshoot common issues between Lync for Mac and Office 365

5.2.13.6 Troubleshooting Scenarios

- “WS-Trust and WS-Federation Scenarios” on page 567
- “SAML 2.0 Scenarios” on page 568
- “Office 365 Domain Scenarios” on page 568

WS-Trust and WS-Federation Scenarios

Issue in Setting Up a Domain for Federation

If you try to set a primary domain for federation by running the Set-MsolDomainAuthentication command, it throws the following error:

Set-MsolDomainAuthentication: You cannot remove this domain as the default domain without replacing it with another default domain. Use the Set-MsolDomain cmdlet to set another domain as the default domain before you delete this domain.

To fix this issue, change the default domain by performing the following steps:

1 In the Office 365 portal, click Organization Name on the Admin page.
2 Click Edit.
3 Select a new default domain.

Set-MsolDomainAuthentication : You cannot remove this domain as the default domain without replacing it with another default domain

If you get this error it indicates that you attempted to delete the default domain without replacing it with another domain.

Use the Set-MsolDomain cmdlet to set another domain as the default domain before you delete this domain.
**After upgrading iOS Apps to the Latest Version, Single Sign-On to Office 365 Services Fail**

To establish single sign-on from iOS apps to Office 365 services, perform the following steps:

1. Click **Devices > Identity Servers > Edit > Local > Contract**.
2. Specify a name to identify the contract.
4. Select **Name/Password - Form - WebService** method.

**SAML 2.0 Scenarios**

- “SSO to MicroSoft Services Fails” on page 568
- “Issue in Setting Up a Domain for Federation” on page 568

**SSO to Microsoft Services Fails**

SSO fails at Microsoft with this error:

Your organization could not sign you into this service

Perform the following steps to fix this issue:

- Verify that the attributes are configured properly.
  
  You can also use the SAML tracer plug-in Firefox to review the SAML assertion sent to Office365.

- Verify that federation settings are using the `Get-MsolDomainFederationSettings -DomainName <YOUR DOMAIN>` command.

**Issue in Setting Up a Domain for Federation**

If you try setting up a primary domain for federation by running the `Set-MsolDomainAuthentication` command, it throws the following error:

Set-MsolDomainAuthentication: You cannot remove this domain as the default domain without replacing it with another default domain. Use the Set-MsolDomain cmdlet to set another domain as the default domain before you delete this domain.

To fix this issue, change the default domain by performing the following steps:

1. In the Office 365 portal, click **Organization Name** on the Admin page.
2. Click Edit.
3. Select a new default domain.

**Office 365 Domain Scenarios**

- “Issues with the Directory Synchronization Tool” on page 569
- “Active Profile Authentication Fails for Microsoft Exchange Clients” on page 569
- “Microsoft Online Services Sign-In Assistant Installation Fails If Microsoft Office Professional Plus Is Installed” on page 569
- “Single Sign-On to Office 365 Domain Fails” on page 569
- “No License to Use Office 365 Services” on page 569
- “After Initial Successful Authentication, Unending Loop While Logging into Lync Using Wrong Username and Password” on page 569
Issues with the Directory Synchronization Tool

- If the installation of the Directory Synchronization tool fails, check the Event Viewer. Installation may fail if the Microsoft Online Service Sign-In Assistant is already installed on the system.
- If you need to uninstall the Directory Synchronization tool, log off and then login.
- If the Directory Synchronization tool is slow, increase RAM of the server.

Active Profile Authentication Fails for Microsoft Exchange Clients

If the active profile authentication fails for Microsoft Exchange (Outlook) clients, verify that the necessary DNS records have been added to your DNS. For more information, see Create DNS records at any DNS hosting provider for Office 365.

Microsoft Online Services Sign-In Assistant Installation Fails If Microsoft Office Professional Plus Is Installed

Manually install Microsoft Online Services Sign-In Assistant, if its installation fails after installing Microsoft Office Professional Plus with this message:

"The Microsoft Online Services Sign In Assistant has experience an error. The error must be resolved before your subscription for this product can be verified. To retry subscription verification, first resolve error message 800704DD or try to manually install the Microsoft Online Services Sign In Assistant...."

You can download the installer from Microsoft Download Center.

After installation is complete, relaunch the service to verify your Office 365 license.

Single Sign-On to Office 365 Domain Fails

If single sign-on fails, ensure that the ImmutableID and the User Principal Name (UPN) matches the Office 365 user. To get Office 365 user details, log in to using Powershell and execute the following command:

Get-MsolUser -UserPrincipalName user1@namtest.com | fl *

No License to Use Office 365 Services

If you receive an error stating that the user does not have license to use Office365, Log in to Office 365 as an administrator and assign required service licenses to the user.

After Initial Successful Authentication, Unending Loop While Logging into Lync Using Wrong Username and Password

After successfully authenticating to Office 365 client, if you attempt to login to the Lync client using an incorrect username and password, Lync client uses the details from the previous successful session and tries to get a token from Access Manager. This results in an unending loop.

To resolve this issue, in the Lync client user interface, select the Delete my sign-in info option and log in again.

5.2.13.7 Sample Tokens

- “Sample SAML Token” on page 570
- “Sample WS-Trust Token” on page 572
- “Sample WS-Federation Token” on page 574
Sample SAML Token

This section contains a sample XML for WS-Trust request and response.

Request:

```xml
<samlp:AuthnRequest xmlns:samlp="urn:oasis:names:tc:SAML:2.0:protocol" xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion" ID="_3ae4edbc-7ab5-48c7-a08e-b8d6e395e02c" IssueInstant="2012-09-09T08:41:35Z" Version="2.0" AssertionConsumerServiceIndex="0" >
  <saml:Issuer>urn:federation:MicrosoftOnline</saml:Issuer>
  <samlp:NameIDPolicy Format="urn:oasis:names:tc:SAML:2.0:nameid-format:persistent"/>
</samlp:AuthnRequest>
```

Response:

```xml
  <samlp:Status>
  </samlp:Status>
  <saml:Assertion ID="idF5JceWGtYwS3bOkmJS2wJuNqitU" IssueInstant="2012-09-09T08:41:51Z" Version="2.0">
    <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
      <ds:SignedInfo>
        <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
        <ds:Reference URI="#idF5JceWGtYwS3bOkmJS2wJuNqitU"/>
      </ds:SignedInfo>
      <SignatureValue xmlns="http://www.w3.org/2000/09/xmldsig#">DLk4Uv/4VlwwKVz7XdpDQQodUv8ltcryLv2U3K7q57AE70wk/NKsa4fQ8Xlta361470j+XTV+a+q0yYsNNIeyz5xaxMq0l1fM+6PFM7HTTvYj7fQ3n+VwNqBIs3G7eaaV1pHdUs79/dBujS8baNm1EEBR2gVWMCHo1ftOSZ08Py9t9ume0PsIX0po2rda0g8KJCZUnVIi1Wg6Ut0zEKbY6mF3JhrU70VHDzbYN4bhzfVmO7Inz0JKtp+i8MeDUIU10IqTITi+c2SpCe1hQcQ7umrdrE4JCGBYNYE52Pa1bRygmLdAOKn5sveDj0q40VhYRvhGhE/MczwZwrx+7e7q==</SignatureValue>
      <ds:KeyInfo>
        <ds:X509Data>
          <ds:X509Certificate>
            MIIFBzCCA+rgAwIBAgIARADzkqGh19tceryvMyv+QhGwADQYJKoZIhvcNAQEFBQAwacjELMAkGA1UEBhMCNzZcZgNBVgBhJEkzY2EzO0x2Fw0tWu2hlcRicjEQMA4GAE1UEBxMHU2FzMyZDEAMEBGA1UEChMRQ09NT0RPIENBIExpbW12QWxkGDAwBHBwYBBAMtD0Vzc2VudGlhNhb3NTCDQ7AeFw0xMjA5MDcwmNDAwMDBaMA0wNjEyMDYyMzU5NTlaMFEFATaFbgNvBAAsTGERvbWFpb1BDb250cm9sIFZhbkGkYXJzdDEMAgGA1UEUwIkJmRnJ1ZlSTU0xgGTKABqBnVBAmTEHd3dy5uZXRpcXZrdc5jgb20wAhEAMA0GCSqGSIb3DQEBAQUAA4IvDAWgEgEkoBbAQC6k7wFvOuyPqSj06xyQHMQnowxASCbkHGAkAMoIfzJrH9Q4wbJUqMExrYcz4JxFrLzE8qvLY5r7cwxw/yxsvlPhq2HdRY6KU6I2u0eRF/tRwF3r1222/X1
          </ds:X509Certificate>
        </ds:X509Data>
      </ds:KeyInfo>
    </ds:Signature>
  </saml:Assertion>
</samlp:Response>
```
Sample WS-Trust Token

  <saml:Conditions NotBefore="2014-05-09T07:00:18.019Z" NotOnOrAfter="2014-05-09T07:06:18.019Z">
    <saml:AudienceRestrictionCondition>
      <saml:Audience>
        urn:federation:MicrosoftOnline
      </saml:Audience>
    </saml:AudienceRestrictionCondition>
  </saml:Conditions>
  <saml:Advice/>
    <saml:Subject>
      <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified" NameQualifier="urn:federation:MicrosoftOnline">
        TLP1nEzIc0EEtEyz9ZxMyA==
      </saml:NameIdentifier>
    </saml:Subject>
  </saml:AuthenticationStatement>
  <saml:AttributeStatement>
    <saml:Attribute AttributeName="UPN" AttributeNamespace="http://schemas.xmlsoap.org/claims">
      o3662@netiqts.com
    </saml:Attribute>
    <saml:Attribute xmlns:xs="http://www.w3.org/2001/XMLSchema" Name="IDPEmail" NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:unspecified">
      <saml:AttributeValue xsi:type="xs:string">o3662@netiqts.com</saml:AttributeValue>
    </saml:Attribute>
      <saml:AttributeValue xsi:type="xs:string">bzM2NkBuZXRpcXRzdC5jb20=</saml:AttributeValue>
    </saml:Attribute>
  </saml:AttributeStatement>
</samlp:Response>
<saml:AttributeValue xmlns:xs="http://www.w3.org/2001/XMLSchema">
  namtest@namnetiq.in
</saml:AttributeValue>

<saml:Attribute>
  <saml:AttributeValue AttributeName="ImmutableID" AttributeNamespace="http://schemas.microsoft.com/LiveID/Federation/2008/05">
    TLP1nEzIc0EEtEyz9ZxMyA==
  </saml:AttributeValue>
</saml:Attribute>

<saml:AttributeStatement>
  <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
    <ds:SignedInfo>
      <ds:CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#"/>
      <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
      <ds:Reference URI="#nsts150b8594-0aff-424f-8113-46045d943171"/>
      <ds:Transforms/>
      <ds:SignatureValue>
        SqWAA39fYb3VPfBeZ6bs1Uh0C+8ElbgDv2yG6xqR3LYUX/DoQ6RLfsb/1mVMQMBcGhUxhcdRATk6JA3djHbZCrZh7qbc8u8r+nm1Szp5B07TcdTlu+g835WSKdnpSoTjh285MJsoomnMr+AS33F5Id5OVOTPorlwpBFQogm7k9SnzjU0h7yIP7Y1zXlU2PfvNeDrhKNEIsWwSPUY9m04An9VAsC1Cb17q+vEtCxxgqJ4A6nxk8G9bvrFrisk7H5fTlhf0THNEzu5s6KnHc6c2/SjWHHF4Appg/aJZe1yQR9MKagNe60asAU2U83GM8W0Ust+o3+Pv1IA==
      </ds:SignatureValue>
    </ds:SignedInfo>
  </ds:Signature>
</saml:AttributeStatement>

<x:SignatureData>
  <x:SignatureValue>
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A1UECBMHQXJpem9uYTETMAEGA1UEBxMKU2NvdHRzZGFsZTElMCMGA1UEChMyU3RhcmZpZWxkIENl
cnRpZmljYXRlIEF1dGhvY2UgTWFpZ2h0IERlY2huZ2luZG93dCxETC1BIW1JRCzAAMC5jcmwwWQYD
VR0gBFIwUDBObgEiIYIKwYBBQUHAwEGCCsGAQUFBwIBFfEwDgYDVQQKEwJIT0MjMgYDVR0PAQHBY
MQIBGAYGCSqGSIb3DQEBCwUAMCMNMQswCQYDVQQGEwJVUzEQMA4G2AIUECBMHQXJpem9uYTET
MAEGA1UEBxMKU2NvdHRzZGFsZTElMCMGA1UEChMyU3RhcmZpZWxkIENlcnRpZmljYXRlIEF1dGhv
Y2UgTWFpZ2h0IERlY2huZ2luZG93dCxETC1BIW1JRCzAAMC5jcmwwWQYDVR0gBFIwUDBObgEiIYIK
wYBBQUHAwEGCCsGAQUFBwIBFfEwDgYDVQQKEwJIT0MjMgYDVR0PAQHBYMQIBGAYGCSqGSIb3DQE
BCwUAMCMNMQswCQYDVQQGEwJVUzEQMA4G2AIUECBMHQXJpem9uYTETMAEGA1UEBxMKU2NvdHRzZGF
sZTElMCMGA1UEChMyU3RhcmZpZWxkIENlcnRpZmljYXRlIEF1dGhvY2UgTWFpZ2h0IERlY2huZ2lu
ZG93dCxETC1BIW1JRCzAAMC5jcmwwWQYDVR0gBFIwUDBObgEiIYIKwYBBQUHAwEGCCsGAQUFBwIBF
fEwDgYDVQQKEwJIT0MjMgYDVR0PAQHBYMQIBGAYGCSqGSIb3DQEBCwUAMCMNMQswCQYDVQQGEwJVU
zEQMA4G2AIUECBMHQXJpem9uYTETMAEGA1UEBxMKU2NvdHRzZGFsZTElMCMGA1UEChMyU3RhcmZp
ZWxkIENlcnRpZmljYXRlIEF1dGhvY2UgTWFpZ2h0IERlY2huZ2luZG93dCxETC1BIW1JRCzAAMC5j

Sample WS-Federation Token

        <saml:Subject>
          <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified">TLP1nEzIc0EEtEyz9ZxMyA==</saml:NameIdentifier>
          <saml:SubjectConfirmation>
            <saml:ConfirmationMethod>urn:oasis:names:tc:SAML:1.0:cm:bearer</saml:ConfirmationMethod>
          </saml:SubjectConfirmation>
        </saml:Subject>
        <saml:Attribute StatementName="UPN" AttributeNamespace="http://schemas.xmlsoap.org/claims">
          <saml:AttributeValue>
            TLP1nEzIc0EEtEyz9ZxMyA==
          </saml:AttributeValue>
        </saml:Attribute>
      </saml:AuthenticationStatement>
      <saml:AttributeStatement>
        <saml:Subject>
          <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified">TLP1nEzIc0EEtEyz9ZxMyA==</saml:NameIdentifier>
          <saml:SubjectConfirmation>
            <saml:ConfirmationMethod>urn:oasis:names:tc:SAML:1.0:cm:bearer</saml:ConfirmationMethod>
          </saml:SubjectConfirmation>
        </saml:Subject>
        <saml:Attribute AttributeName="UPN" AttributeNamespace="http://schemas.xmlsoap.org/claims">
          <saml:AttributeValue>
            TLP1nEzIc0EEtEyz9ZxMyA==
          </saml:AttributeValue>
        </saml:Attribute>
      </saml:AttributeStatement>
    </saml:Conditions>
    <saml:AttributeStatement>
      <saml:Subject>
        <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:unspecified">TLP1nEzIc0EEtEyz9ZxMyA==</saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>urn:oasis:names:tc:SAML:1.0:cm:bearer</saml:ConfirmationMethod>
        </saml:SubjectConfirmation>
      </saml:Subject>
      <saml:Attribute AttributeName="UPN" AttributeNamespace="http://schemas.xmlsoap.org/claims">
        <saml:AttributeValue>
          TLP1nEzIc0EEtEyz9ZxMyA==
        </saml:AttributeValue>
      </saml:Attribute>
    </saml:AttributeStatement>
  </saml:Assertion>
</wst:RequestedSecurityToken>
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XX
</saml:AttributeValue>

<saml:AttributeValue>
XX
</saml:AttributeValue>


<SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" xmlns="http://www.w3.org/2000/09/xmldsig#"/>

<ds:Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
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<SignatureValue xmlns="http://www.w3.org/2000/09/xmldsig#">
hwPIDSGGHM29sih+Sm1WE8f62d5K/zzSST3XVn1kIwWN3HaLl/yAnG1q0u6n2NZx9E99pudElUdy3R
Kc5z81QAu3gkVG1Nk4n2mdDKZvEt1kKECeHGsfwdGxcKzk5bpsPsaMB+pJyvFgu/RlRX1qsZTrxv
7PwOiUfUpXJQesNhJrd0JNksx65ckj2EeL5scCrD9n9YvtMCh/Qa0C3ALXUm+hBfj21hqw1qsp8I
m68DFTWth5pDkm4AXVx5rScm/9FKu0GPGxSeu+0016Gv/FISL1ema+48dn0awlJvxxzP1/cUayuju2N
3E2zp7LpZfErushLBQ9y9YDnmewpC0n4cZtvA==
</SignatureValue>

<KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</KeyInfo>

<X509Data xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Data>

<X509Certificate xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Certificate>

<ds:Transforms xmlns="http://www.w3.org/2000/09/xmldsig#">
</ds:Transforms>


<DigestValue xmlns="http://www.w3.org/2000/09/xmldsig#">
vOVgMA5UmoGFpXL4ENVyYFSH/aP0=
</DigestValue>

<signedInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</signedInfo>

<ds:KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</ds:KeyInfo>

<ds:SignedInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</ds:SignedInfo>

<ds:Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
<ds:SignedInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</ds:Signature>

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<SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" xmlns="http://www.w3.org/2000/09/xmldsig#"/>
<SignatureValue xmlns="http://www.w3.org/2000/09/xmldsig#">
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3E2zp7LpZfErushLBQ9y9YDnmewpC0n4cZtvA==
</SignatureValue>

<KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
</KeyInfo>

<X509Data xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Data>

<X509Certificate xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Certificate>

<Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
</Signature>

<X509Data xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Data>

<X509Certificate xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Certificate>

<X509Data xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Data>

<X509Certificate xmlns="http://www.w3.org/2000/09/xmldsig#">
</X509Certificate>
5.3 Advanced Authentication

This section discusses the following advanced authentication techniques that are currently supported by Access Manager:

- Section 5.3.1, “Two-Factor Authentication Using Time-Based One-Time Password,” on page 576
- Section 5.3.2, “RADIUS Authentication,” on page 578
- Section 5.3.3, “NetIQ Advanced Authentication,” on page 579

5.3.1 Two-Factor Authentication Using Time-Based One-Time Password

This section explains how to use Time-Based One-Time Password (TOTP) as a second authentication factor with Access Manager. TOTP uses a six-digit number (OTP) in addition to first authentication (for example: username, password) to log in to protected services.

The first step is to register the TOTP client with the secret key. This secret key is used for all future login to the website.

Typically, users download and install the TOTP app on their devices. To log in to a website or service that uses two-factor authentication, in addition to the user name and password, the users enter an OTP generated by the TOTP app. Access Manager validates the OTP and authenticates the user.

- Section 5.3.1.1, “Why Two-Factor Authentication,” on page 576
- Section 5.3.1.2, “Prerequisite,” on page 577
- Section 5.3.1.3, “Configuring TOTP Class, Method, and Contract,” on page 577
- Section 5.3.1.4, “Registering with TOTP,” on page 578
- Section 5.3.1.5, “Verifying TOTP Configuration,” on page 578

5.3.1.1 Why Two-Factor Authentication

Two-factor authentication such as TOTP provides additional security for the systems. It works on the principle of granting access based on a knowledge factor (something the user has) and a possession factor (something the user knows). This helps organizations to implement a multi-factor authentication scheme to satisfy regulatory requirements or increase security.
5.3.1.2 Prerequisite

- Download and install the TOTP app on your device. This app generates an OTP that is later used for authentication.
- TOTP relies on the device time to generate an OTP. So, it is important that the time on your device is accurate.

5.3.1.3 Configuring TOTP Class, Method, and Contract

1. Log in to Administration Console.
2. Click Devices > Identity Servers > Edit > Local > Classes > New to add a new class.
3. Specify a name to identify the class.
4. Select TOTPClass from the Java Class option. The Java class path is displayed as com.novell.nidp.authentication.local.TOTPAuthenticationClass.
5. Click Apply. By default, the TOTP class stores the secret key in the Shared Secret store and no further configuration is required.
6. [Optional] You can also store the secret key in an LDAP attribute, file, or memory. Perform the following steps:

NOTE: File and Memory class implementation are not recommended for production deployment and are only suitable for a single node Identity Server test environment.

LDAP user attribute: This option stores the secret key on an LDAP attribute of the user object in the user store.

1. Select the Properties tab of the TOTP class configuration. Add a new property to indicate that the secret key must be stored in an LDAP attribute of the user object in the user store.
   - Specify the Property Name as SECRET_STORE_CLASS and Property Value as USERSTORE.
2. Add another property to indicate the attribute in which the secret key must be stored.
   - Specify the Property Name as SECRET_LDAP_ATTRIBUTE_NAME and specify the name of any single-valued attribute. For example, you can specify the Property Value as mobile, costcentre etc.
   - The secret key is encrypted and stored in the LDAP attribute. If you do not specify any Property Value, the secret key is stored in the carLicense LDAP attribute.
   
   NOTE: Do not use a multi-valued LDAP attribute like email address as the Property Value as the user registration will fail. It is also important to ensure that the LDAP attribute you have specified as the Property Value is a non-operational attribute. For example, it is not recommended to use LDAP Attributes like groupmembership.

File class: The File class writes the secret key to a file on Identity Server file system.

1. Select the Properties tab of the TOTP class configuration and add a new property to have the user's secret key stored in a file on the file system.
   - Specify the Property Name as SECRET_STORE_CLASS and Property Value as FILE.

Memory class: The Memory based class writes the secret key into memory. This memory is transient in nature and therefore the secret key value is lost each time Identity Server is restarted.

1. Select the Properties tab of the TOTP class configuration and add a new property to define the memory-based property where each user's secret key is stored.
   - Specify the Property Name as SECRET_STORE_CLASS and Property Value as MEMORY.
7 Click Devices > Identity Servers > Edit > Local > Methods. Select New to add a new method.

8 Specify a name to identify the method. Select the TOTP class from the list. This links the TOTP class to the authentication method.

9 Deselect the Identifies User option. Click Apply to save the changes.

10 Select the user store from the list of Available user stores and move it to User store.

11 You can either use an existing authentication contract or create a new authentication contract. For example, you can add the default Name/Password - Form method as the first method and TOTP method as the second method. Click Apply to save the changes.

**NOTE:** If you use TOTP as a post-authentication method in a federation setup, a JSP file not found message is displayed and federation fails.

### 5.3.1.4 Registering with TOTP

1 Go to Access Manager Identity Server page: http(s)://<idp server >:<port>/nidp

2 Select the contract where TOTP is configured as the second method for two-factor authentication.

3 Login with the first method.

4 Click the link beside Please register for two factor authentication to generate a OTP. Make a note of the secret key displayed.

   If you have installed the TOTP client on your device, scan the code. You can also manually enter the secret key in the TOTP mobile client.

   After the registration is complete on the TOTP client on your mobile, the OTP is displayed.

### 5.3.1.5 Verifying TOTP Configuration

1 Go to Access Manager Identity Server page: http(s)://<idp server >:<port>/nidp

2 Select the contract where TOTP is configured as the second method for two-factor authentication.

3 Login with the first method.

   After successfully authenticating with the username and password, prompt is displayed to enter the TOTP OTP.

4 Use the TOTP app to generate the OTP and log in by using this OTP.

### 5.3.2 RADIUS Authentication

RADIUS enables communication between remote access servers and a central server. Secure token authentication through RADIUS is possible because Access Manager works with Novell Modular Authentication Service (NMAS) RADIUS software. Access Manager supports both PIN and challenge-and-response methods of token-based authentication. In other words, RADIUS represents token-based authentication methods used to authenticate a user, based on something the user possesses (for example, a token card). Token challenge-response is supported for two-step processes that are necessary to authenticate a user.

1 Click Devices > Identity Server > Edit > Local > Classes.

2 Click New.
3 Specify a display name, then select RadiusClass or ProtectedRadiusClass from the drop-down menu.

4 Click Next.

5 Click New to add an IP address for the RADIUS server. You can add additional servers for failover purposes.

6 Click OK.

7 Fill in the following fields:
   - **Port**: The port of the RADIUS server.
   - **Shared Secret**: The RADIUS shared secret.
   - **Reply Time**: The total time to wait for a reply in milliseconds.
   - **Resend Time**: The time to wait in milliseconds between requests.
   - **Server Failure Retry**: The time in milliseconds that must elapse before a failed server is retried.
   - **JSP**: Specify the name of the login page if you want to use something other than the default page. The filename must be specified without the JSP extension. The default page is used if nothing is specified.
   - **User Look Attribute Name**: Specify the LDAP attribute on which the user will be searched in the Radius server. CN is the default attribute.
   - **Require Password**: Select to require the user to also specify an LDAP password.

8 Click Finish.

9 Create a method for this class.
   
   For instructions, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.

10 Create a contract for the method:
   
   For instructions, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

   If you want the user’s credentials available for Identity Injection policies and you did not enable the **Require Password** option, add the password fetch method as a second method to the contract. For more information about this class and method, see Section 5.1.11, “Password Retrieval,” on page 337.

11 Update Identity Server.

### 5.3.3 NetIQ Advanced Authentication

**NetIQ Advanced Authentication** Access Manager plug-in now comes bundled with Access Manager. Using Advanced Authentication methods, you can configure multi-factor authentication with Access Manager.

The following are supported Advanced Authentication classes:

- **Dynamic Class**: This authenticating class sends a list of chains from which the user can select a chain and authenticate. Only the chains which are enrolled in the Advanced Authentication portal will be available to the user for authentication.

**NOTE**: Fingerprint and PKI methods can be configured using Dynamic Class only. There are no separate classes for Fingerprint and PKI methods.

- **Email Class**: This authentication class sends an email to user’s registered email address with an OTP that is valid for a specified time. You can use this OTP to authenticate within a certain time frame.
• **Emergency Password Class**: This authentication class authenticates users with a temporary password.

• **FIDO U2F Class**: This authentication class authenticates users with the help of a U2F security key.

**IMPORTANT**: FIDO U2F will not work if enrollment and authentication are performed on different domain names. With Access Manager and Advanced Authentication, you will certainly have two domain names, one for Identity server and another for Advanced Authentication server. To workaround this you should proxy the Identity server and Advanced Authentication server under the same domain name. Perform the following steps to configure FIDO U2F class:

1. Create a reverse proxy with Advanced Authentication server as the parent web server.
2. Create a path-based, multi-homing proxy service. Create five paths under the proxy service with the URL paths as follows:
   - `account`, `admin`, `api`, `auth`, and `static`  
     The published DNS name must be identical to the Identity Server domain name.
3. Create another path-based, multi-homing proxy service with Identity server as the web server. Create a path under the proxy service with the URL path as follows:
   - `nidp`
4. Configure a protected resource to the proxy services with URL paths as `/account/*`, `/admin/*`, `/api/*`, `/auth/*`, and `/static/*` and Advanced Authentication server as the web server. Configure another protected resource to the proxy service with URL path as `/nidp/*` and Identity server as the web server.

   For more information, see Configuring FIDO U2F class.

• **Fingerprint Class**: This authentication class authenticates using a fingerprint scanner.

• **HOTP Class**: This authentication class is an event-based OTP authentication. There is no time frame for a HOTP.

• **Password (PIN) Class**: This authentication class stores a password in the Advanced Authentication appliance - that is not connected to your corporate directory. This can be a PIN or a simple password.

• **RADIUS Class**: This authentication class forwards user’s authentication request to a third-party Radius server.

• **Security Question Class**: This authentication class allows users to enroll answers to an administrator-defined number of security questions. When you authenticate by using security questions, Advanced Authentication asks you all the security questions or a subset of the security questions.

• **Smartcard Class**: This authentication class allows users to authenticate by using a smart card.

• **Smartphone Class**: This authentication class allows you to authenticate by using a smartphone.

• **SMS Class**: This authentication class sends an SMS to user’s registered mobile number, containing OTP. User can use this OTP to authenticate within a certain time frame.

• **TOTP Class**: This authentication class is a time-based OTP authentication. This method uses a predefined time step, which is set to 30 seconds by default.

• **Voice Call Class**: This authentication class makes a phone call on user’s registered mobile requesting to provide a pre-defined PIN.
Optional Properties

In addition to the existing classes, Access Manager also supports the following optional properties (KEY/Value) for authentication methods:

- **Repository Name: REPONAME.** The name of the repository used for Advanced Authentication. This parameter may not be used if the default repository is selected in the Login options policy of Advanced Authentication server appliance.

- **Configuration File: CONFIGFILE.** The name of the configuration file path. This parameter is used only if the configuration file has a different location. The default configuration file location is: /etc/aaplugin/config.xml.

- **Timeout Value: RECHECKTIMEOUT.** The time out parameter that is used to prevent loops. The default value is 300 seconds. The following are minimum recommended values:
  - **Email:** 120 seconds
  - **FIDO U2F:** 30 seconds
  - **HOTP:** 30 seconds
  - **RADIUS:** 30 seconds
  - **Security Question:** 30 seconds
  - **Smartcard:** 30 seconds
  - **Smartphone:** 60 seconds
  - **SMS:** 30 seconds
  - **TOTP:** 30 seconds
  - **Voice Call:** 30-60 seconds

- **Error Info JSP Page: ERRORJSP.** The name of the JSP page that stores the error logs. This is for critical errors and failures related to the authentication process. The default file is PluginErrorPage.jsp. The file is located at:
  - **Linux:** /opt/novell/nids/lib/webapp/jsp
  - **Windows:** $INSTALL_PATH\Tomcat\webapps\nidp\jsp

- **LDAP Authentication Page: LDAPJSP.** The name of the LDAP authentication page. This parameter is used for customization. It allows you to customize the LDAP login page for each method. The default file is LdapAuth.jsp. The file is located at:
  - **Linux:** /opt/novell/nids/lib/webapp/jsp
  - **Windows:** $INSTALL_PATH\Tomcat\webapps\nidp\jsp

- **Method Page: METHODJSP.** The name of the method page. This parameter is used for customization. It allows you to customize the Method page for each method. The default file is <MethodName>Auth.jsp. The file is located at:
  - **Linux:** /opt/novell/nids/lib/webapp/jsp
  - **Windows:** $INSTALL_PATH\Tomcat\webapps\nidp\jsp

- **LDAP Password Sync Page: LDAPSYNCJSP.** The name of the LDAP password synchronization page. The default file is LDAPSyncPage.jsp. The file is located at:
  - **Linux:** /opt/novell/nids/lib/webapp/jsp
  - **Windows:** $INSTALL_PATH\Tomcat\webapps\nidp\jsp

- **Max Password Length: PWDMAXLENGTH.** This parameter restricts the maximum length of a password. The default value is 100 characters. This parameter can be used only for YubiKey tokens (FIDO U2F class)
5.3.3.1 Prerequisites

The following list includes prerequisites required for using NetIQ Advanced Authentication:

**NOTE:** The following list is applicable only on a fresh installation of Access Manager 4.3. If you upgrade Access Manager to 4.3, you can skip the first two points.

- You must first configure Advanced Authentication Server 5.3 or above. To configure the server, see Advanced Authentication Server Appliance Deployment.
- After configuring the server, end users must enroll to Advanced Authentication Self-Service portal. To enroll, see Authentication Methods Enrollment.
- Specify the server details. See Section 3.5.9, “Specifying Advanced Authentication Server Details,” on page 89.

5.3.3.2 Configuring Advanced Authentication

To configure Advanced Authentication, perform the following steps:

1. Click Devices > Identity Servers > Edit > Local > Classes.
2. Select New and specify a name to identify the class.
3. Select a class under Advanced Authentication from the Java Class drop-down list.
4. Click Next > OK > Finish.
5. Create a method for this class. You can create a method by using one of the following options:
   - **Identifies User:** Adds only a desired Advanced Authentication method from the available list. Selects Identifies User.
     
     For example: If the Email contract is configured to use only the Email method, you must configure both Password & Email (two-factor) and Email (one-factor) chains in the Chains section (the two-factor chain must be higher than an appropriate one-factor chain). Enable them in the Access Manager event of the Advanced Authentication Administrative Portal.
   - **Identifies User:** Adds any standard method as a first-factor from the available list and a desired Advanced Authentication method as the second-factor.
     
     For example: If Email contract is configured to use as a combination of a standard method and an Email method, you must configure and enable only Email (one-factor) chain.
     
     For more information, see Section 5.1.3, “Configuring Authentication Methods,” on page 309.
6. Create a contract for the method.

   For instructions, see Section 5.1.4, “Configuring Authentication Contracts,” on page 311.
If you want the user’s credentials available for Identity Injection policies and you did not select Require Password, add the password fetch method as a second method to the contract. For more information about this class and method, see Section 5.1.11, “Password Retrieval,” on page 337.

7 Update Identity Server.

5.4 Social Authentication

Access Manager can be configured to support authentication through external OAuth providers like Facebook, Google+, Twitter, LinkedIn, and so on. Social authentication simplifies login for end users and does not require maintaining large user stores. This authentication can be configured using the SocialAuthClass. Login using social identities provide a convenient way for users, improving customer satisfaction and increased registration levels. For more information on how to configure the supported social authentication providers for API Keys and API Secrets, see Appendix 5.4.6, “Configuring Supported Social Authentication Providers for API Keys and API Secrets,” on page 587.

Social login allows business, universities and government entities to leverage social identity providers to share select identity information for authentication via OAuth tokens. This information can then be used to provide protected online services ranging from customer-focused applications, university sites to state and local services and more.

5.4.1 Use Case

Authentication through external OAuth providers can be useful in the following two scenarios:

- **Allow external users to access secure resource**
  
  For example, you may want your customers and partners to access https://forums.novell.com. Creating and managing these external users is a hassle for you and the user. Social Authentication helps in this scenario.

  Users will be allowed to sign in with their Facebook or Yahoo ID. Social authentication provider will give Access Manager a set of logged-in user’s attributes. Hence, you will get user data without maintaining it. Access Manager can use this user data and perform actions based on that if required.

- **Apply policies to restrict users to access a protected resource**
  
  If the Identify User Locally option is selected, the social provider user will be mapped to the local user and you can execute authorization policies based on the user attributes. For example, if Joe is a Facebook user, you can match the attributes of Joe in the local user store based on a rule and execute an authorization policy to access a protected resource. You want to apply policies on an incoming user. For example, your enterprise user 'Bob' has logged into https://forums.novell.com/ with a social identity. You may want to identify that 'Bob' is your local user and provide him with forum moderator privileges. The Identify User Locally option lets you map a social user to your local user and apply appropriate policies.

- **Simplify user login**: You may want to keep the user in your user stores but still make the registration process easy for the users. Social authentication saves the user from remembering another identity. User can login with their social identity while the Auto Provision User option will map the incoming user specified attribute with an existing user in the local user store. If the attribute matches, user will be provisioned, else user will be prompted for local user authentication.
**Personalized web content in B2C scenarios:** Organizations want to make services and information available in a manner that is personalized to individual. The common approach of creating individual identities for users is costly for the organization and inconvenient for the user. Social login allow users to login with their preferred form of identities. This simplifies the login experience for customers while increasing the registration levels and lowering IT costs.

**Step up authentication:** While you as an administrator want to improve the user registration through social identities, you would also want to ensure that a second factor authentication is employed when users access sensitive information. Access Manager provides options to configure multiple contracts for protected resources and as users access these resources, they can be prompted to login with a second factor such as their corporate identity or an OTP.

### 5.4.2 Prerequisite

You must have registered Access Manager with the social authentication providers and must have the API keys and API secrets for establishing federation between Access Manager and the provider for example, Facebook.

### 5.4.3 Configuring SocialAuthClass

Use Administration Console to define a new Social Authenticator class, method and contract for Identity Server cluster. Social authenticator providers such as Facebook, Google+, LinkedIn, and Twitter are supported.

1. Log in to Administration Console.
2. Click **Devices > Identity Servers > Edit > Local > Classes**. Select **New** to add a new class.
3. Specify a name to identify the class. For example, Social authenticator.
4. Select **SocialAuthClass** from the **Java Class** drop-down list. Click **Next**.
5. Configure the **User Identification** settings if you need to perform actions on the logged in user. This is optional. By default, user authentication is done without mapping the social provider user to a local user.

   - **Identify User Locally:** Select this option to map the incoming user to an existing user in your user store. You can apply an authorization policy for these incoming users to provide access control. The following two parameters specify how to perform the user mapping:

     - **Local User LDAP Attribute:** Select an attribute from the drop-down list, for example **LDAP Attribute:mail** [LDAP Attribute Profile]. The incoming configured attribute from the social website is mapped to local user’s LDAP attribute.

     - **Social User Attribute:** Select an attribute which provides a unique user identity for example **Email**. The user email provided in a social website will be mapped to the specified local user’s LDAP attribute.

       **NOTE:** If there are more than one social authentication providers configured, the **Local User LDAP attribute** must be a multi-valued attribute. This is required to store the social attributes corresponding to each social provider.

User mapping is done if the value of **Local User Attribute** is equal to the value of **Social User Attribute**.
Configuring Authentication

NOTE: Provisioning will not occur in the following scenarios:

- If you are going to use Facebook or Google+ as your authentication provider, do not select DisplayName as Social User Attribute as these providers do not have the DisplayName attribute.
- If Social User Attribute is email attribute in Twitter.

- Auto Provision User: If you enable this option, incoming user specified attribute will be mapped with an existing user in the local user store. If the attribute matches, user will be provisioned, else user will be prompted for local user authentication. After authentication, user attribute will be mapped and stored.

6 Click Add under Social Auth Providers to provide the authentication provider details.

- Auth Provider: Select the authentication provider from the drop-down list for example, Facebook. You can select from one of the predefined providers or select Other to specify your own providers. Note that only the predefined providers have been verified for compatibility with Access Manager. If you select Other, you must provide two additional information:
  - Provider Name: Specify the name of the provider. Other provider names can be specified under Others option. Other provider name can be Yahoo, Hotmail, Salesforce, AOL, FourSquare, MySpace, Instagram, Mendeley or Yammer. Name of social authentication provider is case-sensitive and must match as listed. Else, social authentication class will not work.
  - (Optional) Implementation Class: Specify a back end class that can authenticate with these providers if the other providers are not supported. This is needed only for a custom provider that is not in the list provided above.

7 Click OK and Finish.

8 Continue with creating a contract and method for this class.

NOTE: With the latest Facebook API, the user's email address is no longer shared by default. For social authentication with Facebook in Access Manager, configure the following properties in the Social Auth method:

graph.facebook.com.custom_permissions = email

For configuration information, see Section 5.1.3, “Configuring Authentication Methods,” on page 309 and Section 5.1.4, “Configuring Authentication Contracts,” on page 311.

How Social Authentication Works With Access Manager

For completing social authentication, Identity Server maps the social attribute value in token to the local user attribute value. The local attribute must be set in the following format for the matching to succeed.

<socialprovidername>:<social attribute value>

For example, consider that the social authentication class properties are set as follows:

- Identify User Locally: Enabled
- Local User LDAP attribute: Ldap Attribute:mail
• **Social User Attribute**: Email
• **Auto Provision User**: Enabled
• **Social Auth Provider**: Facebook

As the **Auto Provision User** setting is enabled, after authentication in Facebook, user is asked for a one-time local login. During this process, this user's mail attribute is updated with the social attribute value as `facebook:<social-email-address>`. Subsequent logins from the same user will be seamless and user will be identified automatically.

If **Auto Provision User** setting is disabled, for the authentication to succeed, Access Manager will check if local user LDAP attribute mail value is `facebook:<social-email-address>`.

**NOTE:** The attribute value is set with the provider's name.

### 5.4.4 Adding Images for Social Authentication Providers

You can add images for social authentication providers such as Facebook, LinkedIn, Twitter, Google+ and so on. For more information about adding images, see Section 3.5.5, "Adding Authentication Card Images," on page 70.

2. Click New.
3. Fill in the following fields.
   - **Name**: Specify a name for the image.
   - **Description**: Describe the image and its purpose.
   - **File**: Click Browse, locate the image file, then click Open.
   - **Locale**: From the drop-down menu, select the language for the card or select All Locales if the card can be used with all languages.
4. Click OK.
5. If you did not specify All Locales for the Locale, continue with Section 3.5.6, "Creating an Image Set," on page 70.
6. Add all the required images and click Close.

After configuring Identity Server with the required social authentication provider images, the login page will display those images on the login page. You can select an image and access the social providers you have added when you access Identity Server URL.

### 5.4.5 Changing the Social Authentication Icons

The following procedure allows you to change the default icons of social authentication providers.

1. Go to socialauth_icons.jsp file located at `/opt/novell/nids/lib/webapp/jsp/`. You can see all the supported providers and their corresponding public URL locations.
2. To change the icon of a particular provider, go to the icon variable name of that provider and replace the existing URL location with required URL location.
   - You can similarly change for other icons defined in the jsp file.
5.4.6 Configuring Supported Social Authentication Providers for API Keys and API Secrets

Access Manager requires API Keys and API Secrets from the supported social authentication providers to integrate with these providers. Follow the steps to configure the supported applications and to get keys from the social authentication providers. You can integrate with Facebook, LinkedIn, Twitter, and Google+. For other providers, see “Configuring SocialAuthClass” on page 584.

NOTE: The procedures documented below may not match the Social Networking Providers' interface when you create an application. If there are any changes, follow the wizard accordingly. The procedure below is for reference purpose and can vary based on provider configuration page.

5.4.6.1 Integrating Access Manager with Facebook

The following procedure enables you to generate API Key and API Secret with Facebook.

1. Create a Facebook application for community.
   1a. Log in to Facebook to access the Application (https://developers.facebook.com/apps) page.
   1b. From the top right corner, click Add a New App.
   1c. Select the platform Website.
   1d. Click Skip and Create App ID.
   1e. Fill in the following fields in the Create a New App ID screen:
      - Display Name: Specify a name for web application.
      - Contact Email: Specify the Email Address.
      - Category: Select a category from the drop-down list.
   1f. Click Create App ID.
   1g. In the Security Check page key in the displayed Captcha text in the Text in the box field, then click Submit.
      The product setup page appears.
   1h. Click Facebook Login > Get Started.
      - Valid OAuth redirect URIs: Specify the IDP redirect URI. For example: https://<IDP URL>:<Port Number>/nidp/jsp/socialauth_return.jsp.
      - Deauthorise Callback URL: Specify the IDP URI. For example: https://<IDP URL>:<Port Number>/nidp/app.
   1i. Click Save Changes.
   1j. Navigate to the Dashboard page.
      The Dashboard page displays App Name, App ID and App Secret (hidden).
   1k. Click Show to display the App Secret.
   1l. Copy the values of App ID and App Secret parameters. You will need these values when you configure Facebook with Access Manager.
   1m. Click Settings on the left. In the Basic tab, review the details.
   1n. Click Advanced tab and review the details.
   1o. Click App Review, enable Make DemoApp public, then select Confirm to make this application and all its live features available. By default, it is selected as No.
1p Navigate to the Dashboard page
   The application status changes to Green and is online.
2 Configure Facebook application Configuration Setting in Access Manager. The App ID and App Secret is used by Access Manager to configure Facebook.

5.4.6.2 Integrating Access Manager with LinkedIn

The following procedure enables you to generate API Key and API Secret with LinkedIn.

1 Create a LinkedIn application.
   1a Log in to LinkedIn to access the application page: Linkedin (https://www.linkedin.com/developer/apps).
   1b Click Create Application.
   1c Select the existing Company Name from drop-down or create a new Company Name.
   1d Fill in the following fields in the Create a New Application screen:
      - **Company Name**: Specify the name of the company.
      - **Name**: Specify the name of the application.
      - **Description**: Specify a description of the application.
      - **Application Logo**: Upload an image for the application.
      - **Application Use**: Select a category from the drop-down list.
      - **Website URL**: Specify a URL or nidp URL.
      - **Business Email**: Specify your business email address.
      - **Business Phone**: Specify your business phone number.
      - Accept the agreement, then click Submit.
   1e Copy the value of **Client ID** and **Client Secret** parameters. These values will be required when you configure LinkedIn providers with Access Manager.

2 Configure LinkedIn application Configuration Setting in Access Manager. The App ID and App Secret will be used by Access Manager to configure LinkedIn.

5.4.6.3 Integrating Access Manager with Twitter

The following procedure enables you to generate API Key and API Secret with Twitter.

1 Create a Twitter application.
   1a Log in to Twitter to access the Application (https://apps.twitter.com) page.
   1b From the drop-down box on the right, click Create New App.
   1c Fill in the following fields in the Create an Application page:
      - **Name**: Specify a name for web application.
      - **Description**: Specify a description for web application.
      - **Website**: Specify the application url.
      - **Callback URL**: Specify IDP redirect URL. For example: https://<IDP URL>:<Port Number>/nidp/jsp/socialauth_return.jsp
   1d Accept licence and click Create your Twitter Application.
      The App name, description, consumer key, and the callback url are displayed.
1e Go to Keys and Access Tokens tab and make a note of the Consumer Key and Consumer Secret.
You will need these values when you configure Twitter with Access Manager.

1f Click Create my access token to authorize application to access account.

2 Configure Twitter application Configuration Setting in Access Manager. Access Manager uses the App ID and APP secret to configure Twitter.

5.4.6.4 Integrating Access Manager with Google+

The following procedure enables you to generate API Key and API Secret with Twitter.

1 Create a Google+ application.
   1b Click Credentials on left, then create a project with a Project name.
   1c In the APIs Credentials popup, click Create credentials, then select OAuth client ID.
   1d Click Configure consent screen to set a product name on the consent screen.
   1e Specify a product name and click save.
   The remaining fields are optional. The Email address is auto-populated.
   1f In Create client ID page, specify the following:
      ✷ Application type: Select Web application as the Application type.
      ✷ Name: Specify a name for web application.
      ✷ Authorized JavaScript origins: This is an Optional field.
      ✷ Authorized redirect URLs: Specify IDP redirect URI. For example: https://<IDP URL>:<Port Number>/nidp/jsp/socialauth_return.jsp.
   1g Copy Oauth client ID and secret.
   These values are required when you configure Google+ with Access Manager.

2 Configure Google+ application Configuration Setting in Access Manager. Access Manager uses
the App ID and App secret to configure Google+.

5.5 Risk-based Authentication

Traditional password-based authentication systems have their own limitations at implementing
security in an organization. Enhancing the strength of the password is inadequate to prevent security
threats. Thus, there is a need to explore and apply better authentication techniques such as risk-
based authentication.

Risk-based authentication provides context-aware access control that acts to balance the level of
trust against risk. It enables organizations to address access-related risks and improves user
experience. Risk-based authentication enables to validate the risk of an access request at the run
time and take appropriate actions such as forcing an advanced authentication or denying access.

You can also assess risk in a federated setup with service providers such as Salesforce.com, SAP
HR, and Oracle Financial with protocols such as SAML and WS Fed.

Access Gateway can also control access for a protected resource based on the risk score.
The following illustration depicts risk-based authentication process:

The following illustration depicts the risk-based authentication in a federated setup:

This section describes risk-based authentication concepts and how to configure it.

- Section 5.5.1, “How Risk-based Authentication Works,” on page 591
- Section 5.5.2, “Why Risk-based Authentication,” on page 593
- Section 5.5.3, “Features of Risk-based Authentication,” on page 594
- Section 5.5.4, “Key Terms,” on page 599
- Section 5.5.5, “Understanding Risk-based Authentication through Scenarios,” on page 600
5.5.1 How Risk-based Authentication Works

You can configure risk-based authentication in the following two ways:

- Risk assessment and risk mitigation before authenticating a login attempt
- Risk assessment and risk mitigation after authenticating a login attempt

Risk Assessment and Risk Mitigation before Authenticating a Login Attempt

You can assess the potential risk of a particular login attempt before authenticating the user and then mitigate the risk, if required. You can also configure specific authentication mechanisms based on the risk. In this scenario, user profile is not involved. In this scenario, risk-based authentication works by developing a risk score based on the following parameters:

- IP Address
- Cookie
- HTTP Header
- Geolocation
- External parameters
- Time of login
- Device Fingerprint (without user attributes)
- Custom rule (without user attributes)

This risk score is then evaluated against defined risk levels. You can define the risk levels based on the sensitivity of the information. After the risk level is identified, the authentication mechanism is selected and the user is authenticated. In cases of high risk, the user is either denied access or is required to go through additional authentication methods.

**NOTE:** You cannot record history in this configuration because there are no user-context. If you want to use history with pre-authentication risk assessment, you must configure a post risk-based authentication.

For example, an employee trying to log into a payroll application by using the corporate Intranet is authenticated through Kerberos authentication mechanism. However, the employee logging into the payroll application from outside the office must provide an x509 certificate for authentication.
The following graphic illustrates how risk-based authentication works in this scenario:

Risk Assessment and Risk Mitigation after Authenticating a Login Attempt

You can assess the potential risks associated with a particular login attempt after authenticating the user and then mitigate the risk, if required. In this scenario, risk-based authentication works by developing a risk score for each login attempt based on the following parameters:

- Geographical location
- Device Fingerprint
- IP address
- HTTP Header
- User attributes
- Cookie
- User last login
- Time of login
- External parameters
- Custom parameters

This risk score is then evaluated against defined risk levels. The risk levels are defined based on the sensitivity of the information. After the risk level is identified, the user is granted or denied access. In cases of high risk, the user is prompted for additional authentication to confirm the user identity one more time and assess the validity of the request.

For example, an employee logging into a payroll application by using the office laptop during the usual business hours from the same location and IP address will have a low-risk score. Whereas, an attempt to access the payroll application by using a personal hand-held device from non-office
location yields an elevated risk score. If the risk score for a user’s access attempt exceeds the defined risk score, the login attempt is considered as high risk, and the user might need to provide a higher level of authentication using a PIN or token.

Additional authentication can be implemented by using techniques such as TOTP authentication or Advance Authentication Framework methods. If the risk is too high, access can be denied. For more information, see Section 5.3, “Advanced Authentication,” on page 576.

The following graphic illustrates how risk-based authentication works based on specific parameters:

### 5.5.2 Why Risk-based Authentication

Risk-based authentication helps you in achieving the following goals:

- Reduce fraud and the risk of improper access
- Enforce different levels of authentication depending on factors such as user activity and geolocation, and calculated risk score
- Improve user experience. Users need to provide additional details for authentication only when the associated risk prevails
- Access control in federated setups

Consider a scenario where a company named Company1 wants to protect its payroll application. Risk-based authentication enables Company1 to achieve the following actions:

- Restrict access to its contractual employees.
- Grant access to permanent employees during the company business hours between 9 a.m. to 5 p.m. After business hours, all employees must specify a one-time password in addition to login credentials.
- Grant special privileges to employees who work in the Finance department. For example, Company1 does not ask employees of the Finance department to specify a one-time password even if they log in after business hours.
- Grant access to the Self-Service tool along with the payroll application when contractual employees use Intranet to log in.
- Determine actions based on the priority of rule conditions. For example, type of employment is the most important criterion to grant access followed by the location of the user, and then the time of the login attempt.
- Grant access without any additional authentication if the user has successfully logged in within one month.
- Restrict access when an employee tries to log in from a specific geographical location.
- Grant or deny access based on the version of the web browser used for the login attempt.
- Deny access to any login attempt that originates from a handheld device.

### 5.5.3 Features of Risk-based Authentication

Risk-based authentication provides the following features:

- **Risk identifications by using rule definitions:** Risk-based authentication helps you define rules that are classified as follows:

<table>
<thead>
<tr>
<th>Rule Category</th>
<th>Rule Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IP Address</td>
<td>Use this rule to define a condition to track login attempts from an IP address, range of IP addresses, an IP subnet range, or a list of IP addresses from an external provider. For example: If you are aware that login attempts from a specific range of IP addresses are riskier, you can define a rule to watch for such login attempts. When a request originates from the specified IP address range, you can prompt for additional authentication. <strong>IMPORTANT:</strong> It is not possible to create a rule by using the IP subnet condition. Instead you can use the IP address range condition to select a range of IP addresses in the rule. <strong>NOTE:</strong> The IP address may not be the clients IP address, but that of an intermediate device. In such a case, you need to use the X-Forwarded-For to determine the IP address of the workstation.</td>
</tr>
<tr>
<td>Rule Category</td>
<td>Rule Description</td>
</tr>
<tr>
<td>--------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Cookie</td>
<td>Use this rule if you want to track login attempts from a browser-based application that has a specific cookie value or name. For example: Consider a scenario where you have a financial application and a user accessing this application has cookies stored on the browser. If the cookie has a specific value or name, the user can be granted access without additional authentication. But, if the user accessing the application has no cookies stored, then you can request additional authentication to validate the user.</td>
</tr>
<tr>
<td>HTTP Header</td>
<td>Use this rule to track the HTTP header of requests based on the name or the value contained in the HTTP header. For example, if you want to track HTTP requests containing custom HTTP header information, you can define the action to be performed on evaluation of this rule.</td>
</tr>
<tr>
<td>User Last Login</td>
<td>This rule creates a cookie in browser after a successful step up authentication. Subsequent login verifies this cookie. Use this rule to define the duration for which the cookie is valid. On expiry of the specified duration, the user is prompted for additional authentication. For example, this rule can be used to evaluate if the user is logging in by using a device that was used earlier for a login attempt. You can define the risk level and also request additional authentication, as necessary.</td>
</tr>
<tr>
<td>User Profile</td>
<td>Use this rule to define a condition based on the LDAP attribute of the user. For example, you can define a rule to deny access if the employee ID of the user matches a specific string.</td>
</tr>
<tr>
<td>User Time of Login</td>
<td>Use this rule to define a condition based on the user’s attempts to login at a specific time. For example, if the usual login pattern for an employee is between 9 a.m. to 5 p.m., you can define a rule that takes an action if the login pattern differs from the observed pattern.</td>
</tr>
<tr>
<td>Device Fingerprint</td>
<td>Use this rule to uniquely identify and control the type of device from which a user could log into the applications secured by Access Manager. When a user log in the first time from a device and device fingerprint is not available for that device, a risk is added and Access Manager can be configured to prompt for an additional authentication. After the first successful authentication, a device fingerprint is calculated based on the parameters configured in the Device Fingerprint rule. In subsequent login attempts, Access Manager verifies device fingerprint parameters configured in the Device Fingerprint rule. In the current implementation, you cannot add more than one Device Fingerprint rule per Access Manager setup. For more information about device fingerprinting, see Chapter 6, “Device Fingerprinting,” on page 619.</td>
</tr>
<tr>
<td>Device ID</td>
<td>In Access Manager 4.3, this rule has been replaced with the Device Fingerprint rule. If you have configured any Device ID rule in Access Manager 4.1 or 4.2, this rule will be listed as deprecated after upgrading to Access Manager 4.3. (Deprecated)</td>
</tr>
</tbody>
</table>

**Rule Category**

**Rule Description**

Use this rule if you want to track login attempts from a browser-based application that has a specific cookie value or name. For example: Consider a scenario where you have a financial application and a user accessing this application has cookies stored on the browser. If the cookie has a specific value or name, the user can be granted access without additional authentication. But, if the user accessing the application has no cookies stored, then you can request additional authentication to validate the user.

Use this rule to track the HTTP header of requests based on the name or the value contained in the HTTP header. For example, if you want to track HTTP requests containing custom HTTP header information, you can define the action to be performed on evaluation of this rule.

This rule creates a cookie in browser after a successful step up authentication. Subsequent login verifies this cookie. Use this rule to define the duration for which the cookie is valid. On expiry of the specified duration, the user is prompted for additional authentication. For example, this rule can be used to evaluate if the user is logging in by using a device that was used earlier for a login attempt. You can define the risk level and also request additional authentication, as necessary.

Use this rule to define a condition based on the LDAP attribute of the user. For example, you can define a rule to deny access if the employee ID of the user matches a specific string.

Use this rule to define a condition based on the user’s attempts to login at a specific time. For example, if the usual login pattern for an employee is between 9 a.m. to 5 p.m., you can define a rule that takes an action if the login pattern differs from the observed pattern.

Use this rule to uniquely identify and control the type of device from which a user could log into the applications secured by Access Manager. When a user log in the first time from a device and device fingerprint is not available for that device, a risk is added and Access Manager can be configured to prompt for an additional authentication. After the first successful authentication, a device fingerprint is calculated based on the parameters configured in the Device Fingerprint rule. In subsequent login attempts, Access Manager verifies device fingerprint parameters configured in the Device Fingerprint rule. In the current implementation, you cannot add more than one Device Fingerprint rule per Access Manager setup. For more information about device fingerprinting, see Chapter 6, “Device Fingerprinting,” on page 619.

In Access Manager 4.3, this rule has been replaced with the Device Fingerprint rule. If you have configured any Device ID rule in Access Manager 4.1 or 4.2, this rule will be listed as deprecated after upgrading to Access Manager 4.3. (Deprecated)
Configuring Authentication

Risk Engine: The risk engine evaluates and assesses rules, including risk score and risk level processing. It ensures that the risk associated with the login attempt is considered during authentication.

History: Each time a user makes a login attempt, the rules are evaluated and then based on the analysis, the user is either granted access or additional authentication is requested. These details are stored in an external database. The details recorded in history are:

- Risk score after evaluation of the rule
- Last login time of the user
- Geolocation details such as city or country
- IP address of the client
- Risk level details after evaluation of the rule
- Details of additional authentication
- Details of error messages displayed during risk assessment
- Time zone details

<table>
<thead>
<tr>
<th>Rule Category</th>
<th>Rule Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>External Parameters</td>
<td>Use this rule to consider inputs from external providers to evaluate the risk associated with a login attempt. For example, if a user is already authenticated with an external authentication provider, Access Manager receives authentication details from that provider such as the method used for the authentication. Access Manager can use this information for evaluating the risk. <strong>NOTE:</strong> To use this rule, you must create a custom authentication class to retrieve details from an external provider. For more information, see “User Information Methods” and “Creating a Custom Rule Class” in the NetIQ Access Manager 4.3 Developer Guide.</td>
</tr>
</tbody>
</table>
| Geolocation | Use this rule to track login attempts based on the geographical location of the user. You can track details ranging from a wide area such as a country or to a smaller area such as a region. For example, you can use this rule to introduce additional authentication attempts in the following scenarios:  
  - when a user logs in from a specific geolocation  
  - when a user accessing from a specific geolocation to be considered as a valid user and be granted access without further checks |
| Custom Rule | Lets you to define your own custom rules by using a custom Java authentication class. This is useful in deployments where the existing rules do not meet the requirements. For example: Consider a situation where the HTTP header contains the company name in an encoded format. You can create a custom rule to decode the HTTP header and retrieve the name of the company. This value can later be compared to an LDAP attribute, and based on the results, action to be taken.  
Consider a situation where a user logs in from India at a specific time each day. You can create a custom rule that will prevent login by the same user from USA within 24 hours of the previous login attempt. For more information about creating a custom class, see NetIQ Access Manager 4.3 Developer Guide. |
It is recommended to configure Oracle, MySQL, or Microsoft SQL Server as the database to store the risk-based authentication data. Built-in Data Store is not recommended in a production environment.

- **Risk Policies for risk mitigation**: A risk policy is a group of risk rules. A rule must be assigned to a risk policy for functioning. The risk policy was called rule group in Access Manager 4.1.

- **Authorization policies for protected resources**: You can define a condition group as part of the authorization policy that uses the risk score from Identity Server to protect a resource. This provides an additional level of security for your environment. For more information, see “Configuring an Authorization Policy to Protect a Resource” on page 808.

- **Risk score and risk levels validation utility**: After configuring a risk policy and corresponding risk scores and actions, you can use the Validate utility to emulate the total risk score and the action in event of rule failure. The validation result indicates the total risk score and action. Based on these details, you can adjust the risk score and risk levels depending on your needs. For more information, see “Understanding How to Use the Validate Tool to Emulate Total Risk Score and Risk Levels” on page 611.

- **Risk Rule Validation Utility**: To determine the best way to implement risk levels and actions for your business needs, use the Risk Rule Validation Utility to test different risk rules and risk scores. For more information about this tool, see “Understanding How To Use the Risk Rule Validation Utility To Troubleshoot Rule Configuration” on page 613.

- **Cumulative Scoring**: You can configure to add the risk score of the current session while evaluating contracts.

For example, assume that you have configured two contracts, Contract A and Contract B. Contract A contains both PreAuthRiskBasedAuthenticationClass and RiskBasedAuthClass (post authentication). In this case, cumulative scoring is enabled in RiskBasedAuthClass. When Contract A is executed, RiskBasedAuthClass considers the risk score calculated by PreAuthRiskBasedAuthenticationClass.

Contract A is configured with the following two risk-based methods:

- A_RiskMethod1, which uses a PreAuthRiskBasedAuthenticationClass that in turn uses a risk policy A_SamplePolicy1.
- A_RiskMethod2, which uses a RiskBasedAuthClass that in turn uses a risk policy A_SamplePolicy2.

For an arbitrary request, A_RiskMethod1 and A_RiskMethod2 calculate risk scores 100 and 150 respectively. As RiskBasedAuthClass has cumulative scoring enabled, total risk score calculated at the end of Contract A execution is 250.

Contract B is configured with a method named B_RiskMethod. B_RiskMethod uses a RiskBasedAuthClass that in turn uses a risk policy named B_SamplePolicy. The risk score associated with this policy is 75. If evaluation of Contract B fails, 75 is returned as the risk score. If cumulative scoring is enabled, the final risk score of the session is 250 (risk score of Contract A) + 75 (risk score of Contract B) = 325. If cumulative scoring is not enabled, risk score is 75.

- **Risk Score Reduction after a Successful Additional Authentication**: The Reduction option enables you to reduce the risk score by a specified value after a successful strong additional authentication.

For example, Assume you want to reduce the risk score by 100 after a successful additional authentication. You have configured to prompt for an additional authentication when the risk score is more than 200. You have configured an authentication class with a risk policy that includes the following rules:

- IPAddressRule: If this rule fails, the risk score is 125
- **HTTPHeaderRule**: If this rule fails, the risk score is 150  
- **UserProfileRule**: If this rule fails, the risk score is 200

Specify 100 in **Reduction**. For an arbitrary request, **IFAddressRule** and **HTTPHeaderRule** have failed and the effective risk score is 275. The user will be prompted for an additional authentication. If this authentication succeeds, the risk score becomes 175. If you have configured to share the risk score, the reduced risk score is shared. If you have enabled recording user history, the reduced value is logged.

If the risk score value is less than one after reduction, risk score will be considered as zero.

- **Using External Parameters in Risk Assessment**: The **External Parameters Rule** enables you to consider inputs from external providers in evaluating the risk associated with a login attempt. For example, if a user is already authenticated with an external authentication provider, Access Manager can receive authentication details such as the authentication type, IP address, and location of the user from that provider. Access Manager can then use this information for evaluating the risk.

You can configure to retrieve the input based on multiple parameters. Assume, you want to get the input if the user is from US and the method used to authenticate is Kerberos. Also, the user must not be using a device with the anti-virus software version 1.1.0.99. In this scenario, the configuration is as follows:

1. Create **Parameter Set 1**, set logical operator as **AND**, and configure the following two parameters:

<table>
<thead>
<tr>
<th>Parameter Name</th>
<th>Regex</th>
<th>Operator</th>
<th>Parameter Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication Mechanism</td>
<td>NA</td>
<td>Is equal to</td>
<td>Kerberos</td>
</tr>
<tr>
<td>Location</td>
<td>NA</td>
<td>Is equal to</td>
<td>US</td>
</tr>
</tbody>
</table>

2. Create **Parameter Set 2** and configure the following parameter:

<table>
<thead>
<tr>
<th>Parameter Name</th>
<th>Regex</th>
<th>Operator</th>
<th>Parameter Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anti-virus software</td>
<td>\d+\d+\d+\d+</td>
<td>is not equal to</td>
<td>The version of anti-virus software is 1.1.0.99.</td>
</tr>
</tbody>
</table>

3. Set the logical operator between parameter sets as **AND**.

**NOTE**: To use this rule, you must create a custom class to retrieve details from an external provider. For more information, see “User Information Methods” and “Creating an Authentication Class” in the *NetIQ Access Manager 4.3 Developer Guide*. 

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### 5.5.4 Key Terms

<table>
<thead>
<tr>
<th>Term</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule</td>
<td>A rule indicates a condition that you want to evaluate during a login attempt. For evaluation, a rule is linked to a risk policy. A rule can be assigned to multiple risk policy. For example, to assess the IP address of a user and the location from which the user logs in, you need two separate rules: One for IP address and another rule for location.</td>
</tr>
<tr>
<td>Risk Policy</td>
<td>You can combine one or more rules with a risk policy. A rule cannot be processed without being included in a risk policy. You can combine multiple rules in a risk policy.</td>
</tr>
<tr>
<td>Risk Score</td>
<td>The value that is returned if the rule conditions do not meet. For example, you have set the risk score as 50. If the risk evaluation fails, 50 is the value returned to the risk engine. Assume that the IP address rule is assigned a risk score of 50 and the geolocation rule is assigned a risk score of 30. If both IP address rule and geolocation rule fail, the risk score is 80. If only the IP address rule fails, the risk score is 50. As the geolocation rule is evaluated successfully, the risk score is 0 for this rule.</td>
</tr>
<tr>
<td>Is/Is Not condition</td>
<td>When you configure a rule and select a parameter for assessment, you can determine how the conditions must match for each of the subparameters. For example, if you configure a rule to assess the IP address of a user, you can configure whether the IP address must be specific, be in a range, or be in a particular subnet. For example, if you want to assess whether the IP address of a user is within a range of 10.10.10.1 to 10.10.10.10, you can specify an Is condition in the rule configuration. This indicates that when the rule is evaluated, only IP addresses in the range of 10.10.10.1 to 10.10.10.10 must be considered as a valid IP addresses and then the user must be granted access. During the rule evaluation, if you want a rule to be passed when it does not meet a specific criteria, select Is Not in the rule configuration screen. For example, if you want to stop all login attempts from a particular IP address, then configure a rule using the Is condition. Using the same example as above, if you want to stop any login attempts from IP addresses in the range of 10.10.10.1 to 10.10.10.10, configure the rule using the Is Not condition.</td>
</tr>
<tr>
<td>Combination Rule</td>
<td>When you configure a set of rules, it is configured with the OR logical operator, by default. For example, if you have configured an IP address rule and a geolocation rule without any additional configuration, either the IP address rule is evaluated or the geolocation rule is evaluated. But, if you want both the IP address rule and the geolocation rule to be evaluated during a login attempt, configure a combination rule. A combination rule lets you use the AND/OR logical operators to configure a rule based on your preferences. For example, If you configure an IP address rule and a geolocation rule, select the AND operator to evaluate both rules. Whereas if you use the OR operator, either IP address rule or the geolocation rule is evaluated.</td>
</tr>
</tbody>
</table>
5.5.5 Understanding Risk-based Authentication through Scenarios

The following are few example configurations to describe how you can use risk-based authentication:

- Scenario 1
- Scenario 2
- Scenario 3
- Scenario 4
- Scenario 5
- Scenario 6

5.5.5.1 Scenario 1

You want to enable two-factor authentication for a user outside the corporate network, and single factor authentication when the user is in the corporate network.

You can configure risk-based authentication in this scenario by using the Risk-based Pre-Auth Class. You can determine the authentication method required for an access based on the context before the user's identity is validated through authentication. You can choose from many authentication methods and apply them as per your security policy requirements.

For example, you can enable a simple username/password prompt when the user is in the internal network. If the user is trying to login from outside the corporate network using a known device, prompt for Advanced Authentication Framework (username/password and smartphone) authentication.

**Configuration Steps:**

2. Click the Create Risk Policy icon.
3. Under Add Risk Policy, specify the following details:
   - Risk Policy Name: Specify a name.
   - Policy Description: Specify the purpose of this policy.
Assign Policy To: Select Identity Server cluster and then configure an authentication class.

- Select Risk-based Pre-Authentication Class.
- Specify Display Name.
- Click Save.

4 Create an IP Address rule.

4a Under Policy Rules, click Create Rule and specify the following values:
   - Rule Name: Specify a name.
   - Rule Definitions: Select IP Address Rule.
   - Select Allow if IP Address Is in the list.
   - Specify the corporate IP Address details. For more information, see “IP Address” in Step 3 on page 750.

4b Click OK.

4c If rule condition is met, then: Exit with Risk level as.

4d Select Risk Level: Low

4e Click OK.

5 Create a Device ID rule.

5a Under Policy Rules, click Create Rule and specify the following values:
   - Rule Name: Specify a name.
   - Rule Definitions: Select Device ID Rule.
   - Specify the details. For more information, see “Device ID” in Step 3 on page 750.

5b Click OK.

5c If rule condition is met, then: Exit with Risk level as.

5d Select Risk Level: Medium

5e If rule condition is not met, add risk score: 50

5f Click OK.

6 Under Risk Levels, click Actions > Add Risk Level and create the following risk levels:

- Low

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Less than 50</td>
</tr>
<tr>
<td>Risk Level</td>
<td>Low</td>
</tr>
<tr>
<td>Action</td>
<td>Authenticate by using Name/Password - Basic</td>
</tr>
</tbody>
</table>

- Medium

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Equals to or greater than 50</td>
</tr>
<tr>
<td>Risk Level</td>
<td>Medium</td>
</tr>
</tbody>
</table>
5.5.5.2 Scenario 2

You want to configure an authentication mechanism or an additional authentication mechanism based on the type of the device.

You can configure risk-based authentication in this scenario by using Risk-based Pre-Auth Class. You can create a risk rule to choose an authentication mechanism or an additional authentication mechanism based on the type of device used by a user.

For example, if a user is logging in from a mobile device, you can prompt the user to provide an additional authentication such as SMS or One-Time Password based authentication after the user is authenticated. You can define an HTTP Header rule by using a user-agent property such as Mozilla/5.0 (iPhone; U; CPU iPhone OS 3_0) to verify whether the request is from a mobile.

Configuration Steps:

2. Specify a name for the rule.
3. Select HTTP Header Rule.
4. Specify HTTP Header Name as X-Forwarded-For.
5. Select Contains in HTTP Header Value and specify Mozilla/5.0 (iPhone; U; CPU iPhone OS 3_0).

**NOTE:** You must configure NAT settings for this rule to work. See “Configuring NAT Settings” on page 755.

6. Click OK.
7. Assign the rule to a risk-policy and follow steps Step 7 to Step 9.

5.5.5.3 Scenario 3

You want to grant access only to employees. You want to deny access for any request from a specific region even if the user is an employee of your organization.

This scenario requires to create two separate rules: one for geolocation named example_geolocation and another for user profile named example_user_profile.
You can configure risk-based authentication for this scenario by using Risk-based Auth Class.

**Configuration Steps:**

1. Go to **Policies > Risk-based Policies > Risk Policy**.
2. Click the **Create Risk Policy** icon.
3. Under Add Risk Policy, specify the following details:
   - **Risk Policy Name**: Specify a name.
   - **Policy Description**: Specify the purpose of this policy.
   - **Assign Policy To**: Select Identity Server cluster and then configure an authentication class.
   - Select **Create Risk-based Auth Class**.
   - Specify **Class Name**.
   - Click **Save**.

4. Create a Geolocation rule and a User Profile rule.
   - **Geolocation Rule**
     Under **Policy Rules**, click **Create Rule** and specify the following values:
     
     **NOTE:** You must configure a provider in the Geolocation user interface for a geolocation rule to work.

     - **Rule Name**: Specify `example_geolocation`.
     - **Rule Definitions**: Select **Geolocation Rule**.
     - **User Location**: Select **Is not**.
       Specify the following geolocation details of the region which you want to deny all login requests from:
       - Country Code
       - State Name
       - State Code
       - City Name
       - Zip Code
       - Metro Code
       - Area Code
       - Region Code
       - Region Name
     - **If rule condition is met, then**: Allow Access and Exit Policy
     - **If rule condition is not met, add risk score**: 60
     - Click **OK**.
   - **User Profile Rule**
     Under **Policy Rules**, click **Create Rule** and specify the following values:
     - **Rule Name**: Specify `example_user_profile`.
     - **Rule Definitions**: Select **User Profile**.
     - Select `employeeType`.
     - Select **Equals**.
     - Specify Employee.
• If rule condition is met, then: Proceed to Next Rule
• If rule condition is not met, add risk score: 60
• Click OK.

To evaluate example_user_profile first, drag it up before example_geolocation in the rules list in Administration Console.

5 Under Risk Levels, click Actions > Add Risk Level and create the following risk level:

For more information about risk scores, see “Risk Score” in Table 5-6, “Risk-based Authentication Terms,” on page 599.

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Equals to or greater than 50</td>
</tr>
<tr>
<td>Risk Level</td>
<td>High</td>
</tr>
<tr>
<td>Action</td>
<td>Deny Access</td>
</tr>
</tbody>
</table>

6 Click OK.

7 Create an authentication method. For more information, see “Configuring a Method for an Authentication Class” on page 749.

8 Create a contract. For more information, see “Configuring a Contract for an Authentication Class” on page 749.

9 Assign the contract to the protected resource.

5.5.5.4 Scenario 4

If the user is an employee and is not located in a specific region, grant the access. If the user is an employee, but accessing from a specific region, deny the access. If the user is an employee and accessing from the specified location, but the HTTP header contains a specified email ID, grant the access.

You can configure a risk policy for this scenario by using the combination rule. A combination rule assesses more than one parameter to validate an authentication request from a user.

The rule must assess the user profile and geolocation first and consider the HTTP header condition only when the first condition evaluation fails.

You can configure risk-based authentication in this scenario by using Risk-based Auth Class.

Configuration Steps:

1 Go to Policies > Risk-based Policies > Risk Policy.
2 Click the Create Risk Policy icon.
3 Under Add Risk Policy, specify the following details:
   Risk Policy Name: Specify a name.
   Policy Description: Specify the purpose of this policy.
   Assign Policy To: Select Identity Server cluster and then configure an authentication class.
   • Select Create Risk-based Auth Class.
   • Specify Class Name.
   • Click Save.
4 Create a Geolocation rule and a User Profile rule as a single rule.

4a Under Policy Rules, click Create Rule and specify the following values:

4b Rule Name: Specify example_combination_rule.

4c Configure the geolocation rule.

**NOTE:** You must configure a geolocation provider in the Geolocation user interface for this rule to work.

4c1 Rule Definitions: Select Geolocation Rule.

4c2 User Location: Select Is not.

4c3 Specify the following geolocation details of the region which you want to deny all login requests from:

- Country Code
- State Name
- State Code
- City Name
- Zip Code
- Metro Code
- Area Code
- Region Code
- Region Name

4d Click Combine with to add the user profile rule.

4d1 Select User Profile Rule.

4d2 Under User Attributes, Select employeeType and Equals, and specify Employee.

4e Click Combine with to add the HTTP Header rule.

4e1 Select HTTP Header Rule.

4e2 Specify the HTTP header Name and the specific HTTP header value that you want to search for an HTTP header.

4f In Combination Rule Definition > Condition Group, click Assign Rules and then select user profile and geolocation rules. Select AND in Group Operator. For information about how these operators work, see “Combination Rule” in Table 5-6, “Risk-based Authentication Terms,” on page 599.

4g Click Add Condition Group and select HTTP Header Rule.

4h Select the OR operator for Condition Group 1 and Condition Group 2.

4i If rule condition is met, then: Allow Access and Exit Policy.

4j If rule condition is not met, add risk score: 50

4k Click OK.

5 Under Risk Levels, click Actions > Add Risk Level and create the following risk levels:

- Low

You can define actions for a risk score or for a range of risk score. When evaluation of all conditions in a risk policy fail, the action is taken based on the accumulated risk score.

For more information, see “Risk Score” in Table 5-6, “Risk-based Authentication Terms,” on page 599.
5.5.5.5 Scenario 5

You want to store the details of login attempts in the configured history databases and take actions based on these details in subsequent login attempts.

While configuring risk-based authentication, you can determine if you want to save the history details and the number of days for which history to consider for evaluation of the authentication attempt.

For example: Let us assume that you have enabled recording of history details and have specified that the history of last 10 days are used for evaluation before granting or denying access. If the user logs in from a different geolocation, additional authentication is requested as the risk is high. The risk evaluation details are stored in the database. Next time the user logs in from the same geolocation, the historical details for the last ten days are checked to see if there are details about a login attempt from the same geolocation. As the geolocation details exist in the database, the user is granted access without being prompted for additional authentication.

You can enable recording of user history only for a risk policy that uses Risk-based Auth Class.

For more information about how to enable recording of user history, see “Configuring User History” on page 754.
5.5.5.6 Scenario 6

You want to identify the characteristics or fingerprints of devices users use for login. The device can be a desktop, a laptop, or a mobile device. You want this information to achieve the following activities:

- Uniquely identify users’ devices used in login attempts
- Use the device identification details in evaluating risks associated with a login attempt and decide the action based on the risk.

You can configure a risk policy for this scenario by using the Device Fingerprint rule. The Device Fingerprint rule enables you to identify user devices previously used for access. A fingerprint can be imprinted on the device itself or stored to the risk database. In pre-authentication scenarios, the device fingerprints consist of the device characteristics. In post-authentication scenarios, the device fingerprints consist of the device characteristics and user identifier.

For example, when a user logs in the first time through a laptop, the user needs to provide an additional authentication. After the successful additional authentication, a device fingerprint is computed and stored either in the device (without any user information) or in the database (tied to the user). If the rule is configured for a pre-authentication scenario, the details are stored in the browser cache on the device. For a post-authentication scenario, you can configure to save these details in the browser cache or a risk database. When the user logs in next time, the device fingerprint of this device is computed and matched with the stored values. If the value does not match, the user is asked for additional authentication or a risk is added to the session.

For an example configuration, see Section 6.4, “Configuring an Example Device Fingerprint Policy,” on page 625.

5.5.6 Understanding Risk Score Calculation

A risk score is assigned when a rule is added to a risk policy. This risk score indicates the priority and criticality of the rule.

For example, if you have configured a set of rules, but you want one rule to be the most important rule, assign it a higher risk score compared to the other rules. If the rule evaluation is successful, the risk score is set as zero.

If a rule evaluation is not successful, the risk score is set as the value of the rule. If you have configured multiple rules, the total risk score is the sum of risk scores of all the failed rules.

- Section 5.5.6.1, “Scenario 1,” on page 607
- Section 5.5.6.2, “Scenario 2,” on page 608

5.5.6.1 Scenario 1

Let us assume that you have created two rules to validate login requests to a financial application. You have determined that Rule 1 is the most critical rule and want users to gain access when this rule is evaluated.
Table 5-7  Risk Rules

<table>
<thead>
<tr>
<th>Rules</th>
<th>Risk Score</th>
<th>If rule condition is met, then</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 1</td>
<td>50</td>
<td>Allow access and exit policy</td>
</tr>
<tr>
<td>Rule 2</td>
<td>30</td>
<td>Return risk level low</td>
</tr>
</tbody>
</table>

Depending on the risk score returned after evaluation of rule, risk level is assigned and action is taken.

Table 5-8  Risk Scores and Risk Levels

<table>
<thead>
<tr>
<th>Total Risk Score</th>
<th>Risk Level</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>31-80</td>
<td>Medium</td>
<td>Additional authentication must be requested.</td>
</tr>
<tr>
<td>0-30</td>
<td>Low</td>
<td>Allow access.</td>
</tr>
</tbody>
</table>

The following table describes how the rules are evaluated:

Table 5-9  Risk Score Calculation for the Rules

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Details</th>
<th>Total Risk Score</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 1 is successfully evaluated.</td>
<td>Rule 2 is not considered for rule processing as Rule 1 is configured to exit the policy when condition is met.</td>
<td>0</td>
<td>Access is allowed.</td>
</tr>
<tr>
<td>Rule 1 and Rule 2 fail.</td>
<td>In this case, the total risk score is 80 as both the rules have failed.</td>
<td>80</td>
<td>Additional authentication is requested.</td>
</tr>
</tbody>
</table>

5.5.6.2 Scenario 2

You have created three rules to access login requests to a financial application. All the rules must evaluate successfully to grant access to the user.

Table 5-10  Risk Rules

<table>
<thead>
<tr>
<th>Rules</th>
<th>Risk Score</th>
<th>If rule condition is met, then</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 1</td>
<td>50</td>
<td>Proceed to Next Rule</td>
</tr>
<tr>
<td>Rule 2</td>
<td>30</td>
<td>Proceed to Next Rule</td>
</tr>
<tr>
<td>Rule 3</td>
<td>10</td>
<td>Exit with Risk Level as...Low</td>
</tr>
</tbody>
</table>

Depending on the risk score returned after evaluation of rule, risk level is assigned and action is taken.
Table 5-11  Risk Scores and Risk Levels

<table>
<thead>
<tr>
<th>Total Risk Score</th>
<th>Risk Level</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-30</td>
<td>Low</td>
<td>Allow access</td>
</tr>
<tr>
<td>31-50</td>
<td>Medium</td>
<td>Additional auth</td>
</tr>
<tr>
<td>51-100</td>
<td>High</td>
<td>Deny access</td>
</tr>
</tbody>
</table>

The following table describes how the rules are evaluated:

Table 5-12  Risk Score Calculation for the Rules

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Details</th>
<th>Total Risk Score</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 1, Rule 2, and Rule 3 are successfully evaluated.</td>
<td>As all the rules are evaluated without errors, the risk score is 0.</td>
<td>0</td>
<td>Access is allowed.</td>
</tr>
<tr>
<td>Rule 1 evaluates successfully, but Rule 2 and Rule 3 fail.</td>
<td>The risk score is the value assigned to the rule that failed. In this case, the risk score is 40.</td>
<td>40</td>
<td>Additional authentication is requested.</td>
</tr>
<tr>
<td>Rule 1 fails, but Rule 2 and Rule 3 evaluate successfully.</td>
<td>The risk score is the value assigned to the rule that failed. In this case, the risk score is 50.</td>
<td>50</td>
<td>Additional authentication is requested.</td>
</tr>
<tr>
<td>Rule 2 evaluates successfully, but rule 1 and rule 3 fail.</td>
<td>The risk score is the sum of risk scores of all failed rules. In this case, the risk score is 60.</td>
<td>60</td>
<td>Access is denied.</td>
</tr>
<tr>
<td>Rule 2 fails, but rule 1 and rule 3 evaluate successfully.</td>
<td>The risk score is the sum of risk scores of all failed rules. In this case, the risk score is 30.</td>
<td>30</td>
<td>Access is allowed.</td>
</tr>
<tr>
<td>All the rules fail.</td>
<td>The risk score is the sum of risk scores of all failed rules. In this case, the risk score is 90.</td>
<td>90</td>
<td>Access is denied.</td>
</tr>
</tbody>
</table>

5.5.7  Configuring Risk-based Authentication

For information about configuring risk-based authentication, see Section 8.7, “Risk-based Policies,” on page 796.

5.5.8  Enabling Auditing for Risk-Based Authentication Events

For information about risk-based authentication events and how to enable these, see Section 19.2, “Enabling Identity Server Audit Events,” on page 906.
### 5.5.9 Configuring an External Database to Store User History

Access Manager supports MySQL, Oracle, and Microsoft SQL Server databases for storing risk history. This section provides details about how to configure these databases.

- Section 5.5.9.1, “Configuring MySQL Database,” on page 610
- Section 5.5.9.2, “Configuring Oracle Database,” on page 610
- Section 5.5.9.3, “Configuring Microsoft SQL Server,” on page 610

#### 5.5.9.1 Configuring MySQL Database

1. Unzip the `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip` file containing script to extend the database and sample configuration files. The file is located at the following location:
   - On Linux: `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip`

2. On the MySQL server, execute the following command to create database objects for risk-based authentication:

   ```
   mysql -h host -u username -p password netiq_risk_mssql_install.sql
   ```

3. Download the JDBC connector for the MySQL database from MySQL.com.
5. Restart Identity Server.

#### 5.5.9.2 Configuring Oracle Database

1. Unzip the `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip` file containing script to extend the database and sample configuration files. The file is located at the following location:
   - On Linux: `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip`

2. On the Oracle server, execute the following script to create database objects for risk-based authentication:

   ```
   netiq_risk_oracle_install.sql
   ```

5. Restart Identity Server.

#### 5.5.9.3 Configuring Microsoft SQL Server

1. Unzip the `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip` file containing script to extend the database and sample configuration files. The file is located at the following location:
   - On Linux: `/opt/novell/nids/lib/webapp/WEB-INF/RiskDBScripts.zip`

2. On the SQL Server, execute the following script to create database objects for risk-based authentication:

   ```
   netiq_risk_sql_server_install.sql
   ```

4 Copy the JDBC connector file sqljdbc42.jar to the /opt/novell/nids/lib/webapp/WEB-INF/lib/ folder.
5 Restart Identity Server.

5.5.10 Enabling Logging for Risk-Based Authentication

1 Click Devices > Identity Servers > Edit > Auditing and Logging.
2 Select Enabled under File Logging.
3 In the Component File Logger Levels section, specify any one of the following options for application logs:
   - **Severe**: Logs serious failures that can stop system processing.
   - **Warning**: Logs potential failures that have minimal impact on execution.
   - **Info**: Logs informational events.
   - **Verbose**: Logs static configuration information.
     The system logs any configuration errors under one of the three primary levels: Severe, Warning, and Info.
   - **Debug**: Logs events for all other log levels: Severe, Warning, Info, andVerbose.

For more information, see Section 21.3, “Identity Server Logging,” on page 922.

5.5.11 Troubleshooting Risk Rule Configuration

The following sections describe how to troubleshoot rule configuration:

- Section 5.5.11.1, “Understanding How to Use the Validate Tool to Emulate Total Risk Score and Risk Levels,” on page 611
- Section 5.5.11.2, “Understanding How To Use the Risk Rule Validation Utility To Troubleshoot Rule Configuration,” on page 613
- Section 5.5.11.3, “Troubleshooting Rule Evaluation Details By Using the Log File,” on page 613

5.5.11.1 Understanding How to Use the Validate Tool to Emulate Total Risk Score and Risk Levels

After configuring a risk policy and the corresponding risk scores and actions, use Validate to emulate total risk score, risk level, and action in event of rule failure. Based on the results, you can modify the configuration, if required.

Let us consider a case where you have configured a risk policy that includes five rules. The rules and the corresponding risk scores are as follows:
Now, open the risk policy for which you want to emulate total risk score, risk level, and action in event of rule failure. In the risk policy page, click **Actions > Toggle Validate**. Specify the rules as pass or fail to see the result along with a graphical representation.

For example, specify pass and fail for rules as follows:

<table>
<thead>
<tr>
<th>Rule</th>
<th>Condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Demo_InNetworkAtOfficeHours</td>
<td>Failed</td>
</tr>
<tr>
<td>Demo_InternalUser</td>
<td>Failed</td>
</tr>
<tr>
<td>Demo_KnownDevice</td>
<td>Failed</td>
</tr>
<tr>
<td>Demo_PayrollSiteCookie</td>
<td>Passed</td>
</tr>
<tr>
<td>Demo_UserProfile</td>
<td>Passed</td>
</tr>
</tbody>
</table>

In this case, the validation result is as follows:

You can similarly specify any other rule as failed or passed to emulate the risk score and risk levels.
5.5.11.2 Understanding How To Use the Risk Rule Validation Utility To Troubleshoot Rule Configuration

After configuring a risk policy, you can use the Risk Rule Validation utility to evaluate the configuration of rules. This helps you understand how rules are evaluated in a risk policy.

During rule evaluation if there is a match with the values configured for the rules, the rule evaluation is successful. If no match is found, the rule evaluation fails.

**Using the Risk Rule Validation Utility to Test Risk Configuration**

To use the risk rule validation utility for testing risk configuration, perform the following steps:

1. In the browser address bar, type the following URL:
   
   
   For example: https://10.1.1.1:8443/nidp/test/risk

2. Specify the credentials to log in.

3. Select a risk policy for evaluation. Click **Submit**. The risk score, risk category evaluation results and HTTP request header and related information are displayed.

4. [Optional] If you have logged in with administrator privileges, click **Details** to view details about risk configuration.

   **NOTE:** The Risk Rule Validation utility does not display details if **Record User History** is enabled and a user profile rule is configured.

5.5.11.3 Troubleshooting Rule Evaluation Details By Using the Log File

You can troubleshoot rule evaluation details by performing the following details:

- "Prerequisite" on page 613
- "Using Logs to Understand How Rules are Evaluated" on page 613

**Prerequisite**

Ensure that you have enabled logging at the application level. For more information see, "Enabling Logging for Risk-Based Authentication" on page 611.

**Using Logs to Understand How Rules are Evaluated**

If you encounter any error during risk-based authentication, check the log files to review the error code. The log file location is:

**Linux:** /opt/novell/nam/idp/logs/catalina.out

**Windows:** \Program Files (x86)\Novell\Tomcat\logs\stdout.log

Consider a scenario where you have three rules configured as described in Table 5-13. Using this scenario as an example let us see how we can use the details in catalina.out file to understand how rules are evaluated.

- "Scenario 1: User Profile Rule Fails" on page 614
- "Scenario 2: User Profile Rule Evaluates Successfully" on page 614
Scenario 1: User Profile Rule Fails

In this scenario the User Profile rule fails to evaluate successfully. All other rules in the risk policy evaluate successfully.

The following tracelist detail from catalina.out file provides more information about rule evaluation, risk score, and action:

Figure 5-22  Tracelist providing information about rule evaluation

```
traceList: RL~groupName~MultiGP~ruleCount~3~Success~riskScore~30
          RU~user-profile~negateResult~false~exceptionRule~false~result~false~
          RU~http-header~negateResult~false~exceptionRule~false~result~true~
          CO~actualValue~hrba~value~string~compare~expectedValue~hidden~value~result~true~
          RU~ip-rule~negateResult~false~exceptionRule~false~result~true~
          CO~clientIP~10.30.30.50~in-range~hidden~parameters~result~true~
</amLogEntry>
```

Table 5-15  Description of details recorded in the catalina.out file.

<table>
<thead>
<tr>
<th>Entry</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>user-profile<del>result</del>false</td>
<td>Indicates that user profile rule failed and the risk score of 30 is added to the total risk score.</td>
</tr>
<tr>
<td>http-header<del>result</del>true</td>
<td>Indicates that the HTTP header rule evaluated successfully.</td>
</tr>
<tr>
<td>ip-rule<del>result</del>true</td>
<td>Indicates that the IP address rule evaluated successfully.</td>
</tr>
</tbody>
</table>

Figure 5-23  Tracelist providing information about risk level and action

```
</amLogEntry>
```

This log entry indicates that the as per the risk level/action configuration, the action taken is to allow authentication to the user and the risk score is 30.

Scenario 2: User Profile Rule Evaluates Successfully

In this scenario the User Profile rule evaluates successfully. As this rule is a configured to exit when conditions is met, all other rules in the risk policy are not considered for evaluation.

The following tracelist detail from catalina.out file provides more information on the rule evaluation, risk score and action.
This log entry indicates that as per the risk level/action configuration, the action taken is to allow authentication to the user and the risk score is 0.

**Scenario 3: Two rules fail and the user is asked to authenticate using additional authentication**

In this scenario, User Profile rule and the IP address rule fail to evaluate successfully. The HTTP header rule evaluates successfully.

The following tracelist detail from `catalina.out` file provides more information on the rule evaluation, risk score and action.

**Figure 5-26  Tracelist providing information about rule evaluation**

```
traceList: RL~groupName~MultiGP~ruleCount~3~Success~riskScore~55
RU~user-profile~negateResult~false~exceptionRule~false~result~false~
RU~http-header~negateResult~false~exceptionRule~false~result~true~
CO~actualValue~hrba-value~string-compare~expectedValue~hidden-value~result~true~
RU~ip-rule~negateResult~false~exceptionRule~false~result~false~
CO~dientIP~in-range~hidden-parameters~result~false~
</amLogEntry>
```
Table 5-17  Description of details recorded in the catalina.out file

<table>
<thead>
<tr>
<th>Entry</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>user-profile<del>result</del>false</td>
<td>Indicates that user profile rule failed and the risk score of 30 is added to the total risk score.</td>
</tr>
<tr>
<td>http-header<del>result</del>true</td>
<td>Indicates that the HTTP header rule evaluated successfully.</td>
</tr>
<tr>
<td>ip-rule<del>result</del>false</td>
<td>Indicates that the IP address rule failed and the risk score of 25 is added to the total risk score.</td>
</tr>
</tbody>
</table>

Figure 5-27  Tracelist providing information about risk level and action

```
</amLogEntry>
```

This log entry indicates that the as per the risk level/action configuration, the action taken is additional authentication and the risk score is 55.

**Scenario 4: All Rules Fail**

In this scenario, all rules fail to evaluate successfully.

The following tracelist detail from catalina.out file provides more information on the rule evaluation, risk score and action.

Figure 5-28  Tracelist providing information about rule evaluation

```
traceList:  FL~groupName~MultiGP~ruleCount~3~Success~riskScore~75
RU~user-profile~negateResult~false~exceptionRule~false~result~false~
RU~http-header~negateResult~false~exceptionRule~false~result~false~
CO~actuaValue~null~string~compare~expectedValue~hidden~value~result~false~
RU~ip-rule~negateResult~false~exceptionRule~false~result~false~
CO~clientIP~<in~range~hidden~parameters~result~false~
</amLogEntry>
```

Table 5-18  Description of details recorded in the catalina.out file

<table>
<thead>
<tr>
<th>Entry</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>user-profile<del>result</del>false</td>
<td>Indicates that user profile rule failed and the risk score of 30 is added to the total risk score.</td>
</tr>
<tr>
<td>http-header<del>result</del>false</td>
<td>Indicates that the HTTP header rule failed and the risk score of 20 is added to the total risk score.</td>
</tr>
<tr>
<td>ip-rule<del>result</del>false</td>
<td>Indicates that the IP address rule failed and the risk score of 25 is added to the total risk score.</td>
</tr>
</tbody>
</table>

Figure 5-29  Tracelist providing information about risk level and action

```
<amLogEntry> 2016-03-16T05:27:52Z INFO NIDS Application: User: admin  risk action: DENY  risk score: 75</amLogEntry>
```
This log entry indicates that as per the risk level/action configuration, the action is to deny access to the user and the risk score is 75.
The device fingerprinting feature enables you to identify the type of device from which a user can log in to the applications secured by Access Manager. The device can be a desktop, a laptop, or a mobile device. Each device has many characteristics such as operating system, hardware, browser characteristics. Access Manager uses device characteristics and user identity to create a unique fingerprint of the device. You can use the fingerprint to uniquely identify and associate a risk profile for the device. You can further configure what kind of applications can be accessed through this device.

You can use the device fingerprint information to achieve the following activities as part of risk-based authentication:

- Uniquely identify users’ devices used in login attempts
- Evaluate risks associated with a login attempt by using device identification details and decide the action based on the risk

This section provides details about how device fingerprint works in risk-based authentication and how to configure a risk-based authentication policy to utilize device fingerprinting capabilities. For more information about risk-based authentication, see Section 5.5, “Risk-based Authentication,” on page 589.

This section includes the following topics:

- Section 6.1, “How It Works,” on page 619
- Section 6.2, “Understanding Device Fingerprint Parameters,” on page 623
- Section 6.3, “Configuring a Device Fingerprint Rule,” on page 625
- Section 6.4, “Configuring an Example Device Fingerprint Policy,” on page 625
- Section 30.9, “Troubleshooting the Device Fingerprint Rule,” on page 1102

6.1 How It Works

When a user logs in the first time, the user needs to provide an additional authentication. After the first successful authentication, Identity Server calculates the fingerprint for a device by using various user and device attributes and registers the device. In subsequent login attempts by the same user, Identity Server validates the device by using the stored fingerprint.

You can configure to store the fingerprint in any of the following locations:

- **Browser**: You can use this option in both risk-based pre-authentication scenarios and risk-based post-authentication scenarios. The fingerprint is valid for the duration specified in the rule. Each device has a single and unique fingerprint. So, this option will not work if the device is shared among more than one user and the fingerprint is configured to use the user DN parameter.

- **Server**: You can use this option only in risk-based post-authentication scenarios. The user can use many devices to log in, such as desktop, laptop, and various hand-held devices. You can save up to 20 device fingerprints for a user.
Device Fingerprinting in Pre-authentication Scenario

The following diagram illustrates how Access Manager Appliance device fingerprinting works in pre-authentication scenarios:

1. A user tries to log in.

[Diagram of the process]

1. A user tries to log in.
2. Identity Server calculates the fingerprint of the device being used in the login attempt.
3. Identity Server matches this device fingerprint with the existing device fingerprint if any exists.
4. If the device fingerprint matches, the user is prompted to authenticate with an authentication mechanism configured for a low-risk login attempt. If the device fingerprint does not match, the user is prompted to authenticate with an authentication mechanism configured for a high-risk login attempt.
5. Identity Server authenticates the user.
6. If the authentication is successful, Identity Server saves the fingerprint in the device and redirects the user to the service provider. If the authentication fails, Identity Server denies the user request.
Device Fingerprinting in Post-authentication Scenario

The following diagram illustrates how Access Manager Appliance device fingerprinting works in post-authentication scenarios:

1. Login Request
2. Authenticate User
3. Authenticated?
   - Yes: Evaluate Risk (Fingerprinting Rule Enabled)
   - No: Deny Access
4. Evaluate Risk (Fingerprinting Rule Enabled)
5. Match Fingerprint
6. Match?
   - Yes: Update Fingerprint with new expiry time
   - No: Do Risk Action (Stepup authentication)
7. Do Risk Action (Stepup authentication)
8. Stepup Success?
   - Yes: Store fingerprint in device and allow access
   - No: Deny Access
9. Store fingerprint in device and allow access

Identity Server
User Store
User

Authenticated?

Matched?
1. A user tries to log in.
2. The user specifies login credentials.
3. Identity Server authenticates the user.
4. After a successful authentication, Identity Server calculates the fingerprint of the device that the user has used for logging in.
5. Identity Server matches this device fingerprint with the existing device fingerprint if any exists.
6. If the device fingerprint matches, Identity server updates the expiry time of the existing device fingerprint and redirects the user to the service provider.
7. If the device fingerprint does not match, a risk is added to the session. The user is prompted for an additional authentication.
8. If the additional authentication is successful, Identity Server saves this device fingerprint and allows access to the resource.
9. If the additional authentication fails, Identity Server denies the user’s request.

### 6.2 Understanding Device Fingerprint Parameters

A device fingerprint consists of a number of parameters. The following table lists supported parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Request Header Set</td>
<td>Fetches Accept, Accept-Charset, Accept-Encoding, and Accept-Language from the request headers of the incoming request.</td>
</tr>
<tr>
<td>User DN</td>
<td>Fetches the distinguished name of a user in the user store. This parameter is not applicable for pre-authentication risk analysis.</td>
</tr>
<tr>
<td>Hardware Parameters</td>
<td>Fetches the following details about the user’s device:</td>
</tr>
<tr>
<td></td>
<td>♦ Touch support</td>
</tr>
<tr>
<td></td>
<td>♦ Maximum number of supported touch points</td>
</tr>
<tr>
<td></td>
<td>♦ CPU architecture (32- or 64-bit processor)</td>
</tr>
<tr>
<td></td>
<td>♦ Color depth</td>
</tr>
<tr>
<td></td>
<td>♦ type (mobile, desktop, or iPad)</td>
</tr>
<tr>
<td>Language Set</td>
<td>Fetches language preferences of the user’s device.</td>
</tr>
<tr>
<td>Operating System</td>
<td>Fetches name and version of the operating system on the user’s device.</td>
</tr>
<tr>
<td>Screen Resolution</td>
<td>Fetches width and height of the user’s browser and screen.</td>
</tr>
<tr>
<td>Time Zone Offset</td>
<td>Fetches time zone of the user’s device.</td>
</tr>
</tbody>
</table>
You can configure the match criteria either for an individual parameter or for a group of parameters. An individual parameter must match exactly with the stored value. You should configure a parameter for individual validation if it must be part of the login request and its value does not change frequently.

Consider configuring a parameter to be evaluated as a group if it is less important and the parameter value may change frequently. For example, version of a browser. For a group of parameters, you can specify a value in percentage. To meet the rule condition, the specified percentage of the parameters in the group must match with the stored value.

Selecting parameters for a group evaluation and specifying the match criteria to 100% gives similar result as the individual parameters evaluation. However, this configuration is not recommended, as it results in additional back-end percentage calculations. Instead, add the parameters in the individual list based on requirements.

If the parameters do not match as specified, you can configure Access Manager Appliance to prompt for additional authentication.

For example, you have selected Screen Resolution, User Agent, Language Set, TimeZone Offset, and Operating System parameters in the rule. You have configured the following match conditions:

**Screen resolution:** Individual

**Language Set, User Agent, TimeZone Offset, and Operating System Parameters:** Parameter Set

**Parameter Set Match:** 75%

When the user logs in the first time, Access Manager Appliance prompts for additional authentication. After the successful first authentication, Access Manager Appliance calculates the fingerprint for that user and saves it for later usage. When the user logs in the next time, Access Manager Appliance calculates the device fingerprint of the device the user has used in this login attempt and compares it.
with the stored fingerprint. To meet the rule condition, screen resolution and at least any three parameters out of Language Set, User Agent, TimeZone Offset, and Operating System Parameters must match.

6.3 Configuring a Device Fingerprint Rule

Only one Device Fingerprint rule is allowed per Access Manager Appliance setup. If you make any change in the Device Fingerprint rule, the change is applicable to all risk policies that use this rule.

Perform the following steps to configure a Device Fingerprint rule:

2. Click the Create Rule icon.
3. Specify a name for the rule and then select Device Fingerprinting Rule in Rule Definition.
4. Specify the following details:
   - **Valid for**: Specify the number of days for which you want to use the stored fingerprint.
   - **Store Fingerprint in**: Select any one of the following options:
     - **Browser**: To store the fingerprint in the browser cache on the device.
     - **Server**: To store the fingerprint in the configured risk-database. You can use this option only in risk-based post-authentication scenarios. To store the fingerprint in risk-database, you must enable storing the user history in the User History tab. (Policies > Risk-based Policies > User History).

   **NOTE:** Storing a fingerprint in Built-in Data Store (Bundled eDirectory) is not supported.

   For more information, see Section 6.2, "Understanding Device Fingerprint Parameters," on page 623.

   - **Fingerprints stored per user**: Specify the number of fingerprints you want to store per user. This option is applicable only when you select Server to store fingerprints. The permissible value is 1 to 20.

5. Click Parameter Settings if you want to modify the default settings. For information about parameters, see Section 6.2, "Understanding Device Fingerprint Parameters," on page 623.

For information about how to assign a rule to a risk-policy, see “Configuring a Risk Policy” on page 796.

For information about risk-based authentication, see “Risk-based Authentication” on page 589 and “Risk-based Policies” on page 796.

6.4 Configuring an Example Device Fingerprint Policy

Let us assume that you want to associate the user's distinguished name with the device. So, that anyone else other than the registered user must provide additional authentication to log in. Also, if the user DN matches, but other parameters do not match as expected, you want to perform additional authentication. This can be achieved by configuring a risk policy with the Device Fingerprint rule. For the first time after implementing the policy, the intended user needs to provide additional authentication. Afterward, if the rule matches, the user does not need to authenticate twice.

This example is applicable only for risk-based post-authentication scenarios.
You can create a risk policy for this example as follows:

2. Click the Create Risk Policy icon.
3. Under Add Risk Policy, specify example-DFP-class as the name of this policy.
4. In the Assign Policy To, select Identity Server cluster, and then select an authentication class. You can select the class from the list of existing classes, or you can create a new class.

**NOTE:** If you select an existing class, settings of the selected class are overwritten with values of this policy.

5. To create a new Device Fingerprinting rule, perform the following actions:

**NOTE:** You cannot have more than one Device Fingerprint rule in each Access Manager Appliance setup. If a rule is already configured, either use the existing rule or modify it based on the requirement.

5b. Specify a name for the rule and select Device Fingerprint Rule.
5c. Specify the number of days for which you want the fingerprint to be valid.
5d. In Store Fingerprint in, select Browser.
5e. Click Parameter Settings and make the following changes:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Evaluation Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>User DN</td>
<td>Evaluate Individually</td>
</tr>
<tr>
<td>Language Set</td>
<td>Evaluate as a Group</td>
</tr>
<tr>
<td>Screen Resolution</td>
<td>To meet the rule criteria, the parameters in the group must match the percentage specified in Parameter Set Match.</td>
</tr>
<tr>
<td>TimeZone Offset</td>
<td></td>
</tr>
<tr>
<td>User Agent</td>
<td></td>
</tr>
</tbody>
</table>

**NOTE:** For information about these parameters, see Section 6.2, “Understanding Device Fingerprint Parameters,” on page 623.

5f. In Parameter Set Match, specify 75%. For a rule to meet the criteria, User DN and at least three out of Language Set, Screen Resolution, TimeZone Offset, and User Agent must match.

6. Click OK.
7. Under Action to Perform, select If rule condition is met, then Exit with Risk Level as.
8. Select Risk Level as Low.

**NOTE:** You can also create a risk level here, and then assign it to the rule. See Step 11.

9. In If rule condition is not met, add risk score, specify 30.
10. Click Save.
11 Under **Risk Levels**, click **Actions > Add Risk Level** and configure the risk levels with the following details:

<table>
<thead>
<tr>
<th>Risk Level</th>
<th>Risk Score</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>Less than 30</td>
<td>Allow Access</td>
</tr>
<tr>
<td>Medium</td>
<td>Greater than or equal to 30</td>
<td>Additional Authentication.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Select a class to configure the step-up authentication.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>You can either select a class or a method to configure the step-up authentication. Typically, the step-up to a method is used when branding, overwriting of users, or a change of user store is required. If the user store of the Additional Authentication is the same as the risk-based authentication class and no additional branding is needed, then use a class.</td>
</tr>
</tbody>
</table>

12 Configure a method for the **example-DFP-class** class as follows:

12a Click **Devices > Identity Servers > Edit > Local > Methods > New**.

12b Specify the name as **example-DFP-method**.

12c In **Class**, select **example-DFP-class**.

12d Deselect **Identifies User**.

12e Select a user store from the list of **Available User Stores** and move it to **User stores**.

13 Configure a contract for the **example-DFP-method** method.

13a Click **Local > Methods > New**.

13b Specify the name as **example-DFP-contract**.

13c Select **example-DFP-method** in **Available methods** and move it to **Methods**.

13d Click **Next** to configure a card for the contract.

For more information, see **Section 5.1.4, “Configuring Authentication Contracts,” on page 311**.

13e For more information about risk-based policies, see **Section 5.5, “Risk-based Authentication,” on page 589** and **Section 8.7, “Risk-based Policies,” on page 796**.

After you implement this risk policy, the following are possible scenarios:
<table>
<thead>
<tr>
<th>Scenario</th>
<th>Risk Level</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>When a user logs in the first time</td>
<td>Medium</td>
<td>Prompt for additional authentication because no fingerprint exists to match.</td>
</tr>
<tr>
<td>When the fingerprint matches completely</td>
<td>Low</td>
<td>Allow Access</td>
</tr>
<tr>
<td>When individual parameters match, but a parameter in the group does not match as specified in Parameter Set Match.</td>
<td>Medium</td>
<td>Prompt for additional authentication</td>
</tr>
<tr>
<td>When individual parameter does not match, but parameters in the group match completely</td>
<td>Medium</td>
<td>Prompt for additional authentication</td>
</tr>
<tr>
<td>When both individual parameter and parameters in the group do not match</td>
<td>Medium</td>
<td>Prompt for additional authentication</td>
</tr>
<tr>
<td>When the fingerprint is expired</td>
<td>Medium</td>
<td>Prompt for additional authentication</td>
</tr>
</tbody>
</table>
Enabling Mobile and Web Access

Access Manager Appliance enables you to provide web and mobile access to protected applications and resources through the MobileAccess feature. MobileAccess includes a configuration portion in Administration Console as well as the MobileAccess app for mobile devices. Configurable options in MobileAccess include the following:

- Which applications users must be able to access through appmarks
- Whether users can access an application through a desktop browser or a mobile device, or both
- What the preferred viewer for the application on the mobile device is
- Whether users are required to provide a PIN to use the MobileAccess app on their mobile device, and if so, whether they are required to re-enter the PIN after a period of inactivity

Appmarks are essentially bookmarks for Access Manager resources. After you have configured an Access Manager resource, you configure one or more appmarks to enable users to access the resource in different ways. You can create an appmark for:

- Applications
- Bookmarks
- Mobile apps
- Service providers
- Protected resources

The applications and service providers are different from other Access Manager resources. Access Manager Appliance provides connectors for the different applications that you import and configure to provide a single sign-on experience for the users. When you import and configure a connector, Access Manager Appliance automatically creates an appmark for the connector. You manage and configure the connectors in the Applications page of Administration Console and manage and configure appmarks through the Appmarks page. For more information, see the Access Manager Appliance Applications Configuration Guide.

The MobileAccess app that users install on their mobile devices enables them to access Access Manager resources from those devices. Administrators can also make the MobileAccess app available to users in a private corporate store. After users have installed the app and registered their device, they can access the resources using the assigned authentication methods.

Administrators can deregister user mobile devices through Administration Console on the User Devices page. So, if a registered mobile device is lost or stolen, or an employee leaves the company, you can ensure that unauthorized users cannot access corporate resources. Users can also deregister their own mobile devices if necessary, either from their device or from the User Portal page.

7.1 User Requirements for MobileAccess

The following are the minimum requirements for users to use MobileAccess.

The following are the supported mobile devices and versions for MobileAccess:

- iPhone with 9.x
7.2 Configuring Appmarks

Appmarks function like bookmarks in browsers. As with bookmarks, if the resource for the appmark changes, you must change the appmark to match the modified source. For example, you have an appmark for a protected resource and the protected resource changes, you must edit your appmark and select the modified protected resource again to receive the changes.

You can configure one or more appmarks for a resource. However, that appmark is specific to a single IDP cluster. You can also configure appmarks for users to use with only a browser. If you want users to use the mobile apps, you must first configure MobileAccess. For more information, see Section 7.3, "Configuring MobileAccess," on page 633.

When you configure an appmark, you specify whether you want the application to launch in a desktop browser or on a supported mobile device, or both. If you configure a single appmark to display in both a desktop browser and on a mobile device, the appmark will have the same name, but you can customize the icons so they are different. Appmarks offer significant flexibility, enabling you to customize your users' experience using different view options and variables.

When you configure a new appmark to display on a mobile device, the user must do a refresh on the application page on the mobile device before the appmark appears.

To create an appmark:

1. Log in as an administrator to Administration Console.
2. In Administration Console Dashboard under Administration Tasks, click Appmarks.
3. (Conditional) If you have not already configured an Access Manager resource, create a resource, such as a service provider or a protected resource.
4. In the Cluster field, select the appropriate IDP cluster that hosts the protected resource.
   If you have only one IDP cluster, Administration Console automatically selects that IDP cluster.
5. Click the plus sign (+) to create an appmark.
6. Create a new appmark using the information located in, Section 7.2.2, "Understanding Appmarks Options," on page 631.
7. Click Save.

Repeat this process for each appmark you want to create. In order for the mobile appmark to work, you must configure MobileAccess. For more information, see Section 7.3, "Configuring MobileAccess," on page 633.

7.2.1 Creating Multiple Appmarks for an Application

Application connectors can have multiple appmarks. For example, you might create several appmarks for different Office 365 or Salesforce applications. You can create a new appmark, or you can duplicate an existing appmark to save time, especially if you want to create several appmarks and just change one or two options on each one.

To duplicate a new appmark:

1. Log in as an administrator to Administration Console.
2. In Administration Console Dashboard under Administration Tasks, click Appmarks.
3 Click the menu in the upper right corner on the appmark you want to copy, then click Duplicate.

4 Edit the Name field because it is pre-populated with COPY_$DisplayName. You have the following options:
   - You can accept this default name. (However, note that “COPY_” will be part of the name.)
   - You can change the display name by manually editing the text.

5 Specify whether the application must be accessible from a desktop browser or a mobile device, or both, and complete the appropriate fields. For more information about available options, see Section 7.2.2, “Understanding Appmarks Options,” on page 631.

6 Click Save.

7.2.2 Understanding Appmarks Options

You configure appmarks in Administration Console Dashboard under Administration Tasks > Appmarks. On each appmark that you create, there is a menu on the upper right corner that allows you to edit, duplicate, or delete the appmark. Clicking Edit or clicking the appmark allows you to edit the appmark. Clicking the plus sign (+) at the top of the page, allows you to create a new appmark. When you create or duplicate an appmark, the following options are available.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>The display name for the appmark. If you want different display names for the appmark on the desktop browser page and on mobile devices, you must create a copy of the appmark and change the name.</td>
</tr>
<tr>
<td>Description</td>
<td>The description appears as hover text that users see for the appmark on the User Portal page.</td>
</tr>
<tr>
<td>Change Image</td>
<td>The image that Access Manager Appliance uses for the appmark for all platforms, unless you override this image with another image when configuring the different platforms. The maximum image size is 200 x 200 pixels and the ideal image size is 100 x 100 pixels. You can use an image from the Image Gallery or upload your own image.</td>
</tr>
<tr>
<td>Roles</td>
<td>(Optional) The Access Manager role that the users must have in order to see the appmark. If you do not select a role, all users can see the appmark on the User Portal page. If you add a role, only users with that role can see the appmark. If you add multiple roles, users in any of those roles can see the appmark. For example, if you add Sales and Managers, the users must be in Sales or Managers, not Sales and Managers, to see the appmark.</td>
</tr>
</tbody>
</table>
Enabling Mobile and Web Access

There are many different types of resources:

- **Bookmark**: The URL of a website or document.
- **Mobile App**: The URL that launches the application on the mobile device. When the user opens the MobileAccess app on the mobile device and taps the appmark, MobileAccess opens the mobile app itself.
- **Service Provider (SAML2 Application)**: The term *Service Provider* refers to any SAML 2.0 service providers that you created and configured prior to the Access Manager 4.3 release. The term *SAML 2.0 Application* refers to a SAML 2.0 service provider that you created and configured using SAML 2.0 connectors either by importing a SAML 2.0 connector (from file or catalog) or by converting a SAML 2.0 service provider.

  The main benefit of a SAML 2.0 Application versus a SAML 2.0 service provider is the ability to limit user’s access to the service provider based on roles. The roles configured on a SAML 2.0 Application in Applications control user access to the application. Roles are not available for SAML 2.0 service providers.

  Roles configured on Appmarks associated with SAML 2.0 Applications or SAML 2.0 service providers only affect the visibility of the appmark to the users.

  When you configure the connector for the application, Access Manager Appliance automatically creates an appmark for you. You can create additional appmarks for the connector.

- **Protected Resource**: A protected resource on a trusted Access Gateway cluster that you have created prior to creating the appmark.

  The protected resource roles control access to the protected resource, not the appmark roles. The appmark roles only provide visibility of the appmark to the users.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type</strong></td>
<td>The Access Manager resource type that the appmark represents. There are many different types of resources:</td>
</tr>
<tr>
<td></td>
<td>- Bookmark: The URL of a website or document.</td>
</tr>
<tr>
<td></td>
<td>- Mobile App: The URL that launches the application on the mobile device. When the user opens the MobileAccess app on the mobile device and taps the appmark, MobileAccess opens the mobile app itself.</td>
</tr>
<tr>
<td></td>
<td>- Service Provider (SAML2 Application): The term Service Provider refers to any SAML 2.0 service providers that you created and configured prior to the Access Manager 4.3 release. The term SAML 2.0 Application refers to a SAML 2.0 service provider that you created and configured using SAML 2.0 connectors either by importing a SAML 2.0 connector (from file or catalog) or by converting a SAML 2.0 service provider.</td>
</tr>
<tr>
<td></td>
<td>The main benefit of a SAML 2.0 Application versus a SAML 2.0 service provider is the ability to limit user’s access to the service provider based on roles. The roles configured on a SAML 2.0 Application in Applications control user access to the application. Roles are not available for SAML 2.0 service providers.</td>
</tr>
<tr>
<td></td>
<td>Roles configured on Appmarks associated with SAML 2.0 Applications or SAML 2.0 service providers only affect the visibility of the appmark to the users.</td>
</tr>
<tr>
<td></td>
<td>When you configure the connector for the application, Access Manager Appliance automatically creates an appmark for you. You can create additional appmarks for the connector.</td>
</tr>
</tbody>
</table>

| **Enable** | Select the user platforms where the appmark will be visible. The platforms are Desktop, iOS, and Android. |

| **Desktop** | Allows you to override the behaviors of the desktop appmark. For example, you can add a different icon for the desktop appmark so it sizes differently than the iOS appmark. You can use an image from the Image Gallery or upload your own image. The options to override are: |
|            | - Image |
|            | - URL |
Enabling Mobile and Web Access

7.2.3 Managing Icons

Access Manager Appliance provides a set of default images you can use when creating an appmark. You can also upload your own images. The maximum image size is 200 x 200 pixels and the ideal image size is 100 x 100 pixels.

You can delete and edit images you upload. You are not allowed to delete or edit any of the images that come with Access Manager Appliance. You edit or delete the images when you are creating or editing appmarks.

7.3 Configuring MobileAccess

After you have created appmarks, you must configure MobileAccess to enable users to register their mobile devices through the MobileAccess app (iOS or Android). Users can access the appmarks through a browser on a desktop or a mobile device without enabling MobileAccess.
NOTE: MobileAccess communicates only over an HTTPS connection. MobileAccess does not work with HTTP.

To configure MobileAccess:

1. Log in as an administrator to Administration Console.
2. In Administration Console Dashboard under Administration Tasks, click MobileAccess.
3. Select the IDP cluster that contains the appmarks you want to enable for the MobileAccess app.
4. Select Enable MobileAccess to enable users to register their devices if they have the MobileAccess apps installed.
5. In the Device display name field, specify your company name. This name appears in the bar at the top of the MobileAccess app window on users’ mobile devices.
6. In the Roles field, select the roles that users must be able to register their devices through the MobileAccess app.

If you do not select a role, all users can register their devices through the MobileAccess app. If you add a role, only users with that role can register their devices through the MobileAccess app. If you add multiple roles, users in any of those roles can register their devices through the MobileAccess app. For example, if you add Sales and Managers, the users must be in Sales or Managers, not Sales and Managers, to register their devices through the MobileAccess app.

7. In the Mobile device registration contract field, select the contract that users will see to register their devices through the MobileAccess apps. You can select any contracts listed. However, not all Access Manager Appliance contracts work with mobile devices.

IMPORTANT: Ensure that the contract you select works with mobile devices. In general, any basic authentication or certificate contracts do not work on mobile devices.

8. In the Methods satisfied by mobile authentication field, select the authentication methods that are satisfied after users have successfully registered a mobile device.

9. In the Password Prompt field, select how long users can continue to use an authenticated password on mobile devices before re-authentication is required.

10. In the PIN Prompt field, select whether users must set a PIN for the MobileAccess app on their mobile devices, and whether they must re-enter the PIN after a period of inactivity. You can change this requirement at anytime. For more information, see Section 7.6, "Understanding the MobileAccess PIN," on page 636.

11. Click Save.

12. Repeat the procedure for each IDP cluster that contains appmarks.

7.4 Helping Users Register Their Mobile Devices

Users can install the MobileAccess app on a mobile device to use MobileAccess with Access Manager Appliance. A user can register a device with multiple providers by setting up separate accounts for each one. If a user registers a device with multiple providers, the user must select the account to use for a session from the providers listed on the device. By default, the app connects the user to the first provider in the list.

As an administrator, you can provide two different ways for users to register a mobile device with Access Manager Appliance.
7.4.1 Registering iOS Devices

There are two different ways you can provide to users to register an iOS mobile device.

- **Manual**: Send your users an email with the URL to the IDP server including the correct port number. The users enter the IDP URL in the MobileAccess app by tapping the menu in the upper left corner of the MobileAccess app, then tap Accounts > + to enter the URL of the IDP in the Providers field. The URL is:

  https://IDP_server_dns_name:port

  For example:

  https://idp.acme.com:8443

- **Embedded Link in Email**: You can send your users the following link. When the users click the link, the link launches the MobileAccess app and the user is presented with the Sign In page. The user signs in using their corporate credentials to access the appmarks available in the MobileAccess app. The link is:


  For example:


  **NOTE**: Email client can prevent embedded links from working.

7.4.2 Registering Android Devices

There are two different ways you can provide to users to register an Android device.

- **Manual**: Send your users an email with the URL to the IDP server including the correct port number. The users enter the IDP URL in the MobileAccess app by tapping the menu in the upper right corner of the MobileAccess app, then tapping Manage Accounts > + to enter the URL of the IDP in the Provider field. The URL is:

  https://IDP_server_dns_name:port

  For example:

  https://idp.acme.com:8443

- **HTML Page with Anchor Link**: You can also create an HTML page that contains an anchor link that users click on to have the Provider field populated for them. The format of the anchor link is:

  <html>
  <body><a href="intent://x-callback-url/register?providerUrl= https://IDP_server_dns_name:port#Intent;scheme=comnetiqauth;package=com.netiq.mobileaccessforandroid;end;">Register</a></body>
  </html>

  For example:

  <html>
  <body><a href="intent://x-callback-url/register?providerUrl= https://idp.acme.com:8443#Intent;scheme=comnetiqauth;package=com.netiq.mobileaccessforandroid;end;">Register</a></body>
  </html>
7.5 Installing MobileAccess on a Mobile Device

After you have created appmarks and enabled MobileAccess in Administration Console Dashboard, users must install the MobileAccess app on supported mobile devices before they can access any resources that have been configured for mobile access. For more information, see *Access Manager Mobile Users Quick Start*.

7.6 Understanding the MobileAccess PIN

Access Manager appliance administrators can require users to set a PIN on their mobile devices as a security measure to prevent unauthorized users from accessing protected resources through the MobileAccess app. Administrators can also specify whether users must re-enter the PIN after a period of inactivity on the device.

For only iOS, Access Manager appliance allows users to use the fingerprint stored in iOS as a PIN. If you do not enable the option for a PIN, MobileAccess does not use the stored fingerprint. If you enable the PIN for MobileAccess, MobileAccess uses the stored fingerprint instead of the PIN. If a user has not configured the fingerprint reader for the iOS device, MobileAccess defaults to using the PIN instead.

Users must install the MobileAccess app on the mobile device before they can set the PIN. If a PIN is required, the MobileAccess app prompts users to set the PIN the first time they open the app. Otherwise, users can set, change, or remove the PIN anytime by accessing the Settings page from the MobileAccess app.

---

**NOTE:** The MobileAccess PIN is unrelated to the built-in device passcode, which is designed to protect other resources on the mobile device.

Even if the administrator does not require users to set a PIN, users can optionally set a PIN on their device. The PIN can be different for each mobile device the user registers. The PIN is not stored anywhere other than on the device itself.

Administrators can change the PIN Prompt setting anytime in Administration Console Dashboard. If the administrator specifies that a PIN is required after a mobile device has already been registered, the next time the user launches the MobileAccess app on the mobile device, MobileAccess prompts the user to set a PIN. The app then prompts the user for that PIN each subsequent time the user accesses the app. If the administrator initially requires users to set a PIN and then changes that requirement, users can remove the PIN from their device. However, MobileAccess does not notify users if a PIN is no longer required.

Whether the Access Manager administrator requires users to set a PIN or a user chooses to set a PIN, by default users can enter their PIN incorrectly five times. On the fifth attempt, the application deregisters the mobile device and removes the current PIN. The user must then reregister the device and reset the PIN. For more information, see “Deregistering Your Device” in the *Access Manager Mobile Users Quick Start*.
7.7 Managing Mobile Devices

Access Manager administrators can manage and deregister user mobile devices in Administration Console Dashboard. So, if a registered mobile device is lost or stolen, or an employee leaves the company, you can ensure that unauthorized users cannot access corporate resources.

Users can also deregister their own mobile devices, either from their device or from the User Portal page after they log in. A mobile device that has previously been deregistered can be reregistered by the same user. However, for a different user to use the deregistered mobile device, the user must delete and reinstall the MobileAccess app on the device before reregistering the device.

Use the information in the following sections to help you manage mobile devices:

7.7.1 Deregistering Mobile Devices

If you are logged in to Administration Console as an administrator, you have the option to search for and deregister devices that are registered to other users. Users can manage their own devices from the User Portal page after they log in.

To deregister mobile devices:

1. Log in as an administrator to Administration Console.
2. In Administration Console Dashboard under Administration Tasks, click User Devices.
3. Select the IDP cluster that contains the user.
4. If you want to search for the devices belonging to a particular user, enter the user name in the User field.
   or
   Browse the list of devices Administration Console displays.
5. Click the Delete icon next to the device you want to deregister, then click OK on the confirmation message.

After a mobile device has been deregistered, the device can be registered to a new user. However, the MobileAccess app on the device must first be deleted and reinstalled. For more information, see Section 7.7.3, "Deleting and Reinstalling the MobileAccess App on a Device," on page 637.

7.7.2 Deregistering a Mobile Device as a User

Users who have previously registered a mobile device can deregister the device if necessary. For more information, see "Deregistering Your Device" in the Access Manager Mobile Users Quick Start.

NOTE: Users can uninstall the MobileAccess app on a mobile device after the device has been deregistered. However, if the MobileAccess app is uninstalled without the device first being deregistered, the device continues to appear on the Devices page. The administrator or user can delete the device from the Devices page in Administration Console Dashboard.

7.7.3 Deleting and Reinstalling the MobileAccess App on a Device

After a mobile device has been deregistered, the MobileAccess app on the device must be deleted and reinstalled before a different user can reregister the device.
To delete and reinstall the MobileAccess app on a device:

1. Follow the instructions to uninstall the MobileAccess app:
   - iOS (http://www.apple.com/support/iphone/assistant/application/#section_5)
   - Android (https://support.google.com/googleplay/answer/2521768?hl=en)
2. Reinstall the MobileAccess app. For more information, see “Installing the MobileAccess App” in the Access Manager Mobile Users Quick Start.

### 7.7.4 Installing the Access Manager Certificate Authority Certificate on a Mobile Device

You can add the Access Manager certificate authority (CA) certificate to an iOS mobile device by performing the following steps:

**NOTE:** This procedure does not work in Chrome.

#### To install a self-signed certificate on the mobile device:

1. Take the Access Manager CA certificate and email it to the user who has an email account configured on the mobile device. Alternatively, you could put it on a web or FTP site that is accessible from the mobile device.
2. Open the email, website or FTP site on the mobile device and tap the certificate attachment.
3. In the Install Profile window, tap Install.
4. Read the warning, then tap Install.
5. Verify that the certificate reads Trusted with a green check mark in the Profile Installed window.
6. (Conditional) If the certificate is not trusted, something is wrong with the certificate and the MobileAccess app will not work. Go back and try to generate the certificate again.
7. Tap Done.
8. Specify the Access Manager Appliance DNS name in the Safari address bar and ensure that there is no warning about an untrusted certificate.

### 7.8 Changing the Branding of the User Portal Page

Administration Console Dashboard allows you to change the default branding of the User Portal page so your users see only your company’s information. To do complex customization, you can still edit the JSP file to make changes. For more information, see Section 4.1.3, “Customizing Identity Server,” on page 204.

#### To make simple branding changes on the User Portal page:

1. Log in as an administrator to Administration Console.
2. In Administration Console Dashboard under Administration Tasks, click Branding.
3. Select the appropriate IDP cluster.
   - Just as appmarks are unique per IDP cluster, the branding is unique per IDP cluster.
4. Click Change Image, then either select an image from the image gallery or upload your own image.
5. In the text field, specify a name that is appropriate for your company.
6 Specify a color name, an RGB value, or a HEX number for the appropriate color for the banner. For example, enter #ffffff, Aquamarine, or rgb(26,155,130).

For a full list of the supported color names, see HTML Color Names.

For a solid background color, leave the Right Background Color field blank. You can also use a valid CSS background style syntax in either background definition field, but not both fields. For example, enter linear-gradient (to right, red, blue).

IMPORTANT: To properly brand the MobileAccess applications, use only one HEX number for each color and no advanced CSS features.

7 Click Save.

8 Repeat the procedure for each IDP cluster.

To change the User Portal page beyond the branding changes you must perform manual steps and customization of .jsp files. For more information, see Section 4.1.3, “Customizing Identity Server,” on page 204.
Access Manager Policies

Policies provide the authorization component of Access Manager Appliance. The administrator of Identity Server can use policies to define how properties of a user’s authenticated identity map to the set of active roles for the user. This role definition serves as the starting point for role-based authorization policies of Access Gateway. Additionally, you can define authorization policies to control access to protected resources based on user and system attributes other than assigned roles.

Policies are very flexible. For example, you can set up a policy that allows or denies access to a protected website, depending on user roles (such as employee or manager), the value of an LDAP attribute, or the user’s IP address.

Access Gateway includes an Embedded Service Provider agent that interacts with Identity Server to provide authentication, policy decision, and policy enforcement. For Web application servers, Access Gateway provides the ability to inject the user’s roles into HTTP headers to allow integration with the web server’s authorization processes.

This section describes how Access Manager uses policies to assign roles to control access and to enable single sign-on to resources that require credentials.

This section includes the following topics:

- Section 8.1, “Understanding Policies,” on page 641
- Section 8.2, “Role Policies,” on page 653
- Section 8.3, “Authorization Policies,” on page 692
- Section 8.4, “Identity Injection Policies,” on page 742
- Section 8.5, “Form Fill Policies,” on page 762
- Section 8.6, “External Attribute Source Policies,” on page 791
- Section 8.7, “Risk-based Policies,” on page 796

8.1 Understanding Policies

Policies are logical and testable rules to maintain security and consistency within your Access Manager Appliance infrastructure. You can specify activation criteria, deactivation criteria, temporal constraints (such as time of day or subnet), identity constraints (such as user object attribute values), and additional separation-of-duty constraints. Identity information can come from any identity source (such LDAP, an Identity Vault, or a directory) or from Access Manager’s Identity Server, which provides full Liberty Alliance specification support and SAML 2.0 support. Identity is available throughout the determination of rights and permissions.

- Section 8.1.1, “Selecting a Policy Type,” on page 642
- Section 8.1.2, “Tuning the Policy Performance,” on page 642
- Section 8.1.3, “Managing Policies,” on page 643
- Section 8.1.4, “Managing Policy Containers,” on page 645
- Section 8.1.5, “Managing a Rule List,” on page 646
- Section 8.1.6, “Adding Policy Extensions,” on page 648
- Section 8.1.7, “Enabling Policy Logging,” on page 652
8.1.1 Selecting a Policy Type

Access Manager Appliance uses the policy type to define the context within which a policy is evaluated. Each type of policy differs in purpose, which in turn determines the conditions and actions that apply. For example, the conditions and actions of an Authorization policy differ from the conditions and actions of an Identity Injection policy.

When you click New on the Policies page, the system displays the predefined policy types in a drop-down list. Each policy type represents the set of conditions and actions that are available. You then configure rules to determine user roles, make decision requests, and enforce authorization decisions. You can also set up policies with no conditions, allowing actions to always take place. As policies and conditions become complex, it can be simpler and more manageable to design policies with conditions that deny or restrict access to large groups of users, rather than setting up policies that permit access to certain users.

Access Manager Appliance has the following policy types:

- **Access Gateway: Authorization**: This policy type is used to permit or deny access to protected resources, such as web servers. After you have set up the protected resource, you use the policy rules to define how you want to restrict access. For example, if a user is denied access to a resource, you can use the policy to redirect them to a URL where they can request access to the resource.

- **Access Gateway: Identity Injection**: This policy type evaluates the rules for Identity Injection, which retrieves identity data from a data source (user store) and forwards it to Web applications. Such a policy can enable single sign-on. After the user has authenticated, the policy supplies the information required by the resource rather than allowing the resource to prompt the user for the information.

- **Access Gateway: Form Fill**: This policy type creates a policy that automatically fills in the information required in a form, after the form is filled the first time. Use this policy to configure single sign-on for resources that require form data and for injecting JavaScript to an HTML page. You can also use this policy for injecting JavaScript to HTML pages.

- **Identity Server: Roles**: This policy type evaluates rules for establishing the roles of an authenticated user. Roles are generated based on policy statements each time a user authenticates. Roles are placed into an Authentication Profile, which can be used as input in policies for Authorization or Identity Injection.

- **Identity Server: External Attribute Source**: This policy type is used to create a policy that retrieves the attributes from external sources.

8.1.2 Tuning the Policy Performance

Authorization and Identity Injection policies allow you to select conditions, one of which is Roles. If you have thousands of users accessing your resources, you might want to design most of your policies to use roles. Roles are evaluated when a user logs in, and the roles assigned to the user are cached as long as the session is active. When the user accesses a resource protected by a policy that uses role conditions, the policy can be immediately evaluated because the user’s role values are available. This is not true for all conditions; the values for some conditions must be retrieved from the...
user store. For example, if the policy uses a condition with an LDAP attribute, the user’s value must be retrieved from the LDAP user store before the policy can be evaluated. On a system with medium traffic, this delay is not noticed. On a system with high traffic, the delay might be noticeable.

However, you can design your policies to have the same results without retrieving the LDAP attribute value at resource access. You can create a Role policy for the LDAP attribute and have users assigned to this role at authentication when they match the attribute value requirements. When users access resources, they gain immediate access or are immediately denied access because their role assignments are cached.

If the same LDAP attribute policy is used to grant access to multiple resources, chances that a user notices a delay are minimal. The first time a policy is evaluated for a user, the data required for the policy is cached and is therefore immediately available the next time it is requested.

Another option available for LDAP, Credential Profile, Liberty User Profile, and Shared Secret attributes is to have the attribute values sent with the assertion at authentication. You configure an attribute set for the attributes, and then configure the service provider for these attributes. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

As you design your policies, experiment and find the type that works best for your network and your customers.

### 8.1.3 Managing Policies

1. In Administration Console Dashboard, click Policies > Policies.
2. In the Policy Container drop-down list, select the container.
   
   If you have not created any containers, only the Master_Container is available in the list.
3. You can perform the following tasks from this page:
   - “Creating Policies” on page 643
   - “Sorting Policies” on page 644
   - “Deleting Policies” on page 644
   - “Renaming or Copying a Policy” on page 644
   - “Importing and Exporting Policies” on page 644
   - “Refreshing Policy Assignments” on page 644

### 8.1.3.1 Creating Policies

Before creating policies, you need to design your policy strategy. For example, if you are going to use role-based access, you need to decide which roles you need and which roles allow access to your protected resources. Roles, which are used by Authorization policies that grant and deny access, need to be created first. If you have already created the roles and assigned them to users in your LDAP user store, you can use the values of your role attributes in the Authorization policies rather than using Access Manager Appliance roles.

To create a policy, see the following sections:

- Chapter 8.2, “Role Policies,” on page 653
- Chapter 8.4, “Identity Injection Policies,” on page 742
- Chapter 8.5, “Form Fill Policies,” on page 762
- Chapter 8.6, “External Attribute Source Policies,” on page 791
8.1.3.2 Sorting Policies

Policies can be sorted by name and by type. On the Policies page, click Name in the Policy List, and the policies are sorted alphabetically by name. To sort alphabetically by type, click Type in the Policy List.

You can also use containers to organize your policies. For more information, see Section 8.1.4, “Managing Policy Containers,” on page 645.

8.1.3.3 Deleting Policies

A policy cannot be deleted as long as a resource is configured to use the policy. This means that you must remove the policy from all protected resources for Access Gateway.

Roles can be used by Authorization, Form Fill, and Identity Injection policies. Before you can delete a Role policy, you must remove any reference to the role from all other policies.

8.1.3.4 Renaming or Copying a Policy

Copy: To copy a policy, select a policy, click Copy, then click OK. The new policy is named “Copy of ...” This is useful when you are creating multiple policies that require only minor variations to make them unique. You must rename the policy after making these modifications.

Rename: To rename a policy, select a policy, click Rename, specify a new name, then click OK.

8.1.3.5 Importing and Exporting Policies

Policies that are created in Administration Console can be exported and used in another Administration Console that is managing a different group of Access Gateways and other devices. Each policy type has slightly different import requirements. See the following:

- Section 8.2.8, "Importing and Exporting Role Policies," on page 692
- Section 8.3.5, "Importing and Exporting Authorization Policies," on page 741
- Section 8.4.10, "Importing and Exporting Identity Injection Policies," on page 760
- Section 8.5.5, "Importing and Exporting Form Fill Policies," on page 786

8.1.3.6 Refreshing Policy Assignments

If you have made changes in policy assignments that are not reflected on the page, click Refresh References. This action can take a while to complete if you have numerous policies and have assigned them to protect numerous resources. Administration Console needs to verify the configuration of each device.

If you have made changes in policy assignments that are not reflected on the page, click Refresh References. This action can take a while to complete if you have numerous policies and have assigned them to protect numerous resources. Administration Console needs to verify the configuration of each device.
8.1.3.7 Viewing Policy Information

The Policy List table displays the following information about each policy:

<table>
<thead>
<tr>
<th>Column</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Displays the name of the policy. To modify a policy, click its name.</td>
</tr>
<tr>
<td>Type</td>
<td>Specifies the type of policy (Authorization, Identity Injection, Roles, or Form Fill) and the type of resource that can use it (Identity Server or Access Gateway).</td>
</tr>
<tr>
<td>Used By</td>
<td>Displays the name of Access Gateway or Identity Server configuration that the policy is assigned to. If the policy is unassigned, this column has no value. If the policy is assigned to a protected resource, click the down-arrow button to view the names of the resources it has been assigned to.</td>
</tr>
<tr>
<td>Extensions Used</td>
<td>Specifies whether the policy uses any extensions. If none has been used, this column has no value.</td>
</tr>
<tr>
<td>Description</td>
<td>Displays a description of the policy. If no description has been specified, this column has no value.</td>
</tr>
</tbody>
</table>

8.1.4 Managing Policy Containers

You use policy containers to store and organize policies, similar to how you organize files in folders. The Master_Container is a permanent policy container, but you can use the Containers tab to create new containers.

A policy container can hold up to 500 policies. When you reach that limit, you must create another container to add, copy, or import policies. For performance and for ease in finding a policy, you might want to limit a container to 200 or fewer policies. Policies in a container can be sorted by name and type, to aid you in finding a particular policy.

If you have only one administrator configuring and managing policies, you can create additional policy containers to help you keep policies organized. If you have multiple administrators creating policies, you can create a container for each administrator to use. This allows multiple administrators to modify policies at the same time. When an administrator opens a policy in a container, the container is locked, which prevents other administrators from modifying any policies in that container until changes are applied or canceled.

1. In Administration Console Dashboard, click Policies > Containers.
2. On the Containers page, click New.
3. Name the policy container, then click OK.
4. Click Close.

After you add a policy container, the system displays it in the Policy Container drop-down list on the Policy List page.

You must delete all the policies in a policy container before you can delete the policy container.

1. Select the check box of the policy container. Click Delete. A Confirm dialog box displays a message “Number of Containers selected: 1, Delete selected Containers?”
2. Click Ok to continue or Cancel to close the window.
8.1.5 Managing a Rule List

You configure rules to create a policy. The rules collectively represent a desired course of action when the required conditions are met, such as denying entry-level employees access to a secure website, and permitting access for employees who have a role of Manager.

When the system evaluates the policy conditions, it begins with the rule with the highest priority and evaluates the conditions, starting with the first condition group in the rule. Each rule contains one or more conditions and one or more actions. If a rule’s conditions are met, the rule’s action is performed. For some policy types, the performance of any rule’s action terminates the policy evaluation. With Authorization policies, for example, after the policy has determined that a user is either permitted or denied access to a resource, there is no reason to evaluate the policy further. However, a Role policy might identify multiple roles to which a user belongs. In this case, each rule of the policy must be evaluated to determine all roles to which the user belongs.

IMPORTANT: The interface for the policy engine is designed for flexibility. It does not protect you from creating rules that do nothing because they are always true or always false. For example, you can set up a condition where Client IP is equal to Client IP, which is always true. You are responsible for defining the condition so that it does a meaningful comparison.

To manage the list of rules for a policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the container.
3. Click the name of the policy.
4. In the Rule List section, select one of the following:
   - **New**: To create a new rule, click New.
   - **Delete**: Select a rule, then click this option to delete the rule. If the policy has only one rule, you cannot delete the last rule.
   - **Copy**: Select a rule, then click this option to copy a rule. To modify the copy, click the rule number.
   - **Enable**: Select a rule, then click this option to enable a rule.
   - **Disable**: Select a rule, then click this option to disable a rule.
5. Click OK, then click Apply Changes.

8.1.5.1 Rule Evaluation for Role Policies

A Role policy is used to determine which role or roles a user is assigned to. However, you can specify only one role per rule. Role policies are evaluated when a user authenticates. Role policies do not directly deny or allow access to any resource, nor do they determine if a user is authenticated. A user’s role can be used in the evaluation of an Authorization policy, but at that point the evaluation of the role policy has already occurred and is not directly part of the authorization process. The performance of an action (assigning a user to a role) does not terminate the evaluation of the policy, so subsequent rules in the policy continue to be evaluated.
8.1.5.2 Rule Evaluation for Authorization Policies

When Access Gateway discovers a rule in an Authorization policy that either permits or denies a user access to a protected resource, it stops processing the rules in the policy. Use the following guidelines in determining whether your Authorization policy needs multiple rules:

- If the policy enforces multiple access requirements that can result in differing actions (either permit or deny), use separate rules to define the conditions and actions.
- If you want other conditions or actions processed when a rule fails, you must create a second rule for the users that fail to match the conditions.

If you create multiple rules, you can modify the order that the rules are processed. This allows you to create policies that contain a number of Permit rules that allow access if the user matches the rule. The lowest priority rule in such a policy is a Deny rule, which denies access to everyone who has not previously matched a Permit rule.

IMPORTANT: If you create policies with multiple Permit rules, you must make the last rule in the policy a generic deny policy (a rule with no conditions and with an action of deny). This ensures that if the Result on Error Condition field in a rule is set incorrectly, the user matches the last rule and is denied access. Without this rule, a user might gain access because the user didn’t match any of the rules.

You can also create a number of policies and enable multiple policies for the same protected resource. Rule priority determines how the enabled policies interact with each other. The rules in the policies are gathered into one list, then sorted by priority. The processing rules are applied as if the rules came from one policy. It is a personal design issue whether you create a policy with multiple rules or create multiple policies that you enable on a single protected resource. Either design produces a list of rules, sorted by priority, that is applied to the user requesting access to the protected resource.

8.1.5.3 Rule Evaluation for Identity Injection and Form Fill Policies

Rules in Identity Injection and Form Fill policies have actions, but no conditions. Because they have no conditions, all the rules are evaluated and the actions are performed. Identity Injection policies have two exceptions to this rule; they can insert only one authentication header and one cookie header. If you create multiple rules, each with an authentication header and a cookie header, the rule with the highest priority is processed and its actions performed. The actions in the second rule for injecting an authentication header and a cookie header are ignored.

You cannot create multiple rules for a Form Fill policy.

8.1.5.4 Viewing Rules

The policy view administrators can view the information related to rules. The rules collectively represent a desired course of action when the required conditions are met, such as denying entry-level employees access to a secure website, and permitting access for employees who have a role of Manager.

When the system evaluates the policy conditions, it begins with the rule with the highest priority and evaluates the conditions, starting with the first condition group in the rule. Each rule contains one or more conditions and one or more actions. If a rule’s conditions are met, the rule’s action is performed. For some policy types, the performance of any rule’s action terminates the policy evaluation.
To view the list of rules for a policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the container.
3. Click the name of the policy.
4. In the Rule List section, the policy view administrator can view the following details:
   - **Type**: Displays the type of the policy.
   - **Description**: Displays the description of the policy type.
   - **Priority**: Displays the priority of the rule number to the policy type.
   - **Actions**: Displays the actions for the policy type.

### 8.1.6 Adding Policy Extensions

If Access Manager Appliance does not supply the action, the data type, or the condition that you need for a policy, you can add a customized policy extension. For example, suppose you need a policy that permits access based on whether a user has a specific role which is assigned to users in an Oracle database. The custom extension could read the role assignments of the user from the Oracle database and return a string containing the role names. This data could then be used to determine access rights to Access Manager resources.

For information about how to create a policy extension, see the *NetIQ Access Manager 4.3 Developer Guide*.

After a policy extension has been created, you need to perform the following tasks to use the extension:

- “Installing the Extension on Administration Console” on page 648
- “Distributing a Policy Extension” on page 650

After you have configured the extension, you can perform the following tasks:

- “Managing a Policy Extension Configuration” on page 651
- “Viewing Extension Details” on page 652

### 8.1.6.1 Installing the Extension on Administration Console

The policy extension can be delivered as either a .jar file or a .zip file.

- “Uploading and Configuring a JAR File” on page 648
- “Importing a ZIP File” on page 650

**Uploading and Configuring a JAR File**

To install an extension, you need to have access to the .jar file and know the following information about the extension or extensions contained within the file:

<table>
<thead>
<tr>
<th>What you need to create</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A display name for the extension.</td>
<td></td>
</tr>
<tr>
<td>A description for the extension.</td>
<td></td>
</tr>
</tbody>
</table>
If the file contains more than one extension, you need to create a configuration for each extension in the file.

1. Copy the .jar file to a location that you can browse to from Administration Console.
2. In Administration Console Dashboard, click Policies > Extensions.
3. To upload the file, click Upload > Browse, select the file, then click Open.
4. (Conditional) If you want this .jar file to overwrite an existing version of the file, select Overwrite existing *.jar file.
5. Click OK.

The file is uploaded to Administration Console, but nothing is visible on the Extensions page until you create a configuration.

6. To create an extension configuration, click New, then fill in the following fields:
   - **Name**: Specify a display name for the extension.
   - **Description**: (Optional) Specify the purpose of the extension and how it must be used.
   - **Policy Type**: From the drop-down list, select the type of extension you have uploaded.
   - **Type**: From the drop-down list, select the data type of the extension.
   - **Class Name**: Specify the name of the class that creates the extension, such as com.acme.policy.action.successActionFactory.

What you need to know

- The policy type of the extension, which defines the policy type it can be used with. You must know whether it is an extension for an Access Gateway Authorization policy, an Access Gateway Identity Injection policy, or an Identity Server Role policy.
- The name of the Java class that is used by the extension. Each data type usually uses a different Java factory class.
- The filename of the extension.
- The names, IDs, and mapping type of any configuration parameters. Configuration parameters allow the policy engine to pass data to the extension, which the extension can then use to retrieve data or to evaluate a condition.
- The type of data the extension manipulates.

**Authorization Policy**: Can be used to return the following:

- An action of deny, permit, or obligation.
- A condition that the extension evaluates and returns either true or false.
- A data element that the extension retrieves and the policy can use for evaluating a condition.

**Identity Injection Policy**: A data extension that retrieves data for injecting into a header.

**Identity Role Policy**: Can be used to return the following:

- A condition that the extension evaluates and returns either true or false.
- A data element that the extension retrieves which can be used in evaluating a condition or used to assign roles.

**External Attribute Source Policy**: A data extension that retrieves attributes from external sources.
File Name: From the drop-down list, select the .jar file that contains the Java class that implements the extension and its corresponding factory. This must be the file you uploaded in Step 3.

7 Click OK.

8 (Conditional) If the extension requires data from Access Manager Appliance, click the name of the extension.

9 In the Configuration Parameters section, click New, specify a name and ID, then click OK.
   The developer of the extension must supply the name and ID that the extension requires.

10 In the Mapping column, click the down-arrow, then select the required data type.
   The developer of the extension must supply the data type that is required. If the data type is a data string, then the developer needs to explain the type of information you need to supply in the text field.

11 (Conditional) If the extension requires more than one data item, repeat Step 9 and Step 10.

12 Click OK.
   The extension is now available for the policy type it was created for.

13 (Conditional) If the class can be used for multiple policy types, you need to create an extension configuration for each policy type.
   For example, if an extension can be used for both an Identity Injection policy and a Role policy, you need to create an entry for both. The File Name option must contain the same value, but the other options must contain unique values.

14 Continue with “Distributing a Policy Extension” on page 650.

Importing a ZIP File

A .zip file with an exported extension contains both the .jar file and the extension configuration.

1 Copy the .zip file to a location that you can browse to from Administration Console.

2 In Administration Console Dashboard, click Policies > Extensions.

3 To upload the file, click Upload > Browse, select the file, then click Open.

4 (Conditional) If you want the .jar file in the import to overwrite an existing version of the file, select Overwrite existing *.jar file.

5 Click OK.
   The extension is imported in Administration Console.

6 (Conditional) If the extension requires some customizing, click the name of the extension and follow the instructions that came with the extension.

7 Continue with “Distributing a Policy Extension” on page 650.

8.1.6.2 Distributing a Policy Extension

To distributed the policy extension to the devices that need it:

1 Create a policy that uses the extension:
   - Role Policy: To create a Role policy that uses the extension, see Section 8.2.3, “Creating Roles,” on page 658.
   - Identity Injection Policy: To create an Identity Injection policy that uses the extension, see Section 8.4.2, “Configuring an Identity Injection Policy,” on page 744.
- **Authorization Policy**: To create an Authorization policy that uses the extension, see Section 8.3.2, “Creating Access Gateway Authorization Policies,” on page 703.
- **External Attribute Source Policy**: To create an External Attribute Source policy that uses the extension, see Chapter 8.6, “External Attribute Source Policies,” on page 791.

2 Assign the policy to a device:
   - For a Role policy, enable it for an Identity Server.
     For more information, see Section 8.2.7, “Enabling and Disabling Role Policies,” on page 692.
   - For an Authorization policy, assign it to a protected resource.
     For more information, see “Assigning an Authorization Policy to a Protected Resource” on page 111.
   - For an Identity Injection policy, assign it to a protected resource.
     For more information, see “Assigning an Identity Injection Policy to a Protected Resource” on page 112.
   - For an External Attribute Source policy, enable it for an Identity Server.
     For more information, see Section 8.6.1, “Enabling External Attributes Policy,” on page 791.

**IMPORTANT**: Do not update the device at this time. The .jar files must be distributed before you update the device.

3 Distribute the .jar files:
   3a Click **Policies > Extensions**.
   3b Select the extension, then click **Distribute JARs**.
   3c Restart Tomcat on the devices listed for reboot.
     - **Linux**: Enter the following commands:
       - In Identity Servers: /etc/init.d/novell-idp restart.
     - **Windows**: Enter the following commands:
       ```
       net stop Tomcat7
       net start Tomcat7
       ```

4 (Conditional) If the extension is for an Authorization policy or an Identity Injection policy, update Access Gateway.

### 8.1.6.3 Managing a Policy Extension Configuration

1 In Administration Console Dashboard, click **Policies > Extensions**.
2 To export a policy extension, select the policy, then click **Export**.
3 To delete an extension, a policy cannot be using it. Use the **Used By** column to determine the policies that are using the extension. Modify the listed policies. When the extension is no longer used by any policies, select the extension, then click **Delete**.
4 To rename a policy extension, select the extension, click **Rename**, specify a new name, then click **OK**. When a policy extension is renamed and the extension is in use by a policy, the policy is updated. This causes the **Apply Changes** button to be active on the **Policy List** page.
8.1.6.4 Viewing Extension Details

You can modify the details of an existing extension and control the information Access Manager Appliance provides to the extension when the data is evaluated.

1. In Administration Console Dashboard, click Policies > Extensions.
2. Click the name of the extension.
   
   You can view or modify the following details:

   - **Description**: (Optional) Specifies the purpose of the extension and how it must be used.
   - **Class Name**: Specifies the name of the class that creates the extension, for example com.acme.policy.action.successActionFactory.
   - **File Name**: Specifies the .jar file that contains the Java class that implements the extension and its corresponding factory. Select the appropriate file from the drop-down list.

3. (Conditional) Specify the Condition Parameters required by the extension.
   
   The documentation for the extension must tell you the number of parameters it requires and the data type of each parameter. You create the name and ID for the parameter, and they need to be unique for the extension.

   - To add a configuration parameter, click New, enter a name (a string) and an ID (a number) for the parameter, then click OK. In the Mapping field, click the down-arrow, then select the data item from the list. The selected data is available whenever the extension class is called to evaluate an action, a condition, or data.
   - To delete a configuration parameter, select the parameter, then click Delete.

4. Click OK.

8.1.7 Enabling Policy Logging

Policy logging is expensive; it uses processing time and disk space. In a production environment, you must enable it only under the following types of conditions:

- You have created a new policy and need to verify its functionality.
- You are troubleshooting a policy that is not behaving as expected.

To gather troubleshooting information, you must enable the File Logging and Echo To Console options in Identity Server configuration and set the Component File Logger Levels for Application to at least info. Then you must update Identity Server configuration and restart any Access Gateway Embedded Service Providers, so that the Embedded Service Providers read the logging options. See Section 21.3.1, “Configuring Logging for Identity Server,” on page 922. When you have solved the problem, you must disable these options.

The log file on the component that executed the policy is where you must look for logging information. For example, if you have an Access Gateway: Authorization error, look at the log on Access Gateway that executed the policy.

For additional policy troubleshooting procedures, see Chapter 30.6, “Troubleshooting Access Manager Policies,” on page 1085.
8.2 Role Policies

This section describes the following topics for Identity Server roles.

- Section 8.2.1, “Understanding RBAC in Access Manager Appliance,” on page 653
- Section 8.2.2, “Enabling Role-Based Access Control,” on page 657
- Section 8.2.3, “Creating Roles,” on page 658
- Section 8.2.4, “Example Role Policies,” on page 677
- Section 8.2.5, “Creating Access Manager Appliance Roles in an Existing Role-Based Policy System,” on page 680
- Section 8.2.6, “Mapping Roles between Trusted Providers,” on page 690
- Section 8.2.7, “Enabling and Disabling Role Policies,” on page 692
- Section 8.2.8, “Importing and Exporting Role Policies,” on page 692

8.2.1 Understanding RBAC in Access Manager Appliance

Role-based access control (RBAC) provides a convenient way to assign a user to a particular job function or set of permissions within an enterprise, to control access. As an administrator, you probably have defined a set of roles for your needs. Your roles might include Employee, Student, Administrator, Manager, and so on. You might have Web resources that you want available to all employees, or only to managers, as shown in Figure 8-1.

![Figure 8-1 Traditional RBAC](image)

Access Manager Appliance supports core RBAC functionality by providing user role mapping and the mapping of roles to resource rights and permissions. User role mapping is a primary function of a Role policy. Role mapping to resource rights is accomplished through Authorization policies. When creating a role, you assign users to the role, based on attributes of their identities. You also specify the constraints to place on the role.

![Figure 8-2 RBAC Using a Policy](image)

As shown in Figure 8-2, during user authentication, the system checks the existing Role policy to determine which roles a user must be assigned to. After authentication, assigned roles can be used as evaluated conditions of an Authorization policy.

Web server applications can also be configured to use roles for access control. For these applications you can use Access Manager Appliance to assign the users to the required roles. You can use Access Gateway Identity Injection policies to inject the assigned roles into the HTTP header that is sent to the web server.
The following examples describe ways to use roles in Access Manager Appliance:

- Section 8.2.1.1, “Assigning All Authenticated Users to a Role,” on page 654
- Section 8.2.1.2, “Using a Role to Create an Authentication Policy,” on page 654
- Section 8.2.1.3, “Using Prioritized Rules in an Authorization Policy,” on page 657

8.2.1.1 Assigning All Authenticated Users to a Role

The system assigns users to roles when they authenticate. The following example illustrates a Role policy that creates an Employee role. All authenticated users are assigned to the role of Employee, because it does not include any conditions (see “Creating an Employee Role” on page 678).

![Employee Role Policy](image)

Role assignment audit events can be created during authentication to Identity Server. You enabled this on the Logging page in Identity Server configuration when you enable the Login Provided or Login Consumed options.

8.2.1.2 Using a Role to Create an Authentication Policy

The simplest implementation of RBAC policies is to include roles as evaluated conditions when creating Authorization policies.

Suppose you belong to a company of 300 employees, and ten of them are managers. You can assign all employees to an Employee role, and make it a condition of an Authorization policy with no restrictions. Such a policy would permit access to Web resources intended for all employees, as shown in the following example:
For more sensitive Web resources intended only for managers, you might create a role called Manager. (See "Creating a Manager Role" on page 678). The Manager role might be a condition of an Authorization policy that denies access to any employee that has not been assigned to the Manager role when the user authenticated. The following example illustrates this. Notice that the operand for the governing condition logic is set to If Not.
After you have created the Authorization policies, you need to assign the policies to the resources they were designed to protect.

See "Assigning an Authorization Policy to a Protected Resource" on page 111.
8.2.1.3 Using Prioritized Rules in an Authorization Policy

In another policy example, you might create an Authorization policy for the Sales Department and set up a list of rules that evaluate whether a user has been assigned to one of the roles associated with the department, and then deny access if the user has not been assigned to any of them.

The following image illustrates this scenario:

![Authorization Policy with Multiple Rules](image)

In this example, you specify a first-priority rule with a condition that allows access if a user has been assigned to the role of Sales Representative. You add rules for users assigned to the roles of Sales Manager, Sales Vice President, and so on. You then create a lowest-priority rule that contains no conditions, and an action of Deny. This policy denies any user who has not been assigned a Sales department role. When users do not meet the conditions of the rules, the user is denied access by the lowest-priority rule.

For more information about using roles in Authorization policies, see Chapter 8.3, “Authorization Policies,” on page 692.

8.2.2 Enabling Role-Based Access Control

Role-based access control is used to provide a convenient way to assign a user to a particular job function or set of permissions within an enterprise, to control access. In Access Manager, you assign users to roles, based on attributes of their identity, and then associate policies to the role.

To assign a role to users at authentication, you must enable it for Identity Server configuration.

1. In Administration Console Dashboard, click Devices > Identity Servers > Servers > Edit > Roles.
2. Click the role policy’s check box, then click Enable.
3. To disable the role policy, click the role policy’s check box, then click Disable.
4. To create a new role, click Manage Policies.
5. After enabling or disabling role policies, update Identity Server configuration on the Servers tab.
8.2.3 Creating Roles

To implement RBAC, you must first define all of the roles within your organization and the permissions attached to each role. A collection of users requiring the same access can be assigned to a single role. Each user can also be assigned to one or more roles and receive the collective rights associated with the assigned roles. A role policy consists of one or more rules, and each rule consists of one or more conditions and an action.

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, then select Identity Server: Roles for the type of policy.
4. Fill in the following fields:
   - **Description**: (Optional) Describe the purpose of this rule. If your role policy contains multiple rules, use the description to identify the purpose of each rule.
   - **Priority**: Specify the order in which a rule is applied in the policy, when the policy has multiple rules. The highest priority is 1 and 10 is the lowest.
5. To create a condition for a policy rule, click New in the Condition Group section, then select one of the following:
   - **Authenticating IDP**: Specifies the identity provider that authenticated the current user. To use this condition, you must have set up a trusted relationship with more than one identity provider. For configuration information, see Authenticating IDP Condition.
   - **Authentication Contract**: Specifies the contract used to authenticate the current user. The selections in this list are defined in Identity Server configuration. For configuration information, see Authentication Contract Condition.
   - **Authentication Method**: Specifies the method used to authenticate the current user. For configuration information, see Authentication Method Condition.
   - **Authentication Type**: Compares a selected authentication type to the authentication types used to authenticate the current user. For configuration information, see Authentication Type Condition.
   - **Credential Profile**: Requires the user to use the specified credential for authentication. Only values used at authentication time are available for this comparison. For configuration information, see Credential Profile Condition.
   - **LDAP Group**: Specifies a group in which the authenticating user is evaluated for membership. For configuration information, see LDAP Group Condition.
   - **LDAP OU**: Specifies an OU against which the authenticating user’s container is evaluated for containment. For configuration information, see LDAP OU Condition.
   - **LDAP Attribute**: Specifies an attribute from the user object of an authenticated user. By default, the selection values include those defined for the InetOrgPerson class. For configuration information, see LDAP Attribute Condition.
   - **Liberty User Profile**: Specifies any one of a number of data values that have been mapped to a Liberty Profile attribute. For configuration information, see Liberty User Profile Condition.
   - **Roles from Identity Provider**: Specifies a role that has been assigned to the user by an identity provider. For configuration information, see Roles from Identity Provider Condition.
   - **User Store**: Compares a selected user store to the user store where the current user is authenticated. For configuration information, see User Store Condition.
- **Virtual Attribute**: Specifies a virtual attribute. The virtual attribute is used to store the transformed attribute values in the user’s session. For configuration information, see “Virtual Attribute Condition” on page 673

- **Condition Extension**: (Conditional) If you have loaded and configured a role condition extension, this option specifies a condition that is evaluated by an outside source. See the documentation that came with the extension for information about what is evaluated.

- **Data Extension**: (Conditional) If you have loaded and configured a role data extension, this option specifies the value that the extension retrieves. You can then select to compare this value with an LDAP attribute, a Liberty User Profile attribute, a Data Entry Field, or another Data Extension. For more information, see the documentation that came with the extension.

NOTE: To improve the policy's performance, configure the LDAP Attributes, Credential Profile, and Liberty User Profile attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

6  (Conditional) To add multiple conditions, repeat Step 5.

For more information about using multiple conditions in a rule, see “Using Multiple Conditions” on page 674.

7 In the Actions section, select one of the following:

- **Activate Role**: Select this option to specify a name for the role. If you are creating a role that needs to be injected into an HTTP header, use the capitalization format that the web server expects.

- **Activate Selected Role**: Select this option to obtain the role value from an external source.

For more information about specifying a role or roles to activate, see “Selecting an Action” on page 675.

8 Click OK twice.

9 Click Apply Changes.

10 To enable the role for an Identity Server configuration, see Section 8.2.7, “Enabling and Disabling Role Policies,” on page 692.

### 8.2.3.1 Selecting Conditions

You create a role by selecting the appropriate conditions that qualify a user to be assigned to a role, as shown in the following image:
The following sections describe the conditions available for a Role policy:

- “Authenticating IDP Condition” on page 661
- “Authentication Contract Condition” on page 662
- “Authentication Method Condition” on page 664
- “Authentication Type Condition” on page 665
- “Credential Profile Condition” on page 666
- “LDAP Group Condition” on page 667
- “LDAP OU Condition” on page 668
- “LDAP Attribute Condition” on page 669
- “Liberty User Profile Condition” on page 670
- “Roles from Identity Provider Condition” on page 671
- “User Store Condition” on page 672
- “Virtual Attribute Condition” on page 673
- “Condition Extension” on page 673
- “Data Extension” on page 673
Authenticating IDP Condition

The Authenticating IDP condition allows you to assign a role based on the identity provider that authenticated the current user. To use this condition, you must have set up a trusted relationship with more than one identity provider. See Section 3.9.3, "Managing Trusted Providers," on page 155.

The most common way to use this condition is when you have a service provider that has been configured to trust two identity providers and you want to assign a role based on which identity provider authenticated the user. To configure such a policy:

- Set the Authenticating IDP field to [Current]
- Set the Value field to Authenticating IDP
- Select the name of an identity provider

For the condition to evaluate to True, the identity provider specified in the policy must be the one that the user selected for authentication.

Comparison: Specify how the contract is compared to the data in the Value field. Select either a string comparison or a regular expression:

- **Comparison: String:** Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the Authenticating IDP value must begin with the letters specified in the Value field.
  - **Ends with:** Indicates that the Authenticating IDP value must end with the letters specified in the Value field.
  - **Contains Substring:** Indicates that the Authenticating IDP value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

Mode: Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

Value: Specify the value you want to compare with the Authenticating IDP value. If you select a static value for the Authenticating IDP value, select Authenticating IDP and Current. If you select Current for the Authenticating IDP value, select Authenticating IDP, then select the name of an identity provider.

Other value types are possible if you selected Current for the Authenticating IDP value. Your policy requirements determine whether they are useful.
**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

**Authentication Contract Condition**

The Authentication Contract allows you to assign a role based on the contract the user used for authentication. Identity Server has the following default contracts:

<table>
<thead>
<tr>
<th>Name</th>
<th>URI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name/Password - Basic</td>
<td>basic/name/password/uri</td>
</tr>
<tr>
<td>Name/Password - Form</td>
<td>name/password/uri</td>
</tr>
<tr>
<td>Secure Name/Password - Basic</td>
<td>secure/basic/name/password/uri</td>
</tr>
<tr>
<td>Secure Name/Password - Form</td>
<td>secure/name/password/uri</td>
</tr>
</tbody>
</table>

To configure other contracts for your system, click Devices > Identity Servers > Edit > Local > Contracts.

The most common way to use this condition is to select [Current] for the Authentication Contract field and to select Authentication Contract and the name of a contract for the Value field.

To specify an Authentication Contract condition, fill in the following fields:

**Authentication Contract:** To compare the contract that the user used with a static value, select Current. To compare a static value with what the user used, select a contract from the list.

If you have created more than one Identity Server configuration, select the configuration, then select the contract. The name of the contract is displayed. When you select this name, the configurations that contain a definition for this contract are highlighted.

For example, the following policy has selected Name/Password - Basic as the contract.

Two Identity Server configurations have been defined (idp-43.amlab.net and idp-51.amlab.net). Both configurations are highlighted because Name/Password - Basic is a contract that is automatically defined for all Identity Server configurations.

If the contract you are selecting for a condition is a contract with ORed credentials, you need to use multiple conditions to set up a rule. See “Creating a Rule for a Contract with ORed Credentials” on page 679.
Comparison: Specify how the contract is compared to the data in the Value field. Select either a string comparison or a regular expression:

- Comparison: String: Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - Equals: Indicates that the values must match, letter for letter.
  - Starts with: Indicates that the Authentication Contract value must begin with the letters specified in the Value field.
  - Ends with: Indicates that the Authentication Contract value must end with the letters specified in the Value field.
  - Contains Substring: Indicates that the Authentication Contract value must contain the letters, in the same sequence, as specified in the Value field.

- Comparison: Regular Expression: Matches: Specifies that you want the values compared as regular expressions.

Mode: Select the mode appropriate for the comparison type:

- Comparison: String: Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- Comparison: Regular Expression: Matches: Select one or more of the following:
  
  Canonical Equivalence
  Case Insensitive
  Comments
  Dot All
  Multi-Line
  Unicode
  Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

Value: Specify the value you want to compare with the Authentication Contract value. If you select a static value for the Authentication Contract value, select Authentication Contract and Current. If you select Current for the Authentication Contract value, select Authentication Contract, then select the name of a contract.

Other value types are possible if you selected Current for the Authentication Contract value. For example:

- You can select Data Entry Field. The value specified in the text box must be the URI of the contract for the conditions to match. For a list of these values, click Devices > Identity Servers > Edit > Local > Contracts.
- If you have defined a Liberty User Profile attribute for URI of the authentication contract, you can select Liberty User Profile, then select the attribute.
- If you have defined an LDAP attribute for URI of the authentication contract, you can select LDAP Attribute, then select the attribute.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
**Authentication Method Condition**

The Authentication Method allows you to assign a role based on the method the user used for authentication.

**Authentication Method:** To compare the method that the user used with a static value, select **Current**. To compare a static value with what the user used, select a method from the list.

If you have created more than one Identity Server configuration, select the configuration, then select the method. The name of the method is displayed. When you select this name, the configurations that contain a definition for this method are highlighted.

**Comparison:** Specify how the method is compared to the data in the **Value** field. Select either a string comparison or a regular expression:

- **Comparison: String:** Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the Authentication Method value must begin with the letters specified in the **Value** field.
  - **Ends with:** Indicates that the Authentication Method value must end with the letters specified in the **Value** field.
  - **Contains Substring:** Indicates that the Authentication Method value must contain the letters, in the same sequence, as specified in the **Value** field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting **Case Sensitive** or **Case Insensitive**.

- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value you want to compare with the Authentication Method value. If you select a static value for the Authentication Method value, select **Authentication Method** and **Current**. If you select **Current** for the Authentication Method value, select **Authentication Method**, then select the name of a method.

Other value types are possible if you selected **Current** for the Authentication Method value. Your policy requirements determine whether they are useful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.
Authentication Type Condition

The Authentication Type condition allows you to assign a role based on the authentication types used to authenticate the current user. The [Current] selection represents the current set of authentication types used to authenticate the user. The other selections represent specific authentication types that can be used to compare with [Current]. The Authentication Type condition returns true if the selected Authentication Type is contained in the set of Authentication Types for [Current]. For example, if the current user was required to satisfy the Authentication Types of Basic and SmartCard, then a selected Authentication Type of either Basic or SmartCard would match.

**Authentication Type:** To compare the type that the user used with a static value, select Current. To compare a static value with what the user used, select a type from the list.

**Comparison:** Specify how the type is compared to the data in the Value field. Select either a string comparison or a regular expression:

- **Comparison: String:** Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the Authentication Type value must begin with the letters specified in the Value field.
  - **Ends with:** Indicates that the Authentication Type value must end with the letters specified in the Value field.
  - **Contains Substring:** Indicates that the Authentication Type value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value you want to compare with the Authentication Type value. If you select a static value for the Authentication Type value, select Authentication Type and Current. If you select Current for the Authentication Type value, select Authentication Type, then select a type.

Other value types are possible if you selected Current for the Authentication Type value. Your policy requirements determine whether they are useful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
Credential Profile Condition

The Credential Profile condition allows you to assign a role based on the credentials the user entered when authenticating to the system. Only values used at authentication time are available for this comparison.

To set up the matching for this condition, fill in the following fields:

**Credential Profile:** Specify the type of credential your users are using for authentication. If you have created a custom contract that uses a credential other than the ones listed below, do not use the Credential Profile as a Role condition.

- **LDAP Credentials:** If you prompt the user for a username, select this option, then select LDAP User Name (the cn of the user) or LDAP User DN (the fully distinguished name of the user), or LDAP Password.

  The default contracts assign the cn attribute to the Credential Profile. If your user store is an Active Directory server, the SAMAccountName attribute is used for the username and stored in the cn field of the LDAP Credential Profile.

- **X509 Credentials:** If you prompt the user for a certificate, select this option, then select one of the following:
  - **X509 Public Certificate Subject:** Retrieves the subject field from the certificate, which can match the DN of the user, depending upon who issued the certificate.
  - **X509 Public Certificate Issuer:** Retrieves the issuer field from the certificate, which is the name of the certificate authority (CA) that issued the certificate.
  - **X509 Public Certificate:** Retrieves the entire certificate, Base64 encoded.
  - **X509 Serial Number:** Retrieves the serial number of the certificate.

- **SAML Credential:** If your users authenticate with a SAML assertion, select this option.

**Comparison:** Select one of the following types:

- **Comparison: String:** Specifies that you want the values compared as strings and indicates how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the Credential Profile value must begin with the letters specified in the Value field.
  - **Ends with:** Indicates that the Credential Profile value must end with the letters specified in the Value field.
  - **Contains Substring:** Indicates that the Credential Profile value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
Multi-Line
Unicode
Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the second value for the comparison. Select one of the following data types:

- **LDAP Attribute:** If you have an LDAP attribute that corresponds to the Credential Profile you have specified, select this option and the attribute.
- **Liberty User Profile:** If you have a Liberty User Profile attribute that corresponds to the Credential Profile you have specified, select this option and the attribute.
- **Data Entry Field:** Specify the string you want matched. Be aware of the following requirements:
  - If you selected **LDAP User DN** as the credential, you need to specify the DN of the user in the **Value** text box. If the comparison type is set to **Contains Substring**, you can match a group of users by specifying a common object that is part of their DNs, for example `ou=sales`.
  - If you selected **X509 Public Certificate Subject** as the credential, you need to specify all elements of the Subject Name of the certificate in the **Value** text box. Separate the elements with a comma and a space, for example, `o=novell, ou=sales`. If the comparison type is set to **Contains Substring**, you can match a group of certificates by specifying a name that is part of the Subject Name, for example `ou=sales`.

Other values are possible. Your policy requirements determine whether they are useful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

**LDAP Group Condition**

The LDAP Group condition allows you to assign a role based on whether the authenticating user is a member of a group. The value, an LDAP DN, must be a fully distinguished name of a group.

**LDAP Group:** Select **[Current]**.

**Comparison:** Specify how you want the values compared. Select one of the following:

- **LDAP Group: Is Member of:** Specifies that you want the condition to determine whether the user is member of a specified group.
- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** If you selected **Regular Expression: Matches** as the comparison type, select one or more of the following:

- Canonical Equivalence
- Case Insensitive
- Comments
- Dot All
- Multi-Line
- Unicode
- Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.
Value: Specify the second value for the comparison. If you select LDAP Group > Name of Identity Server Configuration > User Store Name, you can browse to the name of the LDAP group.

If you have more than 250 groups in your tree, you are prompted to enter an LDAP query string. In the text box, you need to add only the <strFilter> value for the query. For example:

<table>
<thead>
<tr>
<th>&lt;strFilter&gt; Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>admin*</td>
<td>Returns all groups that begin with admin, such as adminPR, adminBG, and adminWTH.</td>
</tr>
<tr>
<td>*test</td>
<td>Returns all groups that end with test, such as doctest, softest, and secures.</td>
</tr>
<tr>
<td><em>low</em></td>
<td>Returns all groups that have &quot;low&quot; in the name, such as low, yellow, and clowns.</td>
</tr>
</tbody>
</table>

For more information about the <strFilter> parameter, see RFC 2254 “LDAP Search Filter.”

If you select Data Entry Field as the value, you can specify the DN of the group in the text field. For example:

cn=managers,cn=users,dc=bcf2,dc=provo,dc=novell,dc=com

cn=manager,o=novell

Other values are possible. Your policy requirements determine whether they are useful.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

LDAP OU Condition

The LDAP OU condition allows you to assign a role based on a comparison of the DN of an OU against the DN of the authenticated user. If the user’s DN contains the OU, the condition matches.

LDAP OU: Select [Current].

Comparison: Specify how you want the values compared. Select one of the following:

- **Contains**: Specifies that you want the condition to determine whether the user is contained by a specified organizational unit.

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

Mode: Select the mode appropriate for the comparison type.

- **Contains**: Select whether the user must be contained in the specified OU (One Level) or whether the user can be contained in the specified OU or a child container (Subtree).

- **Comparison: Regular Expression: Matches**: Select one or more of the following:

  Canonical Equivalence
  Case Insensitive
  Comments
  Dot All
  Multi-Line
For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value**: Specify the second value for the comparison. If you select **LDAP OU > Name of Identity Server Configuration > User Store Name**, you can browse to the name of the OU.

If you have more than 250 OUs defined in your tree, you are prompted to enter an LDAP query string. In the text box, you need to add only the `<strFilter>` value for the query. For example:

<table>
<thead>
<tr>
<th><code>&lt;strFilter&gt;</code> Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>admin*</td>
<td>Returns all OUs that begin with admin, such as adminPR, adminBG, and adminWTH.</td>
</tr>
<tr>
<td>*test</td>
<td>Returns all OUs that end with test, such as doctest, softtest, and securrest.</td>
</tr>
<tr>
<td><em>low</em></td>
<td>Returns all OUs that have “low” in the name, such as low, yellow, and clowns.</td>
</tr>
</tbody>
</table>

For more information about the `<strFilter>` parameter, see RFC 2254 “LDAP Search Filter.”

If you select **Data Entry Field**, you can specify the DN of the OU in the text field. For example:

- `cn=users,dc=bcf2,dc=provo,dc=novell,dc=com`
- `ou=users,o=novell`

If you have defined a Liberty User Profile or an LDAP attribute for the OU you want to match, select this option, then select your attribute.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

**LDAP Attribute Condition**

The LDAP Attribute condition allows you to assign a role based on a value in an LDAP attribute defined for the inetOrgPerson class or any other LDAP attribute you have added. You can have the user’s attribute value retrieved from your LDAP directory and compared to a value of the following type:

- Roles from an identity provider
- Authenticating IDP or user store
- Authentication contract, method, or type
- Credential profile
- LDAP attribute, OU, or group
- Liberty User Profile attribute
- Static value in a data entry field

To set up the matching for this condition, fill in the following fields:
**LDAP Attribute:** Specify the LDAP attribute you want to use in the comparison. Select from the listed LDAP attributes. To add an attribute that isn’t in the list, click [New LDAP Attribute], then specify the name of the attribute.

**Comparison:** Specify how you want the values compared. All data types are available. Select one that matches the value type of your attribute.

**Mode:** Select the mode, if available, that matches the comparison type. For example, if you select to compare the values as strings, you can select either a [Case Sensitive] mode or a [Case Insensitive] mode.

**Value:** Specify the second value for the comparison. All data types are available. For example, you can select to compare the value of one LDAP attribute to the value of another LDAP attribute. Only you can determine if such a comparison is meaningful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

**Liberty User Profile Condition**

The Liberty User Profile condition allows you to assign a role based on a value in a Liberty User Profile attribute. The Liberty attributes must be enabled before you can use them in policies (click [Identity Servers] > [Edit] > Liberty > Web Service Provider, then enable one or more of the following: Employee Profile or Personal Profile).

These attributes can be mapped to LDAP attributes (click [Identity Servers] > [Edit] > Liberty > LDAP Attribute Mapping). When mapped, the actual value comes from your user store. If you are using multiple user stores with different LDAP schemas, mapping similar attributes to the same Liberty User Profile attribute allows you to create one policy with the Liberty User Profile attribute rather than multiple policies for each LDAP attribute.

The selected attribute is compared to a value of the following type:

- Roles from an identity provider
- Authenticating IDP or user store
- Authentication contract, method, or type
- Credential profile
- LDAP attribute, OU, or group
- Liberty User Profile attribute
- Static value in a data entry field

To set up the matching for this condition, fill in the following fields:

**Liberty User Profile:** Select the Liberty User Profile attribute. These attributes are organized into three main groups: Custom Profile, Corporate Employment Identity, and Entire Personal Identity. By default, the Common Last Name attribute for Liberty User Profile is mapped to the sn attribute for LDAP. To select this attribute for comparison, click [Entire Personal Identity] > [Entire Common Name] > [Common Analyzed Name] > [Common Last Name].

**Comparison:** Select the comparison type that matches the data type of the selected attribute and the value.

**Mode:** Select the mode, if available, that matches the data type. For example, if you select to compare the values as strings, you can select either a [Case Sensitive] mode or a [Case Insensitive] mode.
**Value**: Select one of the values that is available from the current request or select Data Entry Field to enter a static value. The static value that you can enter depends on the comparison type you selected.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### Roles from Identity Provider Condition

The Roles from Identity Provider condition allows you to assign a role based on a role assigned by another identity provider (Liberty, SAML 2.0, WS Federation). You configure the condition to match the role sent by the identity provider, then set the action to assign a new role.

This condition uses the mapped attribute All Roles. All roles that are assigned to the user can be mapped to attributes and assigned to a trusted identity provider. For information about enabling All Roles, see Section 3.9.6, “Selecting Attributes for a Trusted Provider,” on page 160.

For an example of how to use Roles from Identity Provider to create a Role policy, see Section 8.2.6, “Mapping Roles between Trusted Providers,” on page 690. For an example that explains all the configuration procedures required for sharing roles, see “Sharing Roles” on page 372.

To configure a Roles from Identity Provider condition, fill in the following fields:

**Roles from Identity Provider**: If you have configured your system for multiple identity providers, select the identity provider. If you have only one, it is selected.

**Comparison**: Select one of the following types:

- **Comparison: String**: Specifies that you want the values compared as strings, and how you want the string values compared. Select one of the following:
  - **Equals**: Indicates that the values must match, letter for letter.
  - **Starts with**: Indicates that the Roles from Identity Provider value must begin with the letters specified in the Value field.
  - **Ends with**: Indicates that the Roles from Identity Provider value must end with the letters specified in the Value field.
  - **Contains Substring**: Indicates that the Roles from Identity Provider value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

**Mode**: Select the mode appropriate for the comparison type:

- **Comparison: String**: Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- **Comparison: Regular Expression: Matches**: Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
Unix Lines
For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

Value: Select [Data Entry Field], then specify the name of an identity provider role. Other value types are possible. Your policy requirements determine whether they are useful.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

User Store Condition
The User Store condition allows you to assign a role based on the user store that was used to authenticate the current user. The [Current] selection represents the user store from which the user was authenticated. The other selections represent all of the configured user stores that can be used to compare with [Current].

For example, if the configured user stores are eDir1 and AD1 and the current user is authenticated from eDir1, then a selected user store of eDir1 would match and a selected user store of AD1 would not match.

User Store: To compare the user store that the user used for authentication with a static value, select Current. To compare a static value with what the user used, select a user store from the list.

If you have created more than one Identity Server configuration, select the configuration, then select the user store. The name of the user store is displayed.

Comparison: Specify how the user store is compared to the data in the Value field. Select either a string comparison or a regular expression:

- **Comparison: String**: Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals**: Indicates that the values must match, letter for letter.
  - **Starts with**: Indicates that the User Store value must begin with the letters specified in the Value field.
  - **Ends with**: Indicates that the User Store value must end with the letters specified in the Value field.
  - **Contains Substring**: Indicates that the User Store value must contain the letters, in the same sequence, as specified in the Value field.
- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

Value: Specify the value you want to compare with the User Store value. If you select a static value for the User Store value, select User Store and Current. If you select Current for the User Store value, select User Store, then select the name of a user store.

If you have created more than one Identity Server configuration, select the configuration, then select the user store. The name of the user store is displayed.

Other value types are possible if you selected Current for the User Store value. Your policy requirements determine whether they are useful.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
Virtual Attribute Condition

The Virtual Attribute condition allows you to assign a role based on a value in a Virtual attribute. You can have the user’s attribute value retrieved from an external source and compared to a value of the following type:

- Roles from an identity provider
- Authenticating IDP or user store
- Authentication contract, method, or type
- Credential profile
- LDAP attribute, OU, or group
- Liberty User Profile attribute
- Static value in a data entry field
- Virtual Attribute

To set up the matching for this condition, fill in the following fields:

**Virtual Attribute:** Specify the virtual attribute you want to use in the comparison. Select a virtual attribute from the list.

**Comparison:** Specify how you want the values compared. All data types are available. Select one that matches the value type of your virtual attribute.

**Mode:** Select the mode, if available, that matches the comparison type. For example, if you select to compare the values as strings, you can select either a Case Sensitive mode or a Case Insensitive mode.

**Value:** Specify the second value for the comparison. All data types are available. For example, you can select to compare the value of one virtual attribute to the value of another virtual attribute. Only you can determine if such a comparison is meaningful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

**Condition Extension**

If you have loaded and configured a role condition extension, this option specifies a condition that is evaluated by an outside source. See the documentation that came with the extension for information about what is evaluated.

**Data Extension**

If you have loaded and configured a role data extension, this option specifies the value that the extension retrieves. You can then select to compare this value with an LDAP attribute, a Liberty User Profile attribute, a Data Entry Field, or another Data Extension. For more information, see the documentation that came with the extension.
8.2.3.2 Using Multiple Conditions

The Condition structure field controls how conditions within a condition group interact with each other and how condition groups interact with each other. Select one of the following:

- “AND Conditions, OR groups” on page 674
- “OR Conditions, AND groups” on page 674

The following sections explain how to configure the condition groups and conditions to interact with each other:

- “Using the Not Options” on page 675
- “Adding Multiple Conditions” on page 675
- “Adding New Condition Groups” on page 675
- “Disabling Conditions and Condition Groups” on page 675

AND Conditions, OR groups

If the conditions are ANDed, the user must meet all the conditions in a condition group to match the profile. If the condition groups are ORed, the user must meet all of the conditions of one group to match the profile. This option allows you to set up two or more profiles into which a user could fit and be considered a match. For example, suppose you create the following Permit rule.

The first condition group contains the following conditions:

1. The user’s department must be Engineering.
2. The request must come on a weekday.

The second condition group contains the following conditions:

1. The user’s department must be Information Services and Technology (IS&T).
2. The request must come on a weekend.

With this rule, the engineers who match the first condition group have access to the resource during the week, and the IS&T users who match the second condition group have access to the resource on the weekend.

OR Conditions, AND groups

If the conditions are ORed, the user must meet at least one condition in the condition group to match the profile. If the conditions groups are ANDed, the user must meet at least one condition in each condition group to match the profile. For example, suppose you created the following Permit rule:

The first condition group contains the following conditions:

1. The user’s department is Engineering.
2. The user’s department is Sales.

The second condition group contains the following conditions:

1. The user has been assigned the Party Planning role.
2. The user has been assigned the Vice President role.

With this rule, the Vice Presidents of both the Engineering and Sales departments can access the resource, and the users from the Engineering and Sales department who have been assigned to the Party Planning role can access the resource.
Using the Not Options

At the top of each condition group, there is an option that allows you to control whether the user must match the conditions to match the profile or whether the user matches the profile if the user does not match any of the conditions. Depending upon your selection for the Condition structure, you can select from the following:

- If/If Not
- Or/Or Not
- And/And Not

Conditions also have similar Not options, so that a user can match a condition by not matching the specified value.

Adding Multiple Conditions

To add another condition to a condition group, click New, then select a condition. To copy an existing condition, click the Copy Condition icon. New conditions are always added to the end of the condition group. Use the Move buttons to order the conditions in the condition group.

Adding New Condition Groups

To add another condition group to the rule, click Append New Group. To copy the existing condition group, click the Copy Group icon. New condition groups are always added to the end to the Conditions section. Use the Move buttons to order the condition groups.

Disabling Conditions and Condition Groups

Condition groups and conditions within them can be disabled by clicking the Enabled check mark, which changes the icon to the Disabled icon.

You usually disable a condition or condition group when testing a new rule, and if you decide the condition or condition group is not needed, you can then use the Delete button to delete the condition or condition group from the rule. Use the Move buttons by the Delete button to move a condition up or down within its group. Condition groups also have Move buttons.

8.2.3.3 Selecting an Action

The policy action specifies the role to which the user is assigned. Roles are activated at the time the role policy is evaluated. Select one of the following actions:

- "Activate Role" on page 675
- "Activate Selected Role" on page 676

Activate Role

Select Activate Role when you want to specify a name for the role. If you are creating a role that needs to injected into an HTTP header, use the same capitalization format as the web server expects. For example, if the web server expects an Employee role with an initial capital, name your role Employee.

*Figure 8-8* show how to assign the role of Employee to a policy.
To use the same conditions to activate multiple roles, select **Activate Role** for each role you want to specify.

**Activate Selected Role**

Select **Activate Selected Role** when you want to obtain the role value from an external source. Select one of the following:

- **LDAP Attribute**: If you have an LDAP attribute that is a role, select the attribute from the list. If the attribute is not in the list, select **New LDAP Attribute** to add it to the list.

- **LDAP Group**: Activates a role based on an LDAP Group attribute. Select either [Current] or browse to the DN of the group by selecting Identity Server and User Store. The value for this option is the DN of the group. If you select [Current], the value can be a list of the groups the user belongs to. The [Current] value makes the DN of each group in the attribute into a role.

  If you select to browse to the DN of the group and you have more than 250 groups in your tree, you are prompted to enter an LDAP query string. In the text box, you need to add only the `<strFilter>` value for the query. For example:

<table>
<thead>
<tr>
<th><code>&lt;strFilter&gt;</code> Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>admin*</td>
<td>Returns all groups that begin with admin, such as adminPR, adminBG, and adminWTH.</td>
</tr>
<tr>
<td>*test</td>
<td>Returns all groups that end with test, such as doctest, softtest, and securtest.</td>
</tr>
<tr>
<td><em>low</em></td>
<td>Returns all groups that have “low” in the name, such as low, yellow, and clowns.</td>
</tr>
</tbody>
</table>

For more information about the `<strFilter>` parameter, see RFC 2254 “LDAP Search Filter.” This action does not query all the static and dynamic groups on the LDAP server to see if the user belongs to them, but uses the user’s group membership attribute to create the list. If you want to use this longer query, you need to create a policy extension. For a sample extension that does this, see [Access Manager SDK Sample Code](https://www.netiq.com/documentation/access-manager-43-developer-documentation/samplecodes/main.html).

- **LDAP OU**: Activates a role based on the Organizational Unit in the user’s DN. Select either [Current] or browse to the DN of the OU by selecting Identity Server and User Store. The value for this option is the DN of the OU.

  If you select to browse to the DN of the OU and you have more than 250 OUs defined in your tree, you are prompted to enter an LDAP query string. In the text box, you need to add only the `<strFilter>` value for the query. For example:
For more information about the `<strFilter>` parameter, see RFC 2254 “LDAP Search Filter.”

- **Liberty User Profile**: If you have a Liberty attribute that is a role, select the attribute from the list.

- **Data Extension**: If you have created a data extension that calculates a set of roles, select the extension. For information about creating such an extension, see Access Manager SDK Sample Code (https://www.netiq.com/documentation/access-manager-43-developer-documentation/samplecodes/main.html).

If the source contains multiple values, select the format that is used to separate the values.

If the value is a distinguished name, select the format of the DN.

**Figure 8-9** shows how to assign an LDAP Group, `cn=DocGroup,o=novell`, as a role.

**Figure 8-9  Activating a Role from an External Source**

<table>
<thead>
<tr>
<th>&lt;strFilter&gt; Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>admin*</code></td>
<td>Returns all OUs that begin with admin, such as adminPR, adminBG, and adminWTH.</td>
</tr>
<tr>
<td><code>*test</code></td>
<td>Returns all OUs that end with test, such as doctest, softtest, and secutest.</td>
</tr>
<tr>
<td><code>*low*</code></td>
<td>Returns all OUs that have “low” in the name, such as low, yellow, and clowns.</td>
</tr>
</tbody>
</table>

To use the same conditions to activate multiple roles from different sources, select **Activate Selected Role** for each role you want to activate.

## 8.2.4 Example Role Policies

The following examples describe how to create a general Employee role, a restrictive Manager role, and a role from a contract with ORed credentials. These roles can be used by Access Gateway in Identity Injection policies and by Access Gateway in Authorization policies.

- Section 8.2.4.1, “Creating an Employee Role,” on page 678
- Section 8.2.4.2, “Creating a Manager Role,” on page 678
- Section 8.2.4.3, “Creating a Rule for a Contract with ORed Credentials,” on page 679
8.2.4.1 Creating an Employee Role

The following role policy creates an Employee role. Because the role does not include conditions, all authenticated users are assigned to this role when they log in. This role can then be used to grant access to resources to all users in your user stores.

1. In Administration Console Dashboard, click Devices > Identity Servers > Edit > Roles > Manage Policies.
3. Select a policy type of Identity Server: Roles and specify a display name, such as Employee.
4. Click OK.
5. On the Edit Policy page, specify a description in the Description field.
   It is important to use this field to keep track of your roles and policies. The policy feature is powerful, and your setup can be as large and complex as you want it to be, with a potentially unlimited number of conditions and choices. This description is useful to help keep track of various role and policy configurations.
6. Ensure that the Condition Group 1 section has no conditions, so that all users who authenticate match the condition.
7. In the Actions section, click New > Activate Role.
8. In the Activate Role box, type Employee, then click OK.
   If this role needs to match the name of a role required by a Java or Web application, ensure that the case of the name matches the application's name.
9. On the Rule List page, click OK.
10. On the Policies page, click Apply Changes, then click Close.
11. On the Role Policy page, select the Employee role, then click Enable.
12. Click OK, then update Identity Server.
   Identity Server configuration must be updated after you enable a role.
13. To create a Manager role, continue with "Creating a Manager Role" on page 678.

8.2.4.2 Creating a Manager Role

Because the Manager role is restrictive, role policy conditions must be specified. The Manager role is assigned only to the users who meet the conditions.

1. In Administration Console Dashboard, click Devices > Identity Servers > Edit > Roles > Manage Policies.
3. Select a policy type of Identity Server: Roles and specify a display name (for this example, Manager.)
4. Click OK.
5. In the Conditions section, click New > Liberty User Profile.
6. In Condition Group 1, select the conditions the user must meet:
   Liberty User Profile: Select Entire Personal Identity > Entire Common Name > Common Analyzed Name > Common Last Name.
   If these options are not available, you haven’t enabled the Liberty attributes. Click Identity Servers > Edit > Liberty > Web Service Provider, then enable one or more of the following: Employee Profile or Personal Profile.
Comparison: Select how you want the attribute values to be compared. For the Common Last Name attribute, select String > Equals.

Mode: Select Case Insensitive.

Value: Select Data Entry Field and type the person’s name in the box (Smith, in this example). This sets up the condition that if the user has the name Smith, his or her role as Manager is activated at authentication.

Result on Condition Error: This sets up the results that are returned if an error occurs while evaluating the condition (for example, the LDAP server goes down). This rule is set up to grant the user the role of Manager if the condition evaluates to True. If an error occurs, you do not want random users assigned the role of Manager. Therefore, for this rule, you need to select False.

7 In the Actions section, click Activate Role.

8 In the Activate Role box, type Manager, then click OK twice.

9 On the Policies page, click Apply Changes.

10 Click Close, select the Manager role, then click Enable.

11 Click OK, then update Identity Server.

8.2.4.3 Creating a Rule for a Contract with ORed Credentials

A contract with ORed credentials allows the user to decide which credentials to use for authenticating. If you are creating a role policy that grants the user the role regardless of which method was used for authentication, you can use such a contract just as you would any other contract in a condition. However, if you want to base the condition on the user using the contract with multiple credentials for authentication and on the user authenticating with a particular credential (password, token, or certificate), you need to create a rule with two conditions: one condition checks for the contract and the second condition checks for the authenticating credential.

If the contract with ORed credentials was named OringContracts, the first condition in the rule must look similar to the following:

*Figure 8-10  Checking for the Contract*

This condition verifies that the user used the OringContracts contract for authentication. The second condition needs to verify the type of credential that was used. To do this, you need to check for the existence of the credential in the Credential Profile. This condition must look similar to the following if you are verifying that the user used a certificate for the credential.
The policy engine evaluates the above condition to true when the Credential Profile contains a value for the certificate. If the user used another method for authentication, the certificate field is empty, and the policy engine evaluates two null entries to false.

This type of condition works for the LDAP credentials and the X.509 credentials. It does not work for the Radius token, because the Credential Profile does not store the Radius token. You need to use “If Not” logic to verify that the user authenticated with a token. For example, if the OringContracts contract ORed the Radius token class with the Name/Password class, you would know that the user authenticated with a token when the password credential has no value. This type of condition must look similar to the following:

If the Credential Profile contains a value for the password, this condition evaluates to false because of the “And If Not” logic. If the password value in the Credential Profile is empty, this condition evaluates to true, and you know that the user authenticated with a Radius token.

### 8.2.5 Creating Access Manager Appliance Roles in an Existing Role-Based Policy System

If you have already implemented a role-based administration policy for granting access to print, file, and LDAP resources, you can leverage your role definitions and use Access Manager Appliance policies to control access to Web resources. If your role definitions use the following types of LDAP features, you can create Access Manager Appliance Role policies that use them:

- Values found in LDAP attributes
- Location of the user objects in the directory tree
- Membership in groups or roles

The Access Manager Appliance Role policies that you create for these features can then be used to control access to protected Web resources. You can manually assign the roles by creating role policies with conditions or you can activate roles based on the values in the external source.

- Section 8.2.5.1, “Activating Roles from External Sources,” on page 681
- Section 8.2.5.2, “Using Conditions to Assign Roles,” on page 682
### 8.2.5.1 Activating Roles from External Sources

If you have an LDAP attribute, an LDAP group, an LDAP OU, or a Liberty attribute that you are currently using for role assignments, you can have Access Manager Appliance read its value and activate roles based on the values. This allows you to use the same roles for Access Manager Appliance access as you are using in other parts of your deployment.

When you create this type of Role policy, you do not need to specify any conditions. The policy engine reads the attribute you specify, then assigns roles to users based on the value or values in the attribute. If the user has no value for the attribute, the user is assigned no roles. If the user has a value for the attribute, the user is assigned a role for each value in the attribute.

1. In Administration Console Dashboard, click **Policies > Policies**.
2. Select the policy container, then click **New** to create a new policy.
3. Specify a name for the Role policy, select **Identity Server: Roles** for the type, then click **OK**.
4. On the Rule page in the **Actions** section, click **New > Activate Selected Role**.
5. For this example, select **LDAP Group**.
6. To select the group you want to use for role assignments, click **Current > [Identity Server Name] > [User Store Name] > [Group Name]**.
   - The distinguished name of this group is the Role name that is assigned to the user.
7. Select a **Multi-Value Separator** that is compatible with a distinguished name.
   - A comma, which is the default separator, cannot be used because a comma is used to separate the components in a distinguished name. Select any other value, such as #.
   - Your policy must look similar to the following:

<table>
<thead>
<tr>
<th>Edit Rule: LDAP_Group - Rule 1</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type:</strong> Identity Server: Roles</td>
</tr>
<tr>
<td><strong>Description:</strong> Doc group assigned as a role</td>
</tr>
<tr>
<td><strong>Priority:</strong> 1</td>
</tr>
<tr>
<td><strong>Conditions</strong></td>
</tr>
<tr>
<td><strong>Condition Group 1</strong></td>
</tr>
<tr>
<td><strong>Actions</strong></td>
</tr>
<tr>
<td><strong>New</strong></td>
</tr>
<tr>
<td><strong>Do:</strong> Activate Selected Role</td>
</tr>
<tr>
<td><strong>LDAP Group</strong></td>
</tr>
<tr>
<td><strong>Multi-Value Separator:</strong> #</td>
</tr>
<tr>
<td><strong>DN Format:</strong> LDAP (ex, cn=John陌,ou=Sales,ou=Novell)</td>
</tr>
</tbody>
</table>

8. Click **OK** twice, then click **Apply Changes**.
9. To enable the role so that it can be used in Authorization and Identity Injection policies, click **Devices > Identity Servers > Edit > Roles**.
10. Select the check box next to the name of the role, then click **Enable**.
11. Click **OK**.
13. (Optional) Verify the name used for the role and the user assigned to it:
   13a. Enable logging by clicking **Devices > Identity Servers > Edit > Logging**, then set the following values:
File Logging: Select Enabled.

Echo To Console: Select this option to enable it.

Application: In the Component File Logger Levels section, set to info.

13b Click OK, then update Identity Server.

13c Log in to Identity Server by using the credentials of a user who belongs the LDAP group.

13d View the log file for Identity Server by clicking Auditing > General Logging

13e Select the file (for Windows, select the stdout.log file; for Linux, select the catalina.out file), then click Download.

13f Look for two log entries (<amLogEntry>) similar to the following:

```
<amLogEntry> 2009-10-09T21:58:55Z INFO NIDS Application: AM#500199050: AMDEVICEID#CA50FD51DB1EEE3E: AMAUTHID#213E610199A14CEAF27395A6B35F3162: IDP RolesPep.evaluate(), policy trace:
~~RL~~Rule Count: 1~~Success(67)~~
~~RU~RuleID_1223587171711~LDAP_Group~DNF~~0:1~~Success(67)
~~PA~ActionID_1223588319336~~AddSelectedRoles~cn=Doc~~Success(0)
~~PC~ActionID_1223588319336~~Document=(ou=xpemlPEP,ou=mastercdn, ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer, ou=VCDN_Root,ou=accessManagerContainer, ou=novell:romaContentCollection XMLDoc),Policy=(LDAP_Group),Rule=(1::RuleID_1223587171711),Action=(AddSelectedRole::ActionID_1223588319336)~~~~Success(0)
</amLogEntry>
```

```
<amLogEntry> 2009-10-09T21:58:55Z INFO NIDS Application: AM#500105013: AMDEVICEID#CA50FD51DB1EEE3E:AMAUTHID#213E610199A14CEAF27395A6B35F3162: Authenticated user cn=jwilson,o=novell in User Store Internal with roles "cn=Doc,o=novell","authenticated".
</amLogEntry>
```

The first <amLogEntry> entry indicates that the action in the LDAP_Group policy was successfully assigned.

The second entry gives the DN of the user and lists the roles assigned to the user: cn=Doc,o=novell and authenticated.

You can now use the cn=Doc,o=novell role when creating Authorization and Identity Injection policies, which control access to protected Web resources. Roles activated this way do not appear in the list of available roles. You need to use the Data Entry Field to manually type in the role name. For more information, see the following:

- Chapter 8.4, “Identity Injection Policies,” on page 742

### 8.2.5.2 Using Conditions to Assign Roles

- “Creating a Role by Using an LDAP Attribute” on page 683
- “Creating a Role by Using the Location of the User Objects” on page 684
- “Creating a Role by Using a Group Membership Attribute” on page 687
Creating a Role by Using an LDAP Attribute

You can assign a user to a role by using a value found in any LDAP attribute in your directory. The following example uses the objectClass attribute because every object in an LDAP directory has an objectClass attribute that contains the object classes to which the object belongs. This attribute contains the name of the object class that was used to create the object as well as the names of the superior object classes of this class. All you need to know is the name of the object class you used to create your users in the LDAP directory. For example, the following instructions create a Role policy for users who were created with the User object class.

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the Role policy, select Identity Server: Roles for the type, then click OK.
4. In Condition Group 1, click New, then select LDAP Attribute.
5. In Condition Group 1, select the conditions the user must meet:
   - LDAP Attribute: Select the objectClass attribute. If you have not added this attribute, it won’t appear in the list. Scroll to the bottom of the list, click New LDAP Attribute, specify objectClass for the name, then click OK.
   - If you are using eDirectory™ for your LDAP directory, you need to specify standard LDAP names for the attributes. Access Manager Appliance does not support spaces or colons in attribute names.
   - Comparison: Select how you want the attribute values to be compared. For the objectClass attribute, select String > Contains Substring.
   - The objectClass attribute is a multi-valued attribute and, for most objects, contains multiple values. For example in eDirectory, users created with the User object class have User, organizationalPerson, person, ndsLoginProperties, and top as values in the objectClass attribute.
   - Mode: Select Case Insensitive.
   - Value: Select Data Entry Field and specify User as the value.
   - Result on Condition Error: This sets up the results that are returned if an error occurs while evaluating the condition (for example, the LDAP server goes down). This rule is set up to grant the user the role of UserClass if the condition evaluates to True. If an error occurs, you do not want random users assigned the role of UserClass. Therefore, for this rule, you need to select False.
6. In the Actions section, click Activate Role.
7. In the Activate Role box, type UserClass, then click OK.
   - The name you specify in the box is the role you want assigned to the users who match the condition.
   - Your rule must look similar to the following:
To enable the role so that it can be used in Authorization and Identity Injection policies, click Identity Servers > Edit > Roles.

Select the check box next to the name of the role, then click Enable.

Click OK.

Update Identity Server.

You can now use this role when creating Authorization and Identity Injection policies, which control access to protected Web resources. For more information, see the following:

- Chapter 8.4, "Identity Injection Policies," on page 742

Creating a Role by Using the Location of the User Objects

If you have created your users in specific containers in your LDAP tree, you can use these container objects to assign users to roles. For example, suppose your LDAP tree looks similar to the following tree.
Such a tree organization can be used to control access to resources. The following instructions explain how to create a Role policy for the users created under the Sales container.

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the Role policy, select Identity Server: Roles for the type, then click OK.
4. In Condition Group 1, click New, and select LDAP OU > [Identity Server Configuration] > [User Store] > [DN of the OU].
The following example illustrates how to make these selections:

**Comparison**: Select how you want the attribute values to be compared. For LDAP OU, select **Contains**.

**Mode**: Select **One Level** if all your users are created in ou=Sales. Select **Subtree** if your users are created in various containers under the ou=Sales container.

**Value**: Select LDAP OU, then select **[Current]**.

The DN of the authenticated user is compared with the value specified in LDAP OU. If the DN of the user contains the LDAP OU value, the user matches the condition. For example, if the DN of the user is `cn=bsmith,ou=sales,o=novell` and the LDAP OU value is `ou=sales,o=novell`, the user matches the condition. If you selected **Subtree** for the Mode, a user with the following DN also matches the condition: `cn=djones,ou=provo,ou=sales,o=novell`.

**Result on Condition Error**: This sets up the results that are returned if an error occurs while evaluating the condition (for example, the LDAP server goes down). This rule is set up to grant the user the role of Sales if the condition evaluates to **True**. If an error occurs, you do not want random users assigned the role of Sales. Therefore, for this rule, you need to select **False**.

5. In the **Actions** section, click **Activate Role**.

6. In the **Activate Role** box, type **Sales**, then click **OK**.

   The name you specify in the box is the role you want assigned to the users who match the condition.
Your rule must look similar to the following:

```
<table>
<thead>
<tr>
<th>Type</th>
<th>Identity Server: Roles</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Sales container role policy</td>
</tr>
<tr>
<td>Priority</td>
<td>1</td>
</tr>
</tbody>
</table>

### Conditions

1. **Condition Group 1**
   - **New**
   - **IF**
     - **LDAP OU**: ou=Sales,ou=novell
     - **Comparison**: LDAP OU: Contains
     - **Mode**: One Level
     - **Value**: LDAP OU: [Current]
     - **Result on Condition Error**: False

### Actions

- **Activate Role**
  - **Do**: Activate Role
  - **Of**: Sales

Changes made on this panel must be applied from the Policies Panel.

---

7. Click OK twice, then click Apply Changes.
8. To enable the role so that it can be used in Authorization and Identity Injection policies, click Devices > Identity Servers > Edit > Roles.
9. Select the check box next to the name of the role, then click Enable.
10. Click OK.
11. Update Identity Server.

You can now use this role when creating Authorization and Identity Injection policies, which control access to protected Web resources. For more information, see the following:

- Chapter 8.4, “Identity Injection Policies,” on page 742

### Creating a Role by Using a Group Membership Attribute

If you have created an LDAP group and assigned users to the group, you can use group membership to assign a role to the user. For example, you might have created a first-level managers group and made all your first-level managers members of this group. You can then have other groups for your upper-level managers. You can create a Role policy that assigns the user a role if the user is a member of a specific group. The Role policy can then be used in an Authorization or Identity Injection policy to protect a Web resource.

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the Role policy, select Identity Server: Roles for the type, then click OK.
4. In Condition Group 1, click New, then select LDAP Group.
5 In **Condition Group 1**, select the conditions the user must meet:

**LDAP Group**: Select Identity Server Configuration, the user store, then the Group.

The following figure illustrates this selection process.

- **Comparison**: Select how you want the attribute values to be compared. For LDAP Group, select **Is Member of**.
- **Value**: Select **LDAP Group**, then select **[Current]**.

The DN of the authenticated user is compared with the members of the LDAP Group. If the DN of the user matches one of the members, the user matches the condition.

**Result on Condition Error**: This sets up the results that are returned if an error occurs while evaluating the condition (for example, the LDAP server goes down). This rule is set up to grant the user the role of ManagersGroup if the condition evaluates to **True**. If an error occurs, you do not want random users assigned the role of ManagersGroup. Therefore, for this rule, you need to select **False**.

6 In the **Actions** section, click **Activate Role**.

7 In the **Activate Role** box, type **ManagersGroup**, then click **OK**.

The name you enter in the box is the role you want assigned to the users who match the condition.
Your rule must look similar to the following:

8 Click OK twice, then click Apply Changes.
9 To enable the role so that it can be used in Authorization and Identity Injection policies, click Devices > Identity Servers > Servers > Edit > Roles.
10 Select the check box next to the name of the role, then click Enable.
11 Click OK.
12 Update Identity Server.

You can now use this role when creating Authorization and Identity Injection policies, which control access to protected Web resources. For more information, see:

- Chapter 8.4, “Identity Injection Policies,” on page 742
8.2.6 Mapping Roles between Trusted Providers

Identity Server can send roles in an authentication assertion. You can map these roles that are received from trusted providers to your own roles. Figure 8-14 illustrates this process.

*Figure 8-14 Role Mapping*

In this example, employees authenticate to identity providers novell.com (Liberty) or xyz.com (SAML 2.0). Each user is assigned to a role, such as N_EmployeeRole or XYZ_Empl. Attribute sets at each of the identity providers are configured to exchange the All Roles attribute with the trusted service provider, DigitalAirlines.com. DigitalAirlines.com consumes the authentication assertions, then maps the incoming roles to local roles. The mapped roles at DigitalAirlines.com can be used as evaluated conditions in authorization policies, which can provide access to resources intended for the authenticated employees.

- Section 8.2.6.1, "Prerequisites," on page 690
- Section 8.2.6.2, "Procedure," on page 691

8.2.6.1 Prerequisites

- Configure trust between trusted providers, using the Liberty or SAML 2.0 protocol.

  You must be familiar with Chapter 5.2.4, "Configuring SAML 2.0," on page 404 and Chapter 5.2.6, "Configuring Liberty," on page 442.

- Configure local authentication.

  You must create an external contract at the service provider that matches the contract of the identity provider. See Chapter 5.1, "Local Authentication," on page 291.

- Create an attribute set and select the local attribute All Roles in the set. This must be done at the identity provider and service provider.

  This attribute set is used to pass roles from an identity provider to an external service provider in authentication assertions. See Section 3.5.1, "Configuring Attribute Sets," on page 64.
8.2.6.2 Procedure

The following procedure describes how the service provider configures this type of role policy for novell.com, mapping the N_Employee role to an Access Manager Appliance role:

1. In Administration Console Dashboard, click Policies > Policies.
2. Click New, then specify a name for the Role policy.
3. Select Identity Server: Roles for the type, then click OK.
4. Configure the role policy as shown on the following page.

5. In the Conditions section, click New > Roles from Identity Provider.
6. Select the trusted identity provider in the drop-down menu.
7. For Comparison, select String > Equals.
8. Select Value > Data Entry Field.
9. Type the name of the role used by the trusted identity provider.
10. Under the Actions section, click Activate Role.
11. Type the name of the role you want to activate at the trusted service provider.
12. Click OK.
14. To enable the role so that it can be used in Authorization and Identity Injection policies, click Identity Servers > Servers > Edit > Roles.
15. Select the check box next to the name of the role, then click Enable.
16. Click OK.
17. Update Identity Server.
8.2.7 Enabling and Disabling Role Policies

For a role policy to function, you must enable it for Identity Server configuration.

1. In Administration Console Dashboard, click Devices > Identity Servers > Edit > Roles.
2. Select the role policy's check box, then click Enable.
3. To disable the role policy, select the role policy's check box, then click Disable.
4. After enabling or disabling role policies, update Identity Server configuration on the Servers tab.

8.2.8 Importing and Exporting Role Policies

You can import and export role policies to run them in other Identity Server configurations. When you import a role, ensure that you have enabled any Liberty profile that is referenced in the role policy, to correctly display the policy in the interface. However, the policy still evaluates if you have not enabled the profile.

You must also enable roles after importing them to an Identity Server configuration. See Section 8.2.7, “Enabling and Disabling Role Policies,” on page 692. Click Devices > Identity Servers > Edit > Roles.

When you export a role policy, the system saves it as a .txt file at the location of your choosing. After you import a role policy, you must update Identity Server configuration.

To export a role policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select a policy, then click Export.
3. (Optional) Modify the name suggested for the file.
4. Click OK.
5. Using the features of your browser, specify where you want the file to be copied.
6. Click OK.

To import a role policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Click Import, then browse to and select the file.
3. Click OK.
4. When the policy appears in the list, click Apply Changes.

8.3 Authorization Policies

Authorization policies are used when you want to protect a resource based on criteria other than authentication, and you want Access Manager Appliance to enforce Access restrictions. Authorization policies are enforced when a user requests data from a resource.

Access Manager Appliance supports Access Gateway Authorization policies for protecting resources of Access Gateway.
The first step in creating an Authorization policy is determining the criteria for restricting access. The second step is translating those criteria into rules and conditions for a policy. This section describes the policy elements, but your resource and your security requirements determine which elements to use when creating the policy.

- Section 8.3.1, “Designing an Authorization Policy,” on page 693
- Section 8.3.2, “Creating Access Gateway Authorization Policies,” on page 703
- Section 8.3.3, “Sample Access Gateway Authorization Policies,” on page 705
- Section 8.3.4, “Conditions,” on page 712
- Section 8.3.5, “Importing and Exporting Authorization Policies,” on page 741

8.3.1 Designing an Authorization Policy

When you create an Authorization policy, you need to configure one or more rules. Each rule consists of two parts: (1) one or more conditions the user must meet and (2) the action to perform when the user meets the conditions or does not meet the conditions. The action can be to either allow or deny access to the resource. This section describes how to use the following elements when creating a policy:

- Section 8.3.1.1, “Controlling Access with a Deny Rule and a Negative Condition,” on page 693
- Section 8.3.1.2, “Configuring the Result on Condition Error Option,” on page 694
- Section 8.3.1.3, “Many Rules or Many Conditions,” on page 695
- Section 8.3.1.4, “Using Multiple Conditions,” on page 695
- Section 8.3.1.5, “Controlling Access with Multiple Conditions,” on page 697
- Section 8.3.1.6, “Using Permit Rules with a Deny Rule,” on page 698
- Section 8.3.1.7, “Using Deny Rules with a General Permit Rule,” on page 699
- Section 8.3.1.8, “Public Policies,” on page 701
- Section 8.3.1.9, “General Design Principles,” on page 701
- Section 8.3.1.10, “Using the Refresh Data Option,” on page 701
- Section 8.3.1.11, “Assigning Policies to Resources,” on page 702

8.3.1.1 Controlling Access with a Deny Rule and a Negative Condition

To deny access to the correct set of users, you need to know the characteristics of the users you don’t want to access the resource, as well as the characteristics of the users you do want to access the resource.

Some very simple policies can be created by using a Deny action. For example, suppose you have an application that you only want managers to access. If you have set up a role that assigns all managers to the Manager role, you can use this characteristic for an Authorization policy. Such a rule would be similar to the following:
This rule evaluates the user, and if the user does not belong to the Manager role, the user matches the condition. The action for matching the condition is to deny access. The managers, who belong to the Manager role, do not match the condition and the Deny action is not applied to them.

The Result on Condition Error option is set to True. You don’t want an error to cause the policy to assume that the user is a manager. If an error occurs, you want the policy to assume that the user is not a manager, so he or she matches the condition and the Deny action is applied.

### 8.3.1.2 Configuring the Result on Condition Error Option

The Result on Condition Error option allows you to specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. You need to analyze the logic of your policy carefully, because if you set up this option incorrectly, error conditions can allow access to a resource. Consider the following:

- If your rule is a Permit rule and you do not want the action applied when an error occurs, select False for this option.
- If your rule is a Deny rule with an If Not condition and you want the action applied when an error occurs, select True.
8.3.1.3 Many Rules or Many Conditions

You can design your policy to have many rules with a single condition and action, or you can design your policy to have fewer rules, with each rule containing many conditions.

For example, suppose you have a resource that you don’t want users accessing on Monday, Wednesday, and Friday between 1:00 a.m. and 2:00 a.m. You could set up three rules, one for each day, or you could set up one rule with three conditions. If all the conditions have the same action (for example, deny access with the same reason), it is simpler to put them in the same rule. However, if you have a customized message to return for each day, you need to put them in separate rules.

Each rule contains the following:

- Zero or more conditions. A condition specifies how the request data is evaluated for a True or False match. Conditions are evaluated in the order in which they are listed.
- One or more condition groups. Conditions are placed in condition groups, which gives you the flexibility of creating a policy that allows the user to match the conditions in one group but not the conditions in the other condition groups. Or you can set up the condition groups to require that the user matches at least one condition in each condition group.
- An action, which grants access, denies access, or redirects the users.

Conditions, conditions groups, and the interaction among them allow you to create very simple rules (if A, then grant access) to very complex rules (if A, B, and C, but not D and E, then grant access).

8.3.1.4 Using Multiple Conditions

The Condition structure option controls how conditions within a condition group interact with each other and how condition groups interact with each other. Select one of the following:

- **AND Conditions, OR groups:** If the conditions are ANDed, the user must meet all the conditions in a condition group to match the profile. If the condition groups are ORed, the user must meet all of the conditions of one group to match the profile. This option allows you to set up two or more profiles into which a user could fit and be considered a match. For example, suppose you create the following Permit rule:
  
  The first condition group contains the following conditions:
  1. The user’s department must be Engineering.
  2. The request must come on a weekday.
  
  The second condition group contains the following conditions:
  1. The user’s department must be Information Services and Technology (IS&T).
  2. The request must come on a weekend.

  With this rule, the engineers who match the first condition group have access to the resource during the week, and the IS&T users who match the second condition group have access to the resource on the weekend.

- **OR Conditions, AND groups:** If the conditions are ORed, the user must meet at least one condition in the condition group to match the profile. If the conditions groups are ANDed, the user must meet at least one condition in each condition group to match the profile. For example, suppose you create the following allow rule:

  The first condition group contains the following conditions:
  1. The user’s department is Engineering.
  2. The user’s department is Sales.
The second condition group contains the following conditions:

1. The user has been assigned the Party Planning role.
2. The user has been assigned the Vice President role.

With this rule, the Vice Presidents of both the Engineering and Sales departments can access the resource, and the users from the Engineering and Sales department who have been assigned to the Party Planning role can access the resource.

At the top of each condition group, there is an option that allows you to control whether the user must match the conditions to match the profile or whether the user matches the profile if the user does not match any of the conditions. Depending upon your selection for the Condition structure, you can select from the following:

- If/If Not
- Or/Or Not
- And/And Not

Conditions also have similar Not options, so that a user can match a condition by not matching the specified value.

**Adding Multiple Conditions**

To add another condition to a condition group, click **New**, then select a condition. To copy an existing condition, click the **Copy Condition** icon. New conditions are always added to the end of the condition group. Use the **Move** buttons to order the conditions in the condition group.

**Adding New Condition Groups**

To add another condition group to the rule, click **Append New Group**. To copy the existing condition group, click the **Copy Group** icon. New condition groups are always added to the end to the Conditions section. Use the **Move** buttons to order the condition groups.

**Disabling or Moving Conditions and Condition Groups**

Condition groups and conditions within them can be disabled by clicking the **Enabled** check mark, which changes the icon to the **Disabled** icon.

You usually disable a condition or condition group when testing a new rule, and if you decide that the condition or condition group is not needed, you can then use the **Delete** button to delete the condition or condition group from the rule. Use the **Move** buttons next to the **Delete** button to move a condition up or down within its group. Condition groups also have **Move** buttons.
8.3.1.5 Controlling Access with Multiple Conditions

A policy requires multiple conditions when you have more than one required condition for granting access. For example, suppose you can easily identify your managers because they have all been assigned the role of Manager, and you have a resource that only the sales managers must access. Such a policy requires two conditions for granting access: the Manager role and membership in the sales department. For a Deny rule, the rule needs two condition groups:

- The first condition group matches all users who are not managers. This causes the Deny action to be applied.
- The second condition group matches the users who are managers but don’t belong to the sales department. Because they match both conditions, the Deny action is applied. For these two condition groups to work with this logic, the Condition structure is set to AND Conditions, OR groups.

The users who are managers and who belong to the sales department do not match either condition group. The Deny action is not applied, and they are allowed access.

Such a rule would look similar to the following:

Figure 8-16 A Rule with Two Condition Groups

This second condition group could be implemented as the second rule of the policy. If so, it must be set as a lower priority than the first rule. Because most systems would have more users than managers, the user rule would be used more frequently, so it must come first.
8.3.1.6 Using Permit Rules with a Deny Rule

You can also create policies that contain one or more Permit rules and then create the lowest priority rule in the policy as a Deny rule with no conditions. In such a policy, as soon as an allow match is processed, the rest of the rules are not processed and the user is granted access to the resource. The Deny rule is only processed if the user does not match one of the allow rules, and because all users match a rule with no conditions, the user is denied access to the resource.

The first rule in such a policy for the sales application would look similar to the following.

*Figure 8-17  Rule 1 Granting Access*

The conditions in Rule 1 are ANDed, which requires the user to match both conditions before they are granted access to the resource. The priority is set to 1, so this rule is the first rule that Access Gateway processes.
The second rule would look similar to the following:

**Figure 8-18  Rule 2 Denying Access**

Because this rule has no conditions, any user who does not match the first rule does match this rule and is denied access. The priority of this rule is set lower than the Permit rule so that the Permit rule is processed first.

### 8.3.1.7 Using Deny Rules with a General Permit Rule

You can also create policies that contain one or more Deny rules and then create the lowest priority rule in the policy as a Permit rule with no conditions. In such a policy, as soon as a Deny rule matches a user, the rest of the rules are not processed and the user is denied access to the resource. The Permit rule is only processed if the user does not match one of the Deny rules. Because all users match a rule with no conditions, the user is allowed access to the resource.

The key to creating this type of policy is making sure all the Deny rules match the users you do not want accessing the resource and making sure that the **Result on Error Condition** option is set correctly.

For example, suppose one of the Deny rules uses an LDAP attribute for the condition and that the attribute is a hatSize attribute. Some of your users do not have a hatSize attribute, so when they access the resource, the comparison generates an error. If **Result on Error Condition** option is set to False, the action (Deny) is not applied, and the next rule in the policy is processed. If that rule is the general Permit rule, then they are allowed access to the resource because they experienced an error. To prevent this behavior, you need to set the **Result on Error Condition** option to True, so that the Deny action is applied. Your rule then denies access to everyone whose hatSize attribute matches the specified value and everyone who does not have the attribute.
The Deny rule for such a policy would look similar to the following:

Figure 8-19  Deny Rule Configured for Error Conditions

For most people, Deny rules are harder to write than Permit rules. You not only need to carefully configure the Result on Condition Error option, you must also carefully consider the consequences of the condition not matching a user. When a user does not match the condition, the Action is not applied and the next rule in the policy is evaluated. For example, suppose the URL condition is set to compare the following value:

http://sales.provo.novell.com/meetings/?

If the URL in the request is http://sales.provo.novell.com/meetings/january, the user does not match the condition, because the ? applies only to the files in the meetings directory and not to the subdirectories. The Action is not applied, and the next rule or policy is evaluated. Consider the following possibilities:

- If you want the condition to match all files and subdirectories, you need to change the ? wildcard to the wildcard.
- If you want the condition to allow access to the files in the /meetings directory but deny access to the subdirectories, you need to negate the condition so it evaluates as follows: if the URL is not a request for the /meetings/? directory, deny access. If you select this type of condition, you need to set the Result on Condition Error option to True. If the comparison returns an error and there is the possibility that the request is for a subdirectory, you want the user to be denied access.
The general Permit rule for a Deny policy would look similar to the following:

**Figure 8-20 General Permit Rule**

<table>
<thead>
<tr>
<th>Type:</th>
<th>J2EE Agent: Web Authorization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td></td>
</tr>
<tr>
<td>Priority:</td>
<td>10</td>
</tr>
<tr>
<td><strong>Conditions</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Condition Group 1</strong></td>
<td></td>
</tr>
<tr>
<td>New</td>
<td>No conditions in Rule 2. (Actions will always occur unconditionally.)</td>
</tr>
<tr>
<td><strong>Actions</strong></td>
<td></td>
</tr>
<tr>
<td>Do Permit</td>
<td></td>
</tr>
</tbody>
</table>

Changes made on this panel must be applied from the Policies Panel.

8.3.1.8 Public Policies

You can create public authorization policies, which are policies that apply to everyone, by leaving the Condition section empty. In the Action section, you specify either to deny or to permit access to the resource. Then you assign the policy to the protected resource.

8.3.1.9 General Design Principles

When you design a policy, remember the following principles:

- Logged-in users are allowed access to a protected resource unless the policy denies access.
- Priority determines the order in which rules are applied.
- The Conditions section of the rule must evaluate to True for the Action section to be applied. If the Condition section evaluates to False, the Action section is ignored and the policy moves to the next rule. If another rule does not exist, the user is granted access to the resource.
- Rules are only processed until a user matches the conditions in a rule and its action is applied. If a user matches the first rule in a policy, that action is applied, and the rest of the rules in the policy are ignored.
- If two rules have the same priority, Deny rules are applied before Permit rules.
- After you have designed your policy, created it, and assigned it to a resource, you need to test the policy. You need to log in as the type of user who must be granted access, as the type of user who must not be granted access, and as a user who generates an error on condition evaluation.

8.3.1.10 Using the Refresh Data Option

Authorization policies are processed when a user requests access to a resource. The results and the values of the data items are cached for the user session. This means that when the user requests a second time to access the resource, the policy is evaluated, but the data values from the first
evaluation are used. When a data item is cached for the user session, the user must log out and log back in to trigger new data values. (For information about how long the data items are cached, see Section 30.6.3, “The Policy Is Using Old User Data,” on page 1089.)

The LDAP Attribute can be configured to refresh its value according to a specified interval. This means the attribute value is read not just on the first request that triggers the policy evaluation, but when the interval expires. You can select to cache the value for the user session, the current request, or a time interval varying from 5 seconds to 60 minutes.

If the requested page contains links, you must usually cache the data for more than a single request. Each link on the page generates a new request.

You can use this feature for situations when you do not want to force the user to log in again to gain rights to resources or to revoke rights to resources. For example, suppose that you have an Authorization policy that grants access based on an LDAP attribute having a “yes” value. Users with a “no” value in this attribute are denied access.

If you don’t enable the Refresh Data option on this attribute in the policy condition, the policy is evaluated when the user first tries to access the resource. The value for the attribute is cached for the user session, and until the user logs out, that is the value that is used.

However, if you enable the Refresh Data option on this attribute in the policy condition, the policy is evaluated when the user first tries to access the resource. When the user sends a second request to access the resource and the cached value has been marked old, the Refresh Data option causes the value of the attribute to be read again from the LDAP server. This new value is used to evaluate the policy and any other policy that is triggered by the request.

- If the value from the first request to the second request changes from no to yes, the user gets access to the resource.
- If the value from the first request to the second request changes from yes to no, the user is denied access to the resource.

For example:

- If the attribute controls access to employee resources and an employee leaves, a quick change of this attribute value cuts the employee off from the resources that must be available to employees only.
- If the attribute controls access to a software download site and a user has just purchased a product, a quick change to this attribute value can grant access to the download site.

**IMPORTANT:** This feature needs to be used with caution. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session. Enable this option only on those attributes that are critical to the security of your system or to the design of your work flow.

### 8.3.1.11 Assigning Policies to Resources

For information about how to assign the Access Gateway policy, see “Assigning an Authorization Policy to a Protected Resource” on page 111.
8.3.2 Creating Access Gateway Authorization Policies

An Authorization policy specifies conditions that a user must meet to access a resource or to be denied access to a resource. Access Gateway enforces these conditions.

To create an Authorization policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, then select Access Gateway: Authorization for the type of policy.
4. Fill in the following fields:
   - **Description**: (Optional) Describe the purpose of this rule.
   - **Priority**: Specify the order in which a rule is applied in the policy, when the policy has multiple rules. The highest priority is 1 and the lowest priority is 10. If two rules have the same priority, a Deny rule is applied before a Permit rule.
5. In the Condition Group 1 section, click New, then select one of the following:
   - **Authentication Contract**: Allows you to control access based on the contract the user used for login. For configuration information, see “Authentication Contract Condition” on page 713.
   - **Client IP**: Allows you to control access based on the IP address of the client making the request. For configuration information, see “Client IP Condition” on page 715.
   - **Credential Profile**: Allows you to control access based on the credentials the user specified during authentication. For configuration information, see “Credential Profile Condition” on page 717.
   - **Current Date**: Allows you to control access based on the date of the request. For more information, see “Current Date Condition” on page 718.
   - **Day of Week**: Allows you to control access based on the day the request is made. For configuration information, see “Day of Week Condition”.
   - **Current Day of Month**: Allows you to control access based on the month the request is made. For configuration information, see “Current Day of Month Condition” on page 720.
   - **Current Time of Day**: Allows you to control access based on the time the request was made. For configuration information, see “Current Time of Day Condition” on page 721.
   - **HTTP Request Method**: Allows you to control access based on the request method. For configuration information, see “HTTP Request Method Condition” on page 722.
   - **LDAP Attribute**: Allows you to control access based on the value of an LDAP attribute. For configuration information, see “LDAP Attribute Condition” on page 723.
   - **LDAP OU**: Allows you to control access based on the value of an LDAP organizational unit. For configuration information, see “LDAP OU Condition” on page 726.
   - **Liberty User Profile**: Allows you to control access based on the value of a Liberty attribute. For configuration information, see “Liberty User Profile Condition” on page 728.
   - **Roles**: Allows you to control access based on the roles a user has been assigned. For configuration information, see “Roles Condition” on page 728.
   - **Risk Score**: Allows you to define a condition group as part of the authorization policy that uses the risk score from Identity Server to protect a resource. For more information, see “Risk Score” on page 730.
   - **URL**: Allows you to control access based on the URL in the request. For configuration information, see “URL Condition” on page 730.
- **URL Scheme**: Allows you to control access based on the scheme in the URL of the request (for example, HTTP or HTTPS). For configuration information, see “URL Scheme Condition” on page 732.

- **URL Host**: Allows you to control access based on the hostname in the URL of the request. For configuration information, see “URL Host Condition” on page 733.

- **URL Path**: Allows you to control access based on the path in the URL of the request. For configuration information, see “URL Path Condition” on page 734.

- **URL File Name**: Allows you to control access based on the filename in the URL of the request. For configuration information, see “URL File Name Condition” on page 736.

- **URL File Extension**: Allows you to control access based on the file extension in the URL of the request. For configuration information, see “URL File Extension Condition” on page 737.

- **Virtual Attribute**: Allows you to control access based on the value of the virtual attribute. For configuration information, see “Virtual Attribute Condition” on page 738.

- **X-Forwarded-For IP**: Allows you to control access based on the value in the X-Forwarded-For IP header of the HTTP request. For configuration information, see “X-Forwarded-For IP Condition” on page 739.

- **Condition Extension**: (Conditional) If you have loaded and configured an authorization condition extension, this option specifies a condition that is evaluated by an outside source. This outside source returns either True or False. See the documentation that came with the extension for information about what is evaluated.

- **Data Extension**: (Conditional) If you have loaded and configured an authorization data extension, this option specifies the value that the extension retrieves. You can then select to compare this value with an LDAP attribute, a Liberty User Profile attribute, a Data Entry Field, or another Data Extension. For more information, see the documentation that came with the extension.

6 To add multiple conditions to the same rule, either add a condition to the same condition group or create a new condition group. For information about how conditions and condition groups interact with each other, see “Using Multiple Conditions” on page 695.

7 In the **Actions** section, select one of the following:

- **Permit**: Allows the user to access the resource.

- **Redirect**: Specify the URL to which you want users redirected when they meet the conditions of this policy.

- **Re-authenticate with Contract**: Select the action to be performed after execution of the rule. If you select **Re-authenticate with Contract**, select the contract to be used.

---

**NOTE:** If **Redirect** is configured as an Authorization policy action and you attempt to configure **Re-authenticate with Contract** option instead of **Redirect**, no contracts are displayed.

To workaround this issue, Select **Permit** or **Deny** and then select **Re-authenticate with Contract**. The list of contracts are displayed.

- **Deny**: Select one of the following deny actions:

  - **Display Default Deny Page**: Displays a generic message, indicating that the user has insufficient rights to access the resource.

  - **Deny Message**: Allows you to provide a customized message that is displayed to users who are denied access.

  - **Redirect to URL**: Allows you to specify a URL that users are redirected to when they are denied access. For example:
Action Extension (Permit): Select an action from the list of permit extensions. This action permits access to the resource and performs the additional action that the extension is designed to perform. If an action extension is not available, see Section 8.1.6, “Adding Policy Extensions,” on page 648 for information about uploading, configuring, and importing extensions.

Action Extension (Deny): Select an action from the list of deny extensions. This action denies access to the resource and performs the additional action that the extension is designed to perform. If a deny extension is not available, see Section 8.1.6, “Adding Policy Extensions,” on page 648 for information about uploading, configuring, and importing extensions.

8 (Conditional) If you have installed an action obligation extension, you can click New in the Actions section, and select the action. This causes the extension to perform whatever action it is designed to perform whenever a user matches the conditions of this rule. This type of action is usually always configured in addition to a permit or deny action. If the obligation option is not available, see Section 8.1.6, “Adding Policy Extensions,” on page 648 for information about uploading, configuring, and importing extensions.

9 To save the rule, click OK.

10 To add another rule, click New or to save the policy, click OK, then click Apply Changes.

11 Assign the policy to a protected resource (see “Assigning an Authorization Policy to a Protected Resource” on page 111).

8.3.3 Sample Access Gateway Authorization Policies

- Section 8.3.3.1, “Sample Policy Based on Organizational Rules,” on page 705
- Section 8.3.3.2, “Sample Workflow Policy,” on page 709

8.3.3.1 Sample Policy Based on Organizational Rules

The following sections describe a scenario with an organizational division, then describe two types of policies that enforce the requirements of the scenario:

- “Company Scenario” on page 705
- “LDAP Context Policies” on page 706
- “Role Policies with Authorization Policies” on page 707

Company Scenario

Suppose that the company LDAP directory has the following organization:

ou=sales,o=acme
ou=dev,o=acme
ou=hr,o=acme

Suppose that this company has the following configuration and requirements:

- Under each branch of the tree, the system administrator has created the users who work in these departments.
- Each department has its own Web resources, and other departments must be denied access to these resources.
With this type of configuration, you can use the LDAP context condition to create authorization policies or you can create role policies that are used in conjunction with authorization policies.

**LDAP Context Policies**

With such an organization, you can create a policy that either allows or denies access based on the LDAP context of the user’s DN. You can use the LDAP context of the user DN to separate the users into their departments and then grant access based on the context match. You need to create protected resources for the Web resources of the department, create a policy for each protected resource, and assign a policy to the protected resources.

The following procedure explains how to configure such a policy for the sales department.

1. Click **Policies > Policies > New**, specify a name for the policy, select **Access Gateway: Authorization** as the type, then click **OK**.
2. For **Condition Group 1**, click **New**, then select **Credential Profile**.
3. Fill in the following fields:
   - **LDAP Credentials**: Select **LDAP User DN**.
   - **If/If Not**: Select **If Not**.
   - **Comparison**: Select **Contains Substring**.
   - **Mode**: Select **Case Insensitive**.
   - **Value**: Select **Data Entry Field**. In the text box, type the following value:
     
     ou=sales,o=acme
   - **Result on Condition Error**: Select **True**.
4. In the **Actions** section, select **Deny**.
Your policy must look similar to the following:

This sets up the condition so that the following occurs:

- When the user does not belong to the sales department, the user is denied access.
- When the user belongs to the sales department, the user is granted access.
- When an error occurs evaluating the conditions in the rule, the user is denied access.

5. Assign the policy to the protected Web resources of the sales department (see “Assigning an Authorization Policy to a Protected Resource” on page 111).

6. Repeat these steps for the other two departments, changing the Value field to match the appropriate department.

Role Policies with Authorization Policies

Because of the company’s organization, you need to create three role policies, one for the sales users, one for the development users, and one for the human resource users. You can then use these roles as conditions in authorization policies to allow and deny access. The first time you use roles in an authorization policy, there is extra setup because you must create the role policies. However, after the role policies are created, you can use them in multiple authorization policies.

The following instructions explain how to use the Sales role to create a policy that controls access to a protected resource. For instructions on how to create the Sales role, see “Creating a Role by Using the Location of the User Objects” on page 684.

You need to decide on the type of Authorization policy you want to create. For example, you can create a Deny policy that denies access to everyone who does not match the condition (in this case, the Sales role).
Or you can create a two-rule policy that allows access to everyone that matches the condition. The first rule grants access to everyone who has the Sales role, and the second rule denies access to everyone who did not match the conditions of the first rule. (Other methods are also possible.) Because the proposed Deny policy is very similar to the LDAP Context Policies example, the following procedures explain how to create the two-rule policy.

1. In Administration Console Dashboard, click **Policies > Policies > New**.
2. Specify a name for the policy, select **Access Gateway: Authorization** as the type, then click **OK**.
3. (Optional) Provide a description for the rule.
4. In **Condition Group 1**, click **New**, and select **Roles**.
5. Fill in the following fields:
   - **If/If Not**: Select **If**.
   - **Roles**: Select [Current].
   - **Comparison**: Select **String: Equals**.
   - **Mode**: Select **Case Insensitive**
   - **Value**: Select **Roles**, then select **Sales**.
   - **Result on Condition Error**: Select **False**.
6. Under **Actions**, select **Permit**, then click **OK**.
   These steps create the Permit rule and set up the condition so that the following occurs:
   - When the user does not match the condition because the user does not belong to the Sales role, the policy engine moves to the next rule in the policy.
   - When the user does match the condition because the user belongs to the Sales role, the user is granted access.
   - If an error occurs when evaluating the condition of the policy, the user does not match the condition and the policy engine moves to the next rule in the policy.
7. In the **Rule List**, click **New**.
   This second rule is for denying access to everyone who does not match the condition in Rule 1. Processing of the policy stops when a user matches a rule; therefore all users who match Rule 1 are granted access and the policy engine does not evaluate the second rule.
8. Set the **Priority** to be 2 or greater.
   You want the Permit rule to be processed first, so it must have a priority of 1. The Deny rule needs to be processed last, so it needs a lower priority than the Permit rule.
9. Leave the **Condition Group 1** empty.
   The **Conditions** section is left empty so that everyone who does not match the conditions of the Permit rule is denied access to the resource.
10. In the **Actions** section, select **Deny** and either accept the default action or select one of the other actions.
11. Click **OK** twice.
12. Click **Apply Changes** on the Policies page.
13. Assign the policy to the protected Web resources of the sales department (see "Assigning an Authorization Policy to a Protected Resource" on page 111).
8.3.3.2 **Sample Workflow Policy**

One of the common workflow problems that an Authorization policy can solve is what to do with users who are denied access to resource. Most of the time they have a legitimate reason for trying to access the resource and need contact information to request access to the resource. You can add this contact information to a Web page and redirect the users to this page when the policy denies the user access.

To create such a workflow, you need to create an HTML page with the necessary information for making the request for access. It can be as simple as a contact name or it can be an actual form that the user submits to the organization that controls access to the resource.

You then need to create an Authorization policy that redirects the denied users to this page. The following sample policy uses a role for Access condition, but the same workflow can be created using any of the other conditions available for an Authorization policy. For this example, assume that the user is granted a Master role if the user is a member of the Master group. The organization that controls access to the resource is the owner of the Master group and can add and delete members from the group. When the owner of the Master group receives a request for access to the resource, the owner can evaluate the user, and if the user meets their standards, the owner adds the user to the Master group.

You can use the Master group to create an Access Manager Appliance Role policy. This policy for the Master role must look similar to the following:

*Figure 8-21  A Role Policy with an LDAP Group Condition*

![Figure 8-21](image)

This rule grants the user the Master role if the user belongs to the cn=Master,o=novell LDAP group. If the user does not belong to this group or if an error occurs trying to get the data, the user is not assigned the role. This occurs because both the condition and the Result on Condition Error evaluate to False, which prevents the Action from being applied.

After creating the Role policy, apply the changes and enable the Role for Identity Server.
You can then use this role to create an Authorization policy that contains two rules. The first rule grants access to the users who have the Master role (and are therefore members of the Master group). This rule must look similar to the following:

**Figure 8-22**  A Permit Rule with a Role Condition

This rule permits users who are assigned the Master role to have access to the resource. If the user does not match the condition or if an error occurs accessing the user’s role information, the user is sent to the next rule because both the condition and the Result on Condition Error evaluate to False.

The second rule in the policy must deny access to those who are not assigned the Master role and must redirect them to the page where they can request access. You can do this with a rule that checks to see if they are assigned the Master role. In this type of rule, the condition needs to be an If Not condition.
With an **If Not** condition, the condition evaluates to True when the user does not match the condition. With such a rule, you want the **Result on Condition Error** to also evaluate to True. If there is an error obtaining role information for the user, you don’t want the rule to assume that the user had the Master role. You want the rule to assume that the user had no roles, or in other words, you want the error condition to evaluate to True.

Because the condition evaluated to True, the Action is applied to the user. The value specified in the **Redirect to URL** text box must specify the page that contains the information about how to request access.

This redirect rule could be the only rule in the Authorization policy, because the users who are assigned to the Master role do not match the rule and are thus allowed access. Having the first rule that grants access because they have the Master role just makes the logic of the policy clearer.

If you create the first rule that grants users with the Master role access, you can use a general Deny rule for the second rule.
A general Deny rule has no conditions, so it matches everyone that does not match the first rule in the policy. You can add more rules to this policy to tighten security so that not all users are redirected to the site that contains the information about how to request access. For this type of policy, the last rule would be a general Deny rule with no conditions and without a redirect. The rules between Rule 1, which granted access to people assigned to the Master role, and the last rule, which denies everyone, must be rules that identify the types of users who have legitimate reasons for requesting access, and these rules must contain the redirect action.

After you have saved the Authorization policy, you need to assign it to the protected resource or resources that require the Master role, then update Access Gateway.

### 8.3.4 Conditions

This section describes the possible conditions for an Authorization policy. Some conditions can be set up so that the current values in the request are compared against static values (A to B), or you can compare static values to current values in the request (B to A). Within one policy, you must probably decide which direction to set up the comparisons and remain consistent unless there is a compelling reason to switch the direction for a particular condition.

For example, suppose you set up a rule to allow access to a resource only during the weekdays (Monday through Friday). You set up four of these conditions to compare if the date when the request is made matches with Monday, Tuesday, Wednesday, or Thursday. You set up the fifth condition to compare whether Friday matches the date when the request is made. This works, but maintaining this policy is more difficult because each new policy manager will look at the Friday condition and wonder why it is configured differently.

Many conditions, when used as the sole condition of a rule, do not make very useful rules. For example, you can create a rule that grants access if the user specifies a specific URL in the request. Such a rule has limited application. But a rule that requires that the request contain a specific URL
and that the user have a specific role has greater application because it can be used to limit access to the URL based on the user’s role. For information about how conditions can be ANDed or ORed together or placed in different condition groups, see “Using Multiple Conditions” on page 695.

Authorization policies use the following conditions:

- Section 8.3.4.1, “Authentication Contract Condition,” on page 713
- Section 8.3.4.2, “Client IP Condition,” on page 715
- Section 8.3.4.3, “Credential Profile Condition,” on page 717
- Section 8.3.4.4, “Current Date Condition,” on page 718
- Section 8.3.4.5, “Day of Week Condition,” on page 719
- Section 8.3.4.6, “Current Day of Month Condition,” on page 720
- Section 8.3.4.7, “Current Time of Day Condition,” on page 721
- Section 8.3.4.8, “HTTP Request Method Condition,” on page 722
- Section 8.3.4.9, “LDAP Attribute Condition,” on page 723
- Section 8.3.4.10, “LDAP OU Condition,” on page 726
- Section 8.3.4.11, “Liberty User Profile Condition,” on page 728
- Section 8.3.4.12, “Roles Condition,” on page 728
- Section 8.3.4.13, “Risk Score,” on page 730
- Section 8.3.4.14, “OAuth Scopes,” on page 730
- Section 8.3.4.15, “URL Condition,” on page 730
- Section 8.3.4.16, “URL Scheme Condition,” on page 732
- Section 8.3.4.17, “URL Host Condition,” on page 733
- Section 8.3.4.18, “URL Path Condition,” on page 734
- Section 8.3.4.19, “URL File Name Condition,” on page 736
- Section 8.3.4.20, “URL File Extension Condition,” on page 737
- Section 8.3.4.21, “Virtual Attribute Condition,” on page 738
- Section 8.3.4.22, “X-Forwarded-For IP Condition,” on page 739
- Section 8.3.4.23, “Condition Extension,” on page 740
- Section 8.3.4.24, “Data Extension,” on page 740
- Section 8.3.4.25, “Using the URL Dredge Option,” on page 740
- Section 8.3.4.26, “Edit Button,” on page 741

For the specific policies they can be used in Section 8.3.2, “Creating Access Gateway Authorization Policies,” on page 703

### 8.3.4.1 Authentication Contract Condition

The Authentication Contract condition matches the contract the user logged in with to the contract specified in this condition. Identity Server has the following default contracts:
To configure other contracts for your system, click Devices > Identity Servers > Edit > Local > Contracts.

To specify an Authentication Contract condition, fill in the following fields:

**Authentication Contract:** To compare the contract that the user used with a static value, select Current. To compare a static value with what the user used, select a contract from the list.

If you have created more than one Identity Server configuration, select the configuration that corresponds to the configuration your Access Gateway is configured to trust, then select the contract. The name of the contract is displayed. When you select this name, the configurations that contain a definition for this contract are highlighted.

If you select a contract that is defined on only one of your configurations, be aware that you must change this policy when you change configurations. If you select a contract that is defined in all your configurations, this policy requires no modifications and continues to function when you change configurations.

For example, the following policy has selected Name/Password - Basic as the contract:

*Figure 8-25  An Authentication Contract Defined by Multiple Identity Server Configurations*

<table>
<thead>
<tr>
<th>Name</th>
<th>URI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name/Password - Basic</td>
<td>basic/name/password/uri</td>
</tr>
<tr>
<td>Name/Password - Form</td>
<td>name/password/url</td>
</tr>
<tr>
<td>Secure Name/Password - Basic</td>
<td>secure/basic/name/password/uri</td>
</tr>
<tr>
<td>Secure Name/Password - Form</td>
<td>secure/name/password/url</td>
</tr>
</tbody>
</table>

Two Identity Server configurations have been defined (idp-43.amlab.net and idp-51.amlab.net). Both configurations are highlighted because Name/Password - Basic is a contract that is automatically defined for all Identity Server configurations. Because it is defined on both configurations, this policy’s function is the same, regardless of which configuration is selected as the trusted configuration.

**Comparison:** Specify how the contract is compared to the data in the Value field. Select either a string comparison or a regular expression:

- **Comparison: String:** Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
• **Starts with:** Indicates that the Authentication Contract value must begin with the letters specified in the **Value** field.

• **Ends with:** Indicates that the Authentication Contract value must end with the letters specified in the **Value** field.

• **Contains Substring:** Indicates that the Authentication Contract value must contain the letters, in the same sequence, as specified in the **Value** field.

• **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

• **Comparison: String:** Specify whether case is important by selecting **Case Sensitive** or **Case Insensitive**.

• **Comparison: Regular Expression: Matches:** Select one or more of the following:
  
  Canonical Equivalence  
  Case Insensitive  
  Comments  
  Dot All  
  Multi-Line  
  Unicode  
  Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value you want to compare with the Authentication Contract value. If you select a static value for the Authentication Contract value, select **Authentication Contract** and **Current**. If you select **Current** for the Authentication Contract value, select **Authentication Contract**, then select the name of a contract.

Other value types are possible if you selected **Current** for the Authentication Contract value. For example:

• You can select **Data Entry Field**. The value specified in the text box must be the URI of the contract for the conditions to match. For a list of these values, click **Access Manager > Identity Servers > Edit > Local > Contracts**.

• If you have defined a Liberty User Profile attribute for the URI of authentication contracts, you can select **Liberty User Profile** and your defined attribute.

• If you have defined an LDAP attribute for the URI of the authentication contracts, you can select **LDAP Attribute** and your defined attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.2 Client IP Condition

The Client IP condition allows you to use the IP address of the user making the request to determine whether the user is allowed access to a resource.

**NOTE:** Client IP will support IPv4 addresses and not IPv6 addresses.

Fill in the following fields:
**Comparison:** Specify how the client IP address is compared to the data in the **Value** field. Select either an IP comparison or a regular expression:

- **Comparison: IP:** Specifies that you want the values compared as IP addresses. Select one of the following:
  - **Equals:** Allows you to specify an IP address that the client must match. You can specify more than one.
  - **In Range:** Allows you to specify a range of IP addresses that the client’s address must fall within. You can specify more than one range.
  - **In Subnet:** Allows you to specify the subnet that the client’s address must belong to. You can specify more than one subnet.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. If you select this option, you must also specify a mode. Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for `java.util.regex.Pattern`.

**Value:** Select **Data Entry Field** and specify a value appropriate for your comparison type. Use the **Edit** button to access a text box where you can enter multiple values, each on a separate line. (For more information, see “Edit Button” on page 741.) Use the **Add** button to add values one at a time. For example:

<table>
<thead>
<tr>
<th>Comparison Type</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equals</td>
<td>10.10.10.10</td>
</tr>
<tr>
<td></td>
<td>10.10.10.11</td>
</tr>
<tr>
<td>In Range</td>
<td>10.10.10.10 - 10.10.10.100</td>
</tr>
<tr>
<td></td>
<td>10.10.20.10 - 10.10.20.100</td>
</tr>
<tr>
<td>In Subnet</td>
<td>10.10.10.12 / 22</td>
</tr>
<tr>
<td></td>
<td>10.10.20.30 / 22</td>
</tr>
</tbody>
</table>

Other values types are possible. For example, if your user store contains an LDAP attribute with the IP address of your users, you could select to compare the client’s current IP address with the stored value by using an LDAP attribute or a Liberty User Profile value.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.
8.3.4.3 Credential Profile Condition

The Credential Profile condition allows you to control access based on the credentials the user entered when authenticating to the system.

To set up the matching for this condition, fill in the following fields:

Credential Profile: Specify the type of credential your users are using for authentication. If you have created a custom contract that uses credentials other than the ones listed below, do not use the Credential Profile as a condition.

To configure the Credential Profile condition, select one of the following:

- **LDAP Credentials**: If you prompt the user for a username, select this option, then select LDAP User Name (the cn of the user), LDAP User DN (the fully distinguished name of the user), or LDAP Password.

  The default contracts assign the cn attribute to the Credential Profile. If your user store is an Active Directory server, the SAMAccountName attribute is used for the username and stored in the cn field of the LDAP Credential Profile.

- **X509 Credentials**: If you prompt the user for a certificate, select this option, then select one of the following:
  - **X509 Public Certificate Subject**: Retrieves the subject field from the certificate, which can match the DN of the user, depending upon who issued the certificate.
  - **X509 Public Certificate Issuer**: Retrieves the issuer field from the certificate, which is the name of the certificate authority (CA) that issued the certificate.
  - **X509 Public Certificate**: Retrieves the entire certificate, Base64 encoded.
  - **X509 Serial Number**: Retrieves the serial number of the certificate.

- **SAML Credential**: If your users authenticate using a SAML assertion, select this option.

Comparison: Select one of the following types:

- **Comparison: String**: Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - **Equals**: Indicates that the values must match, letter for letter.
  - **Starts with**: Indicates that the Credential Profile value must begin with the letters specified in the Value field.
  - **Ends with**: Indicates that the Credential Profile value must end with the letters specified in the Value field.
  - **Contains Substring**: Indicates that the Credential Profile value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

Mode: Select the mode appropriate for the comparison type:

- **Comparison: String**: Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- **Comparison: Regular Expression: Matches**: Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
Dot All
Multi-Line
Unicode
Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the second value for the comparison. Select one of the following data types:

- **LDAP Attribute:** If you have an LDAP attribute that corresponds to the Credential Profile you have specified, select this option and the attribute.
- **Liberty User Profile:** If you have a Liberty User Profile attribute that corresponds to the Credential Profile you have specified, select this option and the attribute.
- **Data Entry Field:** Specify the string you want matched. Be aware of the following requirements:
  - If you selected **LDAP User DN** as the credential, you need to specify the DN of the user in the **Value** text box. If the comparison type is set to **Contains Substring**, you can match a group of users by specifying a common object that is part of their DNs, for example `ou=sales`.
  - If you selected **X509 Public Certificate Subject** as the credential, you need to specify all elements of the Subject Name of the certificate in the **Value** text box. Separate the elements with a comma and a space, for example, `o=novell, ou=sales`. If the comparison type is set to **Contains Substring**, you can match a group of certificates by specifying a name that is part of their Subject Name, for example `ou=sales`.

Other values are possible. Your policy requirements determine whether they are useful.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.4 Current Date Condition

The Current Date condition allows you to use the date to determine whether the user is allowed access to a resource.

Fill in the following fields:

**Comparison:** Specify how the current date is compared to the data in the **Value** field. Select one of the following types:

- **Comparison: Date:** Specifies that you want the values compared as dates. Select one of the following date operators:
  - **Equals:** Requires that the current date must equal the specified value.
  - **Greater Than:** Requires that the current date be after the specified value.
  - **Greater Than or Equal to:** Requires that the current date be after or equal to the specified value.
  - **Less Than:** Requires that the current date be before the specified value.
  - **Less Than or Equal to:** Requires that the current date be before or equal to the specified value.
• **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. Be aware the regular expression matching uses the entire date of the server in its matching. Therefore if the value you are matching is 8, the 8 can produce a match for the year (2008), the month (8), and the day (8, 18, 28).

If you select this option, you must also specify a mode. Select one or more of the following:

- Canonical Equivalence
- Case Insensitive
- Comments
- Dot All
- Multi-Line
- Unicode
- Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Date Format:** If you selected a date comparison, specify the format of the **Value** field. Select one of the following formats:

- **D/M/Y** = 1/Jul/2009 or 1/7/2009
- **D-M-Y** = 1-Jul-2009 or 1-7-2009
- **M/D/Y** = Jul/1/2009 or 7/1/2009
- **M-D-Y** = Jul-1-2009 or 7-1-2009
- **M.D.Y** = Jul.1.2009 or 7.1.2009
- **YYYY-MM-DD** = 2009-07-01
- **YYYY.MM.DD** = 2009.07.01

**D** specifies a number from 1 to 31. **M** specifies a number from 1 to 12 or the name of the month in three letters (Sep) or complete (September). **Y** specifies the year in a four-digit format.

**Value:** Specify the second value for the comparison. If you select **Data Entry Field** as the value type, specify the date in the format you select in the **Date Format** field.

Other value types are possible. Your policy requirements determine whether they are useful. If you have set up a Liberty User Profile or an LDAP attribute that corresponds to the date, you can use this option and select your attribute. The **Date Format** field does not apply to these value types.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.5 Day of Week Condition

The Current Day of Week condition allows you to restrict access based on which day of the week the request is made. Fill in the following fields:

**Current Day of Week:** Select the name of the day from the list. To compare the day specified in the current request with a static value, select **Current**. To compare a static value with the day specified in the current request, select the name of a day from the list.
**Comparison:** Specify how the current day of the week is compared to the data in the **Value** field. Select one of the following types:

- **Comparison: Day of Week:** Specifies that you want the values compared as a day of the week. Select one of the following operators:
  - **Equals:** Allows you to specify a day that the client must match.
  - **In Range:** Allows you to specify a range of days that the client’s request must fall within, for example, Monday to Friday.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. Be aware that regular expression matching uses the entire date of the server in its matching. Therefore if the value you are matching is M, the M can produce a match for months (March and May) and for time zones (such as MST).

  If you select this option, you must also specify a mode. Select one or more of the following:
  
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for `java.util.regex.Pattern`.

**Value:** Specify the second value for the comparison. If you select **Current** for the **Current Day of Week** field, you need to specify a static value. If you select a static value for the **Current Day of the Week** field, you need to select **Current** for the **Value** field.

If you select **Data Entry Field** as the value type, days of the week are specified in the following format:

- Sun or Sunday
- Mon or Monday
- Tue or Tuesday
- Wed or Wednesday
- Thu or Thursday
- Fri or Friday
- Sat or Saturday

If you selected **In Range** as the comparison type, specify the first day of the range in the left text box and the end day of the range in the right text box.

Other value types are possible. Your policy requirements determine whether they are useful. If you have set up a Liberty User Profile or an LDAP attribute that corresponds to a day of the week, you can use this option and select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.6 Current Day of Month Condition

The Current Day of Month condition allows you to restrict access based on the day of the month the request is made. Fill in the following fields:
**Comparison:** Specify how the current day of the month is compared to the data in the **Value** field. Select one of the following types:

- **Comparison: Day of Month:** Specifies that you want the values compared as a day of the month. Select one of the following operators:
  - **Equals:** Allows you to specify a day that the client must match.
  - **In Range:** Allows you to specify a range of days that the client’s request must fall within.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. Regular expression matching uses the entire date of the server in its matching. Therefore if the value you are matching is 8, the 8 can produce a match for the year (2008), the month (8), and the day (8, 18, 28). If you want to match only on a day of the month (1-31), you need to use the Day of Month comparison rather than a Regular Expression comparison.

If you select this option, you must also specify a mode. Select one or more of the following:

  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

For regular expression syntax information, see the Javadoc for `java.util.regex.Pattern`.

**Value:** Specify the second value for the comparison:

- If you select **Equals** for the comparison type, you would normally select **Data Entry Field** for the **Value** field and specify a number from 1 to 31 in the text box.

- If you select **In Range** for the comparison type, you would normally select **Data Entry Field** for the **Value** field and specify the first value of the range in the first text box and the second value of the range in the second text box. If you specify 1 in the first box and 15 in the second box, you can use this condition to restrict access between the first day of the month and the 15th day.

Other value types are possible. Your policy requirements determine whether they are useful. If you have set up a Liberty User Profile or an LDAP attribute that corresponds to a day of the month, you can use this option and select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.7 Current Time of Day Condition

The **Current Time of Day** condition allows you to restrict access based on the time the request is made. Fill in the following fields:

**Comparison:** Specify how the current time of day is compared to the data in the **Value** field. Select one of the following types:

- **Comparison: Time:** Specifies that you want the values compared as time. Select one of the following:
  - **Greater Than:** Requires that the current time is greater than the specified value.
- **Greater Than or Equal to**: Requires that the current time is greater than or equal to the specified value.
- **Less Than**: Requires that the current time is less than the specified value.
- **Less Than or Equal to**: Requires that the current time is less than or equal to the specified value.
- **In Range**: Requires that the current time must fall within the specified range, such as 08:00 and 17:00.

If you specify this type of comparison, you must also specify a time zone. Select either the Local time zone or GMT (Greenwich Mean Time).

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions. Regular expression matching uses the entire date and time of the server in its matching. Therefore if the value you are matching is 8, the 8 can produce a match for the year (2008), the month (8), the day (8, 18, 28), the hour (8), the minute (8, 18, 28, 38, 48) and the second (8, 18, 28, 38, 48).

  If you select this option, you must also specify a mode. Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value**: Specify the second value for the comparison. If you select Data Entry Field as the value type, hours and minutes are specified in the following format:

```
hour:minute
```

Hour is a number from 00 to 23, and minute is a number from 00 to 59.

Time can only be specified in a 24-hour clock format. For example, 8 am is 08:00 and 5:30 pm is 17:30.

Other value types are possible. Your policy requirements determine whether they are useful. If you have set up a Liberty User Profile or an LDAP attribute that corresponds to the time of day, you can use this option and select your attribute.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.8 HTTP Request Method Condition

The HTTP Request Method condition allows you to restrict access based on the request method in the current request.

**HTTP Request Method**: Select the request method from the list or select Current to specify the method in the current request.
Comparison: Specify how the HTTP Request Method is compared to the data in the Value field. Select one of the following types:

- Comparison: String: Specifies that you want the values compared as strings and how you want the string values compared. Select one of the following:
  - Equals: Indicates that the values must match, letter for letter.
  - Starts with: Indicates that the HTTP Request Method value must begin with the letters specified in the Value field.
  - Ends with: Indicates that the HTTP Request Method value must end with the letters specified in the Value field.
  - Contains Substring: Indicates that the HTTP Request Method value must contain the letters, in the same sequence, as specified in the Value field.

- Comparison: Regular Expression: Matches: Specifies that you want the values compared as regular expressions.

Mode: Select the mode appropriate for the comparison type:

- Comparison: String: Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- Comparison: Regular Expression: Matches: Select one or more of the following:
  
  Canonical Equivalence  
  Case Insensitive  
  Comments  
  Dot All  
  Multi-Line  
  Unicode  
  Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

Value: Specify the value you want compared to the HTTP Request Method value. If you selected a method from the list for the HTTP Request Method value, select HTTP Request Method > Current. If you selected Current for the HTTP Request Method value, select a request method from the list.

Other value types are possible. Your policy requirements determine whether they are useful. If you have set up a Liberty User Profile or an LDAP attribute that corresponds to an HTTP Request Method, you can use this option and select your attribute.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

8.3.4.9 LDAP Attribute Condition

The LDAP Attribute condition allows you to restrict access based on a value in an LDAP attribute defined for the inetOrgPerson class or any other LDAP attribute you have added. You can have the user’s attribute value retrieved from your LDAP directory and compared to a value of the following type:

- Roles from an identity provider
- Date and time and its various elements
- URL and its various elements
This condition is one of the slower conditions to process because the value needs to be retrieved from the LDAP server. If the value is not time sensitive, you can have attribute value sent in the assertion when the user authenticates. Its value is then in cache and available. For configuration information, click Devices > Identity Servers > Servers > Edit > Liberty [or SAML 1.0 or SAML 2.0] > [Provider] > Attributes.

To set up the matching for this condition, fill in the following fields:

**LDAP Attribute:** Specify the LDAP attribute you want to use in the comparison. Select from the listed LDAP attributes. To add an attribute that isn’t in the list, scroll to the bottom of the list, click **New LDAP Attribute**, then specify the name of the attribute.

**Refresh Data Every:** Sends a query to the LDAP server to verify the current value of the attribute according to the specified interval. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached after the value has been obtained. The default cache interval is for the user session. You must change the value of this option from Session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your workflow.

You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information about this option, see “Using the Refresh Data Option” on page 701.

**Comparison:** Specify how you want the values compared. All data types are available. Select one of the following that matches the value type of your attribute:

- **Date:** Specifies that you want the values compared as dates. Select one of the following date operators:
  - **Equals:** Indicates that the current date must be equal to the specified value.
  - **Greater Than:** Indicates that the current date be after the specified value.
  - **Greater Than or Equal to:** Indicates that the current date be after or equal to the specified value.
  - **Less Than:** Indicates that the current date be before the specified value.
  - **Less Than or Equal to:** Indicates that the current date be before or equal to the specified value.

- **Day of Week:** Specifies that you want the values compared as a day of the week. Select one of the following operators:
  - **Equals:** Allows you to specify a day that the specified value must match.
  - **In Range:** Allows you to specify a range of days that the specified value must fall within, for example, Monday to Friday.

- **Day of Month:** Specifies that you want the values compared as a day of the month. Select one of the following operators:
  - **Equals:** Allows you to specify a day that the specified value must match.
  - **In Range:** Allows you to specify a range of days that the specified value must fall within.
- **Integer:** Specifies that you want the values compared as integers. Select one of the following:
  - **Equals:** Indicates that the integer value must be equal to the specified value.
  - **Greater Than:** Indicates that the integer value must be greater than the specified value.
  - **Greater Than or Equal to:** Indicates that the integer value must be greater than or equal to the specified value.
  - **Less Than:** Indicates that the integer value is less than the specified value.
  - **Less Than or Equal to:** Indicates that the integer value is less than or equal to the specified value.

- **IP:** Specifies that you want the values compared as IP addresses. Select one of the following:
  - **Equals:** Allows you to specify an IP address that the specified value must match. You can specify more than one.
  - **In Range:** Allows you to specify a range of IP addresses that the specified value must fall within. You can specify more than one range.
  - **In Subnet:** Allows you to specify the subnet that the specified value must belong to. You can specify more than one subnet.

- **LDAP OU: Contains:** Specifies that you want the condition to determine whether the user is contained by a specified organizational unit.

- **Attribute: Does Exist?** Specifies that you want the condition to determine whether the user has an LDAP attribute. This is a unary condition.

- **Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

- **String:** Specifies that you want the values compared as strings and how you want the string values to be compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the attribute value must begin with the letters specified in the Value field.
  - **Ends with:** Indicates that the attribute value must end with the letters specified in the Value field.
  - **Contains Substring:** Indicates that the attribute value must contain the letters, in the same sequence, as specified in the Value field.

- **Time:** Specifies that you want the values compared as time. Select one of the following:
  - **Greater Than:** Indicates that the current time is greater than the specified value.
  - **Greater Than or Equal to:** Indicates that the current time is greater than or equal to the specified value.
  - **Less Than:** Indicates that the current time is less than the specified value.
  - **Less Than or Equal to:** Indicates that the current time is less than or equal to the specified value.
  - **In Range:** Indicates that the current time must fall within the specified range, such as 08:00 and 17:00.

- **URL: Equals:** Specifies that you want the values compared as URLs.

- **URL Scheme:** Specifies that you want the values compared as scheme strings and how you want the values compared. Select one of the following:
  - **Equals:** Indicates that the URL scheme must contain the same letters, in the same order as specified in the value.
• **Starts with**: Indicates that the URL scheme must begin with the letters specified in the value.

• **Ends with**: Indicates that the URL scheme must end with the letters specified in the value.

• **Contains Substring**: Indicates that the URL scheme must contain the letters, in the same sequence, as specified in the value.

• **URL Host: Equals**: Specifies that you want the values compared as hostnames.

• **URL Path**: Specifies that you want the values compared as paths and how you want the string values compared. Select one of the following:
  - **Equals**: Indicates that the URL path must contain the same letters, in the same order as specified in the value.
  - **Starts with**: Indicates that the URL path must begin with the letters specified in the value.
  - **Ends with**: Indicates that the URL path must end with the letters specified in the value.
  - **Contains Substring**: Indicates that the URL path must contain the letters, in the same sequence, as specified in the Value field.

• **URL File**: Specifies that you want the values compared as filenames and how you want the names compared. Select one of the following:
  - **Equals**: Indicates that the filenames must contain the same letters, in the same order as specified in the value.
  - **Starts with**: Indicates that the filenames must begin with the letters specified in the value.
  - **Ends with**: Indicates that the filenames must end with the letters specified in the value.
  - **Contains Substring**: Indicates that the filenames must contain the letters, in the same sequence, as specified in the Value field.

• **URL File Extension**: Specifies that you want the values compared as file extensions and how you want the file extensions compared. Select one of the following:
  - **Equals**: Indicates that the file extensions must contain the same letters, in the same order as specified in the value.
  - **Starts with**: Indicates that the file extensions must begin with the letters specified in the value.
  - **Ends with**: Indicates that the file extensions must end with the letters specified in the value.
  - **Contains Substring**: Indicates that the file extensions must contain the letters, in the same sequence, as specified in the Value field.

**Mode**: Select the mode, if available, that matches the comparison type. For example, if you select to compare the values as strings, you can select either a **Case Sensitive** mode or a **Case Insensitive** mode.

**Value**: Specify the second value for the comparison. All data types are available. For example, you can select to compare the value of one LDAP attribute to the value of another LDAP attribute. Only you can determine if such a comparison is meaningful.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.

### 8.3.4.10 LDAP OU Condition

The LDAP OU condition allows you to compare the DN of an OU against the DN that was used when the user authenticated. If the user’s DN contains the OU, the condition matches.
**LDAP OU:** Select [Current].

**Comparison:** Specify how you want the values compared. Select one of the following:

- **Contains:** Specifies that you want the condition to determine whether the user is contained by a specified organizational unit.
- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type.

- **Contains:** Select whether the user must be contained in the specified OU (One Level) or whether the user can be contained in the specified OU or a child container (Subtree).
- **Comparison: Regular Expression: Matches:** Select one or more of the following:

  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the second value for the comparison. If you select LDAP OU > Name of Identity Server Configuration > User Store Name, you can browse to the name of the OU.

If you have more than 250 OUs defined in your tree, you are prompted to enter an LDAP query string. In the text box, you need to add only the <strFilter> value for the query. For example:

<table>
<thead>
<tr>
<th>&lt;strFilter&gt; Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>admin*</td>
<td>Returns all OUs that begin with admin, such as adminPR, adminBG, and adminWTH.</td>
</tr>
<tr>
<td>*test</td>
<td>Returns all OUs that end with test, such as doctest, softtest, and secutest.</td>
</tr>
<tr>
<td><em>low</em></td>
<td>Returns all OUs that have “low” in the name, such as low, yellow, and clowns.</td>
</tr>
</tbody>
</table>

For more information about the <strFilter> parameter, see RFC 2254 “LDAP Search Filter.”

If you select Data Entry Field, you can enter the DN of the OU in the text field. For example:

cn=users,dc=bcf2,dc=provo,dc=novell,dc=com
ou=users,o=novell

If you have defined a Liberty User Profile or an LDAP attribute for the OU you want to match, select this option, then select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
8.3.4.11 Liberty User Profile Condition

The Liberty User Profile condition allows you to restrict access based on a value in a Liberty User Profile attribute. The Liberty attributes must be enabled before you can use them in policies (click Devices > Identity Servers > Edit > Liberty > Web Server Provider, then enable one or more of the following: Employee Profile, Personal Profile).

These attributes can be mapped to LDAP attributes (click Devices > Identity Servers > Edit > Liberty > LDAP Attribute Mapping). When mapped, the actual value comes from your user store. If you are using multiple user stores with different LDAP schemas, mapping similar attributes to the same Liberty User Profile attribute allows you to create one policy with the Liberty User Profile attribute rather than multiple policies for each LDAP attribute.

The selected attribute is compared to a value of the following type:

- Roles from an identity provider
- Date and time and its various elements
- URL and its various elements
- IP address
- Authentication contract
- Credential profile
- HTTP request method
- LDAP attribute
- Static value in a data entry field

To set up the matching for this condition, fill in the following fields:

Liberty User Profile: Select the Liberty User Profile attribute. These attributes are organized into two main groups: Corporate Employment Identity and Entire Personal Identity. By default, the Common Last Name attribute for Liberty User Profile is mapped to the sn attribute for LDAP. To select this attribute for comparison, click Entire Personal Identity > Entire Common Name > Common Analyzed Name > Common Last Name.

Comparison: Select the comparison type that matches the data type of the selected attribute and the value.

Mode: Select the mode, if available, that matches the data type. For example, if you select to compare the values as strings, you can select either a Case Sensitive mode or a Case Insensitive mode.

Value: Select one of the values that is available from the current request or select Data Entry Field to enter a static value. The static value that you can enter is dependent upon the comparison type you selected.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

8.3.4.12 Roles Condition

If you have configured some Access Manager Appliance role policies (see Section 8.2.3, “Creating Roles,” on page 658), you can use these roles as conditions to control access. Roles are not assigned to users until the users authenticate. All authenticated users are assigned the
authenticated role. If you use a comparison type of starts with, ends with, or contains substring, carefully evaluate the potential results. For example, if you specify ed as the value for an ends with comparison, the condition matches roles such as contracted and assigned that you created, but it also matches the authenticated role.

Fill in the following fields:

**Roles:** Select the role. To compare the roles the user is currently assigned with a specific role, select [Current].

**Comparison:** Select one of the following types:

- **Comparison: String:** Specifies that you want the values compared as strings, and how you want the string values compared. Select one of the following:
  - **Equals:** Indicates that the values must match, letter for letter.
  - **Starts with:** Indicates that the Roles value must begin with the letters specified in the Value field.
  - **Ends with:** Indicates that the Roles value must end with the letters specified in the Value field.
  - **Contains Substring:** Indicates that the Roles value must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** If you have created Identity Server roles policies, select Roles, then select the role you want the user to match this condition. The authenticated role is assigned to all users when they authenticate. If you have defined a Liberty User Profile or an LDAP attribute for a role, you can select this option, then select your attribute.

You can use the Data Entry Field option to enter the name of the role you want to test for. If you have activated roles from an external source, use this option to specify the name of the role.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
8.3.4.13 Risk Score

You can define a condition group as part of the authorization policy that uses the risk score from Identity Server to protect a resource.

1 Specify how the current risk score is compared to the data in the Value field. You can select to do the comparison as an integer value or as a regular expression. For more details about regular expression, see “Comparison: Regular Expression: Matches:” on page 720.
2 Specify the value as a Data Entry and enter the risk score for the rule.
3 Specify the value to return if the rule execution results in an error. Select either False or True.
4 Configure the actions required to be performed during evaluation of the condition group. For more information, see Step 7 on page 704.
5 Click OK.

8.3.4.14 OAuth Scopes

You can define a condition group as part of the authorization policy that uses the OAuth scopes from Identity Server to protect a resource.

1 Comparison: You can select to do the comparison as an integer value or as a regular expression. For more information about regular expression, see “Comparison: Regular Expression: Matches:” on page 720.
2 Mode: Select the mode appropriate for the comparison type. For more information, see "Mode:" on page 717.
3 Value: Select OAuth Scopes.
4 Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.
5 Configure the actions required to be performed during evaluation of the condition group. For more information, see Step 7 on page 704.
6 Click OK.

8.3.4.15 URL Condition

The URL condition allows you to restrict access based on the URL specified in the request. If you have users requesting a resource with a URL you don’t want them to use, you can use this condition in an Access Gateway Authorization policy to deny them access to this URL, and use the Actions section to redirect the request to the URL you want them to use.

To set up matching for this condition, fill in the following fields:

Comparison: Specify how the URL is compared to the data in the Value field. Select one of the following types:

- Comparison: URL: Equals: Specifies that you want the values compared as URLs.
- Comparison: Regular Expression: Matches: Specifies that you want the values compared as regular expressions.
**Mode**: Select the mode appropriate for the comparison type:

- **Comparison: URL: Equals**: Specify whether case is important by selecting **Case Sensitive** or **Case Insensitive**.

- **Comparison: Regular Expression: Matches**: Select one or more of the following:
  
  Canonical Equivalence  
  Case Insensitive  
  Comments  
  Dot All  
  Multi-Line  
  Unicode  
  Unix Lines  

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

  The URL query strings can also be used in comparisons. Select Regular Expression Match to implement it. For example, if you want to match against a query string parameter `xyz`, whose value is `abc`, you need to enter the following regular expression in the **Data Entry** field:

  
  
  Matches a pattern that matches all URLs that end with `xyz=abc`.

  This follows the java regular expression pattern. For more information, see The API specification for the Java 2 Platform (https://docs.oracle.com/javase/7/docs/api/java/util/regex/Pattern.html) for the Java Regular Expression syntax.

**Value**: To enter a static value to compare to the URL in the current request, select **Data Entry Field** and specify the URL. This must be the complete URL, starting with the URL scheme (http:// or https://) and including the domain name, but not the port. If the URL contains a path, you must include it. If you do not specify a scheme, HTTP is used.

If you selected **Regular Expression: Matches**, regular expression rules apply.

If you selected **URL: Equals** for your comparison type, the wildcard characters `(?)` or `(*)` can be specified as the last element of the URL path to aid in matching basic URL patterns. These wildcard characters are interpreted as follows:

- `?` matches all files at the specified directory level  
- `*` matches all files and directories at and beyond the specified directory level

  For example, if the request URL is `http://www.resourcehost.com/path/resource.gif`, the following entered URLs would match the request URL:

  ```
  http://www.resourcehost.com/path/resource.gif  
  http://www.resourcehost.com/path/?  
  http://www.resourcehost.com/path/*  
  http://www.resourcehost.com/*
  ```

  If you selected **URL:Equals** for the comparison type, you can add multiple values:

- Use the **Edit** button to access a text box where you can enter multiple values, each on a separate line. For more information, see “Edit Button” on page 741.

- Use the **Add** button to add values one at a time.

- Use the **URL Dredge** button to display a list of links to use as values. For more information about this option, see Using the URL Dredge Option.

  All entered URLs are compared to the request URL until a match is found or the list is exhausted.
If you have defined a Liberty User Profile or an LDAP attribute for a URL, you can select these options for the value type, then select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.16 URL Scheme Condition

The URL Scheme condition allows you to restrict access based on the scheme specified in the URL of the request. For example in an Access Gateway Authorization policy, if the request contains HTTP as the scheme in the URL and you require users to use HTTPS, you can use this condition to deny access and redirect them to another URL.

This condition allows you to compare A to B or B to A. You need to decide whether you want to compare a static value to the current value in the HTTP request, or whether you want to compare the current value in the HTTP request to a specified value. The comparison type you use depends upon the value you want to specify. If you want more flexibility in specifying the value, you must select to compare the current value in the HTTP request with a specified value.

To set up matching for this condition, fill in the following fields:

**URL Scheme:** Specify the scheme you want compared. You can select Current for the current value in the HTTP request, or specify a static value of http or https.

**Comparison:** Select one of the following types:

- **Comparison: URL Scheme:** Specifies that you want the values compared as scheme strings and how you want the values compared. Select one of the following:
  - **Equals:** Indicates that the URL scheme must contain the same letters, in the same order as specified in the value.
  - **Starts with:** Indicates that the URL scheme must begin with the letters specified in the value.
  - **Ends with:** Indicates that the URL scheme must end with the letters specified in the value.
  - **Contains Substring:** Indicates that the URL scheme must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: String:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.

- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  
  Canonical Equivalence
  Case Insensitive
  Comments
  Dot All
  Multi-Line
  Unicode
  Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.
Value: Specify the value you want to compare with the URL Scheme value. If you select a static value for the URL Scheme value, select URL Scheme and Current. If you select Current for the URL Scheme value, select one of the following value types:

- **Data Entry Field:** Allows you to specify the scheme value you want to use in the comparison. The scheme cannot be specified with a trailing colon (:) character and must be specified in lowercase (http or https). Use the Edit button to access a text box where you can enter multiple values, each on a separate line. (For more information, see “Edit Button” on page 741.) Use the Add button to add values one at a time.

All entered URL schemes are compared to the requested URL scheme until a match is found or the list is exhausted.

- **LDAP Attribute:** If you have defined an LDAP attribute containing a URL or URL scheme, you can select this option, then select your attribute.

- **Liberty User Profile:** If you have defined a Liberty User Profile attribute containing a URL or URL scheme, you can select this option, then select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

8.3.4.17 **URL Host Condition**

The URL Host condition allows you to restrict access based on the hostname specified in the URL of the request. For example, you can use this condition to create rules that allow access if the URL contains one hostname, but deny access if the URL contains another hostname. The URL Host condition compares the hostname in the URL of the current request to the URL hostname specified in the Value field.

To set up matching for this condition, fill in the following fields:

**Comparison:** Specify how the URL Host is compared to the data in the Value field. Select one of the following types:

- **Comparison: URL Host: Equals:** Specifies that you want the values compared as hostnames.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. If you select this option, you must also specify a Mode. Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Select one of the following value types, then specify a value:

- **Data Entry Field:** To specify a static value to compare to the URL host in the current request, select this value type and specify the DNS name of the host.
For example, if the request URL is http://www.resourcehost.com/path/resource.gif, the following hostname matches the resource URL:

www.resourcehost.com

If you selected URL Host:Equals for the comparison type, you can add multiple values:

- Use the Edit button to access a text box where you can enter multiple values, each on a separate line. For more information, see “Edit Button” on page 741.
- Use the Add button to add values one at a time.

All listed hostnames are compared to the requested URL until a match is found or the list is exhausted.

- **LDAP Attribute**: If you have defined an LDAP attribute containing a URL or URL host, you can select this option, then select your attribute.
- **Liberty User Profile**: If you have defined a Liberty User Profile attribute containing a URL or URL host, you can select this option, then select your attribute.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.18 URL Path Condition

The URL Path condition allows you to restrict access based on the path specified in the URL of the request. This condition compares the path of the URL in the current request to the path specified in the Value field.

To set up matching for this condition, fill in the following fields:

**Comparison**: Select one of the following types:

- **Comparison: URL Path**: Specifies that you want the values compared as paths and how you want the string values compared. Select one of the following:
  - **Equals**: Indicates that the URL path must contain the same letters, in the same order as specified in the value.
  - **Starts with**: Indicates that the URL path must begin with the letters specified in the value.
  - **Ends with**: Indicates that the URL path must end with the letters specified in the value.
  - **Contains Substring**: Indicates that the URL path must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

**Mode**: Select the mode appropriate for the comparison type:

- **Comparison: URL Path**: Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches**: Select one or more of the following:
  
  Canonical Equivalence
  Case Insensitive
  Comments
  Dot All
Multi-Line
Unicode
Unix Lines

For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value type and value for the comparison. Select one of the following:

- **Data Entry Field:** To enter a static value to compare to the URL path in the current request, select this value type and specify the path. Start the path with a forward slash.

**IMPORTANT:** If you need to add a space in the path, you need to enter this encoded value for the space: %20

If you have selected **Regular Expression: Matches** for your comparison type, regular expression rules apply. If you have selected **URL Path** for your comparison type, the path can end with a filename or a wildcard. An asterisk (*) matches all files and directories at and beyond the specified directory level. A question mark (?) matches all files at the specified directory level. For example:

<table>
<thead>
<tr>
<th>Path</th>
<th>Match Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>/path1/path2/</td>
<td>Requires an exact match of the URL path. It matches if the URL does not contain anything after path2.</td>
</tr>
<tr>
<td>/path1/file.ext</td>
<td>Requires an exact match of the URL path, including the extension on the filename.</td>
</tr>
<tr>
<td>/path1/path2/?</td>
<td>Matches everything that immediately follows path2. It does not match anything if the path contains another directory, such as /path1/path2/path3/file3.ext.</td>
</tr>
<tr>
<td>/path1/path2/*</td>
<td>Matches everything that follows path2, including a filename or another directory, such as /path1/path2/path3/file3.ext.</td>
</tr>
</tbody>
</table>

If you selected **URL Path** for the comparison type, you can add multiple values:

- Use the **Edit** button to access a text box where you can enter multiple values, each on a separate line. For more information, see “Edit Button” on page 741.
- Use the **Add** button to add values one at a time.

All entered URL paths are compared to the request URL path until a match is found or the list is exhausted.

- **LDAP Attribute:** If you have defined an LDAP attribute containing a URL or URL path, you can select this option, then select your attribute.
- **Liberty User Profile:** If you have defined a Liberty User Profile attribute containing a URL or URL path, you can select this option, then select your attribute.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either **False** or **True**. If you do not want the action applied when an error occurs, select **False**. If you want the action applied when an error occurs, select **True**.
8.3.4.19 URL File Name Condition

The URL File Name condition allows you to restrict access based on the filename specified in the URL. It compares the filename in the URL of the current request to the filename specified in the Value field.

To set up matching for this condition, fill in the following fields:

**Comparison:** Select one of the following types:

- **Comparison: URL File:** Specifies that you want the values compared as filenames and how you want the names compared. Select one of the following:
  - **Equals:** Indicates that the filenames must contain the same letters, in the same order as specified in the value.
  - **Starts with:** Indicates that the filenames must begin with the letters specified in the value.
  - **Ends with:** Indicates that the filenames must end with the letters specified in the value.
  - **Contains Substring:** Indicates that the filenames must contain the letters, in the same sequence, as specified in the Value field.
- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions.

**Mode:** Select the mode appropriate for the comparison type:

- **Comparison: URL File:** Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches:** Select one or more of the following:
  
  Canonical Equivalence
  Case Insensitive
  Comments
  Dot All
  Multi-Line
  Unicode
  Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value type and value for the comparison. Select one of the following:

- **Data Entry Field:** To specify a static value to compare to the filename in the current request, select this value type and specify the filename.

  The value you specify is compared to what follows the last slash in the URL. If you selected Regular Expression: Matches for your comparison type, regular expression rules apply. If you selected URL File for your comparison type, enter a value that matches your string comparison type. Do not use wildcards in your value.

  If you selected URL File for the comparison type, you can add multiple values:
  
  - Use the Edit button to access a text box where you can enter multiple values, each on a separate line. For more information, see "Edit Button" on page 741.
  - Use the Add button to add values one at a time.

  All listed filenames are compared to the requested URL filename until a match is found or the list is exhausted.
- **LDAP Attribute**: If you have defined an LDAP attribute containing a URL or filename, you can select this option, then select your attribute.
- **Liberty User Profile**: If you have defined a Liberty User Profile attribute containing a URL or filename, you can select this option, then select your attribute.

**Result on Condition Error**: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.20 URL File Extension Condition

The URL File Extension condition allows you to restrict access based on the file extension specified in the URL of the request. It compares the file extension in the URL of the current request to the extension specified in the Value field.

To set up matching for this condition, fill in the following fields:

**Comparison**: Select one of the following types:

- **Comparison: URL File**: Specifies that you want the values compared as file extensions and how you want the file extensions compared. Select one of the following:
  - **Equals**: Indicates that the file extensions must contain the same letters, in the same order as specified in the value.
  - **Starts with**: Indicates that the file extensions must begin with the letters specified in the value.
  - **Ends with**: Indicates that the file extensions must end with the letters specified in the value.
  - **Contains Substring**: Indicates that the file extensions must contain the letters, in the same sequence, as specified in the Value field.

- **Comparison: Regular Expression: Matches**: Specifies that you want the values compared as regular expressions.

**Mode**: Select the mode appropriate for the comparison type:

- **Comparison: URL File Extension**: Specify whether case is important by selecting Case Sensitive or Case Insensitive.
- **Comparison: Regular Expression: Matches**: Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value**: Specify the value type and value for the comparison. Select one of the following:

- **Data Entry Field**: To specify a static value to compare to the file extension in the current request, select this value type and specify the file extension. You can specify the extension or the period and the extension. For example:
This condition does not support wildcards. If you selected URL File Extension for the comparison type, you can add multiple values:

- Use the Edit button to access a text box where you can enter multiple values, each on a separate line. For more information, see "Edit Button" on page 741.
- Use the Add button to add values one at a time.

All entered URL file extensions are compared to the requested URL file extension until a match is found or the list is exhausted.

- LDAP Attribute: If you have defined an LDAP attribute containing a URL or file extension, you can select this option, then select your attribute.
- Liberty User Profile: If you have defined a Liberty User Profile attribute containing a URL or file extension, you can select this option, then select your attribute.

Result on Condition Error: Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

8.3.4.21 Virtual Attribute Condition

The Virtual Attribute condition allows you to control access based on a value in an Virtual attribute. You can have the user’s attribute value retrieved from an external source and compared to a value of the following type:

- Roles from an identity provider
- Authenticating IDP or user store
- Authentication contract, method, or type
- Credential profile
- LDAP attribute, OU, or group
- Liberty User Profile attribute
- Static value in a data entry field
- Virtual Attribute

To set up the matching for this condition, fill in the following fields:

Virtual Attribute: Specify the virtual attribute you want to use in the comparison. Select a virtual attribute from the list.

Comparison: Specify how you want the values compared. All data types are available. Select one that matches the value type of your virtual attribute.

Mode: Select the mode, if available, that matches the comparison type. For example, if you select to compare the values as strings, you can select either a Case Sensitive mode or a Case Insensitive mode.

Value: Specify the second value for the comparison. All data types are available. For example, you can select to compare the value of one virtual attribute to the value of another virtual attribute. Only you can determine if such a comparison is meaningful.
**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.22 X-Forwarded-For IP Condition

For added security, you can add the IP address of the reverse proxy as a condition to check before granting access. One way to implement this is to create a rule that requires the X-Forwarded-For IP address in the HTTP header to match the configured IP address of the reverse proxy that is using the policy. The X-Forwarded-For IP condition matches the first IP address in the X-Forwarded-For header with the IP address specified in the Value field.

To set up matching for this condition, fill in the following fields:

**Comparison:** Specify how the X-Forwarded-For IP address is compared to the data in the Value field. Select one of the following types:

- **Comparison: IP:** Specifies that you want the values compared as IP addresses. Select one of the following:
  - **Equals:** Allows you to specify an IP address that the X-Forwarded-For IP address must match. You can specify more than one.
  - **In Range:** Allows you to specify a range of IP addresses that the X-Forwarded-For IP address must fall within. You can specify more than one range.
  - **In Subnet:** Allows you to specify the subnet that the X-Forwarded-For IP address must belong to. You can specify more than one subnet.

- **Comparison: Regular Expression: Matches:** Specifies that you want the values compared as regular expressions. If you select this option, you must also specify a Mode. Select one or more of the following:
  - Canonical Equivalence
  - Case Insensitive
  - Comments
  - Dot All
  - Multi-Line
  - Unicode
  - Unix Lines

  For regular expression syntax information, see the Javadoc for java.util.regex.Pattern.

**Value:** Specify the value type and value for the comparison. Select one of the following:

- **Client IP:** If you want the first IP address in the X-Forwarded-For header compared to the IP address of the client making the request, select this option.

  **NOTE:** Client IP will not support IPv6 addresses.

- **LDAP Attribute:** If you have defined an LDAP attribute for an IP address, you can select this option, then select your attribute.

- **Liberty User Profile:** If you have defined a Liberty User Profile attribute for an IP address, you can select this option, then select your attribute.

- **X-Forwarded-For-IP:** Allows you to control access based on the value in the X-Forwarded-For IP header of the HTTP request. This supports IPv6 address when you use the X-Forwarded-For IP condition.
- **Data Entry Field:** To specify a static value, select Data Entry Field and provide a value appropriate for your comparison type. For example:

<table>
<thead>
<tr>
<th>Comparison Type</th>
<th>IPv4 Value</th>
<th>IPv6 Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equals</td>
<td>10.10.10.10</td>
<td>2001:1000:1000:1000:1000:1000:1a8a</td>
</tr>
<tr>
<td></td>
<td>10.10.10.11</td>
<td>2001::10a0</td>
</tr>
<tr>
<td>In Range</td>
<td>10.10.10.10</td>
<td>2134::10 - 2134::100</td>
</tr>
<tr>
<td></td>
<td>10.10.20.10</td>
<td>2134::10 - 2134::100</td>
</tr>
<tr>
<td>In Subnet</td>
<td>10.10.10.12 / 22</td>
<td>2001:1000:0002:1000:1a8a/40</td>
</tr>
</tbody>
</table>

You can now enter an IPv6 IP address. If you enter a zone ID and scope ID in an IP address with % sign, you will get an error. For more information see Section 9.3, "Setting up L4 Switch for IPv6 Support," on page 821.

If you selected IP for the comparison type, you can add multiple values:
- Use the Edit button to access a text box where you can enter multiple values, each on a separate line.
- Use the Add button to add values one at a time.

All listed values are compared to the IP address in the X-Forwarded-For IP header until a match is found or the list is exhausted.

**Result on Condition Error:** Specify what the condition returns when the comparison of the two values returns an error rather than the results of the comparison. Select either False or True. If you do not want the action applied when an error occurs, select False. If you want the action applied when an error occurs, select True.

### 8.3.4.23 Condition Extension

If you have loaded and configured an authorization condition extension, this option specifies a condition that is evaluated by an outside source. This outside source returns either true or false. See the documentation that came with the extension for information about what is evaluated.

### 8.3.4.24 Data Extension

If you have loaded and configured an authorization data extension, this option specifies the value that the extension retrieves. You can then select to compare this value with an LDAP attribute, a Liberty User Profile attribute, a Data Entry Field, or another Data Extension. For more information, see the documentation that came with the extension.

### 8.3.4.25 Using the URL Dredge Option

In the **URL to Dredge** text box, enter the URL of a page on a web server, then click **Display URL List**. A list of links and images appears.

For example, if you enter [www.netiq.com/documentation/index.html](http://www.netiq.com/documentation/index.html) for the **URL to Dredge**, links such as the following appear in the **Links** section of the **URL Results** list:
Depending upon how you have configured your website, you need to enter either a target page or just the URL of the site to generate a list of links.

To add all links as values to the URL condition, click Links. To add links selectively as a value, select the check box next to each name. To dredge a link in the list, click the link.

If the URL contains images, a list of images appears in the Images section. To add an image as a value, select the check box next to the image name.

To save your changes, click OK.

IMPORTANT: If you attempt to dredge an HTTPS site that is using a self-signed certificate, you need to import the trusted root of the site into the Trusted Roots store of Access Gateway before performing the dredge.

8.3.4.26 Edit Button

Some of the conditions such as Client IP and URL display an Edit button when you select Equals as the condition and Data Entry Field as the value. The Edit button displays a text box where you can specify multiple values.

In the text box, enter each value on a separate line.

To save your modifications, click OK.

To discard your modifications, click Cancel.

8.3.5 Importing and Exporting Authorization Policies

You can import and export Authorization policies to run them in other Access Manager Appliance configurations and to analyze the authorization logic. The policy is exported as a text file with XML tags. We do not recommend editing the exported file with a text editor. Any changes you want to make to a policy must be done through Administration Console.

To export an Authorization policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select an Authorization policy, then click Export.
3. (Optional) Modify the name suggested for the file.
4. Click OK.
5. Using the features of your browser, specify where you want the file to be copied.
6. Click OK.

To import a policy:

1. Ensure that any referenced Role policies have been imported.
   See Section 8.2.8, “Importing and Exporting Role Policies,” on page 692.
2. If the policy uses LDAP or Liberty Profile attributes, ensure that Identity Server has been configured for these same attributes.
3 In Administration Console Dashboard, click Policies > Policies.
4 Click Import, then browse to and select the file.
5 Click OK.
6 When the policy appears in the list, click Apply Changes.

8.4 Identity Injection Policies

Identity injection allows you to add information to the URL or to the HTML page before it is posted to a web server. The web server uses this information to determine whether the user can access to the resource, so it is the web server that determines the information that you need to inject to allow access to the resource.

Identity injection is one of the features of Access Manager Appliance that enable you to provide single sign-on for your users. When the policy is configured, the user is unaware that additional information is required to access a web server.

IMPORTANT: Identity Injection policies allow you to inject the user's password into the HTTP header. If you set up such a policy, you must also configure Access Gateway to use SSL between itself and the back-end web server. This is the only way to ensure that the password is encrypted on the wire.

This section describes the elements available for an Identity Injection policy, but your web servers determine which elements you use.

- Section 8.4.1, “Designing an Identity Injection Policy,” on page 742
- Section 8.4.2, “Configuring an Identity Injection Policy,” on page 744
- Section 8.4.3, “Configuring an Authentication Header Policy,” on page 745
- Section 8.4.4, “Configuring a Custom Header Policy,” on page 749
- Section 8.4.5, “Configuring a Custom Header with Tags,” on page 752
- Section 8.4.6, “Specifying a Query String for Injection,” on page 754
- Section 8.4.7, “Injecting into the Cookie Header,” on page 756
- Section 8.4.8, “Configuring an Inject Kerberos Ticket Policy,” on page 757
- Section 8.4.9, “Configuring an OAuth Token Inject Policy,” on page 760
- Section 8.4.10, “Importing and Exporting Identity Injection Policies,” on page 760
- Section 8.4.11, “Sample Identity Injection Policy,” on page 761

8.4.1 Designing an Identity Injection Policy

Before setting up an Identity Injection policy, you need to know the following about your Web application:

- Does it require an authentication header? Does this header need just the username or does it also need the password?
- Does it use a custom header with custom names (x-names)? If so, you need to know their names and their expected values.
- Does the custom header require any custom names (x-names) with tags? If so, gather this information.
- Does the application expect specific values in the query string of the URL? If so, gather this information.
• Does it require the authentication information from the Kerberos tickets? If so, gather this information.
• Does the application require Access Gateway to fetch OAuth token and pass it over to the header? If so, gather this information.

After gathering the information, you need to determine whether you need to create one policy with one rule, one policy with multiple rules, or multiple policies. If you have multiple applications that require the same type of authentication header, you might want to create an authentication header policy and separate policies for the application-specific information. You can then enable both the authentication header policy and the application-specific policy for the resource that is protecting the application. You must design your policies so that the application receives just what it needs. It must not inject custom names and values it does not use.

Everything defined in a policy is injected into the header, even if the values are empty because Access Manager Appliance could not obtain the value for the item. For some applications, this is still useful information and the application uses it to make access decisions.

Whether you create a policy with one rule or multiple rules is a personal design decision. If you put all the actions in one rule, you have only one description field to describe the function of the policy. If you put each action type in a separate rule, you have multiple description fields to describe the function of the policy. Select the method that is easiest for you.

Rules are evaluated by priority. The first rule that is evaluated with an authentication header is processed, and the authentication header is rejected if it is found in any of the other rules. Your policy can inject only one authentication header, one cookie header, and one query string, but it can inject multiple custom headers and custom headers with tags.

### 8.4.1.1 Using the Refresh Data Option

Identity Injection policies are processed when a user requests access to a resource. The results and the values of the data items are cached for the user session. This means that when the user requests a second time to access the resource, the policy is evaluated, but the data values from the first evaluation are used. When a data item is cached for the user session, the user must log out and log back in to trigger new data values. (For information about how long the data items are cached, see Section 30.6.3, “The Policy Is Using Old User Data,” on page 1089.)

The LDAP Attribute and the Shared Secret actions can be configured to refresh their values. This means the attribute or secret value is read not just on the first request that triggers the policy evaluation, but when the specified refresh interval expires. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes.

You can use this feature for situations when you do not want to force the user to log in again to gain rights to resources or to revoke rights to resources. For example, suppose that you have an Identity Injection policy that grants access based on an LDAP attribute in a custom header having a “yes” value. Users with a “no” value in custom header are denied access.

If you don’t enable the Refresh Data option on this attribute in the policy, the policy is evaluated when the user first tries to access the resource. The value for the attribute is cached for the user session, and until the user logs out, that is the value that is used.
However, if you enable the Refresh Data option on this attribute in the policy, the policy is evaluated when the user first tries to access the resource. When the user sends a second request to access the resource and the specified interval has expired, the Refresh Data option causes the value of the attribute to be read again from the LDAP server. This new value is injected into the custom header, and any other policy that is triggered by the request and uses the new value for its policy.

- If the value from the first request to the second request changes from no to yes, the user gets access to the resource.
- If the value from the first request to the second request changes from yes to no, the user is denied access to the resource.

For example:

- If the attribute controls access to employee resources and an employee leaves, a quick change of this attribute value cuts the employee off from the resources that must be available to employees only.
- If the attribute controls access to a software download site and a user has just purchased a product, a quick change to this attribute value can grant access to the download site.

**IMPORTANT:** This feature needs to be used with caution. Because querying the LDAP server slows down the processing of a policy, LDAP attribute and secret store values are normally cached for the user session. Enable this option only on those attributes and secrets that are critical to the security of your system or to the design of your workflow.

### 8.4.2 Configuring an Identity Injection Policy

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, select Access Gateway: Identity Injection for the type of policy, then click OK.
4. Fill in the following fields:
   - **Description:** (Optional) Describe the purpose of this policy. Because Identity Injection policies are customized to match the content of a specific web server, you might want to include the name of the web server as part of the description.
   - **Priority:** Specify the order in which a rule is applied in the policy, when the policy has multiple rules. The highest priority is 1 and the lowest priority is 10.
5. In the Actions section, click New, then select one of the following.
   - **Inject into Authentication Header:** Inserts the username and password into the header. For information about how to configure this type of policy, see Section 8.4.3, “Configuring an Authentication Header Policy,” on page 745.
   - **Inject into Custom Header:** Inserts custom names with values into the custom header. For information about how to configure this type of policy, see Section 8.4.4, “Configuring a Custom Header Policy,” on page 749.
   - **Inject into Custom Header with Tags:** Inserts custom tags with name/value content into the custom header. For information about how to configure this type of policy, see Section 8.4.5, “Configuring a Custom Header with Tags,” on page 752.
   - **Inject into Query String:** Inserts a query string into the URL for the page. For information about how to configure this type of policy, see Section 8.4.6, “Specifying a Query String for Injection,” on page 754.
8.4.3 Configuring an Authentication Header Policy

To inject values into the authentication header, you need to know what the web server requires. For basic authentication, you need to inject the username and password. For a sample policy for a web server that requires the LDAP username and password to be injected into the header, see Section 3.6.3, "Setting Up Policies," on page 92.

To create and configure an authentication header policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.
4. (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple policies to be used by multiple resources.
5. In the Actions section, click New, then select Inject into Authentication Header.
6. Fill in the User Name field.

Select Credential Profile to insert the name the user entered when the user authenticated. This is the most common value type to use for the username.

The default contracts assign the cn attribute to the Credential Profile. If you have created a custom contract that uses credentials other than the ones listed below, do not use the Credential Profile as a condition.

If your user store is an Active Directory server, the SAMAccountName attribute is used for the username and stored in the cn field of the LDAP Credential Profile.

Depending upon what the user must supply for authentication, select one of the following:

- **LDAP Credentials**: If you prompt the user for a username, select this option, then select either LDAP User Name (the cn attribute of the user) or LDAP User DN (the fully distinguished name of the user). Your web server requirements determine which one you use.
- **X509 Credentials**: If you prompt the user for a certificate, select this option, then select one of the following options depending upon your web server requirements.
  - **X509 Public Certificate Subject**: Injects just the subject field from the certificate, which can match the DN of the user, depending upon who issued the certificate.
  - **X509 Public Certificate Issuer**: Injects just the issuer field from the certificate, which is the name of the certificate authority (CA) that issued the certificate.
  - **X509 Public Certificate**: Injects the entire certificate.
  - **X509 Serial Number**: Injects the certificate serial number.
- **SAML Credential**: Although this option is available for the username, most applications that use SAML assertions use them for the user’s password. For the username, you must probably select an option that allows you to supply the user’s name, such as LDAP Credentials or LDAP Attribute.

Your web server requirements determine the data type you select for the username. LDAP, X509, and SAML credentials are available from the Credential Profile. If you have created a custom contract that uses a credential other than the ones listed in the Credential Profile, you can select one of the following values to insert into the header as the username:
- **Authentication Contract**: Injects the URI of the authentication contract the user used for authentication.
- **Client IP**: Injects the IP address associated with the user.
- **LDAP Attribute**: Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click New LDAP Attribute to add the attribute.

The Refresh Data Every option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your workflow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes.

For more information, see “Using the Refresh Data Option” on page 743.
- **Liberty User Profile**: Injects the value of the selected attribute. If no profile attributes are available, you have not enabled their use in Identity Server configuration. See “Managing Web Services and Profiles” on page 449.
- **Proxy Session Cookie**: Injects the session cookie associated with the user.
- **Roles**: Injects the roles that have been assigned to the user.
- **Shared Secret**: Injects the username that has been stored in the selected shared secret store.

You can create your own username attribute. Click New Shared Secret, specify a display name for the store, and Access Manager Appliance creates the store. Select the store, click New Shared Secret Entry, specify a name for the attribute, then click OK. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

The Refresh Data Every option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.
Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Virtual Attribute**: Injects the value of the selected virtual attribute.

  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **X-Forwarded-For IP**: Injects the X-Forwarded-For IP address of the client.

- **String Constant**: Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.

- **Data Extension**: (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see Access Manager SDK Sample Code (https://www.netiq.com/documentation/access-manager-43-developer-documentation/samplecodes/main.html).

  The value type you use depends upon how you have set up the application.

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**NOTE**: To improve the policy's performance, configure the LDAP Attributes, Credential Profile, Liberty User Profile, and Shared Secret attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

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7 Fill in the **Password** field.

Select **Credential Profile** to insert the password the user entered when the user authenticated. This is the most common value type to use for the password. If you have created a custom contract that uses credentials other than the ones listed below for the password, do not use the Credential Profile for the password.

- **LDAP Credentials**: If you prompt the user for a password, select this option, then select **LDAP Password**. If the user’s password is the same as the name of the user, you can select either **LDAP User Name** (the cn attribute of the user) or **LDAP User DN** (the fully distinguished name of the user).

- **X509 Credentials**: If you use a certificate for the password, select this option, then select one of the following:
  - **X509 Public Certificate Subject**: Injects just the subject from the certificate, which can match the DN of the user, depending upon who issued the certificate.
  - **X509 Public Certificate Issuer**: Injects just the issuer from the certificate, which is the name of the certificate authority (CA) that issued the certificate.
  - **X509 Public Certificate**: Injects the entire certificate.
  - **X509 Serial Number**: Injects the certificate serial number.

- **SAML Credential**: Injects the SAML assertion in the authentication header as the user’s password.
Your web server requirements determine the data type you select for the password. LDAP, X509, and SAML credentials are available from the Credential Profile. You can also select one of the following values to insert into the header as the password:

- **Authentication Contract**: Injects the URI of a local authentication contract that the user used for authentication.
- **Client IP**: Injects the IP address associated with the user.
- **LDAP Attribute**: Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click **New LDAP Attribute** to add the attribute.
  
  The **Refresh Data Every** option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Liberty User Profile**: Injects the value of the selected attribute.
- **Proxy Session Cookie**: Injects the session cookie associated with the user.
- **Roles**: Injects the roles that have been assigned to the user.
- **Shared Secret**: Injects the password that has been stored in the selected shared secret store.

  You can create your own password attribute. Click **New Shared Secret**, specify a display name for the store, and the Access Manager Appliance creates the store. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **X-Forwarded-For IP**: Injects the X-Forwarded-For IP address of the client.
- **Virtual Attribute**: Injects the value of the selected virtual attribute.

  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **String Constant**: Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.
- **Data Extension**: (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

The value type you use depends upon how you have set up the application.

8 Specify the format for the value:

- **Multi-Value Separator**: Select a value separator, if the value type you have select is multi-valued. For example, **Roles** can contain multiple values.

- **DN Format**: If the value is a DN, select the format for the DN:
  - **LDAP**: Specifies LDAP typed comma notation:
    
    \text{cn=jsmith,ou=Sales,o=novell}
  
  - **NDAP Partial Dot Notation**: Specifies eDirectory™ typeless dot notation.
    
    \text{jsmith.sales.novell}
  
  - **NDAP Leading Partial Dot Notation**: Specifies eDirectory typeless leading dot notation.
    
    \text{.jsmith.sales.novell}
  
  - **NDAP Fully Qualified Partial Dot Notation**: Indicates eDirectory typed dot notation.
    
    \text{cn=jsmith.ou=Sales.o=novell}
  
  - **NDAP Fully Qualified Leading Dot Notation**: Indicates eDirectory typed leading dot notation.
    
    \text{.cn=jsmith.ou=Sales.o=novell}

9 Click **OK**.

10 (Optional) To add a second rule, click **New** in the Rule List.

You can inject only one authentication header into an Identity Injection rule. However, your policy can have multiple rules. If you inject two authentication headers, each in a separate rule, the authentication header in the rule with the highest priority is applied, and the authentication header action in the second rule is ignored.

11 To save the policy, click **OK**, then click **Apply Changes**.

### 8.4.4 Configuring a Custom Header Policy

To inject values into a custom header, you need to know the name of the tag and its expected value type. The names are specific to the application. The names might be case sensitive. They might require an X- prefix. Because the requirements vary, you need to enter them in the format as specified by the application. For example, an application might require the following to be in the custom header:

<table>
<thead>
<tr>
<th>Name/Value Pair</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>\text{X-First_Name=givenName}</td>
<td>A first name tag with an LDAP attribute value</td>
</tr>
<tr>
<td>\text{X-Last_Name=sn}</td>
<td>A last name tag with an LDAP attribute value</td>
</tr>
<tr>
<td>\text{X-Role=sales_role}</td>
<td>A role tag with the role name as the value.</td>
</tr>
</tbody>
</table>
If you create a custom header policy with these name/value pairs, the policy injects these names with their values into a custom header, before sending the request to the web server.

To create such a policy:

1. In Administration Console Dashboard, click **Policies > Policies**.
2. Select the policy container, then click **New**.
3. Specify a name for the policy, select **Access Gateway: Identity Injection** for the type, then click **OK**.
4. (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple custom header policies to be used for multiple resources.
5. In the **Actions** section, click **New**, then select **Inject into Custom Header**.
6. Fill in the following fields:
   - **Custom Header Name**: Specify the name to be inserted into the custom header. These are the names required by your application. If your application requires the X- prefix, ensure that you include the prefix in this field.
   - **Value**: Select the value required by the name. Select one of the following:
     - **Authentication Contract**: Injects the URI of a local authentication contract that the user used for authentication.
     - **Client IP**: Injects the IP address associated with the user.
     - **Credential Profile**: Injects the credentials that the user specified at login. You can select LDAP Credentials, X509 Credentials, or SAML Credentials. For more information, see Section 8.4.3, "Configuring an Authentication Header Policy," on page 745.
     - **LDAP Attribute**: Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click **New LDAP Attribute** to add the attribute.
       The **Refresh Data Every** option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.
       Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes.
       For more information, see "Using the Refresh Data Option" on page 743.
     - **Liberty User Profile**: Injects the value of the selected attribute. If no profile attributes are available, you have not enabled their use in Identity Server configuration. See "Managing Web Services and Profiles" on page 449.
     - **Proxy Session Cookie**: Injects the session cookie associated with the user.
     - **Roles**: Injects the roles that have been assigned to the user.
     - **Shared Secret**: Injects a value that has been stored in the selected shared secret store. Select the shared secret store and the name of the value you want injected. You can create your own value. Click **New Shared Secret**, specify a display name for the store, and the Access Manager Appliance creates the store. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**. The name you select for the attribute must match the Custom Header name. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, "Creating and Managing Shared Secrets," on page 784.
The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Virtual Attribute**: Injects the value of the selected virtual attribute.
  
  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **X-Forwarded-For IP**: Injects the X-Forwarded-For IP address of the client.

- **String Constant**: Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.

- **Data Extension**: (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

### NOTE:

To improve the policy’s performance, configure the LDAP Attributes, Credential Profile, Liberty User Profile, and Shared Secret attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

7 Specify the format for the value:

- **Multi-Value Separator**: Select a value separator, if the value type you have select is multi-valued. For example, **Roles** can contain multiple values.

- **DN Format**: If the value is a DN, select the format for the DN:
  
  - **LDAP**: Specifies LDAP typed comma notation.
    
    `cn=jsmith,ou=Sales,o=novell`
  
  - **NDAP Partial Dot Notation**: Specifies eDirectory typeless dot notation.
    
    `jsmith.sales.novell`
  
  - **NDAP Leading Partial Dot Notation**: Specifies eDirectory typeless leading dot notation.
    
    `j.smith.sales.novell`
  
  - **NDAP Fully Qualified Partial Dot Notation**: Indicates eDirectory typed dot notation.
    
    `cn=jsmith.ou=Sales.o=novell`
  
  - **NDAP Fully Qualified Leading Dot Notation**: Indicates eDirectory typed leading dot notation.
    
    `.cn=jsmith.ou=Sales.o=novell`
8. (Optional) To add additional custom header actions, click New, then select Inject into Custom Header or use the Copy Action icon and modify the new entry.

9. To save the policy, click OK twice, then click Apply Changes.

8.4.5 Configuring a Custom Header with Tags

Some Web applications require more than a name and a value to be injected into the custom header. Sometimes they require a custom name, a tag, and a value. Sometimes the application requires a custom name with multiple tags and values. The Inject into Custom Header with Tags option provides you with the flexibility to add such values to the custom header. For example, your application could be expecting the following custom header with tag:

X-Custom_Role Role=Manager

You can inject this information by setting the Custom Header Name to X-Custom, the Tag Name to Role, and the Tag Value to Manager. The value can be set as a static variable or you can retrieve it from various sources such as a Liberty User Profile attribute or the roles assigned to the current user.

1. In Administration Console Dashboard, click Policies > Policies.

2. Select the policy container, then click New.

3. Specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.

4. (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple custom header policies to be used for multiple resources.

5. In the Actions section, click New, then select Inject into Custom Header with Tags.

6. Fill in the following fields:

   - **Custom Header Name**: Specify the name that the application expects. If your application requires the X- prefix, ensure that you include the prefix in this field.

   - **Tag Name**: Specify the tag name that the application expects.

   - **Tag Value**: Specify the value. Select from the following data types:

     - **Authentication Contract**: Injects the URI of a local authentication contract that the user used for authentication.
     - **Client IP**: Injects the IP address associated with the user.
     - **Credential Profile**: Injects the credentials that the user specified at login. You can select LDAP Credentials, > X509 Credentials, or SAML Credential. For more information, see Section 8.4.3, “Configuring an Authentication Header Policy,” on page 745.
     - **LDAP Attribute**: Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click New LDAP Attribute to add the attribute.

     The Refresh Data Every option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.

     Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.
- **Liberty User Profile**: Injects the value of the selected attribute. If no profile attributes are available, you have not enabled their use in Identity Server configuration. See “Managing Web Services and Profiles” on page 449.

- **Proxy Session Cookie**: Injects the session cookie associated with the user.

- **Roles**: Injects the roles that have been assigned to the user.

- **Shared Secret**: Injects a value that has been stored in the selected shared secret store. The name specified as the Tag Name must match the name of a name/value pair stored in the shared secret.

  You can create your own value. Click **New Shared Secret**, specify a display name for the store, and the Access Manager Appliance creates the store. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**. The name must match the expected Tag Name. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Virtual Attribute**: Injects the value of the selected virtual attribute.

  The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

  Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **X-Forwarded-For IP**: Injects the X-Forwarded-For IP address of the client.

- **String Constant**: Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.

- **Data Extension**: (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

  **NOTE**: To improve the policy's performance, configure the LDAP Attributes, Credential Profile, Liberty User Profile, and Shared Secret attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

7  To add multiple tag and value pairs to the custom name, click **New** in the **Tags** section.

   Use the up-arrow and down-arrow buttons to order the tags.

8  Specify the format for the value:

   **Multi-Value Separator**: Select a value separator, if the value type you have select is multi-valued. For example, **Roles** can contain multiple values.
**DN Format:** If the value is a DN, select the format for the DN:

- **LDAP:** Specifies LDAP typed comma notation.

  \texttt{cn=jsmith,ou=Sales,o=novell}

- **NDAP Partial Dot Notation:** Specifies eDirectory typeless dot notation.

  \texttt{jsmith.sales.novell}

- **NDAP Leading Partial Dot Notation:** Specifies eDirectory typeless leading dot notation.

  \texttt{.jsmith.sales.novell}

- **NDAP Fully Qualified Partial Dot Notation:** Indicates eDirectory typed dot notation.

  \texttt{cn=jsmith.ou=Sales.o=novell}

- **NDAP Fully Qualified Leading Dot Notation:** Indicates eDirectory typed leading dot notation.

  \texttt{.cn=jsmith.ou=Sales.o=novell}

9 (Optional) To add additional custom header actions, click **New**, then select **Inject into Custom Header with Tags** or use the **Copy Action** icon and modify the new entry.

10 To save the policy, click **OK** twice, then click **Apply Changes**.

### 8.4.6 Specifying a Query String for Injection

Some applications require custom information in a query string of the URL. The **Inject into Query String** option allows you to inject this information without prompting the user for it. To inject the information, you must specify a tag name and a tag value. The tag name is what your application requires. For example, suppose your application expects the following query string for user jsmith:

\texttt{?name=jsmith}

You can inject this information into the URL by specifying a name for the **Tag Name** and **Credential Profile** for the **Tag Value**. The **Credential Profile** value type inserts the name that the current user specified when authenticating to Access Gateway.

1 In Administration Console Dashboard, click **Policies > Policies**.
2 Select the policy container, then click **New**.
3 Specify a name for the policy, select **Access Gateway: Identity Injection** for the type, then click **OK**.
4 (Optional) Specify a description for the injection policy.
5 In the **Actions** section, click **New**, then select **Inject into Query String**.
6 Fill in the following fields:

- **Tag Name:** Specify the tag name that the application expects.
- **Tag Value:** Specify the value. Select from the following data types:
  - **Authentication Contract:** Injects the URI of a local authentication contract that the user used for authentication.
  - **Client IP:** Injects the IP address associated with the user.
  - **Credential Profile:** Injects the credentials that the user specified at login. You can select LDAP Credentials, > X509 Credentials, or SAML Credential. For more information, see Section 8.4.3, "Configuring an Authentication Header Policy," on page 745.
**LDAP Attribute:** Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click **New LDAP Attribute** to add the attribute.

The **Refresh Data Every** option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

**Liberty User Profile:** Injects the value of the selected attribute. If no profile attributes are available, you have not enabled their use in Identity Server configuration. See “Managing Web Services and Profiles” on page 449.

**Proxy Session Cookie:** Injects the session cookie associated with the user.

**Roles:** Injects the roles that have been assigned to the user.

**Shared Secret:** Injects a value that has been stored in the selected shared secret store. The name specified as the Tag Name must match the name of a name/value pair stored in the shared secret.

You can create your own value. Click **New Shared Secret**, specify a display name for the store, and the Access Manager Appliance creates the store. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**. The name you specify must match the Tag Name. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

**Virtual Attribute:** Injects the value of the selected virtual attribute.

The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

**X-Forwarded-For IP:** Injects the X-Forwarded-For IP address of the client.

**String Constant:** Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.
- **Data Extension:** (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

**NOTE:** To improve the policy’s performance, configure the LDAP Attributes, Credential Profile, Liberty User Profile, and Shared Secret attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

7 (Optional) To add multiple tag and value pairs, click New in the Tags section.
You can inject only one query string into a rule, but you can inject multiple tag-name and tag-value pairs in the single query string.
Use the up-arrow and down-arrow buttons to order the tags.

8 Specify the format for the values:

- **Multi-Value Separator:** Select a value separator, if the value type you have select is multi-valued. For example, Roles can contain multiple values.

- **DN Format:** If the value is a DN, select the format for the DN:
  - **LDAP:** Specifies LDAP typed comma notation.
    ```
    cn=jsmith,ou=Sales,o=novell
    ```
  - **NDAP Partial Dot Notation:** Specifies eDirectory typeless dot notation.
    ```
    jsmith.sales.novell
    ```
  - **NDAP Leading Partial Dot Notation:** Specifies eDirectory typeless leading dot notation.
    ```
    .jsmith.sales.novell
    ```
  - **NDAP Fully Qualified Partial Dot Notation:** Indicates eDirectory typed dot notation.
    ```
    cn=jsmith.ou=Sales,o=novell
    ```
  - **NDAP Fully Qualified Leading Dot Notation:** Indicates eDirectory typed leading dot notation.
    ```
    .cn=jsmith.ou=Sales.o=novell
    ```

9 To save the policy, click OK twice, then click Apply Changes.

### 8.4.7 Injecting into the Cookie Header

Some applications require access to Access Gateway session cookie and expect to find it in the cookie header. You can create an Identity Injection policy that adds this cookie to the cookie header.

1 In Administration Console Dashboard, click Policies > Policies.
2 Select the policy container, then click New.
3 Specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.
4 (Optional) Specify a description for the injection policy.
5 In the Actions section, click New, then select Inject into Cookie Header.
   This action allows only one value unless you have installed a data extension. If you have installed a data extension, you can select either Proxy Session Cookie or the Data Extension.
**Proxy Session Cookie:** Injects the session cookie for the user.

**Data Extension:** Injects the value retrieved from the extension. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

6. To save the policy, click OK twice, then click Apply Changes.

### 8.4.8 Configuring an Inject Kerberos Ticket Policy

This policy allows the authentication information in the Kerberos tickets to be passed to Access Gateway.

To create and configure an Inject Kerberos Ticket policy, perform the following steps:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.
4. (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple policies to be used by multiple resources.
5. In the Actions section, click New, then select Inject Kerberos Ticket.
6. Select an appropriate option in User Name.
   
   Select Credential Profile to insert the name a user enters during the authentication process. This is the most common value. The default contracts assign the cn attribute to the Credential Profile.

   If your user store is an Active Directory server, the SAMAccountName attribute is used for the username and stored in the cn field of the LDAP Credential Profile.

   Depending upon what a user must supply for authentication, select one of the following:

   - **LDAP Credentials:** If you prompt the user for a username, select this option. Then select either LDAP User Name (the cn attribute of the user) or LDAP User DN (the fully distinguished name of the user). Your web server requirements determine which one you use.

   - **X509 Credentials:** If you prompt the user for a certificate, select this option. Then select one of the following options depending upon your web server requirements:
     - **X509 Public Certificate Subject:** Injects the subject field from the certificate, which can match the DN of the user, depending upon who issued the certificate.
     - **X509 Public Certificate Issuer:** Injects the issuer field from the certificate, which is the name of the certificate authority (CA) that issued the certificate.
     - **X509 Public Certificate:** Injects the entire certificate.
     - **X509 Serial Number:** Injects the certificate serial number.

   - **SAML Credential:** Although this option is available for the username, most applications that use SAML assertions, use them for the user’s password. For the username, select an option that allows you to supply the user’s name, such as LDAP Credentials or LDAP Attribute.
Your web server requirements determine the data type you select for the username. LDAP, X509, and SAML credentials are available from the Credential Profile. If you have created a custom contract that uses a credential other than the ones listed in the Credential Profile, you can select one of the following values to insert into the Kerberos ticket as the username:

- **Authentication Contract**: Injects the URI of the authentication contract the user specified for authentication.
- **Client IP**: Injects the IP address associated with the user.
- **LDAP Attribute**: Injects the value of the selected attribute. For Active Directory servers, specify the SAMAccountName attribute for the username. If the attribute you require does not appear in the list, click **New LDAP Attribute** to add the attribute.

The **Refresh Data Every** option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are cached for a user session.

Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your workflow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Liberty User Profile**: Injects the value of the selected attribute. If no profile attributes are available, you have not enabled their use in Identity Server configuration. See “Managing Web Services and Profiles” on page 449.

- **Proxy Session Cookie**: Injects the session cookie associated with the user.
- **Roles**: Injects the roles that have been assigned to the user.
- **Shared Secret**: Injects the username that has been stored in the selected shared secret store.

You can create your own username attribute.

1. Click **New Shared Secret**, specify a display name for the store, and Access Manager Appliance creates a store.
2. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**.

   The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.

The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are cached for a user session.

Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your workflow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **Virtual Attribute**: Injects the value of the selected virtual attribute.

   The **Refresh Data Every** option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.
Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your workflow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see "Using the Refresh Data Option" on page 743.

- **X-Forwarded-For IP**: Injects the X-Forwarded-For IP address of the client.
- **String Constant**: Injects a static value that you specify in the text box. This value is used by all users who access the resources assigned to this policy.
- **Data Extension**: (Conditional) If you have installed a data extension for Identity Injection policies, this option injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

The value type you use depends upon your application setup.

7 Specify values in the following fields:

**Domain**: Select one of the following:

- **LDAP Attribute**: When the user is authenticated at the Identity Server by using a Kerberos authentication. This attribute uses userPrincipalName of the user from Active directory.
- **String Constant**: When the user is authenticated at Identity Server by using a non-Kerberos authentication. If the required domain is not available in any LDAP attribute, the administrator can specify the domain name manually.

**Target Host**: Select from request: Selects the web server FQDN that user has configured while configuring web servers of the proxy service.

**Multi-Value Separator**: Select a value separator, if the value type you have select is multi-valued. For example, **Roles** can contain multiple values.

**DN Format**: If the value is a DN, select the format for the DN:

- **LDAP**: Specifies LDAP typed comma notation:
  
  cn=jsmith,ou=Sales,o=novell

- **NDAP Partial Dot Notation**: Specifies eDirectory™ typeless dot notation.
  
  jsmith.sales.novell

- **NDAP Leading Partial Dot Notation**: Specifies eDirectory typeless leading dot notation.
  
  .jsmith.sales.novell

- **NDAP Fully Qualified Partial Dot Notation**: Indicates eDirectory typed dot notation.
  
  cn=jsmith.ou=Sales.o=novell

- **NDAP Fully Qualified Leading Dot Notation**: Indicates eDirectory typed leading dot notation.
  
  .cn=jsmith.ou=Sales.o=novell

8 Click OK.

9 (Optional) To add a second rule, click New in the Rule List.
You can inject only one Kerberos ticket into an Identity Injection rule. However, your policy can have multiple rules. If you inject two Kerberos tickets, each in a separate rule, the Kerberos ticket in the rule with the highest priority is applied. The Kerberos ticket action in the second rule is ignored.

10 Click OK > Apply Changes.

8.4.9 Configuring an OAuth Token Inject Policy

This policy allows Access Gateway to inject OAuth token into web applications’ header as an authorization bearer.

To create and configure an OAuth Token policy, perform the following steps:

1 Click Policies > Policies.
2 Select the policy container.
3 Click New, specify a name for the policy. Select Access Gateway: Identity Injection from the list, then click OK.
4 (Optional) Specify a description for the injection policy. This is useful if you plan to create multiple policies to be used by multiple resources.
5 In the Actions section, click New > Inject OAuth Token.
6 You can select OAuth scope from the Available OAuth Scopes list. You can add multiple scopes using this option. The selected scopes get listed in the OAuth Scopes (Select from available OAuth Scopes list) field. If you want to manually add more scopes or edit existing scopes, you can use the OAuth Scopes (Select from available OAuth Scopes list) field.

**NOTE:** The scopes are case-sensitive and have a character limit of 60. You can specify more than one scope separated by a comma.

7 In the Renew Before the Token Expiry (minutes) field, specify a time for the token renewal.

**Examples:**

Let suppose Identity Server contract time out is set for 60 minutes. Now, if you specify the Renew Before the Token Expiry (minutes) as 30, then the token gets renewed 30 minutes (60-30 minutes) after the start of Identity Server session.

Let suppose Identity Server contract time out is set for 60 minutes. Now, if you specify the Renew Before the Token Expiry (minutes) also as 60, then there will be a new token issued for each session.

**IMPORTANT:** For efficient policy execution, it is not recommended to add multiple actions with Inject OAuth Token policy. However, if you still add another action, then the token renewal time will be considered based on the lowest time amongst all the actions.

For example, if you set the Renew Before the Token Expiry (minutes) as 30 and add Inject Kerberos Ticket policy with Refresh Data Every as 10 minutes, then, the token will be renewed at 10 minutes, instead of 30.

8 To save the policy, click OK twice, then click Apply Changes.

8.4.10 Importing and Exporting Identity Injection Policies

You can import and export Identity Injection policies to run them in other Access Manager Appliance configurations. The policy is exported as a text file with XML tags.
NOTE: We do not recommend editing the exported file with a text editor. Any changes you want to make to a policy must be done through Administration Console.

To export an Identity Injection policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container.
3. Select an Identity Injection policy, then click Export.
4. (Optional) Modify the name suggested for the file.
5. Click OK.
6. Using the features of your browser, specify where the file is to be copied.

To import a policy:

1. Ensure that any referenced shared secret stores have been created. See Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.
2. If the policy uses LDAP or Liberty Profile attributes, ensure that Identity Server has been configured for these same attributes.
3. Ensure that any referenced role policies have been imported.
   See Section 8.2.8, “Importing and Exporting Role Policies,” on page 692.
4. Click Policies.
5. Click Import, then browse to the location of the file.
6. Click OK.
7. When the policy appears in the list, click Apply Changes.

8.4.11 Sample Identity Injection Policy

One of the common uses of an Identity Injection policy is to differentiate between internal users and external users. Web servers that have been configured for this logic can then display one set of pages to internal users and another set of pages to external users. The following sample policy is based on an environment that has the following characteristics:

- The web server has been configured to look for a custom tag called IPAddress and to differentiate between internal IP addresses and external IP addresses.
- The internal customers have NAT IP addresses.
- The protected resource is a page called mycompany.html. This page is a public protected resource (no authentication required) because the IP address of the client is available before authentication.

To configure your site for this type of policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container.
3. Click New, specify a name for the policy, select Access Gateway: Identity Injection for the type, then click OK.
4. In the Actions section, click New > Inject into Custom Header.
5. Fill in the following fields:
   - Custom Header Name: Specify IPAddress in the text box.
**Value:** Select **Client IP**.

The other fields do not need to be modified. Your policy must look similar to the following:

<table>
<thead>
<tr>
<th>Type</th>
<th>Access Gateway: Identity Injection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>IP Address header injection</td>
</tr>
<tr>
<td>Priority</td>
<td>1</td>
</tr>
</tbody>
</table>

**Actions**

- **New ▼**
  - Do: Inject into Custom Header
  - Custom Header Name: IPAddress
  - Value: Client IP ▼
  - Multi-Value Separator: , ▼
  - DN Format: LDAP (ex, cn=jxmith,ou=Sales,o=Novell) ▼

Changes made on this panel must be applied from the Policies Panel.

6. Click **OK** twice, then click **Apply Changes**.

7. Assign the policy to the `mycompany.html` page of the web server. Click **Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Protected Resources**.

8. In the Protected Resource List, select the protected resource for the page or click **New** to create one, then specify a name for it.

9. In the **URL Path List**, ensure that the path ends with the name of the page. For example:

   `/mycompany.html`

10. Click **Identity Injection**, select the name of the IP address policy, then click **Enable**.

11. To save the changes, click **Configuration Panel > OK**.

12. On the Configuration page, click **OK**, then click **Update**.

13. Configure the web server to use the IPAddress values in the custom header to distinguish between external and internal customers.

   In this sample scenario, the web server is configured to recognize IP addresses starting with 10 as internal customers and all other addresses as external customers.

**8.5 Form Fill Policies**

A Form Fill policy allows you to pre-populate fields in a form on first login and then save the information in the completed form to a secret store for subsequent logins. The user is prompted to reenter the information only when something changes such as when a password expires. Form Fill is one of the features of Access Manager Appliance that enable you to provide single sign-on for your users.

The HTML page determines the requirements for the Form Fill policy.
NOTE: Form Fill policies support only content-type text/html. Ensure that you configure Form Fill policies only for such resources.

This section describes the following:
- Section 8.5.1, “Understanding an HTML Form,” on page 763
- Section 8.5.2, “Creating a Form Fill Policy for the Sample Form,” on page 766
- Section 8.5.3, “Implementing Form Fill Policies,” on page 768
- Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784
- Section 8.5.5, “Importing and Exporting Form Fill Policies,” on page 786
- Section 8.5.6, “Configuring a Form Fill Policy for Forms With Scripts,” on page 787

8.5.1 Understanding an HTML Form

The following figure is an example of a web page containing an HTML form:

*Figure 8-26  Sample HTML Form*

<table>
<thead>
<tr>
<th>Username:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Password:</td>
<td></td>
</tr>
<tr>
<td>City of Employment:</td>
<td></td>
</tr>
<tr>
<td>Web server:</td>
<td>—Choose a server—</td>
</tr>
<tr>
<td>Please specify your role:</td>
<td>○ Admin</td>
</tr>
<tr>
<td></td>
<td>○ Engineer</td>
</tr>
<tr>
<td></td>
<td>○ Manager</td>
</tr>
<tr>
<td></td>
<td>○ Guest</td>
</tr>
<tr>
<td>Single Sign-on to the following:</td>
<td>○ Mail</td>
</tr>
<tr>
<td></td>
<td>○ Payroll</td>
</tr>
<tr>
<td></td>
<td>○ Self-service</td>
</tr>
</tbody>
</table>

The information in this section uses this sample form to explain how to create a policy. This sample form contains a variety of field types:
- Input items for Username and Password
- Selection options for the web server field
- Radio buttons for the role
- Check boxes for single sign-on

While analyzing a form, you need to decide if you want the policy to fill in all fields or only some of these. Then to look at the source HTML of the form to discover the names and types of fields.
An HTML form is created using a set of HTML tags. A form consists of elements such as fields, menus, check boxes, radio buttons, and push buttons that control how the form is completed and submitted. For more information about forms, see the Forms section at [www.w3.org](http://www.w3.org/TR/html401/interact/forms.html).

The following HTML data corresponds to the sample form (see Figure 8-26). The lines that contain the information needed to create a Form Fill policy appear in bold type. Each line corresponds to a field in the form that requires information or allows the user to select information.

In this example, each bold line contains information about a field, its name, and type. Use this information in the policy to specify how the information in the field is filled.

```html
<!DOCTYPE html PUBLIC "-//W3C//DTD HTML 4.01 Transitional//EN"
  "http://www.w3.org/TR/html4/loose.dtd">
<html>
<head>
  <meta http-equiv="Content-Type" content="text/html; charset=UTF-8">
  <title>Form Fill Test Page</title>
</head>
<body>
<form name="mylogin" action="validatepassword.php" method="post"
  id="mylogin">
  <table align="center" border="0" cellpadding="4" cellspacing="4">
    <tr align="center" valign="top">
      <td>
        <p align="center"><font size="5">Novell Services Login</font></p>
      </td>
    </tr>
    <tr align="left">
      <td>Username:</td>
      <td><input type="text" name="username" size="30"></td>
    </tr>
    <tr align="left">
      <td>Password:</td>
      <td><input type="password" name="password" size="30"></td>
    </tr>
    <tr align="left">
      <td>City of Employment:</td>
      <td><input type="text" name="city" size="30"></td>
    </tr>
    <tr align="left">
      <td>Web server:</td>
      <td>
        <select name="webserv" size="1">
          <option value="default" selected>--- Choose a server ---</option>
          <option value="Human Resources">Human Resources</option>
          <option value="Development">Development</option>
          <option value="Accounting">Accounting</option>
        </select>
      </td>
    </tr>
  </table>
</form>
</body>
</html>
```
<option value="Admin">Admin<br></option>
<option value="Engineer">Engineer<br></option>
<option value="Manager">Manager<br></option>
<option value="Guest">Guest<br></option>

<tr>
    <td colspan="2" align="left" height="25" valign="top">
        <p></p>
    </td>
</tr>

<tr align="left">
    <td>Single Sign-on to the following:</td>
    <td>
        <input name="mail" type="checkbox">Mail<br>
        <input name="payroll" type="checkbox">Payroll<br>
        <input name="selfservice" type="checkbox">Self-service<br>
    </td>
</tr>

</table>
</td>
</tr>
</table>
</td>
</tr>
</table>
</form>
</body>
</html>
8.5.2 Creating a Form Fill Policy for the Sample Form

The sample form has ten input fields and five selection options that need to be configured in the Form Fill policy. The following steps explain how to create a shared secret to store the values and use that shared secret to create a Form Fill policy for this sample form. For information about configuring the form fill policy for a complicated form with JavaScript, see Section 8.5.6, "Configuring a Form Fill Policy for Forms With Scripts," on page 787.

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a display name for the policy and select Access Gateway: Form Fill for its type.
4. (Optional) Specify a description for the Form Fill policy. This is useful if you plan to create multiple Form Fill policies.
   You might want to specify the name of the HTML page that contains the form this policy is designed to fill.
5. In the Actions section, click New, then select Form Fill.
6. In the Form Selection section, select Form Name and specify mylogin in the text box. The form name comes from the HTML page. See the following line in the source for the page:

   `<form name="mylogin" action="validatepassword.php" method="post" id="mylogin">
   
7. In the Fill Options section, specify all the input fields and select options. For each new field, click New. Specify the fields in the order in which they appear on the form. For items that are not available in the other data types such as an LDAP or Liberty attribute, create shared secrets to store the value.

   The following table displays the Fill Options selected for each input field:

<table>
<thead>
<tr>
<th>Form Name</th>
<th>Fill Options</th>
</tr>
</thead>
</table>
   | username  | Input Field Name: username  
                     Input Field Type: Text  
                     Input Field Value: Credential Profile: LDAP Credentials: LDAP User Name |
   | password  | Input Field Name: password  
                     Input Field Type: Password  
                     Input Field Value: Credential Profile: LDAP Credentials: LDAP Password |
In the **Submit Options** section, fill in the following fields:

**Auto Submit**: Select this option to submit the form as soon as all the values are filled in. If this option is not selected, even though all the values are filled in for the user, the user must click the **Submit** button.

**Debug Mode**: Select the **Debug Mode** option, which allows you to verify that the information is correct before submitting the form. If values must be filled in, you first see the form to add the values. When the form is submitted, you are presented with a JavaScript that contains all of the name/value pairs. To submit the form, you need to click the **Submit** button.

**Insert Text in Header**: Select this option so you can add a static value. In the **Text to Insert** box, specify the city value.

```
city = Provo
```

To create a login failure policy, click **New** in the **Actions** section, then select **Form Login Failure**.

In the **Form Selection** section, select **Form Name** and specify **mylogin** in the text box. The form name comes from the HTML page.

---

<table>
<thead>
<tr>
<th>Form Name</th>
<th>Fill Options</th>
</tr>
</thead>
</table>
| **webserv** | **Input Field Name**: webserv  
**Input Field Type**: Select  
**Input Field Value**: Shared Secret: sampleLogin: webserv  
To create this shared secret, click **New Shared Secret**, specify **sampleLogin**, then click **OK**. Select **sampleLogin**, click **New Shared Secret Entry**, specify **webserv**, then click **OK**.  
For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.  
To add more entries to the same secret store, such as role and mail, you need to manage the secrets from Identity Server. Save your draft of the policy, then click **Devices > Identity Servers > Shared Settings > Custom Attributes**. Select the name of your secret store (in this example it is **sampleLogin**). Add the entries you need for role, mail, payroll, and selfservice. These names need to match the form name. |
| **role** | **Input Field Name**: role  
**Input Field Type**: Radio Button  
**Input Field Value**: Shared Secret: sampleLogin: role |
| **mail** | **Input Field Name**: mail  
**Input Field Type**: Checkbox  
**Input Field Value**: Shared Secret: sampleLogin: mail |
| **payroll** | **Input Field Name**: payroll  
**Input Field Type**: Checkbox  
**Input Field Value**: Shared Secret: sampleLogin: payroll |
| **selfservice** | **Input Field Name**: selfservice  
**Input Field Type**: Checkbox  
**Input Field Value**: Shared Secret: sampleLogin: selfservice |
In the Login Failure Processing section, fill in the following field:

**Clear Shared Secret Data Values from Policy:** Select this option to clear the data stored in the Shared Secret object when login fails. Select the name you have given to this policy.

Use the up-arrow button to move the Form Login Failure policy to the top of the policy list. You want the failure policy to execute first on login failure.

To create an Inject JavaScript policy, click New in the Actions section, then select Inject JavaScript. This option adds the configured JavaScript to a HTML page and is available only in interactive mode. For more information about creating an Inject JavaScript policy, see “Creating an Inject JavaScript Policy” on page 779.

In the Configure Javascripts section, select the option where you want the JavaScript inserted in the HTML page.

Click OK.

On the Policies page, click Apply Changes.

8.5.3 Implementing Form Fill Policies

Section 8.5.2, “Creating a Form Fill Policy for the Sample Form,” on page 766 section describes how to create a simple Form Fill policy for a few input fields. This section describes all available options and explains how to use them to create a Form Fill policy and a Login Failure policy.

- Section 8.5.3.1, “Designing a Form Fill Policy,” on page 768
- Section 8.5.3.2, “Creating a Form Fill Policy,” on page 773
- Section 8.5.3.4, “Creating a Login Failure Policy,” on page 779
- Section 8.5.3.5, “Creating an Inject JavaScript Policy,” on page 779
- Section 8.5.3.6, “Troubleshooting a Form Fill Policy,” on page 782

8.5.3.1 Designing a Form Fill Policy

Besides analyzing the form and determining the data items that need to be filled (see Section 8.5.1, “Understanding an HTML Form,” on page 763), you need to consider the following when designing the Form Fill policy:

- “Verifying the Content or Page Type of the Form” on page 768
- “Creating a Form Matching Rule” on page 769
- “Including JavaScript in a Form Fill Policy” on page 771
- “Form Fill Character Sets (UTF-8)” on page 773

**Verifying the Content or Page Type of the Form**

When configuring the protected resource that uses a Form Fill policy, the URL in the URL Path List must include the filename of the page that contains the form. Sometimes this is not possible. If the URL references a directory, Access Gateway has to parse the files that match the URL and determine which one contains the form.

Access Gateway Appliance checks the files for the following content types:
Creating a Form Matching Rule

To create a successful Form Fill policy, you need to create a matching rule that matches the policy to the HTML page that contains the form, and then matches the form on the page. Access Gateway uses the following rules, in the order listed, when determining whether a page contains the required form:

1. Matches the protected resource path in the URL with the page. If they don’t match, the page is rejected. If they match, continues. For more information, see “Using the URL of the Protected Resource” on page 769.

2. Checks for CGI criteria. If they don’t match, the page is rejected. If they match or no criteria is specified, continues. For more information, see “Using CGI Matching Criteria” on page 770.

3. Checks for page matching criteria. If they don’t match, the page is rejected. If they match or no page matching criteria is specified, continues. For more information, see “Using Page Matching Criteria” on page 770.

4. Checks the form name criteria (which can be the \texttt{<FORM>} name attribute, the \texttt{<FORM>} ID attribute, or a number). If it does not match, the page is rejected. If it matches, the form is processed. For more information, see “Using Form Name Criteria” on page 771.

When Access Gateway uses URL or CGI criteria, it can make a match early in the filling process. This allows Access Gateway to fill the data from the web server and send it, almost simultaneously, to the browser. However, if Access Gateway is configured to use page matching criteria, Access Gateway must retrieve the entire page from the web server, process it, and then determine whether the page needs to fill a form. All this processing must be completed before Access Gateway can send any data to the browser. Unless the page is quite small, users will clearly perceive the delay.

The form name matching criteria are not used for page matching. They are used to determine which form on the page is selected. Use the following methods to match the page and the form:

- Using the URL of the Protected Resource
- Using CGI Matching Criteria
- Using Page Matching Criteria
- Using Form Name Criteria

Using the URL of the Protected Resource

When you assign a Form Fill policy to a protected resource, we recommend that the URL specified in the URL Path List contain the filename of the page. Usually, such a URL is enough to match the HTML page for the form. However, when pages are dynamically generated, the same filename is sometimes used to display different pages. Sometimes you can’t specify the filename in the URL. When this is the case, you need to use either the CGI Matching Criteria or the Page Matching Criteria to create an accurate page matching rule.
Using CGI Matching Criteria

If the page for the URL changes with the CGI portion of the URL (the portion that follows the question mark (?) and also called the query string), you can enter the CGI value. For example, consider the following URL:


If this is your URL, you can enter Action=User.logout as the value in the text box for the CGI Matching Criteria option. If the page generated from this URL always contains the page you want to match, you do not need to add any additional page matching criteria.

Using Page Matching Criteria

If your URL of your protected resource has the following characteristics, you need to use page matching criteria:

- The URL does not contain any CGI data.
- The URL displays generated pages that vary in content. For example, if your form fill login page and the login failure page share the same URL, you need to use page matching criteria.

Page matching criteria are the most processing-intense form of matching and must be avoided if possible, but sometimes they are the only method available to identify the page with the correct form. For example, suppose you have a login failure page and login page that use the same URL, with no CGI data. You can use page matching criteria to ensure that Access Gateway matches the Form Fill policies for login and for login failure to the correct pages. You need to examine the source code for each page, and identify a string at the top of the page that uniquely identifies the page.

For example, the login page might contain a <TITLE> element that names the application the user is logging into. If the login failure page does not contain the same <TITLE> element, you can use the <TITLE> element to identity the login page. Suppose that this is true and the login page contains the following string:

<TITLE>Novell WebAccess</TITLE>

You would add this string as the value in the text box for the Page Matching Criteria option. Remember that white space is significant when white space is entered to the left of the value in the text box. To have Access Gateway ignore white space, left-justify the value in the text box, or to ensure the correct amount of white space, copy and paste the HTML text directly from the source code of the Web page.

Now you need to uniquely identify the login failure page. If this page does not have a <TITLE> element, look at the strings near the top of the page. Suppose the page contains the following string:

"Please log in again. You might have typed your name or password incorrectly."

Because the login page does not contain this string, you can use this string to identify the login failure page. You would add the following string as the value in the text box for the Page Matching Criteria option for the login failure Form Fill policy.

Please log in again.

To have Access Gateway ignore white space, left-justify the value in the text box, or to ensure the correct amount of white space, copy and paste the HTML text directly from the source code of the Web page.
Using Form Name Criteria

After identifying the page, Access Gateway needs to identify the form on the page. If there is only one form on the HTML page, Access Gateway can easily identify the form. If the form has a name or an ID attribute, you can use the value of the attribute to identify the form. If the form does not have either of these attributes, you can use the Number option with a value of 1. The first form Access Gateway finds on the page matches.

When multiple forms exist on the same HTML page, the easiest and fastest matching method is to give each form a unique name or unique ID on the HTML page. If the forms have the same name or ID, you need to use the Number option, and the order in which they appear on the page determines their number.

The value 0 for the Number option has special meaning. You use this value when you want the Form Fill policy to fill in values for all forms on the page. Sometimes a page has multiple forms, but all forms on the page must be filled in before the page can be submitted. For example, one form might contains user information and another form contain user preferences. If both of these forms need to be filled in before the user can log in, then you can use the Number option set to 0, and the Fill Options section of the policy can contain fields for both forms, in the order in which they appear on the page.

Including JavaScript in a Form Fill Policy

The following figure illustrates a simple form:

![Form Login Page](image)

The source code for this simple form reveals that it includes JavaScript functions:

```html
<html><head><title>Login Page</title></head><body>
<h1 align="center">Login Page</h1>
<script language="JavaScript">
  function setCookie(){
    document.cookie="myCookieName=myCookieValue";
  }
  function validate(){
    if(document.mylogin.title.ldap.length == 0){
      alert("You must provide the title for the user!");
      return false;
    }
    return true;
  }
</script>
</body></html>
```
The significant code snippets for determining whether to include JavaScript commands in the Form Fill policy are displayed in bold. The `<script>` elements are in bold because you need to be aware of all the JavaScript on the HTML page. Whether all the functions in the JavaScript need to be included in the policy is usually determined by trial and error. There are some clues you can use to determine the requirements:

- If a function is called within the form, you must include it in the Form Fill policy. The above form calls two JavaScript functions, `setCookie()` and `validate()`.

- If a function is not called by the form, you probably do not need to include it. The above form has one JavaScript function that falls within this category, `doCookie`. You can probably leave out these types of functions, but only trial and error can determine whether that is true.

For this form, select the **Auto Submit** option and the **Enable JavaScript Handling** option. If you wanted to test whether the `doCookie()` function was needed, you would specify the following in the **Functions to Keep** text box:

```javascript
function setCookie()
function validate()
```
Each function needs to be placed on a separate line. This feature does a string compare, so the string after the function key word must match exactly a string in the JavaScript.

**Form Fill Character Sets (UTF-8)**

Access Manager Appliance supports only UTF-8 encoding (UCS Transformation Format 8) and ISO 8859-1. Otherwise, Form Fill translations to the secret data store cannot be guaranteed.

### 8.5.3.2 Creating a Form Fill Policy

1. Examine the source code for the HTML form and determine what data the form requires and where that data is stored (LDAP attributes, Liberty User Profile attributes, shared secrets, credential profiles, etc.)

   Ideally, the form must be its own HTML page, and the page must be as small as possible. Form Fill must parse the entire file and assemble the body in contiguous memory before the first byte of the form is displayed to the user. For a large file, this can take enough time that your users might think the system has a problem.

   If it isn’t possible to have the form on its own HTML page, ensure that the form is easily identifiable on the page. For example, give the form a name or use CGI data (the text that follows the question mark in the URL) to identify the page and form.

2. In Administration Console Dashboard, click Policies > Policies.
3. Select the policy container, then click New.
4. Specify a name for the policy, select Access Gateway: Form Fill as its Type, then click OK.
5. Fill in the following fields:
   - **Description:** (Optional) Describe the purpose of this policy. Because Form Fill policies are customized to match the content of a specific HTML page, you might want to include the name of the page as part of the description.
   - **Priority:** Determines the order in which a rule is applied in the policy, when the policy has multiple rules. Form Fill does not use this field.
6. In the Actions section, click New and select Form Fill.
7. In the Form Selection section, specify how Access Gateway can identify the form on the page. Select one or more of the following methods. Be specific and use as few of the methods as possible. For information about how to use these options effectively, see “Creating a Form Matching Rule” on page 769.

   **Form Name:** Identifies the form on the HTML page. Select one of the following:
   - **Form Name:** If the `<form>` element on your HTML page specifies a name attribute, select Form Name and specify the value of the name attribute in the text box. For example, suppose your form contains the following:

     ```html
     <form name="mylogin" action="validatepassword.php" method="post" id="form1">
     ```

     For this form, you would specify mylogin in the text box.
   - **Form Number:** Access Gateway numbers forms sequentially from the top of the HTML page. If your page has multiple forms, you can use Form Number option and specify the form’s sequential location in the text box.
   - **Form ID:** If the `<form>` element on your HTML page specifies an id attribute, select Form ID and specify the value of the id attribute in the text box.
For example, if your form contains the following:

```html
<form name="mylogin" action="validatepassword.php" method="post" id="form1">
</form>
```

For this form, you would specify form1 in the text box.

For more information, see "Using Form Name Criteria" on page 771.

**CGI Matching Criteria:** Allows Access Gateway to evaluate the query string in the URL (the portion after the question mark) to differentiate pages that have the same URL. Consider the following URL:


For this URL, enter the following string in the text box for CGI Matching Criteria:

`Action=User.login`

If possible, copy the text from the form and paste it into the CGI Matching Criteria text box.

For more information, see "Using CGI Matching Criteria" on page 770.

**Page Matching Criteria:** Causes Access Gateway to search the HTML page for the specified text. If the specified text is found on the page, the page is a match for the policy. If it isn’t found, the page is not a match for the policy and the policy is not applied. For example, suppose your HTML page has the following string within the `<FORM>` element:

```html
<title>Form Fill Test Page</title>
```

If you enter this string in the Page Matching Criteria box, Access Gateway searches the form for this string. If it finds the string, it knows it has a match.

White space is significant. If the text in the text box is left-justified, the text can be found anywhere on the HTML page. If the text contains leading white space, such as ten spaces, the text must be found with ten leading spaces. If possible, copy the text as it appears on the form and paste it into Page Matching Criteria text box.

The more specific your information is, the faster Access Gateway can match the form. Parsing page matching criteria is a very intensive process. If possible, use the URL path specified for the protected resource or CGI Matching Criteria to identity the form. For more information, see "Using Page Matching Criteria" on page 770.

8 In the Fill Options section, create an entry for all the input fields and select options in the form. For each input field or select option, you need to specify the following information:

**Input Field Name:** Specifies the name of the field or option. This is the name attribute or the ID attribute of the element on the form.

**NOTE:** If both name and ID attributes are available, always specify the name attribute in Input Field Name. If you specify the ID attribute, form fill does not work.

If only the ID attribute is available, the form gets filled with values, but auto submit does not work.

**Input Field Type:** Specifies the type attribute for the input field or select option in the form. Select one of the following data types for the field:

- **Text:** Indicates that the field is a text field on the form.
- **Password:** Indicates that the field is a password field on the form.
- **Checkbox:** Indicates that the field is a check box on the form.
- **Radio Button:** Indicates that the field is a radio button on the form.
- **Select:** Indicates that the field is a select option on the form.
- **Hidden:** Indicates that the field is an input field, but that this field is hidden from the user.
- **Not Specified:** Indicates that the field is an input field, but the data type is not specified in the form.

**Input Field Value:** Specify the value for the field. You must specify the data type, then enter the value. Select one of the following data types:

- **Credential Profile:** Specifies that the value must be retrieved from the credentials the user specified during authentication. If you have created a custom contract that uses credentials other than the ones listed below, do not use the Credential Profile as an input value.
  - **LDAP Credentials:** If you prompt the user for a username and password, select this option, then either **LDAP User Name** (the cn of the user) or **LDAP User DN** (the fully distinguished name of the user). Your web server requirements determine which one you use.
    
    The default contracts assign the cn attribute to the Credential Profile. If your user store is an Active Directory server, the SAMAccountName attribute is used for the username and stored in the cn field of the LDAP Credential Profile.
  - **X509 Credentials:** If you prompt the user for a certificate, select this option, then select one of the following option depending on your web server requirements.
    - **X509 Public Certificate Subject:** Specifies that the subject field from the certificate must be the value, which can match the DN of the user, depending upon who issued the certificate.
    - **X509 Public Certificate Issuer:** Specifies that the issuer field from the certificate must be the value, which is the name of the certificate authority (CA) that issued the certificate.
    - **X509 Public Certificate:** Specifies that the entire certificate must be the value.
    - **X509 Serial Number:** Specifies that the certificate serial number must be the value.
  - **SAML Credential:** Injects the SAML assertion as the value of the field when SAML is used for authentication. This value is usually used for the user’s password.
  - **LDAP Attribute:** Indicates that the value must be retrieved from the specified LDAP attribute. If the attribute you require does not appear in the list, click **New LDAP Attribute** to add the attribute.
    
    The **Refresh Data Every** option allows you to determine when to send a query to the LDAP server to verify the current value of the attribute. Because querying the LDAP server slows down the processing of a policy, LDAP attribute values are normally cached for the user session.
    
    Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes.
  - **Liberty User Profile:** Indicates that the input field contains a Liberty User Profile attribute. In the value field, select the attribute. The attribute you select must be mapped to an LDAP attribute, and Access Gateway retrieves its value from the LDAP directory.
  - **Shared Secret:** Indicates that the input field contains a user-entered value that is to be stored in the specified shared secret store.
    
    You can create your own value. Click **New Shared Secret**, specify a display name for the store, and Access Manager Appliance creates the store. Select the store, click **New Shared Secret Entry**, specify a name for the attribute, then click **OK**. The store can contain one name/value pair or a collection of name/value pairs. For more information, see Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.
NOTE: To store user-entered value in Shared Secret, ensure that you have specified the name attribute in Input Field Name. The ID attribute does not work with Shared Secret.

The Refresh Data Every option allows you to determine when to send a query to verify the current value of the secret. Because querying slows down the processing of a policy, secret values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those secrets that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes.

- **Virtual Attribute**: Indicates that the value must be retrieved from the specified virtual attribute.

The Refresh Data Every option allows you to determine when to send a query to verify the current value of the virtual attribute. Because querying slows down the processing of a policy, the virtual attribute values are normally cached for the user session.

Change the value of this option from session to a more frequent interval only on those attributes that are critical to the security of your system or to the design of your work flow. You can select to cache the value for the session, for the request, or for a time interval varying from 5 seconds to 60 minutes. For more information, see “Using the Refresh Data Option” on page 743.

- **String Constant**: Indicates that the input field contains a static value. In the text box, specify the value for the string constant.

- **Data Extension**: (Conditional) If you have installed a data extension for Form Fill policies, injects the value that the extension retrieves. For more information about creating a data extension, see NetIQ Access Manager Developer Tools and Examples (https://www.netiq.com/documentation/access-manager-43-developer-documentation/).

NOTE: To improve the policy's performance, configure the LDAP Attributes, Credential Profile, Liberty User Profile, and Shared Secret attributes to be sent with authentication. For more information, see “Configuring the Attributes Sent with Authentication” on page 161.

**Data Conversion**: Specify whether the case of the value entered by the user must be converted. Select one of the following options:

- **None**: Indicates that no conversion must be performed on the value.

- **To Upper Case**: Indicates that the value must be converted to uppercase.

- **To Lower Case**: Indicates that the value must be converted to lowercase.

- **LDAP DN to NDAP Partial Dot Notation**: Converts the LDAP DN (which uses typed comma notation) to eDirectory™ typeless dot notation.

  \[ cn=jsmith,ou=Sales,o=novell \] to \[ jsmith.sales.novell \]

- **LDAP DN to NDAP Leading Partial Dot Notation**: Converts the LDAP DN to eDirectory typeless leading dot notation.

  \[ cn=jsmith,ou=Sales,o=novell \] to \[ .jsmith.sales.novell \]

- **LDAP DN to NDAP Fully Qualified Partial Dot Notation**: Converts the LDAP DN to eDirectory typed dot notation.

  \[ cn=jsmith,ou=Sales,o=novell \] to \[ cn=jsmith.ou=Sales.o=novell \]
- **NDAP Fully Qualified Leading Dot Notation**: Indicates eDirectory typed leading dot notation.

```
.cn=jsmith.ou=Sales.o=novell
```

**Shared Secret Type**: This option allows you to choose how the value you specified in the HTML form must be stored in the shared secret store.

- **None**: When you select this default option, the value that you specified in the HTML form will be stored and retrieved from the shared secret store on subsequent login.
- **Remember**: This option allows you to only store the value that you specified in the HTML form to the shared secret store.
- **Fill**: This option allows you to only retrieve the value from the shared secret store.

**Example 8-3 Configuring a Form Fill Policy to Change Password Using Different Shared Secret Types**

For example, if you want to change password on a HTML form, the form will have **Old Password**, **New Password**, and **Confirm Password** fields. While configuring the Form Fill policy, on the password change page, select the shared secret type as **Fill** for the **Old Password** field. For the **New Password** and **Confirm Password** fields select shared secret type as **Remember**. All the three input field names must point to the same shared secret entry.

When you access the password change page, the old password will be auto filled. The **New Password** and **Confirm Password** fields will be blank. Enter the **New Password** and **Confirm Password** fields and submit the page. The **Old Password** will be replaced with the **New Password** in the shared secret entry.

9 In the **Submit Options** section, specify how you want the information in the form submitted to the web server. (The HTML form page determines whether the post method or the get method is used for the submission.) Select one or more of the following options:

- **Auto Submit**: Indicates that you want the form submitted to the web server without having the user confirm the submission by clicking a **Submit** button. If this option is not selected, Form Fill can fill in the data, but the user must click the **Submit** button before the data is sent to the web server. When the form is not auto submitted, all the JavaScript on the form is executed.

If you select **Auto Submit**, you can select one or more of the following options:

- **Debug Mode**: Allows you to verify that the information in the filled-in form is valid before it is posted to the web server. You can right-click and view the source that is being submitted to the web server. If it is correct, click **Submit** to send it to the web server.

    This is a troubleshooting option. We recommend that you use it when creating a new Form Fill policy, and that you remove it when you have determined that the policy is behaving as expected.

- **Mask Data**: Replaces text input field values (username, password, etc.) with nov-ss-ff-masked instead of the value specified by the value parameter when the form is sent to the browser. Access Gateway replaces these masked values with the real values when Access Gateway submits the form to the web server. The user’s browser never sees the actual values for these fields.

- **Detect Loop**: In some scenarios, Form Fill processor tries to auto submit the form and every time login fails, the form fill request goes into infinite loop. The Login Failure policy cannot handle the following scenarios:

    - When a web server returns the same login page to Access Gateway after login failure.
    - When a web server uses URL redirection or forwarding method to redirect a user to the same login page after login failure.
If you have selected Auto Submit, you can select the Detect Loop option. This option allows you to detect the loop and auto submit stops. Access Manager will now ask you to fill the form in an interactive mode.

This is achieved by creating a cookie in the browser, which will calculate the number of times the same form is posted to Access Gateway in a given period of time. These values are set to 3 submits in 6 seconds.

**Limitations:**
- Use these options only when the Login Failure policy cannot detect or handle looping.
- When web server returns a different login form depending on a query-string or CGI portion of the URL, these options may not work as expected.

**Insert Text in Header:** If this option is selected, you can use the Text to Insert option to specify text to add to the header. Use this option to insert static values into the form.

**Enable JavaScript Handling:** Retains JavaScript from the original page if you have also selected the Auto Submit option. For a new Form Fill policy, you must also select the Debug Mode option so you can verify that you have included all the functions and statements that need to be executed in the policy.

Use the following fields to specify how you want the JavaScript handled:

- **Functions to Keep:** Specifies the functions you want executed from the JavaScript on the original page. By default, no functions on the page are executed. In the text box, use the following format:

  ```javascript
  function setCookie()
  ```

  where function is a key word, followed by a space, and then the name of the function. Each function must be entered on a separate line, but you need only one function per script block. Everything must match exactly (name, capitalization, white space.) If you include the parentheses after the function name (setCookie()), they must exactly match the white space in the JavaScript. If possible, copy the function from the HTML page.

- **Statements to Execute on Submit:** Specifies the functions you want executed just before the form is posted. Copy the JavaScript statement from the HTML page or add a JavaScript statement that you want called that is not on the HTML page. This allows you to modify the behavior of the form when you can’t modify the form.

  If the text box is empty, the JavaScript function specified in the submit field of the HTML page executes before the form is posted.

  For more information, see “Including JavaScript in a Form Fill Policy” on page 771.

10 In the Error Handling section, specify how you want errors handled.

11 **Redirect to URL:** When an LDAP or NSS error occurs, the user is redirected to the URL you specify in the text box. This is optional and allows you to customize the error handling process. If you do not customize it, a standard error page is displayed.

12 Click OK, then click Apply Changes.

12 Continue with “Creating a Login Failure Policy” on page 779 or “Assigning a Form Fill Policy to a Protected Resource” on page 113.
8.5.3.4 Creating a Login Failure Policy

The Login Failure policy can be part of the same policy as the Form Fill policy, if both share the same URL. In this case, the Form Login Failure policy must be the first action in the policy, and the Form Fill policy must be the second action in the policy. This causes a login failure to execute the policy that clears the stored data and the Form Fill policy to prompt the user for new data.

If the user is redirected to a different page when login fails, it is best to create a separate policy for that page, create a protected resource that includes just that page, and assign your Form Login Failure policy to that resource.

To create a Login Failure policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, select Access Gateway: Form Fill as its Type, then click OK.
4. In the Actions section, click New > Form Login Failure.
5. In the Form Selection section, identify the form. This section uses the same criteria for identifying a form as the Form Fill policy. For more information, see Step 7 on page 773 and “Creating a Form Matching Rule” on page 769.
6. In the Login Failure Processing section, define the actions you want executed when a user fails to log in. Fill in the following fields:
   - **Redirect to URL:** When a user’s login attempt fails, use this option with its text box to specify the URL you want the user redirected to. This is optional and allows you to customize what happens on login failures.
   - **Clear Shared Secret Data Values From Policy:** Select this field to delete the user’s stored data for a Form Fill policy. If the user has the ability (and perhaps the requirement) to periodically change his or her password or any other information about the form, you need to select this field. Otherwise, the wrong data can be stored for the user, and Access Gateway has no way of updating the information.

   From the list of Form Fill policies, select the policy whose stored values must be cleared with this Login Failure policy.
7. Click OK > Apply Changes.
8. Continue with “Assigning a Form Fill Policy to a Protected Resource” on page 113.

8.5.3.5 Creating an Inject JavaScript Policy

The Inject JavaScript policy adds the configured JavaScript to a protected resource page, when used in the interactive mode. You can create a standalone Inject JavaScript policy. You can also use this policy with the Form Fill policy. When you use the Form Fill policy with this one, configure the actions in the following sequence:

1. Form Login Failure policy
2. Form Fill policy
3. Inject JavaScript policy

When the Inject JavaScript policy is configured along with the Form Fill policy, ensure that Auto Submit is not enabled for the Form Fill policy. In the Configure Javascripts section, select the option where you want to insert JavaScript in the HTML page. The following are examples based on the option you have selected.

In the head block
Selecting this option inserts the following JavaScript in the header:

```html
<html>
<head>
<script language="JavaScript">
  alert("Head");
</script>
</head>
</html>
```

At the beginning of the body block

Selecting this option inserts the following JavaScript just after the `<body>` tag.

```html
<title> Test Java Script</title>
<body>
<script language="JavaScript">
  alert("Begin Body");
</script>
</body>
</html>
```

At the end of the body block

Selecting this option inserts the following JavaScript just before the `</body>` tag.

```html
BODY starts. <br>
Inject Java Script. <br>
BODY ends. <br>
<script language="JavaScript">
  alert("End Body");
</script>
</body>
</html>
```

To create an Inject JavaScript policy:

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a name for the policy, select Access Gateway: Form Fill as its Type, then click OK.
4. In the Actions section, click New > Inject JavaScript.
5. In the Form Selection section, select the criteria. If you are creating a standalone Inject JavaScript policy, specify the criteria. For more information, see "Using CGI Matching Criteria" on page 770 and "Using Page Matching Criteria" on page 770. When you use the Inject JavaScript policy with the Form Fill policy, it uses the same criteria as that of the Form Fill policy.

   **NOTE:** If you do not specify a criteria in the Form Selection section, the Inject JavaScript policy is applied to all protected resource pages.

6. Click OK > Apply Changes.
7. Continue with "Assigning a Form Fill Policy to a Protected Resource" on page 113.
Sample Inject JavaScript Policy

The script in this example assumes that the contract timeout is five minutes. After four minutes, the user gets a popup message (timeout.html) with an option to refresh the page. If the user clicks on the option, the session is extended. If the user closes the popup or does not respond to the message, the system executes AGLogout and the session gets terminated.

Perform the following steps:

1. Configure a Form Fill policy to insert Java Script into the head block of an HTML page.
   1a. Go to Policies > New.
   1b. Specify a name for the policy and select the type as Access Gateway: Form Fill.
   1c. In the Actions section, click New and then select Inject JavaScript.
   1d. Define a CGI matching Criteria or Page Matching Criteria.
   1e. Under the Configure Javascript section, select In Head Block.
   1f. Click Configure JavaScript and copy the following script:

   ```javascript
   <script language="JavaScript">
   var x;
   var timerID ;

   function timeoutClock()
   {
   if(x==60) // 60 seconds is the session time left when the session expire
   warning message appears.
   {
   newwindow =
   window.open('timeout.html','toWindow','toolbar=no,menubar=no,resizable=no,
   scrollbars=no,status=no,location=no,width=300,height=200');
   }
   if(x==0)
   {
   }
   x=x-1;
   var t=setTimeout(function() {timeoutClock() } ,1000);
   }
   function resetClock()
   {
   clearTimeout(timerID);
   x = 300; //5 Minutes. This is the contract timeout defined.
   timeoutClock();
   }
   </script>
   
   In this script, set the value of x inside the function resetClock() according to the contract time out. In the example, x is set 300 that is equivalent to five minutes. Also, modify the following link in the script based on your configuration. This is a simple AGLogout link.
   window.location.href = 'https://www.ag1.com:443/AGLogout'
   
   1g. Click OK > Apply Changes.

2. Assign the policy to a protected resource.
   For more information, see "Assigning a Form Fill Policy to a Protected Resource" on page 113.
3 Ensure to call the resetClock() function in the body tag of the HTML page (<body
onload=resetClock();>). This initializes the counter to 300 every time the page is loaded.

4 Create a timeout.html page, which contains warning message for the user that the session is
going to end soon. The content of timeout.html can be as follows:

<script type="text/javascript">
var howLong = 60000;
function closeMe()
{
    var t = setTimeout(function() {self.close()}, howLong);
}
function closeCurrentWindow()
{
    window.close();
}
</script>
Click <a href="javascript:window.opener.location.href = window.opener.location.href;window.close()">[here]</a> to refresh now. 
<br>Else you will be logged out in 60 seconds
<body onload=closeMe();
Timeout
</body>

8.5.3.6 Troubleshooting a Form Fill Policy

When a new Form Fill policy is not behaving as expected, use the following tips to discover the
cause:

- Select the Debug Mode option. This option prepares the form for submission, but does
  not submit the form until you click the Submit button. This allows you to view the source, and
determine if the policy is generating the required data.

- Ensure that all input fields have valid names, that the fields are being filled in the correct order,
  and that any JavaScript commands have been entered correctly.

- Enable Form Fill logging. Form Fill is a function of both the proxy service and the Embedded
  Service Provider. The Embedded Service Provider logs the evaluation of the policy, and the
  proxy logs the process of gathering the data. To enable the Embedded Service Provider tracing,
  see Section 21.6, “Turning on Logging for Policy Evaluation,” on page 943. To enable Access
  Gateway log entries for Form Fill policies, see “Enabling Form Fill Logging” on page 1138.

Check for the following problems with the source content of the Form Fill page:

- “Valid HTML Structure” on page 782
- “The Option Element Does Not Contain a Value Attribute” on page 783
- “The Form Element Does Not Contain a Method Attribute” on page 783

Valid HTML Structure

The Form Fill process aborts if the page does not contain valid HTML structure. The page must
contain the <html></html> tags, and the form must contain the <form></form> tags. If these tags
are missing, you must correct the source page on the web server. If this is not possible, you can
create a rewriter policy to add the tags.

- To add the <html> tag, have the rewriter policy search for the <body> tag, and replace it with
  <html><body>.
To add the \texttt{</html}> tag, have the rewriter policy search for the \texttt{</body>} tag, and replace it with \texttt{</body></html>}.

Use similar entries to add the \texttt{<form></form>} tags. You'll need to discover which tag or phrase starts and stops the form.

Configure your rewriter policy so that it runs before the default rewriter policy. For more information about rewriter policies, see Section 3.8.5, “Configuring HTML Rewriting,” on page 117.

### The Option Element Does Not Contain a Value Attribute

If an \texttt{<option>} element does not contain a value attribute, Form Fill cannot fill the value. For example:

\begin{verbatim}
<form action="select.htm">
  <select name="top2">
    <option>Bob</option>
    <option>Alice</option>
  </select>
</form>
\end{verbatim}

If your form contains \texttt{<option>} elements similar to these, they need to rewritten to contain a value attribute. For example:

\begin{verbatim}
<form action="select.htm">
  <select name="top2">
    <option value="name1">Bob</option>
    <option value="name2">Alice</option>
  </select>
</form>
\end{verbatim}

If possible, change the source page on the web server to add the value attribute to the \texttt{<option>} elements. If this is not possible, you can use a rewriter policy to add the value attribute.

For the Bob option, have the rewriter policy search for \texttt{<option>Bob} and replace it with \texttt{<option value="name1">Bob}.

For the Alice option, have the rewriter policy search for \texttt{<option>Alice} and replace it with \texttt{<option value="name1">Alice}.

Configure your rewriter policy so that it runs before the default rewriter policy. For more information about rewriter policies, see Section 3.8.5, “Configuring HTML Rewriting,” on page 117.

### The Form Element Does Not Contain a Method Attribute

If the \texttt{<form>} element does not contain a method attribute, Form Fill does not run an Auto Post. For example, the following form cannot use an Auto Post.

\begin{verbatim}
<form name="loginForm">
</form>
\end{verbatim}

To enable Form Fill so that it can run an Auto Post, you need to add a method attribute to the \texttt{<form>} element. For example:

\begin{verbatim}
<form method="get" action="index.htm" name="loginForm">
</form>
\end{verbatim}

If possible, change the source page on the web server to add the method attribute to the \texttt{<form>} element. If this is not possible, you can use a rewriter policy to add the method attribute.

Search for \texttt{<form}

Replace this string with \texttt{<form method="get" action="index.htm"}
Configure your rewriter policy so that it runs before the default rewriter policy. For more information about rewriter policies, see Section 3.8.5, “Configuring HTML Rewriting,” on page 117.

8.5.4 Creating and Managing Shared Secrets

A shared secret is an object that holds name and value pairs for Form Fill and Identity Injection policies.

- If your HTML form prompts the user for more than credential information, you need to create a shared secret to store the values.
- If your web server requires some name/value pairs to be injected and these are not available from the HTTP request, you need to create a shared secret to store these name/value pairs so that they can be injected into the header before it is sent to the web server.

Access Manager Appliance supports the creation and use of secrets from the following locations:

- In the local configuration store
- In eDirectory user stores that are running Novell SecretStore
- In a user store that has been configured with a custom attribute for secrets

**NOTE:** Before using Access Manager to store and encrypt secrets, ensure that you choose your Preferred Encryption Method and change the default Encryption Password Hash Key value. If either of these options is changed after any secrets are stored, Access Manager will not be able to retrieve the secrets.

For more information about configuring Access Manager Appliance to store secrets, see “Configuring a User Store for Secrets” on page 296.

This section describes the following topics:

- Section 8.5.4.1, “Naming Conventions for Shared Secrets,” on page 784
- Section 8.5.4.2, “Creating a Shared Secret Independent of a Policy,” on page 785
- Section 8.5.4.3, “Modifying and Deleting a Shared Secret,” on page 786

8.5.4.1 Naming Conventions for Shared Secrets

The policy engine allows you to create shared secrets and name the attributes for the store as you are creating an Identity Injection or Form Fill policy. When you create the shared secret, we recommend that you name the shared secret after the application for which you are creating the policy. Each value requires a name, and we recommend that you use the same name for the value name as the Input Field Name on a Form Fill policy or for the header name on an Identity Injection policy. For example if your e-mail application requires the e-mail address for the name on the login form, you could set up the following Shared Secret values:

<table>
<thead>
<tr>
<th>Input Field Name</th>
<th>Input Field Value</th>
<th>Shared Secret Name</th>
<th>Entry Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>emailaddress</td>
<td>Shared Secret</td>
<td>emailapp</td>
<td>emailaddress</td>
</tr>
</tbody>
</table>
Your applications, how you use them, and your personal preferences determine whether you create one shared secret and use it for all your applications or whether you create a shared secret for each application.

- If the applications use some of the same secrets, you can use the same shared secret for these applications. In this case, give the shared secret a name that reflects all of the applications using it.
- If an application does not use the same secrets as another application and you want the freedom to remove the application and its secrets without affecting other applications, you must create a separate shared secret for this application.
- If you are using Novell SecretStore, the secret names specified in your Access Manager Appliance policies need to match the names you have already configured.

A local shared secret store does not contain any name/value pairs until you configure a Form Fill policy to add name/value pairs or enable the Allow End Users to See Credential Profile option. This option allows the username and password to be stored in the local secret store. To set this option, click Devices > Identity Servers > Edit > Liberty > Web Service Providers > Credential Profile.

**NOTE:** You can create/edit/delete the values of a shared secret in the following scenarios:

- When you use a Form Fill policy.
- When you login to Identity Server and use the default landing page. Click on Profile > My Profile > Credentials > Credential List. This will be allowed only after enabling the Allow End Users to See Credential Profile as mentioned above.
- When you use other NetIQ products such as Identity Manager and Secure Login. This can be used if you are using external eDirectory secret store.
- The Identity Injection policy can use the shared secrets, but will not allow to create/edit/delete the values of shared secrets.

### 8.5.4.2 Creating a Shared Secret Independent of a Policy

You can create a shared secret as part of the process of creating a Form Fill or Identity Injection policy. You can also create a shared secret independent of a policy:

1. In Administration Console Dashboard, click Devices > Identity Servers, then click Shared Settings > Custom Attributes.
2. To create a new shared secret, click New in the Shared Secret Names section, and fill in the following fields:
   - **Secret Name:** Specify a display name for the shared secret.
   - **Secret Entry Name:** Specify an attribute name for a value you want to store.
3. Click OK.
   - Identity Server creates and encrypts the object.
4. To create additional attributes to store values, click the secret name, click New, specify a name, then click OK.
5. Click OK.
8.5.4.3 Modifying and Deleting a Shared Secret

Before deleting a shared secret, you need to delete the policies that are using the shared secret or modify the policies to use a different shared secret. For information about deleting policies, see “Deleting Policies” on page 644.

Both Form Fill and Identity Injection policies can use shared secrets. The following instructions explain how to modify an Identity Injection policy to use a new shared secret and then how to delete the old shared secret.

1. In Administration Console Dashboard, click **Policies** > **Policies** > [Name of Policy] > [Rule].
2. Select the **Value** field that uses the shared secret you want to delete. Click its name, then click **New Shared Secret**.
3. Specify the name for a new shared secret, then click **OK**.
4. Click the name of the shared secret, select the new shared secret store, then click **New Shared Secret Entry**.
5. Specify the attribute name for this shared secret entry, then click **OK**.
6. Modify any other **Value** fields to use the new shared secret. Create new attributes as needed.
7. To save the modifications to the policy, click **OK** twice, then **Apply Changes**.
8. To delete the old shared secret, click **Identity Servers** > **Shared Settings** > **Custom Attributes**.
9. Select the name of the old shared secret and the attributes, then click **Delete**.

8.5.5 Importing and Exporting Form Fill Policies

You can import and export the Form Fill policies to run them in other Access Manager Appliance configurations and to analyze the policy. The policy is exported as a text file with XML tags. We do not recommend editing the exported file with a text editor. Any changes you want to make to a policy ought to be done through Administration Console.

To export a Form Fill policy:

1. In Administration Console Dashboard, click **Policies** > **Policies**.
2. Select a Form Fill policy, then click **Export**.
3. (Optional) Modify the name suggested for the file.
4. Click **OK**.
5. Using the features of your browser, specify where the file is be copied.

To import a policy:

1. Ensure that any referenced shared secret stores have been created. See Section 8.5.4, “Creating and Managing Shared Secrets,” on page 784.
2. If the policy uses LDAP or Liberty Profile attributes, ensure that Identity Server has been configured for these same attributes.
3. In Administration Console Dashboard, click **Policies** > **Policies**.
4. Click **Import**, then browse to the location of the file.
5. Click **OK**.
6. When the policy appears in the list, click **Apply Changes**.
8.5.6 Configuring a Form Fill Policy for Forms With Scripts

The Form Fill policy created for Access Gateway works well with forms that contain a Submit button whose onclick action submits the form data to the web server without executing any JavaScript or VBScript. However, when HTML forms contain complicated JavaScript or VBScript, Form Fill for that form fails.

For example, single sign-on by using the Form Fill policy to fill and autosubmit a form fails if the Submit button or the login button requires execution of a JavaScript function before submitting the form data to the web server.

The following sections explain why Form Fill fails with the Form Fill policy when the HTML form contains complicated JavaScript. This section also describes the procedure to configure a Form Fill policy for such forms.

- Section 8.5.6.1, "Why Does Form Fill Fail with the Default Policy?," on page 787
- Section 8.5.6.2, "Understanding How a Form Is Submitted," on page 789
- Section 8.5.6.3, "Creating a Form Fill Policy for Autosubmission," on page 790
- Section 8.5.6.4, "Configuring the Advanced Options for Autosubmission," on page 790

8.5.6.1 Why Does Form Fill Fail with the Default Policy?

The following section explains the process that takes place when a client requests a form that is configured with the Form Fill policy as described in Chapter 8.5, “Form Fill Policies,” on page 762.

Figure 8-28 Sample Login Form with JavaScript

When Access Gateway is configured with the default Form Fill policy, it adds the following function to the Login page received from the web server. The bold text indicates where JavaScript is called.

```html
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.0 Transitional//EN">
<!--Generated by Apache Software Foundation (Xalan XSLTC)-->
<html class="detail/detail">
<head>
    <META http-equiv="Content-Type" content="text/html; charset=UTF-8">
    <script type="text/JavaScript">
        /*SET up global vars*/
        //all the variable declaration

        <script type="text/JavaScript"> function dvdRegisterSelect()
        {
        } </script>

    <title>Login Page</title>
</head>
</html>
```
In the above code, the `LAGSubmitForm()` function calls the default submit action of the form, which uses a POST request to send the data to the web server. But the submit action for the sample login form requires a JavaScript function to be executed. This function in turn submits the form data to the web server. However, because the JavaScript is not executed by the default Form Fill policy, posting of the form data fails:

```
row=&__x=&thread=0&event=0&transaction=0&type=detail&focus=var%2Fuser.id&focusContents=testuser1&focusId=X2&focusReadOnly=&start=&count=&more=&tablename=&window=&close=&_blankFields=&_uncheckedBoxes=&formchanged=&formname=login.prompt.g&_multiSelection=&_multiSelection_tableId=&clientWidth=1473&var%2Fuser.id=testuser1&var%2Fold.password=novell081&var%2FLanguage=en&0=Login&3=Cancel
```
Meanwhile, the browser expects to receive the following POST request and does not autosubmit the form:

```
row=&__x=&thread=0&event=0&transaction=0&type=detail&focus=var%2Fuser.id&focusContents=testuser1&focusId=X2&focusReadOnly=null&count=&more=&tablename=&window=&close=&_blankFields=&UncheckedBoxes=&formchanged=&formname=login.prompt.g&MultiSelection=&multiSelection_tableId=&clientWidth=1217&var%2Fuser.id=testuser1&var%2Fold.password=novell081&var%2FL.language=en
```

Note the difference in POST requests sent to the browser. The first POST request has `&0=Login&3=Cancel` appended, which causes the login to fail.

For the browser to send the proper POST data, Access Gateway must add the following JavaScript statement to the `Statements to execute` section:

```
tpzDrillTable('','Login','0','listdetail');
```

### 8.5.6.2 Understanding How a Form Is Submitted

For Access Gateway Appliance, you can configure the Form Fill policy to submit the form in the following ways:

- **Manual Submit**: When a form is configured for manual submission, all the fields configured in the Form Fill policy are automatically filled by Access Gateway for the user. The user must then manually click the **Submit** button in the form to submit the form to the web server protected by Access Gateway.

- **Autosubmit**: When Autosubmit is configured, the actual form is processed in such a way that all additional scripts not required to submit the form data to the web server are removed. A temporary form is created on runtime with necessary form data in hidden format and with an additional **LAGSubmitForm()** function as follows:

  ```javascript
  function LAGSubmitForm()
  {
    executeJavaScript();
  }
  LAGSubmitForm();
  ```

  In this example, **executeJavaScript()** is the function that executes the JavaScript or the VBScript statements configured in the `Statements to execute` section. If statements to be executed are present, you can also find the function definition for **executeJavaScript()** as follows:

  ```javascript
  executeJavaScript()
  {
    document.forms[0].submit();
  }
  ```

  In this example, **form[0]** is the single form in the HTML page and **submit** is the default action associated with the submit or login button of the form that automatically submits the form to the web server. This approach works for forms where the default action of the **Submit** button is to submit a POST request for the form data.

- **Autosubmit with Masking**: When Autosubmit with masking is enabled for a form, the form data is submitted automatically to the web server, but the data sent to the Web browser over the network is masked for additional security.
Submit the help of advanced options: If your form requires the execution of JavaScript when the form is submitted, you cannot use the Autosubmit options. This also means that single sign-on is disabled.

To create a policy that allows autosubmitting for this type of form, you need to create the policy as described in “Creating a Form Fill Policy for Autosubmission” on page 790 and create two advanced options as described in “Configuring the Advanced Options for Autosubmission” on page 790.

8.5.6.3 Creating a Form Fill Policy for Autosubmission

1. In Administration Console Dashboard, click Policies > Policies.
2. Select the policy container, then click New.
3. Specify a display name for the policy and select Access Gateway: Form Fill for its type.
4. (Optional) Specify a description for the Form Fill policy.
5. In the Actions section, click New, then select Form Fill.
6. In the Form Selection section, select Form Name and specify topaz in the text box.
7. In the Fill Options section, specify all the input fields and select the options that you want.
8. In the Submit Options section, select Auto Submit.
10. Select Functions to Keep, then specify the JavaScript functions that need to be retained when the form is being automatically submitted. For the example form, specify the following functions:

```javascript
function dvdRegisterSelect()
function enableAll()
function verify(f, bSubmitToSelf)
function printThisView()
function tpzDrillTable(a, b, c, d)
```

11. Click OK.
12. Select Statements to Execute and specify the form action that needs to be performed when the form is submitted. For the sample form, specify the following statement:

```javascript
function executeJavaScript()
{
    tpzDrillTable('', 'Login', '0', 'listdetail');
}
executeJavaScript();
```

You must perform this step to execute the functions configured in the Functions to keep section because Access Gateway does not process HTML to include the LAGSubmitForm() function.

13. Click OK.

8.5.6.4 Configuring the Advanced Options for Autosubmission

When HTML forms contain complex JavaScript or VBScript, you must enable the following two advanced options:

- `#NAGGlobalOptions InPlaceSilent=on`: This enables single sign-on to certain websites that require the login page to remain as is without any modifications to its structure. This option is equivalent to .enableInPlaceSilentFill in the 3.1 SP4 Access Gateway Appliance.
#NAGGlobalOptions InPlaceSilentPolicyDoesSubmit=on: This option enables form fill in HTML pages with complex JavaScript or VBScripts. This option is equivalent to .enableInPlaceSilentFillNew in the 3.1 SP4 Access Gateway Appliance.

To enable these options:

1. In the Administration Console, click Access Gateways > Edit > Advanced Options.
2. Add the following in the Advanced Options list:
   - NAGGlobalOptions InPlaceSilent=on
   - NAGGlobalOptions InPlaceSilentPolicyDoesSubmit=on
3. Click OK.

## 8.6 External Attribute Source Policies

Access Manager Appliance as an identity provider for third-party service providers. Some of these service providers require attributes that are not part of the user store where the user is authenticated. The External Attribute Source policy allows you to retrieve attributes from the external sources.

You can configure this policy with rules to retrieve the attributes. A rule can contain all the conditions available for a policy. To enable this policy, you need to write a data extension class or provide a string constant value. The data extension class can be configured with constant and dynamic properties like any other policies.

For information about the structure and template of a data extension class and example code, see the “The Policy Extension API” in the NetIQ Access Manager 4.3 Developer Guide.

### 8.6.1 Enabling External Attributes Policy

An External Attributes policy must be enabled and configured before users can use the policy for fetching the attributes from external sources.

For assigning a policy to users, you must enable it for Identity Server configuration.

1. Click Devices > Identity Servers > Servers > Edit > External Attributes.
2. Select the check box against the policy name and click Enable.
3. To disable the policy, select the check box against the policy name and click Disable.
4. To create a new policy, click Manage Policies.
5. After enabling or disabling policies, update Identity Server configuration on the Servers tab.

### 8.6.2 Creating an External Attribute Source Policy

1. Click Policies > Policies > New.
2. Specify a name for the policy, select Identity Server: External Attribute Source for the type of policy, then click OK.
3. Specify the following details:
   - **Description:** (Optional) Specify the purpose of this policy.
   - **Priority:** Specify the sequence in which a rule is applied in the policy, when the policy has multiple rules. The highest priority is 1 and the lowest priority is 10.
4. In the Actions section, click New, then select Fetch Attributes.
5 Specify the following details:

**External Attribute Name**: Specify the name of the attribute to be obtained through this policy.

**Value**: Specify either **String Constant** or **Data Extension** for the attribute value.

If you select **String Constant**, provide the value in the text box. The policy returns the string constant.

If you select **Data Extension**, select the extension file from the list. The policy returns the attributes based on the logic defined in the class.

For more information about policy extension, see Section 8.1.6, “Adding Policy Extensions,” on page 648.

6 Click **OK** twice, then click **Apply Changes**.

7 After creating an External Attribute Source policy, create a shared secret. This shared secret is used in configuring other policies or can be used by Identity Servers in their attribute sets to retrieve attributes from external sources.

For more information, see “Creating Shared Secret Names” on page 68.

### 8.6.3 External Attribute Source Policy Examples

You can use an External Attribute Source policy to retrieve attributes from external sources. You can create shared secrets from this policy. This shared secret then can be used in configuring other policies or can be used by Identity Servers in their attribute sets to retrieve attributes from external sources.

An External Attribute Source policy must be enabled and configured before using the policy for retrieving the attributes from external sources.

For more information about how to create an External Attribute Source policy, see Section 8.6.2, “Creating an External Attribute Source Policy,” on page 791.

This section describe the usages of the External Attribute Source policy with the help of the following scenarios:

- “Scenario 1” on page 792
- “Scenario 2” on page 794

For information about sample codes for these examples, see Access Manager SDK Sample Code (https://www.netiq.com/documentation/access-manager-43-developer-documentation/samplecodes/main.html).

#### 8.6.3.1 Scenario 1

e_Health is a Web portal for doctors. e_Health uses Med_Association as an external identity provider to verify whether the user is a doctor and obtain the user’s professional code and specialization. Med_Association retrieves these details with the help of Access Manager Identity Server.

Med_Association completes the following steps:

1. Write an External Attribute data extension class and use the required attribute to retrieve the professional code and specialization of user.

For more information about data extension class, see Section 8.1.6, “Adding Policy Extensions,” on page 648.
For more information about data extension example code, see The Policy Extension API in the NetIQ Access Manager 4.3 Developer Guide.

2. Create an External Attribute Source policy for the data extension.
   For more information about how to import the data extension class and configure the External Attribute Source policy in Identity Server, see Chapter 8.6, "External Attribute Source Policies," on page 791.

3. Define a shared secret for the professional code and specialization.
   For more information, see Section 3.5.4, "Adding Custom Attributes," on page 68.

4. Configure this shared secret for a service provider to be sent with authentication.
   For more information, see "Configuring the Attributes Sent with Authentication" on page 161.

5. The retrieved details that are professional code and specialization are sent to e_Health.

The following diagram illustrates this scenario:

**Workflow:**

1. User requests for access to e-Health through browser.
2. e_Health redirects the user’s browser to Access Manager Identity Server at Med_Association for authentication.
3. User logs in with providing credentials. User is authenticated with LDAP.
4. On the successful authentication, Identity Server sends the assertion to e_Health.
5. e_Health verifies the assertion with Med_Association by using the back channel communication.

6. After verification, Access Manager Identity Server retrieves the attributes (professional code and specialization) from external sources (for example, database) by using the External Attribute Source policy.

7. Identity Server returns the response containing professional code and specialization in a shared secret attribute. If the user is not a doctor, external source returns null values in the shared secret attribute in the response.
   e_Health grants access to the user if it receives valid values for the attributes in the authentication response else it denies Access.

8.6.3.2 Scenario 2

Company XYZ is a customer of Access Manager. The employees of this company get authenticated to Identity Server. Each employee's mail attribute is retrieved from the user store. XYZ wants only user name part of the email address to be displayed on the Home page after authentication. This can be achieved by using the External Attribute Source policy.

XYZ completes the following steps:

1. Write an External Attribute data extension class and use the mail attribute as the parameter to the class.
   
   For more information about data extension class, see Section 8.1.6, “Adding Policy Extensions,” on page 648.

2. In the data extension class, read the email address and parse the name identifier in it and return as an attribute. For more information about data extension example code and example code for this scenario, see The Policy Extension API in the NetIQ Access Manager 4.3 Developer Guide guide.

3. Define a shared secret for the name field of the email address.
   
   For more information, see Section 3.5.4, “Adding Custom Attributes,” on page 68.

4. Create an External Attribute Source policy for the data extension.
   
   For more information about how to import the data extension class and configure the External Attribute Source policy in Identity Server, see Section 8.6.2, “Creating an External Attribute Source Policy,” on page 791.

5. Create an Identity Injection policy.
   
   For more information, see Chapter 8.4, “Identity Injection Policies,” on page 742 and Section 8.4.4, “Configuring a Custom Header Policy,” on page 749.

6. Identity Server sends the user ID part of email address to Access Gateway.
   
   In turn, Access Gateway or service provider sends this attribute to the configured web server. For example, John is an employee of XYZ. He provides his email address, john@mail-domain.com, as his user name. After authentication, only John will be displayed on the Home page.
The following diagram illustrates this scenario:

**Workflow:**

1. User (through the browser) is requesting for a resource. Access Gateway determines whether it is a protected resource and redirects the request to Identity Server for authentication. Identity Server authenticates with the LDAP servers and provides the assertion details to Access Gateway. In turn, Access Gateway verifies the assertion details.

2. The Home page in the resource is configured to display the user ID that has to be retrieved from Identity Server.

3. Identity Server determines whether the attributes can be retrieved from the external source. Identity Server will send the required details to the external source (in this example, an email address).

4. The external source returns the data. In this example, user ID part of the email address.

5. Identity Server sends the data that it has obtained from the external source to Access Gateway.

6. Access Gateway sends the data to the web server.

7. The web server returns the resource.
8.7 Risk-based Policies

This section includes the following topics:

- Section 8.7.1, “Configuring Risk-based Authentication,” on page 796
- Section 8.7.2, “Configuring User History,” on page 805
- Section 8.7.3, “Configuring Geolocation Profiling,” on page 807
- Section 8.7.4, “Configuring NAT Settings,” on page 808
- Section 8.7.5, “Configuring an Authorization Policy to Protect a Resource,” on page 808
- Section 8.7.6, “Risk-Based Authentication: Sample Configuration,” on page 809

For more information about risk-based authentication, see "Risk-based Authentication" on page 589.

8.7.1 Configuring Risk-based Authentication

Configuring risk-based authentication involves the following steps:

1. Create a risk policy. For more information, see “Configuring a Risk Policy” on page 796.
2. Create a method for the risk-based authentication class. For more information, see “Configuring a Method for an Authentication Class” on page 800.
3. Create a contract for the risk-based authentication class. For more information, see “Configuring a Contract for an Authentication Class” on page 800.

You must consider the following points while configuring the risk-based authentication:

- A rule must be included in a risk policy. A rule can exist in multiple risk policies.
- A risk-based authentication class maps to only one risk policy and vice versa.
- If a rule condition is not met, the score associated with that rule is added to the risk score. If the rule condition is met, the specified action is executed.
- The risk level is determined based on the total risk score, that is the sum of the scores of all rule conditions that are not met.
- If a rule is configured to allow or deny access and exit the policy when a condition is met, the risk score is zero as other rules in the group are not evaluated.

8.7.1.1 Configuring a Risk Policy

Before creating a risk-based policy, determine the following to understand the criteria for defining a rule:

- The application or resource you want to protect.
- The parameters you want to assess during a login attempt.
- The risk score for each parameter.
- The risk levels for risk scores.
- The action for the risk levels.
- If you want to record the details of risk assessment.
- If you want to store history details from the risk assessment in MySQL, MicroSoft SQL Server, or Oracle database.
If you want to perform profiling on user login events based on the geolocation of the user.

If you want to assess the risk before a user attempts to login.

Configuring a risk policy includes the following three parts:

1. Adding a Risk Policy
2. Configuring Policy Rules
3. Configuring Risk Levels

Adding a Risk Policy

1 Click Policies > Risk-based Policies > Risk Policy.
   If there is no risk policy configured, clicking Risk Configuration opens the Overview page.

2 Click the Create Risk Policy icon.

   **NOTE:** To create an identical copy of any existing risk policy:
   2. Locate the policy you want to clone and click the Clone Risk Policy icon. All rules and risk levels configured for the existing policy are copied to the new policy.
   3. Specify a name for the new policy and assign a cluster and an authentication class.

3 Under Add Risk Policy, specify the following details:

   **Risk Policy Name:** Specify a name for the policy.
   **Policy Description:** Describe the purpose of this policy.
   **Assign Policy To:** Select Identity Server cluster and then select an authentication class. You can select the class from the list of existing classes or you can create a new class.

   **NOTE:** If you select an existing class, settings of the selected class are overwritten with values of this policy.

   **NOTE:** To modify an existing authentication class go to Identity Server > cluster > Edit > Local > Classes.

   For creating a new class, perform the following steps:

   **Configuring a Risk-based Authentication Class**

3a Select either of the following options:

   **Create Risk-based Auth Class:**
   Calculates the risk score after authentication. For more information, see “Risk Assessment and Risk Mitigation after Authenticating a Login Attempt” on page 592.

   **Create Risk-based Pre-Auth Class**
   Calculates the risk score before authentication. For more information, see “Risk Assessment and Risk Mitigation before Authenticating a Login Attempt” on page 591.

   **NOTE:** To modify an existing authentication class go to Identity Server > cluster > Edit > Local > Classes.

3b Specify a name for the class.

3c Select Record User History to record the user’s login details.

   Before enabling this option, ensure that you have enabled recording user history in Policies > Risk Configuration > User History and configured a database. For more information, see “History:” on page 596.
3d Select **Use Cumulative Risk Score** to add current risk score of the session to this evaluation.

If you select this option, ensure that you have defined appropriate risk levels in this class to accommodate the cumulative value. For more information, see "Cumulative Scoring" on page 597.

3e To send the user name, risk score, and risk level of a specific login attempt to an external REST interface, click **Score Sharing URLs** and specify the URL of the interface. The external REST interface uses this score information to perform additional actions on the user’s identity.

(Optional) Specify the REST endpoint authentication credential. Whenever the risk score is sent to the REST endpoint, the endpoint sends these credentials as a basic authentication header. If the REST endpoint is protected by using basic authentication, then this credential is used.

If **Reduce Score** is enabled, the reduced risk score is sent to the REST endpoint for a successful additional authentication.

After enabling **Score Sharing URLs**, you must enable the identified risk scores for sharing. You can enable two **Score Sharing URLs**. If the REST endpoint is down, a warning message is logged in the log file (Linux: `catalina.out`; Windows: `stdout.log`). If the REST endpoint is down during risk score sharing, the risk is not cached and will not be shared later.

**NOTE:** The **Score Sharing URLs** option is available only for Risk-based Auth Class.

4 Continue with **Configuring Policy Rules**.

### Configuring Policy Rules

You can select a rule from the existing list or create a new rule and then assign it to the risk policy. You can assign multiple rules to a policy. The rules are executed in the top to bottom sequence. You can drag and drop to change the priority and sequence of rules. Rules for which action is defined as **Allow Access**, **Deny Access**, or **Exit with Risk Level as specified risk level** are executed as specified in the rule irrespective of the risk score accumulated for other rules that previously failed.

For each rule you want to add to a policy, you must perform the following steps:

1 To create a new rule, perform the following actions:

   1a Click **Actions > Create Rule**.

   1b Specify a rule name.

   1c Select a rule definition. For details, see Step 2 in “Configuring Rules” on page 801.

   1d Click **OK**.

   1e Define actions for the rule.
### Condition Action

If rule condition is met

Select any of the following options:

- Proceed to Next Rule: The next rule in the execution sequence is executed.
- Allow Access and Exit Policy: No other rules of this policy is executed and the user will get access to the resource.
- Deny Access and Exit Policy: No other rules of this policy is executed and the user will be denied access.
- Exit with Risk Level as: Select a risk level. You can also create a risk level and then assign it to the rule. For information about creating a new risk level, see Configuring Risk Levels. No other rules in this policy are executed. The action specified for that risk level is executed.

If rule condition is not met, add risk score

Specify the risk score that will be stored when the rule evaluation fails.

### NOTE:
You can also create a rule here Policies > Risk-based Policies > Rules > New. See “Configuring Rules” on page 801.

2 To select a rule from the existing list, perform the following actions:

2a Under Policy Rules, click Actions > Add Existing Rule.

2b In Risk Rule, select the rule you want to add from the list.

2c Define actions for the rule. For details, see Step 1e on page 798.

### NOTE:
To validate the rule configuration and view the result when a condition is met, click Actions > Toggle Validate. For more information, see “Understanding How to Use the Validate Tool to Emulate Total Risk Score and Risk Levels” on page 611.

3 Continue with Configuring Risk Levels.

### Configuring Risk Levels

1 Under Risk Levels, click Actions > Add Risk Level.

2 Specify the following details:

   - **Risk Level**: Select a risk level to associate with the risk score. If you select Other, specify a name to identify the custom risk level.
   - **Risk Score**: Specify a risk score to be associated with the risk level. The risk score indicates a value that is stored in the database after rule evaluation fails.
   - **Action**: Select an action for this risk score.

   If you select Additional Authentication under Action, you can either select a class or a method to configure the step-up authentication. Typically, the step up to a method is used when branding, overwriting of users, or a change of userstore is required. If the userstore of the Additional Authentication is same as the risk-based authentication class and no additional branding is needed, then use a class.

    - **Reduce Score**: After a successful additional authentication, you can configure to reduce the associated risk score. Specify the value that you want to reduce from the risk score in this field. For more information, see “Risk Score Reduction after a Successful Additional Authentication.” on page 597.
- **Share Score**: Select this option if you want to send the risk score of this risk level to the URL specified in **Score Sharing URLs** in the associated authentication class. You can share risk scores only for the risk levels configured for **Risk-based Auth Class**.

  This option is available only if at least one **Share Score URLs** is configured for the authentication class.

3 Continue with "Configuring a Method for an Authentication Class" on page 800.

### 8.7.1.2 Configuring a Method for an Authentication Class

1 Click **Local > Method > New** to create a new method for the risk based authentication class.

2 Specify a name to identify the method.

3 Select the risk-based authentication class from **Class**.

4 Deselect **Identifies User**.

**NOTE**: If the policy is using **Risk-Based Pre-Auth Class**, this option must be selected at least in one method of the contract.

5 Select a user store from the list of **Available User Stores**.

**IMPORTANT**: In a risk-based authentication class, properties configured for the risk-based authentication method are ignored. So, if you want to configure additional properties, add the property to the risk-based authentication class.

6 Continue with "Configuring a Contract for an Authentication Class" on page 800.

### 8.7.1.3 Configuring a Contract for an Authentication Class

1 Click **Local > Contract > New** to create a new contract for the risk based authentication class.

2 Specify a name to identify the contract.

3 You can either use an existing authentication contract or create a new authentication contract. For example, for **Risk-based Auth Class**, you can add the default Name/Password – Form method as the first method and risk-based authentication method as the second method. For **Risk-based Pre-Auth Class**, the risk-based authentication method must be configured as the first method.

4 Click **Next** to configure a card for the contract. For more information about configuring contracts, see Section 5.1.4, "Configuring Authentication Contracts," on page 311.

**NOTE**: If you want to use this contact in federation, ensure that you assign this contract to a protected resource.
8.7.1.4 Configuring Rules

2. Specify a name for the rule and select a rule type in Rule Definition based on your requirement and specify the details.

<table>
<thead>
<tr>
<th>Rule Type</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| IP Address | 1. To manually add IP addresses, select Manually enter the Datasource. You can specify a single IP address, IP address range, IP address subnet, or upload a text file containing IP addresses. To specify the IPv4 subnets, use the Classless Inter-Domain Routing (CIDR) notation. Click Add to List.  
   Sample text format  
   # Example IP List  
   10.0.0.0  
   172.16.0.0  
   192.168.0.0  
   Each entry in the text files must be on a separate line.  
2. To consider the list of IP addresses provided by an external provider or an internal web service, select Dynamically consume from the Datasource.  
   a. Specify URL of the provider.  
   b. In Connection Timeout, specify the time in second. After this specified time, an unresponsive connection is closed. For example, 5 seconds.  
   c. In Refresh Interval, specify the time in second. The connection will be refreshed at the specified interval. For example once in 86400 seconds.  
   The external provider provides the list of IP addresses in text or JSON formats.  
   Sample text format  
   # Example IP List  
   10.0.0.0  
   172.16.0.0  
   192.168.0.0  
   Sample JSON format  
   ["10.0.0.0","172.16.0.0","192.168.0.0"]  
3. Specify how the conditions for the rule must match. The available options are Is and Is Not. For more information about Is and Is Not conditions, see Table 5-6, “Risk-based Authentication Terms,” on page 599.  
4. To validate the user history recorded in the database, select Check user history. You can use this option only when Record user history is enabled in the User History tab.  
   IMPORTANT: You cannot specify the IP subnet in the IPv6 format. Instead, you can use the IP range condition and define it in the IPv6 format. |
<table>
<thead>
<tr>
<th>Rule Type</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cookie</td>
<td>1. Specify the name of the cookie.</td>
</tr>
<tr>
<td></td>
<td>2. Specify the value of the cookie. The different search criteria that you can use are <strong>Is</strong> and <strong>Is Not</strong>. For more information about <strong>Is</strong> and <strong>Is Not</strong> condition, see Table 5-6, “Risk-based Authentication Terms,” on page 599.</td>
</tr>
<tr>
<td></td>
<td>3. [Optional] If the cookie is not found, but you want to create a cookie after the user authenticates, select <strong>Create cookie if the user authenticates successfully</strong>.</td>
</tr>
<tr>
<td></td>
<td>a. Specify the validity of the cookie in days.</td>
</tr>
<tr>
<td></td>
<td>b. Specify the path for the cookie.</td>
</tr>
<tr>
<td></td>
<td><strong>IMPORTANT:</strong> A cookie is set only when the user is authenticated by using second-factor authentication. The cookie is not created if the risk is assessed to be low and the user authenticates by using primary authentication method.</td>
</tr>
<tr>
<td>HTTP Header</td>
<td>1. Specify the HTTP header Name.</td>
</tr>
<tr>
<td></td>
<td>2. Specify the HTTP header value that you want to search for an HTTP header that includes a specific value. For example, if you want to search for an HTTP header that includes the value NetIQ, you can use the search criterion <strong>Equals</strong>. Whereas, if you want to query for an HTTP header that does not include the value NetIQ, use <strong>Does Not Contain</strong>.</td>
</tr>
<tr>
<td>User Profile</td>
<td>1. Select an LDAP attribute from the list. If you want to define a custom LDAP attribute, click <strong>New</strong>.</td>
</tr>
<tr>
<td></td>
<td>2. Specify how the conditions for the rule must be matched.</td>
</tr>
<tr>
<td></td>
<td>3. Specify the value of the attribute to be searched. For example, if you selected the LDAP attribute <strong>birthDate</strong> for rule creation, specify the birth date to be searched.</td>
</tr>
<tr>
<td>User Last Login</td>
<td>1. Specify the name of the last login cookie.</td>
</tr>
<tr>
<td></td>
<td>2. Specify the path for the cookie.</td>
</tr>
<tr>
<td></td>
<td>3. Specify the validity of the cookie in days.</td>
</tr>
<tr>
<td></td>
<td>4. If you want the cookie to be secured by HTTPS, enable <strong>Secure Cookie</strong>.</td>
</tr>
<tr>
<td></td>
<td>5. Specify the number of days the cookie can be accessed from the same device or system. This value must be less than the value in <strong>Max Age</strong>.</td>
</tr>
<tr>
<td></td>
<td>6. Specify the crypto key to encrypt the cookie.</td>
</tr>
<tr>
<td></td>
<td><strong>IMPORTANT:</strong> A User Last Login cookie is set only when the user is authenticated by using second-factor authentication. A User Last Login cookie is not created if the risk is assessed to be low and the user authenticates by using primary authentication method.</td>
</tr>
<tr>
<td>User Time of Login</td>
<td>1. Select <strong>Is/Is not condition</strong> based on your requirements. This determines how the conditions for the rule must be matched.</td>
</tr>
<tr>
<td></td>
<td>2. Specify the date and time of the user login.</td>
</tr>
<tr>
<td></td>
<td>3. To validate the user history recorded in the database, select <strong>Check user history</strong>. To use this option, enable <strong>Record User History</strong> in the <strong>User History</strong> tab.</td>
</tr>
<tr>
<td>Rule Type</td>
<td>Procedure</td>
</tr>
<tr>
<td>-------------------</td>
<td>-----------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| Device Fingerprint| 1. Specify the validity of the fingerprint in number of days.  
2. Select any one of the following options under Store Fingerprint in:  
   - **Browser**: To store the fingerprint in the browser cache on the device.  
   - **Server**: To store the fingerprint in the configured risk-database. You can use this option only in risk-based post-authentication scenarios. To store the fingerprint in risk-database, you must enable storing the user history in the User History tab. ([Policies > Risk-based Policies > User History])  
   **NOTE**: Storing a fingerprint in Built-in Data Store (Bundled eDirectory) is not supported.  
3. Specify the number of fingerprints you want to store per user. This option is applicable only when you select Server to store fingerprints. The permissible value is 1 to 5.  
4. Click Parameter Settings if you want to modify the default settings. For information about parameters, see Section 6.2, “Understanding Device Fingerprint Parameters,” on page 623.|
<table>
<thead>
<tr>
<th>Rule Type</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| External Parameter Configuration | 1. Select **Negate Result** to reverse the result of the rule evaluation. For example, if you have defined this rule to get authentication details of a request using a specific IP address, you can use **Negate Result** to define the rule to not consider inputs originated from that IP address.  
2. Under **External Parameter**, add the following details for a parameter set:  
   a. Name of the parameter.  
   b. Specify the regular expression if required.  
      For example, suppose an external source sends the following value for the **Virtual IPv4** parameter:  
      The Virtual IP address is 127.0.0.1  
      To extract the IP address from this string, you can specify a regular expression as follows:  
      `(?:\d{1,3}\.){3}\d{1,3}`  
      This regular expression extracts the IP address 127.0.0.1 from the string and uses it for evaluating the configured condition. For more information about regular expression syntax, see the Javadoc for java.util.regex.Pattern.  
   c. Select a relational or string operator to define a relationship between the parameter and parameter value. For example, whether a parameter must contain the specified parameter value or it must not be equal to the specified value. The available operators include contains, does not contain, is equal to, is equal to ignore case, is greater than, is greater than or equal to, is less than, is less than or equal to, in between, is not equal to, is not equal to ignore case.  
   d. Specify a parameter value for evaluation.  
   e. Click **Add Parameter** to add more parameters in this parameter set. You can define multiple parameters in a parameter set.  
3. (Conditional) Click **Add Parameter Set** to define additional parameter set. You can define multiple parameter sets.  
4. If you have defined two or more parameter sets, specify how the conditions for the parameters must match. The available options are **Or** and **And**. For more information, see Combination Rule in Table 5-6, "Risk-based Authentication Terms," on page 599.  
For an example about configuring external parameter, see “Using External Parameters in Risk Assessment:” on page 598.  
**NOTE:** To use this rule, you must create a custom class to retrieve details from an external provider. For more information, see "User Information Methods" and “Creating an Authentication Class” in the NetIQ Access Manager 4.3 Developer Guide. |
8.7.2 Configuring User History

When you choose to record user history details for a risk policy that is linked to an authentication class, you get the flexibility to segregate the history details as per your requirement.

Consider a situation where you have configured the following two risk policies:

- One risk policy assesses authentication requests from internal users in an organization.
- Another risk policy assesses authentication requests from users external to the organization.

The following risk-rules support enabling user history:

- Custom Rule
- Device Fingerprint Rule
- Geolocation Rule
- IP Address Rule
- User Time of Login Rule

To record the history details for internal users only, enable the recording of user history at the risk-based authentication class that is used to authenticate the internal users.

Recording the user history involves the following steps:

1. Configuring an external database to log history records. For more information, see Section 5.5.9, “Configuring an External Database to Store User History,” on page 610.
2. Enabling user history. For more information, see “Enabling User History” on page 805.
3. Enabling user history while configuring a rule. For more information, see “Configuring Rules” on page 801.
4. Configuring user history for a risk policy that is linked to an authentication class. For more information, see “Configuring a Risk-based Authentication Class” on page 797.

8.7.2.1 Enabling User History

2. Select Enable User History to save the user session details in the database.
Under History Settings, you can either specify the number of days of history to consider during the rule execution or select the option to consider all historical records. For example, if you specify 10, it indicates that the details of last 10 days must be considered during the rule execution. If you do not specify the number of days, all historical records are considered for the execution.

**NOTE:** If you select Built-in Data Store (Bundled eDirectory) as History Data Store, specify the number of entries instead of the number of days. eDirectory supports recording history only up to 5 entries.

(Conditional) To store details in eDirectory, select Built-in Data Store (Bundled eDirectory).

**NOTE:** In a production environment, it is strongly recommended that you do not use eDirectory as the data store.

(Conditional) If you choose to save the session details in an external database, select External Database.

5a Specify the name to identify the driver.

5b Select the Database Driver. The driver path and dialect are displayed. You can change the driver and dialect details if required.

5c Specify the Username and Password to access the database.

5d Specify the URL to access the database.

**NOTE:** To configure MySQL as the database, ensure that the database URL is specified as mysql://db_user:db_user@localhost/netiq_risk?autoReconnect=true.

For information about configuring an external databases, see Section 5.5.9, “Configuring an External Database to Store User History,” on page 610.

### 8.7.2.2 Deleting Risk-based Authentication and Device Fingerprint Entries from the Database

If you have enabled user history, details for all login attempts using a risk-policy or a device fingerprint policy are recorded in the database. This may result in huge data and occupy a large space. It is recommended to delete the entries periodically after you complete the analysis.

- “Deleting Entries from MS SQL Server” on page 806
- “Deleting Entries from MySQL Server” on page 807
- “Deleting Entries from Oracle Server” on page 807

#### Deleting Entries from MS SQL Server

1 Go to Start > All Programs > Microsoft SQL Server 2016 > SQL Server Management Studio.
2 Connect to the database engine.
3 Expand Databases, you can see the netiq_risk database.
4 Click New Query.
5 To check the number of entries in the usrtransaction table, select the following command and click Execute.
   ```
   Select * from dbo.usrtransaction;
   ```
6 To delete entries, select the following command and click Execute:
Delete from dbo.usrtransaction;

NOTE: This command deletes all entries in the table. If you want to delete a specific range of entries, use the appropriate SQL command.

7 Perform step 5 and 6 for the device fingerprint table (device_fingerprint) also.

Deleting Entries from MySQL Server

1 Connect to MySQL Server installed on Linux by using the MySQL client:
   mysql -u root -p password
2 Connect to the use netiq_risk database.
   use netiq_risk;
3 List the tables. The usrtransaction table is listed in the list of tables.
   show tables;
4 Delete entries.
   delete from usrtransaction;

NOTE: This command deletes all entries in the table. If you want to delete a specific range of entries, use the appropriate SQL command.

5 Perform step 4 for the device fingerprint table (device_fingerprint) also.

Deleting Entries from Oracle Server

1 Open Oracle SQL Developer.
2 Right-click Connections and select New Connection.
3 Connect to the database engine.
4 Expand Connections, you can see the netiq_risk database under .
5 To check the number of entries in the usrtransaction table, select the following command and execute:
   Select * from usrtransaction;
6 To delete entries, select the following command and execute:
   Delete from usrtransaction;

NOTE: This command deletes all entries in the table. If you want to delete a specific range of entries, use the appropriate command.

7 Perform step 5 and 6 for the device fingerprint table (device_fingerprint) also.

8.7.3 Configuring Geolocation Profiling

1 Click Policies > Risk-based Policies > Geolocation.
2 Select Enable Location Profiling to fetch location data from a geolocation database. This helps to identify the location of the user based on the IP address details.
3 Select a Geolocation Provider. The available options are:

<table>
<thead>
<tr>
<th>Database</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neustar Service</td>
<td>• Specify the API Key and API Secret.</td>
</tr>
<tr>
<td></td>
<td>• Specify the Web Service URL.</td>
</tr>
<tr>
<td>Custom Provider</td>
<td>• Specify a name to identify the provider.</td>
</tr>
<tr>
<td></td>
<td>• Specify the fully qualified name of the JAVA class.</td>
</tr>
<tr>
<td></td>
<td>• Click Add Property to add properties to the custom class.</td>
</tr>
</tbody>
</table>

### 8.7.4 Configuring NAT Settings

You can configure Identity Server to retrieve IP addresses in a NAT environment.

2. Specify the name of the field to use for fetching the IP address of the client.
3. Specify the regular expression to retrieve the client IP address from the HTTP header value.

   When you use the regular expression \.*\, the rule execution fails even if the client IP address exists in the list of multiple IP addresses. So, if you want to retrieve an IP address from a list of multiple IP addresses, modify the regular expression accordingly.

   For example: If you specify the regular expression as \.*(\?=,)\, Identity Server considers the first IP address in the list to calculate risk. So, if the list of IP addresses are similar to 10.20.20.1,10.30.30.1,10.40.40.1, the regular expression \.*(\?=,)\ returns IP address 10.20.20.1.

   **NOTE:** if you have only one address, \.*\ is sufficient. The approach described in step 3 is required for a list of addresses in the x-forwarded-for format.

### 8.7.5 Configuring an Authorization Policy to Protect a Resource

In an authorization policy, you can define a condition group that uses risk scores from Identity Server to protect a resource.

**Defining a Condition Group and Assigning Actions:**

2. Select the policy container and click New.
4. From the Condition Group, select Risk Score. For more information about Comparison, Value, and Result on Condition Error, see Risk Score.
5. Select an action. For more information about actions, see step 7 in Creating Access Gateway Authorization Policies.
8.7.6 Risk-Based Authentication: Sample Configuration

This section explains the use cases and their configuration steps demonstrated in the Try Now option on the Risk Configuration > Overview interface.

Let us assume a company named Company1 wants to control access to its resources. There are two users. One is a permanent employee of Company1 and another is a trainee at Company1. This configuration will refer the regular employee as Employee and the trainee as Trainee.

Company1 wants to achieve the following actions:

- **Scenario 1**: Trainee or Employee is accessing the resource by using the internal network: Allow access.
- **Scenario 2**: Trainee is accessing the resource by using the external network: Deny access.
- **Scenario 3**: Risk associated with accessing the resource from an external network can depend on various conditions. The following are the conditions with equal weightage:
  - Employee’s request contains a cookie from the Intranet site or has a header from the Payroll site, indicating that Employee was successfully logged into these resources earlier.
  - Employee is logging in during normal work hours that is 9 am to 5 pm

All conditions are evaluated. The risk decreases as the number of conditions met increases. The action performed depends on the risk score and associated risk level.

The following diagram illustrates the rule execution logic of the demo risk policy:
You can configure rules for these scenarios and arrange the rules in the order of priority on the UI. The rules are executed based on the priority from top to bottom. You can drag and drop rules on the UI to change their priority.

In this sample, the following five rules are created and executed in the same sequence:

<table>
<thead>
<tr>
<th>Sequence of Execution</th>
<th>Rule Name</th>
<th>Action</th>
</tr>
</thead>
</table>
| 1                     | DemoRule_InternalNetwork: | If *Trainee* or *Employee* is in the internal network, then allow access and exit the policy.  
If not, add risk score of 20 and proceed to the next rule. |
| 2                     | DemoRule_TraineeUser | If *Trainee* is accessing from an external network, deny access and exit the policy.  
If *Employee* is accessing from an external network, add risk score of 20 and proceed to the next rule. |
| 3                     | DemoRule_Combo | If *Employee* is accessing with a cookie from the payroll site or HTTP Header value contains loggedIn, proceed to the next rule with the total risk score accumulated due to the failure of the above three rules.  
For example, assume the risk score for each rule is 20. If the condition for this rule is met, then proceed to next rule with a risk score 60. If the condition for this rule is not met, then proceed to next rule with a risk score of 80.  
**NOTE:** To use this rule, you must set cookies or headers per domain with a path of /, so that Identity Server can receive them. |
| 4                     | DemoRule_TimeOfLogin | If *Employee* is logging in using an external network and time is in between 9 AM to 5 PM, proceed to the next rule.  
The risk score will depend on whether the condition of the DemoRule_Combo was met.  
If the conditions of both DemoRule_Combo and DemoRule_TimeOfLogin rules fail, the total risk score will be 100 and access will be denied. |

The following steps have been performed to configure the demo risk policy for the identified scenarios:

1. Go to [Policies > Risk-based Policies > Risk Policy](#).
2. Click the [Create Risk Policy icon](#).
3. Under [Add Risk Policy](#), specify the following details:
   - **Risk Policy Name:** Specify [Demo_RiskPolicy](#).
   - **Policy Description:** Specify the purpose of this policy.
   - [Assign Policy To](#): Select Identity Server cluster and then configure an authentication class.
   - 3a Select [Create Risk-based Auth Class](#).
   - 3b Specify [Class Name as](#) [Demo_RBAAuthClass](#).
   - 3c Click [Save](#).
4. [Create the following rules](#):
Under Policy Rules, click Create Rule and specify the following values:

4a DemoRule_InternalNetwork
- **Rule Name:** DemoRule_InternalNetwork
- **Rule Definitions:** IP Address Rule
  - Specify the IP address range as 121.1.1.1 - 121.121.255.255 and click OK
  - If rule condition is met, then: allowance and Exit Policy
  - If rule condition is not met, add risk score: 25
  - Click OK.

4b DemoRule_TraineeUser
- **Rule Name:** DemoRule_TraineeUser
- **Rule Definitions:** User Profile
  - Select EmployeeType, Equals, and then specify Trainee. Click OK
  - If rule condition is met, then: Deny Access and Exit Policy
  - If rule condition is not met, add risk score: 25
  - Click OK.

4c DemoRule_Combo
- **Rule Name:** DemoRule_Combo
- **Rule Definitions:**
  - Rule 1: Cookie Rule
    - **Cookie Name:** IntranetCookie
    - **Cookie Value:** is test 12
  - Rule 2: HTTP Header Rule
    - **HTTP Header Name:** PayrollAccessHeader
    - **HTTP Header Value:** Contains loggedIn
  - Combination Rule Definition: In Condition Group, click Assign Rules and then select both rules. Select OR in Group Operator
  - If rule condition is met, then: Proceed to Next Rule
  - If rule condition is not met, add risk score: 25
  - Click OK

4d DemoRule_TimeOfLogin
- **Rule Name:** DemoRule_TimeOfLogin
- **Rule Definitions:** User Time of Login Rule
  - **User time of login:** is
    - **Day:** Monday to Friday
    - **Time:** 9 AM to 5 PM
  - Click OK
  - If rule condition is met, then: Proceed to Next Rule.
  - If rule condition is not met, add risk score: 25
  - Click OK
5 Under Risk Levels, click Actions > Add Risk Level and create the following risk levels:

- **Low**

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Less than 35</td>
</tr>
<tr>
<td>Risk Level</td>
<td>Low</td>
</tr>
<tr>
<td>Action</td>
<td>Allow Access</td>
</tr>
</tbody>
</table>

- **Medium**

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Between 35 and 75</td>
</tr>
<tr>
<td>Risk Level</td>
<td>Medium</td>
</tr>
<tr>
<td>Action</td>
<td>Authenticate using Trust levels</td>
</tr>
</tbody>
</table>

- **High**

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk Score</td>
<td>Greater than 75</td>
</tr>
<tr>
<td>Risk Level</td>
<td>High</td>
</tr>
<tr>
<td>Action</td>
<td>Deny Access</td>
</tr>
</tbody>
</table>

6 Click OK.

7 Create an authentication method. For more information, see “Configuring a Method for an Authentication Class” on page 800.

8 Create a contract. For more information, see “Configuring a Contract for an Authentication Class” on page 800.

9 Assign the contract to the protected resource.
High Availability and Fault Tolerance

9.1 Installing Secondary Versions of Access Manager Appliance

Administration Console contains an embedded version of eDirectory, which contains all configuration information of Access Manager Appliance. It also contains a server communications module, which is in constant communication with the Access Manager modules. If Administration Console goes down and you have not installed any secondary consoles, your Access Manager components also go down and your protected resources become unavailable.

- Section 9.1.1, “Prerequisites,” on page 813
- Section 9.1.2, “Understanding How Consoles Interact with Each Other and with Access Manager Devices,” on page 815

9.1.1 Prerequisites

- An L4 server is installed. The LB algorithm can be anything (hash/sticky bit), defined at the Real server level.
- Persistence (sticky) sessions enabled on the L4 server. You usually define this at the virtual server level.

NOTE: If Access Manager Appliance is configured with public and private interface, the back channel communication will use the private interface. To allow this back channel communication on private interface, modify the NAM-RP configuration to listen on private and public interfaces. For more information, see Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98.

9.1.1.1 Configuration Notes

A Note about Layer 4 Switch: A cluster of Access Manager Appliances must reside behind a Layer 4 (L4) switch. Clients access the virtual IP address of the cluster presented on the L4 switch, and the L4 switch alleviates server load by balancing traffic across the cluster.

Whenever a user accesses the virtual IP address assigned to the L4 switch, the system routes the user to one of the Access Manager Appliances in the cluster, as traffic necessitates.

IMPORTANT: You must not use a DNS round robin setup instead of an L4 switch for load balancing. The DNS solution works only as long as all members of the cluster are working and in a good state. If one of them goes down and traffic is still sent to that member, the entire cluster is compromised and all devices using the cluster start generating errors.

Services of the Real Server: A user’s authentication remains on the real (authentication) server cluster member that originally handled the user’s authentication. If this server malfunctions, all users whose authentication data resides on this cluster member must re-authenticate unless you have enabled session failover. For more information about this feature, see “Configuring Session Failover” on page 57.
Requests that require user authentication information are processed on this server. When the system identifies a server as not being the real server, the HTTP request is forwarded to the appropriate cluster member, which processes the request and returns it to the requesting server.

**A Note about Service Configuration:** If your L4 switch can perform both SSL and non-SSL health checks, you must configure the L4 switch only for the services that you are using in your Access Manager configuration. For example, if you configure the SSL service and the non-SSL service on the L4 and the base URL of your Identity Server configuration is using HTTP rather than HTTPS, the health check for the SSL service fails. The L4 switch then assumes that all the Identity Servers in the cluster are down. Therefore, ensure that you enable only the services that are also enabled on the Identity Server.

**A Note about Alteon Switches** When you configure an Alteon switch for clustering, direct communication between real servers must be enabled. If direct access mode is not enabled when one of the real servers tries to proxy another real server, the connection fails and times out.

To enable direct communication on the Alteon:

1. Go to `cfg > slb > adv > direct`.
2. Specify `e` to enable direct access mode.

### 9.1.1.2 Installing a Secondary Access Manager Appliance

1. Insert the CD containing the software.

   Most of the installation process is same for a secondary appliance as for a primary. If this is a second or third appliance, the Administration Console will be configured for the fault tolerance. While installing a secondary appliance:

   - Deselect the Primary check box.
   - Specify the IP address of the primary Administration Console.
   - Specify the user name and password of the primary Administration Console.

   Installation of the secondary appliance becomes interactive after the installation of operating system in the following cases:

   - (Conditional) if this is the fourth appliance: The number of Administration Consoles in a cluster is restricted to three. If more appliances are added into the cluster, the system will ask whether you want proceed with the installation of rest of the components other than Administration Console.
   - (Conditional) if time is not synchronized between the primary and secondary appliances. The system will prompt a message asking you to re-try the time synchronization or to proceed without synchronization.

   If you have firewalls separating your Identity Servers or your L4 switch does not support port translation, you can use iptables to translate the port

   Configure the details on the Administration Console Configuration page as specified in step 9 in **Installing Access Manager Appliance** in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

2. Continue with the installation process.
The Identity Server and the Access Gateway from the secondary appliance are automatically clustered with the primary appliance. If this is second or third secondary appliance, the configuration store will be configured for the fault tolerance. Install at least one secondary console.

After successful installation, the appliance points to the Access Manager Appliance’s IP address for the Web server, and the Identity Server points to the local user store. If a cluster is configured for Access Manager Appliance and if primary appliance is down, you cannot authenticate because the user store is on primary and they cannot access the resources because it points to the Web server on primary. Hence, it is advised to change the IP address of the Web server configured in the master proxy service to point to your test or production Web server, and change the Identity Server’s configuration to point to an external user store.

9.1.2 Understanding How Consoles Interact with Each Other and with Access Manager Devices

Primary and secondary consoles use eDirectory synchronization to keep their configuration databases current.

WARNING: As long as the primary console is running, all configuration changes must be made at the primary console. If you make changes at both a primary console and a secondary console, browser caching can cause you to create an invalid configuration.

Access Manager Appliance devices use the secondary console only when the primary console is down. Therefore, if a secondary console goes down while the primary console is running, devices are notified. But they continue to run by using the primary console for configuration information. The secondary console can be down for as long as required to fix the problem without affecting other Access Manager Appliance devices.

When the primary console goes down, all of the devices discover this and switch to using the secondary console. This can take a few minutes, because each device has its own trigger for checking in with Administration Console. After the device has switched to using the secondary console, it continues to run just as it did when it was communicating with the primary console. When the primary console comes back online, all devices discover this and switch back to using the primary console. Again, this can take a few minutes.

Not all tasks are available from the secondary console:

- Section 9.1.2.1, “Tasks Requiring the Primary Console,” on page 815
- Section 9.1.2.2, “Tasks Available from the Secondary Console,” on page 816

9.1.2.1 Tasks Requiring the Primary Console

Backup and Restore: Backup and restore must be run on the primary console. When the restore is completed, you must restart Tomcat on all secondary consoles.

Enter the following command:

```
/etc/init.d/novell-ac restart
```

For more information about backup and restore, see Chapter 28, “Back Up and Restore,” on page 1007.
9.1.2.2 Tasks Available from the Secondary Console

When the primary console goes down, the secondary console can be used for the following tasks:

- Administrators can make configuration changes on a secondary console, and these changes are sent to Access Manager components.
- Access Manager Appliance components can use the secondary console to access their configuration information and to respond to configuration changes. When the primary console becomes functional, components revert to using the primary console, but they continue to accept commands from the secondary consoles.

9.2 Configuration Tips for the L4 Switch

When you use an L4 switch to cluster Identity Servers, Access Gateways, or both, you need to configure it and the DNS server for each cluster. You need to configure the DNS server to resolve the base URL of Identity Server configuration to Identity Server VIP on the L4 switch. You need to configure the DNS server to resolve the published DNS names of Access Gateway to Access Gateway VIPs on the L4 switch.

In addition to this basic setup, consider the following:

- Section 9.2.1, “Sticky Bit,” on page 816
- Section 9.2.2, “Network Configuration Requirements,” on page 816
- Section 9.2.3, “Health Checks,” on page 817
- Section 9.2.4, “Real Server Settings Example,” on page 820
- Section 9.2.5, “Virtual Server Settings Example,” on page 821

9.2.1 Sticky Bit

Each L4 switch has a slightly different method and terminology for the sticky bit or persistence bind. This bit allows a client that has established a session to be directed to the same Identity Server or Access Gateway for all requests sent during the session. This minimizes the need to forward session information between Access Gateways or between Identity Servers and thus maximizes performance.

9.2.2 Network Configuration Requirements

When you set up the L4 switch, the following configurations are required to route all Access Manager traffic through the L4 switch:

**Switches:** When you install an L4 switch, you can plug the machines directly into the L4 switch or plug them into an inner switch that is plugged into the L4 switch. When you use inner switches with an L4 switch, you must use at least two inner switches: one for Identity Servers and one for Access Gateways. An Identity Server and an Access Gateway cannot share the same inner switch. Such a configuration causes communication problems because Access Gateway and Identity Server try to establish direct communication with each other rather than routing all traffic through the L4 switch.

**Network Routing Requirements:** You need to analyze your routing configuration. Identity Servers and Access Gateways must be connected to separate ports in the L4 switch. If there is a connection in your network that allows an Identity Server or an Access Gateway to communicate directly with a client without going through the L4 switch, Access Gateway and Identity Server try to establish direct
communication with the client because networking protocols are configured to select the most direct route. Such a configuration causes communication problems because all traffic must be routed through the L4 switch. Figure 11-4 illustrates this problem.

*Figure 9-1* Network Configuration with a Potential Communication Problem

If your network allows for this type of communication, you need to block the communication channels illustrated with the dotted lines.

Figure 11-5 shows each cluster type with its own L2 switch. An Access Gateway cluster and an Identity Server cluster cannot share the same L2 switch because they can see the MAC address for each other. Networking protocols are configured to use the most direct route for the communication, and the MAC address is more direct than going up to the L4 switch and back down. Such a configuration causes communication problems because all traffic between the clusters needs to be routed through the L4 switch. Using a separate L2 switch for each cluster type prevents them from gaining access to the MAC address and forces communication to take place through the L4 switch.

### 9.2.3 Health Checks

L4 switches use health checks to determine which cluster members are ready to receive requests and which cluster members are unhealthy and must not receive requests. You need to configure the L4 switch to monitor the heartbeat URL of Identity Servers and Access Gateways, so that the L4 switch can use this information to update the health status of each cluster member.

The procedure is slightly different for Identity Servers and Access Gateways:

- *Section 9.2.3.1, “Health Checks for Identity Server,” on page 818*
- *Section 9.2.3.2, “Health Checks for Access Gateway,” on page 818*
9.2.3.1 Health Checks for Identity Server

Administration Console uses the heartbeat URL to display the health status of Identity Servers. Identity Server heartbeat is the DNS name of Identity Server plus the following path:

/nidp/app/heartbeat

L4 switches require you to use IP address rather than the DNS name. If the IP address of Identity Server is 10.10.16.50, and you have configured Identity Server for HTTPS, the heartbeat has the following URL:

https://10.10.16.50:8443/nidp/app/heartbeat

You need to configure the L4 switch to use this heartbeat to perform a health check. If you have configured SSL on Identity Servers and your L4 switch has the ability to do an SSL L7 health check, you can use HTTPS. The SSL L7 health check returns a value of 200 OK, indicating that everything is healthy; any other status code indicates an unhealthy state.

For a Foundry switch, the L7 health check script string must look similar to the following when the hostname is nidp1 and the IP address is 10.10.16.50:

healthck nidp1ssl tcp
dest-ip 10.10.16.50
port ssl
protocol ssl
protocol ssl url "GET /nidp/app/heartbeat HTTP/1.1\r\nHost: st160.lab.tst"
protocol ssl status-code 200 200
l7-check

If your switch does not support an SSL L7 health check, the HTTPS URL returns an error, usually a 404 error. Because Identity Server heartbeat URL listens on both HTTPS and HTTP, you can use an HTTP URL for switches that do not support the SSL L7 health check. For example:

http://10.10.16.50:8080/nidp/app/heartbeat

An Alteon switch does not support the L7 health check, so the string for the health check must look similar to the following:

open 8080,tcp
send GET /nidp/app/heartbeat HTTP/1.1\r\nHOST:heartbeat.lab.tst \r\n\r
expect HTTP/1.1 200
close

9.2.3.2 Health Checks for Access Gateway

External communication to Access Gateway is typically configured to use HTTPS. In an HTTPS configuration, an L4 switch performs health checks of Access Gateways with the published DNS name of Access Gateway plus the following path:

/nesp/app/heartbeat

L4 switches require you to use IP address rather than the DNS name. If the IP address of Access Gateway is 10.10.16.172, and you have configured Access Gateway for HTTPS, the heartbeat has the following URL:

https://10.10.16.172:443/nesp/app/heartbeat

For an L4 switch to support an HTTPS query for the health of Access Gateway, the switch must support an L7 health check. For a Foundry switch, the L7 health check script string must look similar to the following when the hostname is ag1 and the IP address is 10.10.172.
If your L4 switch does not support an SSL L7 health check, the HTTPS health check URL returns an error, usually a 404 error. To solve this problem, you can create a specialized reverse proxy that opens a non-SSL port for the heartbeat URL. The following instructions configure this reverse proxy to use port 81, because port 80 on the specified IP address is reserved for redirects to the SSL port.

To create a reverse proxy for the health check:

1. In Administration Console Dashboard, click Devices > Access Gateways > Edit > Reverse Proxy / Authentication.
2. To create an additional reverse proxy service (such as heartbeat), click New, then specify a name.
3. Change the Non-Secure Port to 81.
   - Configure Access Gateway to listen on the same IP address as the service using port 443. For non-SSL, you must use port 81. Do not use port 80.
   - For proper heartbeat information when there are multiple IP addresses configured in your Access Gateway, ensure that you configure the reverse proxy service created for the heartbeat URL to listen in the same IP address as the authenticating reverse proxy service.
4. Click New to create the proxy service.
5. Configure the following fields:
   - **Proxy Service Name**: Specify a name that identifies the purpose of this proxy service.
   - **Published DNS Name**: Specify a second DNS name that resolves to the VIP of Access Gateways on the L4 switch. For example, if the DNS name is jwilson.provo.novell.com for Access Gateways, you could use heartbeat.jwilson.provo.novell.com for the second name.
   - **Web Server IP Address**: Specify the internal address: 127.0.0.1.
   - **Host Header**: Select Forward Received Host Name. This field is not used.
6. Click OK.
7. On the Reverse Proxy page, click the new proxy service, then click Web Servers.
8. Change the Connect Port value on the Web Servers page to 9009.
   - The service provider (ESP) in Access Gateway that provides the heartbeat service listens on 127.0.0.1:9009.
9. Click Protected Resources.
10. Click New, then specify a name.
11. In the URL Path List, click /*, and modify the path to contain the following value:
    
    /nesp/app/heartbeat
    
    This is the path to the heartbeat application.
12. Click OK > OK.
   - The heartbeat of this Access Gateway is available from the following URL (See Step 4.):

http://heartbeat.jwilson.provo.novell.com:81/nesp/app/heartbeat
If the protected resource is configured with a path of / or /*, the solution works but it can be vulnerable to attacks because the configuration opens ESP over a non-SSL port. Restricting the resource to /nesp/app/heartbeat automatically denies access to ESP except for the heartbeat.

13 Click OK and apply the changes to the configuration.

14 Add a line similar to the health check script:

For a Foundry switch, your string must look similar to the following if the hostname is ag1 and the IP address is 10.10.16.172:

```
healthck ag1 tcp
dest-ip 10.10.16.172
port http
protocol http
protocol http url "GET /nesp/app/heartbeat HTTP/1.1\nHost:st160.lab.tst"
protocol http status-code 200 200
l7-check
```

For an Alteon switch, your string must look similar to the following if the hostname is ag1 and the IP address is 10.10.16.172:

```
open 81,tcp
send GET /nesp/app/heartbeat HTTP/1.1\nHOST:heartbeat.lab.tst\nHTTP/1.1 200
expect HTTP/1.1 200
```

## 9.2.4 Real Server Settings Example

After setting up the health checks, you need to configure the real server settings. The following is an example from a Foundry switch.

<table>
<thead>
<tr>
<th>Current real servers settings:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1: 149.44.171.116, enabled, name 152, weight 1, tincut 10 mins, maxcon 200000</td>
</tr>
<tr>
<td>backup none, inter 2, retry 4, restr 0</td>
</tr>
<tr>
<td>remote disabled, proxy enabled, submac disabled</td>
</tr>
<tr>
<td>cookie assignment server: disabled</td>
</tr>
<tr>
<td>exclusionary string matching: disabled</td>
</tr>
<tr>
<td>service ports: 8443 8080</td>
</tr>
<tr>
<td>real ports:</td>
</tr>
<tr>
<td>8443: uport 8443, group 1, pbind clientip</td>
</tr>
<tr>
<td>virtual server: 1, 149.44.174.229, enabled</td>
</tr>
<tr>
<td>8080: uport 8080, group 1, pbind clientip</td>
</tr>
<tr>
<td>virtual server: 1, 149.44.174.229, enabled</td>
</tr>
<tr>
<td>2: 149.44.174.51, enabled, none bric, weight 1, tincut 10 mins, maxcon 200000</td>
</tr>
<tr>
<td>backup none, inter 2, retry 4, restr 0</td>
</tr>
<tr>
<td>remote disabled, proxy enabled, submac disabled</td>
</tr>
<tr>
<td>cookie assignment server: disabled</td>
</tr>
<tr>
<td>exclusionary string matching: disabled</td>
</tr>
<tr>
<td>service ports: 8443 8080</td>
</tr>
<tr>
<td>real ports:</td>
</tr>
<tr>
<td>8443: uport 8443, group 1, pbind clientip</td>
</tr>
<tr>
<td>virtual server: 1, 149.44.174.229, enabled</td>
</tr>
<tr>
<td>8080: uport 8080, group 1, pbind clientip</td>
</tr>
<tr>
<td>virtual server: 1, 149.44.174.229, enabled</td>
</tr>
</tbody>
</table>
9.2.5 Virtual Server Settings Example

After setting up the real server settings, you need to configure the virtual server settings. The following is an example from a Foundry switch.

```plaintext
Current virtual servers settings:
  1: 149.44.171.220, enabled, dname idp
  virtual ports:
  8443: rport 8443, group 1, phind clientip, frags
  real servers:
    1: 149.44.171.116, weight 1, enabled, backup none
    2: 149.44.174.51, weight 1, enabled, backup none
  8080: rport 8080, group 1, phind clientip, frags
  real servers:
    1: 149.44.171.116, weight 1, enabled, backup none
    2: 149.44.174.51, weight 1, enabled, backup none
```

9.3 Setting up L4 Switch for IPv6 Support

IPv6 provides large number of available addresses, increased security, and reliability. For supporting IPv6, the L4 switch must support addressing both IPv4 and IPv6 as seen in the following figure.

There is no change in listener configuration for Access Manager devices. Hence, real IP configuration and real server group configuration in L4 switch remain the same. Change is required only in the virtual server configuration to accept IPv6 connections.

*Figure 9-2  L4 Switch Support For Both IPv4/IPv6*

The existing IPv4 virtual server configuration is as follows:

- server virtual vir-ipv4 10.50.50.1
- predictor round-robin
- port 8080
Use the following procedure to configure the L4 switch for IPv6 support.

1. Add an IPv6 virtual server for each of the corresponding IPv4 virtual servers:
   - server virtual vir-ipv6 2001::1
   - predictor round-robin
   - port 8080
   - port 8443
   - bind 8080 real1 8080 real2 8080 real3 8080 real4 8080
   - bind 8443 real1 8443 real2 8443 real3 8443 real4 8443

   **NOTE:** All real server configurations (real1, real2, real3, real4) in the above sample remains same for both IPv4 virtual server and IPv6 virtual server configurations.

2. (Optional) To use a client IPv6 address for Authorization or Identity Injection Policies, L4 switch must be configured to send X-Forwarded-For IP header with each HTTP request.

IPv6 support is explained in the following scenarios. For simplicity IPv4 information is not provided. These scenarios support IPv6 in addition to IPv4. For more information on limitations for this support, see Section 9.3.3, "Limitations," on page 824.

### 9.3.1 Web SSO Over IPv6

**Configuration:** The L4 switch is configured to listen to the IPv6 Virtual IP addresses for both Access Gateway and Identity Server clusters, for example, called IDP-v6 and AG-v6. Identity Server and Access Gateway Servers must be configured in the L4 switch for listening to IPv6 requests as actual server groups IDP-Group and AG-Group. These groups serve the requests coming to IPv6 addresses configured in L4 switch.

The whole traffic to IDP-v6 and AG-v6 is forwarded to Identity Server and Access Gateway clusters respectively with the source IP changed to the IP address of the L4 switch (IPv4-Internal).

**How it works:** Incoming traffic to the IDP-v6 and AG-v6 will be redirected to the IDP-Group and AG-Group based on load balancing algorithm configured in the L4 switch. The outgoing response traffic from Identity Server and Access Gateway Servers to the IPv6 clients will be first routed to IPv4-Internal and forwarded back to the client with source IP address of IDP-v6 and AG-v6. The traffic initiated from Identity Servers to Access Gateway Servers and vice versa for metadata exchange, artifact resolution and so on must also be routed through the L4 switch. Hence, Identity Server and Access Gateway Servers must resolve Identity Server and Access Gateway URL to the IPv4 addresses respectively as they understand only IPv4 addresses.

For example, if an internal DNS Server is used, then the DNS Server must be configured to resolve Identity Server/Access Gateway Server URL. If the IPv4 address for Identity Server is 10.75.75.1 and Identity Server URL is www.idp.com, then Identity Server clusters must have 10.75.75.1 www.idp.com in its hosts file.

The incoming traffic can be classified into the following:

- Traffic initiated from IPv6 clients.
- Connections initiated from Access Gateway servers to Identity Servers.
However, both these can be considered the same as the responses from Identity Server and Access Gateway Servers will be using IPv4 address. The L4 switch converts the source to IPv6 address and forwards it to the respective remote parties. The clients can either be configured with IPv4 address or IPv6 address or both (dual stack). If the client is configured to use IPv6 address only or dual stack, it must resolve the published DNS names of Identity Server and Access Gateway Server to the IPv6 addresses respectively.

9.3.2 Federated SSO over IPv6

HTTP Browser clients coming in with IPv6 source address and published DNS names for Identity Provider and Service Provider URLs are accessible using IPv6 addresses. There are two ways you can access these, the Artifact or Post binding. For more information about these, see “Configuring a SAML 2.0 Profile” on page 408, “Configuring a SAML 1.1 Profile” on page 439, and “Configuring a Liberty Profile” on page 443.

9.3.2.1 Federated SSO over IPv6 Using Artifact Binding

- “Configuration” on page 823
- “How it Works?” on page 823

Configuration

The L4 switch is listening in to the IPv6 Virtual IP addresses for Identity Server cluster. Let us call it as IDP-v6. The IPv4-Internal in the L4 switch is connected to the actual Identity Server cluster. IDP-v6 listens to IPv6 clients. The whole traffic to the IDP-v6 will be forwarded to Identity Servers with the source IP changed to IPv4-Internal. Identity Servers listen on the IPv4 addresses only. These IPv4 addresses of Identity Servers must be configured as real server group, say IDP-Group in the L4 switch. This group must serve the requests coming to IDP-v6 address configured in the L4 switch. Incoming traffic to the IDP-v6 addresses will be redirected to the IDP-Group based on the load balancing algorithm configured in the L4 switch.

In case of IDP Servers acting as a Service Provider in an Artifact binding scenario, it needs to resolve the Artifact received from the Identity Provider. Hence, the Service Provider must directly contact the remote Identity Provider. There will be traffic initiated from the Service Provider in federated SSO using Artifact binding. The L4 switch needs another IPv6 interface (IPv6-Internal) to forward connections from IPv6 addresses of Identity Servers to IPv6 addresses of remote Identity Providers. Identity Server acting as Service Provider must be configured to contain both IPv4 and IPv6 addresses. This facilitates communication with the IPv6 address of the L4 switch. If Identity Server is acting as an Identity Provider, there is no connection initiated from Identity Server even in the artifact binding scenario. Hence, an internal IPv6 interface in the L4 switch is not required.

How it Works?

The outgoing response traffic from Identity Servers to the IPv6 clients will be first routed to IPv4-Internal and forwarded back to the clients with source IP address as IDP-v6 address.

When an Identity Server is acting as a Service Provider, the traffic will be initiated from the internal Identity Servers to the remote Identity Providers. This is routed through the L4 switch and Identity Servers must resolve the remote Identity Provider URL to the remote IPv6 address. The DNS server configured for Identity Server must be configured to resolve the Identity Provider URL to the remote IPv6 address.
When Identity Server is acting as an Identity Provider, the incoming traffic to this Identity Server can be classified into the following:

- Traffic initiated from IPv6 clients.
- Traffic from the remote Service Provider.

However, the response from Identity Server uses IPv4 address in both cases. L4 switch converts the response to IPv6 address and forwards it to remote IPv6 clients and Service Providers respectively. The clients can either be configured with IPv4 address or IPv6 address or both (dual stack). If the client is configured to use IPv6 address only or dual stack, it must resolve the published DNS name of Identity Server to IDP-v6 address.

9.3.2.2 Federated SSO over IPv6 using Post Binding

- “Configuration” on page 824
- “How it Works?” on page 824

Configuration

The L4 switch is listening in to the IPv6 Virtual IP addresses for Identity Server cluster. Let us call it as IDP-v6. The IPv4-Internal in the L4 switch is connected to the actual Identity Server cluster. IDP-v6 listens to IPv6 clients. The traffic to the IDP-v6 will be forwarded to Identity Servers with the source IP changed to IPv4-Internal.

Identity Servers listen on the IPv4 addresses only. These IPv4 addresses of Identity Servers must be configured as real server group, say IDP-Group in the L4 switch. This group must serve the requests coming to IDP-v6 address configured in the L4 switch. Incoming traffic to the IDP-v6 addresses will be redirected to the IDP-Group based on the load balancing algorithm configured in the L4 switch.

Since there is no traffic initiated from the Identity Provider or Service Provider in federated SSO using Post binding, Identity Servers must listen only using IPv4 address.

How it Works?

The outgoing response traffic from Identity Servers to the IPv6 clients will be first routed to IPv4-Internal and forwarded back to the clients with source IP address as IDP-v6 address.

Since it is Post profile only incoming traffic will be from IPv6 clients. The clients can either be configured with IPv4 address or IPv6 address or both (dual stack). If the client is configured to use IPv6 address only or dual stack, it must resolve the published DNS name of IDP to IDP-v6 address.

9.3.3 Limitations

The following scenarios are not supported:

- Access Gateways communicating over IPv6 to the Web Servers listening in IPv6 addresses.
- Identity Servers communicating over IPv6 to the LDAP User stores listening in IPv6 addresses.
9.4 Using a Software Load Balancer

Instead of using an L4 switch, you can cluster Identity Servers and Access Gateways behind a software load balancer that runs in Layer 7. Each manufacturer uses slightly different terminology, but the basic steps are quite similar. You need to create the following types of objects:

- Pools to specify how load balancing occurs, such as round robin.
- Persistence classes to be used within the pools to enable the sticky bit or to keep state so that a connection is sent to the same device.
- Monitors to be used within the pools for monitoring the health heartbeat of the device.
- Virtual servers to set up the ports and protocols for the pools.
- Traffic IP groups where the virtual IP addresses are set up and tied to the virtual servers.

Because the software actually runs in Layer 7, it does not require any special networking setup and it runs on standard server hardware.

As an example, the following instructions explain how to configure the Zeus ZXTM Load Balancer with HTTP and HTTPS for Identity Server and Access Gateway. For more information about this product, see Zeus Technology.

1. Create two persistence classes, one for HTTPS and one for HTTP.
   
   HTTP > J2EE Session Persistence
   HTTPS > SSL Session ID

2. Create four monitors, two for Identity Servers and two for Access Gateways.
   2a. Use the following paths to specify a path for HTTP and a path for HTTPS:
      
      **Identity Server:** /nidp/app/heartbeat
      **Access Gateway:** /nesp/app/heartbeat
   2b. Configure the following parameters for the monitors:
      
      HTTP: timeout=10 seconds, use_ssl=no, host_header: <domain>, body_regex: Success
      HTTPS: timeout=10 seconds, use_ssl=yes, host_header: <domain>, body_regex: Success
   
   Replace `<domain>` with the DNS name of the Access Manager device

3. Create four pools, one for each monitor. Configure each pool with the following parameters:
   
   Load _balancing: Round Robin
   persistence: <new class created>
   max_reply_time: 10

   For an HTTP resource, replace `<new class created>` with the HTTP class you created. For an HTTPS resource replace `<new class created>` with the HTTPS class you created.

4. Create four virtual servers, one for each port. Configure each with the following parameters:
   
   Protocol: <scheme>
   Port: <port>
   Pool: <pool created>

   Replace `<scheme>` with HTTP or HTTPS.
   Replace `<port>` with one of the following values: 80,8080,443, or 8443.
   Replace `<pool created>` with one of the pools you created in Step 3.

5. Create two traffic manager groups, one for Identity Servers and one for Access Gateway.
This is where the virtual IP address is set up.

6 Start the traffic groups.
Security And Certificates

Access Manager Appliance includes a certificate management service, which allows you to manage the certificates used for digital signatures and data encryption. You can create locally signed certificates or import externally signed certificates, then assign these certificates to the trust stores and keystores of the following components:

- **Identity Server**: Certificates allow you to provide secure authentication to Identity Server and enable encrypted content from Identity Server portal through HTTPS. They also provide secure communications between trusted Identity Servers and user stores.

- **Access Gateway**: Uses server certificates and trusted roots to protect web servers, provide single sign-on, and enable the product’s data confidentiality features, such as encryption.

You can install and distribute certificates to Access Manager Appliance components and configure how the components use certificates. This includes central storage, distribution, and expired certificate renewal.

**NOTE:** For detailed information about how to secure Access Manager, see *NetIQ Access Manager Appliance 4.3 Security Guide*.

- Chapter 10, “Securing Access Manager,” on page 829
- Chapter 11, “Setting Up Advanced Session Assurance,” on page 841
- Chapter 12, “Understanding Access Manager Certificates,” on page 849
- Chapter 13, “Creating Certificates,” on page 851
- Chapter 14, “Managing Certificates and Keystores,” on page 859
- Chapter 15, “Assigning Certificates to Access Manager Appliance,” on page 869
- Chapter 16, “Managing Trusted Roots and Trust Stores,” on page 871
- Chapter 17, “Enabling SSL Communication,” on page 875
Securing Access Manager

Administration Console contains all the configuration information for all Access Manager Appliance components. If you federate your users with other servers, it stores configuration information about these users. You need to protect Administration Console so that unauthorized users cannot change configuration settings or gain access to the information in the configuration store. When you develop a security plan for Access Manager Appliance, consider the following:

- Section 10.1, “Securing Administration Console,” on page 829
- Section 10.2, “Protecting the Configuration Store,” on page 830
- Section 10.3, “Security Considerations for Certificates,” on page 830
- Section 10.4, “Configuring Secure Communication on Identity Server,” on page 831
- Section 10.5, “Security Considerations for Identity Server,” on page 832
- Section 10.6, “Enabling Secure Cookies,” on page 837
- Section 10.7, “Preventing Cross-site Scripting Attacks,” on page 838

10.1 Securing Administration Console

When you look for ways to secure Administration Console from unauthorized access, consider the following:

**Admin User:** The admin user you create when you install Administration Console has all rights to the Access Manager Appliance components. We recommend that you protect this account by configuring the following features:

- **Password Restrictions:** When the admin user is created, no password restrictions are set. To ensure that the password meets your minimum security requirements, you should configure the standard eDirectory password restrictions for this account. In Administration Console Dashboard, click <user name> and then click Manage Roles & Tasks. Click Roles and Tasks > Users in the iManager header. Browse to the admin user (found in the novell container), then click Restrictions. For configuration help, use the Help button.

- **Intruder Detection:** The admin user is created in the novell container. You should set up an intruder detection policy for this container. In Administration Console Dashboard, click <user name> and then click Manage Roles & Tasks. Click Roles and Tasks > Directory Administration > Modify Object. Select novell, then click OK. Click Intruder Detection. For configuration help, use the Help button.

- **Multiple Administrator Accounts:** Only one admin user is created when you install Access Manager Appliance. If something happens to the user who knows the name of this user and password or if the user forgets the password, you cannot access Administration Console. It is recommended that you create at least one backup user and make that user security equivalent to the admin user. For instructions, see Section 2.3.1, “Creating Multiple Admin Accounts,” on page 42. For other considerations when you have multiple administrators, see Section 2.3, “Managing Administrators,” on page 41.

**Network Configuration:** You need to protect Administration Console from Internet attacks. It should be installed behind your firewall.
Delegated Administrators: If you create delegated administrators for policy containers (see Section 2.3.3, "Managing Delegated Administrators," on page 43), be aware that they have sufficient rights to implement a cross-site scripting attack using the Deny Message in an Access Gateway Authorization policy.

They are also granted rights to the LDAP server, which gives them sufficient rights to access the configuration datastore with an LDAP browser. Modifications done with an LDAP browser are not logged by Access Manager. To enable the auditing of these events, see “Activating eDirectory Auditing for LDAP Events” on page 47.

Test Certificates: When you install Administration Console, the NAM-RP certificate is automatically generated and associated with NAM-RP Reverse Proxy (Devices > Access Gateways > [AG-Cluster] > [NAM-RP]).

10.2 Protecting the Configuration Store

The configuration store is an embedded, modified version of eDirectory. It is backed up and restored with command line options, which back up and restore the Access Manager Appliance configuration objects in the ou=accessManagerContainer.o=novell object.

You should back up the configuration store on a regular schedule, and the ZIP file created should be stored in a secure place. See Section 28, “Back Up and Restore,” on page 1007.

In addition to backing up the configuration store, you should also install at least two Administration Consoles (a primary and a secondary). If the primary console goes down, the secondary console can keep the communication channels open between the various components. You can install up to three Administration Consoles. For installation information, see Section 9.1, “Installing Secondary Versions of Access Manager Appliance,” on page 813.

The configuration store should not be used for a user store.

10.3 Security Considerations for Certificates

Your security deployment plan should contain policies for the following:

- **Key size for certificates**: Access Manager Appliance ships with a CA that can create certificates with a key size of 512, 1024, 2048, or 4096. Select the maximum size supported by the applications that you are protecting with Access Manager Appliance.

- **Certificate renewal dates**: Ensure that you renew certificates before it gets expired. Your security needs might allow for a longer or shorter period.

- **Trusted certificate authorities**: Access Manager Appliance ships with a CA, and during installation of the various components, it creates and distributes certificates. For added security, you might want to replace these certificates with certificates from a well-known CA.

**NOTE:** Access Manager supports SHA-256 and SHA-512 as a signing algorithm.

For more information about how to import certificates, see Section 13.5, "Importing a Signed Certificate," on page 857.
10.4 Configuring Secure Communication on Identity Server

Identity Server uses the key pairs (NAM-RP-Certificate) associated with the NAM-RP Reverse Proxy Service (Access Manager > Devices > Access Gateway > [AG-Cluster] > NAM-RP) for secure communication. In a production environment, you should exchange the NAM-RP-Certificate that is created at the installation time with certificate from a trusted certificate authority.

Identity Server uses the key pair for following scenarios:

- To establish SSL communication between Identity Server and the browsers and between Identity Server and Access Gateway for back-channel communications.
- To sign authentication requests, to sign communication with providers on the SOAP back channel, and to sign Web Service Provider profiles.
- To encrypt specific fields or data in the assertions. For more information about the services that use the certificate for encryption, see Section 10.4.2, “Viewing Services That Use the Encryption,” on page 832.
- To enable secure communication between the user store and Identity Server, you can also import the trusted root certificate of the user store. For configuration information, see Section 5.1.1, “Configuring Identity User Stores,” on page 292.

This section describes the following tasks:

- Section 10.4.1, “Viewing the Services That Use the Signing,” on page 831
- Section 10.4.2, “Viewing Services That Use the Encryption,” on page 832

10.4.1 Viewing the Services That Use the Signing

The following services can be configured to use signing:

- Section 10.4.1.1, “Protocols,” on page 831
- Section 10.4.1.2, “SOAP Back Channel,” on page 832
- Section 10.4.1.3, “Profiles,” on page 832

10.4.1.1 Protocols

The protocols can be configured to sign authentication requests and responses.

To view your current configuration:

1. Click Devices > Identity Servers > Edit.
2. In the Identity Provider section, view the setting for the Require Signed Authentication Requests option. If it is selected, all authentication requests from service providers must be signed.
3. In the Identity Consumer section, view the settings for the Require Signed Assertions and Sign Authentication Requests options. If these options are selected, assertions and authentication requests are signed.
10.4.1.2 SOAP Back Channel

The SOAP back channel is the channel that the protocols use to communicate directly with a provider. The SOAP back channel is used for artifact resolutions and attribute queries for the Identity Web Services Framework.

To view your current configuration for the SOAP back channel:

1. Click Devices > Identity Servers > Edit.
2. Select the protocol (Liberty, SAML 1.1, or SAML 2.0), then click the name of an identity provider or service provider.
3. Click Trust.
4. View the Security section. If the Message Signing option is selected, signing is enabled for the SOAP back channel.

10.4.1.3 Profiles

Any of the Web Service Provider profiles can be enabled for signing by configuring them to use X.509 for their message-level security mechanism.

To view your current configuration:

1. Click Devices > Identity Servers > Edit > Liberty > Web Service Provider.
2. Click the name of a profile, then click Descriptions.
3. Click the Description Name.
4. If either Peer entity = None, Message=X509 or Peer entity = MutualTLS, Message=X509 has been selected as the security mechanism, signing has been enabled for the profile.

10.4.2 Viewing Services That Use the Encryption

All of the Liberty Web Service Provider Profiles allow you to configure them so that the resource IDs are encrypted. By default, no profile encrypts the IDs.

To view your current configuration:

1. Click Devices > Identity Servers > Edit > Liberty > Web Service Provider.
2. Click the name of a profile.
3. If the Have Discovery Encrypt This Service’s Resource IDs option is selected, the encryption key pair is used to encrypt the resource IDs.

10.5 Security Considerations for Identity Server

You can increase security of Identity Server by considering the following topics:

- Section 10.5.1, “Federation Options,” on page 833
- Section 10.5.2, “Authentication Contracts,” on page 833
- Section 10.5.3, “Forcing 128-Bit Encryption,” on page 834
- Section 10.5.4, “Configuring the Encryption Method for the SAML Assertion,” on page 834
10.5.1 Federation Options

When you set up federation between an identity provider and a service provider, you can select either to exchange assertions with a post method or to exchange artifacts.

- An assertion in a post method might contain the user’s password or other sensitive data, which can make it less secure than an artifact when the assertion is sent to the browser. It is possible for a virus on the browser machine to access the memory where the browser decrypts the assertion.
- An artifact is a randomly generated ID, it contains no sensitive data, and only the intended receiver can use it to retrieve assertion data.

If both providers support artifacts, you should select this method because it is more secure. For more details, see the Response protocol binding option in “Configuring a SAML 2.0 Authentication Request” on page 416 and Section 5.2.6.6, “Configuring a Liberty Authentication Request,” on page 445.

For more information about how to setup federation, see Section 5.2.1, “Configuring Federation,” on page 359.

10.5.2 Authentication Contracts

By default, Administration Console allows you to select from the following contracts and options when specifying whether a resource requires an authentication contract:

- **None:** Allows public access to the resource and does not require authentication contract.
- **Name/Password - Basic:** Requires that the user enter a name and password that matches an entry in an LDAP user store. The credentials do not need to be sent over a secure port. This uses the unprotected BasicClass, which is not recommended for a production environment.
- **Name/Password - Form:** Requires that the user enter a name and password that matches an entry in an LDAP user store. The credentials do not need to be sent over a secure port, although they can be if the user is configured for HTTPS. This contract uses the unprotected PasswordClass, which is not recommended for a production environment.
- **Secure Name/Password - Basic:** Requires that the user enter the name and password from a secure (SSL) connection. This uses the ProtectedBasicClass, which is recommended for a production environment.
- **Secure Name/Password - Form:** Requires that the user enter the name and password from a secure (SSL) connection. This uses the ProtectedPasswordClass, which is recommended for a production environment.
- **Any Contract:** Allows the user to use any contract defined for Identity Server configuration.

If you have set up the Access Manager to require SSL connections among all of its components, you should delete the Name/Password - Form and the Name/Password - Basic contracts. This removes them from the list of available contracts when configuring protected resources and prevents them from being assigned as the contract for a protected resource. If these contracts are assigned, the user’s password can be sent across the wire in clear text format. At some future date, if your system needs this type of contract, you can re-create it from the method. To delete these contracts, go to Administration Console and click **Identity Servers > Servers > Edit > Local > Contracts.**
## 10.5.3 Forcing 128-Bit Encryption

All client communication with Identity Server currently uses 128-bit encryption. If the browser is unable to support 128-bit encryption, the user is not allowed to authenticate. You can modify the supported encryption level by adding or removing the ciphers listed in the `server.xml` file.

1. At a command prompt, change to the Tomcat configuration directory:
   - **Linux**: `/opt/novell/nam/idp/conf`

2. To edit the `server.xml` entries, search for the `cipher` attribute in the `<Connector>` element and then modify the list of ciphers based on your needs. For example, a sample configuration to enable 128-bit encryption will be as follows:

   ```
ciphers="SSL_RSA_WITH_RC4_128_MD5, SSL_RSA_WITH_RC4_128_SHA,
   TLS_RSA_WITH_AES_128_CBC_SHA, TLS_DHE_RSA_WITH_AES_128_CBC_SHA,
   TLS_DHE_DSS_WITH_AES_128_CBC_SHA, SSL_RSA_WITH_3DES_EDE_CBC_SHA,
   SSL_DHE_RSA_WITH_3DES_EDE_CBC_SHA, SSL_DHE_DSS_WITH_3DES_EDE_CBC_SHA,
   TLS_KRB5_WITH_3DES_EDE_CBC_SHA, TLS_KRB5_WITH_RC4_128_SHA"
   ```

   This is a comma-separated list of the JSSE names for the TLS cipher suites.

   **IMPORTANT**: If you enter a cipher name incorrectly, Tomcat reverts to the default values, which allow the weak ciphers to be used.

   If you want to allow the SSL cipher suites, the following JSSE names can be added to the list:

   ```
   SSL_RSA_WITH_RC4_128_MD5
   SSL_RSA_WITH_RC4_128_SHA
   ```

   For a complete list of supported cipher suites and their requirements, see The SunJSSE Provider.

3. To activate the cipher list, restart Tomcat.
   - **Linux**: Enter one of the following commands:
     ```
     /etc/init.d/novell-idp restart
     rcnovell-idp restart
     ```

4. (Conditional) If you have multiple Identity Servers in your cluster configuration, repeat these steps on each Identity Server.

### Preventing Automatically Changing the Session ID

1. Click Devices > Identity Servers > Edit > Options > New.
2. Set the RENAME SESSION ID property to false.
3. Restart Tomcat on each Identity Server in the cluster.

## 10.5.4 Configuring the Encryption Method for the SAML Assertion

By default, AES128 (Advanced Standard Encryption, 128-bit) is used to encrypt SAML assertions. If you require a different encryption method, such as TDES (Triple Data Encryption Algorithm) or AES256 (Advanced Standard Encryption, 256-bit), you can modify the Tomcat `web.xml` file and specify your required method. To use PKCS 2.0 (RSA-OAEP) for encryption, see TID.

1. Open the `web.xml` file.
   - **Linux**: `/opt/novell/nam/idp/webapps/nidp/WEB-INF/

2. Add the following lines to the file:
<context-param>
    <param-name>EncryptionMethod</param-name>
    <param-value>TDES</param-value>
</context-param>

You can set the <param-value> element to TDES, AES128, or AES256. Because AES128 is the default, specifying this value in the web.xml file does not change any behavior.

3 Save the file and copy it to each Identity Server in the cluster.

4 Restart Tomcat on each Identity Server in the cluster.

Linux: Enter the following command:
/etc/init.d/novell-idp restart
rcnovell-idp restart

The following algorithms for encryption method are supported:

<md:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#aes128-cbc"/>
<md:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#aes256-cbc"/>
<md:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#tripledes-cbc"/>
<md:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-oaep"/>
<md:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p"/>

10.5.5 Configuring SAML 2.0 to Sign Messages

In conformance with the SAML 2.0 specification, Identity Server does not require the signing post messages. However, if you want this extra layer of security, you can configure Identity Server to sign SAML 2.0 post messages. This is a global option, and when enabled, all SAML 2.0 service providers sign post messages.

To enable the signing of post messages:

1 Open the web.xml file.
   Linux: /opt/novell/nam/idp/webapps/nidp/WEB-INF/

2 Add the following lines to the file:

   <context-param>
      <param-name>SignPost</param-name>
      <param-value>true</param-value>
   </context-param>

3 Save the file and copy it to each Identity Server in the cluster.

4 Restart Tomcat on each Identity Server in the cluster.

Linux: Enter one of the following commands:
/etc/init.d/novell-idp restart
rcnovell-idp restart

You can configure the Identity Server to sign SAML 2.0 post messages for one or multiple trust providers.

To enable the signing of post messages for specific trust providers:

Enable the following properties in the Administration Console. For information about how to set properties in the Administration Console, see "Configuring Identity Server Global Options" on page 59 and "Defining Options for SAML 2.0" on page 420.
IS SAML2 POST SIGN RESPONSE: Specify true to enable the identity provider to send signed SAML 2.0 post responses to all its trusted providers.

NOTE: Configuring IS SAML2 POST SIGN RESPONSE is same as configuring the SignPost in web.xml. However, configuring it through the Administration Console is recommended because it provides more options. You can combine these options with IS SAML2 POST SIGN RESPONSE to avoid Access Manager restarts.

SAML2 POST SIGN RESPONSE TRUSTEDPROVIDERS: Specify one or more trusted provider's entityID. Set the value as true to verify the signed SAML 2.0 post responses.

10.5.5.1 Avoiding Assertion Signing Validation

You can enable the SAML2 AVOID SIGN AND VALIDATE ASSERTION TRUSTEDPROVIDERS option on both identity provider and service provider.

If this flag is set on the identity provider, then the complete POST message (excluding the assertion) is signed.

If this option is set on the service provider, then the signature of the POST message is verified but the assertion signature is not verified. If this option is not set on the service provider and an identity provider sends an assertion where only the assertion is signed, the service provider cannot validate it.

To avoid the assertion signing or validation, perform the following procedure at identity provider/service provider.

1 In Administration Console, click Identity Server > Edit > SAML 2.0 > Service Provider or Identity Provider > Options > New.

2 Select SAML2 AVOID SIGN AND VALIDATE ASSERTION TRUSTEDPROVIDERS and specify the value in this format: entityID of the identity provider, entityID2 of the service provider.

NOTE: The entityID of the identity provider and the service provider are comma-separated values.

For example, to enable this flag on the identity provider use the following format: https://www.idp.com:8443/nidp/saml/metadata

For example, to enable this flag on the service provider use the following format: https://www.sp.com:8443/nidp/saml/metadata

3 Save the configuration.

4 Restart Identity Server. For restarting, see Step 4 on page 835.

When this flag is set in the service provider, the SAML 2.0 POST response is signed and assertion is not signed. The service provider will accept the response instead of returning an error.

10.5.6 Blocking Access to Identity Server Pages

Identity Server has a couple of pages that authenticated users can access and which contain information about the user and Identity Server that some security models deem sensitive. If you want to block user access to these pages, see the following sections:

- “Blocking Access to the User Portal Page” on page 357
- “Blocking Access to the WSDL Services Page” on page 358
10.6   Enabling Secure Cookies

Access Gateway and Embedded Service Provider of Access Gateway both use session cookies in their communication with the browser. The following sections explain how to protect these cookies from being intercepted by hackers.

- Section 10.6.1, “Securing the Embedded Service Provider Session Cookie on Access Gateway,” on page 837
- Section 10.6.2, “Securing the Proxy Session Cookie,” on page 838

For more information about making cookies secure, see the following documents:

- Secure attribute for cookies in RFC 2965 (http://www.faqs.org/rfcs/rfc2965.html)
- HTTP-only cookies (http://msdn.microsoft.com/en-us/library/ms533046.aspx)

10.6.1    Securing the Embedded Service Provider Session Cookie on Access Gateway

An attacker can spoof a non-secure browser into sending a JSESSION cookie that contains a valid user session. This might happen because Access Gateway communicates with its ESP on port 9009, which is a non-secure connection. Because ESP does not know whether Access Gateway is using SSL to communicate with the browsers, ESP does not mark the JSESSION cookie as secure when it creates the cookie. Access Gateway receives the Set-Cookie header from ESP and passes it to the browser as a non-secure clear-text cookie. If an attacker spoofs the domain of Access Gateway, the browser sends the non-secure JSESSION cookie over a non-secure channel where the cookie might be sniffed.

To stop this, you must first configure Access Gateway to use SSL. See Section 17.4, “Configuring SSL Communication with Browsers and Access Gateway,” on page 881.

After you have SSL configured, you must configure Tomcat to secure the cookie.

1. Log in to Access Gateway server as an admin user.
2. Change to the Tomcat configuration directory.
   `/opt/novell/nam/mag/conf`
3. In a text editor, open the `server.xml` file.
4. Search for the connector on port 9009.
5. Add the following parameter within the `Connector` element:
   ```xml
   secure="true"
   ```
7. Enter one of the following commands to restart Tomcat:
   ```bash
   /etc/init.d/novell-mag restart OR rcnovell-mag restart
   ```

Preventing Automatically Changing Session ID

2. Set `RENAME_SESSIONID` to false. By default, this is set to true.
3. Restart Tomcat on each Identity Server in the cluster.
10.6.2 Securing the Proxy Session Cookie

The proxy session cookies store authentication information and other information in temporary memory that is transferred between the browser and the proxy. These cookies are deleted when the browser is closed. However, if these cookies are sent through a non-secure channel, hackers might intercept the cookies and impersonate a user on websites. To stop this, you can use the following configuration options:

- Section 10.6.2.1, "Setting an Authentication Cookie with a Secure Keyword for HTTP," on page 838
- Section 10.6.2.2, “Preventing Cross-Site Scripting Vulnerabilities,” on page 838

10.6.2.1 Setting an Authentication Cookie with a Secure Keyword for HTTP

You can configure Access Gateway to force the HTTP services to have the authentication cookie set with the keyword secure.

To enable this option, perform the following steps:

2. Select Enable Secure Cookies, then click OK twice.

This option is used to secure the cookie when Access Gateway is placed behind an SSL accelerator, such as the Cisco SSL accelerator, and Access Gateway is configured to communicate by using only HTTP.

10.6.2.2 Preventing Cross-Site Scripting Vulnerabilities

Cross-site scripting vulnerabilities in web browsers allow malicious sites to grab cookies from a vulnerable site. The goal of such attacks might be to perform session fixation or to impersonate a valid user. You can configure Access Gateway to set its authentication cookie with the HttpOnly keyword to prevent scripts from accessing the cookie.

To enable this option, perform the following steps:

2. Select Force HTTP-Only Cookies, then click OK > OK.

10.7 Preventing Cross-site Scripting Attacks

By default, Access Manager does extensive checks to prevent Cross-site Scripting (XSS) attacks. However, Access Manager does not validate a JSP file if you have customized it. If you modify JSP files to customize the login, logout, error pages, and so forth, you must sanitize the JSP file to prevent XSS attacks.

You need to perform either one of the following options to sanitize the customized JSP file:

- "Option 1: HTML Escaping" on page 839
- "Option 2: Filtering" on page 839
10.7.1 Option 1: HTML Escaping

Perform the following XSS checks for the customized JSP file to protect it from possible XSS attacks. For more information about XSS prevention techniques, see XSS (Cross Site Scripting) Prevention Cheat Sheet.

Perform the following steps:

1. **Verify if the** `org.apache.commons.lang.StringEscapeUtils` **class is available in the JSP file.**
   
   For example, the following import statement should be available in the import section of the JSP file:
   ```
   <%@ page import="org.apache.commons.lang.StringEscapeUtils"%>
   ```

2. **Verify if all URL query parameter values are sanitized.**
   
   The following code snippet sample shows how URL query parameter values (uname and target) can be sanitized:
   ```
   String target = (String) request.getAttribute("target");
   String uname = (String) request.getAttribute("username");
   String sanitizedUNName = "; if (uname != null)
   //Sanitize the value assigned to uname
   sanitizedUNName = StringEscapeUtils.escapeHtml(uname);
   String sanitizedTarget = "; if (target != null)
   //Sanitize the value assigned to target query param
   sanitizedTarget = StringEscapeUtils.escapeHtml(target);
   ```

3. **Add double quotes (""") in value attribute (or any attribute that is dynamically assigned) for any HTML element that get assigned with above URL query param value.**

   ```
   <input type="text" class="smalltext" name="Ecom_User_ID" size="30" value="<%=sanitizedUName%>>
   ```

4. **Restart the component whose JSP file you have modified.** For example, if you modify Identity Server's JSP file, restart Identity Server by running the following command:

   ```
   sh /etc/init.d/novell-idp restart
   ```

10.7.2 Option 2: Filtering

By default, the XSS detection filter is enabled in Identity provider's web.xml file:

- **Linux:** `/opt/novell/nam/idp/webapps/nidp/WEB-INF`
- **Windows:** `C:\Program Files (x86)\Novell\Tomcat\webapps\nidp\WEB-INF`

The filter is as follows:
<filter>
  <filter-name>XSSDetectionFilter</filter-name>
  <filter-class>com.novell.nidp.servlets.filters.xss.XSSDetectionFilter</filter-class>
  <description>This filter is used to detect XSS attacks in NIDS</description>
  <init-param>
    <param-name>active</param-name>
    <param-value>True</param-value>
  </init-param>
  <init-param>
    <param-name>level</param-name>
    <param-value>SCRIPT_TAGS</param-value>
  </init-param>
  <init-param>
    <param-name>exclude</param-name>
    <param-value>soap,wstrust,metadata,oauth</param-value>
  </init-param>
</filter>

To disable it, set the <param-value> True to False as follows:

<init-param>
  <param-name>active</param-name>
  <param-value>False</param-value>
</init-param>

To exclude it from a specific request, add a URL string from that request in the <param-name>exclude</param-name> tag that contains the default excluded request path name.

For example: If wsfed request fails due to some reason, add wsfed in the exclude list. Now, Identity Provider will not filter wsfed specific requests.

The exclude init-param is as follows:

<init-param>
  <param-name>exclude</param-name>
  <param-value>soap,wstrust,metadata,oauth,wsfed</param-value>
</init-param>

NOTE: It is recommended to use the above option as it overrides the following approach:

This approach might have a minor performance impact due to the checks it performs. If you perform HTML escaping in customized JSP pages, you do not need to perform this additional filtering.

Perform the followings steps to sanitize Identity Server's customized JSP file:

1 The eMFrame_xss.jar file is located at:
   This library prevents XSS based attacks.

2 Add a filter in the web.xml file located at:
   <filter>
     <filter-name>XSS</filter-name>
     <display-name>XSS</display-name>
     <description>Filters XSS injections.</description>
     <filter-class>com.novell.emframe.fw.filter.CrossScriptingFilter</filter-class></filter>
   <filter-mapping>
     <filter-name>XSS</filter-name>
     <url-pattern>/</url-pattern>
   </filter-mapping>

3 Restart Identity Server by running the following command:
With the introduction of risk-based authentication mechanisms combined with strong authentication methods, manipulating user credentials to gain unauthorized access has become very difficult. Many web applications use cookies to manage the user sessions. Numerous basic security measures are available to secure the session cookie. However, cookies are susceptible to replay attacks. Session timeouts and IP address validations can minimize the chances of replay attacks. But, chances of misusing a session cookie to gain unauthorized access to an active session still exist.

Advanced Session Assurance enables you to prevent session replay attacks by adding an additional layer of security to your sessions. When a session is established, Access Manager Appliance creates a unique fingerprint of the device from which the session is established. During the session, at a configurable time interval, Access Manager Appliance validates the session to ensure that the fingerprint matches with that of the device it originated from.

Access Manager Appliance also generates a new ID for the session at a specified time interval. If the fingerprint or the session ID does not match, Access Manager Appliance logs the user out and invalidates the session.

Advanced Session Assurance provides three levels of protection as follows:

- **Session Renewal**: A new ID for the active session is generated at the specified interval. Even after enabling fingerprinting, if intruders steal the session ID, they cannot hijack the session as the ID keeps changing after the specified time. In a fresh install, this is enabled for both Identity Server and Access Gateway by default. However, it is disabled for both Identity Server and Access Gateway in an upgraded setup.

- **Device Fingerprinting**: A fingerprint is created by using the parameters fetched from the user's device such as hardware parameters and screen resolution.

- **Server-side Fingerprinting**: A fingerprint is created by using the parameters fetched at the server-side using request parameters such as http headers or IP address.

Access Manager Appliance supports the following parameters in Advanced Session Assurance validations for Identity Server and Access Gateways sessions:

<table>
<thead>
<tr>
<th>Table 11-1</th>
<th>Advanced Session Assurance Parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parameter</td>
<td>Description</td>
</tr>
<tr>
<td>Request Parameters:</td>
<td></td>
</tr>
<tr>
<td>Client IP</td>
<td>Fetches the IP address of the client.</td>
</tr>
<tr>
<td>Request Header Set</td>
<td>Fetches the user-agent from the request headers of the incoming request.</td>
</tr>
</tbody>
</table>
This section includes the following topics:

- "Enabling Advanced Session Assurance at the Cluster Level" on page 843
- "Enabling Advanced Session Assurance at the Proxy Service Resource Level" on page 843
- "Setting Up Session Validation and Renewal Interval" on page 844
- "Modifying Parameters Settings" on page 845
- "Disabling Advanced Session Assurance" on page 845
- "An Example Configuration" on page 848
- Section 30.10, "Troubleshooting Advanced Session Assurance," on page 1107

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Device Parameters:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Hardware Parameters</strong></td>
<td>Fetches the following details about the user's device:</td>
</tr>
<tr>
<td></td>
<td>- Touch support</td>
</tr>
<tr>
<td></td>
<td>- Maximum number of supported touch points</td>
</tr>
<tr>
<td></td>
<td>- CPU architecture (32 or 64-bit processor)</td>
</tr>
<tr>
<td></td>
<td>- Color depth</td>
</tr>
<tr>
<td></td>
<td>- Type (mobile, desktop, or iPad)</td>
</tr>
<tr>
<td><strong>Language Set</strong></td>
<td>Fetches language preferences of the user's device.</td>
</tr>
<tr>
<td><strong>Screen Resolution</strong></td>
<td>Fetches width and height of the user's browser and screen.</td>
</tr>
<tr>
<td><strong>Time Zone Offset</strong></td>
<td>Fetches time zone of the user's device.</td>
</tr>
<tr>
<td><strong>Operating System</strong></td>
<td>Fetches name and version of the operating system on the user's device.</td>
</tr>
<tr>
<td><strong>User Agent</strong></td>
<td>Fetches the following details about the browser on the user's device:</td>
</tr>
<tr>
<td></td>
<td>- Version</td>
</tr>
<tr>
<td></td>
<td>- Name</td>
</tr>
<tr>
<td></td>
<td>- Platform of the browser</td>
</tr>
<tr>
<td></td>
<td>- Number of logical processors cores available to the browser</td>
</tr>
<tr>
<td><strong>HTML5 Capabilities (Performance Intensive)</strong></td>
<td>Fetches the information about HTML 5 capabilities that are supported by the browser.</td>
</tr>
<tr>
<td><strong>System Fonts (Performance Intensive)</strong></td>
<td>Fetches the information about fonts supported and unsupported by the user's browser.</td>
</tr>
<tr>
<td><strong>WebGL Metadata (Performance Intensive)</strong></td>
<td>Fetches information about the GPU (Graphics Processing Unit), the identity of the browser, WebGL properties, and characteristics supported by the browser.</td>
</tr>
</tbody>
</table>

WebGL (Web Graphics Library) is a JavaScript API for rendering interactive 3D computer graphics and 2D graphics within any compatible web browser without using plug-ins.
Enabling Advanced Session Assurance at the Cluster Level

Identity Server: By default, in a fresh installation, both device fingerprinting-based and server-side fingerprinting-based validations are enabled for all clusters.

Access Gateway: By default, in a fresh installation, device fingerprinting-based validation is disabled and server-side fingerprinting-based validation is enabled for all clusters.

To enable device fingerprinting-based validation for Access Gateway, you must enable it at the proxy service resource level. See "Enabling Advanced Session Assurance at the Proxy Service Resource Level" on page 843.

NOTE: Advanced Session Assurance is disabled by default for Identity Server and Access Gateway in an upgraded setup. You must upgrade all nodes in the clusters of Identity Server and Access Gateway to version 4.3 before enabling Advance Session Assurance.

For Access Gateway clusters and proxy services, you should enable Advanced Session Assurance only if needed. See "Best Practices for Enabling Advanced Session Assurance at the Proxy Service Resource Level" on page 844.

Perform the following steps to enable Advanced Session Assurance at the cluster level:

1. Click Security > Advanced Session Assurance.
2. In Cluster Level Configurations, select Identity Server clusters or Access Gateway clusters for which you want to enable Advanced Session Assurance.

Enabling Advanced Session Assurance at the Proxy Service Resource Level

For Access Gateway, you can disable or enable device fingerprinting-based validation at the proxy service level at the respective configuration pages or at the Advanced Session Assurance page.

Perform anyone of the following procedures to enable Advanced Session Assurance at the proxy service level:

At the respective configuration page:

1. Click Devices > Access Gateway > Edit > [name of reverse proxy] > [name of proxy service].
2. Select Enable Advanced Session Assurance.

At the Advanced Session Assurance page:

1. Click Security > Advanced Session Assurance.
2. Click Proxy Service Settings, select the proxy service for which you want to enable the device fingerprinting-based validation.
Best Practices for Enabling Advanced Session Assurance at the Proxy Service Resource Level

Before enabling the Advanced Session Assurance for your applications, understand how this works. See Table 11-1, “Advanced Session Assurance Parameters,” on page 841.

If the application is a single page application or runs with browser plug-ins, consider the following scenarios:

- As the cookie gets renewed on the browser at the specified interval, ensure that your application picks up the updated cookie and sends it with every request.
- When you enable server-side fingerprinting, ensure that your application sends the same user-agent header over the entire session.

For example, assume SharePoint is protected by Access Gateway. When you try to open any application on SharePoint such as an Microsoft Word document, the user agent value changes when the document opens.

The session validation in such scenarios may fail. However, the session is valid. To prevent this, you can exclude the proxy service associated with SharePoint from the session validation. See “Disabling Advanced Session Assurance for Access Gateway Proxy Services” on page 847.

- Client-side fingerprinting includes many client-side parameters. Ensure that the enabled parameters do not change during the session.

Setting Up Session Validation and Renewal Interval

Access Manager Appliance enables you to set the interval for session validation and session ID renewal for Identity Server and Access Gateway. You can specify different values for Identity Server and Access Gateway.

Perform the following steps to set up session validation and renewal interval:

1. Click Security > Advanced Session Assurance.
2. Under Session Validation and Renewal Interval, specify the interval for session validation. Access Manager Appliance also generates a new ID for the session after the same interval.

**IMPORTANT:** Users may not go to Identity Server or Access Gateway Embedded Service Provider (ESP) very regularly. So, in the following scenarios, this interval may not work and the session will be renewed with the next request after the interval:

- **Federated setups:** When a user logs into Identity Server, Access Manager Appliance generates an assertion to the service provider (SP). After that the SP owns the user session and session assurance renewal will not work till the SP periodically comes back to Identity Server to renew the session assurance.

- **Access Gateway setups:** When a user accesses and logs into a protected resource, that user usually does not return to ESP or Identity Server until the session timeout has exceeded or another authentication request comes to Identity Server. For example, if the default contract timeout is set to 60 min, the user may not come back to Identity Server or ESP approximately for 40 min. Even if the session renewal is set to 1 min (default), the user may not come back to Identity Server and renew the session info.
Modifying Parameters Settings

1. Click Security > Advanced Session Assurance.
2. Click Parameters Setting.
3. Select the parameters for Identity Server and Access Gateway that you want to include in session validations.
   For more information about parameters, see Table 11-1, “Advanced Session Assurance Parameters,” on page 841.

Disabling Advanced Session Assurance

If any critical issue happens, you can disable Advanced Session Assurance for the specific URLs and user-agents. You need to add the URL or user agent to the exclude list of each Identity server cluster and ESP cluster. For both URL and user agent, you can either specify strings or regular expression as input.

**NOTE:** You can also deselect the cluster to disable Advanced Session Assurance. However, disabling Advanced Session Assurance at the cluster level disables it for the entire Access Manager Appliance setup.

- “Disabling Advanced Session Assurance for Identity Server” on page 845
- “Disabling Advanced Session Assurance for Access Gateway ESP” on page 846
- “Disabling Advanced Session Assurance for Access Gateway Proxy Services” on page 847

Disabling Advanced Session Assurance for Identity Server

1. Click Devices > Identity Servers > Edit > Options > New.
2. Set the following properties:
   Multiple inputs must be separated by comma.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SESSION ASSURANCE USER AGENT</td>
<td>Specify the user-agent string for that you want to disable the session validation. For example, you can specify Android to exclude Android devices (version 4.x). Examples of user agent sent by Android devices:</td>
</tr>
<tr>
<td>EXCLUDE LIST</td>
<td>User-Agent: Mozilla/5.0 (Linux; Android 4.4.3; KFTHWI Build/KTU84M) AppleWebKit/537.36 (KHTML, like Gecko) Silk/44.1.54 like Chrome/44.0.2403.63 Safari/537.36</td>
</tr>
<tr>
<td></td>
<td>You can specify MSIE to exclude Internet Explorer 10.x. Examples of user agents sent by Internet Explorer:</td>
</tr>
<tr>
<td></td>
<td>Mozilla/5.0 (compatible; MSIE 10.0; Windows NT 6.1; WOW64; Trident/6.0)</td>
</tr>
</tbody>
</table>
### Disabling Advanced Session Assurance for Access Gateway ESP

1. Click **Devices > Access Gateways > Edit > Reverse Proxy / Authentication > ESP Global Options**.

2. Add the following options in the **ESP Global Options** list:
   - Multiple inputs must be separated by comma.

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Property Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SESSION ASSURANCE USER AGENT REGEX EXCLUDE LIST</td>
<td>Specify the user-agent REGEX for that you want to disable the session validation. For example, you can specify <code>Android 4\.</code> to exclude Android devices (version 4.x). Examples of user agent sent by Android devices: User-Agent: Mozilla/5.0 (Linux; Android 4.4.3; KFTHWI Build/KTU84M) AppleWebKit/537.36 (KHTML, like Gecko) Silk/44.1.54 like Chrome/44.0.2403.63 Safari/537.36 You can specify <code>MSIE 10\.</code> to exclude Internet Explorer 10.x. Examples of user agents sent by Internet Explorer: Mozilla/5.0 (compatible; MSIE 10.0; Windows NT 6.1; WOW64; Trident/6.0)</td>
</tr>
<tr>
<td>SESSION ASSURANCE URL EXCLUDE LIST</td>
<td>Specify the URL for that you want to disable the session validation. For example, if you want to exclude any URL based on any string. Let assume the URL is <a href="http://www.xyz.com/hr/main">http://www.xyz.com/hr/main</a>, specify <code>/hr/</code> to verify whether the URL contains <code>/hr/</code>. If yes, then the URL will be excluded from session validation.</td>
</tr>
<tr>
<td>SESSION ASSURANCE URL REGEX EXCLUDE LIST</td>
<td>Specify the URL REGEX for that you want to disable the session validation. For example, let assume the URL is <a href="http://www.xyz.com/hr/main">http://www.xyz.com/hr/main</a>, specify <code>www.xyz.com/hr/(.)*</code> to verify whether the URL contains <code>/hr/</code>. If yes, then the URL will be excluded from session validation.</td>
</tr>
<tr>
<td>SESSION ASSURANCE IDC COOKIE GRACEPERIOD</td>
<td>Specify the time in second till which Identity Server will accept the old session ID, after issuing a new ID. The default value is 15 second.</td>
</tr>
</tbody>
</table>

**Disabling Advanced Session Assurance for Access Gateway ESP**

<table>
<thead>
<tr>
<th>Property Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SESSION_ASSURANCE_USER_AGENT_EXCLUDE_LIST</td>
<td>Specify the user-agent string for that you want to disable the session validation. For example, if you want to exclude android devices, add the following: SESSION_ASSURANCE_USER_AGENT_EXCLUDE_LIST Android,Chrome</td>
</tr>
</tbody>
</table>
Disabling Advanced Session Assurance for Access Gateway Proxy Services

When Advanced Session Assurance is enabled at the cluster level for a proxy service, server-side fingerprinting and session ID Session Assurance are enabled. You can disable and re-enable it by using advanced options.

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Advanced Options.

2 Add the following options on the need basis:

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAGHostOptions DisableIDC on</td>
<td>This disables Advance Session Assurance for small lived session IDs. Set to off to enable Advance Session Assurance for session ID.</td>
</tr>
<tr>
<td>NAGHostOptions DisableSFP on</td>
<td>This disables server-side fingerprinting Session Assurance. Set to off to enable server-side fingerprinting Session Assurance.</td>
</tr>
</tbody>
</table>

3 Save your changes and update Access Gateway.
An Example Configuration

Let assume an organization has a Human Resources application and a Payroll application. Both applications contain highly confidential data of its employees. These applications are protected by Access Gateway.

The organization wants to prevent session hijacking for these applications. This can be achieved by enabling device fingerprinting-based session validations for proxy services tied to these applications.

Configuration Steps:

1. Click Security > Advanced Session Assurance.
2. In Enable Advanced Session Assurance for Clusters, select the required Identity Server clusters and Access Gateway clusters for which you want to enable Advanced Session Assurance.
3. Specify Session Validation and Renewal Interval.
   For more information, see “Setting Up Session Validation and Renewal Interval” on page 844.
4. Select parameters that you want to include in the session validation.
   For more information about parameters, see Table 11-1 on page 841.
5. Click Proxy Service Settings and select the proxy services tied up with the Human resources and Payroll applications.
6. Click OK.
Understanding Access Manager Certificates

Access Manager Appliance allows you to manage centrally stored certificates used for digital signatures and data encryption. eDirectory resides on Administration Console and is the main certificate store for all of the Access Manager Appliance components. If you use a Novell Certificate Server, you can create certificates there and import them into Access Manager Appliance.

By default, all Access Manager Appliance components (Identity Server and Access Gateway and SSL VPN) trust the local Access Manager Appliance certificate authority (CA). However, if Identity Server is configured to use an SSL certificate signed externally, the trust store of the Embedded Service Provider for each component must be configured to trust this new CA.

Certificate management commands issued from a secondary Administration Console can work only if the primary console is also running properly. Other commands can work independently of the primary console.

You can create and distribute certificates to the following components:

- **Identity Server**: Uses certificates and trust stores to provide secure authentication to Identity Server and enable encrypted content from Identity Server portal via HTTPS. Certificates also provide secure communications between trusted Identity Servers and user stores.

  Liberty and SAML 2.0 protocol messages that are exchanged between identity and service providers often need to be digitally signed. Identity Server uses the signing certificate included with the metadata of a trusted provider to validate signed messages from the trusted provider. For protocol messages to be exchanged between providers through SSL, each provider must trust the CA of the other provider. You must import the public key of the CA used by the other provider.

  Identity Server also has a trust store for OCSP (Online Certificate Status Protocol) certificates, which is used to check the revocation status of a certificate.

- **Access Gateway**: Uses server certificates and trusted roots to protect Web servers, provide single sign-on, and enable the product’s data confidentiality features, such as encryption. They are used for background communication with Identity Server and policy engine and to establish trust between Identity Server and Access Gateway.

To ensure the validity of X.509 certificates, Access Manager Appliance supports both Certificate Revocation Lists (CRLs) and Online Certificate Status Protocol (OCSP) methods of verification.

When X509 authentication is configured as the authentication contract, it works even after you revoke the certificate for the X509 mutual authentication. When you access the nidp login page from the client browser and select the revoked certificate, browser does not throw an error message telling that the certificate has been revoked. You can either issue a CRL or wait until the next CRL issuance date. The revoked certificates will work until the next CRL issuance date.

If you do not want to wait and issue a CRL now, perform the following steps:

1. Navigate to Roles and Tasks > NetIQ Certificate Server > Configure Certificate Authority > CRL.
2. Click CRL.
3 Under Next CRL Issuance, click Issue Now.
4 Click OK.
5 Restart Identity Server.

12.1 Process Flow

You can install and distribute certificates to the Access Manager Appliance components and configure how the components use certificates. This includes central storage, distribution, and expired certificate renewal. Figure 12-1 illustrates the primary administrative actions for certificate management in Access Manager Appliance:

Figure 12-1 Certificate Management

2. Send the CSR to the external certificate authority (CA) for signing.
   A CA is a third-party or network authority that issues and manages security credentials and public keys for message encryption. The CA’s certificate is held in the configuration store of the computers that trust the CA.
3. Import the signed certificate and CA chain into the configuration store. See “Importing Public Key Certificates (Trusted Roots)” on page 871.
4. Assign certificates to devices. See “Assigning Certificates to Access Manager Appliance” on page 869.

If you are unfamiliar with public key cryptography concepts, see “Public Key Cryptography Basics” in the Novell Certificate Server 3.1.1 Guide.
Creating Certificates

Access Manager Appliance comes with certificates for testing purposes. At a minimum, you must create one SSL certificates for Identity Server and Access Gateway reverse proxy (NAM-RP). Then you replace the predefined certificates with the new ones.

If you install a secondary Administration Console, the certificate authority (CA) is installed with the first instance of eDirectory, and the secondary consoles have eDirectory replicas and therefore no CA software. All certificate management must be done from the primary Administration Console. Certificate management commands issued from a secondary Administration Console can work only if the primary console is also running properly. Other commands can work independently of the primary console.

**NOTE:** It is recommended to recreate the certificates after upgrading Access Manager to 4.2 or later. Certificates created in Access Manager 4.1 or earlier versions use SHA-1 ciphers by default. In Access Manager 4.2 onward, certificates are created using SHA-2 ciphers by default.

**IMPORTANT:** Before generating any certificates with Administration Console CA, ensure that time is synchronized within one minute among all of your Access Manager Appliance devices. If the time of Administration Console is ahead of the device for which you are creating the certificate, the device rejects the certificate.

1. Click Security > Certificates.
2. Select from the following actions:
   - **New:** To create a new certificate, click **New**. For information about the fields you need to fill in, see Section 13.1, “Creating a Locally Signed Certificate,” on page 851 and Section 13.4, “Generating a Certificate Signing Request,” on page 856.
   - **Delete:** To delete a certificate, select the certificate, then click **Delete**. If the certificate is assigned to a keystore, a warning message appears. You must remove a certificate from all keystores before it can be deleted.
   - **Import Private/Public Keypair:** To import a key pair, click **Import Private/Public Keypair**. For more information, see Section 14.5, “Importing a Private/Public Key Pair,” on page 864.

### 13.1 Creating a Locally Signed Certificate

By default, the Access Manager Appliance installation process creates the local CA that can issue and sign certificates and installs a certificate server that generates certificates, keys, and CSRs (certificate signing requests) and imports certificates and keys.

1. **Click Security > Certificates > New.**
2. **Select Use local certificate authority.**

   This option creates a certificate signed by the local CA (or Organizational CA), and creates the private key. For information about creating a CSR, see “Generating a Certificate Signing Request” on page 856.

3. **Specify a unique, system-wide name for the certificate that you can easily associate with the certificate’s purpose. The name must contain only alphanumeric characters and no spaces:**
4 For **Subject**, click **Edit** to display a dialog box that lets you add the appropriate attributes for the subject name.

The subject is an X.500 formatted distinguished name that identifies the entity that is bound to the public key in an X.509 certificate. Choose the subject name that the browser expects to find in the certificate. The name you enter must be fully distinguished. Completing all the fields creates a fully distinguished name that includes the appropriate types (such as C for country, ST for state, L for location, O for organization, OU for organizational unit, and CN for common name). For example, cn=AcmeWebServer.ou=Sales.o=Acme.c=US.

**Common name:** If you are creating a certificate for an Identity Server, specify the DNS name of Identity Server. If you are creating a certificate for an Access Gateway, specify the published DNS name of the proxy service. Specifying values for the other attributes is optional.

For more information about the other attributes, see Section 13.2, “Editing the Subject Name,” on page 853.

5 Click **OK**, then fill in the following fields:

- **Signature algorithm:** The algorithm you want to use (SHA-256 or SHA-512).
- **Valid from:** The date from which the certificate is valid. For externally signed certificates, the external certificate authority sets the validity period.
- **Months valid:** The number of months that the certificate is valid.
- **Key size:** The size of the key. Select 512, 1024, 2048, or 4096.

6 (Optional) To configure advanced options, click **Advanced Options**.

7 Configure the following options as necessary for your organization:

- **Critical:** Specifies that an application should reject the certificate if the application does not understand the key usage extensions.
- **Encrypt other keys:** Specifies that the certificate is used to encrypt keys.
- **Encrypt data directly:** Encrypts data for private transmission to the key pair owner. Only the intended receiver can read the data.
- **Create digital signatures:** Specifies that the certificate is used to create digital signatures.
- **Non-repudiation:** Links a digital signature to the signer and the data. This prevents others from duplicating the signature because no one else has the signer’s private key. Additionally, the signer cannot deny having signed the data.

8 (Conditional) If you are creating a key for a certificate authority, configure the following options:

- **This key is for a Certificate Authority:** Specifies that this certificate is for the local configuration (eDirectory) certificate authority.

If you create a new CA, all the keys signed by the CA being replaced no longer have a trusted CA. You might also need to reassign the new CA to all the trust stores that contained the old CA.

- **Critical:** Enforces the basic constraints you specify. Select one of the following:
  - **Unlimited:** Specifies no restriction on the number of subordinate certificates that the CA can verify.
  - **Do not allow intermediate signing certificates in certificate chain:** Prevents the CA from creating other CAs, but it can create server or user certificates.
  - **Number of allowable intermediate signing certificates in signing chain:** Specifies how many subordinate certificates are allowed in the certificate chain. Values must be 1 or more. Entering 0 creates only entity objects.
9 (Optional) To create subject alternative names used by the certificate, click Edit Subject Alternate Names, then click New.

Alternate names can represent the entity identified by the certificate. The certificate can identify the subject CN=www.OU=novell.O=com, but the subject can also be known by an IP address, such as 222.111.100.101, or a URI, such as www.novell.com, for example. For more information, see Section 13.3, "Assigning Alternate Subject Names," on page 855.

10 Click OK.

11 (Conditional) If you assigned alternate names, determine how you want applications to handle the alternate names. Select Critical if you want an application that does not understand the alternate name extensions to reject the certificate.

12 Click OK.

13.2 Editing the Subject Name

1 Fill in one or more of the following attributes.

The following attributes are the most common ones used in certificate subjects:

- **Common name**: The DNS name of the server.

  Specify the value, for example AcmeWebServer.provo.com. Do not include the type (cn=). The UI adds that for you.

  For Identity Server, this is the domain name of the base URL of Identity Server configuration. This value cannot be an IP address or begin with a number, to ensure that trust does not fail between providers.

  For Access Gateway, this is the published DNS name of the proxy service.

- **Organizational unit**: Describes departments or divisions.

- **Organization**: Differentiates between organizational divisions.

- **City or town**: Commonly referred to as the Locality.

- **State or province**: Commonly referred to as the State. Do not abbreviate the name.

- **Country**: The country, such as US.

2 Use the drop-down menus to add additional attributes.

These values allow you to specify additional fields that are supported by eDirectory, and you can include them as part of the subject to further identify the entity represented by the certificate.

- **CN**: The Common name attribute in the list of Commonly used attributes (OID: 2.5.4.3)

- **C**: The Country attribute in the list of Commonly used attributes (OID: 2.5.4.6)

- **SN**: The surname attribute (OID: 2.5.4.4)

- **L**: The locality attribute, which is the City or town attribute in the list of Commonly used attributes (OID: 2.5.4.7)

- **ST**: The State or province attribute in the list of Commonly used attributes (OID: 2.5.4.8)

- **S**: The State or province attribute in the list of Commonly used attributes (OID: 2.5.4.8)

- **O**: The Organization attribute in the list of Commonly used attributes (OID: 2.5.4.10)

- **OU**: The Organizational unit attribute in the list of Commonly used attributes (OID: 2.5.4.11)

- **street**: Describes the street address (OID: 2.5.4.9)

- **serialNumber**: Specifies the serial number of a device (OID: 2.5.4.5)

- **title**: Describes the position or function of an object (OID: 2.5.4.12)
<table>
<thead>
<tr>
<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Describes the associated object (OID: 2.5.4.13)</td>
</tr>
<tr>
<td>Search Guide</td>
<td>Specifies a search filter (OID: 2.5.4.14)</td>
</tr>
<tr>
<td>Business Category</td>
<td>Describes the kind of business performed by an organization (OID: 2.5.4.15)</td>
</tr>
<tr>
<td>Postal Address</td>
<td>Specifies address information required for the physical delivery of postal messages (OID: 2.5.4.16)</td>
</tr>
<tr>
<td>Postal Code</td>
<td>Specifies the postal code of an object (OID: 2.5.4.17)</td>
</tr>
<tr>
<td>Post Office Box</td>
<td>Specifies the post office box for the physical delivery of mail (OID: 2.5.4.18)</td>
</tr>
<tr>
<td>Physical Delivery Office Name</td>
<td>Specifies the name of the city or place where a physical delivery office is located (OID: 2.5.4.19)</td>
</tr>
<tr>
<td>Telephone Number</td>
<td>Specifies a telephone number (OID: 2.5.4.20)</td>
</tr>
<tr>
<td>Telex Number</td>
<td>Specifies a telex number (OID: 2.5.4.21)</td>
</tr>
<tr>
<td>Teletex Terminal Identifier</td>
<td>Specifies an identifier for a telex terminal (OID: 2.5.4.22)</td>
</tr>
<tr>
<td>Facsimile Telephone Number</td>
<td>Specifies the telephone number for a facsimile terminal (OID: 2.5.4.23)</td>
</tr>
<tr>
<td>X.121 Address</td>
<td>Specifies the address used in electronic data exchange (OID: 2.5.4.24)</td>
</tr>
<tr>
<td>International ISDN Number</td>
<td>Specifies an international ISDN number used in voice, video, and data transmission (OID: 2.5.4.25)</td>
</tr>
<tr>
<td>Registered Address</td>
<td>Specifies the postal address for the delivery of telegrams or expedited documents (OID: 2.5.4.26)</td>
</tr>
<tr>
<td>Destination Indicator</td>
<td>Specifies an attribute used in telegram services (OID: 2.5.4.27)</td>
</tr>
<tr>
<td>Preferred Delivery Method</td>
<td>Specifies the preferred delivery method for a message (OID: 2.5.4.28)</td>
</tr>
<tr>
<td>Presentation Address</td>
<td>Specifies an OSI presentation layer address (OID: 2.5.4.29)</td>
</tr>
<tr>
<td>Supported Application Context</td>
<td>Specifies the identifiers for the OSI application contexts in the application layer (OID: 2.5.4.30)</td>
</tr>
<tr>
<td>Member</td>
<td>Specifies the distinguished name of an object associated with a group or a list (OID: 2.5.4.31)</td>
</tr>
<tr>
<td>Owner</td>
<td>Specifies the name of an object that has responsibility for another object (OID: 2.5.4.32)</td>
</tr>
<tr>
<td>Role Occupant</td>
<td>Specifies the distinguished name of an object that fulfills an organizational role (OID: 2.5.4.33)</td>
</tr>
<tr>
<td>See Also</td>
<td>Specifies the distinguished name of an object that contains additional information about the same real-world object (OID: 2.5.4.34)</td>
</tr>
<tr>
<td>User Password</td>
<td>Specifies the object's password (OID: 2.5.4.35)</td>
</tr>
<tr>
<td>Name</td>
<td>Specifies a name that is in the UTF-8 form of the ISO 10646 character set (OID: 2.5.4.41)</td>
</tr>
<tr>
<td>Given Name</td>
<td>Specifies the given or first name of an object (OID: 2.5.4.42)</td>
</tr>
<tr>
<td>Initials</td>
<td>Specifies the initials of an object (OID: 2.5.4.43)</td>
</tr>
<tr>
<td>Generation Qualifier</td>
<td>Specifies the generation of an object, which is usually a suffix (OID: 2.5.4.44)</td>
</tr>
<tr>
<td>X.500 Unique Identifier</td>
<td>Specifies an identifier that distinguishes between objects when a DN has been reused (OID: 2.5.4.45)</td>
</tr>
<tr>
<td>DN Qualifier</td>
<td>Specifies information that makes an object unique when information is being merged from multiple sources and objects could have the same RDNs (OID: 2.5.4.46)</td>
</tr>
<tr>
<td>Enhanced Search Guide</td>
<td>Specifies a search filter used by X.500 users (OID: 2.5.4.47)</td>
</tr>
</tbody>
</table>
protocolInformation: Specifies information that is used with the presentationAddress attribute (OID: 2.5.4.48)
distinguishedName: Specifies the distinguished name of an object (OID: 2.5.4.49)
uniqueMember: Specifies the distinguished name of an object associated with a group or a list (OID: 2.5.4.50)
houseldentifier: Identifies a building within a location (OID: 2.5.4.51)
dmdName: Specifies a directory management domain (OID: 2.5.4.54)
E: Specifies an e-mail address.
EM: Specifies an e-mail address.
DC: Specifies the domain name for an object (OID: 0.9.2342.19200300.100.1.25)
uniqueID: Contains an RDN-type name that can be used to create a unique name in the tree (OID: 0.9.2342.19200300.100.1.1)
T: Specifies the name of the tree root object (OID: 2.16.840.1.113719.1.1.4.1.181)
OID: Specifies an object identifier in dot notation.

3 To create a certificate, continue with Step 5 on page 852, or to create a signing request, continue with Step 5 on page 856.

13.3 Assigning Alternate Subject Names

1 Fill in the following fields:
   
   **Name Type:** Names as specified by RFC 2459. Use the drop-down list to specify a name type, such as:
   
   - **Directory name:** An X.500 directory name. The required format for the name is \<attribute name>=<attribute value>. For example:
     
     .O=novell.C=US
   
   Access Manager Appliance supports the following attributes:
   
   - **Country (C)**
   - **Organization (O)**
   - **Organizational Unit (OU)**
   - **State or Province (S or ST)**
   - **Locality (L)**
   - **Common Name (CN)**
   - **IP Address:** An IP address such as 222.123.123.123
   - **URI:** A URI such as www.novell.com.
   - **Registered ID:** An ASN.1 object identifier.
   - **DNS Name:** A domain name such as novell.com.
   - **Email Address (RFC 822 name):** An e-mail address such as ca@novell.com.
   - **X400 Name:** The messaging and e-mail standard specified by the ITU-TS (International Telecommunications Union - Telecommunication Standard Sector). It is an alternative to the more prevalent Simple Mail Transfer Protocol (SMTP) e-mail protocol. X.400 is common in Europe and Canada.
   - **EDI Party:** EDI (Electronic Data Interchange) is a standard format for exchanging business data.
13.4 Generating a Certificate Signing Request

1 Click Security > Certificates > New.

2 To create a certificate signing request (CSR), select Use external certificate authority.

This option generates a CSR for you to send to the CA for signing. A third-party CA is managed by a third party outside of the eDirectory tree. An example of a third party CA is VeriSign. After the signed certificate is received, you need to import the certificate.

3 Specify a Certificate name.

Pick a unique, system-wide name for the certificate that you can easily associate with the certificate’s purpose. The name must contain only alphanumeric characters and no spaces.

4 Click the Edit button to display a dialog box that lets you add appropriate locality information types for the subject name.
For more information, see Section 13.2, “Editing the Subject Name,” on page 853.

5 Click OK, then fill in the following fields:

Signature algorithm: The algorithm you want to use (SHA-256 or SHA-512).

Valid from: The date from which the certificate is valid. For externally signed certificates, the external certificate authority sets the validity period.

Months valid: The number of months that the certificate is valid.

Key size: The size of the key. Select 512, 1024, 2048, or 4096.

6 (Conditional) If you are creating a key for a certificate authority, click Advanced Options, then configure the following:

This key is for a Certificate Authority: Select this option.

Critical: Enforces the basic constraints you specify. Select one of the following:

- Unlimited: Specifies no restriction on the number of subordinate certificates that the CA can verify.

- Do not allow intermediate signing certificates in certificate chain: Prevents the CA from creating other CAs, but it can create server or user certificates.

- Number of allowable intermediate signing certificates in signing chain: Specifies how many subordinate certificates are allowed in the certificate chain. Values must be 1 or more. Entering 0 creates only entity objects.

7 Click OK.

8 Click the name of the certificate, copy the CSR data and send the information to the external CA. The certificate status is CSR Pending until you import the signed certificate.

9 Click Close.

10 When you receive the signed certificate and the trusted root (CA chain), continue with “Importing a Signed Certificate” on page 857.
13.5 Importing a Signed Certificate

After you receive the signed certificate and the CA chain, you must import it. CA can return the certificate in multiple ways. Typically, the CA either returns one or more files each containing one certificate, or returns a file with multiple certificates in it.

The following figure illustrates a certificate chain example.

Figure 13-1  Illustration of a Certificate Chain Example

To import this certificate chain:

1  In Administration Console Dashboard, click Security > Certificates, then click the name of a certificate that is in a CSR Pending state.
2  Click Import Signed Certificate.
3  In the Import Signed Certificate dialog box, browse to locate the Entity certificate data file or paste the Entity certificate data text into the Certificate data text field.
4  To import the CA chain, click Add trusted root and then locate the Root certificate data.
5  Click Add intermediate certificate if you need to continue adding certificates to the chain for example, add Intermediate cert 1 and cert 2 in that order.
6  Click OK, then click Close on the Certificate Details page.

The certificate is now available for use by Access Manager Appliance devices.

NOTE: When there is a server certificate and more than two intermediate CA certificates, use PKCS7 format file and import the certificate and its CA chain.

If you receive an error when attempting to import the certificate, see Chapter 30.5, “Troubleshooting Certificate Issues,” on page 1081.
Managing Certificates and Keystores

You can import certificates created by an external certificate authority. These certificates then need to be assigned to a device by adding the certificate to the device’s keystore. The subject name of the certificate needs to match the DNS name of the device, or if you are using wildcard certificates, the main domain name needs to match. You can perform the following certificate tasks:

- Section 14.1, “Viewing Certificate Details,” on page 859
- Section 14.2, “Renewing a Certificate,” on page 861
- Section 14.3, “Exporting a Private/Public Key Pair,” on page 863
- Section 14.4, “Exporting a Public Certificate,” on page 863
- Section 14.5, “Importing a Private/Public Key Pair,” on page 864
- Section 14.6, “Using Multiple External Signing Certificates,” on page 864

14.1 Viewing Certificate Details

The Certificate Details page lists the properties of a certificate, such as certificate type, name, subject, and assigned keystores. The fields are not editable.

1. Click Security > Certificates.
2. Select one of the following:
   - Click the name of a certificate that is not in a CSR Pending state. The Certificate Details page contains the following information about the certificate:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Issuer</td>
<td>The name of the CA that created the certificate.</td>
</tr>
<tr>
<td>Serial number</td>
<td>The serial number of the certificate.</td>
</tr>
<tr>
<td>Subject</td>
<td>The subject name of the certificate.</td>
</tr>
<tr>
<td>Valid from</td>
<td>The first date and time that the certificate is valid.</td>
</tr>
<tr>
<td>Valid to</td>
<td>The date and time that the certificate expires.</td>
</tr>
<tr>
<td>Devices</td>
<td>The devices that are configured to hold this certificate on their file system and the keystore that holds them.</td>
</tr>
<tr>
<td>Key size</td>
<td>The key size that was used to create the certificate.</td>
</tr>
<tr>
<td>Signature algorithm</td>
<td>The signature algorithm that was used to create the certificate.</td>
</tr>
<tr>
<td>Finger print (MD5)</td>
<td>The certificate's message digest that was calculated with the MD5 algorithm. It is embedded into the certificate at creation time. It can be used to uniquely identify a certificate. For example, users can verify that a certificate is the one they think it is by matching this published MD5 fingerprint with the MD5 fingerprint on the local certificate.</td>
</tr>
<tr>
<td>Field</td>
<td>Description</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Finger print (SHA256)</td>
<td>The certificate's message digest that was calculated with the SHA-256 algorithm. It is embedded into the certificate at creation time. It can be used to uniquely identify a certificate. For example, users can verify that a certificate is the one they think it is by matching a published SHA-256 fingerprint with the SHA-256 fingerprint on the local certificate.</td>
</tr>
<tr>
<td>Subject Alternate Names:</td>
<td>Indicates whether an application should reject the certificate if the application does not understand the alternate name extensions. Any configured alternate names are displayed in the list. Critical</td>
</tr>
<tr>
<td>Key Usage: Critical</td>
<td>Indicates whether an application should reject the certificate if the application does not understand the key usage extensions.</td>
</tr>
<tr>
<td>Sign CRLs</td>
<td>Indicates whether the certificate is used to sign CRLs (Certificate Revocation Lists).</td>
</tr>
<tr>
<td>Sign certificates</td>
<td>Indicates whether the certificate is used to sign other certificates.</td>
</tr>
<tr>
<td>Encrypt other keys</td>
<td>Indicates whether the certificate is used to encrypt keys.</td>
</tr>
<tr>
<td>Encrypt data directly</td>
<td>Indicates whether the certificate can encrypted data for private transmission to the key pair owner. Only the intended receiver can read the data.</td>
</tr>
<tr>
<td>Create digital signatures</td>
<td>Indicates whether the certificate can create digital signatures.</td>
</tr>
<tr>
<td>Non-repudiation</td>
<td>Indicates whether the certificate links a digital signature to the signer and the data. This prevents others from duplicating the signature because no one else has the signer’s private key. Additionally, the signer cannot deny having signed the data.</td>
</tr>
<tr>
<td>CRL Distribution Points</td>
<td>A list of Certificate Revocation List (CRL) distribution points that are embedded into the certificate as an extension at certificate creation time. Implementations search the CRL from each distribution point (the distribution point is usually a URI that points to a store of revoked certificates) to see whether a certificate has been revoked.</td>
</tr>
<tr>
<td>Authority Info Access (OCSP)</td>
<td>A list of Online Certificate Status Protocol (OCSP) responders that are embedded into the certificate as an extension at certificate creation time. Implementations query the OCSP responder to see whether a certificate has been revoked.</td>
</tr>
</tbody>
</table>
Click the name of a certification in a CSR Pending state. The following information is displayed:

<table>
<thead>
<tr>
<th>Subject</th>
<th>The subject name of the certificate.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Valid from</td>
<td>The date and time that the request was generated.</td>
</tr>
<tr>
<td>Valid to</td>
<td>The date and time that the request expires.</td>
</tr>
<tr>
<td>Devices</td>
<td>No entries. A CSR cannot be assigned to a device.</td>
</tr>
<tr>
<td>Key size</td>
<td>The key size that was used to create the request.</td>
</tr>
<tr>
<td>Signature algorithm</td>
<td>The signature algorithm that was used to create the request.</td>
</tr>
<tr>
<td>State</td>
<td>Displays CSR Pending, indicating that the request has been generated.</td>
</tr>
<tr>
<td>CSR data</td>
<td>The certificate signing request data. You can either export this data or copy and paste it into CA's request tool.</td>
</tr>
</tbody>
</table>

3 (Conditional) For a certificate not in a CSR Pending state, select one of the following actions:

**Renew:** Allows you to renew the certificate. For more information, see Section 14.2, “Renewing a Certificate,” on page 861.

**Export Private/Public Keypair:** Allows you to export private certificates to obtain a backup copy of the key, to move the key to a different server, or to share the key between servers. For more information, see Section 14.3, “Exporting a Private/Public Key Pair,” on page 863.

**Export Public Certificate:** Allows you to export a public key certificate to a file. For more information, see Section 14.4, “Exporting a Public Certificate,” on page 863.

4 (Conditional) For a certificate in a CSR Pending state, select one of the following actions:

**Import Signed Certificate:** Allows you to import the certificate that was generated for this request. For more information, see Section 13.5, “Importing a Signed Certificate,” on page 857.

**Export CSR:** Allows you to export the CSR to a CSR file.

**NOTE:** Whenever the configuration store contains a Key Material Object (KMO) with a CSR in pending state, the KMO will not be exported by using the amdiagcfg script and not be backed up by using the ambkup script.

**14.2 Renewing a Certificate**

The Certificate Details page lists the properties of a certificate, such as certificate type, name, subject, and assigned keystores. This page also includes the original CSR when the certificate is still in a pending state (for example, you have generated the CSR, but you have not yet received and imported the signed certificate). If the certificate is expiring, you can cut and paste its text to send it to the CA to get a renewed certificate, then import the newly signed certificate.

For the certificates that Access Manager Appliance uses internally, a certificate process is started with Tomcat. This process runs once every 24 hours. It checks all the internal certificates and determines if they are going to expire within 30 days. If they are due to expire, the process automatically regenerates the certificate or trusted root. When a certificate is regenerated, the following message appears:

One or more automatically created certificates were regenerated. Reboot the entire administration console as soon as possible to avoid interruption of service.
This message appears when the administrator logs in to Administration Console, or if the administrator is already logged in, when the administrator switches from one page to another.

This event is also auditing. Another audit event is also generated which tells the administrator to restart any effected services. When Administration Console certificate and the eDirectory certificates are expiring, a log entry is written to the app_sc log file. The log entry contains the "Recreating auto-generated certificates" string as well as a couple success or failure messages per key re-generated.

Certificates and trusted roots that are manually created with the Access Manager Appliance CA or are imported into Administration Console use a different process. The administrator is warned that these certificates are expiring when the administrator logs in to Administration Console. The following message is displayed:

Warning: the following certificates are expired or will expire within X days: <certA>, <certB>.

This message is displayed each time the administrator logs in to Administration Console. Events for the expiration of these certificates are not audited and are not logged.

The following figure illustrates the certificate chain example.

![Figure 14-1 Illustration of a Certificate Chain Example](image)

To renew a certificate:

1. Click Security > Certificates.
2. Click the certificate name.
3. Click Renew.
4. On the Renew page, either browse to locate and select the certificate or select the Certificate data text (PCM/Base64) option and paste the certificate data into the text box.
5. To import the CA chain, click Add trusted root and then locate the Root certificate data.
6. Update the device using the certificate.
7. Click Add intermediate certificate if you need to continue adding certificates to the chain for example, add Intermediate cert 1 and cert 2 in that order.
8. Click OK, then click Close.
14.3 Exporting a Private/Public Key Pair

When you create a certificate, you can specify whether it is exportable. If a key is exportable, it can be extracted and put in a file along with the associated certificate. The file is written in an industry standard format, PKCS#12, which allows it to be transported to other platforms. It is encrypted with a user-specified password to protect the private key. You can export private certificates to obtain a backup copy of the key, to move the key to a different server, or to share the key between servers.

You cannot export a certificate if you enabled the Do not allow private key to be exportable option while creating the certificate.

1. Click Security > Certificates.
2. On the Certificates page, click the certificate.
4. Select a format for the key:
   - **PFX/PKCS12**: Public Key Cryptography Standards #12 (PKCS#12) format, which is also called PFX format. This format can be used to create JKS or PEM files.
   - **JKS**: Java keystore format.
5. Specify the password in the Encryption/decryption password field, then click OK.
6. Click OK.

**IMPORTANT**: Remember this password because you need it to re-import the key.

14.4 Exporting a Public Certificate

You can export a trusted root or a public key certificate to a file so that a client can use it to verify the certificate chain sent by a cryptography-enabled application, or to have a backup copy of the file.

You can export the certificate in the following formats:

- DER-encoded (.der) to a file.
- PEM-encoded to a file. This is a Base64-encoded DER certificate that is enclosed between the BEGIN CERTIFICATE and END CERTIFICATE tags.
- PEM CUT/Paste Buffer. This displays the certificate data so you can copy it to the system Clipboard. You can then pasted it directly into a cryptography-enabled application.

To export the public certificate:

1. In Administration Console Dashboard, click Security > Certificates.
2. Click the certificate name.
3. On the Certificate Details page, click Export Public Certificate, then click the file type.
4. Save the output file to the location of your choosing.
14.5 Importing a Private/Public Key Pair

If you created a key pair that was exported from another certificate management system, you can import the key pair and then assign it to an Access Manager device. The file needs to be in PFX/PKCS12 (*.pfx or *.p12) format.

1. Click Security > Certificates.
2. Select Actions > Import Private/Public Keypair.
3. Fill in the following fields:
   - **Certificate name**: The name of the certificate. This is a system-wide, unique name used by Access Manager. The name must contain only alphanumeric characters and no spaces. If the name starts with a number, an underline (_) prefix is added to the name so that the name conforms to XML requirements. If the name contains invalid characters, it is automatically renamed.
   - **Keystore password**: Type the encryption/decryption password established when exporting the certificate.
   - **Certificate data file (PFX/PKCS12)**: The certificate file to import. You can browse to locate the *.pfx or *.p12 file.
   - **Certificate data file (JKS)**: To locate a JKS file, select this option, then click Browse.
4. Click OK.

If you receive an error when importing the certificate, the error comes from either NICI or PKI. For a description of these error codes, see Novell Certificate Server Error Codes and Novell International Cryptographic Infrastructure (http://www.novell.com/documentation/nwec/index.html). For general certificate import issues, see “Importing an External Certificate Key Pair” on page 1082.

14.6 Using Multiple External Signing Certificates

Access Manager can use multiple external certificates for signing SAML 2.0 service providers. The external certificates can be from a single or multiple external keystores or HSMs. However, the certificates must be exportable as Access Manager does not send payloads to be signed to an external device.

Perform the following steps to use multiple external signing certificates:

   1a. Configure the `externKeystore.properties` file for multiple signing certificate:
      
      The format is:
# KeyStore 1.
com.novell.nidp.extern.signing.providerClass.1=<SOME CLASS>
com.novell.nidp.extern.signing.providerName.1=<SOME PROVIDER NAME>
com.novell.nidp.extern.signing.keystoreType.1=<SOME KEYSTORE TYPE>
com.novell.nidp.extern.signing.keystoreName.1=<SOME KEYSTORE NAME>
com.novell.nidp.extern.signing.keystorePwd.1=<SOME PASSWORD>

# Aliases and key passwords.
com.novell.nidp.extern.signing.alias.1.1=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.1.1=<SOME PASSWORD>
com.novell.nidp.extern.signing.alias.1.2=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.1.2=<SOME PASSWORD>
:  
:  
com.novell.nidp.extern.signing.alias.1.n=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.1.n=<SOME PASSWORD>

# KeyStore 2.
com.novell.nidp.extern.signing.providerClass.2=<SOME CLASS>
com.novell.nidp.extern.signing.providerName.2=<SOME PROVIDER NAME>
com.novell.nidp.extern.signing.keystoreType.2=<SOME KEYSTORE TYPE>
com.novell.nidp.extern.signing.keystoreName.2=<SOME KEYSTORE NAME>
com.novell.nidp.extern.signing.keystorePwd.2=<SOME PASSWORD>

# Aliases and key passwords.
com.novell.nidp.extern.signing.alias.2.1=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.2.1=<SOME PASSWORD>
:  
:  
com.novell.nidp.extern.signing.alias.2.n=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.2.n=<SOME PASSWORD>

For Keystore parameters, the suffix is a single integer after the last period, for example, “.1” and “.2”.

For aliases and key passwords, the suffix contains two integers. First integer for the keystore and second for the key, separated by dots, such as “.1.1” or “.1.2” for keys of keystore 1 and “.2.1” for the key of keystore 2.

The default signing key is configured as one of the following:

com.novell.nidp.extern.signing.providerClass=<SOME CLASS>
com.novell.nidp.extern.signing.providerName=<SOME PROVIDER NAME>
com.novell.nidp.extern.signing.keystoreType=<SOME KEYSTORE TYPE>
com.novell.nidp.extern.signing.keystoreName=<SOME KEYSTORE NAME>
com.novell.nidp.extern.signing.keystorePwd=<SOME PASSWORD>
com.novell.nidp.extern.signing.alias=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd=<SOME PASSWORD>

Or,

com.novell.nidp.extern.signing.providerClass.1=<SOME CLASS>
com.novell.nidp.extern.signing.providerName.1=<SOME PROVIDER NAME>
com.novell.nidp.extern.signing.keystoreType.1=<SOME KEYSTORE TYPE>
com.novell.nidp.extern.signing.keystoreName.1=<SOME KEYSTORE NAME>
com.novell.nidp.extern.signing.keystorePwd.1=<SOME PASSWORD>
com.novell.nidp.extern.signing.alias.1.1=<SOME ALIAS>
com.novell.nidp.extern.signing.keyPwd.1.1=<SOME PASSWORD>

1b Open the /opt/novell/nam/idp/conf/tomcat.conf file.
1c Add the following:
JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.nidp.extern.config.file=[path-to-file]/externKeystore.properties"

1d Restart services.

2 Assign the certificate to the service provider. The external keystore certificates are not listed in Administration Console.

2a To assign a certificate from the external keystore, select any one of the listed certificate and provide alias as name of the certificate to be assigned.

2b Reimport metadata and certificates.

2c Restart services. The certificate from external keystore is used to federate.

NOTE: This is a general configuration and may vary based on HSM providers.

Example of Creating an External Keystore and Certificates

This example provides steps to configure Java KeyStore (JKS) as an external keystore, add certificates in JKS, and assign it to a service provide for federation.

1 Run the following command to configure JKS as an external keystore and add a certificate:

```
keytool -keystore /tmp/namKeyStore/namKeyStore.jks -storepass password -genkeypair -alias namExtCert1 -keyalg RSA -keysize 2048 -validity 60 -keypass
```

Provide: [Optional]
- First and Last Name
- Organizational Unit
- Organization
- City
- State
- Country-Code

Here, `keytool` is the command used to create a keystore `namKeyStore.jks` at `/tmp/namKeyStore/`. A keypair with the alias `namExtCert1` has been created and added to the keystore.

2 Run the following command to add another certificate to the keystore:

```
keytool -genkey -alias namExtCert2 -keyalg RSA -keypass password2 -storepass password -keystore /tmp/namKeyStore/namKeyStore.jks
```

Here, the `keytool` command is used to create a keypair with the alias `namExtCert2` and add it to the `namKeyStore.jks` keystore.

3 Create a properties file, `namKeyStore.properties` at `/tmp/namKeyStore/` and add the following content:
# KeyStore Type.
com.novell.nidp.extern.signing.providerClass.1=sun.security.rsa.SunRsaSign
com.novell.nidp.extern.signing.providerName.1=SunRsaSign
com.novell.nidp.extern.signing.keystoreType.1=JKS

# KeyStore name and password.
com.novell.nidp.extern.signing.keystoreName.1=/tmp/namKeyStore/namKeyStore.jks
com.novell.nidp.extern.signing.keystorePwd.1=password

# Aliases and key passwords.
com.novell.nidp.extern.signing.alias.1.1=namExtCert1
com.novell.nidp.extern.signing.keyPwd.1.1=password
com.novell.nidp.extern.signing.alias.1.2=namExtCert2
com.novell.nidp.extern.signing.keyPwd.1.2=password2

4 Open the /opt/novell/nam/idp/conf/tomcat.conf file and add the following content:
   JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.nidp.extern.config.file=/tmp/namKeyStore/namKeyStore.properties"

5 Restart services.

6 Go to Administration Console and assign the certificate to the service provider. See Step 2 on page 866.
Assigning Certificates to Access Manager Appliance

This section discusses how you update, renew, and assign certificates to Access Manager Appliance.

The Access Gateway can be configured to use certificates for SSL communication with two types of entities:

- **Client Browsers**: You can enable SSL communication between the client browsers and the Access Gateway. When setting up this feature, you can either have the Access Manager Appliance CA automatically generate a certificate key or you can select a certificate key you have already imported (or created) for the reverse proxy. To manage this certificate in the Administration Console, click Access Gateways > [Configuration Link] > [Name of Reverse Proxy]. For more information, see Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98.

- **Protected Web Servers**: You can enable SSL communication between the Access Gateway and the protected Web servers. This option is only available if you have enabled SSL communication between the browsers and the Access Gateway. You can enable SSL or mutual SSL. To manage these certificates in the Administration Console, click Access Gateways > [Configuration Link] > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers.
Managing Trusted Roots and Trust Stores

- Section 16.1, “Managing Trusted Roots,” on page 871
- Section 16.2, “Viewing External Trusted Roots,” on page 873

16.1 Managing Trusted Roots

A certificate from a certificate authority (CA) is commonly referred to as trusted root. A trusted root is a trusted certificate, or the certificate of a known CA. These certificates are self-signed and are recognized as representing a CA that is trusted. To validate a digital signature, you must trust at least one of the certificates in the user or server’s certificate chain. You can directly trust the certificate of the user or server, or you can choose to trust any other certificate in the chain. Typically, the certificate that is trusted is the root CA’s certificate.

1. In Administration Console Dashboard, click **Security > Trusted Roots**.
2. Select form the following actions:
   - **Import**: Allows you to import trusted roots so that Access Manager devices can trust the certificate sent by other computers at runtime. For more information, see Section 16.1.1, “Importing Public Key Certificates (Trusted Roots),” on page 871.
   - **Delete**: To delete a trusted root, select the trusted root, then click **Delete**.
   - **Auto Import From Server**: To import a trusted root from another server, click **Auto Import From Server**. For more information, see Section 16.1.2, “Auto-Importing Certificates from Servers,” on page 872.

16.1.1 Importing Public Key Certificates (Trusted Roots)

You import trusted roots so that the specific device can trust the certificate sent by other computers at runtime. After you import a trusted root, you can assign it to the proper trust store associated with a device, which allows the device to trust certificates signed by the trusted root.

1. In Administration Console Dashboard, click **Security > Trusted Roots**.
2. Click **Import**, then specify a name for the certificate.
   - This is a system-wide, unique name used by Access Manager Appliance.
3. Select one of the following methods to import the public key:
   - **Certificate data file (DER/PEM/PKCS7)**: Select this method to browse to a file. Click **Browse** to locate the file on your file system.
   - **Certificate data text (PEM/Base64)**: Select this method to paste Base64-encoded certificate data text.
4. Click **OK**.
### 16.1.2 Auto-Importing Certificates from Servers

You can import certificates from other servers (such as an LDAP server, an identity provider, or service provider) and make them available for use in Access Manager Appliance. You must provide the IP address, port, and certificate name.

1. In Administration Console Dashboard, click **Security > Trusted Roots > Auto-Import from Server**.
2. Fill in the following fields:
   - **Server IP Address**: Specify the server IP address. You can use a DNS name.
   - **Server Port**: Specify the server port.
   - **Certificate Name**: Specify a unique name of the certificate to store in Access Manager.
3. Click **OK**.

### 16.1.3 Exporting the Public Certificate of a Trusted Root

You can export a trusted root or a public key certificate to a file so that a client can use it to verify the certificate chain sent by a cryptography-enabled application, or to have a backup copy of the file.

You can export the certificate in the following formats:

- DER-encoded (.der) to a file.
- PEM-encoded to a file. This is a Base64-encoded DER certificate that is enclosed between BEGIN CERTIFICATE and END CERTIFICATE tags.
- PEM CUT/Paste Buffer. This displays the certificate data so you can copy it to the system Clipboard. You can then pasted it directly into a cryptography-enabled application.

To export the public certificate:

1. In Administration Console Dashboard, click **Security > Trusted Roots**.
2. Click the name of the trusted root.
3. On the Certificate Details page, click **Export Public Certificate**, then click the file type.
4. Save the output file.

### 16.1.4 Viewing Trusted Root Details

1. In Administration Console Dashboard, click **Security > Trusted Roots**.
2. Click the name of a trusted root.
3. View the following information:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Issuer</td>
<td>The name of the CA that created the certificate.</td>
</tr>
<tr>
<td>Serial number</td>
<td>The serial number of the certificate.</td>
</tr>
<tr>
<td>Subject</td>
<td>The subject name of the certificate.</td>
</tr>
<tr>
<td>Valid from</td>
<td>The first date and time that the certificate is valid.</td>
</tr>
<tr>
<td>Valid to</td>
<td>The date and time that the certificate expires.</td>
</tr>
</tbody>
</table>
The **Subject Alternate Names** section indicates whether an application should reject the certificate if the application does not understand the alternate name extensions. Any configured alternate names are displayed in the list.

The **Key Usage** section indicates whether an application should reject the certificate if the application does not understand the key usage extensions. The following are possible:

- **Sign CRLs**: Indicates whether the certificate is used to sign CRLs (Certificate Revocation Lists).
- **Sign certificates**: Indicates that the certificate is used to sign other certificates.
- **Encrypt other keys**: Indicates that the certificate is used to encrypt keys.
- **Encrypt data directly**: Indicates that the certificate encrypts data for private transmission to the key pair owner. Only the intended receiver can read the data.
- **Create digital signatures**: Indicates that the certificate is used to create digital signatures.
- **Non-repudiation**: Indicates that the certificate links a digital signature to the signer and the data. This prevents others from duplicating the signature because no one else has the signer’s private key. Additionally, the signer cannot deny having signed the data.
- **CRL Distribution Points**: Displays a list of Certificate Revocation List (CRL) distribution points that are embedded into the certificate as an extension at certificate creation time. Implementations search the CRL from each distribution point (the distribution point is usually a URI that points to a store of revoked certificates) to see whether a certificate has been revoked.
- **Authority Info Access (OCSP)**: Displays a list of Online Certificate Status Protocol (OCSP) responders that are embedded into the certificate as an extension at certificate creation time. Implementations query the OCSP responder to see whether a certificate has been revoked.

### 4 Export Public Certificate
Allows you to export a trusted root to a file so that a client can use it to verify the certificate chain sent by a cryptography-enabled application. For more information, see Section 14.4, “Exporting a Public Certificate,” on page 863.

### 5 Click Close.

## 16.2 Viewing External Trusted Roots

Identity Server uses local Access Manager Appliance CA and external certificate authorities to verify the SSL certificates. The external certificates are listed in the **External Trusted Roots** tab.
NOTE: All the well-known trusted roots are added to the proxy trust store during the Access Manager Appliance Installation.

1 Click Security > Trusted Roots > External Trusted Roots.
   The External Trusted Roots tab lists all the external trusted roots that Access Manager Appliance supports.

2 View the following information:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alias</td>
<td>The name of the certificate as seen by the Access Manager appliance.</td>
</tr>
<tr>
<td>Issuer</td>
<td>The name of the CA that created the certificate.</td>
</tr>
<tr>
<td>Subject</td>
<td>The subject name of the certificate.</td>
</tr>
<tr>
<td>Starting Date</td>
<td>The date and time from which the certificate is valid.</td>
</tr>
<tr>
<td>Ending Date</td>
<td>The date and time till that the certificate is valid.</td>
</tr>
</tbody>
</table>
17 Enabling SSL Communication

- Section 17.1, “Enabling SSL Communication,” on page 875
- Section 17.2, “Using SSL on Access Manager Appliance Communication Channels,” on page 879
- Section 17.3, “Prerequisites for SSL,” on page 880
- Section 17.4, “Configuring SSL Communication with Browsers and Access Gateway,” on page 881
- Section 17.5, “Configuring SSL between the Proxy Service and the Web Servers,” on page 883
- Section 17.6, “Configuring the SSL Communication,” on page 883

17.1 Enabling SSL Communication

Access Manager Appliance enables SSL communication with the Default Reverse Proxy and Identity Server, using a self signed certificate.

You can configure Access Gateway to use SSL in its connections to the browsers, and to its Web servers.

- Section 17.1.1, “Using Access Manager Certificates,” on page 875
- Section 17.1.2, “Using Externally Signed Certificates,” on page 876
- Section 17.1.3, “SSL Renegotiation,” on page 879

17.1.1 Using Access Manager Certificates

However, the browsers are not set up to trust the Access Manager CA. You need to import the public key of the trusted root certificate (configCA) into the browsers to establish the trust.

17.1.1.1 Configuring Access Gateway for SSL

See the following topics:

- Section 17.4, “Configuring SSL Communication with Browsers and Access Gateway,” on page 881
- Section 17.5, “Configuring SSL between the Proxy Service and the Web Servers,” on page 883
17.1.2 Using Externally Signed Certificates

When Identity Server is configured to use an SSL certificate that is signed externally, the trusted store of the embedded service provider for each component must be configured to trust this new CA. The browsers that are used to authenticate to Identity Server must be configured to trust the CA that created the certificate for Identity Server. If you obtain a certificate from a well-known external CA, most browsers are already configured to trust certificates from well-known CAs.

The following procedures explain how to use certificates signed by an external Certificate Authority.

- Section 17.1.2.1, “Obtaining Externally Signed Certificates,” on page 876
- Section 17.1.2.2, “Configuring Access Gateway to Use an Externally Signed Certificate,” on page 878

17.1.2.1 Obtaining Externally Signed Certificates

The following sections explain how to create certificate signing requests for Identity Server and Access Gateway, how to use the requests to obtain signed certificates, then how to import the signed certificates and the root certificate of the Certificate Authority into Access Manager Appliance.

- “Creating the Certificate Signing Request” on page 876
- “Getting a Signed Certificate” on page 877
- “Importing the Signed Certificates and Root Certificate” on page 877

Creating the Certificate Signing Request

You need to create two certificate signing requests: one for Identity Server and one for Access Gateway. The Certificate name and the Common name need to be different, but the other values can be the same.

<table>
<thead>
<tr>
<th>What you need to know or create</th>
<th>Example</th>
<th>Your Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certificate name</td>
<td>ipda_test or lag_test</td>
<td>________________</td>
</tr>
<tr>
<td>Certificate Subject Fields:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Common name</td>
<td>ipda.test.novell.com or lag.test.novell.com</td>
<td>________________</td>
</tr>
<tr>
<td>Organizational unit</td>
<td>novell</td>
<td>________________</td>
</tr>
<tr>
<td>Organization</td>
<td>test</td>
<td>________________</td>
</tr>
<tr>
<td>City or town</td>
<td>Provo</td>
<td>________________</td>
</tr>
<tr>
<td>State or province</td>
<td>UTAH</td>
<td>________________</td>
</tr>
<tr>
<td>Country</td>
<td>US</td>
<td>________________</td>
</tr>
</tbody>
</table>

To create a signing request for Identity Server:

1. Click Security > Certificates > New.
2. Select the Use External certificate authority option.
3. Specify the following details:
   - Certificate name: idpa_test
Signature algorithm: Accept the default.
Valid from: Accept the default.
Months valid: Accept the default.
Key size: Accept the default.

4 Click the Edit icon on the Subject line.
5 Specify the following details:
   Common name: idpa.test.novell.com
   Organizational unit: novell
   Organization: test
   City or town: Provo
   State or province: UTAH
   Country: US
6 Click OK twice, then click the name of the certificate.
7 Click Export CSR.
The signing request is saved to a file.
8 Repeat Step 1 through Step 7 to create a signing request for Access Gateway.

Getting a Signed Certificate

Send the certificate signing request to a certificate authority and wait for the CA to return a signed certificate or you can use a trial certificate for testing while you wait for the official certificate. Companies such as VeriSign offer trial signed certificates for testing.

Modify the following instructions for the CA you have selected to sign your certificates:

1 Set up an account with a certificate authority and select the free trial option.
2 Open your certificate signing request for Identity Server in a text editor.
3 Copy and paste the text of the certificate request into the appropriate box for a trial certificate.
4 If CA requires that you select a server platform, select eDirectory if available. If eDirectory is not a choice, select unknown or server not listed.
5 Click Next, then copy the signed certificate and paste it into a new text file or at the bottom of the signing request file.
6 Click Back, and repeat Step 2 through Step 5 for Access Gateway.
7 Follow the instructions of the vendor to download the root certificate of the Certificate Authority and any intermediate CA certificates.

Importing the Signed Certificates and Root Certificate

The following steps explain how to imported the signed certificates and the trust root into Administration Console so that they are available to be assigned to key stores and trusted root stores.

1 Click Security > Trusted Roots.
2 Click Import, then specify a name for the root certificate.
3 Either click Browse and locate the root certificate file or select Certificate data text and paste the certificate in the text box.
4 Click OK.
The trusted root is added and is now available to add to trusted root stores.
5 (Conditional) Repeat Step 2 through Step 4 for any intermediate CA certificates.

6 In a text editor, open the signed certificate for Identity Server.

7 Click Security > Certificates, then click the name of certificate signing request for Identity Server.

8 Click Import Signed Certificate, then select Certificate data text (PEM/Based64).

9 Paste the text for the signed certificate into the data text box. Copy everything from

    -----BEGIN CERTIFICATE-----

through

    -----END CERTIFICATE-----

10 Click Add trusted root, then either click Browse and locate the root certificate file or select
Certificate data text and paste the certificate in the text box.

11 (Conditional) For any intermediate CA certificates, click Add intermediate certificate, then either
click Browse and locate the intermediate certificate file or select Certificate data text and paste
the certificate in the text box.

12 Click OK.

   The certificate is now available to be assigned to the keystore of a device.

13 Repeat Step 6 through Step 12 for Access Gateway certificate.

**NOTE:** If the certificate fails to import and you receive an error, it is probably missing a trusted root
certificate in a chain of trusted roots. To determine whether this is the problem, see "Resolving a -
1226 PKI Error" on page 1083 and "Importing an External Certificate Key Pair" on page 1082.

### 17.1.2.2 Configuring Access Gateway to Use an Externally Signed Certificate

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].

2 In the Server Certificate line, click the Browse icon to select Access Gateway certificate.

**IMPORTANT:** If the external certificate authority writes the DN in reverse order (the cn element
comes first rather than last), you receive an error message that the subject name does not
contain the cn of the device. You can ignore this warning, if the order of the DN elements is the
cause.

3 Specify an Alias for the certificate.

4 On the Server Configuration page, click Reverse Proxy / Authentication.

5 Update Access Gateway and Identity Server on respective pages.

**To verify the trusted relationship between Identity Server and Access Gateway:**

1 Enter the URL to a protected resource on Access Gateway.

2 Complete one of the following:

   - If you are prompted for login credentials, enter them. The trusted relationship has been
     reestablished.

   - If you receive a 100101043 or 100101044 error, the trusted relationship has not been
     established.

      For information about solving this problem, Section 30.3.2, “Troubleshooting 100101043
      and 100101044 Liberty Metadata Load Errors," on page 1059.
17.1.3 SSL Renegotiation

SSL renegotiation is the process of establishing a new SSL handshake over an existing SSL connection. SSL renegotiation can be initiated either by the SSL client or the SSL server. Initiating an SSL renegotiation on the client or the server requires different set of APIs. The renegotiation messages (ciphers and encryption keys) are encrypted and then sent over the existing SSL connection to establish another session securely and is useful in the following scenarios:

- When you require a client authentication.
- When you require a different set of encryption and decryption keys.
- When you require a different set of encryption and hashing algorithms.

SSL renegotiation is enabled or disabled by the following parameter:
"sun.security.ssl.allowUnsafeRenegotiation."

**NOTE:** By default, this parameter is disabled.

This is defined in a registry on Windows and a configuration file on SLES.

You can verify whether Identity Server, Access Gateway and Administration Console support secure renegotiation by using the following command:

```bash
openssl s_client -connect <IP address of the Access Manager component:port>
```

Port can either be 8443 or 443 based on Access Gateway configuration.

17.2 Using SSL on Access Manager Appliance Communication Channels

You can configure Access Manager Appliance to use SSL in its connections to Identity Server, to the browsers, and to its Web servers. Figure 17-1 illustrates these communication channels.

*Figure 17-1  Setting Up SSL for Access Gateway Communication Channels*
This section only describes how to set up SSL for Access Gateway communication channels. Identity Server needs to be configured for SSL before Access Gateway can be configured for SSL.

When a user logs in to Identity Server, Identity Server verifies the user’s credentials, usually with the credentials stored in an LDAP directory, but other methods are available. If the login is successful, Identity Server sends an artifact to the browser, and the browser forwards it to Access Gateway. Access Gateway uses the artifact to retrieve the user’s name and password from Identity Server. Access Gateway and Identity Server channel is probably the first communication channel you should enable for SSL. Access Gateway uses an Embedded Service Provider to communicate with Identity Server. When you enable SSL between the two, the Access Manager distributes the necessary certificates to set up SSL. However, if you have configured Identity Server to use certificates from an external certificate authority (CA), you need to import the public certificate of this CA into the trust store of Access Gateway. If you have set up Access Gateway to use a certificate from an external CA, you need to import the public certificate of this CA into the trust store of Identity Server.

SSL must be enabled between Access Gateway and the browsers before you can enable SSL between Access Gateway and its Web servers. If you enable SSL between Access Gateway and the browsers, SSL is automatically enabled for Access Gateway Embedded Service Provider that communicates with Identity Server. After you have enabled SSL between Access Gateway and the browsers, you can select whether to enable SSL between Access Gateway and the Web servers. By not enabling SSL to the Web servers, you can save processing overhead if the data on the Web servers is not sensitive or if it is already sufficiently protected.

Whether you need the added security of SSL or mutual SSL between Access Gateway and its Web servers depends upon how you have set up your Web servers.

- You should enable at least SSL if Access Gateway is injecting authentication credentials into HTTP headers.
- Mutual SSL is probably not needed if you have configured the Web servers so that they can only accept connections with Access Gateway.

### 17.3 Prerequisites for SSL

The following SSL configuration instructions assume that you have already created or imported the certificate that you are going to use for SSL. This certificate must have a subject name (cn) that matches the published DNS name of the proxy service that you are going to use for authentication. You can obtain this certificate one of two ways:

- You can use the Access Manager CA to create this certificate. See Section 13.1, "Creating a Locally Signed Certificate," on page 851.
- You can create a certificate signing request (CSR), send it to an external CA, then import the returned certificates into Access Manager. See Section 13.4, "Generating a Certificate Signing Request," on page 856 and Section 16.1.1, "Importing Public Key Certificates (Trusted Roots)," on page 871.
17.3.1 Prerequisites for SSL Communication between Identity Server and Access Manager Appliance

If you are going to set up SSL communication between Identity Server and Access Gateway for authentication and you have configured Identity Server to use certificates created by an external CA, you need to import the public certificate of this CA into the trusted root keystore of Access Gateway.

1 If you have not imported the public certificate of this CA into the trusted root store of Identity Server, do so now. For more information, see Section 16.1.1, “Importing Public Key Certificates (Trusted Roots),” on page 871.

2 To add the public certificate to Access Gateway:
   2a Click Devices > Access Gateways > Edit > Service Provider Certificates > Trusted Roots
   2b In the Trusted Roots section, click Add.
   2c Click the Select trusted root(s) icon, select the public certificate of the CA that signed Identity Server certificates, then click OK.
   2d Specify an alias, then click OK twice.

3 To apply the changes, click Close, then click Update on the Access Manager Appliance page.

17.3.2 Prerequisites for SSL Communication between Access Gateway and Web Servers

If you are going to set up SSL between Access Gateway and the Web servers, you need to configure your Web servers for SSL. Your Web servers must supply a certificate that clients (in this case, Access Gateway) can import. See your Web server documentation for information about how to configure the Web server for SSL.

For mutual SSL, the proxy service must supply a certificate that the Web server can trust. This certificate can be the same one you use for SSL between the browsers and the reverse proxy.

17.4 Configuring SSL Communication with Browsers and Access Gateway

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy].

2 Configure the reverse proxy for SSL by filling in the following fields:
   
   **Enable SSL with Embedded Service Provider:** Select this option to encrypt the data exchanged for authentication (the communication channel between Identity Server and Access Gateway). This option is available only for the reverse proxy that has been assigned to perform authentication.

   If you enable SSL between the browsers and Access Gateway, this option is automatically selected for you. You can enable SSL with the Embedded Service Provider without enabling SSL between Access Gateway and the browsers. This allows the authentication and identity information that Access Gateway and Identity Server exchange to use a secure channel, but allows the data that Access Gateways retrieves from the back-end Web servers and sends to users to use a non-secure channel. This saves processing overhead if the data on the Web servers is not sensitive.
Enable SSL between Browser and Access Gateway: Select this option to require SSL connections between your clients and Access Gateway. SSL must be configured between the browsers and Access Gateway before you can configure SSL between Access Gateway and the Web servers.

Redirect Requests from Non-Secure Port to Secure Port: Determines whether browsers are redirected to the secure port and allowed to establish an SSL connection. If this option is not selected, browsers that connect to the non-secure port are denied service.

This option is only available if you have selected Enable SSL with Embedded Service Provider.

Select the certificate to use for SSL between Access Gateway and browsers. Select one of the following methods:

- To auto-generate a certificate key by using the Access Manager CA, click Auto-generate Key, then click OK twice. The generated certificate appears in the Server Certificate text box.
  
  The generated certificate uses the published DNS name of the first proxy service for the Subject name of the certificate. If there is more than one proxy service, the CA generates a wildcard certificate (*.Cookie Domain).
  
  If you have not created a proxy service for this reverse proxy, wait until you have created a proxy service before generating the key. This allows the CN in the Subject field of the certificate to match the published DNS name of the proxy service.

- To select a certificate, click the Select Certificate icon, select the certificate you have created for the DNS name of your proxy service, then click OK. The certificate appears in the Server Certificate text box. For SSL to work, the CN in the Subject field of the certificate must match the published DNS name of the proxy service.

Configure the ports for SSL:

Non-Secure Port: Specifies the port on which to listen for HTTP requests. The default port for HTTP is 80.

- If you selected the Redirect Requests from Non-Secure Port to Secure Port option, requests sent to this port are redirected to the secure port. If the browser can establish an SSL connection, the session continues on the secure port. If the browser cannot establish an SSL connection, the session is terminated.

- If you do not select the Redirect Requests from Non-Secure Port to Secure Port option, this port is not used when SSL is enabled.

IMPORTANT: If you select not to redirect HTTP requests (port 80) and your Access Gateway has only one IP address, do not use port 80 to configure another reverse proxy. Although it is not used, it is reserved for this reverse proxy.

Secure Port: Specifies the port on which to listen for HTTPS requests (usually 443). This port needs to match the configuration for SSL. If SSL is enabled, this port is used for all communication with the browsers. The listening address and port combination must not match any combination you have configured for another reverse proxy or tunnel.

Click OK.

On the Server Configuration page, click OK.

On Access Gateways page, click Update > OK.

The Embedded Service Provider is restarted during the update.

(Conditional) Identity Server is automatically updated to use the new SSL configuration. If the update is not started and an update is flagged, click Identity Servers > Update.
9 Verify that the trusted relationship between Identity Server and Access Gateway has been reestablished.

9a Enter the URL to a protected resource on Access Gateway.

9b Complete one of the following:

- If you are prompted for login credentials, enter them. The trusted relationship has been reestablished.
- If you receive a 100101043 or 100101044 error, the trusted relationship has not been established. For information about how to solve this problem, see Section , ”Troubleshooting 100101043 and 100101044 Liberty Metadata Load Errors,” on page 982.

17.5 Configuring SSL between the Proxy Service and the Web Servers

SSL must be enabled between Access Gateway and browsers before you can enable it between Access Gateway and its web servers. See Section 17.4, “Configuring SSL Communication with Browsers and Access Gateway,” on page 881.

1 Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers.

2 Select Connect Using SSL.

3 (Optional) Set up mutual authentication so that the web server can verify the proxy service certificate. Click Select Certificate to select the certificate you created for the reverse proxy.

You need to import the trusted root certificate of the CA that signed the proxy service’s certificate to the web servers assigned to this proxy service. For instructions, see your Web server documentation.

4 In Connect Port, specify the port that your web server uses for SSL communication.

17.6 Configuring the SSL Communication

By default, Access Manger supports the 128-bit SSL communication among Administration Console, Identity Server, and browsers. It is recommended to enable strong ciphers.

For the list of 256-bit ciphers, see Java ™ Cryptography Architecture Oracle Providers Documentation.

To enable strong 256-bit or higher ciphers:

1 Open the server.xml file.

   Linux: /opt/novell/nam/adminconsole/conf/

   Windows Server 2012: \Program Files (x86)\Novell\Tomcat\conf

2 Add the 256-bit ciphers to the cipher attribute of <Connectors>.

   For example,
<Connector NIDP_Name="connector" port="2443" maxHttpHeaderSize="8192"
maxThreads="200" minSpareThreads="5" enableLookups="false"
disableUploadTimeout="true" acceptCount="0" scheme="https" secure="true"
clientAuth="false" sslProtocol="tls" URIEncoding="UTF-8"
allowUnsafeLegacyRenegotiation="false" keystoreFile="/var/opt/novell/novlwww/.keystore" keystorePass="changeit" SSLEnabled="true" address="164.99.87.129"
ciphers="SSL_RSA_WITH_RC4_128_MD5, SSL_RSA_WITH_RC4_128_SHA,
TLS_RSA_WITH_AES_128_CBC_SHA, TLS_DHE_RSA_WITH_AES_128_CBC_SHA,
TLS_DHE_DSS_WITH_AES_128_CBC_SHA, SSL_RSA_WITH_3DES_EDE_CBC_SHA,
SSL_DHE_RSA_WITH_3DES_EDE_CBC_SHA, SSL_DHE_DSS_WITH_3DES_EDE_CBC_SHA" />

Enabling SSL Communication
Maintaining Access Manager

Topics include:

- Chapter 18, “Analytics Dashboard,” on page 887
- Chapter 19, “Auditing,” on page 901
- Chapter 20, “Reporting,” on page 911
- Chapter 21, “Logging,” on page 917
- Chapter 22, “Monitoring Component Statistics,” on page 945
- Chapter 23, “Monitoring Component Command Status,” on page 973
- Chapter 24, “Monitoring Server Health,” on page 979
- Chapter 25, “Monitoring Alerts,” on page 987
- Chapter 26, “Monitoring Access Manager By Using Simple Network Management Protocol,” on page 993
- Chapter 27, “Impersonation,” on page 1001
- Chapter 28, “Back Up and Restore,” on page 1007
- Chapter 29, “Code Promotion,” on page 1013
- Chapter 30, “Troubleshooting,” on page 1025
Analytics Dashboard

Analytics Dashboard provides visual analytics of access related data based on the usage, performance, and events of Access Manager. The events are captured and filtered through Analytics Server. For more information about Analytics Server, see Section 1.3.4, “Analytics Server,” on page 35.

This dashboard helps in visualizing the access patterns, tuning the policies and getting insights about the usage of Access Manager in your environment. You can also monitor the real-time data access patterns to decide further actions.

The Analytics dashboard displays the following graphs by default. You can customize the layout of the dashboard based on your requirements.

- Access Gateway Accessed Applications
- Access Gateway Active Users
- Access Gateway Cache Utilization
- Access Gateway Logins
- Access Gateway Requests
- Access Gateway Uptime
- Access Gateway Clusters
- Access Gateways
- Geolocation of Users Logged In
- Identity Server Accessed Applications
- Identity Server Active Users
- Identity Server Logins
- Identity Server Clusters
- Identity Servers
- Most Used Browsers
- Most Used Endpoint Devices
- Post-Auth Risk Distribution
- Pre-Auth Risk Distribution
- Unique Users Logged In

18.1 Advantages of Using Analytics Dashboard

- Displays visual analytics based on access patterns, logins, risk-based authentication, and usage of Access Manager. This helps the administrators to tune the system according to their needs.
- The trends of using devices, browsers, or applications in an organization.
- It provides the number of unique users who are logged in to Access Manager.
It displays the graphs in a full screen (kiosk) mode, which helps in viewing Analytics Dashboard in a data center. Also, it does not time-out.

It can display a snapshot of the historic data graphs based on the query for any specific time period.

### 18.2 Architecture

Analytics dashboard uses Analytics Server to filter the events that are required for generating graphs. The following diagram displays the architecture of Analytics dashboard.

The data flow for Analytics Server is as follows:

1. The Access Manager components generate events based on user access.
2. The generated events are sent to Analytics Server real-time aggregator, which analyses and stores the event details for 7 days.
3. The historic data is forwarded to offline aggregator. This saves the historic data of the generated events that is no longer available in real-time aggregator.
4. Data from the real-time aggregator is displayed in the form of graphs in the Analytics Dashboard. You can view the historic data graphs in Analytics Dashboard by retrieving data from the offline aggregator by using the Scheduler page.

### 18.3 Who Can Access Analytics Dashboard

All the Access Manager administrators and any other users who are added in the configuration store of Administration Console can access Analytics Dashboard. They can view and manage Analytics Dashboard.

**NOTE:** The policy container administrators (delegated administrators and policy view administrators) do not have access to Analytics Dashboard.
To create a user in the configuration store perform the following:

1. In Administration Console, click <user name> at the top right of the page and then click Manage Roles & Tasks > Users > Create User.

2. Specify the details in the mandatory fields.

3. **Context:** Specify the organization context. This is a mandatory field.
   
   3a. Click object selector icon. The object selector browser displays the Browse and Search tabs.

   3b. Click Browse tab. Select novell option from the Contents list.

4. **Password:** Specify the password and retype the password to confirm it.
   Failure to enter a password will allow the user to login without a password.

   **NOTE:** This user can access only Analytics Dashboard and does not have the rights to make changes in Administration Console.

**18.4 Prerequisites**

To view the required data in Analytics Dashboard, ensure that you perform the following before launching it:

1. Install Analytics Server. For information about installation, see the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

2. Configure the settings on Administration Console. For more information about configuration settings, see Section 4.5, “Analytics Server Configuration,” on page 281.

3. On Administration Console, click Auditing then select Send to Analytics Server in the Audit Messages Using: field and specify the primary server’s IP address. For more information, see Section 19.1.1, “Specifying the Logging Server and Console Events,” on page 903.

4. Enable the events for the required graphs. For more information about the events required for each graph, see Section 18.5, “Enabling Events for Each Graph,” on page 889.

5. (Conditional) If you want any specific user to view Analytics Dashboard, then add the user to the configuration store. For more information about adding the user to configuration store, see Section 18.3, “Who Can Access Analytics Dashboard,” on page 888.

**18.5 Enabling Events for Each Graph**

To view each graph on Analytics Dashboard you must enable the required events in Administration Console. The events that are enabled are sent to Analytics Server, which generates graphs for Analytics Dashboard.

**NOTE:** If the events are not enabled, the graphs do not display any data.

To view the events for Identity Server, perform the following on Administration Console:


2. In the Audit Logging section, select Enabled.

To view the events for Access Gateway, click Devices > [Access Gateway server] > Edit > Auditing on Administration Console:
The following table provides the list of graphs with the required events:

<table>
<thead>
<tr>
<th>Graph</th>
<th>Events</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unique Users Logged In</td>
<td>• Logins Consumed (Identity Server)</td>
</tr>
<tr>
<td></td>
<td>• Session Created/ Destroyed (Access Gateway)</td>
</tr>
<tr>
<td></td>
<td>• Identity Server Active Users</td>
</tr>
<tr>
<td></td>
<td>• Access Gateway Active Users</td>
</tr>
<tr>
<td></td>
<td>• Access Gateway Uptime</td>
</tr>
<tr>
<td></td>
<td>• Access Gateway Requests Trend</td>
</tr>
<tr>
<td></td>
<td>• Access Gateway Cache Utilization</td>
</tr>
<tr>
<td>Access Gateway Accessed Applications</td>
<td>• Session Created/ Destroyed</td>
</tr>
<tr>
<td></td>
<td>• Application Accessed</td>
</tr>
<tr>
<td>Access Gateway Logins</td>
<td>Session Created/ Destroyed</td>
</tr>
<tr>
<td>Identity Server Accessed Applications</td>
<td>• Federation Token Sent</td>
</tr>
<tr>
<td></td>
<td>• Federation Token Received</td>
</tr>
<tr>
<td></td>
<td>• Token Issued to Web Service</td>
</tr>
<tr>
<td></td>
<td>• OAuth and OpenID token Issued</td>
</tr>
<tr>
<td>Identity Server Logins</td>
<td>Logins Consumed</td>
</tr>
<tr>
<td>Pre Auth Risk Distribution</td>
<td>Risk Based Pre-authentication Action Invoked (Identity Server)</td>
</tr>
<tr>
<td>Post Auth Risk Distribution</td>
<td>Risk-based Authentication Action Invoked (Identity Server)</td>
</tr>
<tr>
<td>Geolocation of Users Logged In</td>
<td>• Logins Consumed (Identity Server)</td>
</tr>
<tr>
<td></td>
<td>• Session Created/ Destroyed (Access Gateway)</td>
</tr>
<tr>
<td></td>
<td>• Risk Based Pre-authentication Action Invoked (Identity Server)</td>
</tr>
<tr>
<td></td>
<td>• Risk-based Authentication Action Invoked (Identity Server)</td>
</tr>
<tr>
<td></td>
<td>• Most Used Browsers</td>
</tr>
<tr>
<td></td>
<td>• Most Used Endpoint Devices</td>
</tr>
<tr>
<td>Most accessed users (from 4.3 SP1 onwards)</td>
<td>• Risk-based Authentication Action Invoked (If risk-based authentication is configured)</td>
</tr>
<tr>
<td></td>
<td>• Risk Based Pre-authentication Action Invoked (If risk-based authentication is configured)</td>
</tr>
<tr>
<td></td>
<td>• Logins Consumed (Identity Server)</td>
</tr>
</tbody>
</table>
### 18.6 Viewing Data in Analytics Dashboard

Analytics Dashboard displays all the required Access Manager events in the form of graphs. You can view data in each graph only if the events are enabled for the graphs. For information about enabling events for each graph, see Section 18.5, “Enabling Events for Each Graph,” on page 889. The graphs are displayed based on the default data query and in the following modes:

- **Section 18.6.1, “Real-time Data,” on page 891**
- **Section 18.6.2, “Historic Data,” on page 892**

#### 18.6.1 Real-time Data

By default, the real-time data mode displays the graphs with data for the last 7 days.

You can add filters, or refresh the data after a specific time duration. You can view data from the time Analytics Server is configured till the present time, but the data that is older than 7 days will not be displayed.

For more information about applying time-filters, see Section 18.9.5, “Adding/Modifying Refresh Time for Real-time Dashboard,” on page 899.

For information about the actions that you can perform on a dashboard, see Section 18.9, “Managing Analytics Dashboard,” on page 896
18.6.2 Historic Data

The historic data mode displays the graphs based on the older events that are stored in offline aggregator. The data is displayed for the time duration that administrator specifies in the Analytics Dashboard scheduler.

When an administrator requires the historic data, they can specify the duration in the Scheduler page, then Analytics Server generates an analytic graph report that is used for displaying the graphs with historic data. The Administrator can delete the data manually or specify the duration after which the analytic report must be deleted from the scheduler.

You can view these graphs from the Scheduler screen. For more information about using Scheduler, see Section 18.9.6, “Viewing Historic Data,” on page 900.

To return to the default dashboard to view the real-time data, you can click the Goto saved default icon. For information about the actions that you can perform on the dashboard, see Section 18.9, “Managing Analytics Dashboard,” on page 896.

18.7 Types of Graphs

The graphs represent information about the business requirement of an organization that uses Access Manager to secure its applications. The Analytics Dashboard displays the following graphs in real-time and historic data modes by default:

- Section 18.7.1, “Unique Users Logged In,” on page 893
- Section 18.7.2, “Identity Server Active Users,” on page 893
- Section 18.7.3, “Access Gateway Active Users,” on page 893
- Section 18.7.4, “Geolocation of Users Logged In,” on page 893
- Section 18.7.5, “Pre-Auth Risk Distribution,” on page 893
- Section 18.7.6, “Post-Auth Risk Distribution,” on page 894
- Section 18.7.7, “Identity Server Accessed Applications,” on page 894
- Section 18.7.8, “Access Gateway Accessed Applications,” on page 894
- Section 18.7.9, “Most Used Browsers,” on page 894
- Section 18.7.10, “Most Used Endpoint Devices,” on page 894
- Section 18.7.11, “Most Accessed Users (Available from 4.3 SP1 Onwards),” on page 894
- Section 18.7.12, “Client IP Addresses (Available from 4.3 SP1 Onwards),” on page 894
- Section 18.7.13, “Most Used Contracts (Available from 4.3 SP1 Onwards),” on page 895
- Section 18.7.14, “Failed Authentications (Available from 4.3 SP1 Onwards),” on page 895
- Section 18.7.15, “Identity Server Logins,” on page 895
- Section 18.7.16, “Access Gateway Logins,” on page 895
- Section 18.7.17, “Access Gateway Uptime,” on page 895
- Section 18.7.18, “Access Gateway Requests,” on page 895
- Section 18.7.19, “Access Gateway Cache Utilization,” on page 895
- Section 18.7.20, “Identity Server Clusters,” on page 895
- Section 18.7.21, “Identity Servers,” on page 896
- Section 18.7.22, “Access Gateway Clusters,” on page 896
- Section 18.7.23, “Access Gateways,” on page 896
18.7.1 Unique Users Logged In

This graph displays the user count based on the number of distinct users who are logged in to Identity Server and Access Gateway. This count is irrespective of how often they send log in requests.

This graph helps to determine the number of distinct users who are logged in to web applications that are configured to use Access Manager.

18.7.2 Identity Server Active Users

This graph displays the data for the number of users who are logged in to Identity Server and is active at a specific interval.

This graph is helpful in analysing how many users are authenticated within a specific time interval.

18.7.3 Access Gateway Active Users

This graph displays the data for the number of users who are logged in to Access Gateway server and is active within a specific time interval.

This graph is helpful in determining how many users are authorized within a specific time interval.

18.7.4 Geolocation of Users Logged In

This displays the map with number of logged in users in specific geographical location. You can hover the mouse on each region to know the number of users who are accessing applications from that region.

To view this graph you must configure the geographical location. For information about configuring the geolocation, see Section 4.5.5, “Configuring Analytics Server,” on page 285.

This graph helps in identifying the location from where the most or the least number of users access applications.

NOTE: To display the user count for different countries, Analytics Server uses the IP address that is specified in the geolocation provider database. Hence, the data on the graph is dependent on the configured geolocation provider details.

18.7.5 Pre-Auth Risk Distribution

This graph displays a pie-chart with the distribution of the levels of risk (high, medium, and low). Each portion displays the risk percentage based on the number of sessions and percentage of the risk level that is configured in Identity Server.

These graphs help in determining the risks that are detected before authentication. Based on the risk level, administrators can change the policies to mitigate the risk.
18.7.6 **Post-Auth Risk Distribution**

This graph displays the pie-chart with the different levels of risk (high, medium, and low). Each portion of the pie-chart displays the risk percentage based on the number of sessions and percentage of the risk level that is configured in Identity Server.

These graphs help in mitigating the risk that are detected after authentication.

18.7.7 **Identity Server Accessed Applications**

This graph displays the name and the number of times the applications such as, federated applications, OAuth, and WS-Trust are accessed through Identity Server.

This graph helps in determining the most commonly used applications through Identity Server.

18.7.8 **Access Gateway Accessed Applications**

This graph displays the name of web applications with the number of times any web application is accessed through Access Gateway.

This graph helps in determining the most commonly used applications through Access Gateway.

18.7.9 **Most Used Browsers**

This graph displays the name of all the browsers with the comparison of their usage in Access Manager environment.

This graph helps in determining the most commonly used browsers from which the requests are sent to applications that are configured with Access Manager.

18.7.10 **Most Used Endpoint Devices**

This graph displays the name of all the endpoint devices with the comparison of their usage in the Access Manager environment.

This graph helps in determining the most commonly used devices that users use for sending access requests.

18.7.11 **Most Accessed Users (Available from 4.3 SP1 Onwards)**

This graph displays the name of the top ten users who have got access by using Access Manager. Other includes the names of other users.

This graph helps in determining the users who frequently send requests to get access through Access manager.

18.7.12 **Client IP Addresses (Available from 4.3 SP1 Onwards)**

This graph displays the IP address of the client machines from which the requests are received frequently.
18.7.13 **Most Used Contracts (Available from 4.3 SP1 Onwards)**
This graph displays the name and number of the frequently used contracts.
This graph helps in determining the most commonly used contracts that is used for authentication.

18.7.14 **Failed Authentications (Available from 4.3 SP1 Onwards)**
This graph displays the number of failed authentications that occurred in a specific interval.

18.7.15 **Identity Server Logins**
This graph displays the number of login requests that are sent to Identity Server with respect to time.
This graph helps in determining the interval when there are too many user login requests sent to Identity Server.

18.7.16 **Access Gateway Logins**
This graph displays the number of login requests that are sent to Access Gateway with respect to time.
This graph helps in determining the interval when there are too many user login requests sent to Access Gateway.

18.7.17 **Access Gateway Uptime**
This graph displays the total time Access Gateway has been running since it was last started.
It helps in determining the time for next reboot.

18.7.18 **Access Gateway Requests**
This graph displays the number of requests that are sent to Access Gateway at a specific interval.
This graph helps in determining the load on Access Gateway server at each interval.

18.7.19 **Access Gateway Cache Utilization**
This graph displays the percentage of the used cache from the available cache for Access Gateway.

18.7.20 **Identity Server Clusters**
This graph displays all Identity Server clusters with the health of each cluster. You can view the health statistics based on the number of nodes that are active at a specific time period.
You can also disable a cluster and view Analytics Dashboard without using data from that cluster.
18.7.21 **Identity Servers**

This graph displays the list of all Identity Server machines with the health of each server.

You can disable data filter for any required Identity Server and view Analytics Dashboard without the data that is generated from those servers.

18.7.22 **Access Gateway Clusters**

This graph displays all Access Gateway clusters with the health of each cluster. You can view the health statistics based on the number of nodes that are active at a specific time period.

You can also disable a cluster and view Analytics Dashboard without using data from that cluster.

18.7.23 **Access Gateways**

This graph displays the list of all Access Gateway servers with the health of each server.

You can disable data filter for any required Access Gateway servers and view Analytics Dashboard without the data that is generated from those servers.

18.8 **Accessing Analytics Dashboard**

You can access Analytics Dashboard by using any of the following ways:

- **Administration Console Dashboard:** You can access Analytics Dashboard from Administration Console either by clicking Devices > Analytics Server > Analytics Dashboard or by clicking Analytics Dashboard under Admin Tasks.

- **Analytics Dashboard Web page:** You can directly access the webpage by using the following URL: https://<ip address of Analytics Server>:8445/amdashboard/login

**NOTE:** Ensure that you have installed and configured Analytics server. For information about Analytics Server configuration refer Section 4.5, "Analytics Server Configuration," on page 281. If you are using Analytics Server in high availability mode, you must enable the Page Reload option. If one of the nodes fail when accessing a dashboard, then Analytics Server prompts for re-authentication. For information about Page Reload, see Section 18.9.5, "Adding/Modifying Refresh Time for Real-time Dashboard," on page 899.

18.9 **Managing Analytics Dashboard**

*Analytics Dashboard* is the default dashboard. You cannot save changes to Analytics Dashboard, but you can modify it and save it with a different name. You can create different dashboards as per your requirements. The points on the line graphs is plotted based on the highest number that is reached in the duration of 5 minutes.
Creating a new graph, or modifying any query is not supported. Hence, you must not modify the default settings of the graphs and dashboard. But, you can perform the following to manage dashboards:

- Section 18.9.1, “Managing Layout of the Dashboard,” on page 897
- Section 18.9.2, “Exporting and Importing a Customized Dashboard,” on page 897
- Section 18.9.3, “Filtering Data to View Required Details,” on page 898
- Section 18.9.4, “Managing Dashboard,” on page 898
- Section 18.9.5, “Adding/Modifying Refresh Time for Real-time Dashboard,” on page 899
- Section 18.9.6, “Viewing Historic Data,” on page 900

18.9.1 Managing Layout of the Dashboard

You can customize the layout of a dashboard by dragging and dropping required graphs as per your requirements. You can also delete the unused graphs and save the dashboard with the changes.

You can perform the following to save a customized dashboard:

1. (Conditional) If you want to change the positioning of each graph click on the drag icon, then move the graphs at the required positions.
2. (Conditional) If you want to remove unused graphs, close each unused graph.
3. Click the Save icon.
4. Specify a name for the customized dashboard.
5. Click the Save icon on the left.

18.9.2 Exporting and Importing a Customized Dashboard

You can export the required customized dashboard to any location on the system, then import it when you require it.
18.9.2.1 Exporting a Customized Dashboard

1. Log in to Analytics Dashboard
2. Click the Load icon, then select the customized dashboard that you require to export
3. Click the Save icon
4. Click Advanced > Export schema
5. Click Save > Browse to save the file to the required location

18.9.2.2 Importing a Customized Dashboard

1. Log in to Analytics Dashboard
2. Click the Load icon
3. Click Import schema
4. Click Choose File to browse to the correct location, then select the required dashboard file
5. Save the imported dashboard

18.9.3 Filtering Data to View Required Details

You can choose to view the required details by adding filters to the data that generates the graphs. Filters are the queries that are generated when you select a section of a graph.

When you add a filter for one graph, it is applied to all the graphs in the dashboard. For example, if you select a specific time range in the Identity Server Active Users graph, all the graphs will display the data based on the same time range. In the same way, whenever you select the level of risk, geolocation, or specific interval within a graph, all graphs display data based on the selected level of risk, location, or time respectively.

You can view the filters under the Filtering tab of a dashboard. If you have applied too many filters, then you can revert back to the required filter by removing the filters from the Filtering tab.

18.9.4 Managing Dashboard

An administrator can specify any action, which is a query that runs in the back end of the dashboard. You can view or delete the query by using the Filtering tab. This section covers the actions that you can perform on Analytics dashboard.

<table>
<thead>
<tr>
<th>Table 18-1 Managing dashboards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Action</td>
</tr>
<tr>
<td>--------</td>
</tr>
<tr>
<td>Goto Saved Default</td>
</tr>
<tr>
<td>Load</td>
</tr>
</tbody>
</table>
The real-time dashboard displays the last 7 days data by default. You can select the appropriate time frame from the Time filter field. For example, if you want to view only the data from last 24 hours, then select Time filter > Last 24h.

You can also specify the auto-refresh duration in the Time filter field, which will refresh the dashboard with the latest data after the specified duration. For example, if you want the dashboard to refresh the graphs after every 5 minutes, you can select Time filter > Auto-Refresh > 5m. You can also manually refresh the graphs whenever it is required.

If you are using Analytics Server in a high-availability mode or using dashboard for prolonged monitoring, ensure that you select Time filter > Auto-Refresh > Page Reload > Every 10m.

**NOTE:** If the Auto-Refresh option is off, the dashboard will time-out based on the time-out setting of Administration Console.

It is recommended to use the Page Reload option to minimize the memory usage on the browser. You must disable the Page Reload option whenever you are modifying or saving the dashboard otherwise your changes will be lost. To disable this option click Time filter > Auto-Refresh > Page Reload > Off.
Viewing Historic Data

The Scheduler page helps in generating reports for Analytics Dashboard from the older events that are stored in the Analytics Server database. This report is used only for generating graphs on Analytics Dashboard.

When you require to retrieve data from the database for a specific duration, the scheduler analyses the historic data, then generates a report for Analytics Dashboard.

To create report for historic data, you must use the scheduler page. This page helps with the following:

- View the details of previously scheduled graphs.
- Specify the time range, data availability (in days, after which the graphs will be auto deleted) for a new report for Analytics Dashboard.
- Check the status of the report (scheduled, in progress, completed).
- Click on the completed analytic reports to view the graphs.
- Delete the graphs.

To view the historic data, you must perform the following on Analytics dashboard:

1. Click the scheduler icon to view the Analytics Scheduler screen.
2. (Conditional) If you want scheduler to analyze the events that were generated during a specific duration, specify the following under Schedule New Analysis:
   - Time Range: Click to select the start and the end date of the historic data.
   - Keep Data For: Click to select the period till when you want the report to be available under the Analyzed Data Available list.
3. (Conditional) If you have already scheduled analysis for generating reports, then to view the graphs, click the graph icon next to the report with Status as Completed under Analyzed Data Available.

It may take some time for the scheduler to retrieve the data and analyze it for generating the report.

NOTE: When you launch a dashboard with the historic data, you must not remove data filters under the Filtering tab. If the filter is removed, the graphs will not display the data for the time range that was specified.
NOTE: Platform Agent and Novell Audit are no longer supported. A new Access Manager 4.2 installation no longer installs Platform Agent and Novell Audit for auditing. If you upgrade from an older version of Access Manager to 4.2, Platform Agent is still available. It is recommended to use Syslog for auditing.

Access Manager Appliance supports audit logging and file logging at the component level. Access Manager Appliance provides compliance assurance logging and to maintain audit log entries that can be subsequently included in reports. In addition to selectable events, device-generated alerts are automatically sent to the audit server. You can configure Access Manager Appliance to use a Sentinel server, a third party syslog server, or use Analytics Server.

The audit logs record events that have occurred in the identity and access management system and are primarily intended for auditing and compliance purposes. You can configure the following types of events for logging:

- Starting, stopping, and configuring a component
- Success or failure of user authentication
- Role assignment
- Allowed or denied access to a protected resource
- Error events
- Denial of service attacks
- Security violations and other events necessary for verifying the correct and expected operation of the identity and access management system

Audit logging does not track the operational processing of the Access Manager Appliance components; that is, the processing and interactions between Access Manager Appliance components required to fulfill a user request. (For this type of logging, see Section 21.3.1, “Configuring Logging for Identity Server,” on page 922.) Audit logs record the results of user and administrator requests and other system events. Although the primary purpose for audit logging is for auditing and compliance, you can also use the event logs for detecting abnormal and error conditions. The event logs can be used as a first alert mechanism for system support.

Access Manager Appliance has been assigned the server-alert event code 0x002E0605. It is responsible for packaging and forwarding audit log entries to the configured audit server.

For a secure system, you need to configure auditing and syslog to notify the system administrator when certain events occur. The most important audit events to monitor are the following:

- Configuration changes
- System shutdowns and startups
- Server imports and deletes
- Intruder lockout detection (available only for eDirectory user stores)
- User account provisioning

Audit events are device-specific. You can select events for the following devices:

- **Administration Console**: In Administration Console Dashboard, click Auditing.
19.1 Enabling Auditing

You can configure Access Manager Appliance to send audit events to Analytics Server. If you require to use any other server for auditing except Analytics Server, then you can configure Access Manager Appliance to send audit events to a Sentinel server, a Syslog server, or Novell Audit Server (on upgraded systems only).

In addition to the selectable events, device-generated alerts are automatically sent to the audit server. These Management Communication Channel events have an ID of 002e0605. All Access Manager events begin with 002e.

For information about audit event IDs and field data, see Section 30.16, “Access Manager Audit Events and Data,” on page 1143.

Access Gateway also supports sending e-mail notification to system administrators. To configure this system in Administration Console, click Devices > Access Gateways > Edit > Alerts.

NOTE: The eDirectory audit configuration remains unchanged even after you upgrade to the latest version of the Access Manager. To fetch eDirectory audit events, manually unload and re-load the audit modules. Perform this activity each time you start eDirectory.

To install and enable eDirectory packages, see Installing Novell Audit Packages in the eDirectory 8.8 SP8 Administration Guide.

Configuring Access Manager Appliance for Auditing

By default, Access Manager Appliance is preconfigured to use syslog. If you install more than one instance of for failover, syslog server is installed with each instance. However, if you already use a third party syslog server, you can configure Access Manager Appliance to use your audit server. If you are using Analytics Server, you can configure Access Manager Appliance to use Analytics Server’s in-built audit server.

Access Manager Appliance allows you to specify only one audit server. You still have failover if the audit server is not reachable. The failover mechanism changes based on the type of logging:

- For File-based: Does not require a failover mechanism.
- For Syslog: The events are sent to a local file. The syslog client must be configured for failover. For more information, see the third party syslog server documentation.

If you have a Sentinel server or a Sentinel Log Manager server, you can configure Access Manager Appliance to send the events to them.

If you have installed Analytics Server, you can configure Access Manager Appliance to send the events to Analytics Server.
This section includes the following topics:

- Section 19.1.1, “Specifying the Logging Server and Console Events,” on page 903
- Section 19.1.2, “Configuring Syslog for Auditing,” on page 904

19.1.1 Specifying the Logging Server and Console Events

The Secure Logging Server manages the flow of information to and from the auditing system. It receives incoming events and requests, logs information to the data store, monitors designated events, and provides filtering and notification services. It can also be configured to automatically reset critical system attributes according to a specified policy.

1 To specify the logging server, click Auditing.

2 Fill in the following fields:

**Audit Messages Using:** Select any one of the following:

- **Platform Agent (Discontinued):** (Only on an Upgraded Access Manager)
- **Log File (Not Recommended For Production):** (Only on a fresh installation of Access Manager)

The audit events are sent to a local log file. The file locations are:

**On Windows:**

- **Identity Server and ESP:** "C:\Program Files(x86)\Novell\Syslog\audit_common.log"
- **Access Gateway:** "C:\Program Files\Novell\Syslog\audit_ag.log"

**On Linux:**

- **Identity Server and ESP:** /var/opt/novell/syslog/audit_common.log
- **Access Gateway:** /var/opt/novell/syslog/audit_ag.log

- **Syslog:** From the list, select a syslog server. The available options are:
  - **Send to Sentinel:** The audit event are sent in CSV format.
  - **Send to Third party:** The audit events are sent in JSON format.

**NOTE:** If Administration Console is configured as a remote Audit server for syslog, then, the audit logs are sent to the following location: /var/log/NAM_Audits.log.

- **Send to Analytics Server:** The audit event are sent in CSV format.

**Server Listening Address:** Specify the IP address or DNS name of the audit logging server you want to use. By default, the system uses the primary Administration Console IP address. If you want to use a different Secure Logging Server, specify that server here. For example, if you select syslog, specify the syslog server details here.

**NOTE**

- If you have enabled Analytics Server cluster configuration, the virtual IP address is auto-populated. For information about cluster configuration, see Post-Installation Cluster Configuration for Analytics Server.
- Access Manager supports auditing through syslog only on TCP.
- On Windows, if syslog is selected for auditing, the Server Listening Address field is disabled. To specify the server details, manually install and configure the local syslog client.
Server Public NAT Address: If your auditing server is in the private network, enter Public NAT IP Address of the auditing server using which devices can reach the auditing server.

To use a Sentinel server or a Sentinel Log Manager, specify the IP address or DNS name of the Sentinel.

- For more information about Sentinel, see Sentinel 7.3.
- For more information about Sentinel Log Manager, see Sentinel Log Manager 1.0.

Port: Specify the port that the Platform Agents or syslog uses to connect to the Secure Logging Server.

- For Platform Agent: The default secure logging server port is 1289.
- For Syslog:
  - For Sentinel server, the default port is 1468.
  - For third party syslog servers, specify the configured port of that server.
  - For Analytics Server, specify 1468.

NOTE: If you select Sentinel server for auditing through syslog, then you must use the latest Access Manager Collector for Sentinel.

Stop Service on Audit Server Failure: Enable this option to stop the Apache services when the audit server is offline or not reachable and audit events could not be cached.

3 Under Management Console Audit Events, specify the system-wide events you want to audit:

Select All: Selects all of the audit events.

Health Changes: Generated whenever the health of a server changes.

Server Imports: Generated whenever a server is imported into Administration Console.

Server Deletes: Generated whenever a server is deleted from Administration Console.

Server Statistics: Generated periodically whenever statistics are generated for server.

Configuration Changes: Generated whenever you change a server configuration.

4 Click OK.

If you did not change the address or port of the Secure Logging Server, this completes the process. It might take up to fifteen minutes for the events you selected to start appearing in the audit files.

5 (Conditional) If you want to change the IP for Analytics server, you must change the IP of the primary Analytics Server. For information about changing the primary IP address, refer Section 4.5.4, “Managing Details of a Cluster,” on page 284.

19.1.2 Configuring Syslog for Auditing

Access Manager supports syslog for auditing. You can use Analytics Server, or a Sentinel server to send audit events. To configure syslog, see “Specifying the Logging Server and Console Events” on page 903.

On Linux, if you select syslog for auditing, then the syslog server configurations are automatically pushed to Identity Server and Access Gateway.

On Windows, you need to manually install the preferred syslog service and configure it to communicate to the local TCP port 1290. To configure the syslog agent to communicate with the remote syslog server, you need to manually configure the installed syslog agent on each device.
19.1.2.1 Prerequisites

To view the prerequisites, see Upgrading Access Manager Appliance.

**NOTE:** To use Syslog for auditing, you need to upgrade the base operating system. After the upgrade, install the Syslog RPMs manually. To install the RPMs, execute the following command:

```
zypper in -t pattern NetIQ-Access-Manager
```

19.1.2.2 Limitations

The following are the limitations of syslog:

- On Identity Server and ESP, the events are cached to a local file during a local audit failure. The file locations:
  - **On Windows:**
    - Identity Server and ESP: `C:\Program Files(x86)\Novell\Syslog\audit_common.log`
  - **On Linux:**
    - Identity Server and ESP: `/var/opt/novell/syslog/audit_common.log`

- The log forwarding of cached logs in not supported for Identity Server and ESP events.
- The failover mechanism communication does not work in Access Gateway.

**IMPORTANT:** By default, syslog agents are configured without SSL communication with the remote audit server. You need to manually configure SSL between local syslog agent and remote syslog audit server.

19.1.2.3 Caching Audit Events

By default, the local syslog agents do not cache or queue the audit events when the remote syslog audit server is not reachable. This results in the loss of audit events. It is recommended to enable cashing for audit events in the local syslog agent.

On Linux, you can make use of the rsyslog’s queuing feature for caching the audit events.

A sample configuration for caching the audit event is as follows:

```
$WorkDirectory /rsyslog/work
$ActionQueueType LinkedList
$ActionQueueFileName example_fwd
$ActionResumeRetryCount -1
$ActionQueueSaveOnShutdown on
```

You need to create the `/rsyslog/work` directory manually. Add this sample configuration into the `/etc/rsyslog.d/nam.conf` file.

**NOTE:** You need to manually make the changes on each component – Administration Console, Identity Server and Access Gateway.

For more information about syslog configuration, see Syslog Configuration Whitepaper.
19.2 Enabling Identity Server Audit Events

The following steps assume that you have already set up auditing on your network. For more information, see “Configuring Access Manager Appliance for Auditing” on page 902.

1. Click Devices > Identity Server > Servers > Edit > Auditing and Logging.
2. In the Audit Logging section, select Enabled.
3. Select the events for notification.

**Select All:** Select this option for all events. Otherwise, select one or more of the following:

<table>
<thead>
<tr>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Login Provided</td>
<td>Generated when an identity provider sends authentication to a service provider. Role assignment audit events are included in authentication audit events for Identity Server.</td>
</tr>
<tr>
<td>Login Provided Failure</td>
<td>Generated when an identity provider attempts to send authentication to a service provider but fails.</td>
</tr>
<tr>
<td>Login Consumed</td>
<td>Generated when a user is authenticated either locally or by an external identity provider. Role assignment audit events are included in authentication audit events for Identity Server.</td>
</tr>
<tr>
<td>Login Consumed Failure</td>
<td>Generated when Identity Server initiates authentication, but the process fails.</td>
</tr>
<tr>
<td>Logout Provided</td>
<td>Generated when an identity provider sends a logout request to a service provider that it has authenticated.</td>
</tr>
<tr>
<td>Logout Local</td>
<td>Generated when Identity Server receives a logout command from the user.</td>
</tr>
<tr>
<td>Federation Request Sent</td>
<td>Generated when a service provider attempts to federate with an identity provider.</td>
</tr>
<tr>
<td>Federation Request Handled</td>
<td>Generated by Identity Server when processing a request for federation.</td>
</tr>
<tr>
<td>Defederation Request Sent</td>
<td>Generated by the identity provider when a request for defederation is sent to another provider.</td>
</tr>
<tr>
<td>Defederation Request Handled</td>
<td>Generated when Identity Server processes a request for defederation.</td>
</tr>
<tr>
<td>Register Name Request Handled</td>
<td>Generated when Identity Server processes a request for changing a name identifier.</td>
</tr>
<tr>
<td>Attribute Query Request Handled</td>
<td>Generated by Identity Server when processing an attribute request from a service provider.</td>
</tr>
<tr>
<td>Web Service Query Handled</td>
<td>Generated when a Web service query request is sent to an identity provider.</td>
</tr>
<tr>
<td>Web Service Modify Handled</td>
<td>Generated when Web service modify request is sent to an identity provider.</td>
</tr>
<tr>
<td>User Account Provisioned</td>
<td>Generated by Identity Server when functioning as an identity consumer and when an account has been provisioned.</td>
</tr>
<tr>
<td>User Account Provisioned Failure</td>
<td>Generated by Identity Server when functioning as an identity consumer and when account provisioning has failed.</td>
</tr>
</tbody>
</table>

906 Auditing
<table>
<thead>
<tr>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>LDAP Connection Lost</td>
<td>Generated when the LDAP connection is lost.</td>
</tr>
<tr>
<td>LDAP Connection Reestablished</td>
<td>Generated when the LDAP connection is reestablished.</td>
</tr>
<tr>
<td>Server Started</td>
<td>Generated when the server gets a start command from the server communications module.</td>
</tr>
<tr>
<td>Server Stopped</td>
<td>Generated when the server gets a stop command from the server communications module.</td>
</tr>
<tr>
<td>Server Refreshed</td>
<td>Generated when the server gets a refresh command from the server communications module.</td>
</tr>
<tr>
<td>Intruder Lockout Detected</td>
<td>Generated when an attempt to log in as a particular user with an invalid password has occurred more times than is allowed by the directory.</td>
</tr>
<tr>
<td>Component Log Severe Messages</td>
<td>Logged for all component messages with level of Severe.</td>
</tr>
<tr>
<td>Component Log Warning Messages</td>
<td>Logged for all component messages with level of Warning.</td>
</tr>
<tr>
<td>Brokering Across Groups Denied</td>
<td>Brokering authentication request denied to a target service provider. The brokering group consists of either the Identity Provider or target Service Provider, but both does not belong to the same group.</td>
</tr>
<tr>
<td>Brokering Rule Evaluated to Deny</td>
<td>Brokering authentication request denied to a target service provider due to broker policy evaluation resulted in denying.</td>
</tr>
<tr>
<td>Brokering Handled</td>
<td>The total number of brokering authentication requests handled by Identity Server when it started.</td>
</tr>
<tr>
<td>WebService Request Authenticated</td>
<td>Generated when a user is authenticated for requesting a token for a Web service.</td>
</tr>
<tr>
<td>WebService Request Authentication Failed</td>
<td>Generated when a user's authentication fails for requesting a token for a Web service.</td>
</tr>
<tr>
<td>Token Was Issued To WebService</td>
<td>Generated when a token is issued for accessing a Web service.</td>
</tr>
<tr>
<td>Token Issue To WebService Failed</td>
<td>Generated when a request to issue a token for accessing a Web service fails.</td>
</tr>
<tr>
<td>Token Was Validated To A WebService</td>
<td>Generated when a token is validated for a Web service.</td>
</tr>
<tr>
<td>Token Validation To WebService Failed</td>
<td>Generated when a token validation for accessing a web service fails.</td>
</tr>
<tr>
<td>Token Renewed</td>
<td>Generated when a token is renewed for a Web service.</td>
</tr>
<tr>
<td>Token Renew Failed</td>
<td>Generated when renewing a token for a Web service fails.</td>
</tr>
<tr>
<td>Risk-Based Authentication Succeeded</td>
<td>Generated when the rule execution succeeds.</td>
</tr>
<tr>
<td>Risk-Based Authentication Failed</td>
<td>Generated when the rule execution fails.</td>
</tr>
<tr>
<td>Risk-Based Authentication Action Invoked</td>
<td>Generated when the rule execution succeeds and the user is requested to perform additional authentication.</td>
</tr>
<tr>
<td>Risk-based Pre-authentication Succeeded</td>
<td>Generated when pre-authentication rule execution succeeds.</td>
</tr>
<tr>
<td>Risk-based Pre-authentication Failed</td>
<td>Generated when pre-authentication rule execution fails.</td>
</tr>
</tbody>
</table>
4 Click Apply > OK.
5 Click Servers > Update Servers.

NOTE: Identity Server logs the IP address of client machine, from where authentication requests originate, into audit events. If the client machine is behind a proxy, then proxy IP address will be logged. If you need to skip the proxy IP address and log the actual client machine IP address, configure the RemoteIpValve in the Tomcat configuration file (server.xml) on all Identity Servers.

The server.xml file can be found at /opt/novell/nam/idp/conf/server.xml (for linux) and // Program File x(86)/Novell/Tomcat/conf/server.xml (for Windows).


To configure audit events to capture the source IP address of the X-forwarded-header, add the following details after the Engine element in the server.xml file:

```
<Engine defaultHost="localhost" name="Catalina">
  <Valve className="org.apache.catalina.valves.RemoteIpValve" internalProxies="IP addresses" />
```

Substitute IP addresses with the IP address of the proxy and load balancer.
19.3 Enabling Access Gateway Audit Events

The following steps assume that you have already set up auditing on your network. For more information, see “Configuring Access Manager Appliance for Auditing” on page 902.

2. Based on the event category, select the events for notification.

   **Select All:** Select this option for all events. Otherwise, select one or more of the following:

   **Events**

<table>
<thead>
<tr>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Access Denied</td>
<td>Generated when a requested action is denied because the requester has insufficient access rights to a URL.</td>
</tr>
<tr>
<td>Identity Injection Failed</td>
<td>Generated when the Identity Injection policy injects with the value field empty.</td>
</tr>
<tr>
<td>System Started</td>
<td>Generated when Access Gateway is started.</td>
</tr>
<tr>
<td>System Shutdown</td>
<td>Generated when Access Gateway is stopped.</td>
</tr>
<tr>
<td>Form Fill Failed</td>
<td>Generated when a Form Fill policy fails to successfully fill in a form.</td>
</tr>
<tr>
<td>Application Accessed</td>
<td>Generated when a user accesses applications.</td>
</tr>
<tr>
<td>URL Not Found</td>
<td>Generated when a requested URL cannot be found.</td>
</tr>
<tr>
<td>IP Access Attempted</td>
<td>Generated when a user attempts to access a URL with an IP address instead of the published DNS name configured in Access Gateway.</td>
</tr>
<tr>
<td>Oauth &amp; OpenID Token Validation Failed</td>
<td>Generated when an OAuth and OpenID token validation fails.</td>
</tr>
<tr>
<td>Session Created/Destroyed</td>
<td>Generated when an Access Gateway session starts or ends. This event provides the data for Access Gateway Active Users graph of Information Dashboard.</td>
</tr>
<tr>
<td>Session Assurance Device Fingerprint Match Failed</td>
<td>Generated when a fingerprint match fails during an Access Gateway session.</td>
</tr>
</tbody>
</table>

   **High Volume Events**

<table>
<thead>
<tr>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Access Allowed</td>
<td>Generated when a requested action is allowed because the requester has the correct access rights to a URL.</td>
</tr>
<tr>
<td>Identity Injection Success</td>
<td>Generated when the Identity Injection policy successfully injects data into the HTTP header.</td>
</tr>
<tr>
<td>Form Fill Success</td>
<td>Generated when a Form Fill policy successfully fills in a form.</td>
</tr>
<tr>
<td>URL Accessed</td>
<td>Generated when a user accesses a URL.</td>
</tr>
</tbody>
</table>
**IMPORTANT:** Enabling **High Volume Events** may generate high volume of audit events on a system with heavy load. This may degrade the performance of Access Gateway.

### Audit Filters

Select the following filters to exclude them from the audit events:

<table>
<thead>
<tr>
<th>Filter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSS</td>
<td>Excludes CSS files as part of response from the audit events.</td>
</tr>
<tr>
<td>JavaScripts</td>
<td>Excludes JavaScripts from the audit events.</td>
</tr>
<tr>
<td>Images</td>
<td>Excludes images from the audit events. Specify the image format. For example: JPEG, PNG</td>
</tr>
</tbody>
</table>
| URLs Matching Regular Expression | Excludes URLs matching the configured regular expression.  
  It helps in filtering out specified URL paths from the ones audited as part of the URL Accessed audit event. These filtered out URL paths will not be displayed in the Audit Server. This is helpful where auditing every URL is not required and may increase the load on the Audit Server.  
  The regular expression is standard perl based regular expressions. For more information about “Regular Expressions”, see [http://perldoc.perl.org/perlre.html](http://perldoc.perl.org/perlre.html).  
  Each URL (path?querystring) is matched against this expression. If the match is successful, the URL will not be audited for URL access.  
  For example:  
  - To exclude the health check messages from auditing: `/nesp/app/heartbeat`  
  - To exclude the auditing of URL under the path `/images/`: `/images/*` |

3. To save your modifications, click **OK** twice.
4. On Access Gateways page, click **Update**.
20 Reporting

- Section 20.1, “Overview,” on page 911
- Section 20.2, “Using Reporting with Sentinel,” on page 912
- Section 20.3, “Using Reporting with Analytics Server,” on page 913
- Section 20.4, “Enabling Reporting,” on page 914
- Section 20.5, “Generating Reports in Sentinel,” on page 915

20.1 Overview

Access Manager Appliance uses Analytics Server or Sentinel Solution Pack to generate reports. Analytics Server is easier to install and not only generates reports but also generates a dashboard to view visual analytics. But, if you do not want to use Analytics Server, then you must have a Sentinel setup with the solution pack, which consists of predefined report definitions. Access Manager Appliance requires Sentinel or Sentinel Log Manager to use this feature. You can use these reports to analyze users’ accesses to applications protected by Access Manager, in auditing, and for compliance purposes.

NOTE: Platform Agent and Novell Audit are no longer supported. Access Manager 4.3 installation no longer installs Platform Agent and Novell Audit for auditing. If you upgrade from an older version of Access Manager to 4.3, Platform Agent is still available. It is recommended to use Syslog for auditing.

You can generate and download the following canned reports:

- NetIQ Access Manager Application Access Summary
- NetIQ Access Manager Application Specific User Access
- NetIQ Access Manager Federation Summary
- NetIQ Access Manager User Application Access Summary
- NetIQ Access Manager User Login Contract Summary
- NetIQ Access Manager User Login Failure Report
- NetIQ Access Manager Application Specific Risk based Authentication Report
The following diagram illustrates the Access Manager Appliance reporting architecture when integrated with Sentinel:

- When an event occurs in Identity Server or Access Gateway, Platform Agent (PA) or Syslog sends it to Sentinel.
- In Sentinel, Access Manager Collector parses these events and saves event data in the Sentinel database.
- The Access Manager Reporting Solution Pack provides predefined report templates. You can use this template against event data to generate PDF reports.
- You can access a report through the Sentinel user interface by using a web browser.

### 20.2 Using Reporting with Sentinel

This section covers the details on using reporting feature through the Solution Pack.

- Section 20.2.1, “Prerequisites,” on page 912
- Section 20.2.2, “Deploying Access Manager Reporting Solution Pack,” on page 913

#### 20.2.1 Prerequisites

The following list includes prerequisites for using Access Manager Reporting Solution Pack:

- Install Sentinel 7.1 (or later) or Sentinel Log Manager 1.2.2 (or later).

  For information about how to install Sentinel, see the Sentinel Installation Guide.

  For information about how to install Sentinel Log Manager, see the Sentinel Log Manager Installation Guide.
20.2.2 Deploying Access Manager Reporting Solution Pack

To use the predefined report templates of the solution pack, you must deploy Access Manager Reporting Solution Pack in the Sentinel system. The solution pack is available at the Sentinel Plug-ins site.

For information about how to deploy Access Manager Reporting Solution Pack, see the Access Manager Reporting Solution Pack Guide.

After deploying the solution pack, perform the following steps to verify that Access Manager reports are added:

1. Log in to Sentinel or Sentinel Log Manager.
2. Click Reports and Searches.
3. Verify that NetIQ Access Manager reports are listed.

20.3 Using Reporting with Analytics Server

This section covers details on using reporting feature through analytics Server.

- Section 20.3.1, “Prerequisites,” on page 913
- Section 20.3.2, “Viewing Reports,” on page 913

20.3.1 Prerequisites

- Install Analytics Server.
  
  For information about installing Analytics Server, see Installing Analytics Server in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

- Configure Analytics Server by using Administration Console.
  
  For information about configuring Analytics Server, refer Section 4.5, “Analytics Server Configuration,” on page 281.
  
  For information about configuring Analytics Server cluster, refer Section 4.5.3, “Analytics Server Cluster Configuration,” on page 284.

- Enable auditing.
  
  For information about enabling auditing, refer Section 19.1, “Enabling Auditing,” on page 902.

20.3.2 Viewing Reports

To view the Access Manager reports perform the following in Administration Console:

1. Click Devices > Analytics Servers > Reports.
2. On the left pane, click Reports and Searches tab.
3. Click NetIQ Access Manager.
20.4 Enabling Reporting

To enable the reporting feature, perform the following steps:

1 **Enable Events:** The following table provides the list of events that are required for specific reports. You can enable the required events.

For more information about how to enable Access Gateway events, see Section 19.3, “Enabling Access Gateway Audit Events,” on page 909.

For more information about how to enable Identity Server events, see Section 19.2, “Enabling Identity Server Audit Events,” on page 906.

The following table lists Access Manager reports and associated events:

<table>
<thead>
<tr>
<th>Name of Report</th>
<th>Description</th>
<th>Event</th>
<th>Component</th>
</tr>
</thead>
<tbody>
<tr>
<td>NetIQ Access Manager Application</td>
<td>Summary of applications accessed at a specified time</td>
<td>Application Access</td>
<td>Access Gateway</td>
</tr>
<tr>
<td>Access Summary</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager User Application</td>
<td>Users who accessed a particular application at a specified time</td>
<td>Application Access</td>
<td>Access Gateway</td>
</tr>
<tr>
<td>Application Access Summary</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager Application</td>
<td>Number of applications accessed by a specific user at a specified time</td>
<td>Application Access</td>
<td>Access Gateway</td>
</tr>
<tr>
<td>Specific User Access</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager Federation</td>
<td>Users who accessed a federated service at a specified time</td>
<td>Federation Request Sent</td>
<td>Identity Server</td>
</tr>
<tr>
<td>Summary</td>
<td></td>
<td>and Federation Request</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Request Handled</td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager User Login</td>
<td>Number of user login based on authentication contracts at a specified time</td>
<td>Login Consumed Identity</td>
<td>Identity Server</td>
</tr>
<tr>
<td>Contract Summary</td>
<td></td>
<td>Server</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager User Login</td>
<td>Number of failed login attempts and their reasons</td>
<td>Login Consumed Identity</td>
<td>Identity Server</td>
</tr>
<tr>
<td>Failure Report</td>
<td></td>
<td>Server</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NetIQ Access Manager Application</td>
<td>Number of risk-based authentication attempts and the action taken for each</td>
<td>Risk-based Authentication</td>
<td>Identity Server</td>
</tr>
<tr>
<td>Specific Risk based Authentication</td>
<td>attempt at a specified time for a specific application.</td>
<td>Succeeded</td>
<td></td>
</tr>
<tr>
<td>Report</td>
<td></td>
<td>Risk-based Authentication</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Failed</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Risk-based Authentication</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Action Invoked</td>
<td></td>
</tr>
</tbody>
</table>

2 **Configure the IP Address of Audit Server:** Perform the following steps:

2a Log in to Administration Console.

2b Click Auditing.

2c Specify the following details:

**Server Listening Address:** (ForSentinel Server or Sentinel Log Manager in Access Manager) Specify the Sentinel server IP address.
(For Analytics Server) Specify the primary address of Analytics Server. If you are using a cluster setup, then specify the virtual IP address that you defined during installation procedure.

**Port:** Specify the default port as 1468.

<2d> Click Apply > OK.

## 20.5 Generating Reports in Sentinel

In Sentinel, after deploying Access Manager Solution Pack and Collector, you can generate reports. For information about how to generate, schedule, search, export, manage, and delete a report, see Reporting in the Sentinel User Guide or Reporting in the Sentinel Log Manager Administration Guide depending on the tool you are using.

**NOTE:** For sample reports, see Section D, “Access Manager Reports Samples,” on page 1303.
Logging

Logging is the main tool you use for debugging the Access Manager configuration. You can enable and configure how the system performs logging. All administrative and end-user actions and events are logged to a central event log. This allows easy access to this information for security and operational purposes. Additionally, the log system provides the ability to monitor ongoing activities such as identity provider authentication activity, up-time of the system, and so on. File logging is not enabled by default.

Each Access Manager Appliance device has configuration options for logging:

- **Identity Server**: Logging is turned off and must be enabled. When you enable Identity Server logging, you also enable logging for the Embedded Service Providers that are configured to use Identity Server for authentication. For configuration information, see Section 21.3.1, “Configuring Logging for Identity Server,” on page 922.

- **Embedded Service Providers**: Each Access Manager Appliance device has an Embedded Service Provider that communicates with Identity Server. Its log level is controlled by configuring Identity Server logging.

- **Access Gateway Service**: The Gateway Service logs contain the messages sent between the Gateway Service and the Embedded Service Provider and between the Gateway Service and the Web server. This type of logging is turned off and must be enabled. For information, see Section 21.4.1, “Managing Access Gateway Logs,” on page 931.

This sections discusses the following topics:

- Section 21.1, “Understanding the Types of Logging,” on page 917
- Section 21.2, “Understanding the Log Format,” on page 919
- Section 21.3, “Identity Server Logging,” on page 922
- Section 21.4, “Access Gateway Logging,” on page 931
- Section 21.5, “Downloading Log Files,” on page 940
- Section 21.6, “Turning on Logging for Policy Evaluation,” on page 943

21.1 Understanding the Types of Logging

Access Manager Appliance supports two types of logging:

- Section 21.1.1, “Component Logging for Troubleshooting Configuration or Network Problems,” on page 917
- Section 21.1.2, “HTTP Transaction Logging for Proxy Services,” on page 918

21.1.1 Component Logging for Troubleshooting Configuration or Network Problems

Each Access Manager Appliance component maintains log files that contain entries documenting the operation of the component. Component file logging records the processing and interactions between the Access Manager components that occur while satisfying user and administrative requests and
During general system processing. By enabling the correct levels of logging for the various Access Manager components, an administrator can monitor how the Access Manager Appliance processes user and administrative requests. Transaction flows have been defined to help the administrator identify the processing steps that occur during the execution of specific types of user or administrative requests. All component file logs include tags and values that allow the administrator to identify and correlate which component file log entries pertain to a given transaction and user.

Component file logs are not primarily intended for debugging the software itself, although they can be used to detect software that is not behaving properly. Rather, the intent of component file logging is to document the operational processing of the Access Manager components so that system administrators and support personnel can identify and isolate problems caused by configuration errors, invalid user data, or network problems such as broken connections. However, component file logging is typically the first step in identifying software bugs.

Component file logging is more verbose than audit logging. It increases processing load, and on a day-to-day basis, it should be enabled only to log error conditions and system warnings. If a specific problem occurs, component file logging can be set to `info` or `config` to gather the information needed to isolate and repair the detected problem. When the problem is resolved, component file logging should be reconfigured to log only error conditions and system warnings.

Log files can be configured to include entries for the following events:

- Initialization and shutdown
- Configuration
- Events processed by the component, such as authentication, role assignment, resource access, and policy evaluation
- Error conditions


### 21.1.2 HTTP Transaction Logging for Proxy Services

Access Gateway allows you to log HTTP transactions. You can log what happens with an HTTP request and response during certain times:

- Between the browser and Access Gateway
- Between Access Gateway and the back-end Web server

You select fields from the HTTP header of a request and these fields are logged. You can then use these logged transactions to bill customers for Web services or to troubleshoot whether a request is refused because the browser did not send the required information or because Access Gateway did not send the Web server the required information.

This type of logging conforms to the W3C specification for proxy server logging in the common and extended log formats. This type of logging provides no information about the exchanges between Access Gateway and Identity Server. If you need to discover whether Access Gateway is obtaining the correct information from Identity Server for an Identity Injection or Form Fill policy, you need to turn on component logging. See Section 21.3.1, “Configuring Logging for Identity Server,” on page 922.

For HTTP transaction logging, see Section 21.4.2, “Configuring Logging for a Proxy Service,” on page 932.


### 21.2 Understanding the Log Format

Access Manager Appliance does not have a fixed format for file log entries. However, to facilitate the use of non-interactive stream-oriented editors such as sgrep, sed, awk, and grep and to improve log entry readability, the log entries in the `catalina.out` files use some standard elements. These entries use the beginning and ending log entry tags and the log entry correlation tags. The data portion of log entries is the most flexible part. A log entry has the following fields:

```xml
<amLogEntry> [\n]
    time-date-stamp
    [log preamble]:
    AM#event-code:
    AMDEVICE#device-id:
    AMAUTHID#auth-id:
    AMEVENTID#event-id:
    [...additional correlating information][\n]
    [supplementary log entry data and text ... \n]
</amLogEntry> [\n]
```

Most log entries do not use the optional line breaks (\n). Notice that the time-date-stamp, the log preamble, the correlation tags, and optional additional correlating information are on the same line so that stream-oriented editors that use only one line (such as grep) can be used to locate log entries that are related. The following entry is an example entry that is logged when a user has initiated a login sequence.

```xml
<amLogEntry> 2009-06-08T21:06:25Z INFO NIDS Application: AM#500105014:
    AMDEVICEID#9921459858EAAC29: AMAUTHID#BB11C254B7521B5E836D8703826287 AF:
    Attempting to authenticate user cn=jwilson,o=novell with provided credentials. </amLogEntry>
```

<table>
<thead>
<tr>
<th>Table 21-1</th>
<th>Fields in a Log Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Field</td>
<td>Description</td>
</tr>
<tr>
<td>Beginning, ending tags</td>
<td>The <code>&lt;amLogEntry&gt;</code> and <code>&lt;/amLogEntry&gt;</code> tags mark the beginning and the end of a log entry. These tags allow stream-oriented editors to extract log entries for processing.</td>
</tr>
<tr>
<td>Time-date-stamp tag</td>
<td>The date and time is specified in the W3C profile format of ISO 8061. It has the following fields: year-month-day-T-hour-minutes-seconds-time zone. The Z value for the time zone indicates that the time is specified in UTC.</td>
</tr>
<tr>
<td>Log preamble</td>
<td>This information is optional, and usually consists of a string indicating the logging level (such as warning, informational, or debug) and a string identifying the type of module making the entry. In the example log entry, the preamble has a log level and a module identifier and contains the following strings: INFO NIDS Application:</td>
</tr>
<tr>
<td>Correlation tags</td>
<td>The correlation tags uniquely identify the event, the device that produced the event, and the user who requested the action. The example log entry contains the following correlation tags: AM#500105014: AMDEVICEID#9921459858EAAC29: AMAUTHID#BB11C254B7521B5E836D8703826287AF: For more information, see “Understanding the Correlation Tags in the Log Files” on page 920.</td>
</tr>
</tbody>
</table>
There is no fixed field format for log file entries. However, because most requests handled by Access Manager Appliance are processed by multiple Access Manager Appliance components, there is a mechanism that facilitates the correlation of log entries for a single Access Manager Appliance request in the various component log files. A correlation tag has the following general format:

```
<tag name>#<tag value>:
```

The `<tag name>` is a fixed value, defined in the Format column of Table 21-2. It is always terminated by the `#` character. The `<tag value>` immediately follows the `#` character and is always terminated by the `:` character. The `<tag value>` is not a fixed value, but a uniquely assigned value to identify an event, a user, or a transaction. Table 21-2 lists the defined correlation tags:

**Table 21-2  Correlation Tags**

<table>
<thead>
<tr>
<th>Type</th>
<th>Format</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Event code</td>
<td>AM#&lt;Event-Code&gt;:</td>
<td>This tag is included in all log entries that record an event and in all events that are presented to the user as an informational or error page.</td>
</tr>
</tbody>
</table>
### Sample Scenario

The following scenario illustrates how these tags can be used. A user receives an error page indicating that the user has been refused access to a protected resource. The error page contains an event code. The user contacts the system administrator and reports the event code contained in the message. The code displayed to the user includes both an event number and an identifier indicating the device detecting the error, for example, `300101023-92E1B234`. The `300101023` value is the event number and `92E1B234` is the device identifier. The device identifier is the number assigned to the user ID.

<table>
<thead>
<tr>
<th>Type</th>
<th>Format</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>User ID</td>
<td>AMAUTHID#&lt;ID&gt;:</td>
<td>An authentication identifier that Identity Server or the Embedded Service Provider (ESP) assigns to each authenticated user. This tag is included in all entries that pertain to a request made by an authenticated user. Currently Identity Server and ESP assign different authentication IDs. When correlating the flow of events between Identity Server and the ESP for an authentication sequence, you can use the event code of the authentication events and find the artifact that the ESP and Identity Server exchange. In the catalina.out file of Identity Server, search for <code>AM#500105018</code> events. This is the event that sends the artifact to the ESP. Search for a corresponding artifact in Access Gateway log. Events AM#500105020 and AM#500105021 contain the artifact value.</td>
</tr>
<tr>
<td>Device ID</td>
<td>AMDEVICE#&lt;ID&gt;</td>
<td>An identifier that uniquely identifies the Access Manager Appliance device that is generating the log entry. You can view the identifier that is assigned to each device on the General Logging page in Administration Console (click Auditing &gt; General Logging). The ID begins with a prefix that identifies the type of device such as idp for Identity Server, ag for an Access Gateway, and idp-esp for ESP of the device. The prefix is followed by a 16-digit hexadecimal number. In log entries, the idp prefix is not recorded. For example, the General Logging page displays <code>idp-AA257DA77ED48DB0</code> for the ID of Identity Server, but in the catalina.out file, the value is <code>AMDEVICE#AA257DA77ED48DB0</code>.</td>
</tr>
<tr>
<td>Transaction ID</td>
<td>AMEVENTID#&lt;ID&gt;:</td>
<td>An identifier assigned to each Access Manager Appliance or system administration transaction. Access Manager Appliance transactions are actions such as authenticating a user, processing a request for access to a resource, and federating an identity. If a user requests access to multiple resources, each request is given a separate transaction ID. When Access Gateway evaluates a policy for a protected resource page and the page contains links, the policy is evaluated for each link, and each of these evaluations generates a new transaction ID. System administration transactions are actions such as importing a device, deleting a device, stopping or starting a device, and configuring or modifying the configuration of a device.</td>
</tr>
</tbody>
</table>
Access Manager Appliance device reporting the error. You can make a textual search of log entries using the tags and values AM#300101023: and AMDEVICEID#92E1B234: to locate candidate log entries of the target Access Manager Appliance transaction flow. When the desired log entry is found, the AMEVENTID# tag and value and the AMAUTHID# tag (assuming the user has been authenticated) from the log entry can be used to locate all other log entries pertaining to the user in the context of the transaction.

21.3 Identity Server Logging

- Section 21.3.1, “Configuring Logging for Identity Server,” on page 922
- Section 21.3.2, “Configuring Session-Based Logging,” on page 924
- Section 21.3.3, “Capturing Client Side Stack Traces,” on page 930

21.3.1 Configuring Logging for Identity Server

If you change or enable logging, you must update Identity Server configuration and restart the Embedded Service Providers to apply the changes. When you disable logging, you must also restart the Embedded Service Providers.

This section discusses the following topics:

- Section 21.3.1.1, “Enabling Component Logging,” on page 922
- Section 21.3.1.2, “Managing Log File Size,” on page 924

21.3.1.1 Enabling Component Logging

File logging records the actions that have occurred. For example, you can configure Identity Server logging to list every request made to the server. With log file analysis tools, you can get a good idea of where visitors are coming from, how often they return, and how they navigate through a site. The content logged to file logging can be controlled by specifying logger levels and by enabling statistics logging.

1. Click Devices > Identity Servers > Edit > Auditing and Logging.

2. **File Logging**: The following options are available for component logging:
   - **Enabled**: Enables file logging for this server and its associated Embedded Service Providers.
   - **Echo To Console**: Copies Identity Server XML log file to /var/opt/novell/nam/logs/idp/tomcat/catalina.out (Linux). You can download the file from Auditing > General Logging.

   For the Embedded Service Providers, the log file location depends upon the device:
   - For an Access Gateway Appliance or a Linux Access Gateway Service, this sends the messages to the catalina.out file of the device.
   - **Log File Path**: Specifies the path that the system uses to save Identity Server XML log file. The default path is /var/opt/novell/nam/logs/idp/nidplogs.

   If you change this path, you must ensure that the user associated with configuring the identity or service provider has administrative rights to the Tomcat application directory in the new path.
Logging

- **Maximum Log Files**: Specifies the maximum number of Identity Server XML log files to leave on the machine. After this value is reached, the system deletes log files, beginning with the oldest file. You can specify **Unlimited**, or values of 1 through 200. 10 is the default value.

- **File Wrap**: Specifies the frequency (hour, day, week, month) for the system to use when closing an XML log file and creating a new one. The system saves each file based on the time you specify and attaches the date and/or time to the filename.

- **GZip Wrapped Log Files**: Uses the GZip compression utility to compress logged files. The log files that are associated with the GZip option and the Maximum Log Files value are stored in the directory you specify in the Log File Path field.

3 **Component File Logger Levels**: Specify the logging sensitivity for the following protocols:
   - **Application**: Logs system-wide events, except events that belong to a specific subsystem.
   - **Liberty**: Logs events specific to the Liberty IDFF protocol and profiles.
   - **SAML 1**: Logs events specific to the SAML1 protocol and profiles.
   - **SAML 2**: Logs events specific to the SAML2 protocol and profiles.
   - **WS Trust**: Logs events specific to the WS-Trust protocol.
   - **WS Federation**: Logs events specific to the WS Federation protocol.
   - **OAuth and OpenID Connect**: Logs events specific to the OAuth and OpenID Connect protocols.
   - **Web Service Provider**: (Liberty) Logs events specific to fulfilling Web service requests from other Web service consumers.
   - **Web Service Consumer**: (Liberty) Logs all events specific to requesting Web services from a Web service provider.

   Use the drop-down menu to categorize logging sensitivity. Higher logging levels also include the lower levels in the log.
   - **Off**: Turns off component file logging for the selected item.
   - **Severe**: Logs serious failures that can cause system processing to not proceed.
   - **Warning**: Logs potential failures, but the impact on execution is minimal. Warnings indicate that you should be aware that this event is happening and might want to make a configuration change to avoid it.
   - **Info**: Logs informational events. No execution or data impact occurred.
   - **Verbose**: Logs static configuration information. The system logs any configuration errors under one of the primary three levels: Severe, Warning, and Info.
   - **Debug**: Includes all of the preceding levels.

4 **Statistics Logging**: (Optional) Enable this option if you want the system to periodically send the system statistics, in string format, to the current file logger. Statistical data (such as counts, levels, and so on) are included in the file log.
   4a In the Statistics Logging section, select Enabled.
   4b In the Log Interval field, specify the time interval in seconds that statistics are logged.

5 **Audit Logging**: For information about configuring Audit Logging, see Section 19.2, “Enabling Identity Server Audit Events,” on page 906.

6 Click OK.

7 Update Identity Server.
8 Restart Embedded Service Providers on the devices.

When you disable component logging, you need to update Identity Servers and restart Embedded Service Providers.

21.3.1.2 Managing Log File Size

On Linux, the logrotate daemon manages the log files located in the following directories:

/opt/novell/nam/logs
/opt/volera/roma/logs/

The logrotate daemon has been configured to scan the files in these directories once a day. It rolls them over when they have reached their maximum size and deletes the oldest version when the maximum number of copies have been created.

If you want to modify this behavior, see the following files in the /etc/logrotate.d directory:

novell-idp
novell-devman

For information about the parameters in these files, see the documentation for the logrotate daemon.

21.3.2 Configuring Session-Based Logging

The session-based logging feature allows the administrator to enable file logging for an individual user. In production environments, this has the following value:

- Debug logging can be turned on for an individual user rather than all users. The potential size of logged data usually prohibits an administrator from turning on debug logging for all users.
- All logged messages for this user are directed to a single file. Administrators do not need to sort through the various log files to follow the activity of the user.
- Isolating the problem and finding the cause is limited to the user who is experiencing the problem.
- Enabling session-based logging does not require a configuration change to Identity Server, and thus does not require updating Identity Server.

The following user scenario explains how this feature could be used in a production environment:

1. A user notices a problem and calls the help desk.
2. The help desk operator questions the users and concludes that the problem is caused by either an Access Manager Identity Server or an Embedded Service Provider.
3. The operator has been granted the rights to create logging tickets, and uses the User Portal to create a logging ticket for the user.
4. The operator sends the logging ticket password and the URL to access the logging ticket class to the user.
5. The user clicks the URL and enters the logging ticket password.
   This marks the current session as “active for logging” and adds a small icon to the top right of the page, which makes the session logging feature visible to the user.
6. Using the same browser window, the user duplicates the problem behavior.
7. The operator can then access the data that was logged just for this user and analyze the cause of the behavior.
To enable session-based logging, the following tasks need to be completed:

- Section 21.3.2.1, “Creating the Administrator Class, Method, and Contract,” on page 925
- Section 21.3.2.2, “Creating the Logging Session Class, Method, and Contract,” on page 926
- Section 21.3.2.3, “Enabling Basic Logging,” on page 927
- Section 21.3.2.4, “Responding to an Incident,” on page 927

### 21.3.2.1 Creating the Administrator Class, Method, and Contract

The IDP Administrator class, method, and contract control who has the rights to create a logging ticket. You need to know the DNs of the operators who are going to be responding to the users who are experiencing problems.

1. Click Devices > Identity Servers > Edit > Local.
2. To create the class:
   2a. Click Classes.
   2b. Click New, then specify the following values:
      - **Display name**: IDP Administrator
      - **Java class**: Other
      - **Java class path**: com.novell.nidp.authentication.local.IDPAdministratorClass
   2c. Click Next, then click Finish.
3. To create the method:
   3a. Click Methods.
   3b. Click New, then specify the following values:
      - **Display name**: IDP Administrator Method
      - **Class**: IDP Administrator
      - **Identifies user**: Deselect this option.
      - **User Stores**: Select the user stores that contain your operators, then move them to the list of User Stores.
   3c. In the Properties section, click New, then specify the following to create an IDP Administrator:
      - **Property Name**: Administrator1
      - **Property Value**: cn=jdoe,o=users
        - The Property Value must be the DN of an operator in the user stores you selected in Step 3b. Use LDAP typed comma notation for the DN.
   3d. Repeat Step 3c for each IDP Administrator you require.
      - You can return to this method to add or remove IDP Administrators, when responsibilities change.
   3e. Click Finish.
4. To create the contract:
   4a. Click Contracts.
   4b. Click New, then specify the following values:
**Display name:** IDP Administrator Contract

**URI:** urn:novell:nidp:admin:contract

**Methods:** Move the IDP Administrator Method to the Methods list.
Leave all other fields with their default values.

4c Click Next, then specify the following values for the authentication card:

- **ID:** IDPAdmin
- **Text:** IDP Administrator
- **Image:** Select an image from the list, such as the IDP Administrator image that was created for this type of contract.
- **Show Card:** Deselect this option.

4d Click Finish.

5 Continue with "Creating the Logging Session Class, Method, and Contract" on page 926.

### 21.3.2.2 Creating the Logging Session Class, Method, and Contract

1 Click **Devices > Identity Servers > Edit > Local.**

2 To create the class:

2a Click **Classes > New,** then specify the following values:

- **Display name:** Logging Session
- **Java class:** Other
- **Java class path:** com.novell.nidp.authentication.local.LogTicketClass

2b Click **Next > Finish.**

3 To create the method:

3a Click **Methods.**

3b Click **New,** then specify the following values:

- **Display name:** Logging Session Method
- **Class:** Logging Session
- **Identifies user:** Deselect this option.
- **User Stores:** Select the user stores that contain the users that potentially can experience problems, then move them to the list of User Stores.

3c Click **Finish.**

4 To create the contract:

4a Click **Contracts.**

4b Click **New,** then specify the following values:

- **Display name:** Logging Session Contract
- **URI:** urn:novell:nidp:loggingsession:contract
- **Methods:** Move the Logging Session Method to the Methods list.
  Leave all other fields with their default values.

4c Click **Next,** then specify the following values for the authentication card:

- **ID:** LogSession
- **Text:** Logging Session
Image: Select an image from the list, for example the Session Logging image that was created for this type of contract.

Show Card: Deselect this option.

4d Click Finish.

5 Click OK, then update Identity Server.

6 Continue with “Enabling Basic Logging” on page 927.

21.3.2.3 Enabling Basic Logging

For session-based logging to function, logging on Identity Server must be enabled. However, you do not need to select what is logged. The Logging Ticket enables the appropriate components and levels when an incident occurs.

1 Click Devices > Identity Servers > Edit.

2 Click Auditing and Logging, then specify the following:

   File Logging: Enable this option.
   Echo To Console: Enable this option.

   No other options need to be enabled. The Component File Logger Levels can be left in their default state of off.

3 Click OK, then update Identity Server.

   This completes the configuration. You now need to wait for a user to report a problem. For information about using this feature to respond to a problem, see “Responding to an Incident” on page 927.

21.3.2.4 Responding to an Incident

The following sections explain how to use the feature when a user reports a problem:

- “Creating a Logging Ticket” on page 927
- “Enabling a Logging Session” on page 928
- “Viewing the Log File” on page 929

Creating a Logging Ticket

These steps are performed by an Identity Server administrator when a user reports a problem:

1 Log in to Identity Server by using the credentials of an administrator.

   If the base URL of Identity Server is https://idp.amlab.net:8443/nidp, enter the following URL:

   https://idp.amlab.net:8443/nidp/app

2 (Optional) If you do not see the Administrator tab (legacy UI) or the Logging Ticket... menu item (latest UI), then you must execute the app/login?id=IDPAdmin URL to enable the Logging Ticked functionality.

   The id specified in the URL must match the ID you specified for Identity Server Administrator Contract. See Step 4c of “Creating the Administrator Class, Method, and Contract” on page 925.

3 To create a ticket for the user, click the Administrator tab.

   3a Click New.

   3b Specify the following:
**Ticket**: Specify a name for ticket.
You must share this name with the user who reported the problem.

**Ticket Good For**: Select a time limit for the ticket, from one minute through one year.
When selecting a time limit, consider the following:

- When a ticket expires, logging is automatically stopped. If you know that user is experiencing a problem that prevents the user from logging out, you might want to create a ticket with a short time limit.
- If the user does not log out (just closes the browser window or the problem closes it), the session remains in the list of logged sessions. After 10 minutes of inactivity, the session is closed and the lock on the log file is cleared. As long as the log file is locked, no other application can read the file.

**Ticket Log Level**: Select the level of information to log, from severe-only messages to debug.

**Log to Console**: Select to log the messages to the user's file and to the console.
- If you have set up logging for session-based logging (see “Enabling Basic Logging” on page 927), then this allows you to see the messages in the catalina.out or stdout.log file.
- If you have enabled Component File Logger Levels, selecting this option can create duplicate entries in the catalina.out or stdout.log file.

3c Click Create.

4 Create a URL that uses the following format:

https://<base_URL>/nidp/app/login?id=<LogSession>

Replace <base_URL> with the base URL of your Identity Server, including the port. Ensure that the port agrees with the HTTP scheme (either http or https).
Replace <LogSession> with the ID you specified for the authentication card when defining the Logging Session contract.

**IMPORTANT**: The id is the ID of the authentication card of the Logging Session contract (see Step 4c of “Creating the Logging Session Class, Method, and Contract” on page 926). It is not the name of the ticket you just created.

If the base URL of Identity Server is https://idp.amlab.net:8443/nidp and the ID for the authentication card is LogSession, create the following URL:

https://idp.amlab.net:8443/nidp/app/login?id=LogSession

5 Send the URL of the LogSession card and the name of the ticket to the user.

**Enabling a Logging Session**

These steps are performed by the user. The URL needs to be sent to the user, with the ID and ticket values that were specified in “Creating a Logging Ticket” on page 927.

1 Open a browser and enter the log session URL sent by the help desk.
If the URL does not display a page that prompts for the ticket name, check the value of the id string. The id must be set to the ID of the authentication card of the Logging Session contract.
Instead of sending the user a URL, you can enable the Show Card option for the Logging Session card. When you do this, all users can see it. You need to decide if this is acceptable.
When the Show Card option is enabled, the login page looks similar to the following:
When prompted, enter the following:

**Ticket:** Specify the ticket name that the help desk sent.

**User Identifier:** Specify a value that the help desk associates with you as a user. The identifier must be less than 33 characters and contain only alphanumeric characters.

Click **Login**. This login creates the logging session.

Enter your name and password, then click **Login**. This login authenticates you to Identity Server.

In the same browser window, enter the URL of the resource that is causing the problem.

Perform any other actions necessary to create the problem behavior.

Log out and send your user identifier to the help desk.

### Viewing the Log File

These steps are performed by someone who has had Access Manager training and understands the significance of the messages in the log files. This can be an IDP Administrator or a specialist.

1. On Identity Server, change to the Identity Server log directory.
   
   `/var/opt/novell/nam/logs/idp/nidplogs`

2. Open the file that begins with the user identifier to which a session ID is appended.

   If the user does not log out (just closes the browser window or the problem closes it), the session remains in the list of logged sessions. After 10 minutes of inactivity, the session is closed and the lock on the logging file is cleared. As long as the file is locked, no other application can read the file.

Logged out and sent user id.
When a ticket expires, logging is stopped automatically. If you know that user is experiencing a problem that prevents the user from logging out, you might want to create a ticket with a short time limit.

3 (Conditional) If the user was experiencing a problem with an Embedded Service Provider, change to the Identity Server log directory on the device:

   /opt/novell/nam/webapps/nesp/WEB-INF/logs

4 Open the file with the same user identifier and session ID.

5 After solving the problem, delete the file from each Identity Server in the cluster and each Access Gateway in the cluster.

### 21.3.3 Capturing Client Side Stack Traces

Perform the following steps to save the client side exceptions into the *catalina.out* file through a JSP page:

1 **On Linux** /opt/novell/nam/idp/webapps/nidp and **on Windows** C:\Program Files (x86)\Novell\Tomcat\webapps\nidp, create the following files:

   **testerror.jsp:**
   
   ```
   < %@ page language="java" contentType="text/html; charset=ISO-8859-1"
   pageEncoding="ISO-8859-1"%>
   <!DOCTYPE html PUBLIC "-//W3C//DTD HTML 4.01 Transitional//EN"
   "http://www.w3.org/TR/html4/loose.dtd">
   <html>
   <head>
   
   <meta http-equiv="Content-Type"
   content="text/html; charset=ISO-8859-1">
   
   <title>TestError.JSP</title>
   
   </head>
   
   <
   %>
   
   String a = null;
   
   out.println(" test " + a.toString());
   
   </html>
   ```

   **error.jsp:**
2 On Linux /opt/novell/nam/idp/webapps/nidp/WEB-INF/web.xml file, and on Windows C:\Program Files (x86)\Novell\Tomcat\webapps\nidp\WEB-INF\web.xml file, add the following:

<error-page>
    <exception-type>java.lang.Throwable</exception-type>
    <location>/error.jsp</location>
</error-page>

If the element error-page is already available, add exception-type and update location.

3 In idp_url:port/nidp/testerror.jsp, the stack trace is displayed on the browser and is also stored in the catalina.out log file.

4 To prevent the stack trace from being displayed on the browser, remove the out.println(stringWriter) string from the error.jsp file and change isErrorPage to false. Now, the stack trace is not displayed on the browser but is still stored in the catalina.out log file.

21.4 Access Gateway Logging

- Section 21.4.1, “Managing Access Gateway Logs,” on page 931
- Section 21.4.2, “Configuring Logging for a Proxy Service,” on page 932

21.4.1 Managing Access Gateway Logs

In Access Gateway, you can configure logging by using Advanced Options.

- Section 21.4.1.1, “Configuring the Log Level,” on page 932
- Section 21.4.1.2, “Configuring the Log File,” on page 932
21.4.1.1 Configuring the Log Level

2. Add the following line with appropriate log level:
   ```
   LogLevel <loglevel>
   ```
   Replace `loglevel` option with `emerg, alert, crit, error, warn, notice, info` or `debug`. The default log level is `warn`.
3. Click OK.
4. Click Access Gateways, then click Update > OK.

The `error_log` file is available at `/var/opt/novell/nam/logs/mag/apache2/`.

### Option Description

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>emerg</td>
<td>Sends only messages that render the system unusable, if they are not resolved.</td>
</tr>
<tr>
<td>alert</td>
<td>Sends only messages that require immediate action.</td>
</tr>
<tr>
<td>crit</td>
<td>Sends only messages about critical situations</td>
</tr>
<tr>
<td>error</td>
<td>Sends warning messages about recoverable errors.</td>
</tr>
<tr>
<td>warn</td>
<td>Sends warning messages.</td>
</tr>
<tr>
<td>Notice</td>
<td>Sends information about the status of a service to the service configuration logs.</td>
</tr>
<tr>
<td>Info</td>
<td>Sends informational messages such as requests sent to Web servers and the results of authentication requests.</td>
</tr>
<tr>
<td>Debug</td>
<td>Sends debug messages</td>
</tr>
</tbody>
</table>

21.4.1.2 Configuring the Log File

2. Add the following line:
   ```
   ErrorLog <path to the file where logs should be recorded>
   ```
3. Click OK.
4. Click Access Gateways > Update > OK.

21.4.2 Configuring Logging for a Proxy Service

Logging HTTP transactions has associated costs. Access Gateway is capable of handling thousands of transactions per second. If transaction volume is high and each log entry consumes a few hundred bytes, Access Gateway can fill up the available disk space in a matter of minutes. HTTP logging also increases system overhead, which causes some degradation in performance. By default, the logging
of HTTP transactions is turned off. Before enabling logging, you need to determine what needs to be logged and then plan a logging strategy. For more information about custom log formats, see Apache Log Configuration Module.

- Section 21.4.2.1, “Determining Logging Requirements,” on page 933
- Section 21.4.2.2, “Calculating Rollover Requirements,” on page 934
- Section 21.4.2.3, “Enabling Logging,” on page 936
- Section 21.4.2.4, “Configuring Common Log Options,” on page 936
- Section 21.4.2.5, “Configuring Extended Log Options,” on page 937
- Section 21.4.2.6, “Configuring the Size of the Log Partition,” on page 940

### 21.4.2.1 Determining Logging Requirements

Because logging requirements and transaction volume vary widely, NetIQ cannot make recommendations regarding a specific logging strategy. The following tasks guide you through the process of creating a strategy that fits your business needs.

1. Identify the reasons for tracking transactions such as customer billing, statistical analysis, or growth planning.

2. Determine which resources need logging.

   You enable logging at the proxy service level. If you have a proxy service protecting resources whose transactions do not need to be logged, reconfigure your proxy services so that the proxy service you configure for logging contains only the resources for which you want to log transactions.

3. Determine what information you need in each log entry.

   The common configuration for a log entry contains minimal information: the date, time, and client IP address for each entry. If you need more information, you can select the extended log configuration. Do not select all available fields, but carefully select what you really need. For example, you can include cookie information, but cookie information can consume a large amount of space and might not include any critical information you need.

   You should log only the essential data because a few bytes can add up quickly when Access Gateway is tracking thousands of hits every second. For information about what is available in an extended log profile, see “Configuring Extended Log Options” on page 937.

4. Design a rollover strategy.

   A log must be closed before it can be downloaded to another server for analysis or deleted. You specify either by time or size when Access Gateway closes a log file and creates a new one. For each proxy service that you enable for logging, you need to reserve enough space for at least two files: one for logging and one for rollover. To calculate the best procedure, see “Calculating Rollover Requirements” on page 934.

5. Design a log deletion strategy

   Access Gateway has a limited amount of disk space allocated for logging, and you need to decide how you are going to manage this space. You can limit the number of rollover files by number or age. To calculate the best procedure, see “Calculating Rollover Requirements” on page 934.
21.4.2.2 Calculating Rollover Requirements

You can have Access Gateway roll over log files based on time or on size, but not both. If you already know which option you want to use, scan this section and then complete only the calculations pertinent to your choice. If you don’t know which option best matches your situation, completing the calculations in this section should help you decide.

The following variables are used in the formulas:

- **logpartition_size**: The total disk capacity reserved for log files on Access Gateway.
  
  Access Gateway reserves 4 GB to share between logging and system files. The system files do not grow significantly, so you can assume that you have about 2 GB for logging. To increase this size, see “Configuring the Size of the Log Partition” on page 940.

- **logentry_size**: The average log entry size.
  
  You can determine this by configuring a proxy service to track the required information, generating traffic to the proxy service, downloading the log files, determining how large each entry is, and calculating the average.

- **request_rate**: The peak rate of requests per second.
  
  You can estimate this rate or place your Access Gateway in service and get more accurate data by accessing generated statistics. See Section 22.2.1, “Monitoring Access Gateway Statistics,” on page 954.

- **num_services**: The number of proxy services for which you plan to enable logging.

- **logs_per_service**: The number of log files, both active and closed, that you want Access Gateway to generate for each proxy service before the disk fills.
  
  You must plan to have at least two logs per proxy service, but you can have more.

The following formulas can help you estimate when the system would run out of resources:

- “Calculating diskfull_time” on page 934
- “Calculating max_roll_time” on page 935
- “Calculating max_log_roll_size” on page 935

**Calculating diskfull_time**

Use the following formula to calculate how long it takes Access Gateway to fill your logging disk space:

\[
\text{diskfull\_time\ in\ seconds} = \frac{\text{logpartition\_size}}{\text{request\_rate} \times \text{logentry\_size} \times \text{num\_services}}
\]

For example, assume the following:

- logpartition\_size = 1 GB (1,073,741,824 bytes)
- request\_rate = 1000 requests per second
- logentry\_size = 1 KB (1,024 bytes)
- num\_services = 1

\[
\text{diskfull\_time} = \frac{1 \text{ GB}}{1000 \times 1 \text{ KB} \times 1} = 1048 \text{ seconds} (17.47 \text{ minutes})
\]

The logging disk space fills up every 17.47 minutes.
To calculate the diskfull_time for your Access Gateway:

1. Determine the values of the four variables listed above.
2. Use the diskfull_time formula to calculate how often you can expect your logging disk to fill, then use the result in Calculating max_roll_time.

If your diskfull_time interval is too short to be practical for your rollover schedule, the easiest option is to reduce the log entry size by configuring the proxy services to log less information per transaction.

**Calculating max_roll_time**

Use the following formula to calculate the maximum rollover time value you should specify in the Roll over every field

\[
\text{max\_roll\_time} = \frac{\text{diskfull\_time}}{\text{logs\_per\_service}}
\]

For example, assume the following:

- \(\text{diskfull\_time} = 12 \text{ hours}\)
- \(\text{logs\_per\_service} = 2\)

\[
\text{max\_roll\_time} = \frac{12}{2} = 6 \text{ hours}
\]

If you roll your logs over by time intervals, the maximum time should be less than six hours. Otherwise, scheduling the download and deletion of log files is much more complicated and the window in which this can be done is narrower.

To calculate the max_roll_time for your Access Gateway:

1. Determine how many log files you want Access Gateway to generate per service before log space fills.
   - The minimum number is two.
2. Use the max_roll_time formula and the diskfull_time value obtained in “Calculating diskfull_time” on page 934 to calculate how often you should have the cache device roll over the log files.
3. Record the max_roll_time result on your planning sheet.

**Calculating max_log_roll_size**

Use the following formula to calculate the maximum log file size you should specify in the Maximum File Size field:

\[
\text{max\_log\_roll\_size} = \frac{\text{logpartition\_size}}{(\text{num\_services} \times \text{logs\_per\_service})}
\]

For example, assume the following:

- \(\text{logpartition\_size} = 600 \text{ MB}\)
- \(\text{num\_services} = 2\)
- \(\text{logs\_per\_service} = 3\)

\[
\text{max\_log\_roll\_size} = \frac{600 \text{ MB}}{2 \times 3} = 100 \text{ MB}
\]

If you roll your logs over when they reach a specific size, the file size must be no more than 100 MB. Otherwise, the system runs out of disk space before you have three complete log files and scheduling the download and deletion of log files is much more complex.
To calculate the max_log_roll_size for your Access Gateway:

1. Determine the values of the three variables listed above.
2. Use the max_log_roll_size formula to calculate the maximum size a log file should reach before the cache device rolls it over.

### 21.4.2.3 Enabling Logging

Do not enable logging until you have designed a logging strategy. See “Determining Logging Requirements” on page 933.

2. Fill in the following fields:
   - **Enable Logging**: Select this field to enable logging.
   - **Log Directory**: Default location for log files of proxy service is /var/log/novell/reverse/<reverse_proxy_name>.
3. In the Logging Profile List, click one of the following options:
   - **New**: Click this option to create a new logging profile. Then specify a name and select either Common or Extended.
   - **Default**: Click Default to modify or view the settings for the Default profile. The Default profile uses the common log options.

A logging profile determines the type of information that is written to the log file; it also manages rollover and old file options.

4. Continue with one of the following:
   - “Configuring Common Log Options” on page 936
   - “Configuring Extended Log Options” on page 937

### 21.4.2.4 Configuring Common Log Options

Use the common log options page to control log rollover and old file options. The data included in a log entry is controlled by a default configuration that includes the following:

- Date and time of the request
- IP address of the client
- Remote host name
- The request line as it came from the client
- The HTTP status code returned to the client
- The number of bytes in the document transferred to the client

Access Gateway does not allow active log files to be deleted. Only log files that have been closed can be deleted. The rollover options allow you to control when a file is rolled over and closed, and a new file is created. The old file options allow you to control when the rolled-over log files are deleted.

To configure a default log file for a selected proxy service:

1. Click Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Auditing and Logging > [Name of Common Log Profile].
2. Select one of the following rollover options:
**Rollover When File Size Reaches**: Rolls the file when it reaches the specified number of megabytes.

**Rollover every**: Rolls the file at the specified interval. You can specify the interval in hours or days.

- **beginning**: Specifies the day that the interval should begin. You can select a day of the week or the first of the month.
- **at**: Select the hour of the day that the interval should begin and the time zone (either the local time zone or GMT).

3 Select one of the following old file options:

- **Limit Number of Files to**: Allows you to limit the number of old log files on the system to the number specified in this option. The oldest file is automatically deleted when this number is reached. All logging data in deleted files is lost.

- **Delete Files Older Than**: Allows you to configure Access Gateway to delete files when they are older than the time you specify. All logging data in deleted files is lost.

- **Do Not Delete**: Prevents the system from automatically deleting the log files. A maximum of 65535 files can be stored for a proxy service when you select this option.

4 Click OK.

5 Click **Access Gateways > Update > OK**.

### 21.4.2.5 Configuring Extended Log Options

Use the extended log options page to control log entry content, log rollover, and old file options. A log entry always includes the date, time, and client IP address for each entry, but with the log data options, you can add other fields such as the IP address of the server and the username of the client.

Access Gateway does not allow active log files to be deleted. Only log files that have been closed can be deleted. The rollover options allow you to control when a file is rolled over and closed, and a new file is created. The old file options allow you to control when the rolled-over log files are deleted.

To configure an extended log file for a selected proxy service:

1 Click **Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Auditing and Logging > [Name of Extended Log Profile]**.

2 Select one or more of the log data options:

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
<th>Entry in Configuration File</th>
<th>Sample Entry in the Log file</th>
</tr>
</thead>
<tbody>
<tr>
<td>User Name</td>
<td>The name of the user sending the request.</td>
<td>%u</td>
<td>&quot;public&quot;,&quot;cn=admin,o=novell&quot;</td>
</tr>
<tr>
<td>Server IP</td>
<td>The IP address of Access Gateway.</td>
<td>%a</td>
<td>123.1.2.3</td>
</tr>
<tr>
<td>Site Name</td>
<td>The name of the reverse proxy.</td>
<td>%v</td>
<td><a href="http://www.lagssl.com">www.lagssl.com</a></td>
</tr>
<tr>
<td>Method</td>
<td>The HTTP method the browser sent to Access Gateway.</td>
<td>%m</td>
<td>GET,POST</td>
</tr>
<tr>
<td>URI</td>
<td>The HTTP URL the browser sent to Access Gateway.</td>
<td>%U</td>
<td>nam/acme_ss.js7.html</td>
</tr>
<tr>
<td>Name</td>
<td>Description</td>
<td>Entry in Configuration File</td>
<td>Sample Entry in the Log file</td>
</tr>
<tr>
<td>---------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>----------------------------</td>
<td>-----------------------------------------</td>
</tr>
<tr>
<td>URI Stem</td>
<td>The stem portion of the HTTP URL the browser sent to Access Gateway. The stem is everything in the URL up to the first question mark. If the URL has no question mark, the URI Stem field is the same as the URI field. URI Stem is redundant if URI is selected.</td>
<td></td>
<td>/path/to/resource</td>
</tr>
<tr>
<td>URI Query</td>
<td>The query portion of the HTTP URL the browser sent to Access Gateway. The query is everything from the first question mark through the end of the URL. If the URL has no question mark, this field has no value. URI Query is redundant if URI is selected.</td>
<td>%q</td>
<td>?page=catalog&amp;x=100 &amp;y=0</td>
</tr>
<tr>
<td>Version</td>
<td>The HTTP version specified in the URL the browser sent to Access Gateway.</td>
<td></td>
<td>HTTP/1.1</td>
</tr>
<tr>
<td>Status</td>
<td>The HTTP status code Access Gateway sent to the browser.</td>
<td>%s</td>
<td>200, 304, 404</td>
</tr>
<tr>
<td>Bytes Sent</td>
<td>The number of bytes of HTTP response data Access Gateway sent to the browser.</td>
<td>%l</td>
<td>14378</td>
</tr>
<tr>
<td>Bytes Received</td>
<td>The number of bytes of HTTP request data the proxy service received from the browser.</td>
<td>%O</td>
<td>14378</td>
</tr>
<tr>
<td>Time Taken</td>
<td>The time it took Access Gateway resources to deal with the request in microseconds.</td>
<td>%D</td>
<td>0.062, 0.392, 2, 802.1</td>
</tr>
<tr>
<td>User Agent</td>
<td>The User-Agent HTTP request header value the browser sent to Access Gateway.</td>
<td>%{user-agent}</td>
<td>Mozilla/5.0 (X11; Linux x86_64; rv:19.0) Gecko/20100101 Firefox/19.0</td>
</tr>
<tr>
<td>Cookie</td>
<td>The Cookie HTTP request header value the browser sent to Access Gateway. Access Gateway does not cache cookie information. Cookies can consume a lot of space. If you select this option, make sure it contains the critical information that you need.</td>
<td>%{cookie}</td>
<td>IPCZQX0355730a2b=0 1001300a463874a93ef 23e89e9acc54468beb4b; ZNPCQ003-37323400=c2e51552</td>
</tr>
<tr>
<td>Referer</td>
<td>The Referer HTTP request header value the browser sent to Access Gateway.</td>
<td>%{Referer}</td>
<td><a href="https://www.lagssl.com/netiq/nam/acme_ss_js7.html">https://www.lagssl.com/netiq/nam/acme_ss_js7.html</a></td>
</tr>
<tr>
<td>Name</td>
<td>Description</td>
<td>Entry in Configuration File</td>
<td>Sample Entry in the Log file</td>
</tr>
<tr>
<td>--------------------</td>
<td>------------------------------------------------------------------------------</td>
<td>----------------------------</td>
<td>------------------------------</td>
</tr>
</tbody>
</table>
| Cached Status      | The value indicates whether the request was filled from cache.  
                    | 1 = filled from cache  
                    | 0 = not filled from cache | 0,1                          |
| Origin Server      | The IP address of the Web server. This assumes Access Gateway retrieved the requested information directly from the Web server. | %(BALANCER_WORKER_IP) | 125.1.2.5                    |
| X-Forward-For      | The X-Forwarded-For HTTP request header value the browser sent to Access Gateway. Do not confuse this with the X-Forwarded-For option, which causes Access Gateway to generate or forward headers to upstream proxies or Web servers. | %(x-forward-for) | 10.0.0.1,10.0.02,10.0.03,10.0.0.4 |
| Bytes Filled       | The total bytes filled in response to the request.                           | %I | 184                          |
| Content Range      | The byte ranges sent from Access Gateway to a requesting browser.            | %(Content-Range) |                             |
| E Tag              | The tag sent from Access Gateway to a requesting browser.                    | %(ETag) | 604888-1077-466372c0         |
| Completion Status  | The completion status for the transaction, indicating that it completed successfully or that it failed. Possible values: success, timeout, reset (the client terminated the connection), administrative (Access Gateway terminated the connection). | %X | success, timeout, reset     |
| Reply Header Size  | The size in bytes of the HTTP header associated with a response to a client.  | %L | 361                          |
| X Cache Info       | Brief status statement for cached objects; brief reasons why an object was not cached. | %(Cache-Control) | no-store                     |
| Range              | The Range header value.                                                      | % (Range)     |                             |
| If Range           | The If Range header value, which indicates whether the browser request was a conditional range request. | %(If-Range) | bytes 0-200/736              |
| Content Length     | The size in bytes of the entire object delivered to a requesting browser.     | %O | 741                          |
| Request Pragma     | The pragma value associated with a browser request.                          | %(Pragma) | No-cache, no-store           |
3 Select one of the following rollover options:

**Rollover When File Size Reaches**: Rolls the file when it reaches the specified number of megabytes.

**Rollover every**: Rolls the file at the specified interval. You can specify the interval in hours or days.
   - **beginning**: Specifies the day that the interval should be begin. You can select a day of the week or the first of the month.
   - **at**: Select the hour of the day that the interval should begin and the time zone (either the local time zone or GMT).

4 Select one of the following old file options:

**Limit Number of Files to**: Allows you to limit the number of old log files on the system to the number specified in this option. The oldest file is automatically deleted when this number is reached. All logging data in deleted files is lost.

**Delete Files Older Than**: Allows you to configure Access Gateway to delete files when they are older than the time you specify. All logging data in deleted files is lost.

**Do Not Delete**: Prevents the system from automatically deleting the log files. A maximum of 65535 files can be stored for a proxy service when you select this option.

5 Click OK.

6 Click Access Gateways > Update > OK.

### 21.4.2.6 Configuring the Size of the Log Partition

The size of the log partition should be configured as part of the installation process. Access Gateway logs are stored in the /root partition by default. You can create a /var partition to store the logs. The size of this partition depends on your requirements.

### 21.5 Downloading Log Files

The General Logging page displays the location of the files that Access Manager Appliance components use for logging system messages. There are some exceptions:

- **Default Auditing File**: If you have configured Novell Audit to send events to the default audit file (/var/opt/novell/naudit/logs/auditlog), this file does not appear in the list.

If you want this file to appear in this list, you must make this file readable by the novlwww user. It is a breach of Novell Audit security for Access Manager code to change the permissions on this file. You must decide whether changing its permissions and displaying the file in this list compromises your security.

To add this file in the list of files for Administration Console, configure the following:

- Use commands similar to the following to grant the novlwww user executable permissions to the naudit directories:
chmod o+rx /var/opt/novell/naudit
chmod o+rx /var/opt/novell/naudit/logs

• Use a command similar to the following to grant the novlwww user read access to the auditlog file:
  
  chmod o+r /var/opt/novell/naudit/logs/auditlog

• Proxy Service Log Files: If you enable proxy service logging, these files are not available for downloading from this page because there could be potentially hundreds of these files. If this type of logging has been enabled, the directory where they are located is displayed. For more information about this type of logging, see Section 21.4.2, “Configuring Logging for a Proxy Service,” on page 932.

To view or download a log file:

1. Click Auditing > General Logging.
2. Select one or more log files, click Download, then open it or save it to disk.

You can use any text editor to view the file.

---

**NOTE:** The central location of all log files is /var/opt/novell/nam.

Each Access Manager Appliance component generates multiple log files. The following tables lists these files and the types of messages they contain:

- Section 21.5.1, “Administration Console Logs,” on page 941
- Section 21.5.2, “Identity Server Logs,” on page 942
- Section 21.5.3, “Access Gateway Appliance and Access Gateway Service Logs,” on page 942

### 21.5.1 Administration Console Logs

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>/var/opt/novell/nam/logs/adminconsole/tomcat/catalina.out</td>
<td>Contains Tomcat errors.</td>
</tr>
<tr>
<td>/var/opt/novell/nam/logs/adminconsole/volera/app_sc.0.log</td>
<td>Contains events related to importing devices, device configuration changes, health status changes, statistics reporting, and communication problems.</td>
</tr>
<tr>
<td>/var/opt/novell/nam/logs/adminconsole/volera/app_cc.0.log</td>
<td>Contains events related to policy configuration.</td>
</tr>
<tr>
<td>/var/opt/novell/nam/logs/adminconsole/volera/platform.0.log</td>
<td>Contains XML events for configuration changes. This log file contains very little useful information for system administrators.</td>
</tr>
</tbody>
</table>
# 21.5.2 Identity Server Logs

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/idp/tomcat/catalina.out</code></td>
<td>Logging to this file occurs only if you have selected the <strong>Echo to Console</strong> option from the Identity Servers &gt; Servers &gt; Edit &gt; Auditing and Logging page. When component logging has been set to info for Applications, it contains entries tracing user authentication and role assignments.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/jcc/jcc-0.log.0</code></td>
<td>Contains the log entries for the server communications module related to interaction of Identity Server with Administration Console, such as imports, certificates, health checks, and configuration.</td>
</tr>
</tbody>
</table>

# 21.5.3 Access Gateway Appliance and Access Gateway Service Logs

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/mag/tomcat/catalina.out</code></td>
<td>Logging to this file only occurs if you have selected the <strong>Echo to Console</strong> option from the Identity Servers &gt; Servers &gt; Edit &gt; Auditing and Logging page. Check this file for entries tracing the evaluation of authorization, identity injection, and form fill policies.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/log/novell/reverse/&lt;proxy_service-name&gt;</code></td>
<td>If logging is enabled on one or more reverse proxies, this directory contains the log files. A directory is listed for each reverse proxy on which you have enabled logging.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/jcc/jcc-0.log.0</code></td>
<td>Contains the log entries for the server communications module related to interaction of Access Gateway with Administration Console, such as imports, certificates, health checks, and configuration.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/mag/apache2/error_log</code></td>
<td>This directory also contains the Apache generated log files such as the <code>error_log</code> file.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/mag/amlogging/ags_error.log</code></td>
<td>Contains the messages generated for configuration, device imports, health, and statistics. It also contains entries for the policy evaluation processes done by the Gateway Service Manager module.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filename</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>/var/opt/novell/nam/logs/mag/amlogging/verbose_log</code></td>
<td>Contains the verbose log entries.</td>
</tr>
</tbody>
</table>
21.6 Turning on Logging for Policy Evaluation

Policy evaluation for roles occurs at Identity Server. For Authorization and Identity Injection policies, policy evaluation occurs on the Embedded Service Provider (ESP) where the policy is enabled.

For the Form Fill policies, the evaluation and logging is done by ESP and the proxy service. To set the logging level on Access Gateway for the proxy service, see “Enabling Form Fill Logging” on page 1138.

Logging for the policy evaluation done by ESP is controlled by the log settings of Identity Server configuration. To enable this type of logging:

1. Click Devices > Identity Servers > Edit > Auditing and Logging.
   - If you have set up more than one Identity Server configuration, make sure you select the configuration to which the other Access Manager Appliance components have been assigned.
2. Select Enabled for File Logging.
3. Select to echo the trace messages to the console: For the Access Gateway Appliance, Access Gateway Service, or Identity Server, this sends the messages to the catalina.out file.
4. (Optional) Specify a path for Identity Server log files.
5. For policy evaluation tracing, set the Application level to info in the Component File Logger Levels section.
   - If you are only troubleshooting policies at this time, do not select any other options. This reduces the amount of information recorded in the log files.
   - To see the policy SOAP messages, you need to set the Application level to config.
7. Click Auditing > General Logging.
   - For role evaluation traces, view Identity Server catalina.out file.
   - If your Identity Servers are clustered, you need to look at the file from each Identity Server.
   - For Authorization, Form Fill, and Identity Injection evaluation traces, view the log file of ESP of the device that is protecting the resource.

   **Access Gateway Appliance or Service:** This is the catalina.out file of the Access Gateway where the protected resource is defined. If the Access Gateway is part of a cluster, you need to look at this file from each Access Gateway in the group.

   To view the actual ESP log file that contains only ESP log messages, see the nidp.*.xml files in the /var/opt/novell/tomcat7/webapps/nesp/WEB-INF/logs directory (or the directory you specified in Step 4). Depending upon how you have configured File Wrap, the * portion of the filename contains the month, the week, the day, and the hour.
8. To understand what you are looking for in the log file, continue with one of the following:
   - Section 30.15.2, “Understanding Policy Evaluation Traces,” on page 1125 if you set Application level to info.
   - Section 30.6.9, “Policy Evaluation: Access Gateway Devices,” on page 1092 if you set Application level to config.
Monitoring Component Statistics

The Statistics page allows you to monitor the amount of data and the type of data that Identity Server and Access Gateway processes. You can specify the intervals for the refresh rate and, where allowed, view graphic representations of the activity.

- Section 22.1, “Identity Server Statistics,” on page 945
- Section 22.2, “Access Gateway Statistics,” on page 954
- Section 22.3, “Component Statistics Through REST APIs,” on page 965

22.1 Identity Server Statistics

- Section 22.1.1, “Monitoring Identity Server Statistics,” on page 945
- Section 22.1.2, “Monitoring Identity Server Cluster Statistics,” on page 953

22.1.1 Monitoring Identity Server Statistics

1. Click Devices > Identity Servers.
2. In the Statistics column, click View.
3. Click either of the following options:
   - **Statistics**: Select this option to view the statistics as currently gathered. The page is static and the statistics are not updated until you click Live Statistics Monitoring.
   - **Live Statistics Monitoring**: Select this option to view the statistics as currently gathered and to have them refreshed at the rate specified in the Refresh Rate field.
4. Review the following statistics:
   - “Application” on page 946
   - “Authentications” on page 946
   - “Incoming HTTP Requests” on page 947
   - “Outgoing HTTP Requests” on page 947
   - “Liberty” on page 948
   - “SAML 1.1” on page 948
   - “SAML 2” on page 949
   - “WSF (Web Services Framework)” on page 949
   - “Clustering” on page 951
   - “LDAP” on page 952
   - “SP Brokering” on page 952
   - “Risk-Based Authentication” on page 953
   - “OAuth” on page 953
5. Click Close to return to the Servers page.
**NOTE:** The statistics graphs of Identity Server and Access Gateway are available only in the primary Administration Console. The periodic stats are sent to the secondary Administration Console only when the primary console is not available. Hence, the statistics graphs of Identity Server and Access Gateway do not display any statistics values in the secondary Administration Console.

22.1.1.1 Application

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Free Memory</td>
<td>The percentage of free memory available to the JVM (Java Virtual Machine). Click <strong>Graphs</strong> to view memory usage for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the percentage of memory that is free for the selected time period.</td>
</tr>
</tbody>
</table>

22.1.1.2 Authentications

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provided Authentications</td>
<td>The number of successful provided authentications given out to external entities after Identity Server was started.</td>
</tr>
<tr>
<td>Consumed Authentications</td>
<td>The number of successful consumed authentications after Identity Server was started.</td>
</tr>
<tr>
<td>Provided Authentication Failures</td>
<td>The number of failed provided authentications given out to external entities after Identity Server was started.</td>
</tr>
<tr>
<td>Consumed Authentication Failures</td>
<td>The number of failed consumed authentications after Identity Server was started. The Consumed Authentication Failures statistics counter increases by one whenever a method execution fails for the user.</td>
</tr>
<tr>
<td>Historical Maximum Logins Served</td>
<td>The maximum number of logins served during an interval and displayed after completion of the interval.</td>
</tr>
<tr>
<td>Logins In Last Interval</td>
<td>The number of active user sessions during the last interval.</td>
</tr>
<tr>
<td>Logouts</td>
<td>The number of explicit logouts performed by users. This does not include logouts where an inactive session was destroyed.</td>
</tr>
<tr>
<td>Cached Sessions</td>
<td>The number of currently active cached user sessions. This represents the number of users currently logged into the system; however, if a single person has two browser windows open on the same client and if that person performed two distinct authentications, then that person has two user sessions. Click <strong>Graphs</strong> to view the number of cached sessions for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of cached sessions. If no sessions have been cached, the value axis is not meaningful.</td>
</tr>
<tr>
<td>Cached Ancestral Sessions</td>
<td>The number of cached ancestral session IDs. An ancestral session ID is created during the failover process. When failover occurs, a new session is created to represent the previous session. The ID of the previous session is called an &quot;ancestral session ID,&quot; and it is retained for subsequent failover operations.</td>
</tr>
<tr>
<td>Cached Subjects</td>
<td>The number of current cached subject objects. Conceptually, the cached subjects are identical to the cached principals.</td>
</tr>
</tbody>
</table>
22.1.1.3 Incoming HTTP Requests

Incoming HTTP requests are divided into three categories: active, interval, and historical. As soon as a request is complete, it is placed into the interval category. The interval represents the last 60 seconds of processed requests. At the completion of the 60-second interval, all requests in the interval category are merged into the historical category.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Requests</td>
<td>The total number of incoming HTTP requests that have been processed after Identity Server was started. Click <a href="#">Graphs</a> to view the number of requests for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of requests for the selected time period.</td>
</tr>
<tr>
<td>Currently Active Requests</td>
<td>The number of currently active incoming HTTP requests.</td>
</tr>
<tr>
<td>Oldest Active Request (Milliseconds)</td>
<td>The age of the oldest currently active incoming HTTP request.</td>
</tr>
<tr>
<td>Last Interval Maximum Request Duration (Milliseconds)</td>
<td>The age of the longest incoming HTTP requests that was processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Last Interval Mean Request Duration (Milliseconds)</td>
<td>The mean age of all incoming HTTP request that were processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Historical Maximum Request Duration (Milliseconds)</td>
<td>The age of the longest incoming HTTP request that was processed after Identity Server was started.</td>
</tr>
<tr>
<td>Historical Mean Request Duration (Milliseconds)</td>
<td>The mean age of all incoming HTTP requests that were processed after Identity Server was started.</td>
</tr>
</tbody>
</table>

22.1.1.4 Outgoing HTTP Requests

Outgoing HTTP requests are divided into three categories: active, interval, and historical. As soon as a request is complete, it is placed into the interval category. The interval represents the last 60 seconds of processed requests. At the completion of the 60-second interval, all requests in the interval category are merged into the historical category.
<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Requests</td>
<td>The total number of outgoing HTTP requests that have been processed after Identity Server was started. Click <strong>Graphs</strong> to view the number of requests for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of requests for the selected time period.</td>
</tr>
<tr>
<td>Currently Active Requests</td>
<td>The number of currently active outgoing HTTP requests.</td>
</tr>
<tr>
<td>Oldest Active Request</td>
<td>The age of the oldest currently active outgoing HTTP request.</td>
</tr>
<tr>
<td>Request Duration (Milliseconds)</td>
<td>The age of the longest outgoing HTTP request that was processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Last Interval Mean Request Duration (Milliseconds)</td>
<td>The mean age of all outgoing HTTP requests that were processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Historical Maximum Request Duration (Milliseconds)</td>
<td>The age of the longest outgoing HTTP request that was processed after Identity Server was started.</td>
</tr>
<tr>
<td>Historical Mean Request Duration (Milliseconds)</td>
<td>The mean age of all outgoing HTTP requests that were processed after Identity Server was started.</td>
</tr>
</tbody>
</table>

**22.1.1.5 Liberty**

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Liberty Federation</td>
<td>The number of Liberty protocol federations performed after Identity Server was started.</td>
</tr>
<tr>
<td>Liberty De-Federations</td>
<td>The number of Liberty protocol defederations performed after Identity Server was started.</td>
</tr>
<tr>
<td>Liberty Register-Names</td>
<td>The number of Liberty protocol register names performed after Identity Server was started.</td>
</tr>
</tbody>
</table>

**22.1.1.6 SAML 1.1**

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAML1.1 Attribute Queries</td>
<td>The number of SAML 1.1 protocol attribute queries performed after Identity Server was started.</td>
</tr>
</tbody>
</table>
### 22.1.1.7 SAML 2

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAML2 Attribute Queries</td>
<td>The number of SAML 2 protocol attribute queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>SAML2 Federations</td>
<td>The number of SAML 2 protocol federations performed after Identity Server was started.</td>
</tr>
<tr>
<td>SAML2 Defederations</td>
<td>The number of SAML 2 protocol defederations performed after Identity Server was started.</td>
</tr>
<tr>
<td>SAML2 Register-Names</td>
<td>The number of SAML 2 protocol register names performed after Identity Server was started.</td>
</tr>
</tbody>
</table>

### 22.1.1.8 WSF (Web Services Framework)

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Profile Service Queries</td>
<td>The number of Liberty IDSIS Personal Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Personal Profile Service Modifies</td>
<td>The number of Liberty IDSIS Personal Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Employee Profile Service Queries</td>
<td>The number of Liberty IDSIS Employee Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Employee Profile Service Modifies</td>
<td>The number of Liberty IDSIS Employee Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Custom Profile Service Queries</td>
<td>The number of Novell Custom Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Custom Profile Service Modifies</td>
<td>The number of Novell Custom Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Credential Profile Service Queries</td>
<td>The number of Novell Credential Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Credential Profile Service Modifies</td>
<td>The number of Novell Credential Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Authentication Profile Service Queries</td>
<td>The number of Novell Authentication Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Authentication Profile Service Modifies</td>
<td>The number of Novell Authentication Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>LDAP Profile Service Queries</td>
<td>The number of Novell LDAP Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>LDAP Profile Service Modifies</td>
<td>The number of Novell LDAP Profile Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Constant Profile Service Queries</td>
<td>The number of Novell Constant Profile Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Statistic</td>
<td>Description</td>
</tr>
<tr>
<td>-----------------------------------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Discovery Service Queries</td>
<td>The number of Liberty Discovery Web Service queries performed after Identity Server was started.</td>
</tr>
<tr>
<td>Discovery Service Modifies</td>
<td>The number of Liberty Discovery Web Service changes performed after Identity Server was started.</td>
</tr>
<tr>
<td>Redirected Interaction Service Requests</td>
<td>The number of Liberty User Interaction Redirection Profile requests performed after Identity Server was started.</td>
</tr>
<tr>
<td>Trusted Interaction Service Requests</td>
<td>The number of Liberty User Interaction Trusted Service Profile requests performed after Identity Server was started.</td>
</tr>
<tr>
<td>Client of Redirected Interaction Service Requests</td>
<td>The number of Liberty User Interaction Redirection Profile requests initiated as a client after Identity Server was started.</td>
</tr>
<tr>
<td>Client of Trusted Interaction Service Requests</td>
<td>The number of Liberty User Interaction Trusted Service Profile requests initiated as a client after Identity Server was started.</td>
</tr>
<tr>
<td>Data Location LDAP</td>
<td>The number of attempts to use LDAP as a data location for a query or a modify of any Web Service after Identity Server was started.</td>
</tr>
<tr>
<td>Data Location LDAP Aggregation</td>
<td>The number of attempts to use LDAP as a data location for aggregation of a query or a modify of any Web Service after Identity Server was started.</td>
</tr>
<tr>
<td>Data Location User Profile</td>
<td>The number of attempts to use the User Profile object as a data location for a query or a modify of any Web Service after Identity Server was started. A User Profile object is a directory object stored in Identity Server's configuration datastore.</td>
</tr>
<tr>
<td>Data Location User Profile Aggregation</td>
<td>The number of attempts to use the User Profile object as a data location for aggregation of a query or a modify of any Web Service after Identity Server was started. A User Profile object is a directory object stored on Identity Server's configuration datastore.</td>
</tr>
<tr>
<td>Data Location Remote</td>
<td>The number of attempts to use the Remote location as a data location for a query or a modify of any Web Service after Identity Server was started. A Remote location includes Pushed Attributes and External Services.</td>
</tr>
<tr>
<td>Data Location Pushed Attributes</td>
<td>The number of attempts to use the Pushed Attributes as a remote data location for a query or a modify of any Web Service after Identity Server was started.</td>
</tr>
<tr>
<td>Data Location Pushed Attributes Aggregation</td>
<td>The number of attempts to use the Pushed Attributes as an remote data location for aggregation of a query or a modify of any Web Service after Identity Server was started.</td>
</tr>
<tr>
<td>Data Location External Service</td>
<td>The number of attempts to use an External Service as a remote data location for a query or a modify of any Web Service after Identity Server was started. An External Service is where the same Web Service exists on an external Service Provider and a call can be made to request data from the service.</td>
</tr>
</tbody>
</table>
22.1.1.9  Clustering

An authoritative server is the cluster member that holds the authentication information for a given user session. For a request associated with a given session to be processed, it must be routed ("proxied") to the authoritative cluster member. If an L4 switch causes a request to go to a non-authoritative cluster member, that cluster member proxies the request to the authoritative cluster member.

When a request is received, a cluster member uses multiple means to determine which cluster member is the authoritative server for the request. It looks for a parameter on the query string of the URL indicating the authoritative server. It looks for an HTTP cookie, indicating the authoritative server. If these do not exist, the cluster member examines the payload of the HTTP request to determine the authoritative server. Payload examinations result in immediate identification of the authoritative server or a user session ID or user identity ID that can be used to locate the authoritative server.

If a user session ID or user identity ID is found, the ID is broadcast to all cluster members asking which member is the authoritative server for the given ID. The authoritative server receives the broadcast message, determines that it indeed holds the given session or user, and responds accordingly.

The higher the number of proxied requests, the lower the performance of the entire system. Furthermore, the higher the number of payload examinations and ID broadcasts, the lower the performance of the entire system. If these numbers are high, verify the configuration of the L4 switch. Ensure that the session persistence option is enabled, which allows clients to be directed to the same Identity Server after they have established a session.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Currently Active Proxied Requests</td>
<td>The number of currently active proxied HTTP requests.</td>
</tr>
<tr>
<td>Total Proxied Requests</td>
<td>The total number of proxied requests that have been processed after Identity Server was started. A request becomes a proxied request when the request is sent first to a non-authoritative machine.</td>
</tr>
<tr>
<td>Total Non-Proxied Requests</td>
<td>The total number of non-proxied requests that have been processed after Identity Server was started. A request becomes a non-proxied request when the request is sent first to the authoritative machine.</td>
</tr>
<tr>
<td>Authoritative Server Obtained from URL Parameter</td>
<td>The total number of authoritative servers identified by using the parameter from the URL query string after Identity Server was started.</td>
</tr>
<tr>
<td>Authoritative Server Obtained from Cookie</td>
<td>The total number of authoritative servers identified by using the HTTP cookie after Identity Server was started.</td>
</tr>
<tr>
<td>Payload Examinations</td>
<td>The total number of attempted payload examinations to identify the authoritative server after Identity Server was started.</td>
</tr>
<tr>
<td>Successful Payload Examinations</td>
<td>The total number of successful payload examinations to identify the authoritative server after Identity Server was started.</td>
</tr>
<tr>
<td>Identity ID Broadcasts</td>
<td>The total number of attempted Identity ID Broadcasts to identify the authoritative server after Identity Server was started.</td>
</tr>
<tr>
<td>Successful Identity ID Broadcasts</td>
<td>The total number of successful Identity ID Broadcasts to identify the authoritative server after Identity Server was started.</td>
</tr>
<tr>
<td>Session ID Broadcasts</td>
<td>The total number of attempted Session ID Broadcasts to identify the authoritative server.</td>
</tr>
</tbody>
</table>
### 22.1.1.10 LDAP

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Successful Session ID Broadcasts</td>
<td>The total number of successful Session ID Broadcasts to identify the authoritative server after Identity Server was started.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>User Store Replica Restarts</td>
<td>The number of times that a user store replica became unavailable so that a restart was necessary after Identity Server was started. A user store restart is attempted once every minute.</td>
</tr>
<tr>
<td>Successful User Store Replica Restarts</td>
<td>The number of times that a user store replica restart was successfully completed after Identity Server was started.</td>
</tr>
<tr>
<td>User Store Replica Restart Retries</td>
<td>The number of times that a user store replica restart failed and was put back into “wait mode” to try again in one minute after Identity Server was started.</td>
</tr>
<tr>
<td>Currently Active Connection Waits</td>
<td>The current number of user threads waiting for an LDAP connection to become available.</td>
</tr>
<tr>
<td>Connection Waits</td>
<td>The number of times that a user thread was required to wait for an LDAP connection to become available after Identity Server was started. A wait would be required if the maximum number of connections allocated to the associated connection pool were all currently in use by other threads.</td>
</tr>
<tr>
<td>Connection Waits Aborted Due To Timeout</td>
<td>The number of times that an LDAP connection wait terminated because of Identity Server timing out after Identity Server was started. This would result in an LDAP Service Not Available error.</td>
</tr>
<tr>
<td>Connection Waits Aborted Due To Closed Pool</td>
<td>The number of times that an LDAP connection wait terminated because of a closed connection pool after Identity Server was started. This would normally be caused by an LDAP replica failing while the user thread is waiting for the connection. This would result in an LDAP Service Not Available error.</td>
</tr>
</tbody>
</table>

### 22.1.1.11 SP Brokering

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Brokering Requests</td>
<td>The total number of brokering requests created after Identity Server was started. This count is a sum of all connections created to all replicas of the configuration datastore and all user stores.</td>
</tr>
<tr>
<td>Total Brokering Requests Denied Due to Group Check</td>
<td>The total number of brokering authentication requests denied in a target service provider. The brokering group can either be the identity provider or target service provider but both does not belong to the same group.</td>
</tr>
<tr>
<td>Total Brokering Requests Denied Due to Role Deny</td>
<td>The total number of brokering authentication requests to a target service provider denied due to broker policy evaluation denying the role.</td>
</tr>
<tr>
<td>Total Brokering Requests Passed</td>
<td>The total number of brokering requests passed after Identity Server was started.</td>
</tr>
</tbody>
</table>
22.1.12 Risk-Based Authentication

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Requests Allowed After Authentication</td>
<td>The total number of low risk requests allowed after authentication.</td>
</tr>
<tr>
<td>Requests Denied After Authentication</td>
<td>The total number of high risk requests denied after authentication.</td>
</tr>
<tr>
<td>Requests Allowed Pre-Authentication</td>
<td>The total number of low risk requests allowed during pre-authentication.</td>
</tr>
<tr>
<td>Requests Denied Pre-Authentication</td>
<td>The total number of high risk requests denied during pre-authentication.</td>
</tr>
<tr>
<td>Requests for Additional Authentication in Pre-Authentication</td>
<td>The total number of additional authentication requests during pre-authentication.</td>
</tr>
<tr>
<td>Requests for Additional Authentication in Post-Authentication</td>
<td>The total number of additional authentication requests during post-authentication.</td>
</tr>
</tbody>
</table>

22.1.13 OAuth

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Access Token Issued</td>
<td>The total number of access tokens issued by the authorization server for different grant types.</td>
</tr>
<tr>
<td>Authorization Code Issued</td>
<td>The total number of authorization codes issued by the authorization server.</td>
</tr>
<tr>
<td>ID Token Issued</td>
<td>The total number of ID tokens issued by the authorization server.</td>
</tr>
<tr>
<td>Refresh Token Exchange to Access Token</td>
<td>The total number of refresh tokens exchanged with the access token.</td>
</tr>
<tr>
<td>Token Verification Failure Requests</td>
<td>The total number of token and code verification failure requests.</td>
</tr>
<tr>
<td>Token Issue Failure</td>
<td>The total number of token and code issue failures for different grant types.</td>
</tr>
</tbody>
</table>

22.1.2 Monitoring Identity Server Cluster Statistics

On the Cluster Statistics page, you can configure the list of statistics to show the desired statistics for an Identity Server cluster. See Section 22.1.1, "Monitoring Identity Server Statistics," on page 945 for the complete list of statistics for each server in an Identity Server cluster.

To configure the statistics, perform the following steps:

2. Select Set default statistics under "Selected Statistics" to replace the selected statistics with the default statistics.
The default statistics include Free Memory, Provided authentications, Cached sessions, Logins in Last Interval, Currently active requests - incoming HTTP requests, Currently active requests - outgoing HTTP requests, and Currently active proxied requests.


4. Click OK.

The Cluster Statistics page displays the summary of configured statistics for each individual member of the cluster.

To view additional information about a specific Identity Server, click the name of Identity Server in the Server Name column of the summary.

You can also view all the statistics for an individual server of the cluster. Click View in the Statistics column of the summary to see these additional statistics. For more information, see Section 22.1.1, “Monitoring Identity Server Statistics,” on page 945.

5. Click Close.

### 22.2 Access Gateway Statistics

- Section 22.2.1, “Monitoring Access Gateway Statistics,” on page 954
- Section 22.2.2, “Monitoring Access Gateway Cluster Statistics,” on page 963

#### 22.2.1 Monitoring Access Gateway Statistics


2. Select from the following types:
   - “Server Activity Statistics” on page 954
   - “Server Benefits Statistics” on page 958
   - “Service Provider Activity Statistics” on page 959

3. Click Close.

**NOTE:** The statistics graphs of Identity Server and Access Gateway are available in only the primary Administration Console. The periodic stats are sent to the secondary Administration Console only when the primary console is not available. Hence, the statistics graphs of Identity Server and Access Gateway do not display any statistics values in the secondary Administration Console.

#### 22.2.1.1 Server Activity Statistics

Select whether to monitor live or static statistics:

**Statistics:** Select this option to view the statistics as currently gathered. The page is static and the statistics are not updated until you click Live Statistics Monitoring.

**Live Statistics Monitoring:** Select this option to view the statistics as currently gathered and to have them refreshed at the rate specified in the Refresh Rate field.
These general statistics are grouped into the following categories:

- “Server Activity” on page 955
- “Connections” on page 956
- “Bytes” on page 956
- “Requests” on page 957
- “Cache Freshness” on page 958

**Server Activity**

The Server Activity section displays general server utilization statistics.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CPU Utilization</td>
<td>Displays the current CPU utilization rate. Use the available graph for capacity planning. Click <strong>Graphs</strong> to view the CPU usage for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the percentage of use.</td>
</tr>
<tr>
<td>Cache Hit</td>
<td>Displays the current cache hit rate. A high cache hit rate indicates that the caching system is off-loading significant request processing from the Web servers whose objects have been cached. Click <strong>Graphs</strong> to view the number of cache hits for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of hits.</td>
</tr>
<tr>
<td>Mounted Partitions Disk Space</td>
<td>Displays the total disk space configured on mounted partitions.</td>
</tr>
<tr>
<td>Mounted Partitions Disk Space Used</td>
<td>Displays the disk space in use on mounted partitions.</td>
</tr>
<tr>
<td>Mounted Partitions Disk Space Free</td>
<td>Displays the disk space available on mounted partitions.</td>
</tr>
<tr>
<td>Swap Partition Disk Space</td>
<td>Displays the total disk space configured for the swap partition. The Linux Gateway Service displays the available swap space reported by the Linux kernel (see sysinfo for details).</td>
</tr>
<tr>
<td>Swap Partition Disk Space Used</td>
<td>Displays the disk space in use on the swap partition.</td>
</tr>
<tr>
<td>Swap Partition Disk Space Free</td>
<td>Displays the disk space available on the swap partition.</td>
</tr>
<tr>
<td>Cache Disk Space</td>
<td>Displays the total disk space available for caching.</td>
</tr>
<tr>
<td>Cache Disk Space Utilization</td>
<td>Reserved. Not currently used.</td>
</tr>
<tr>
<td>Total Installed Memory</td>
<td>Displays the amount of memory that is installed on Access Gateway.</td>
</tr>
<tr>
<td>Start Up Time</td>
<td>Displays the last time Access Gateway was started.</td>
</tr>
<tr>
<td>Up Time</td>
<td>Displays the total time Access Gateway has been running since it was last started.</td>
</tr>
<tr>
<td>Number of Objects Cached</td>
<td>Displays the total number of objects that have been cached since Access Gateway was last started.</td>
</tr>
</tbody>
</table>
Connections

The connection statistics show the current and peak levels of usage in terms of TCP connections.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current Connections to Origin Server</td>
<td>Displays the current number of connections that Access Gateway has established with Web servers.</td>
</tr>
<tr>
<td>Current Connections to Browsers</td>
<td>Displays the current number of connections that Access Gateway has established with browsers.</td>
</tr>
<tr>
<td>Current Total Connections</td>
<td>Displays the current total of all connections that Access Gateway has established.</td>
</tr>
<tr>
<td>Connections to Origin Server</td>
<td>Displays the total number of connections that Access Gateway has established with Web servers since it was last started.</td>
</tr>
<tr>
<td>Click Graphs to view the number of connections for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of connections.</td>
<td></td>
</tr>
<tr>
<td>Peak Connections from Origin Server</td>
<td>Displays the peak number of connections that Access Gateway has established with Web servers.</td>
</tr>
<tr>
<td>Connections to Browsers</td>
<td>Displays the total number of connections that Access Gateway has established with browsers since it was last started.</td>
</tr>
<tr>
<td>Click Graphs to view the number of connections for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of connections.</td>
<td></td>
</tr>
<tr>
<td>Peak Connections to Browsers</td>
<td>Displays the peak number of connections that Access Gateway has established with browsers.</td>
</tr>
<tr>
<td>Total Connections through SOCKS</td>
<td>Displays the total number of connections Access Gateway has established through a firewall.</td>
</tr>
<tr>
<td>Failed Connection Attempts</td>
<td>Displays the total number of failed connection attempts Access Gateway has made while attempting to fill its Web object cache.</td>
</tr>
</tbody>
</table>

Bytes

The bytes statistics show how fast information is being sent in response to the following types of requests:

- Browser requests to Access Gateway
- Access Gateway requests to the Web servers

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Throughput of the Origin Server</td>
<td>Displays the average number of bytes of data being sent each second from the Web servers to Access Gateway.</td>
</tr>
<tr>
<td>Average number of bytes = total number of bytes sent from origin server to Access Gateway per system uptime in seconds.</td>
<td></td>
</tr>
<tr>
<td>Click Graphs to view the number of bytes for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of bytes.</td>
<td></td>
</tr>
</tbody>
</table>
The request statistics show the number of requests that are being sent from the browsers to Access Gateway and from Access Gateway to the Web servers.

### Statistics

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Throughput of the Browser</td>
<td>Displays the average number of bytes of data being sent each second from Access Gateway to the browsers.</td>
</tr>
<tr>
<td></td>
<td>Average number of bytes = total number of bytes sent from Access Gateway to browsers per system uptime in seconds.</td>
</tr>
<tr>
<td></td>
<td>Click <strong>Graphs</strong> to view the number of bytes for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of bytes.</td>
</tr>
<tr>
<td>Total Bytes per Second</td>
<td>Displays the total number of bytes of data being sent each second from Access Gateway and from the Web servers.</td>
</tr>
<tr>
<td></td>
<td>Click <strong>Graphs</strong> to view the number of bytes for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of bytes.</td>
</tr>
<tr>
<td>Bytes Sent to Origin Server</td>
<td>Displays the total number of bytes sent to the origin server after the server is started.</td>
</tr>
<tr>
<td>Bytes Received from Origin Server</td>
<td>Displays the total number of bytes of data sent to Access Gateway from the Web servers since Access Gateway last started.</td>
</tr>
<tr>
<td>Bytes Sent to Browser</td>
<td>Displays the total number of bytes of data sent to the browsers from Access Gateway since Access Gateway last started.</td>
</tr>
<tr>
<td>Bytes Received from Browser</td>
<td>The total number of bytes received from the browser after the server is started.</td>
</tr>
<tr>
<td>Total Bytes</td>
<td>Displays the total number of bytes of data sent from Access Gateway and from the Web servers since Access Gateway was last started.</td>
</tr>
</tbody>
</table>

### Requests

The request statistics show the number of requests that are being sent from the browsers to Access Gateway and from Access Gateway to the Web servers.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current Requests to Origin Server</td>
<td>Displays the current number of requests that Access Gateway has made to the Web servers.</td>
</tr>
<tr>
<td></td>
<td>Click <strong>Graphs</strong> to view the number of requests for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of requests.</td>
</tr>
<tr>
<td>Current Requests from Browsers</td>
<td>Displays the current number of requests that the browsers have made to Access Gateway.</td>
</tr>
<tr>
<td></td>
<td>Click <strong>Graphs</strong> to view the number of requests for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of requests.</td>
</tr>
<tr>
<td>Total Current Requests</td>
<td>Displays the total number of current requests that Access Gateway has received from the browsers and that Access Gateway has sent to the Web servers.</td>
</tr>
<tr>
<td>Successful Requests to Origin Server</td>
<td>Displays the total number of successful requests that Access Gateway has sent to the Web servers since Access Gateway last started.</td>
</tr>
</tbody>
</table>
Monitoring Component Statistics

22.2.1.2 Server Benefits Statistics

Select whether to monitor live or static statistics:

Statistics: Select this option to view the statistics as currently gathered. The page is static and the statistics are not updated until you click Live Statistics Monitoring.

### Cache Freshness

The cache freshness statistics display information about the cache refresh process.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Failed Requests to Origin Server</td>
<td>Displays the total number of failed requests that Access Gateway has sent to the Web servers since Access Gateway last started.</td>
</tr>
<tr>
<td>Cumulative Requests to Browsers</td>
<td>Displays the total number of requests that the browsers have sent to Access Gateway since Access Gateway last started.</td>
</tr>
<tr>
<td>Total Cumulative Requests</td>
<td>Displays the total number of cumulative requests that Access Gateway has processed since Access Gateway last started.</td>
</tr>
<tr>
<td>Requests per Second to Origin Server</td>
<td>Displays the number of requests that are being sent each second from Access Gateway to the Web servers.</td>
</tr>
<tr>
<td>Requests per Second from Browsers</td>
<td>Displays the number of requests that are being sent each second from the browsers to Access Gateway.</td>
</tr>
<tr>
<td>Total Requests per Second</td>
<td>Displays the total number of requests that are being sent each second from Access Gateway and from the browsers.</td>
</tr>
<tr>
<td>Peak Requests per Second to Origin Server</td>
<td>Displays the peak number of requests that have been sent in one second from Access Gateway to the Web servers.</td>
</tr>
<tr>
<td>Peak Requests per Second from Browsers</td>
<td>Displays the peak number of requests that have been sent in one second from the browsers to Access Gateway.</td>
</tr>
<tr>
<td>Total “Get If Modified Since” Request</td>
<td>Displays the total number of Get If Modified Since requests that Access Gateway has received from browsers.</td>
</tr>
<tr>
<td>Total Not Modified Replies</td>
<td>Displays the total number of 304 Not Modified replies that Access Gateway has received from the Web servers for updated content.</td>
</tr>
<tr>
<td>Cache Freshness</td>
<td>Displays the percentage of objects in cache that are considered fresh.</td>
</tr>
<tr>
<td>Oldest Object in Memory</td>
<td>Displays how long the oldest cache object has been cached.</td>
</tr>
<tr>
<td>Click <a href="#">Graphs</a> to view the percentage of fresh objects for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the percentage of fresh objects.</td>
<td></td>
</tr>
</tbody>
</table>

---

958 Monitoring Component Statistics
**Live Statistics Monitoring:** Select this option to view the statistics as currently gathered and to have them refreshed at the rate specified in the Refresh Rate field.

The Server Benefits page displays information about bandwidth and DNS caching:

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Bandwidth Saved</td>
<td>Displays the amount of bandwidth saved by using data cached by Access Gateway rather than requesting the data from the Web servers.</td>
</tr>
<tr>
<td>Bytes Saved per Second</td>
<td>Displays how many bytes of data Access Gateway was able to send from cache rather than requesting it from the Web servers.</td>
</tr>
</tbody>
</table>

### 22.2.1.3 Service Provider Activity Statistics

Select whether to monitor live or static statistics:

**Statistics:** Select this option to view the statistics as currently gathered. The page is static and the statistics are not updated until you click Live Statistics Monitoring.

**Live Statistics Monitoring:** Select this option to view the statistics as currently gathered and to have them refreshed at the rate specified in the Refresh Rate field.

The ESP Activity page displays information about the communication process between Access Gateway (ESP) and Identity Server. These statistics are grouped into the following categories:

- Application
- Authentications
- Incoming HTTP Requests
- Outgoing HTTP Requests
- Liberty
- Clustering
- SP Brokering

Click Graphs to review historical statistics.

#### Application

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Free Memory</td>
<td>The percentage of free memory available to the JVM (Java Virtual Machine).</td>
</tr>
</tbody>
</table>

Click Graphs to view the free memory for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the percentage of free memory.

#### Authentications

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provided Authentications</td>
<td>The number, since Identity Server was started, of successful provided authentications given out to external entities.</td>
</tr>
</tbody>
</table>
Incoming HTTP Requests

Incoming HTTP requests are divided into three categories: active, interval, and historical. As soon as a request is complete, it is placed into the interval category. The interval represents the last 60 seconds of processed requests. At the completion of the 60-second interval, all requests in the interval category are merged into the historical category.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Consumed Authentications</td>
<td>The number, since Identity Server was started, of successful consumed authentications.</td>
</tr>
<tr>
<td>Provided Authentication Failures</td>
<td>The number, since Identity Server was started, of failed provided authentications given out to external entities.</td>
</tr>
<tr>
<td>Consumed Authentication Failures</td>
<td>The number, since Identity Server was started, of failed consumed authentications.</td>
</tr>
</tbody>
</table>

**NOTE:** The consumed authentication failures does not show the number of invalid password attempt failures of the Identity Provider in the statistics page.

| Historical Maximum Logins Served | The maximum number of logins served during an interval and displayed after completion of the interval. |
| Logins in Last Interval | The number of active user sessions during the last interval. |
| Logouts | The number of explicit logouts performed by users. This does not include logouts where an inactive session was destroyed. |
| Cached Sessions | The number of currently active cached user sessions. This represents the number of users currently logged into the system with the following caveat: If a single person has two browser windows open on the same client and if that person performed two distinct authentications, then that person has two user sessions. |

Click **Graphs** to view the number of cached sessions for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of cached sessions. If no sessions have been cached, the value axis is not meaningful.

| Cached Ancestral Sessions | The number of cached ancestral session IDs. An ancestral session ID is created during the failover process. When failover occurs, a new session is created to represent the previous session. The ID of the previous session is termed an “ancestral session ID,” and it is persisted for subsequent failover operations. |
| Cached Subjects | The number of current cached subject objects. Conceptually, the cached subjects are identical to the cached principals. |
| Cached Principals | The number of current cached principal objects. A principal can be thought of as a single directory user object. Multiple users can log in using a single directory user object, in which case multiple cached sessions would exist sharing a single cached principal. |
| Cached Artifacts | The number of current cached artifact objects. During authentication, an artifact is generated that maps to an assertion. This cache holds the artifact to assertion mapping until the artifact resolution request is received. Under normal operations, artifacts are resolved within milliseconds of being placed in this cache. |
Outgoing HTTP Requests

Outgoing HTTP requests are divided into three categories: active, interval, and historical. As soon as a request is complete, it is placed into the interval category. The interval represents the last 60 seconds of processed requests. At the completion of the 60-second interval, all requests in the interval category are merged into the historical category.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Requests</td>
<td>The total number of outgoing HTTP requests that have been processed since Identity Server was started.</td>
</tr>
<tr>
<td></td>
<td>Click Graphs to view the number of requests for a specific unit of time (1 hour, 1 day, 1 week, 1 month, 6 months, or 12 months). The Value axis displays the number of requests for the selected time period.</td>
</tr>
<tr>
<td>Currently Active Requests</td>
<td>The number of currently active outgoing HTTP requests.</td>
</tr>
<tr>
<td>Oldest Active Request (Milliseconds)</td>
<td>The age of the oldest currently active outgoing HTTP request.</td>
</tr>
<tr>
<td>Last Interval Maximum Request Duration (Milliseconds)</td>
<td>The age of the longest outgoing HTTP request that was processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Last Interval Mean Request Duration (Milliseconds)</td>
<td>The mean age of all outgoing HTTP requests that were processed during the last 60-second interval.</td>
</tr>
<tr>
<td>Historical Maximum Request Duration (Milliseconds)</td>
<td>The age of the longest outgoing HTTP request that was processed since Identity Server was started.</td>
</tr>
<tr>
<td>Historical Mean Request Duration (Milliseconds)</td>
<td>The mean age of all outgoing HTTP requests that were processed since Identity Server was started.</td>
</tr>
</tbody>
</table>
Liberty

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Liberty Federation</td>
<td>The number of Liberty protocol federations performed, since Identity Server was started.</td>
</tr>
<tr>
<td>Liberty De-Federations</td>
<td>The number of Liberty protocol de-federations performed, since Identity Server was started.</td>
</tr>
<tr>
<td>Liberty Register-Names</td>
<td>The number of Liberty protocol register names performed, since Identity Server was started.</td>
</tr>
</tbody>
</table>

Clustering

An authoritative server is the cluster member that holds the authentication information for a given user session. For a request associated with a given session to be processed, it must be routed ("proxied") to the authoritative cluster member. If an L4 switch causes a request to go to a non-authoritative cluster member, then that cluster member proxies that request to the authoritative cluster member.

When a request is received, a cluster member uses multiple means to determine which cluster member is the authoritative server for the request. It looks for a parameter on the query string of the URL indicating the authoritative server. It looks for an HTTP cookie indicating the authoritative server. If these do not exist, the cluster member examines the payload of the HTTP request to determine the authoritative server. Payload examinations result in immediate identification of the authoritative server or a user session ID or user identity ID that can be used to locate the authoritative server.

If a user session ID or user identity ID is found, the ID is broadcast to all cluster members asking which member is the authoritative server for the given ID. The authoritative server receives the broadcast message, determines that it indeed holds the given session or user, and responds accordingly.

The higher the number of proxied requests, the lower the performance of the entire system. Furthermore, the higher the number of payload examinations and ID broadcasts, the lower the performance of the entire system.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Currently Active Proxied Requests</td>
<td>The number of currently active proxied HTTP requests.</td>
</tr>
<tr>
<td>Total Proxied Requests</td>
<td>The total number of proxied requests that have been processed, since Identity Server was started.</td>
</tr>
<tr>
<td>Total Non-Proxied Requests</td>
<td>The total number of non-proxied requests that have been processed, since Identity Server was started.</td>
</tr>
<tr>
<td>Authoritative Server Obtained from URL Parameter</td>
<td>The total number of authoritative servers identified by using the parameter from the URL query string, since Identity Server was started.</td>
</tr>
<tr>
<td>Authoritative Server Obtained from Cookie</td>
<td>The total number of authoritative servers identified by using the HTTP cookie, since Identity Server was started.</td>
</tr>
<tr>
<td>Payload Examinations</td>
<td>The total number of attempted payload examinations to identify the authoritative server, since Identity Server was started.</td>
</tr>
</tbody>
</table>
22.2.2 Monitoring Access Gateway Cluster Statistics

You can view and configure general performance statistics for the servers and service providers assigned to the selected cluster.

Server Statistics

On the Cluster Statistics page, you can configure the list of server statistics to show the desired statistics for an Access Gateway cluster. See “Server Activity Statistics” on page 954 and “Server Benefits Statistics” on page 958 for the complete list of statistics for each server in an Access Gateway cluster.

Perform the following steps:

2. Click Configure.
3. Select Set default statistics under Selected Statistics to replace the selected statistics with the default statistics.

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Successful Payload Examinations</td>
<td>The total number of successful payload examinations to identify the authoritative server, since Identity Server was started.</td>
</tr>
<tr>
<td>Identity ID Broadcasts</td>
<td>The total number of attempted Identity ID Broadcasts to identify the authoritative server, since Identity Server was started.</td>
</tr>
<tr>
<td>Successful Identity ID Broadcasts</td>
<td>The total number of successful Identity ID Broadcasts to identify the authoritative server, since Identity Server was started.</td>
</tr>
<tr>
<td>Session ID Broadcasts</td>
<td>The total number of attempted Session ID Broadcasts to identify the authoritative server, since Identity Server was started.</td>
</tr>
<tr>
<td>Successful Session ID Broadcasts</td>
<td>The total number of successful Session ID Broadcasts to identify the authoritative server, since Identity Server was started.</td>
</tr>
</tbody>
</table>
The default statistics include CPU Utilization, Current Connections to Origin Server, Current Connections to Browsers, Total Bytes per Second, Requests per Second to Origin Server, Requests per Second from Browsers, and Total Requests per Second.

4 Select statistics from Available Statistics and move to Selected Statistics.

5 Click OK.

The Cluster Statistics page displays the summary of configured statistics for each individual member of the cluster.

To view additional statistical information about a specific Access Gateway, click the name of Access Gateway in the Server Name column of the summary.

You can also view all the statistics for an individual server of the cluster. Click View in the Statistics column of the summary to see these additional statistics.

For more information, see Section 22.2.1, “Monitoring Access Gateway Statistics,” on page 954.

6 Click Close.

NOTE: In the cluster level statistics, you can view the list of servers, which are associated with that cluster. If a statistics is not applicable for a particular Access Gateway server, the value of the statistics is displayed as Not Supported for that server.

Service Provider Statistics

On the Cluster Statistics page, you can configure the list of service provider statistics to show the desired statistics for an Access Gateway cluster. See “Service Provider Activity Statistics” on page 959 for the complete list of statistics for each server in an Access Gateway cluster.

Perform the following steps:

1 In Administration Console Dashboard, click Devices > Access Gateways > [Name of Cluster] > Statistics > Service Provider Statistics.

2 Click Configure.

3 Select Set default statistics under Selected Statistics if you want to replace the selected statistics with the default statistics.

   The default statistics include Free Memory, Provided Authentications, Cached Sessions, Logins In Last Interval, Currently Active Requests - Incoming HTTP Requests, Currently Active Requests - Outgoing HTTP Requests, and Currently Active Proxied Requests.

4 Select statistics from Available Statistics and move to Selected Statistics.

5 Click OK.

The Cluster Statistics page displays the summary of configured statistics for each individual member of the cluster.

To view additional statistical information about a specific Access Gateway, click the name of Access Gateway in the Server Name column of the summary.

You can also view all the statistics for an individual server of the cluster. Click View in the Statistics column of the summary to see these additional statistics.

For more information, see “Service Provider Activity Statistics” on page 959.

6 Click Close.
22.3 Component Statistics Through REST APIs

You can programmatically access statistics of Access Gateways, Identity Servers, and ESP. This section includes the following topics:

- Section 22.3.1, “Monitoring API for Identity Server Statistics,” on page 965
- Section 22.3.2, “Monitoring API for Access Gateway Statistics,” on page 970

22.3.1 Monitoring API for Identity Server Statistics

For programmatic access to Identity Server statistics, you must enable the Representational State Transfer (REST) API.

To enable the REST API:

1. Place the `nidpmonitor.txt` file in the `WEB-INF` directory of Identity Server and ESP webapp.
   - For Identity Server: `/opt/novell/nam/idp/webapps/nidp/WEB-INF/`
   - For ESP: `/opt/novell/nam/mag/webapps/nesp/WEB-INF/`
2. Add the following line in `nidpmonitor.txt`:
   `urn:novell:nidp:monitor:anyaccess`
   After this line, you must add the IP addresses of the servers from which you will be making calls to the REST API. Example content of the `nidpmonitor.txt` file:
   `urn:novell:nidp:monitor:anyaccess
   10.0.0.0
   172.16.0.0`

**IMPORTANT:** Frequent requests to get the statistics impact the system performance. It is recommended to keep a five minutes interval between every probe for the statistics.

22.3.1.1 Endpoints of the REST API

Identity Server uses this REST endpoint: https://<DNS FQDN of NIDP>:<port>/nidp/app/monitor.

ESP uses this REST endpoint: https://<DNS FQDN of ESP>:<port>/nesp/app/monitor.

The endpoint takes the following three parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>displayType</td>
<td>XML</td>
<td>This parameter specifies the output display type. Currently it supports only XML.</td>
</tr>
<tr>
<td>command</td>
<td>See Supported Commands and Their Outputs for details of the commands that support this parameter.</td>
<td>This specifies the monitored statistics that are to be displayed.</td>
</tr>
</tbody>
</table>
22.3.1.2 Supported Commands and Their Outputs

The following list includes supported commands:

- `httpInRequests`
- `inUrlTypes`
- `httpOutRequests`
- `ldapServerConfig`
- `ldapConnections`
- `ldapConnectionWaits`
- `ldapPerfOverview`
- `ldapFailOverview`
- `authPerf`

**NOTE:** When using the curl command, place the URL inside double quotes (""). Otherwise, the XML data does not render. For example, curl -k "https://<domain>:<port>/nidp/app/monitor?command=inUrlTypes&displayType=xml".

### httpInRequests

This command supports reset. This command displays the monitored statistics of incoming HTTP requests to Identity Server.

Example output:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<InComingHTTPRequests>
  <ThreadIntervals>
    <NamedValues>
      <NamedValue name="Total" value="61"/>
      <NamedValue name="Current Requests" value="1"/>
    </NamedValues>
    <ActiveObjects abandoned="0">
      <ActiveObject name="ajp-bio-/127.0.0.1-9019-exec-23" age="3"/>
    </ActiveObjects>
  </ThreadIntervals>
  <Historical>
    <Spectrometer dataPoints="22" totalCount="60" maxDataPoints="500">
      <max>145</max>
      <min>1</min>
      <mean>18</mean>
    </Spectrometer>
  </Historical>
</InComingHTTPRequests>
```

### inUrlTypes

This command supports reset. This command displays counts of the URL types and services that have been requested to Identity Server.

Example output:

---

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>reset</td>
<td>This parameter can take only &quot;True&quot; as value. See Supported Commands and Their Outputs for details of the commands which support reset.</td>
<td>This specifies the monitored statistics that is to be reset.</td>
</tr>
</tbody>
</table>
<UrlTypes> <NamedValues> <NamedValue name="CMD: /app/, monitor" value="15" />
  <NamedValue name="CMD: /app/, ping" value="13" />
  <NamedValue name="CMD: /idff, soap" value="1" />
  <NamedValue name="CMD: /idff, sso" value="4" />
  <NamedValue name="JSP: content.jsp" value="1" />
</NamedValues></UrlTypes>

**httpOutRequests**

This command supports reset. This command displays the monitored statistics of outgoing HTTP requests from Identity Server.

Example output:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<OutGoingHTTPRequests>
  <ThreadIntervals>
    <NamedValues>
      <NamedValue name="Total" value="25" />
    </NamedValues>
    <Historical>
      <Spectrometer dataPoints="10" totalCount="25" maxDataPoints="500">
        <max>51</max>
        <min>2</min>
        <mean>12</mean>
      </Spectrometer>
    </Historical>
  </ThreadIntervals>
</OutGoingHTTPRequests>
```

**IdapServerConfig**

This command does not support reset. This command displays the setup details of Identity Server configuration store and the user store.

Example output:

```xml
<UserStoreManager id="MGf373f25e-5a95-484e-85fe-2d3f073e3c28">
  <TrustConfigDataStore>
    <UserStore id="USef25d609-7577-4bab-e00b5f406f2cc" systemId="cn=SCT7u0ouw,cn=cluster,cn=nids,ou=accessManagerContainer,o=novell"
      displayName="" directoryName="Novell eDirectory"
      adminUserName="ou=nidsUser,ou=UsersContainer,ou=Partition,ou=PartitionsContainer,o=VCDN_Root,ou=accessManagerContainer,ou=novell"
      idleTimeout="10000" bindTimeout="0">
      <Replicas>
        <Replica id="0c498978-2d16-4b25-ae41-484fca62fc36"
          systemId="PseudoXMLBasedUserStoreReplicaDN0" displayName="Replica 1" id="ldaps://10.0.0.0:636" maxConnections="5" doSSL="true">
          <ConnectionPool id="PL8928e311-6a84-494a-b61a-5ff43005d66f:0c498978-2d16-4b25-ae41-484fca62fc36"
            adminUserName="ou=nidsUser,ou=UsersContainer,ou=Partition,ou=PartitionsContainer,o=VCDN_Root,ou=accessManagerContainer,ou=novell">
            <AdminConnections>
              <Connection id="0adff495-9321-485c-b156-66deceefaf4" type="admin" checkedOut="false" IdleAge="5985087" />
            </AdminConnections>
          </ConnectionPool>
        </Replica>
      </Replicas>
    </UserStore>
  </TrustConfigDataStore>
</UserStoreManager>
```
IdapConnections

This command does not support reset. This command displays counts of Identity Server LDAP connection.

Example output:

```xml
<IdapConnections>
  <TotalAdded admin="25" user="1" />
  <TotalRemoved admin="23" user="1" />
  <CurrentValidInUse admin="0" user="0" />
  <CurrentValidOutOfUse admin="2" user="0" />
  <CurrentInvalidEstd admin="0" user="0" />
  <CurrentInvalidNonEstd admin="0" user="0" />
  <TotalForceCloseSuccess admin="23" user="1" />
  <TotalForceCloseError admin="0" user="0" />
</IdapConnections>
```

IdapConnectionWaits

This command supports reset. This command displays statistics of Identity Server LDAP connection wait time.

Example output:

```xml
<IdapConnectionWaits/>
```

IdapReplicaStats

This command does not support reset. This command displays statistics of Identity Server LDAP replica.

Example output:

```xml
<IdapReplicaStatsCollection>
  <TrustConfigDataStoreStats>
    <IdapReplicaStats displayName="Replica 1" host="ldaps://10.0.0.0" inRestart="false" load="0"> 
      <ExistingAdminConnectionReservation admin="97" user="0" />
      <NewConnections admin="2" user="0" />
      <Rebinds user="0" />
      <InvalidRebinds user="0" />
      <Waits admin="0" user="0" />
      <WaitExpire admin="0" user="0" />
      <WaitSkips admin="0" user="0" />
      <WaitHitMaxSkipped admin="0" user="0" />
    </IdapReplicaStats>
    <IdapReplicaStats displayName="SingleBoxUserStoreReplica" host="ldaps://10.0.0.0" inRestart="false" load="0"> 
      <ExistingAdminConnectionReservation admin="86" user="1" />
      <NewConnections admin="28" user="1" />
      <Rebinds user="0" />
      <InvalidRebinds user="0" />
      <Waits admin="0" user="0" />
      <WaitExpire admin="0" user="0" />
      <WaitSkips admin="0" user="0" />
      <WaitHitMaxSkipped admin="0" user="0" />
    </IdapReplicaStats>
  </TrustConfigDataStoreStats>
</IdapReplicaStatsCollection>
```

IdapPerfOverview

This command does not support reset. This command displays performance statistics of Identity Server LDAP replica.

Example output:

```xml
<IdapPerfCollection>
  <TrustConfigDataStorePerf>
    <IdapReplicaPerf displayName="Replica 1" inRestart="false" load="0" host="ldaps://10.0.0.0"> 
      <AllOpsDuration> <Interval> 
        <Spectrometer dataPoints="5" totalCount="6" maxDataPoints="300" max="46" />
      </Interval> 
    </IdapReplicaPerf>
  </TrustConfigDataStorePerf>
</IdapPerfCollection>
```
IdapFailOverview

This command does not support reset. This command displays statistics of Identity Server LDAP replica failure.

Example output:

<?xml version="1.0" encoding="UTF-8"?><LdapReplicaFailureCollection>
<TrustConfigDataStoreFailure>
<LdapReplicaFailurePerf displayName="Replica 1" inRestart="false" load="0" host="ldaps://10.0.0.0">...
</LdapReplicaFailurePerfCollection>
authPerf

This command does not support reset. This command displays performance statistics of Identity Server local authentication.

Example output:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<AuthenticationPerformance>
  <NamedValues>
    <NamedValue name="Provided Authentications" value="2" />
    <NamedValue name="Consumed Authentications" value="3" />
    <NamedValue name="Consumed Authentications Failures" value="6" />
    <NamedValue name="Historical PEAK Logins" value="1"/>
    <NamedValue name="Logouts" value="2"/>
  </NamedValues>
  <LocalAuthDuration historicalMean="106" intervalMean="105">
    <ContractStats name="Name/Password - Form">
      <Historical> <Spectrometer dataPoints="1" totalCount="1" maxDataPoints="500"> <max>100</max> <min>100</min> <mean>100</mean> </Spectrometer> </Historical>
    </ContractStats>
    <ContractStats name="MyTwoContracts">
      <Interval> <Spectrometer dataPoints="1" totalCount="1" maxDataPoints="300"> <max>105</max> <min>105</min> <mean>105</mean> </Spectrometer> </Interval> <Historical> <Spectrometer dataPoints="1" totalCount="1" maxDataPoints="500"> <max>113</max> <min>113</min> <mean>113</mean> </Spectrometer> </Historical>
    </ContractStats>
  </LocalAuthDuration>
</AuthenticationPerformance>
```

22.3.2 Monitoring API for Access Gateway Statistics

For programmatic access to Access Gateway statistics, you require to enable the global advanced option NAGStatsClientIPWhitelist. This option takes a list of IP addresses of servers that can access Access Gateway statistics.

To access the statistics, run the HTTP GET command on the resource: https://<mag-host-name>/mag-stats.

**NOTE:** Frequent requests to get the statistics impact the system’s performance. It is recommended to keep a five minutes interval between every probe for the statistics.
To enable this option:

1. In Administration Console Dashboard, select Devices > Access Gateway Servers > Edit > Advanced Options.
2. Add this line: NAGStatsClientIPWhitelist <ip1> <ip2>.
3. Replace <ip1> and <ip2> with the IP addresses of the servers from which you want to access the statistics.
4. Click OK.

This request displays the following:

- **Https related statistics**
  - Requests received
  - Active requests
- **Server related statistics**
  - Product start time
  - Product up time
  - Product CPU utilization
  - Disk swap (KB)
  - Disk swap used (KB)
  - Memory total (KB)
- **Cache statistics**

**NOTE:** Cache statistics are 0 because they are not implemented currently in the server side.

- Cache stats (KB)
- Cache stats utilization percentage
- Cache hit ratio since last reset
- Cache stats object count
- **Summary Statistics Byte**
  - Total bytes sent to the origin server
  - Total bytes read from the Web server
  - Total bytes sent to the browsers
  - Total bytes received from the browsers
  - Bytes per sec read from the Web server
  - Bytes per sec sent to the browsers
- **Summary Statistics Benefits**
  - Total bytes saved
  - Total bytes saved per second

Example output:

```xml
<?xml version="1.0" encoding="UTF-8"?><MAGStatistics><httpStats> <NamedValues> 
  <NamedValue name="RequestsReceived" value="0" /> <NamedValue name="ActiveRequests" value="1" />
</NamedValues></httpStats><boxStats> <NamedValues> 
  <NamedValue name="ProductStartTime" value="Fri, 27 Jul 2012 11:01:11 GMT"/>
</NamedValues></boxStats></MAGStatistics>
```
value="-294" /> <NamedValue name="DiskSwapKb" value="4088532" /> <NamedValue name="DiskSwapUsedKb" value="0" /> <NamedValue name="MemoryTotalKb" value="7835" />
> </NamedValues></boxStats><cacheStats> <NamedValues>
> <NamedValue name="cacheStatsKb" value="0" />
> <NamedValue name="cacheStatsUtilPercentage" value="0" />
> <NamedValue name="cacheHitRatioSinceReset" value="0" />
> </NamedValues></cacheStats><summaryStatsByte> <NamedValues>
> <NamedValue name="TotalBytesSentToOriginServer" value="0" />
> <NamedValue name="TotalBytesReadFromWS" value="0" />
> <NamedValue name="TotalBytesSentToBrowsers" value="0" />
> <NamedValue name="TotalBytesReceivedFromBrowsers" value="0" />
> <NamedValue name="BytesPsecReadFromWS" value="0" />
> <NamedValue name="BytesPsecSentToBrowsers" value="0" />
> </NamedValues></summaryStatsByte><summaryStatsBenefits> <NamedValues>
> <NamedValue name="TotalBytesSaved" value="0" />
> <NamedValue name="TotalBytesSavedPerSecond" value="0" />
> </NamedValues></summaryStatsBenefits><summaryStatsRequests> <NamedValues>
> <NamedValue name="TotalRequestsPSecBrowsers" value="0" />
> <NamedValue name="PeakTotalRequestsPSecBrowsers" value="1" />
> <NamedValue name="TotalRequestsPSecOriginServer" value="0" />
> <NamedValue name="PeakTotalRequestsPSecOriginServer" value="0" />
> <NamedValue name="CurrentTotalRequestsToOriginServer" value="0" />
> <NamedValue name="CurrentTotalRequestsReceivedFromBrowser" value="1" />
> <NamedValue name="FailedRequestsToWS" value="0" />
> </NamedValues></summaryStatsRequests><summaryStatsConnections> <NamedValues>
> <NamedValue name="CurrentConnectionsBrowser" value="10" />
> <NamedValue name="CurrentConnectionsBackend" value="0" />
> <NamedValue name="TotalConnectionsBrowser" value="28" />
> <NamedValue name="TotalConnectionsBackend" value="0" />
> <NamedValue name="PeakConnectionsBrowser" value="6" />
> <NamedValue name="PeakConnectionsBackend" value="0" />
> <NamedValue name="FailedConnectionsBackend" value="0" />
> </NamedValues></summaryStatsConnections></MAGStatistics>
Monitoring Component Command Status

Commands are issued to a component when you make configuration changes and when you select an action such as stopping or starting that component. The command status only displays the commands of certificates that are associated with a device.

Certain commands, such as start and stop, retry up to 10 times before they fail. The first few retries are spaced a few minutes apart, then they move to 10-minute intervals. These commands can take over an hour to result in a failure. As long as the command is in the retry cycle, the command has a status of pending.

- If you do not want to wait for the cycle to complete, you need to manually delete the command.
- If you enter the same command and it succeeds before the first command has completed its retry cycle, the first command always stays in the pending state. You need to manually delete the command.

The Command Status page lists scheduled events and the current status of each event. A new command appears in the list each time you change a configuration. The commands remain listed until you delete them.

This section discusses the following topics:

- Section 23.1, “Viewing the Command Status of Identity Server,” on page 973
- Section 23.2, “Viewing the Command Status of Access Gateway,” on page 974
- Section 23.3, “Viewing the Command Status of the Analytics Server,” on page 976
- Section 23.4, “Reviewing the Command Status for Certificates,” on page 977

23.1 Viewing the Command Status of Identity Server

This section describes the following tasks related to commands:

- Section 23.1.1, “Viewing the Status of Current Commands,” on page 973
- Section 23.1.2, “Viewing Detailed Command Information,” on page 974

23.1.1 Viewing the Status of Current Commands

1. In Administration Console Dashboard, click Devices > Identity Servers.
2. Click the Command Status link for the server.
3. To delete a command, select it and click Delete.
4. Click Refresh to refresh the display.
The following table describes the columns on the Command Status page:

<table>
<thead>
<tr>
<th>Column Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Lists Identity Server name.</td>
</tr>
<tr>
<td>Status</td>
<td>Lists the status of each server.</td>
</tr>
<tr>
<td>Type</td>
<td>Displays type of command issued to the server.</td>
</tr>
<tr>
<td>Admin</td>
<td>Displays the credentials of the administrator who performed the command.</td>
</tr>
<tr>
<td>Date &amp; Time</td>
<td>The date and time that the command was issued. Date and time entries are specified in the local time.</td>
</tr>
</tbody>
</table>

### 23.1.2 Viewing Detailed Command Information

To view information about an individual command:

2. Click the name of a command to get detailed information. The following information is displayed:
   - **Name**: Identity Server name.
   - **Type**: The type of command issued to the server.
   - **Admin**: The distinguished name of the admin user who performed the command.
   - **Status**: The status of the server command.
   - **Last Executed On**: The date and time that the command was executed.
3. To determine if any problems occurred, view the Command Execution Details section.
   - For a command that fails because Administration Console cannot communicate with Identity Server, the page displays the following additional fields:
     - **Number of Tries**: Specifies the number of times the command was executed.
     - **Command Try Log**: Lists each try and the results.
4. Select one of the following actions:
   - **Delete**: To delete a command, click Delete. Click OK in the confirmation dialog box.
   - **Refresh**: To update the current cache of recently executed commands, click Refresh.
5. Click Close to return to the Command Status page.

### 23.2 Viewing the Command Status of Access Gateway

This section describes the following tasks related to commands:

- **Section 23.2.1, “Viewing the Status of Current Commands,” on page 974**
- **Section 23.2.2, “Viewing Detailed Command Information,” on page 975**

#### 23.2.1 Viewing the Status of Current Commands

2 Select one of the following actions:
   - To view information about a particular command, click the name of a command.
   - To delete a command from the list, select the command, then click Delete.
   - To refresh the status of the listed commands, click Refresh.

3 Click Close.

### 23.2.2 Viewing Detailed Command Information

1 Click Devices > Access Gateways > [Name of Server] > Command Status.
2 Click the name of a command to get detailed information.

The following command information is listed:

- **Name**: Specifies the display name that has been given to the command.
- **Type**: Specifies the type of command.
- **Admin**: Specifies if the system or a user issued the command. If a user issued the command, the DN of the user is displayed.
- **Status**: Specifies the status of the command, and includes such states as Pending, Incomplete, Executing, and Succeeded.
- **Last Executed On**: Specifies when the command was issued. The date and time are displayed in local time. If the command failed, additional information is available.

For a command that Administration Console can successfully send to Access Gateway, the page displays a Command Execution Details section with the name of the command and the command results.

For a command that fails because Administration Console cannot communicate with Access Gateway, the page displays the following additional fields:

- **Number of Tries**: Specifies the number of times the command was executed.
- **Command Try Log**: Lists each try and the results.

3 You can delete a command or update the current cache of recently executed commands.
4 Click Close to return to the Command Status page.
23.3 Viewing the Command Status of the Analytics Server

This section describes the following tasks related to commands:

- Section 23.3.1, “Viewing the Status of Current Commands,” on page 976
- Section 23.3.2, “Viewing Detailed Command Information,” on page 976

23.3.1 Viewing the Status of Current Commands


<table>
<thead>
<tr>
<th>Column Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Specifies name of the command. Click the link to view additional details about the command. For more information, see Section 23.2.2, “Viewing Detailed Command Information,” on page 975.</td>
</tr>
<tr>
<td>Status</td>
<td>Specifies status of the command. Some of the possible states of the command include Pending, Incomplete, Executing, and Succeeded.</td>
</tr>
<tr>
<td>Type</td>
<td>Specifies the type of command.</td>
</tr>
<tr>
<td>Admin</td>
<td>Specifies if the system or a user issued the command. If a user issued the command, the DN of the user is displayed.</td>
</tr>
<tr>
<td>Date &amp; Time</td>
<td>Specifies the local date and time the command was issued.</td>
</tr>
</tbody>
</table>

2. Select one of the following actions:
   - To view information about a particular command, click the name of a command.
   - To delete a command from the list, select the command, then click Delete.
   - To refresh the status of the listed commands, click Refresh.

3. Click Close.

23.3.2 Viewing Detailed Command Information


2. Click the name of a command to get detailed information.

   The following command information is listed:
   
   **Name**: Specifies the display name that has been given to the command.
   
   **Type**: Specifies the type of command.
   
   **Admin**: Specifies whether the system or a user issued the command. If a user issued the command, the field contains the DN of the user.
   
   **Status**: Specifies the status of the command, and includes such states as Pending, Incomplete, Executing, and Succeeded.
   
   **Last Executed On**: Specifies when the command was issued. The date and time are displayed in local time. If the command failed, additional information is available.

   For a command that fails because Administration Console cannot communicate with the Analytics Server, the page displays the following additional fields:
Number of Tries: Specifies the number of times the command was executed.

Command Try Log: Lists each try and the results.

You can delete a command or update the current cache of recently executed commands.

Click Close to return to the Command Status page.

23.4 Reviewing the Command Status for Certificates

The command status displays only commands of certificates that are associated with a device. You can view the status of the commands that have been sent to the certificate server for execution.

1. In Administration Console Dashboard, click Security > Certificates, then click Command Status.

2. Use the following options to review or change a server’s certificate command status:
   - Delete: To delete a command, select the check box for the command, then click Delete. The selected command is cleared.
   - Refresh: Click Refresh to update the current cache of recently executed commands.
   - Name: Click this box to select all the commands in the list, then click Refresh or Delete.

The following table describes the features on this page:

<table>
<thead>
<tr>
<th>Column Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Contains the display name of the command. Click the link to view additional details about the command.</td>
</tr>
<tr>
<td>Status</td>
<td>Specifies the status of the command. Some of the possible states of the command include Pending, Incomplete, Executing, and Succeeded.</td>
</tr>
<tr>
<td>Type</td>
<td>Specifies the type of server, such as Identity Server or Access Gateway.</td>
</tr>
<tr>
<td>Commands</td>
<td>Specifies the command given, such as Import certificate, or Import trusted root.</td>
</tr>
<tr>
<td>Admin</td>
<td>Specifies if the system or a user issued the command. If a user issued the command, the DN of the user is displayed.</td>
</tr>
<tr>
<td>Date &amp; Time</td>
<td>Specifies the local date and time the command was issued.</td>
</tr>
</tbody>
</table>

3. To review command information, click a link under the Name column.

This page displays status information about the command and allows you to perform the following tasks:

- Refresh: Select this option to refresh the data for this command.
- Delete: Select this option to clear this command.

The following command information is listed:

Name: Specifies the display name that has been given to the command.

Type: Specifies the type of command.

Admin: Specifies whether the system or a user issued the command. If a user issued the command, the field contains the DN of the user.

Status: Specifies the status of the command, and includes such states as Pending, Incomplete, Executing, and Succeeded.

Last Executed On: Specifies when the command was issued. The date and time are displayed in local time. If the command failed, additional information is available.
For a command that Administration Console can successfully process, the page displays a Command Execution Details section with the name of the command and the command results.

4. Click Close.
Monitoring Server Health

You can monitor all components hosted by a server and quickly isolate and correct server issues. The system displays statuses (green, yellow, white, or red) for Access Manager components. You can access the health information at the following places:

- **Dashboard**
  The Dashboard page shows the health status at the component-level.
- **Auditing > Device Health**
  The Device Health page shows the health status for all devices in one list.
- **Devices > [Component]**
  The Servers page for each component provides a health status for each device.
- **Section 24.1, "Health States," on page 979**
- **Section 24.2, "Monitoring Health by Using the Hardware IP Address," on page 980**
- **Section 24.3, "Monitoring Health of Identity Servers," on page 980**
- **Section 24.4, "Monitoring the Health of Access Gateways," on page 982**
- **Section 24.5, "Monitoring the Health of Analytics Server," on page 984**

## 24.1 Health States

The Health page displays the current status of the server. The following states are possible:

<table>
<thead>
<tr>
<th>Icon</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>🟢</td>
<td>A green status indicates that the server has not detected any problems.</td>
</tr>
<tr>
<td>🟢 🟡</td>
<td>A green status with a yellow diamond indicates that the server has not detected any problems but the configuration is not completely up-to-date because commands are pending.</td>
</tr>
<tr>
<td>🟢 ✊</td>
<td>A green status with a red x indicates that the server has not detected any problems but that the configuration might not be what you want because one or more commands have failed.</td>
</tr>
<tr>
<td>🔴</td>
<td>A red status with a bar indicates that the server has been stopped.</td>
</tr>
<tr>
<td>🔴 ✊</td>
<td>A white status with disconnected bars indicates that the server is not communicating with Administration Console.</td>
</tr>
<tr>
<td>🟢 ✓</td>
<td>A yellow status indicates that the server might be functioning sub-optimally because of configuration discrepancies.</td>
</tr>
<tr>
<td>?</td>
<td>A yellow status with a question mark indicates that the server has not been configured.</td>
</tr>
<tr>
<td>✊</td>
<td>A red status with an x indicates that the server configuration might be incomplete or wrong, that a dependent service is not running or functional, or that the server is having a runtime problem.</td>
</tr>
</tbody>
</table>
24.2 Monitoring Health by Using the Hardware IP Address

The Hardware IP Address page allows you to view the devices and agents managed through the selected IP address. You can monitor all of the devices hosted by a server and quickly isolate and correct server issues. The system displays statuses (green, yellow, white, or red) for the Access Manager devices.

1 In Administration Console Dashboard, click Auditing > Device Health.
2 To view information about the health of each installed device, click an IP address.
3 Select one of the following actions:
   - To return to the Device Health page, click Close.
   - To edit the details of a device, click the server name.
   - To view health details, click the Health icon.
   - To view the alerts, click the alerts link.
   - To view device statistics, click the statistics link.
   - To view or configure audit events for the device, click the Edit Events link.

24.3 Monitoring Health of Identity Servers

This section discusses the following topics:

- Section 24.3.1, “Monitoring the Health of an Identity Server,” on page 980
- Section 24.3.2, “Monitoring the Health of a Cluster,” on page 982

24.3.1 Monitoring the Health of an Identity Server

To view detailed health status information for an Identity Server:

1 In Administration Console Dashboard, click Devices > Identity Servers > [Name of Server] > Health.

   The status icon is followed by a description that explains the significance of the current state. For more information about the icons, see Section 24.1, “Health States,” on page 979.

2 To ensure that the information is current, select one of the following:
   - Click Refresh to refresh the page with the latest health available from Administration Console.
   - Click Update from Server to send a request to Identity Server to update its status information. This can take a few minutes.
3 Examine the Services Detail section that displays the status of each service. For an Identity Server, this includes information such as the following:

<table>
<thead>
<tr>
<th>Status Category</th>
<th>If not healthy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Status</strong>: Indicates whether Identity Server is online and operational.</td>
<td>Verify whether Identity Server has been stopped or is not configured. Also verify that network problems are not interfering with communications between Identity Server and Administration Console.</td>
</tr>
<tr>
<td><strong>Services</strong>: Indicates the general health of all configured services.</td>
<td>If one service is unhealthy, this category reflects that status. See the particular service that also displays an unhealthy status.</td>
</tr>
<tr>
<td><strong>Identity Server Configuration</strong>: Indicates the status of the configuration.</td>
<td>Configure Identity Server or assign the server to a configuration. See Section 3.3, &quot;Setting up User Stores for Identity Server Configuration,&quot; on page 54</td>
</tr>
<tr>
<td><strong>Configuration Datastore</strong>: Indicates the status of the installed configuration datastore.</td>
<td>You might need to restart Tomcat or reinstall Administration Console.</td>
</tr>
<tr>
<td><strong>User Datastores</strong>: Indicates whether Identity Server can communicate with the user stores, authenticate as the admin user, and find the search context.</td>
<td>Ensure that the user store is operating and configured correctly. You might need to import the SSL certificate for communication with Identity Server. See Section 5.1.1, &quot;Configuring Identity User Stores,&quot; on page 292.</td>
</tr>
<tr>
<td><strong>Signing, Encryption and SSL Connector Keys</strong>: Indicates whether these keystores contain valid a key.</td>
<td>Click Identity Servers &gt; Edit &gt; Security and replace any missing or expired keys.</td>
</tr>
<tr>
<td><strong>System Incoming and Outgoing HTTP Requests</strong>: Appears when throughput is slow. This health check monitors incoming HTTP requests, outgoing HTTP requests on the SOAP back channel, and HTTP proxy requests to cluster members. If one or more requests remain in the queue for over 2 minutes, this health check appears.</td>
<td>Verify that all members of the cluster have sufficient bandwidth to handle requests. If a cluster member is going down, the problem resolves itself as other members of the cluster are informed that the member is down. If a cluster member is slow because it does not have enough physical resources (speed or memory) to handle the load, upgrade the hardware. Check SSL connectivity. Check for expired SSL certificates.</td>
</tr>
<tr>
<td><strong>SSL Communication</strong>: Indicates whether SSL communication is operating correctly. This health check appears only when the SSL communication check fails.</td>
<td>Check the network connection between Identity Server and the auditing server. See “Troubleshooting Novell Audit” (<a href="http://www.novell.com/documentation/novellaudit20/novellaudit20/data/al0lh30.html">http://www.novell.com/documentation/novellaudit20/novellaudit20/data/al0lh30.html</a>).</td>
</tr>
</tbody>
</table>

4 Click Close.
24.3.2 Monitoring the Health of a Cluster

The health page displays the current health of the cluster.


   The status icon is followed by a description that explains the significance of the current state. For more information about the icons, see Section 24.1, “Health States,” on page 979.

2. To ensure that the information is current, click Refresh to refresh the page with the latest health available from Administration Console.

3. To view health details about a specific member of the cluster, click the server’s health icon.

24.4 Monitoring the Health of Access Gateways

This section discusses the following topics:

- Section 24.4.1, “Monitoring the Health of an Access Gateway,” on page 982
- Section 24.4.2, “Monitoring the Health of an Access Gateway Cluster,” on page 984

24.4.1 Monitoring the Health of an Access Gateway

To view detailed health status information of an Access Gateway:


   The status icon is followed by a description that explains the significance of the current state. For more information about these icons, see Section 24.1, “Health States,” on page 979.

2. To ensure that the information is current, select one of the following:
   - Click Refresh to refresh the page with the latest health available from Administration Console.
   - Click Update from Server to send a request to Access Gateway to update its status information. If you have made changes that affect the health of Access Gateway, select this option. Otherwise, it can take up to five minutes for the health status to change.

3. Examine the Services Detail section that displays the status of each service. For an Access Gateway, this includes information such as the following:
   - “Service Categories of Access Gateway Service” on page 982

4. Click Close.

24.4.1.1 Service Categories of Access Gateway Service

<table>
<thead>
<tr>
<th>Service Category</th>
<th>If Not Healthy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reverse Proxy - <code>&lt;Proxy Service Name&gt;</code>: Indicates the general health of all configured proxy services. A separate row is created for each proxy service.</td>
<td>Check the health of the Web server.</td>
</tr>
</tbody>
</table>
## Service Category

<table>
<thead>
<tr>
<th>Service Category</th>
<th>If Not Healthy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AGM - Configuration:</strong> Indicates whether all configuration changes have been applied.</td>
<td>Do the following:</td>
</tr>
<tr>
<td></td>
<td>• To re-push the current configuration, click <strong>Troubleshooting</strong>, select the gateway from the list of the <strong>Current Access Gateway Configurations</strong>, then click <strong>Re-push Current Configuration</strong>.</td>
</tr>
<tr>
<td></td>
<td>• To revert to last applied configuration, click <strong>Devices &gt; Access Gateways &gt; Edit</strong>, then click <strong>Revert</strong>.</td>
</tr>
<tr>
<td>If these options do not fix the problem, view the <strong>Apache error.log</strong> file to discover the cause. The file is located in the following directory:</td>
<td></td>
</tr>
<tr>
<td><strong>TCP Listener - &lt;IP Address:Port&gt;:</strong> Indicates whether Access Gateway Service is listening on the specified port. A separate row is created for each port the Gateway Service is configured to listen on.</td>
<td>Restart the Apache service.</td>
</tr>
<tr>
<td><strong>ApacheGateway.log:</strong> Appears when Access Gateway Service is not healthy. It displays the latest error from the Apache <strong>error.log</strong> file.</td>
<td>For more information about the problem, view the <strong>error.log</strong> file in the following directory:</td>
</tr>
<tr>
<td><strong>Embedded Service Provider Configuration:</strong> Indicates whether Access Gateway has been configured to trust an Identity Server and whether that configuration has been applied.</td>
<td>See Section 3.8.2, “Managing Reverse Proxies and Authentication,” on page 98 for information about assigning an Identity Server configuration to Access Gateway.</td>
</tr>
<tr>
<td>At least one Identity Server must be configured and set up as a trusted authentication source for Access Gateway. A green status indicates that a configuration has been applied; it does not indicate that it is a functioning configuration.</td>
<td></td>
</tr>
<tr>
<td><strong>Configuration Datastore:</strong> Indicates whether the configuration datastore is functioning correctly.</td>
<td>Restore the configuration datastore. See Section 30.1.6, “Repairing the Configuration Datastore,” on page 1034.</td>
</tr>
<tr>
<td><strong>Clustering:</strong> Indicates whether all the cluster members are active and processing requests.</td>
<td>Restart the cluster members that are not active or remove them from the cluster.</td>
</tr>
<tr>
<td><strong>Signing, Encryption and SSL Connector Keys:</strong> Indicates whether these keystores contain a valid key.</td>
<td>Click Access Gateways &gt; Edit &gt; Service Provider Certificates and replace any missing or expired keys.</td>
</tr>
<tr>
<td><strong>System Incoming and Outgoing HTTP Requests:</strong> Appears when throughput is slow. This health check monitors incoming HTTP requests, outgoing HTTP requests on the SOAP back channel, and HTTP proxy requests to cluster members. If one or more requests remain in the queue for over 2 minutes, this health check appears.</td>
<td>Verify that all members of the cluster have sufficient bandwidth to handle requests. If a cluster member is going down, the problem resolves itself as other members of the cluster are informed that the member is down.</td>
</tr>
<tr>
<td></td>
<td>If a cluster member is slow because it does not have enough physical resources (speed or memory) to handle the load, upgrade the hardware.</td>
</tr>
</tbody>
</table>
24.4.2 Monitoring the Health of an Access Gateway Cluster

The Health icon on the cluster row displays the status of the least healthy member of the cluster. For information about the meaning of health icons, see Section 24.1, "Health States," on page 979.

To view details about the status of the cluster:

1. In Administration Console Dashboard, click Devices > Access Gateways.
2. On the cluster row, click the Health icon.
3. To ensure that the information is current, click Refresh.
4. To view specific information about the status of an Access Gateway, click the Health icon in Access Gateway row.

24.5 Monitoring the Health of Analytics Server

This section discusses the following topics:

- Section 24.5.1, “Monitoring the Health of Analytics Server,” on page 984
- Section 24.5.2, “Monitoring the Health of Analytics Server Cluster,” on page 985

24.5.1 Monitoring the Health of Analytics Server

To view detailed health status information of Analytics Server:

1. In Administration Console Dashboard, click Devices > Analytics Servers > [Name of Server] > Health.

   The status icon is followed by a description that explains the significance of the current state. For more information about these icons, see Section 24.1, "Health States," on page 979.
2 To ensure that the information is current, select one of the following:
   • Click **Refresh** to refresh the page with the latest health available from Administration Console.
   • Click **Update from Server** to send a request to Analytics Server to update the status of the health of the server. If you have made changes that affect the health of the Analytics Server, select this option. Otherwise, it can take up to five minutes for the health status to change.

3 Examine the **Services Detail** section that displays the status of each service. For Analytics Server, this includes the following information:

4 Click **Close**.

### 24.5.2 Monitoring the Health of Analytics Server Cluster

The **Health** icon on the cluster row displays the status of the least healthy member of the cluster. For information about the meaning of health icons, see Section 24.1, "Health States," on page 979.

To view details about the status of the cluster:

1 Click **Devices > Analytics Server**.
2 On the cluster row, click the **Health** icon.
3 To ensure that the information is current, click **Refresh**.
4 To view specific information about the status of Analytics Server, click the **Health** icon in the Analytics Server row.
Monitoring Alerts

An alert is generated whenever the system detects a condition that prevents it from performing normal system services. Access Manager components have been programmed to issue alerts to various types of systems (such as a Novell Audit server, a Sentinel server, or a Syslog server) so that the administrator can be informed when significant changes occur that modify Access Manager performance. Alerts can also be configured so that the administrator is informed when significant changes occur.

This section discusses the following topics:

- Section 25.1, “Monitoring Identity Server Alerts,” on page 987
- Section 25.2, “Monitoring Access Gateway Alerts,” on page 987
- Section 25.3, “Monitoring Analytics Server Alerts,” on page 992

25.1 Monitoring Identity Server Alerts

1. In Administration Console Dashboard, click **Devices** > **Identity Servers** > [Name of Server] > **Alerts** tab.
2. To delete an alert from the list, select the check box for the alert, then click **Acknowledge Alert(s).** To remove all alerts from the list, click the **Severity** check box, then click **Acknowledge Alert(s).**
3. Click **Close.**
4. (Optional) To verify that the problem has been solved, click **Identity Servers** > [Name of Server] > **Health** > **Update from Server.**

25.2 Monitoring Access Gateway Alerts

This section discusses the following topics:

- Section 25.2.1, “Viewing Access Gateway Alerts,” on page 988
- Section 25.2.2, “Viewing Access Gateway Cluster Alerts,” on page 988
- Section 25.2.3, “Managing Access Gateway Alert Profiles,” on page 988
- Section 25.2.4, “Configuring an Alert Profile,” on page 989
- Section 25.2.5, “SNMP Profile,” on page 990
- Section 25.2.6, “Configuring a Log Profile,” on page 990
- Section 25.2.7, “Configuring an E-Mail Profile,” on page 991
- Section 25.2.8, “Configuring a Syslog Profile,” on page 991
25.2.1 Viewing Access Gateway Alerts


2. To delete an alert from the list, select the check box for the alert, then click Acknowledge Alert(s). To remove all alerts from the list, click the Severity check box, then click Acknowledge Alert(s).

3. Click Close.

4. (Optional) To verify that the problem has been solved, click Access Gateways > [Server Name] > Health > Update from Server.

25.2.2 Viewing Access Gateway Cluster Alerts


2. Analyze the data displayed in the table.

<table>
<thead>
<tr>
<th>Column</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Server Name</td>
<td>Lists the name of Access Gateway that sent the alert. To view additional information about the alerts for a specific Access Gateway, click the name of an Access Gateway.</td>
</tr>
<tr>
<td>Severe</td>
<td>Lists the number of critical alerts that have been sent and not acknowledged.</td>
</tr>
<tr>
<td>Warning</td>
<td>Lists the number of warning alerts that have been sent and not acknowledged.</td>
</tr>
<tr>
<td>Information</td>
<td>Lists the number of informational alerts that have been sent and not acknowledged.</td>
</tr>
</tbody>
</table>

3. To acknowledge all alerts for an Access Gateway, select the check box for Access Gateway, then click Acknowledge Alert(s). When you acknowledge an alert, you clear the alert from the list.

4. To view information about a particular alert, click the server name.

25.2.3 Managing Access Gateway Alert Profiles

For an Access Gateway, this option allows you to send notification of generated system alerts to Administration Console, to a Syslog server, to a log file, or to a list of e-mail recipients.

1. In Administration Console Dashboard, click Devices > Access Gateways > Edit > Alerts.

2. Select one of the following actions:

   - **New**: To add a new profile, click New. Specify a name for the profile, then click OK. For configuration information, see Section 25.2.4, “Configuring an Alert Profile,” on page 989.

   - **Enable**: To enable a profile, select the check box next to the profile, then click Enable.

   - **Disable**: To disable a profile, select the check box next to the profile, then click Disable.

   - **Delete**: To delete a profile, select the check box next to the profile, then click Delete.
3. Click OK twice.


### 25.2.4 Configuring an Alert Profile

The alert profile determines which alerts are sent and where the alerts are sent.

1. In Administration Console Dashboard, click Devices > Access Gateways > Edit > Alerts > [Name of Profile].

2. Select one or more of the following:
   - **Connection Refused**: Generated when a connection is refused.
   - **Proxy Initialization Failure**: Generated when the Embedded Service Provider fails to initialize.
   - **System Up**: Generated each time Access Gateway is started.
   - **System Down**: Generated each time Access Gateway is stopped.
   - **Configuration Changed**: Generated each time the configuration of Access Gateway is modified.
   - **Failure in Audit, Stopping Services**: Generated when the audit server has failed, and Access Gateway has been configured to stop services.
     
     To configure Access Gateway to continue when auditing services are not available, click Auditing in dashboard, deselect the Stop Services on Audit Server Failure option, then click Apply.

   - **Failure in Audit, Will lose events, but continuing services**: Generated when the audit agent has failed. Access Gateway continues to run, but no audit events are generated.
     
     As a workaround while solving this problem, you can enable proxy service logging (see Section 21.4.2, “Configuring Logging for a Proxy Service,” on page 932). The common and extended log files provide some details on the HTTP traffic.
     
     If you do not want Access Gateway to run without generating events, you need to manually shut down Access Gateway.

   - **Failure in Audit, Server is offline**: Generated when the audit agent is unable to contact the audit server. When this condition occurs, the audit agent uses local caching for the audit events.
     
     Do not allow this condition to continue indefinitely. Access Gateway soon reaches the limits of its local cache. If this happens, events can be lost and Access Gateway might need to stop services.
     

3. Select where you want the alerts sent:
   - **Send to Device Manager**: Select this option to send alerts to Administration Console.
   - **Send to SNMP**: (Access Gateway Service) Select this option to send alerts to an SNMP server. To configure the SNMP server, click the Send to SNMP link. For configuration information, see “SNMP Profile” on page 990.
   - **Send to Log File**: Select this option to send alerts to a log file. To send alerts to a log file, click New, specify a name for the log profile, then click OK. For configuration information, see “Configuring a Log Profile” on page 990.
     
     To enable a log profile, select the profile, then click Enable.
     
     To disable a log profile, select the profile, then click Disable.
To delete a log profile, select the profile, then click Delete. Click OK in the confirmation dialog box.

**Send E-mail Notifications:** Select this option to send alerts through e-mail notifications. To enable e-mail notification click New, specify a name for the e-mail profile, then click OK. For configuration information, see “Configuring an E-Mail Profile” on page 991.

To enable an e-mail profile, select the profile, then click Enable.

To disable an e-mail profile, select the profile, then click Disable.

To delete an e-mail profile, select the profile, then click Delete. Click OK in the confirmation dialog box.

**Send to Syslog:** Select this option to enable Syslog alerts. Click New, specify a name for the Syslog profile, then click OK. For configuration information, see “Configuring a Syslog Profile” on page 991.

To enable a syslog profile, select the profile, then click Enable.

To disable a syslog profile, select the profile, then click Disable.

To delete a syslog profile, select the profile, then click Delete. Click OK in the confirmation dialog box.

4 To enable an alert action profile, select the action profile, click Enable, then click OK.

The action to send the alerts to a log file, to e-mail addresses, or to a syslog file is not performed until the action profile is enabled.

5 On the Alert Profiles page, verify that the alert profile you have created is enabled, then click OK twice.

6 Update Access Gateway.

### 25.2.5 SNMP Profile

1 (Access Gateway Service) To add the IP address of a SNMP server, click New, specify the IP addresses, then click OK.

2 (Optional) To delete an IP address, select the IP address, then click Delete > OK.

3 Select one of the following:
   - Add another profile, continue with Step 3 on page 989.
   - Save your modifications, continue with Step 4 on page 990.

### 25.2.6 Configuring a Log Profile

The **Send to Log File** field displays the name of the log profile you are configuring.

1 Fill in the following fields:
   - **Log File Name:** Specify a name for the log file and a path where the file should be stored.
     You must specify the full path.
     
     `/var/opt/novell/amlogging/logs/`

   - **Max File Size:** Specify a maximum size for the log file in KB. The size can be from 50 to 100000 KB. Specify 0 to indicate that there is no maximum file size.

2 Click OK.
3 Select one of the following:

- To add another profile, continue with Step 3 on page 989.
- To save your modifications, continue with Step 4 on page 990.

### 25.2.7 Configuring an E-Mail Profile

The **Send E-Mail Notifications** field displays the name of the e-mail profile you are configuring.

1 Fill in the following fields:

- **E-mail Recipients:** To add a recipient to the list, click **New**, specify the e-mail address of the recipient, then click **OK**. You can add multiple e-mail addresses. To delete a recipient, select the user's email address, click **Delete**, then click **OK**.
- **Mail Exchange Servers:** To add a mail server, click **New**, specify the IP address or the DNS name of the mail exchange server, then click **OK**. You can add multiple mail exchange servers. To delete a server, select the server, click **Delete**, then click **OK**.

2 Click **OK**.

3 Select one of the following:

- To add another profile, continue with Step 3 on page 989.
- To save your modifications, continue with Step 4 on page 990.

### 25.2.8 Configuring a Syslog Profile

The **Send to Syslog** field displays the name of the Syslog profile you are configuring.

1 Fill in the following field:

- **Facility Name:** Specify a facility name for the Syslog server. It can be any name from local0 to local7. If you specify local0 - local7 as your facility name, the alerts are stored at `/var/log/localmessages`.

2 Click **OK**.

3 Select one of the following:

- To add another profile, continue with Step 3 on page 989.
- To save your modifications, continue with Step 4 on page 990.

To configure the Syslog profile for Access Gateway Service on RedHat Enterprise Linux, use the following procedure:

1 Go to `/etc/rsyslog.conf` file.

2 Add the following under `# Provides UDP syslog reception`

```
$ModLoad imudp.so
$UDPServerRun 514
```

3 Restart the syslog service using one of the following commands:

```
/etc/init.d/rsyslog restart OR rcsyslog start
```
25.3 Monitoring Analytics Server Alerts

This section discusses the following topics:

- Section 25.3.1, “Viewing Analytics Server Alerts,” on page 992
- Section 25.3.2, “Viewing Analytics Server Cluster Alerts,” on page 992

25.3.1 Viewing Analytics Server Alerts

1 In Administration Console Dashboard, click Devices > Analytics Server > [Name of Server] > Alerts.

2 To delete an alert from the list, select the check box for the alert, then click Acknowledge Alert(s). To remove all alerts from the list, click the Severity check box, then click Acknowledge Alert(s).

3 Click Close.

4 (Optional) To verify that the problem has been solved, click Access Gateways > [Server Name] > Health > Update from Server.

25.3.2 Viewing Analytics Server Cluster Alerts

1 In Administration Console Dashboard, click Devices > Analytics Server > [Name of Cluster] > Alerts.

2 Analyze the data displayed in the table.

<table>
<thead>
<tr>
<th>Column</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Server Name</td>
<td>Lists the name of the Analytics Server that sent the alert. To view additional information about the alerts for a specific Analytics Server, click the name of an Analytics Server.</td>
</tr>
<tr>
<td>Severe</td>
<td>Lists the number of critical alerts that have been sent and not acknowledged.</td>
</tr>
<tr>
<td>Warning</td>
<td>Lists the number of warning alerts that have been sent and not acknowledged.</td>
</tr>
<tr>
<td>Information</td>
<td>Lists the number of informational alerts that have been sent and not acknowledged.</td>
</tr>
</tbody>
</table>

3 To acknowledge all alerts for an Analytics Server, select the check box for the Analytics Server, then click Acknowledge Alert(s). When you acknowledge an alert, you clear the alert from the list.

4 To view information about a particular alert, click the server name.
Monitoring Access Manager By Using Simple Network Management Protocol

Administration Console captures all statistics sent by Identity Server and Access Gateway. These statistics sent at periodic intervals, are stored in eDirectory.

You can use any Network Monitoring System (NMS) or an SNMP-enabled client to gather statistics from Administration Console by using Simple Network Management Protocol (SNMP). Simple Network Management Protocol (SNMP) is a network management protocol for network management that collects information from devices on a network. Access Manager supports SNMP v2 for the purpose of monitoring Identity Server and Access Gateway.

**NOTE:** This release of Access Manager does not support SNMP traps.

### 26.1 SNMP Architecture in Access Manager

Access Manager introduces Master Agent, Sub Agent, and a Management Information base (MIB) file to work with any third-party monitoring software using SNMP.

The Master Agent runs as a service in Administration Console and listens to the Sub Agents registered with it. A Sub Agent is a managed device that is registered with the Master Agent and exchanges information with it using TCP port 705. The MIB file contains a hierarchical list of variables and defines the information that is provided by the devices. Each variable in this list is uniquely identified by an OID (Object Identifier) and are read-only in nature.

Administration Console contains both Master Agent and Sub Agent. Master Agent runs as a separate service and the Sub Agents are registered with the Master Agent for monitoring. Administration Console gathers statistics from all devices and acts as a centralized repository for any monitoring tool to access the data by using SNMP. The external NMS contacts Administration Console to get the data about any Identity Server or Access Gateway by using SNMP. For this communication it uses UDP port 161 (by default).

In a clustered Administration Console setup, the devices send statistics to the secondary Administration Console in case the primary Administration Console is down.
This MIB file contains all Identity Server and Access Gateway attributes available to monitor the state of the system. Figure 26-1 on page 994 illustrates how Administration Console uses SNMP to monitor Identity Server and Access Gateway.

If you are installing or upgrading Access Manager on a Linux server, the Master Agent is automatically installed. A Windows server has an in-built SNMP Master Agent, but it does not support the AgentX protocol. The AgentX protocol is used for communication between the Master Agent and Sub Agent. Due to this, if you are installing Access Manager on a Windows server, the Master Agent has to be downloaded and installed manually. For more information about installing the Master Agent on a Windows server, see Section 26.5.2, "Installing and Enabling Monitoring for Access Manager on Windows," on page 998

26.2 Features of Monitoring in Access Manager

In Access Manager 4.0, monitoring using SNMP includes the following features:

- Ability to enable/disable monitoring - By default SNMP is not enabled. You can configure it to enable monitoring for Access Manager components. For details about enabling monitoring, see "Installing and Enabling Monitoring for Access Manager Components" on page 997
- Facility to change port information or IP address of the Master Agent - You can configure the Master Agent to listen on a different port or IP address. The default port is TCP 705.
- Master Agent and Sub Agent architecture support multiple sub agents - The Master Agent - Sub Agent architecture helps you configure additional Sub Agents to be monitored. For example, you can configure a single Master Agent to receive data from Access Manager components, eDirectory as well as SLES Sub-Agents.
- Automatic data synchronization on device addition or removal - The MIB structure is automatically adjusted for dynamic addition or removal of components
- Automatic reconnect to Master Agent - Every time Administration Console is restarted the reconnection to the MasterAgent happens automatically. No manual steps are required.
26.3 Using the Default MIB File with External SNMP Systems

When Access Manager is installed, the NAM.mib file is placed in the opt/novell/devman/share/conf folder. On a Windows server this file is placed in the C:\Program Files (x86)\Novell\Tomcat\webapps\roma\WEB-INF folder.

This MIB file contains textual information of Identity Server and Access Gateway attributes. You can use these attributes to monitor the state of the system. The attributes are uniquely identified by an OID (Object Identifier) or namespace. Figure 26-2 on page 995 shows the hierarchy of a MIB file when viewed with a MIB browser.

*Figure 26-2  The MIB file viewed in a MIB Browser*

Each statistic entry in the MIB file has a corresponding description to help identify the attribute.
Every time a new Identity Server or Access Gateway is added or removed the SNMP data available in Administration Console is updated. If a device is not accessible for some reason, the MIB file (when viewed with a MIB Browser) displays the last reported statistics for all the attributes except for the Health Status of the devices. The Health Status of the devices are updated periodically.

### 26.4 Querying For SNMP Attributes

To query any SNMP attribute the following details are needed:

- IP Address of Administration Console
- The community string name
- The Object Identifier (OID) of the attributeID
- The IP address of the device - Identity Server or Access Gateway.

For example, consider a scenario where you want to query the memory utilization of an Identity Server with IP address 10.0.0.0. The query is issued to Administration Console whose IP address is 192.168.0.0.

You can perform the query either by using the OID or by using the namespace of the object.
If you are using the net-snmp package for monitoring, the equivalent command to retrieve memory utilization details are:

26.4.1 Querying Using the Namespace

```
snmpget -v2c -m /opt/volera/roma/conf/NAM.mib -c netiq 192.168.0.0
.iso.org.dod.internet.private.enterprises.netiq
.mibdoc.namMIB.namStatistics.namComponents.namDevices.identityProvider.idpApplication.idpMemoryTable.idpMemoryEntry.idpMemory.10.0.0.0
```

**NOTE:** You must provide the exact path of the Access Manager mib file.

26.4.2 Querying Using the OID

```
snmpget -v2c -c netiq 192.168.0.0 .1.3.6.1.4.1.1691.2.100.1.1.1.1.1.1.1.1.10.0.0.0
```

In the same manner, you can query values of various attributes supported by Identity Server and Access Gateway.

Using the same example, if you query idpHealthEntry parameter by using the Namespace, the command is:

```
snmpget -v2c -m /opt/volera/roma/conf/NAM.mib -c netiq 192.168.0.0
.iso.org.dod.internet.private.enterprises.netiq.mibdoc.namMIB.namStatistics.namComponents.namDevices.identityProvider.idpApplication.idpMemoryTable.idpMemoryEntry.idpMemory.10.0.0.0
```

The idpApplication parameter is substituted with the idpHealthEntry attribute in the above example.

**NOTE:** You must provide the exact path of the Access Manager mib file.

26.4.2.1 Understanding Return Values of an SNMP Query

When an SNMP query is performed, it retrieves the last fetched data from Administration Console. If the device is down or not reachable a negative value is retrieved.

For example: If you query for the idpHealthyEntry attribute, the value that is returned can be Red, Yellow, Green or NoReport.

**NOTE:** The return value of NoReport indicates a server that is disconnected or unavailable.

26.5 Installing and Enabling Monitoring for Access Manager Components

- Section 26.5.1, “Installing and Enabling Monitoring for Access Manager on Linux,” on page 998
- Section 26.5.2, “Installing and Enabling Monitoring for Access Manager on Windows,” on page 998
26.5.1 Installing and Enabling Monitoring for Access Manager on Linux

1. To install the Master Agent and Sub Agent on Linux, no manual steps are required.

   All packages necessary to monitor Access Manager are automatically installed during upgrade or installation. Administration Console is automatically installed and configured as the Master Agent and the Sub Agents are in turn registered with Administration Console for monitoring.

2. In the opt/novell/devman/share/conf/platform.conf file, traverse to the vcdn module for SNMP. In <stringParam name="enable" value="false">, replace false with true and save the file using :wq!.

   This enables monitoring between Access Manager devices.

   The vcdn module also contains port details. If needed, you can configure the Master Agent to listen on a different port or IP address. The default port is TCP 705.

3. In the snmp-master-agent.conf file, change the community name. The default name is netiq.

   Changing the community name is recommended for security purpose.

4. Start the Master Agent by using the /etc/init.d/novell-snmpd start command.

   If you want to start SNMP automatically after booting the server, perform the following steps:
   1. Start YaST.
   2. Navigate to System > System Services (Run Level) (On SLES 11 SP4).
   Or
   2. Navigate to System > Services Manager (On SLES 12 SP1).
   3. Traverse to novell-snmpd, then press F3 to enable SNMP.
   4. Restart Administration Console using /etc/init.d/novell-ac restart command for the changes to take effect.

5. If you encounter any errors while enabling monitoring, review the platform.0.log file available in the /var/opt/novell/nam/logs/adminconsole/volera folder.

26.5.2 Installing and Enabling Monitoring for Access Manager on Windows

1. On a Windows server, the Master Agent has to be manually installed and configured.

   Download the latest net-snmp package and install it. For downloading binaries, go to Sourceforge (The supported version is 5.6.1.1).

2. Register windows service by running the following command:

   C:\usr\bin\snmpd.exe -register -Lf "C:/usr/log/snmpd.log" -c "C:/Program Files (x86)/Novell/Tomcat/webapps/roma/WEB-INF/conf/snmp-master-agent.conf"

   If you uninstall net-snmp, it is important to unregister. Use the following command to unregister:

   C:\usr\bin\snmpd.exe -unregister -Lf "C:/usr/log/snmpd.log" -c "C:/Program Files (x86)/Novell/Tomcat/webapps/roma/WEB-INF/conf/snmp-master-agent.conf"

3. In the C:\Program Files (x86)\Novell\Tomcat\webapps\roma\WEB-INF\conf\platform.conf file, traverse to the vcdn module.

   In <stringParam name="enable" value="false">, replace false with true. This enables monitoring between Access Manager devices.

   The vcdn module also contains port details. If needed, you can configure the Master Agent to listen on a different port or IP address. The default port is TCP 705.
4 In the `snmp-master-agent.conf` file, change the community name. The default name is `netiq`. Changing the community name is recommended for security purpose.

5 Start the Master Agent by using the net start "Net-SNMP Agent" command.

NOTE: Ensure that you specify the command within quotes to start the Master Agent.

6 Restart Administration Console for the changes to take effect.

7 If you encounter any errors while enabling monitoring, review the logs available in the C:\Program Files (x86)\Novell\log\platform.0.log folder.

If you are on a Windows server, then enabling SNMP monitoring does not update the platform.0.log file.

To enable SNMP Monitoring and ensure platform.0.log file is updated, perform the following steps:

7a Stop Tomcat server.

7b Edit the C:\Program Files (x86)\Novell\Tomcat\webapps\roma\WEB-INF\conf\platform.conf file.

7c Traverse to the end of the `platform.conf` file and locate the last `</vcdnModule>` tag.

7d Add the following content to appear after the last `</vcdnModule>` tag

```
    <vcdnModule name="snmp"
        className="com.volera.vcdn.platform.snmp.SnmpAgentInit"
        sequence="3">
        <stringParam name="enable" value="true"/>
        <stringParam name="masterAgentIp" value="127.0.0.1"/>
        <stringParam name="masterAgentPort" value="705"/>
    </vcdnModule>.
```

Ensure that this content is placed inside the `</vcdnApplicationModule>` tag.

7e Start the Tomcat server.

This ensures that SNMP Monitoring is enabled on a Windows server and the platform.log file is also updated.
Monitoring Access Manager By Using Simple Network Management Protocol
Impersonation

Impersonation enables a help desk user to perform certain actions on behalf of users without knowing their credentials. The help desk user can login on behalf of the user and troubleshoot an issue. This helps the help desk user gain access to the user’s existing configuration and perform the necessary actions required for troubleshooting.

With the help of audit events, the user can view the impersonated logs. This will also help determine the details of the impersonator and impersonatee along with the session details.

Example: Let’s suppose Alice encounters an issue and is unable to access a target application. She reaches out to the help desk user seeking for help. Joe, from the help desk, logs in to Access Manager with his credentials and sends a request to Alice to access her system. When Alice receives the request from Joe, she grants permission. Joe initiates an impersonated session and troubleshoots the issue.

- Section 27.1, “Impersonation Terminology,” on page 1001
- Section 27.2, “Prerequisites,” on page 1001
- Section 27.3, “Enabling Impersonation,” on page 1002
- Section 27.4, “Impersonation Flow,” on page 1002
- Section 27.5, “Implementing Impersonation in Custom Portal Pages,” on page 1003
- Section 27.6, “Audit Event for Impersonation,” on page 1005
- Section 27.7, “Troubleshooting,” on page 1005

27.1 Impersonation Terminology

The following list includes frequently used terms in an Impersonation flow:

- **Impersonator**: A help desk user authorized to perform impersonation on a user’s setup.
- **Impersonatee**: A user whose identity is being impersonated by the help desk user.
- **Impersonated Session**: A user session created for an impersonator to perform impersonation.

27.2 Prerequisites

The following are prerequisites for creating an impersonated session:

- Before initiating an impersonated session, the administrator must create a policy for the session. This policy must be created under Impersonation administrator’s interface. To create a policy, see Section 8.2, “Role Policies,” on page 653.

Example: Let’s suppose a user belongs to the group membership, Access Helpdesk, and creates a role called Access Impersonator. Now create another group of users with role Access Impersonatee and map it to Access Impersonator role. Now, only Access Impersonator can impersonate Access Impersonatee role users.
To initiate impersonation, the Access Impersonator requests impersonation from the Access Impersonatee. Upon approval, the Access Impersonatee clicks the Help Desk Session and is notified that the Impersonation is in progress.

- The Impersonator must have the role that is authorized to perform Impersonation.
- The Impersonatee has to give consent to the impersonator to access the session.

27.3 Enabling Impersonation

To enable impersonation, perform the following tasks:

**NOTE:** Impersonation is only possible on an active user session. If two help desk users initiate an impersonation session for the same end user simultaneously, only the latest request is considered.

1. In Administration Console Dashboard, click Impersonation.
2. Select a cluster from the list.
3. Select Enable impersonation.
4. Under Role Groups, select a Help Desk Role and the corresponding Roles to Impersonate.

27.4 Impersonation Flow

Before initiating the work flow, ensure that Impersonation is enabled. To enable Impersonation, see Section 27.3, “Enabling Impersonation,” on page 1002.

Perform the following tasks to complete an Impersonated session:

**Impersonator Tasks:**

1. Log in to Access Manager as an impersonator.
2. In the user portal page, click <user name> at the top right of the page and then click Start Help Desk Session.
3. Specify the user name and ask for permission.

**NOTE:** Only an impersonator can terminate an active impersonated session.

**Impersonatee Tasks:**

1. Log in to Access Manager as an impersonatee.
2. In the user portal page, click <user name> at the top right of the page and then click Help Desk Session.
3. Approve or Deny the request from impersonator. The impersonator receives the request.

Upon receiving an approval from the impersonatee, the impersonated session is successfully initiated.
27.5  Implementing Impersonation in Custom Portal Pages

This section describes how to customize your custom portal pages for Impersonation. To determine if you have customized Identity Server, see Section 4.1.3, "Customizing Identity Server," on page 204.

To implement the customization in your customized Identity Server, you must understand which files to modify and when to display the modified files.

- Section 27.5.1, "Understanding the Specific JSP Files," on page 1003
- Section 27.5.2, "Determining when to Show the Specific JSP Files," on page 1004

27.5.1  Understanding the Specific JSP Files

Access Manager uses two .jsp files that control how Impersonation functions. You can load these files as stand-alone web pages, or into an iFrame on an existing web page. The files are defined in the following table:

<table>
<thead>
<tr>
<th>File name</th>
<th>Location</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>impersonator.jsp</td>
<td><a href="https://NIDP-hostname:port/nidp/jsp/impersonator.jsp">https://NIDP-hostname:port/nidp/jsp/impersonator.jsp</a></td>
<td>The impersonator.jsp file controls all impersonator actions, including sending a help desk session request to the impersonatee, seeing the status of a help desk session request that has already been sent, canceling a help desk session request, and ending a current help desk session.</td>
</tr>
<tr>
<td>impersonatee.jsp</td>
<td><a href="https://NIDP-hostname:port/nidp/jsp/impersonatee.jsp">https://NIDP-hostname:port/nidp/jsp/impersonatee.jsp</a></td>
<td>The impersonatee.jsp controls all impersonatee actions, including seeing a help session request from the impersonator, approving or denying the request, and seeing whether a previously approved request is active or has ended.</td>
</tr>
</tbody>
</table>

If you have built a custom user portal for your users, there is an additional file you must edit. The file is located on Identity Server at /opt/novell/nids/lib/webapp/jsp/impersonator.jsp. You need to make a change to the default login page (line 218):

```
window.parent.location = "/nidp/portal";
```

You must make a different change depending on if the custom user portal loads as an iFrame or a stand-alone web page.

**iFrame:** You must change "/nidp/portal" to be the full URL of the page that loads when an active impersonation session starts. For example:

```
window.parent.local="URL of the page that loads after an active impersonation session starts"
```

**Stand-alone web page:** You must also change the line to:

```
window.location="URL of the page that loads after an active impersonation session starts"
```
27.5.2 Determining when to Show the Specific JSP Files

You must build logic into your custom web pages of when to show the `impersonator.jsp` file or the `impersonatee.jsp` file for a specific authenticated session. Also, use the following information to build the menu options in the web pages for impersonation.

There is an endpoint in Identity Server that the default User Portal uses to determine which impersonation-related menu items to display for a particular end-user session. The endpoint is located at: https://NIDP-hostname:port/nidp/portal/uiIcons.xml

When you send an HTTP GET request to that endpoint from an authenticated session, it returns XML similar to the following:

```xml
<UIIcons>
  <UIIcon altText="End Help Desk Session..." linkTarget="_top"
    tags="LANDING_PAGE|width=425|type=dialog|height=300" title="End Help Desk Session..."
    url="nidp/jsp/impersonatee.jsp"/>
  <UIIcon altText="Start Help Desk Session..." linkTarget="_top"
    tags="LANDING_PAGE|width=425|type=dialog|height=300" title="Start Help Desk Session..."
    url="nidp/jsp/impersonator.jsp"/>
</UIIcons>
```

Within the `UIIcons` element, there are zero, one, or two child elements named `UIIcon`. The title attribute on those elements is one of the following three strings (if the user's locale indicates English):

**End Help Desk Session**

- When this element is available, the default User Portal displays a menu item with the same name. When a user selects this menu item, it ends impersonation by calling https://NIDP-hostname:port/nidp/app/ilogout.

  **NOTE:** The `impersonator.jsp` file also includes a way to end a current impersonation session, so you do not need to check or act on this particular element if you have implemented this in the `impersonator.jsp` file.

- This element is available only if the Impersonation feature is enabled in Administration Console, and only if the currently authenticated session is an active impersonation session.

- When this element is available, the other two elements: Start Help Desk Session and Help Desk Session are not available.

**Start Help Desk Session**

- When this element is available, the default User Portal displays a menu item with the same name. When a user selects this menu item, the User Portal loads `impersonator.jsp` in an iFrame.

- This element is only available if the Impersonation feature is enabled in Administration Console, the currently authenticated session is not an active impersonation session, and the currently authenticated user has a help desk role (as configured in the Impersonation feature configured in Administration Console).

- When this element is available, the Help Desk Session element is also available.

**Help Desk Session**

- When this element is available, the default User Portal displays a menu item with the same name. When a user selects this menu item, the User Portal loads the `impersonatee.jsp` file in an iFrame.
This element is available only if the Impersonation feature is enabled in Administration Console, and the currently authenticated session is not an active impersonation session.

When this element is available, the Start Help Desk Session element might also be available, if the currently authenticated user has a help desk role (as configured in the Impersonation feature configuration in Administration Console).

27.6 Audit Event for Impersonation

To view the list of audit events, see Section 30.16, “Access Manager Audit Events and Data,” on page 1143.

27.7 Troubleshooting

For troubleshooting information, see Section 30.13, “Troubleshooting Impersonation,” on page 1119.
Back Up and Restore

You can run backup and restore utilities from the command line to back up and restore your Access Manager Appliance configuration. An additional script, Diagnostic Configuration Export, allows you to export your configuration so NetIQ Support can help diagnose possible configuration problems.

For more information about the Diagnostic Configuration Export utility, see Section 30.1.2, “Diagnostic Configuration Export Utility,” on page 1029.

The following sections describe how to back up and restore your Access Manager Appliance configuration, how to export your configuration for NetIQ Support, and how to restore the configuration of Identity Servers and Access Gateways:

- Section 28.2, “Backing Up the Access Manager Appliance Configuration,” on page 1008
- Section 28.3, “Restoring the Access Manager Appliance Configuration,” on page 1009

28.1 How The Backup and Restore Process Works

- Section 28.1.1, “Default Parameters,” on page 1007

28.1.1 Default Parameters

All scripts call the `getparams.sh` script to request the parameters from the user. The `defbkparm.sh` script is created by the Access Manager installation. It contains the default parameters for different options required by the underlying backup and restore utilities. If the entries in this file are commented out, the user is prompted for additional parameters.

28.1.2 The Process

The backup script must be run on the primary Administration Console. It creates a ZIP file that contains all certificates that various devices use and an encrypted LDIF file that contains configuration parameters for all imported devices. You do not need to back up the configuration of individual devices. By backing up the primary Administration Console, you back up the configuration of all Access Manager devices.

The backup script backs up objects in the `ou=accessManagerContainer,o=novell` container. It does not back up the following:

- Admin user account and password
- Delegated administrator accounts, their passwords, or rights
- Policy View user accounts, their passwords, or rights
- Role Based Services (RBS) configuration
- Modified configuration files on the devices such as the `web.xml` file
- Local files installed on devices such as log files
- Custom login pages, custom error pages, or custom messages

You need to perform your own backup of custom or modified configuration files.

For information on how to perform a configuration backup, see Section 28.2, "Backing Up the Access Manager Appliance Configuration," on page 1008.

You need to restore a backup when Administration Console fails. If another device fails, you simply replace the hardware, reinstall the appliance using the IP address of the failed appliance, and the device imports into Administration Console and acquires the configuration of the failed appliance.

If Administration Console fails, you need to restore the configurations you backed up. Replace the hardware and reinstall Administration Console by using the DNS name and IP address of the failed console. Then use the restore utility to restore the certificates and the device configuration. Administration Console notifies all devices that it is online and they resume communicating with it rather than using a secondary console.

28.2 Backing Up the Access Manager Appliance Configuration

1. On the primary Administration Console, change to the utility directory.
   
   /opt/novell/devman/bin

2. Run the following command:
   
   ./ambkup.sh

3. Specify and confirm the Access Manager administration password.

4. Specify a path to save the backup files.

5. Specify a password for encrypting and decrypting private keys, then re-specify it for verification.
   
   You must use the same password for both backup and restore.

6. Press Enter.

**NOTE:** After running the backup script, check the logs to verify that no errors occurred while running the backup script. The log file location is displayed at the end of the script execution.

The backup script creates a ZIP file containing several files including the certificate information. This file contains the following:

- The configurations store’s CA key.
- The certificates contained in the configuration store.
- The trusted roots in the trustedRoots container of the accessManagerContainer object.
- An encrypted LDIF file, containing everything found in the OU=accessManagerContainer,O=novell container.
- A server.xml file containing the Tomcat configuration information for Administration Console.
- A "delegatedusers_list" file containing the details of delegated users.
- A "policyviewusers_list" file containing the details of delegated users.
- A "backup_info" file that contains the basic details of the system on which the backup is being taken.
The trusted roots are backed up in both LDIF and ZIP files. They are added to the ZIP file so that the ZIP file has the complete certificate-related configuration.

**IMPORTANT:** The backup utility prompts you for a location to store the backup file. Select a location from where the backup file will not be deleted when you uninstall the product. The default location is /root/nambkup.

Name of the backup zip file stores some information. Do not change the name.

**NOTE:** Whenever the configuration store contains a Key Material Object (KMO) with a certificate signing request in pending state, the KMO will not be exported by using the amdiagcfg script and not be backed up by using the ambkup script.

**NOTE:** For security purposes, delegated users, policy view users, and users in the trusted and configuration stores are not backed up. You need to recreate them while restoring the configuration. You can find the common name and full name of these users during the restore process or in the files in the zip file.

## 28.3 Restoring the Access Manager Appliance Configuration

The restore script replaces the existing configurations in the configuration database with the configuration in the backup of the configuration store. It should be used to restore configuration data in one of the following scenarios:

- An upgrade failed and you need to return to the configuration before the upgrade.
- You want to return to the backed up configuration because the current modified configuration does not meet your needs.

If the primary Administration Console machine has failed, you have lost both the configuration and the configuration database. To recover from this scenario, you need to do more than restore the configuration.

The restore script cannot be used to move Administration Console to a different platform, even if the new machine is configured to use the same IP address and DNS name. The backup files contain path information that is specific to the operating system.

- Section 28.3.1, "Restoring the Configuration on the Same Appliance for Which Backup Was Taken," on page 1009
- Section 28.3.2, "Restoring the Configuration on a Freshly Installed Appliance with Same IP Address and DNS Settings," on page 1010

**NOTE:** Restore should be made on the same version that was used to take the backup.

### 28.3.1 Restoring the Configuration on the Same Appliance for Which Backup Was Taken

1. Ensure that the zip file created during the backup process is accessible.
2. Log in to as root.
3 Change the current directory to the utility directory: /opt/novell/devman/bin
4 Run the following command:
   ./amrestore.sh
5 Specify and confirm the Access Manager administration password.
6 Specify the path where the backup file is available.
7 Specify the name of the backup file. Do not include the .zip extension.
8 Specify the private key encryption password, then press Enter.
   Confirm the private key encryption password, then press Enter.
9 Wait for the restore process to complete.
10 (Conditional) If you have a secondary appliance installed, reboot the machines.
11 (Conditional) If any devices report certificate errors, you need to re-push the certificates.
   11a Click Troubleshooting > Certificates.
   11b Select the store that is reporting errors, then click Re-push Certificates.
      You can select multiple stores at the same time.
   11c (Optional) To verify that the re-push of the certificates was successful, click Security > Command Status.

28.3.2 Restoring the Configuration on a Freshly Installed Appliance with Same IP Address and DNS Settings

In this scenario, apart from restoring the Administration Console configuration, you need to re-import the device settings too.

1 Ensure that the zip file created during the backup process is accessible.
2 Log in to as root.
3 Change the current directory to the /opt/novell/devman/bin directory.
4 Run the following command:
   ./amrestore.sh
5 Specify and confirm the Access Manager administration password.
6 Specify the path where the backup file is available.
7 Specify the name of the backup file. Do not include the .zip extension.
8 Specify the private key encryption password, then press Enter.
   Confirm the private key encryption password, then press Enter.
9 Wait for the restore process to complete.
10 Change the current directory to the utility directory:
    /opt/novell/devman/jcc
11 Run the following command:
    conf/reimport_nidp.sh jcc
12 Follow the steps to re-import the jcc settings.
   Wait for jcc to start.
13 Run the following command:
    conf/reimport_nidp.sh nidp
13 Follow the steps to re-import Identity Server settings.
   Wait for Identity Server health to turn green. You can check this in the Administration Console Dashboard.

14 Run the following command:
   
   ```bash
   conf/reimport_ags.sh agm
   ```

15 Follow the steps to re-import Access Gateway settings.
   Wait for Access Gateway health to turn green. You can check this in the Administration Console Dashboard.

16 (Conditional) If you have a secondary appliance installed, reboot the machines.

17 (Conditional) If any devices report certificate errors, you need to re-push the certificates.
   
   17a Click Troubleshooting > Certificates.

   17b Select the store that is reporting errors, then click Re-push Certificates.
   You can select multiple stores at the same time.

   17c (Optional) To verify that the re-push of the certificates was successful, click Security > Command Status.
29 Code Promotion

Code Promotion helps you replicate the configuration data of Access Manager from one setup to another. You can export the configuration data as a password-protected encrypted file, then import this file into another Access Manager system and seamlessly replicate the configuration into the target system.

The exported configuration data includes generic Identity Server cluster configuration, customization files, proxy services, protected resources, and policy configuration. The exported data is independent of the device specific data and network specific data. Therefore, you can use Code Promotion to replicate configuration between two Access Manager systems that are in different networks, with a different number of devices, and with different user stores.

- Section 29.1, “How Code Promotion Helps,” on page 1013
- Section 29.2, “Sequence of Promoting the Configuration Data,” on page 1014
- Section 29.3, “Prerequisites,” on page 1014
- Section 29.4, “Limitations,” on page 1015
- Section 29.5, “Configuring Custom File Paths,” on page 1015
- Section 29.6, “Exporting the Configuration Data,” on page 1016
- Section 29.7, “Importing the Configuration Data,” on page 1017
- Section 29.8, “Troubleshooting Code Promotion,” on page 1024

29.1 How Code Promotion Helps

Code promotion helps you seamlessly perform the following activities:

- **Managing multiple Access Manager setups:** When managing multiple Access Manager setups, you may need to replicate the same configuration in all setups.

  For example, you want to test your configuration in a dedicated staging setup and then build a new production setup based on the tested configurations. Or, you maintain multiple staging setups and you want the configuration changes to pass through on these setups before deploying the configuration data to an existing production setup. You do not need to manually replicate the configuration data in other setups.

  Code Promotion provides a mechanism to move the configuration data across Access Manager setups. Code promotion increases efficiency, improves productivity, and in turn reduces costs of managing configurations across environments.

- **Managing different setups by different administrators:** Different administrators can manage different Access Manager environments. Manually replicating the configuration to different setups requires maintenance of a precise list of all changes done on one system and this knowledge must be transferred among administrators. Code Promotion takes all configuration changes and replicates correctly.

- **Replacing or moving physical devices:** You may need to replace physical devices or move them to a different network due to a business decision, such as changing a network infrastructure vendor. For example, you want to move your application to another physical server
or you want to move the application hardware to a different network infrastructure. Code Promotion is independent of network-specific changes and helps you easily transfer the configuration data.

- **Adding devices in the cluster**: You have added a device in a cluster for capacity needs. When you add a device to a cluster, Access Manager applies the customization of that cluster to the device.
- **Adding a new application or path**: You have added a new application or a new path and you want to replicate it to another environment.
- **Adding or modifying a protected resource**: You have added or modified a protected resource and you want to replicate it to another environment.

### 29.2 Sequence of Promoting the Configuration Data

You must move the configuration data in the following sequence:

1. Identity Server configurations, policies configurations, Certificates and Keystores configurations, and Identity Server custom files
2. Access Gateway configurations and Access Gateway custom files

**NOTE**: If you want to import only protected resources or proxy services along with the related Identity Server contracts, you need to import only Access Gateway configuration. Code Promotion also imports Identity Server dependencies.

### 29.3 Prerequisites

Ensure that you have read and implemented the following prerequisites before performing Code Promotion:

- The source server and the target server must have the same version of Access Manager. If you want to export the configuration data from an earlier version of Access Manager, ensure that you first upgrade Access Manager on the source server to the Access Manager version installed on the target server. For more information about how to upgrade Access Manager, see the “Upgrading Access Manager Appliance” in the *NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide*.
- The source server and the target server must run on the same operating system.
- The source server and the target server must have the same model; that is, both must be either Access Manager or Access Manager Appliance.
- Importing configuration data replaces the existing configuration data. Therefore, use the backup option in the Import wizard to preserve a copy of the existing configuration before importing the data.
- Before you import Access Gateway configuration, you must manually create reverse proxies and master proxy services or root proxy service on the target system.
- Each configuration entity that you want to map between source and destination systems should have the same name. Configuration entities include proxy service, protected resource, authentication class, method, contract, and user stores.
- Back up Access Gateway configuration by using the `ambackup` file. For more information, see Chapter 28, “Back Up and Restore,” on page 1007.

The backup option available on the Code Promotion user interface works only for Identity Server configuration.

### 29.4 Limitations

The following list includes limitations in Code Promotion:

- Code Promotion supports export and import of only the generic configuration data. It does not support export and import of the configuration data that vary from one system to another. For example, you cannot export and import network specific configuration, device specific configuration, configuration store, and its replica ring configuration.

- Customization files that you want to import should contain only generic information, not any device-specific information. For example, `server.xml` contains local keystore passwords. Therefore, you should not apply it to all devices.

- For Access Gateway, Code Promotion supports export and import of only proxy services and protected resources along with configured contracts and policies.

- Code Promotion does not support export or import of only custom files.

- Internet Explorer Compatibility View does not support Code Promotion.

- Code Promotion takes a significantly longer time on Windows, especially importing the metadata repository. You must wait until the import process is complete to avoid data corruption.

- Code Promotion does not support export or import of Appmarks configuration data.

### 29.5 Configuring Custom File Paths

Access Manager provides a configurable list of files and directories for Identity Server and Access Gateway to export. This list contains default paths of the most frequently customized files. If you have customized any additional files or you have saved the custom file at any other location instead of the default directory, you must update the path before initiating the export process.

This list also contains paths of customized files for Access Manager installed Windows. You can ignore the paths if your setup is on Linux.

Perform the following steps to configure the custom file paths:

1. In Administration Console Dashboard, click `<user name>` at the top right of the page and then click **Code Promotion > Settings**.
   
   Use this tab only when you export the configuration data. You do not need to make any change in file paths while importing the configuration data.

2. Ensure that the paths are correct. Update the default paths with the actual paths wherever applicable.

**NOTE:** You must provide the complete name of the custom file. Code Promotion does not support wildcard characters in file names. For example:

**Supported:** `/opt/novell/nam/mag/webapps/agm/WEB-INF/config/current/ErrorMessages.xml.en`
Not supported: /opt/novell/nam/mag/webapps/agm/WEB-INF/config/current/ErrorMsgMessages.xml.*

3 Click OK.

NOTE: Ensure that the custom files do not include any system-specific data.

29.6 Exporting the Configuration Data

You can download previously exported configuration files. Access Manager saves these exported files on the primary Administration Console system also at the following location:

/var/opt/novell/novlwww/namconfig

You can delete or back up these files if needed. If you delete these files from the disk, the Code Promotion page does not list them any longer.

The exported configuration data includes:

- Identity Server configuration
  - Cluster configuration
  - Shared Settings
  - Identity Server policies
  - Customization files
  - Risk-based authentication configuration
- Access Gateway configuration
  - Proxy services and protected resources
  - Access Gateway policies
  - Customization files

NOTE: You cannot export configuration of an Access Gateway that is not part of any Access Gateway cluster.

Perform the following steps to export the configuration data:

1 Log in to Administration Console from where you want to export the configuration data.
2 In Administration Console Dashboard, click <user name> at the top right of the page and then click Code Promotion.
3 In the Code Promotion page, click Export Configuration.
4 Based on your requirements, select the configuration to export:

  **Identity Server Configuration:** Exports all clusters, shared settings, keystores, trust stores, and Identity Server policies. You can also select to export Identity Server customization files, if any.

  **Access Gateway Configuration:** Exports proxy services, protected resources, and Access Gateway policies. You can also select to export Access Gateway customization files, if any. Code Promotion exports all Identity Server dependent configurations, such as contracts assigned to protected resources, even though you selected only Access Gateway configuration to export.

If you want to export customization files, select respective devices to export customization files.
**NOTE**

- If you saved a customization file at a location that is not a default location, ensure that you update the file name, directory name, and path before exporting the file. For more information, see Section 29.5, “Configuring Custom File Paths,” on page 1015.
- Code Promotion does not support import or export of only custom files.

5 Click Next.

6 (Optional) Specify a password to encrypt the archived configuration data file.

   You require this password to decrypt the ZIP file while importing configuration data into another environment.

7 Click OK and save on your local system.

### 29.7 Importing the Configuration Data

You can import the configuration data either for Identity Server or for Access Gateway at one time. You need to repeat the process to import the configuration data of each component.

If you are importing the configuration data on a new production environment, you must import Identity Server configuration, and create reverse proxies and master proxy services before importing Access Gateway configuration data.

Import the configuration data only on the primary Administration Console. Importing the configuration data includes the following actions:

- Section 29.7.1, “Uploading Configuration File to Import,” on page 1017
- Section 29.7.2, “Selecting the Component to Import the Configuration Data,” on page 1018
- Section 29.7.3, “Importing Identity Server Configuration Data,” on page 1018
- Section 29.7.4, “Importing Access Gateway Configuration Data,” on page 1019
- Section 29.7.5, “Post-Import Configuration Tasks,” on page 1022

### 29.7.1 Uploading Configuration File to Import

Perform the following steps to import the configuration data:

1 Log in to Administration Console where you want to import the configuration data.

2 In Administration Console Dashboard, click `<user name>` at the top right of the page and then click Code Promotion.

3 In the Code Promotion page, click Import Configuration.

4 Click Browse to import the configuration file.

5 In Decryption Password, specify the password that you used to encrypt the configuration data file. You need this password to extract the contents of the configuration file.

6 (Optional) Select Backup current configuration before import and Backup customization files. This backup helps to roll back your changes if needed. Code promotion encrypts the backup file with the same password that you specified for decryption in Step 5. You can download this backup file from the Code Promotion page.
Code Promotion automatically detects whether the imported ZIP file contains configuration data of Identity Server, Access Gateway, or both. It also checks for any device customization files.

1. Under **Select Configuration To Import**, select the option you need based on your requirements:
   - **Identity Server Configuration**: Select this option to import Identity Server configuration data. Select **Customization Files on Devices** if you want to import Identity Server customization files.
   - **Access Gateway Configuration**: Select this option to import Access Gateway configuration data. Select **Customization Files on Devices** if you want to import Access Gateway customization files.

2. (Only for Access Gateway) Under **Access Gateway Cluster Mapping**, specify the cluster in **Source Cluster** from which you want to export the configuration data and select the cluster in **Target Cluster** in which you want to import the configuration data. You can import configuration data of only one cluster at a time. If you want to import configuration from multiple clusters, run the import process separately for each cluster.

3. Click **Next**.

4. Continue with any one of the following sections based on the configuration you selected to import:
   - **Section 29.7.3, “Importing Identity Server Configuration Data,” on page 1018.**
   - **Section 29.7.4, “Importing Access Gateway Configuration Data,” on page 1019.**

### 29.7.3 Importing Identity Server Configuration Data

Importing Identity Server configuration data includes the following steps:

1. **Uploading Configuration File to Import**
2. **Selecting the Component to Import the Configuration Data**
3. **Importing Identity Server Clusters**
4. **Post-Import Configuration Tasks**

### 29.7.3.1 Importing Identity Server Clusters

1. In the **Import Identity Server Clusters** section, specify the import action for each cluster available in the imported configuration. Select the desired options based on your requirements.

**NOTE**: Importing Identity Server Configuration overwrites the existing Shared Settings on the system with new Shared Settings. However, if any of the existing settings on the target system are not part of the source system configuration, Code promotion will not delete them.
The following table lists examples with Attribute Sets and import action:

<table>
<thead>
<tr>
<th>Imported Attribute Sets</th>
<th>Existing Attribute Sets</th>
<th>Import Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>OIOSAML with five mappings</td>
<td>OIOSAML with two mappings</td>
<td>Replaces OIOSAML set with the imported one. It has five mappings.</td>
</tr>
<tr>
<td>AttrSet1</td>
<td>Not available</td>
<td>Adds AttrSet1.</td>
</tr>
<tr>
<td>No import</td>
<td>AttrSet2 is defined only in the target system</td>
<td>AttrSet2 remains unchanged.</td>
</tr>
</tbody>
</table>

2 In Clusters To Import, select a cluster to configure import settings.

3 Select an action for the selected cluster from Import Action.

   - **Import As New Cluster**: Select this option if you want to import the cluster as a new cluster. Ensure that the new cluster name is different from the existing cluster names defined on that system.

   - **Overwrite Existing Cluster**: Select this option if you want to overwrite the existing cluster with the selected cluster.

**NOTE**: You need to configure the import action for each cluster separately. If the cluster you want to import has only one user store, Code Promotion maps the user store to the default user store of the existing cluster. If the cluster you are importing has multiple user stores, then you must specify how to map them to the user stores of the existing cluster.

4 Click Next.

Continue with Section 29.7.5, “Post-Import Configuration Tasks,” on page 1022.

### 29.7.4 Importing Access Gateway Configuration Data

Code Promotion uses names to associate entities from the source system to the target system. It searches on the source system for names that are part of the import. If it finds Access Gateway entities with the same names, it overwrites these entities. If not available, it creates new entries with the same names from the source system. When Identity Server and policies-specific entities with the same names are available, you can select whether to overwrite these.

If the policy name, policy extension, and proxy service match on the source and target systems, but their type does not match, then the import does not happen.

Code Promotion does not export Access Gateway clusters, reverse proxies, and master proxies. Before importing Access Gateway configuration data, you must manually create clusters, reverse proxies, and master or root proxy services in the target system.

If you want to import Access Gateway protected resources that require Identity Server configuration other than contracts and its dependencies, LDAP attributes, and Shared Secret, you must first import the required Identity Server configuration. For example, for risk-based authentication or OAuth configuration, you need to import relevant Identity Server configuration separately. You can import these configurations manually or by using Identity Server Code Promotion.

**NOTE**: If the reverse proxy in the source system is non-HTTP and in the target system it is HTTPS or vice-versa, ensure that you have tested the configuration before importing. In this case, the import may result in issues if there is any issue in the browser to Access Gateway communication.
Importing Access Gateway configuration data includes the following steps:

1. Uploading Configuration File to Import
2. Selecting the Component to Import the Configuration Data
3. Selecting Proxy Services and Protected Resources to Import
4. Verifying the Component-Specific Configuration Changes
5. Updating Identity Server User Store References
6. Setting Up New Proxy Services in the Target System after Import
7. Post-Import Configuration Tasks

29.7.4.1 Selecting Proxy Services and Protected Resources to Import

When you select a proxy service for import, all protected resources associated with this proxy service are selected automatically. You cannot deselect any protected resources of a selected proxy service for import.

Code Promotion validates the content you want to import into the target system. If there is any issue, it displays validation errors.

Code Promotion imports Access Gateway customization details if you have selected the option. If any issue happens during customization files import, the system displays a message. You can continue or cancel the import process at that point.

To select proxy services and protected resources to import, complete the following steps:

1. The Code Promotion page displays the entire list of proxy services and protected resources from the source setup. Select proxy services and protected resources that you want to import.
2. Click Next. Continue with “Verifying the Component-Specific Configuration Changes” on page 1020.

29.7.4.2 Verifying the Component-Specific Configuration Changes

Verify the details of configuration data that will be newly created and the data that will be overwritten on the destination system after import is complete. A proxy service may have a reference to logging profiles or http rewriter profiles. A protected resource refers to Identity Server contracts and policies. Identity Server contracts in turn refer to authentication class, methods, image sets, and user stores. A policy has a dependency on policy extensions, policy containers, Identity Server LDAP attributes and shared secrets. When you import Access Gateway configuration, all of these dependencies are imported.

**IMPORTANT:** You can import only enabled rewriter and logging profiles, not the disabled profiles.

Regardless of the type of logging profile (common or extended) and rewriter profile (word or character), if the name of the profile is same on both the source and target systems, Code Promotion overwrites the profile.

To verify configuration changes, perform the following steps:

1. Select Access Gateway to verify the details about proxy services, protected resources, rewriter profiles, logging profiles, authentication procedures, and Access Gateway certificates that you are importing.
If you are importing a proxy service to a production setup where the same proxy service exists, the system will not overwrite the following parameters and will retain these:

- Published DNS Name
- Host Header
- Web Server Host Name
- Connect Port
- Web Server List

Access Manager locks Access Gateway cluster and policy containers and releases these only after the import is complete or if you cancel the process before completing import.

2 Select Identity Server to verify the details about Identity Server contracts, methods, classes, LDAP attributes, shared secrets, and images that Code Promotion is importing along with Access Gateway configuration data. Select Overwrite Existing Contracts if you have made any changes in the existing configuration in the source system. Selecting this option overwrites the contracts and their dependencies, such as methods and classes, in the target system. If you do not select to overwrite, Code Promotion does not import the modified configurations to the target system.

3 Select Policy to verify the details about policies, such as policy container and policy extension, that Code Promotion is importing along with Access Gateway configuration data. Code Promotion matches policy containers by names for importing policies. If the names do not match, it creates new policy containers with that name on the target system. Select Overwrite Existing Policies if you have made any change to the existing configuration in the source system. Selecting this option overwrites the policies and its dependencies (such as policy extension, LDAP attribute, and shared secret) in the target system. If you do not select to overwrite, Code Promotion does not import the modified configurations to the target system.

After selecting Overwrite Existing Policies, LDAP attributes and Shared Secret values in Identity Server overview page may change. Verify the details and select Verified again on Identity Server overview page.

4 Select Verified in each section.

5 Click Next. Continue with "Updating Identity Server User Store References" on page 1021.

29.7.4.3 Updating Identity Server User Store References

If you have selected to overwrite a method or you have any new method that refers to a user store, update the reference of the user store of the source system to the user store of the target system. You can see the option to update user store references only when you select to overwrite a method or importing a new method.

You cannot reference the same user store on the target system to multiple user stores on the source system.

If the name of the user store on the source and target systems is the same, then the target system displays only that user store name that you should select.

If you have created a new user store in the source system, Code Promotion imports only the name to the target system. You must add entries manually after completing the import process.
To update the user store reference on the target system, perform the following steps:

1. Select the user store in **Imported User Store** and then select a corresponding user store in the target system under **Existing User Store**. Perform this activity for all imported user stores.

2. Click **Next**.

Continue with "Setting Up New Proxy Services in the Target System after Import" on page 1022.

### 29.7.4.4 Setting Up New Proxy Services in the Target System after Import

To set up new proxy services in the target system, perform the following steps:

1. Specify the following details for all newly created proxy services:

   - **NOTE**: By default, all fields (Published DNS Name, Cookie Domain, Host Header, Web Server Host Name, Web Server List, and Connect Port) contain source system entries.

   **Published DNS Name**: (Only for domain-based proxy services) Specify the DNS name you want the public to use to access your site. This DNS name must resolve to the IP address you set up as a listening address on Access Gateway. The DNS name should be unique and not in use by any other proxy service.

   **Cookie Domain**: Specify the domain for which the cookie is valid. Cookie domain is set as the corresponding master proxy service's cookie domain for domain-based and path-based proxy services. For a virtual proxy service, you can select a cookie domain based on the DNS specified.

   **Host Header**: Specify the name you want to send in the HTTP header to the Web server.

   **Web Server Host Name**: Specify the DNS name of the Web server that Access Gateway should forward to the Web server.

   **Web Server List**: Specify Identity Server address or DNS name of Web servers. You can define it on cluster level. If you want to specify it for an individual server, go to Devices > Access Gateways > Edit > [Name of Reverse Proxy] > [Name of Proxy Service] > Web Servers. You can specify a Web Server Host Name for an individual server. For more information, see Section 3.8.3, "Configuring Web Servers of a Proxy Service," on page 104.

   **Connect Port**: Specify the port that Access Gateway uses to communicate with the Web server.

2. Click **Next**.

3. Click **Finish** when the import process is completed. Continue with "Post-Import Configuration Tasks" on page 1022.

### 29.7.5 Post-Import Configuration Tasks

After importing Identity Server and Access Gateway configuration data, you must perform configurations that are specific to the target system and that are not part of the exported data.

**Tasks after importing Identity Server configuration data**

- After the import process is complete, the system displays a list of certificates that you need to create or import manually and apply. Code promotion imports Identity Server key stores, but you must create the certificates referenced in them on the server where you have imported the configuration data.

- To create certificates, go to Security > Certificates. For more information about how to create certificates, see Section 13, “Creating Certificates,” on page 851.
The new certificate name must exactly match the names listed.

Update Identity Server devices in the modified clusters. Go to Troubleshooting > Certificates and click Re-push certificates, and then update all devices in the cluster.

Configure user stores for the newly added clusters. After the import process is complete, the system displays a list of Identity Server clusters for which you need to configure user stores. Code Promotion creates a placeholder entry for the user store. Code Promotions sets eDirectory as the default user store. You must enter the IP address, search context, and the password for the user stores of the target system. For more information, see Section 5.1.1, “Configuring Identity User Stores,” on page 292.

For a newly added cluster, you need to manually add Identity Server devices to it. This will enable you to use the imported configuration.

Distribute the policy extension JARs to devices in Administration Console under Policy > Extensions. For more information, see “Distributing a Policy Extension” on page 650.

(Conditional) Update service providers with the new metadata. The identity provider certificate is different in the exported and imported systems. Therefore, you must re-import the identity provider metadata to all service providers in that cluster for federation to work. For more information, see “Viewing and Reimporting a Trusted Provider’s Metadata” on page 163.

Code Promotion does not import persistent federation identities and shared secrets. Only Identity Servers in your exported setup and service providers share these. You must configure these on the server after you import the configuration data.

When you add a new node in a cluster and no cache exists, the system takes customization of any active node in that cluster and applies that customization to this node on the target system. Modify the list of customization files to include all files as of the source setup. Otherwise, the customization available on the target system will be applied to the node.

In case of User Attribute Retrieval and Transformation feature, after the import process is complete:

- If a data source entry exists only in staging, then a new entry is created in the production environment. Code Promotion creates a placeholder entry for the data source fields. You must enter the username, password, IP, port, search context for LDAP, and URL of the data source.
- If a data source entry exists in the staging and the production environment, and, if the data source name is the same but has a different data source type, then, the production entry is retained.

Tasks after importing Access Gateway configuration data

- After the import process is complete, the system displays a list of certificates that you need to create or import manually and apply. Proxy key stores are imported, but you must create the certificates referenced in them on the target system.
  - To create certificates, go to Security > Certificates. For more information about how to create certificates, see Section 13, “Creating Certificates,” on page 851. For more information about how to create certificates, see Section 13, “Creating Certificates,” on page 851.
  - The new certificate name must exactly match with names listed.
  - Go to Troubleshooting > Certificates to re-push certificates and then update all devices in the cluster.

- If SSL is enabled between the imported proxy services and the web servers, and you selected to verify the certificate authorities of the web server certificates, then ensure that the web server's trusted roots are added to Access Gateway's proxy trust store.
Go to Troubleshooting > Certificates to re-push certificates and then update all devices in the cluster.

- Configure the user store if you have imported a new user store. Configure or edit the user stores for Identity Server clusters associated with the target Access Gateway cluster.
- Update the following Identity Server dependencies of policies with appropriate Identity Server cluster names and data if any of the policies refer to these:
  - Authentication contract, Liberty user profile, LDAP OU, Roles, LDAP group, credential profile, OAuth scope, and OAuth claims
  - Java data injection modules (these are deprecated)
- If you have imported the policy extensions, distribute the policy extension JARs to the devices in Administration Console under Policy > Extensions and restart Access Gateway. If you imported policy extensions as part of Device Customization, then only restart Access Gateway.

For more information, see “Distributing a Policy Extension” on page 650.

- When you add a new node in a cluster and no cache exists, the system takes customization of any active node in that cluster and applies that customization to this node on the target system. Modify the list of customization files to include all files as of the source setup. Otherwise, the customization available on the target system will be applied to the node.
- If the imported Access Gateway components or policies refer to anything other than the following Identity Server dependencies, then, you must import these dependencies manually by using Identity Server Code Promotion: Contracts, methods, classes, user stores, LDAP attributes, and shared secrets.

29.8 Troubleshooting Code Promotion

See Section 30.8, “Troubleshooting Code Promotion,” on page 1098.
Troubleshooting

The following sections contain information about troubleshooting Access Manager components, features, policies, and certificates:

- Section 30.1, “Troubleshooting Administration Console,” on page 1025
- Section 30.2, “Troubleshooting Access Gateway,” on page 1039
- Section 30.3, “Troubleshooting Identity Server and Authentication,” on page 1058
- Section 30.4, “Troubleshooting Analytics Server,” on page 1077
- Section 30.5, “Troubleshooting Certificate Issues,” on page 1081
- Section 30.6, “Troubleshooting Access Manager Policies,” on page 1085
- Section 30.7, “Troubleshooting MobileAccess,” on page 1096
- Section 30.8, “Troubleshooting Code Promotion,” on page 1098
- Section 30.9, “Troubleshooting the Device Fingerprint Rule,” on page 1102
- Section 30.10, “Troubleshooting Advanced Session Assurance,” on page 1107
- Section 30.11, “Troubleshooting OAuth and OpenID Connect,” on page 1115
- Section 30.12, “Troubleshooting User Attribute Retrieval and Transformation,” on page 1118
- Section 30.13, “Troubleshooting Impersonation,” on page 1119
- Section 30.14, “Troubleshooting Branding,” on page 1120
- Section 30.15, “Using Log Files for Troubleshooting,” on page 1121
- Section 30.16, “Access Manager Audit Events and Data,” on page 1143
- Section 30.17, “Event Codes,” on page 1192

**NOTE:** For information about installation and upgrade troubleshooting, see “Troubleshooting Installation and Upgrade” in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

### 30.1 Troubleshooting Administration Console

This section provides information about general troubleshooting issues found in Administration Console:

- Section 30.1.1, “Global Troubleshooting Options,” on page 1026
- Section 30.1.2, “Diagnostic Configuration Export Utility,” on page 1029
- Section 30.1.3, “Logging,” on page 1030
- Section 30.1.4, “Restoring a Failed Secondary Console,” on page 1030
- Section 30.1.5, “Converting a Secondary Access Manager Appliance into a Primary Appliance,” on page 1031
- Section 30.1.6, “Repairing the Configuration Datastore,” on page 1034
- Section 30.1.7, “Session Conflicts,” on page 1035
30.1.1 Global Troubleshooting Options

The following options allow you to view the status of multiple devices and identify the devices that are not healthy.

- Section 30.1.1.1, “Checking for Potential Configuration Problems,” on page 1026
- Section 30.1.1.2, “Checking for Invalid Policies,” on page 1028
- Section 30.1.1.3, “Checking for Version Conflicts,” on page 1028
- Section 30.1.1.4, “Checking and Terminating User Sessions,” on page 1028
- Section 30.1.1.5, “Checking for Invalid Policies,” on page 1029
- Section 30.1.1.6, “Viewing System Alerts,” on page 1029

30.1.1.1 Checking for Potential Configuration Problems

If your Access Manager Appliance components are not behaving in the way you have configured them to run, you might want to check the system to see if any of the components have configuration or network problems.

1. In Administration Console Dashboard, click Troubleshooting > Configuration.
2. All of the options should be empty, except the Cached Access Gateway Configurations option (see Step 4) and the Current Access Gateway Configurations option (see Step 5). If an option contains an entry, you need to clear it. Select the appropriate action from the following table:
<table>
<thead>
<tr>
<th>Option</th>
<th>Description and Action</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Device Pending with No Commands</strong></td>
<td>If you have a device that remains in the pending state, even when all commands have successfully executed, that device appears in this list. Before deleting the device from this list, check its Command Status. If the device has any commands listed, select the commands, then delete them. Wait a few minutes. If the device remains in a pending state, return to this troubleshooting page. Find the device in the list, then click <strong>Remove</strong>. Administration Console clears the pending state.</td>
</tr>
<tr>
<td><strong>Other Known Device Manager Servers</strong></td>
<td>If a secondary Administration Console is in a non-reporting state, perhaps caused by hardware failure, its configuration needs to be removed from the primary Administration Console. As long as it is part of the configuration, other Access Manager devices try to contact it. If you cannot remove it by running the uninstall script on the secondary Administration Console, you can remove it by using this troubleshooting page. Click <strong>Remove</strong> next to the console that is in the non-reporting state. All references to the secondary Administration Console are removed from the configuration database.</td>
</tr>
<tr>
<td><strong>Access Gateways with Corrupt Protected Resource Data</strong></td>
<td>If you modify the configuration for a protected resource, update Access Gateway with the changes, then review the configuration for the protected resource and the changes have not been applied, the configuration for the protected resource is corrupted. Click <strong>Repair</strong> next to the protected resource that has a corrupted configuration. You should then be able to modify its configuration, and when you update Access Gateway, the changes should be applied and saved.</td>
</tr>
<tr>
<td><strong>Access Gateways with Duplicate Protected Resource Data</strong></td>
<td>After an upgrade, if you get errors related to invalid content for policy enforcement lists, you need to correct them. The invalid elements that do not have an associated resource data element are listed in this section. Click <strong>Repair</strong>.</td>
</tr>
<tr>
<td><strong>Access Gateways with Protected Resources Referencing Nonexistent Policies</strong></td>
<td>Protected resources have problems when policies are deleted before their references to the protected resources are removed. If you have protected resources in this condition, they are listed in this section. Click the <strong>Repair</strong> button to remove these references. Then verify that your protected resources have the correct policies enabled. Click <strong>Access Gateways &gt; Edit &gt; [Name of Reverse Proxy] &gt; [Name of Proxy Service] &gt; Protected Resources</strong>, then change to the Policy View.</td>
</tr>
<tr>
<td><strong>Access Gateways with Invalid Alert Profile References</strong></td>
<td>You can create XML validation errors on your Access Gateway Appliance if you start to create an alert profile (click <strong>Access Gateways &gt; Edit &gt; Alerts &gt; New</strong>), but you do not finish the process. The incomplete alert profile does not appear in the configuration for Access Gateway, so you cannot delete it. If such a profile exists, it appears in the <strong>Access Gateways with Invalid Alert Profile References</strong> list. Click <strong>Remove</strong>. You should then be able to modify its configuration, and when you update Access Gateway, the changes should be applied and saved.</td>
</tr>
<tr>
<td><strong>Devices with Corrupt Data Store Entries</strong></td>
<td>If an empty value is written to an XML attribute, the device with this invalid configuration appears in this list. Click <strong>Repair</strong> to rewrite the invalid attribute values.</td>
</tr>
</tbody>
</table>
3 When you have finished repairing or deleting invalid Access Gateway configurations, click the Access Gateways link, then click Update > OK.

4 (Optional) Verify that all members of an Access Gateway cluster have the same configuration in cache:
   4a Click Troubleshooting > Configuration.
   4b Scroll to the Cached Access Gateway Configuration option.
   4c Click View next to the cluster configuration or next to an individual Access Gateway.
      This option allows you to view Access Gateway configuration that is currently residing in browser cache. If Access Gateway belongs to a cluster, you can view the cached configuration for the cluster as well as the cached configuration for each member. The + and - buttons allow you to expand and collapse individual configurations. The configuration is displayed in XML format.
      To search for particular configuration parameters, you need to copy and paste the text into a text editor.

5 (Conditional) After viewing Access Gateway configuration (see Step 4) and discovering that an Access Gateway does not have the current configuration, select Access Gateway in the Current Access Gateway Configurations section, then click Re-push Current Configuration.

30.1.1.2 Checking for Invalid Policies

The Policies page displays the policies that are in an unusable state because of configuration errors.

1 In Administration Console Dashboard, click Troubleshooting > Policies.
   If you have configured a policy without defining a valid rule for it, the policy appears in this list.
2 Select the policy, then click Remove.

30.1.1.3 Checking for Version Conflicts

The Version page displays all the installed components along with their currently running version. Use this page to verify that you have updated all components to the latest compatible versions. There are two steps to ensuring that your Access Manager Appliance components are running compatible versions:

To view the current version of all Access Manager Appliance devices:

1 In Administration Console Dashboard, click Troubleshooting.
2 Click Version.
   A list of the devices with their version information is displayed. If a device also has an Embedded Service Provider, the version of the Embedded Service Provider is also displayed.

30.1.1.4 Checking and Terminating User Sessions

The User Sessions page helps you to find users logged into your system and also helps to terminate their sessions if required. It displays the active user details for each Identity Server. You can search for a user with the user ID and terminate the session(s).

1 In Administration Console Dashboard, click Troubleshooting > User Sessions.
2 Specify the user ID and click Search. If a match is found, it lists the IP address of Identity Server and its sessions.
3 Click Terminate Sessions to terminate the sessions of the specific user.
NOTE: User details are fetched once per administration session. The last updated date is displayed. To refresh the data, click on Refresh.

For more information about user sessions, see Section 30.3.24, “Terminating an Existing Authenticated User from Identity Server,” on page 1073.

30.1.1.5 Checking for Invalid Policies

The Policies page displays the policies that are in an unusable state because of configuration errors.

1 In Administration Console Dashboard, click Troubleshooting > Policies.
   If you have configured a policy without defining a valid rule for it, the policy appears in this list.
2 Select the policy, then click Remove.

30.1.1.6 Viewing System Alerts

The System Alerts page displays how many unacknowledged alerts have been generated for all the devices imported into this Administration Console.

1 In Administration Console Dashboard, click Alerts.
2 To acknowledge and clear the alerts for a device, select the name of the server, then click Acknowledge Alerts.

The following columns display information about the alerts for each server.

<table>
<thead>
<tr>
<th>Column</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Server Name</td>
<td>Specifies the name of the server receiving alerts. Click the server name to view more information about an alert before acknowledging it.</td>
</tr>
<tr>
<td>Severe</td>
<td>Indicates how many severe alerts have been sent to the server.</td>
</tr>
<tr>
<td>Warning</td>
<td>Indicates how many warning alerts have been sent to the server.</td>
</tr>
<tr>
<td>Informational</td>
<td>Indicates how many informational alerts have been sent to the server.</td>
</tr>
</tbody>
</table>

30.1.2 Diagnostic Configuration Export Utility

In Administration Console, you can create a .ldif file that you can export for diagnostic purposes:

1 Log in as root.
2 On Linux: Change to the /opt/novell/devman/bin directory and run the following command:
   ./amdiagcfg.sh
   On Windows: Go to the C:\Program Files (x86)\Novell\bin directory and run the following command:
   .\amdiagcfg.bat
3 Specify the Access Manager administrator’s password.
4 Specify the path where you want to store the diagnostic file.
5 Specify a name for the diagnostic file. The system adds .xml automatically as file extension.
6 Press Enter.
The Diagnostic Configuration Export utility is almost identical to the backup utility because it also creates a LDIF file with an addition of an XML Dump file. Passwords from the final LDIF file are removed by a program called Strippasswd.

Strippasswd removes occurrences of passwords in the LDIF file and replaces them with empty strings. If you look at the LDIF file, you will see that password strings are blank. You might see occurrences within the file or text that looks similar to password=“String”. These are not instances of passwords, but rather definitions that describe passwords as string types.

With every Access Manager release you must copy the corresponding XML style sheet file (XSL file) to the same directory where the XML file is located. This helps in displaying the information in correct format. The XSL file is located at /opt/novell/devman/bin.

The XML file along with XSL file or LDIF file (if required) can then be sent to NetIQ Support for help in diagnosing configuration problems.

30.1.3 Logging

You can troubleshoot by configuring component logging. Click Devices > Identity Server > Edit > Auditing and Logging.

For more information, see Section 30.15, “Using Log Files for Troubleshooting,” on page 1121.

30.1.4 Restoring a Failed Secondary Console

If a secondary console fails, you need to remove its configuration from the primary console before installing a new secondary console. If the failed console is part of the configuration, other Access Manager Appliance devices try to contact it.

1 On the primary console, click Troubleshooting.

2 In the Other Known Device Manager Servers section, click Remove next to the secondary console that is failed.

3 Remove traces of the secondary console from the configuration datastore:

3a In the Access Manager menu bar, select View Objects.

3b In the Tree view, select novell.

3c Delete all objects that reference the failed secondary console.

You should find the following types of objects:

- SAS Service object with the hostname of the secondary console
- An object that starts with the last octet of the IP address of the secondary console
- DNS AG object with the hostname of the secondary console
- DNS IP object with the hostname of the secondary console
- SSL CertificateDNS with the hostname of the secondary console
- SSL CertificateIP with the hostname of the secondary console

30.1.5 Converting a Secondary Access Manager Appliance into a Primary Appliance

To convert a secondary Access Manager Appliance into a primary Access Manager Appliance, a recent backup of Access Manager Appliance must be available. For information about how to perform a backup, see Section 28.2, “Backing Up the Access Manager Appliance Configuration,” on page 1008. A backup is necessary to restore the certificate authority (CA).

If the failed server holds a master replica of any partition, you must use ndsrepair to designate a new master replica on a different server in the replica list.

This conversion includes the following tasks:

- Section 30.1.5.1, “Shutting Down Primary Access Manager Appliance,” on page 1031
- Section 30.1.5.2, “Changing the Master Replica,” on page 1031
- Section 30.1.5.3, “Restoring CA Certificates,” on page 1032
- Section 30.1.5.4, “Verifying the vcdn.conf File,” on page 1032
- Section 30.1.5.5, “Deleting Objects from the eDirectory Configuration Store,” on page 1033
- Section 30.1.5.6, “Performing Component-Specific Procedures,” on page 1033

30.1.5.1 Shutting Down Primary Access Manager Appliance

If your primary Access Manager Appliance is running, you must log in as the administrator and shut down the service.

Start YaST, click System > System Services (Runlevel), then select to stop the ndsd service.

30.1.5.2 Changing the Master Replica

Changing the master replica to reside on the new primary Access Manager Appliance makes this Access Manager Appliance into the certificate authority for Access Manager. You need to first designate the replica on the new primary Access Manager Appliance as the master replica. Then you need to remove the old primary Access Manager Appliance from the replica ring.

Secondary Administration Console

1. At secondary Access Manager Appliance, log in as root.
2. Change to the /opt/novell/eDirectory/bin directory.
3. Run DSRepair with the following options:
   
   ./ndsrepair -P -Ad
4. Select the one available replica.
5. Select Designate this server as the new master replica.
6. Run ndsrepair -P -Ad again.
7. Select the one available replica.
8. Select View replica ring.
9. Select the name of the failed primary server.
10. Select Remove this server from replica ring.
Specify the DN of the admin user in leading dot notation. For example:

 administrations

Specify password.

Type I Agree when prompted.

Continue with “Restoring CA Certificates” on page 1032.

30.1.5.3  Restoring CA Certificates

Perform the following steps on the machine that you are promoting to be a primary Appliance.

1 Copy your most recent Access Manager Appliance backup files to your new primary Access Manager Appliance.

2 Change to the backup bin directory:

/opt/novell/devman/bin

3 Verify the IP address in the backup file. The IP parameter value should be the IP address of the new Primary Administration Console.

3a Open the backup file:

defbkparm.sh

3b Verify that the value in the IP parameter is the IP address of your new primary console.

3c Save the file.

4 Run the certificate restore script:

sh aminst-certs.sh

5 When prompted, specify the administrator’s password and location of the backup files.

6 Continue with “Verifying the vcdn.conf File” on page 1032.

30.1.5.4  Verifying the vcdn.conf File

Verify whether the vcdn.conf file contains IP address of the new Administration Console. If it contains IP address of the failed primary Administration Console, replace it with the new IP address.

1 Change to the Appliance configuration directory:

opt/novell/devman/share/conf

2 Run the following command in the command line interface to restart Access Manager Appliance:

/etc/init.d/novell-ac restart OR rcnovell-ac restart

3 Continue with “Deleting Objects from the eDirectory Configuration Store” on page 1033.
30.1.5.5 Deleting Objects from the eDirectory Configuration Store

Objects representing the failed primary Access Manager Appliance in the configuration store must be deleted.

1. Log in to the new Administration Console, then click Access Gateways.
2. If the failed primary Appliance’s Access Gateway is the primary server (has the red icon next to it), then change the primary Access Gateway server.
   - 2a Click [Access Gateway cluster name] > Edit.
   - 2b Select a different primary Access Gateway > click Ok > click Close.
   - Ignore any trust store related warnings.
   - 2c Click Update All.
   - Wait until the status becomes current for all except the failed primary Appliance.
3. Click Troubleshooting.
4. In the Other Known Device Manager Servers section, select the old primary Appliance, then click Remove.
5. Remove traces of the failed primary Access Manager Appliance from the configuration datastore:
   - 5a In the Access Manager menu bar, select View Objects.
   - 5b In the Tree view, select novell.
   - 5c Delete all objects that reference the failed primary Access Manager Appliance.
     You should find the following types of objects:
     - SAS Service object with the hostname of the failed primary console
     - An object that starts with the last octet of the IP address of the failed primary console
     - DNS AG object with the hostname of the failed primary console
     - DNS IP object with the hostname of the failed primary console
     - SSL CertificateDNS with the hostname of the failed primary console
     - SSL CertificateIP with the hostname of the failed primary console
     - NCP server object
6. Continue with “Performing Component-Specific Procedures” on page 1033.

30.1.5.6 Performing Component-Specific Procedures

If you have installed the following components, perform the cleanup steps for the component:
- “Third Access Manager Appliance” on page 1033
- “Access Gateway Services” on page 1034

Third Access Manager Appliance

If you installed a third Appliance used for failover, you must manually perform the following steps on that server:

1. Open the vcdn.conf file.
   /opt/novell/devman/share/conf
2. In the file, look for the line that is similar to the following:
In this line, 10.1.1.1 represents the failed primary Appliance IP address.

3 Change this IP address to the IP address of the new primary Appliance.

4 Restart the Access Manager Appliance by entering the following command from the command line interface:

```
/etc/init.d/novell-ac restart OR rcnovell-ac restart
```

### Access Gateway Services

For each Access Gateway Service imported into Administration Console, edit the settings.properties file on Access Gateway if the primary Administration Console was not configured as the Audit Server.

If the primary Administration Console was configured as an Audit Server, you must edit the config.xml file and the settings.properties file on Access Gateway and edit the CurrentConfig and WorkingConfig XML documents in the configuration store on the new primary Administration Console.

1 At Access Gateway Service, log in as the root or the Administrator user.

2 Shut down all Access Gateway Services.

```
/etc/init.d/novell-appliance stop OR rcnovell-appliance stop
```

3 (Conditional) If your audit server was on the primary Administration Console, replace the old IP address with the new primary Administration Console IP address:

3a On the secondary Administration Console Dashboard, click Auditing.

3b In the Server Listening Address field change the IP address to the secondary Administration Console’s IP address.

3c Click Apply > OK.

4 Edit the settings.properties file:

4a Change to the directory and open the file.

```
/opt/novell/devman/jcc/conf
```

4b Change the IP address in the remotengmtip list from the IP address of the failed Appliance to the address of the new primary Appliance.

4c Save and exit.

5 At Access Gateway Service, start all services by entering the following command:

```
/etc/init.d/novell-appliance start OR rcnovell-appliance start
```

6 (Conditional) Repeat this process for each Access Gateway Service that has been imported into Administration Console.

### 30.1.6 Repairing the Configuration Datastore

The configuration datastore is an embedded version of eDirectory. If it becomes corrupted, you can run DSRepair to fix it. Or, you can restore a recent backup. To restore a backup, see Section 28.3, “Restoring the Access Manager Appliance Configuration,” on page 1009.

To run DSRepair:

1 In a browser, enter the following URL.

```
http://<ip_address>:8028/nds
```
Replace <ip_address> with the IP address of your Administration Console.

2 At the login prompt, enter the username and password of the admin user for Administration Console.

The NDS iMonitor application is launched. For more information, see Accessing iMonitor (http://www.novell.com/documentation/edir88/edir88/data/a6i60f7.html).

3 In the View bar, select the Repair icon.

For more information about DSRepair, see the following:
- Click the Help icon.
- Using NdsRepair

### 30.1.7 Session Conflicts

Do not use two instances of the same browser to simultaneously access the same Administration Console. Browser sessions share settings, which can result in problems when you apply changes to configuration settings. However, you can use two different brands of browsers simultaneously, such as Internet Explorer and Firefox to avoid the session conflicts.

### 30.1.8 Unable to Log In to Administration Console

If you experience problems logging in to Administration Console, you might need to restart Tomcat.

1 Restart Tomcat by running this command:
   `/etc/init.d/novell-ac restart OR rcnovell-ac restart`

2 If this does not solve the problem, check the log file:
   `/opt/novell/nam/adminconsole/logs/catalina.out`

3 Check for the following error:

   Error Starting up core services.
   Application manager is Shutting down the Device Manager suite.
   Shutting down Device Manager suite.

4 If you see this error, check the status of eDirectory:

   4a Enter the following command:
      `/etc/init.d/ndsd status`
      If the status command returns nothing, start eDirectory manually.

   4b Enter the following command:
      `/etc/init.d/ndsd start`

   4c Restart Tomcat.

### 30.1.9 Exception Processing IdentityService_ServerPage.JSP

If you see the message Exception processing IdentityService_ServerPage.jsp on the Administration Console, it is an indication that the system has run out of available file handles. You need to use the command line to increase the ulimit value (ulimit -n [new limit]), which sets the number of open file descriptors allowed.

To set this value permanently, you can create the /etc/profile.local file with the ulimit value, such as:
ulimit -n 4096

You can make changes to /etc/security/limits.conf file with a line just to change the limit for a specific user. In this case: novlwwuser. Add the following line:

```
novlwww soft nofile [new limit]
```

### 30.1.10 Backup and Restore Failure Because of Special Characters in Passwords

Administration passwords with special characters such as dollar signs might cause the ambkup utility to fail. The ambkup utility creates a command line for the ICE utility, and the special characters might be interpreted by it. If you must use special characters, and this issue arises, modify the defbkparm file so that the special characters are escaped.

For example, if the administrator's password is mi$$le, then the field `DS_ADMIN_PWD` should be `mi\$$le`.

This file is located in the following directory:

```
/opt/novell/devman/bin/defbkparm.sh
```

### 30.1.11 Unable to Install NMAS SAML Method

When you try to create an Identity Server cluster configuration with an eDirectory user store and with the Install NMAS SAML method option enabled and you have not installed the dependent packages, the following error message is displayed:

```
Warning: Failed to create SAML Affiliate Object
com.novell.security.japi.nmas.LoginMethodModel.getLsmWINNNTStatus()
```

One of the installation requirements for the Administration Console is to install the compat and the libstdc++ packages. On SLES 11, the compat package contains the libstdc++ library. Identity Server also requires the compat package. For more information about installing these packages, see TID 7006437.

### 30.1.12 Incorrect Audit Configuration

If the Audit Events from Access Gateway behind NAT are not seen in the Audit Server, do the following:

Click Auditing In Administration Console Dashboard and verify if values are provided for the Server Listening IP Address, Server Public NAT IP Address, and Port Numbers fields.

**Scenario 1:**

1. If the values are not provided for the Server Listening IP Address, Server Public NAT IP Address, and Port Numbers fields, enter the values, then click Apply.

2. If you change the existing values and click Apply, the following message is displayed:
   
   Step 1: Update all Access Gateways.
   Step 2: To update the configuration files in Administration Console, see the context-sensitive help.
   Step 3: Reboot all servers to start using the new configuration.

3. Click OK.
4 Update Access Gateway whose events are not seen.
5 Restart Access Gateway.

Scenario 2:

1 If Server Listening IP Address, Server Public NAT IP Address and Port Numbers are valid and still have problems, repush the configuration.
2 Change the port number to some invalid port number, then click Apply.

**NOTE:** Do not update or restart Access Gateway as the message indicates.
3 Change the invalid port number again to the valid port number, then click Apply.
The configuration is repushed and works successfully.
4 Update Access Gateway whose events are not seen.
5 Restart Access Gateway.

30.1.13 **Unable to Update Access Gateway Listening IP Address in Administration Console Reverse Proxy**

Administration Console fails to change Access Gateway listening IP address of the Reverse Proxy. The health status of Access Gateway on Administration Console displays failure to start the protected resource with old Listening IP address. However, when protected resource is viewed (Devices > Access Gateways > Access Gateway or Access Gateway Cluster > Proxy), Administration Console displays the new IP Address has been selected as listening IP address of the Reverse Proxy.

To workaround this issue:

1 Click Devices > Access Gateways.
   1a Click the Health icon of Access Gateway that has the problem.
   1b Note the Reverse Proxies that have the problem.
2 Click Devices > Access Gateways > Edit.
3 For each of the Reverse Proxies that have the problem, do the following:
   3a Click Reverse Proxy.
   3b Select the cluster member from the list.
   3c Select the new IP address on which the proxy service will listen to.
   3d Unselect the old IP address on which proxy service was listening to.
   3e Click OK.
   3f An alert is displayed as “Select at least one listening address for the service.”
   3g Click OK.
   3h Again select Listening IP Address.
   3i Click OK.
4 If the update link is enabled, click it. If not, do the following:
   4a Click Edit for the cluster that has problem.
   4b Click the Proxy name link.
   4c Click Proxy service name in the Proxy Service list.
   4d Enter the description and click OK.
After the device command status moves to Succeeded, verify the health status of Access Gateway.

**30.1.14 During Access Manager Appliance Installation Any Error Message Should Not Display Successful Status**

Even after successful installation or upgrade of Access Gateway, the health shows failure in starting ESP. After an fresh import of Access Manager Appliance in Administration Console, Access Gateway Health displays “ESP Failed to initialize : Unable to read <keystorefilelocation>". The keystore file can be Connector, Signing, Encryption or Truststore.

To workaround this issue:

1. On Access Gateway, go to the <keystorefilelocation> location as specified in the health error message.
2. Delete the files indicated in the ESP error message.
3. In Administration Console Dashboard, click Troubleshooting > Certificates.
4. Enable the device that has been deleted in the Access Manager Appliance and it needs to be re-pushed.
5. Click Re-Push Certificate.

**30.1.15 Incorrect Health Is Reported on Access Gateway**

In Administration Console, if the Stop Service on Audit Server Failure option is enabled, Access Gateway services are stopped and show the Health status reports services as down when the Audit server is not functioning or reachable.

If the Stop Service on Audit Server Failure option is disabled, Access Gateway Service comes up but the related Health status still reports the services as being down.

To workaround this issue restart Tomcat.

**30.1.16 Administration Console Does Not Refresh the Command Status Automatically**

The automatic refresh feature to retrieve device health is disabled when total number of Access Gateway devices imported to an Administration Console page is more than 20. This feature is disabled to prevent the performance overhead in getting the health of 20 or more devices simultaneously.

To workaround this issue an administrator can manually refresh the page to get the health status of the devices.

**30.1.17 SSL Communication with Weak Ciphers Fails**

Access Manager supports only the 128-bit SSL communication among Administration Console, Identity Server, and browsers.

If you want to enable the weak ciphers (not recommended), see Section 17.6, “Configuring the SSL Communication,” on page 883.
30.1.18  **Error: Tomcat did not stop in time. PID file was not removed**

While stopping Tomcat for Administration Console, Access Gateway, or Identity Server, you may get this error message:

Tomcat did not stop in time. PID file was not removed.

Ignore this message. Tomcat will be forcibly stopped if it does not stop normally.

30.1.19  **An IP Address for the Other Known Device Manager List Is Missing in the Troubleshooting Page**

If Administration Console is down, the IP address for that console is not visible. To bring up that Administration Console, follow these steps:

1. Run the `sntp -P no -r PRIMARY_IP` command.
2. Run the `/etc/init.d/novell-ac restart OR rcnovell-ac restart` command.

If Administration Console is still not available, follow these steps:

1. Run the `/etc/init.d/ndsd restart` command.
2. Run the `/etc/init.d/novell-ac restart OR rcnovell-ac restart` command.

30.2  **Troubleshooting Access Gateway**

- Section 30.2.1, “Useful Troubleshooting Files,” on page 1040
- Section 30.2.2, “Verifying That All Services Are Running,” on page 1042
- Section 30.2.3, “Troubleshooting SSL Connection Issues,” on page 1044
- Section 30.2.4, “Enabling Debug Mode and Core Dumps,” on page 1044
- Section 30.2.5, “Useful Troubleshooting Tools for Access Gateway Service,” on page 1046
- Section 30.2.6, “Solving Apache Restart Issues,” on page 1046
- Section 30.2.7, “Understanding the Authentication Process of Access Gateway Service,” on page 1048
- Section 30.2.8, “Issue While Accelerating the Ajax Applications,” on page 1055
- Section 30.2.9, “Accessing Lotus-iNotes through Access Gateway Asks for Authentication,” on page 1055
- Section 30.2.10, “Configuration Issues,” on page 1055
- Section 30.2.11, “Cannot Inject a Photo into HTTP Headers,” on page 1056
- Section 30.2.12, “Access Gateway Caching Issues,” on page 1056
- Section 30.2.13, “Issues while Changing Management IP Address in Access Gateway Appliance,” on page 1056
- Section 30.2.14, “Issue While Adding Access Gateway in a Cluster,” on page 1057
30.2.1 Useful Troubleshooting Files

Access Gateway Service consists of two main modules, a Gateway Manager module that runs on top of Tomcat and a Proxy Service module that runs on top of Apache. Figure 30-1 illustrates these modules and the communication paths that Access Gateway Service has with other devices.

**Figure 30-1 Access Gateway Service Modules**

**Proxy Service:** This component runs as an instance of Apache and is responsible for controlling access to the configured protected resources on web servers. Low-level errors are reported in the Apache logs. Some higher-level errors are also reported to the files in the `amlogging/logs` directory.

**ESP:** The Embedded Service Provider is responsible for handling all communications with Identity Server and is responsible for the communication that verifies the authentication credentials of users. Log entries for this communication process, including errors, are logged in the `catalina.out` file and the `stdout.log` file.

**ActiveMQ:** This module is used for real-time communication between Administration Console and the Proxy Service. Errors generated from the Gateway Manager to the ActiveMQ module are logged to the Tomcat logs. Errors generated from the Proxy Service to the ActiveMQ module are logged to the Apache error logs.

**JCC:** The Java Communication Controller is the interface to Administration Console. It handles health, statistics, configuration updates, and purge cache requests from Administration Console. It is also responsible for certificate management. Errors generated between the JCC module and the Gateway Manager are logged to the `ags_error.log` file. Errors generated between Administration Console and the JCC module are logged to the `jcc-0.log` file.
**Gateway Manager:** This module is responsible for handling communication from JCC to the Proxy Service. It also writes the configuration commands to the Apache configuration files and the Proxy Service configuration file on disk. Errors generated while performing these tasks are logged to the `ags_error.log` file.

For more information about these various log files, see the following:

- Section 30.2.1.1, “Apache Logging Options for Gateway Service,” on page 1041
- Section 30.2.1.2, “Access Gateway Service Log Files,” on page 1042

### 30.2.1.1 Apache Logging Options for Gateway Service

The Proxy Service module of Access Gateway Service is built on top of Apache as an Apache application. This module handles the browser requests for access to resources and is responsible for sending authorized requests to the Web servers. Entries for these events are logged to the Apache log files.

/var/log/novell-apache2/

For more information, see sections “Ignoring Some Standard Messages” on page 1041 and Section 21.4.1, “Managing Access Gateway Logs,” on page 931.

### Ignoring Some Standard Messages

Apache cannot detect the proper use of domain-based multi-homing with wildcard certificates, which allows multiple proxy services to share the same SSL port. If you create reverse proxy services that are configured for domain-based multi-homing with SSL, Apache considers this a possible port conflict and logs it as a warning in the `error.log` file.

The error messages look similar to the following:

```
```

```
```

You can ignore these errors because Access Gateway Service knows how to handle the traffic and send the packets to the correct proxy service.

For more information about Apache log files, see “Log Files” (http://httpd.apache.org/docs/2.2/logs.html).

### Modifying the Logging Level for the Apache Logs

If the Apache error log file does not contain enough information, you can modify the log level and the types of messages written to the file.

**WARNING:** If you set the log level to debug, the size of the file can grow quickly, consume all available disk space, and crash the system. If you change the log level, you need to carefully monitor available disk space and the size of the error log file.
To modify what is written to the Apache error log file:

1. Change to the Apache configuration directory.
   
   /etc/opt/novell/apache2/conf

2. Open the httpd.conf file.

3. Find the LogLevel directive and set it to one of the following:
   
   debug, info, notice, warn, error, crit, alert, emerg

4. Save the file.

5. Restart Apache:
   
   /etc/init.d/novell-apache2 restart OR rcnovell-apache2 restart

6. (Optional) If you set the level to debug and the log file still does not supply enough information, see Section 30.2.4, “Enabling Debug Mode and Core Dumps,” on page 1044.

### 30.2.1.2 Access Gateway Service Log Files

See Section 21.5.3, “Access Gateway Appliance and Access Gateway Service Logs,” on page 942. You can gather these log files into a single zip file:

### 30.2.2 Verifying That All Services Are Running

1. Log in to the server as the root user.

2. Verify that the ActiveMQ service is running by entering the following command:

   ```
   ps -fea | grep novell-activemq | grep -v "grep"
   ```

   Lines similar to the following are displayed:

   ```
   ```

3. Verify that one or more Apache proxy services are running by entering the following command:

   ```
   ps -ef | grep httpd
   ```

   Lines similar to the following are displayed:

   ```
   root 2983 30290 0 12:53 pts/0 00:00:00 egrep httpd
   root 3163 1 0 May12 ? 00:00:29 /opt/novell/apache2/sbin/httpd
   wwwrun 3165 3163 0 May12 ? 00:01:00 /opt/novell/apache2/sbin/httpd
   wwwrun 3184 3163 0 May12 ? 00:00:01 /opt/novell/apache2/sbin/httpd
   wwwrun 3188 3163 0 May12 ? 00:00:01 /opt/novell/apache2/sbin/httpd
   ```

4. Verify that the user session cache service is running by entering the following command:

   ```
   ps -ef | grep novell-agscd
   ```
Verify that the Tomcat service is running by entering the following command:

```
pss -ef | grep catalina.base
```

When you are familiar with the services, you can use the following command to display information about all the services:

```
pss -ef | egrep "novell-activemq|novell-agscd|/opt/novell/devman/jcc/conf/run.sh|catalina.base|httpd"
```

If one or more services are not running, use the following commands to start the services:

```
/etc/init.d/novell-jcc start OR rcnovell-jcc start
/etc/init.d/novell-apache2 start OR rcnovell-apache2 start
/etc/init.d/novell-agscd start
/etc/init.d/novell-activemq start OR rcnovell-activemq start
/etc/init.d/novell-mag start OR rcnovell-mag start
```

If a service does not start, view the log files to determine the cause. See the following:

- Section 30.2.6, “Solving Apache Restart Issues,” on page 1046
- "Access Gateway Service Log Files" on page 1042
30.2.3 **Troubleshooting SSL Connection Issues**

SSL handshakes fail when there is a discrepancy between the cipher suites and cipher strengths used by the clients and the servers. If you enable SSL connections between Access Gateway and the browser or between Access Gateway and the Web servers, you need to make sure that both sides are configured to support the same cipher suites and cipher strengths. This is especially important if you enable the options to enforce 128-bit encryption (see “Configuring TCP Listen Options for Clients” on page 136).

Access Gateway Service relies upon Apache to perform the SSL handshake, and Apache does not log the cause of SSL handshake failures, even when the log level is set to debug. To determine whether cipher strengths are the source of your problem, disable the options to enforce 128-bit encryption (see “Configuring TCP Listen Options for Clients” on page 136). If users are then able to authenticate, verify the cipher strengths, which are configured for the browsers and for the Web servers, are compatible with Access Gateway.

30.2.4 **Enabling Debug Mode and Core Dumps**

If the log files are not generating enough information to identify the cause of a problem, you can run Access Gateway Service in debug mode. You should not be running in debug mode except when you are trying to isolate a problem because of the following side effects:

- Debug mode causes the size of the log files to grow quickly. They can grow large enough to consume all available disk space and crash the system. When running in debug mode, you need to carefully monitor available disk space and the size of the log files.
- Debug mode opens additional ports. Anyone who has local access to Access Gateway machine can see the information displayed in the following local URLs:
  - http://127.0.0.1:8181/server-status
  - http://127.0.0.1:8181/server-info
- Debug mode causes load and response times to slow.

Debug mode enables core dumps, X-Mag headers in LAN traces, and increases log levels by enabling advanced option in Access Gateway configuration. For example LogLevel debug. This will set apache log level to debug in the `error_log` file.

You can generate core dumps in the following two ways:

1. Start `/etc/init.d/novell-apache2` in debug mode. When there is a crash, core file will be created as `/var/cache/novell-apache2/core`.
2. Without starting `novell-apache2` in debug mode, perform the following:
   1. Set `ulimit -c unlimited` in `/etc/init.d/novell-apache2` startup script.
   2. You can create the core directory under `/tmp`. Choose the file path based on the availability of disk space. Give the following command to create a directory in Access Gateway component:
      ```bash
      # mkdir -p /tmp/apache2-gdb-dump
      # chown novlwww:www /tmp/apache2-gdb-dump
      # chmod 0777 /tmp/apache2-gdb-dump
      ```
   3. Set permission as follows:
      ```bash
      # chown novlwww:www /tmp/apache2-gdb-dump
      # chmod 0777 /tmp/apache2-gdb-dump
      ```
   4. Add the following advanced option in Access Gateway configuration as follows:
CoreDumpDirectory /tmp/apache2-gdb-dump

2e Apply changes to Access Gateway.

Whenever there is a crash, core file will be created as /tmp/apache2-gdb-dump/core.

For some crashes, the /tmp/debug000.log file is created. For more information about the log, see TID 7011804.

This section describes the following tasks:

- Section 30.2.4.1, “Starting Apache in Debug Mode,” on page 1045
- Section 30.2.4.2, “Examining the Debug Information,” on page 1045
- Section 30.2.4.3, “Disabling Debug Mode,” on page 1045

### 30.2.4.1 Starting Apache in Debug Mode

Use the following commands to start debug mode:

/etc/init.d/novell-apache2 stop OR rcnovell-apache2 stop
/etc/init.d/novell-apache2 start debug OR rcnovell-apache2 start debug

### 30.2.4.2 Examining the Debug Information

1. Examine the Apache error log file or copy it so you can send it to NetIQ Technical Support:
   /var/log/novell-apache2

2. View the information at the local URLs or copy the pages to send to NetIQ Support:
   - http://127.0.0.1:8181/server-status
     This page displays debug information about caching, SSL, workers, and proxy information.
   - http://127.0.0.1:8181/server-info
     This page displays module and configuration information.

3. If a crash occurred, examine the core dump file or copy it so you can send it to NetIQ Technical Support.
   /var/cache/novell-apache2

### 30.2.4.3 Disabling Debug Mode

Use the following commands to disable debug mode:

/etc/init.d/novell-apache2 stop OR rcnovell-apache2 stop
/etc/init.d/novell-apache2 start nodebug OR rcnovell-apache2 start nodebug
30.2.5 Useful Troubleshooting Tools for Access Gateway Service

Table 30-1 describes some of the tools available in Administration Console for solving potential problems:

<table>
<thead>
<tr>
<th>Tool</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Re-push Current Configuration</td>
<td>If you have an Access Gateway that does not seem to be using the current configuration, you can use Administration Console to push the current configuration to Access Gateway. Click Troubleshooting. In the Current Access Gateway Configuration section, select an Access Gateway, then click Re-push Current Configuration.</td>
</tr>
<tr>
<td>Health icon</td>
<td>In Administration Console, click the Health icon to view details about the health of Access Gateway. For more information, see Section 24.4.1, “Monitoring the Health of an Access Gateway,” on page 982.</td>
</tr>
</tbody>
</table>

30.2.6 Solving Apache Restart Issues

When you make configuration changes and update Access Gateway, Administration Console uses the JCC channel to send the configuration changes to Access Gateway. Figure 30-2 illustrates this flow.

Figure 30-2 Sending Configuration Updates to Access Gateway

JCC sends the configuration changes to Access Gateway Manager (AGM), which writes the Apache configuration to disk. Apache is sent a restart command, which causes Apache to read the new configuration, then Apache validates the configuration.

- If the configuration is valid, Apache starts.
- If the configuration is invalid, Apache fails to start.
If Apache fails to start after a configuration change, roll back to the previous configuration. Restore a backup if you have one, or use Administration Console to manually remove the modifications that have caused the problem. If this does not solve the problem, try the following:

- Section 30.2.6.1, “Removing An Advanced Configuration Settings,” on page 1047
- Section 30.2.6.2, “Viewing the Logged Apache Errors,” on page 1047
- Section 30.2.6.3, “Viewing the Errors as Apache Generates Them,” on page 1047
- Section 30.2.6.4, “The ActiveMQ Module Fails to Start,” on page 1048

### 30.2.6.1 Removing An Advanced Configuration Settings

Apache fails to start when it discovers a syntax error in any of the advanced options.

1. Click Devices > Edit > Advanced Options.
2. To reset all options to their default values, delete all options from the text box.
3. Click OK.
   - When you return to the Advanced Options page, all options are set to their default values.
4. Click [Name of Reverse Proxy] > [Name of Proxy Service] > Advanced Options.
5. To reset all options to their default value, delete all options from the text box.
6. Click OK.
   - When you return to the Advanced Options page, all options are set to their default values.
7. Repeat these steps for each proxy service that has advanced options configured.

### 30.2.6.2 Viewing the Logged Apache Errors

Apache generates and logs errors when it fails to start. A summary is displayed on the health page.

1. In Administration Console Dashboard, click Devices > Access Gateways > Health.
   - The page displays a summary of the problem from the Apache error log file. For Access Gateway Service, information from the rcnovell-apache2.out file might also be displayed.
2. To view the entire contents of the Apache error log file, open a terminal window to Access Gateway.
3. Change to the following directory and open the Apache error log file.
   - /var/log/novell-apache2
4. View the contents of the rcnovell-apache2.out file.
5. If you still do not have enough information to solve the configuration problem, continue with “Viewing the Errors as Apache Generates Them” on page 1047.

### 30.2.6.3 Viewing the Errors as Apache Generates Them

Apache allows only a few errors to be sent to log files. To view all the errors, use the following procedure to display the errors in a terminal window.

1. Copy the config.xml file in the current directory to a temporary location. Access Gateway allows only one XML file to reside in the current directory.
   - /opt/novell/nam/mag/webapps/agm/WEB-INF/config/current
2 Copy the XML file from the pending directory to the current directory and rename it config.xml. The file in the pending directory has a long numeric name.

3 Change the ownership of the file from root to novlwww:novlwww.

4 Use one of the following commands to restart Tomcat:
   /etc/init.d/novell-mag restart OR rcnovell-mag restart

5 Restart Apache by using the following command:
   /etc/init.d/novell-apache2 restart OR rcnovell-apache2 restart
   Apache uses the terminal window to write the errors it discovers as it tries to process the config.xml file.

6 At Administration Console, fix the configuration problems, then update Access Gateway.

30.2.6.4 The ActiveMQ Module Fails to Start

The Active MQ module is used for real-time communication between Administration Console and Access Gateway Service. Real-time communication is needed for commands such as purging cache, gathering statistics, and updating health. Figure 30-3 illustrates this communication flow.

Figure 30-3 Real-Time Communication

When the ActiveMQ module fails to start, you cannot apply any configuration changes, and Access Gateway does not set a listener for the configured port.

To start the module, it must be able to resolve the listening IP address to a DNS name. To install an Access Gateway Service, the machine must have a DNS name and the IP address must resolve to this name.

30.2.7 Understanding the Authentication Process of Access Gateway Service

When a user requests access to a protected resource, the request can be in one of the following states:

- No session or cookie is established, because this is the user’s first request.
- The user’s session is a public session because only public resources have been accessed.
- A session is established, the user is authenticated, and the requested resource is from the same cookie domain and uses the same contract.
- A session is established, the user is authenticated, and the requested resource is from the same cookie domain but uses a different contract or the contract has expired.
- A session is established, the user is authenticated, but the request does not have a session cookie because the resource is on a different cookie domain.
- A session no longer exists or does not exist on the proxy servicing the request.

Access Gateway Service must handle these conditions and others as it determines whether it needs to forward a login request to the Embedded Service Provider or use the user’s existing authentication credentials. The following flow charts take you through this process:

- Figure 30-4, “Identifying the Requester,” on page 1050
- Figure 30-5, “Determining the Type of Request,” on page 1051
- Figure 30-6, “Determining the Protection Type Assigned to the Resource,” on page 1053
- Figure 30-7, “Evaluating the Cookie Domain,” on page 1054
These first steps determine whether Access Gateway knows the user that has submitted the request. In decision point 1, Access Gateway checks for a session cookie in the request.

- If the request contains a session cookie, the session cookie needs to be validated. Processing continues with the task in decision point 2.
- If the request does not contain a session cookie, the user is unknown and is assigned as a public user. Access Gateway continues processing with the tasks outlined in Figure 30-5 on page 1051.
When the request contains a session cookie, Access Gateway checks its local user store for a user that matches the session cookie. Each Access Gateway in the cluster maintains its own list of known users.

- If the session cookie matches one of the locally known users, the user is assigned that identity. Access Gateway continues with the tasks outlined in Figure 30-5 on page 1051.
- If the session cookie does not match one of the locally known users, Access Gateway needs to know if one of the other Access Gateways in the cluster knows the user. Processing continues with the task in decision point 3.

Access Gateway queries the session broker to see if one of the other Access Gateways in the cluster knows this user.

- If a match is found, the user is assigned that identity. Access Gateway continues with tasks outlined in Figure 30-5 on page 1051.
- If a match is not found, the user is unknown and is assigned as a public user. Access Gateway continues with the tasks outlined in Figure 30-5 on page 1051.

*Figure 30-5 Determining the Type of Request*

Access Gateway examines the request to determine what type of request it is.
If the request is a cookie broker reply, Access Gateway strips the cookie from the URL and redirects the request to the URL. The redirect is handled as a new request, and this new request flows to the task in decision point 6, where the URL is examined.

If the request is not a cookie broker reply, Access Gateway examines the request to see if it is a cookie broker request. If it is a cookie broker request, Access Gateway determines whether the user is authenticated with the contract required by the protected resource.

- If the user is authenticated, Access Gateway creates a cookie broker reply. This reply is handled as a new request, and flows to the task in decision point 4.
- If the user is not authenticated, the request is redirected to the Embedded Service Provider (ESP). The ESP interacts with Identity Server to authenticate the user. Identity Server, the ESP, and the reverse proxy all maintain authentication information. The ESP returns a new request, which flows to the task in decision point 6, where the URL is examined.

If the URL does not match a URL of a protected resource (PR), Access Gateway returns an HTTP 403 error to the user.

If the URL in the request matches a URL of a protected resource, Access Gateway needs to examine the protection type assigned to the resource. Access Gateway continues with the tasks outlined in Figure 30-6 on page 1053.
You configure a protected resource as a public resource when an authentication procedure/contract is not assigned to the protected resource. In decision point 7, Access Gateway checks to see if a contract has been assigned to the protected resource.

- If the protected resource has not been assigned a contract, Access Gateway is finished with its authentication checks and continues with policy evaluation.
- If the protected resource has been assigned a contract, Access Gateway continues with the task in decision point 8.

For a user to gain access to a resource protected by a contract, the user must have authenticated with that contract, or if the contract is configured for it, the user can authenticate with another contract as long as the contract is of an equal or higher level.

- If the user is authenticated with the required contract, Access Gateway is finished with its authentication checks and continues with policy evaluation.
- If the user is not authenticated with the required contract, Access Gateway continues with the task in decision point 9.
Before the user is prompted for credentials, Access Gateway needs to know whether the protected resource has been enabled for non-redirected login (NRL).

- If the resource has not been configured for non-redirected login, Access Gateway continues with the tasks outlined in Figure 30-7 on page 1054.
- If the resource has been configured for non-redirected login, Access Gateway needs to examine the request for an authentication header and determine whether the header is valid. Processing continues with the tasks outlined in decision points 9a, 9b, and 9c.

If the request does not contain an authentication header, Access Gateway needs to determine how non-redirected login has been configured. On the Authentication Procedure configuration page, you can select to enable the Redirect to Identity Server When No Authentication Header Is Provided option.

- If this option is enabled, Access Gateway continues with the tasks outlined in Figure 30-7 on page 1054.
- If this option is disabled, Access Gateway returns an HTTP 401 unauthorized message.

If the request does contain an authentication header, Access Gateway must verify that the credentials are valid.

- If the authentication credentials are valid, Access Gateway is finished with its authentication checks and continues with evaluating the protected resource for policies.
- If the authentication credentials are not valid, the process is the same as if the request did not contain an authentication header and continues with the task in decision point 9c.

*Figure 30-7  Evaluating the Cookie Domain*

If you have configured your Access Gateway to use multiple domain-based proxy services, you can configure them to share the same cookie domain (domains of development.novell.com and support.novell.com can share the cookie domain of novell.com) or configure them so that they cannot share a cookie domain (domains of a.slc.com and b.provo.com cannot share a cookie domain).
When Access Gateway reaches the task in decision point 10, it has determined that the protected resource requires a contract and that user is not authenticated with that contract.

- If the protected resource is in the same cookie domain, Access Gateway redirects the request to the Embedded Service Provider (ESP). The ESP interacts with Identity Server to authenticate the user. The ESP returns a new request, which flows to the task in decision point 6, where the URL is examined.
- If the protected resource is in a different cookie domain, Access Gateway generates a cookie broker request. This new request flows to the task in decision point 5.

### 30.2.8 Issue While Accelerating the Ajax Applications

If you are accelerating an Ajax application that cannot handle redirect and uses an authentication contract of 5 or 10 min, then increase the contract time out. Ensure that your Ajax application refreshes at an interval of 2 or 5 min. As a best practice, ensure that the Ajax application refresh interval is less than 2/3 of the contract time out.

### 30.2.9 Accessing Lotus-iNotes through Access Gateway Asks for Authentication

This issue is not related to Access Manager. You need to configure authentication in Lotus-iNotes.

For more information about configuring Lotus-iNotes, see section Authentication (http://www.redbooks.ibm.com/redbooks/pdfs/sg246518.pdf) in the iNotes Web Access Deployment and Administration guide.

### 30.2.10 Configuration Issues

If you get pending configuration issues when you apply changes on the device, one of the reasons could be that the soft link for the `certs` folder does not exist.

Enter the following command to check if the soft link exists for the `certs` folder:

```bash
ls -ltrh /opt/novell/apache2/
```

The following output is displayed:

```
lrwxrwxrwx 1 root root   34 2012-03-09 19:43 certs -> /etc/opt/novell/apache2/conf/certs
```

If the soft link does not exist, perform the following steps:

1. Enter the following command:
   ```bash
   ln -sf /etc/opt/novell/apache2/conf/certs opt/novell/apache2/conf/certs
   ```
2. Click Troubleshooting > Certificates.
3. Select the store that is reporting errors, then click Re-push certificates. You can select multiple stores at the same time.
4. (Optional) To verify that the re-push of the certificates was successful, click Security > Command
30.2.11 Cannot Inject a Photo into HTTP Headers

You can use the jpegPhoto LDAP attribute to store your photo in JPEG format. This LDAP attribute is not injecting the image into a custom HTTP header and returns a 400 Bad Request error.

Edit the index.php file and add the following line:

```
<img src="data:image/jpeg;base64,/9j/4....Base64 value got from customheader........"/>
```

30.2.12 Access Gateway Caching Issues

If you have caching issues with inodes, disk space, and cache corruption in Access Gateway, use Apache htcacheclean tool which is used to keep the size of mod_disk_cache’s storage within a certain limit. This tool can run either manually or in daemon mode. When running in daemon mode, it sleeps in the background and checks the cache directories at regular intervals for cached content to be removed.

The htcacheclean utility tool is located at:

**Linux:** /opt/novell/apache2/sbin

The default cache location is:

**Linux:** /var/cache/novell-apache2

Example: To clear 1024 MBytes of cache, run the following command:

```
Linux: ./htcacheclean -v -t -p/var/cache/novell-apache2 -11024M
```

For more information about Apache htcacheclean tool, see htcacheclean - Clean up the disk cache (https://httpd.apache.org/docs/2.2/programs/htcacheclean.html).

30.2.13 Issues while Changing Management IP Address in Access Gateway Appliance

If Access Gateway Appliance has two NICs, a public and a private, it is unable to change the Management IP address of Access Gateway Appliance to a new value. Administration Console connects to the changed IP address, but also tries to connect to the old IP address. The following procedure allows you to change the IP address manually:

1. Stop the AG service /etc/init.d/novell-mag stop
2. Stop the JCC service by using the /etc/init.d/novell-jcc stop command.
5. Change the IP address in /etc/opt/novell/apache2/conf/listen.conf file.
6. Using YaST, change the network IP address.
7. In Administration Console Edir, edit and change the IP address in the following attributes:
   - For a specific Access Gateway device entry:
     1. romaAGDeviceXMLDoc of ou=ag-xxxxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN_Root, ou=accessManagerContainer, o=novell
2. \texttt{romaAGDeviceSAXMLDoc} of ou=ag-xxxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

3. \texttt{romaAGConfigurationXMLDoc} of ou=WorkingConfig, ou=ag-xxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

4. \texttt{romaAGConfigurationXMLDoc} of ou=CurrentConfig ou=ag-xxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

- For the specific Access Gateway (esp)-identity server entry:
  1. \texttt{rolamIDPDeviceSAXMLDoc} of ou=idp-esp-xxxxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

2. \texttt{rolamIDPDeviceXMLDoc} of ou=idp-esp-xxxxxx, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

- For other Access Gateway device entry (if they are in a cluster):
  1. \texttt{romaAGConfigurationXMLDoc} of ou=WorkingConfig, ou=ag-yyyy, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

2. \texttt{romaAGConfigurationXMLDoc} of ou=CurrentConfig, ou=ag-yyyy, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

- For tmp folder entry:
  1. \texttt{romaAGConfigurationXMLDoc} of ou=CurrentConfig, ou=tmp\_zzz, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

2. \texttt{romaAGConfigurationXMLDoc} of ou=WorkingConfig, ou=tmp\_zzz, ou=AppliancesContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN\_Root, ou=accessManagerContainer, o=novell

8. Start Access Gateway service by using the \texttt{/etc/init.d/novell-mag start} command.

9. Start the JCC service by using the \texttt{/etc/init.d/novell-jcc start} command.


### 30.2.14 Issue While Adding Access Gateway in a Cluster

You may get the following error while adding Access Gateway in a cluster:

Unable to read keystore: /opt/novell/devman/jcc/certs/esp/4C06F0AE2EFAED18/signing.keystore

To workaround this issue:

1. Click Troubleshooting > Certificates.

2. Select the store that is reporting errors, then click Re-push certificates.

   You can select multiple stores at the same time.

3. (Optional) To verify that the re-push of the certificates was successful, click Security > Command Status.
30.3 Troubleshooting Identity Server and Authentication

This section provides information about the following topics:

- Section 30.3.1, “Useful Networking Tools for Linux Identity Server,” on page 1059
- Section 30.3.2, “Troubleshooting 100101043 and 100101044 Liberty Metadata Load Errors,” on page 1059
- Section 30.3.3, “Authentication Issues,” on page 1066
- Section 30.3.4, “After Setting Up the User Store to Use SecretStore, Users Report 500 Errors,” on page 1068
- Section 30.3.5, “When Multiple Browser Logout Option Is Enabled, User Is Not GettingLogged Out from Different Sessions,” on page 1069
- Section 30.3.6, “After Consuming a SAML Response, the Browser Is redirected to an Incorrect URL,” on page 1069
- Section 30.3.7, “Configuring SAML 1.1 Identity Provider Without Specifying Port in the Login URL Field,” on page 1069
- Section 30.3.8, “Attributes Are Not Available Through Form Fill When OIOSAML Is Enabled,” on page 1069
- Section 30.3.9, “Issue in Importing Metadata While Configuring Identity Provider or Service Provider Using Metadata URL,” on page 1069
- Section 30.3.10, “Metadata Mentions Triple Des As Encryption Method,” on page 1070
- Section 30.3.11, “Issue in Accessing Protected Resources with External Identity Provider When Both Providers Use Same Cookie Domain,” on page 1070
- Section 30.3.12, “SAML Intersite Transfer URL Setup Does Not Work for Non-brokered Setups after Enabling SP Brokering,” on page 1070
- Section 30.3.13, “Orphaned Identity Objects,” on page 1070
- Section 30.3.14, “Users cannot Log In to Identity Server When They Access Protected Resources with any Contract Assigned,” on page 1071
- Section 30.3.15, “Attribute Query from OIOSAML.SP Java Service Provider Fails with Null Pointer,” on page 1071
- Section 30.3.16, “Disabling the Certificate Revocation List Checking,” on page 1071
- Section 30.3.17, “Step up Authentication for Identity Server Initiated SSO to External Provider Does Not Work Unless It has a Matching Local Contract,” on page 1072
- Section 30.3.18, “Metadata Cannot be Retrieved from the URL,” on page 1072
- Section 30.3.19, “Requesting the Authentication to a Service Provider Fails,” on page 1072
- Section 30.3.20, “SAML 2.0 POST Compression Failure Does not Throw a Specific Error Code,” on page 1072
- Section 30.3.21, “SAML 1.1 Service Provider Re-requests for Authentication,” on page 1072
- Section 30.3.22, “Identity Server Statistics Logs Do Not Get Written In Less Than One Minute,” on page 1073
- Section 30.3.23, “No Error Message Is Written in the Log File When an Expired Certificate Is Used for the X509 Authentication,” on page 1073
- Section 30.3.24, “Terminating an Existing Authenticated User from Identity Server,” on page 1073
30.3.1 Useful Networking Tools for Linux Identity Server

You can use the following tools (Linux and open source) to troubleshoot network problems:

- **netstat**: Displays information related to open ports on your server. Lets you view listeners and various IP addresses, such as the TCP output state.
- **iptables**: Allows you to change the default ports (8080 and 8443) to the standard ports (80 and 443) for HTTP traffic.
- **netcat**: A networking utility that reads and writes data across network connections, using the TCP/IP protocol. Netcat is useful for checking connectivity with the user store.
- **ldapsearch**: An LDAP search tool useful for Administration Console and Identity Server. For example, you can generate an LDAP search/bind matching what Identity Server sends, to confirm whether an issue is with Identity Server JAR files.
- **tcpdump**: A command line tool for monitoring network traffic. Captures and displays packet headers and matches them against a set of criteria.
- **LDAP Browser/Editor**: Lets you export configuration information to a file, and to confirm that Access Manager objects and attribute values are valid in an AccessManagerContainer. A number of open source versions are available from the Internet.

30.3.2 Troubleshooting 100101043 and 100101044 Liberty Metadata Load Errors

Identity Server is the identity provider for other Access Manager components. Access Gateways has Embedded Service Providers. When a device is imported into Administration Console and an Identity Server configuration is selected for them, a trusted relationship is established with Identity Server by using test certificates. When you change these certificates or change from using HTTP to HTTPS, you need to ensure that the trusted relationship is reestablished. Metadata is used for establishing trusted relationships.

The metadata exchanged between service providers and identity providers contains public key certificates, key descriptors for message signing, a URL for the SSO service, a URL for the SLO (single logout) service, and so on. With Access Manager, this metadata is accessible on both Identity Server and the Embedded Service Provider of the device. Errors are generated when either the identity provider could not load the service provider’s metadata (100101043), or the service provider could not load the metadata of the identity provider (100101044).
If users are receiving either of these errors when they attempt to log in, verify the following:

- “The Metadata” on page 1060
- “DNS Name Resolution” on page 1061
- “Certificates in the Required Trust Stores” on page 1062

If these steps do not solve your problem, try the following:

- “Enabling Debug Logging” on page 1063
- “Testing Whether the Provider Can Access the Metadata” on page 1065
- “Manually Creating Any Auto-Generated Certificates” on page 1066
- For information about metadata validation process and the flow of events that occur when accessing a protected resource on Access Gateway, see “Troubleshooting 100101043 and 100101044 Errors in Access Manager” (http://www.novell.com/coolsolutions/appnote/19456.html).

### 30.3.2.1 The Metadata

If you change the base URL of the Identity Provider, all service providers, including Embedded Service Providers, need to be updated so that they use the new metadata:

- “Embedded Service Provider Metadata” on page 1060
- “Service Provider Metadata” on page 1060

#### Embedded Service Provider Metadata

If you change the base URL of the Identity Provider, all Access Manager devices that have an Embedded Service Provider need to be updated so that new metadata is imported. To force a re-import of the metadata, you need to configure the device so it does not have a trusted relationship with Identity Server, update the device, reconfigure the device for a trusted relationship, then update the device. The following steps explain how to force Access Gateway to re-import the metadata of Identity Server.

1. In Administration Console Dashboard, click Devices > Access Gateways > Edit > Reverse Proxies/Authentication.
2. Select None for the Identity Server Cluster option, click OK twice, then update Access Gateway.
3. Click Edit > Reverse Proxies/Authentication.
4. Select an Identity Server configuration for the Identity Server Cluster option, click OK twice, then update Access Gateway.

#### Service Provider Metadata

If you have set up federation with another provider over the Liberty, SAML 1.1, SAML 2.0, or WS Federation protocol and you change the base URL of Identity Server, you need to update the provider with the new metadata to reestablish the trusted relationship. If the provider is another Identity Server, follow the procedure below to update the metadata; otherwise, follow the provider’s procedures.

2. Click Reimport.
3. Follow the steps in the wizard.

For more information, see Section 3.9.7, “Managing Metadata,” on page 163.
30.3.2.2 DNS Name Resolution

When the service provider tries to access the metadata on the identity provider, it sends the request to the hostname defined in the base URL configuration of Identity Server. The base URL in Identity Server configuration is used to build all the metadata end points.

To view the metadata of Identity Server with a DNS name of idpcluster.lab.novell.com, enter the following URL:

https://idpcluster.lab.novell.com:8443/nidp/idff/metadata

Scan through the document and notice the multiple references to https://idpcluster.lab.novell.com/... You should see lines similar to the following:

<md:SoapEndpoint>
   https://idpcluster.lab.novell.com:8443/nidp/idff/soap
</md:SoapEndpoint>

<md:SingleLogoutServiceURL>
   https://idpcluster.lab.novell.com:8443/nidp/idff/slo
</md:SingleLogoutServiceURL>

<md:SingleLogoutServiceReturnURL>
   https://idpcluster.lab.novell.com:8443/nidp/idff/slo_return
</md:SingleLogoutServiceReturnURL>

The Embedded Service Provider of Access Gateway must be able to resolve the idpcluster.lab.novell.com hostname of Identity Server. To test that it is resolvable, send a ping command with the hostname of Identity Server. For example, from Access Gateway:

ping idpcluster.lab.novell.com

The same is true for Identity Server. It must be able to resolve the hostname of Access Gateway. To discover the URL for Access Gateway metadata:

1. In Administration Console Dashboard, click Devices > Access Gateways > Edit > Reverse Proxy/Authentication.
2. View the Embedded Service Provider section.
   The URL of the metadata is displayed in this section.

To view the metadata, enter the displayed URL. Scan through the document and notice the multiple references to the hostname of Access Gateway. You should see lines similar to the following. In these lines, the hostname is ag1.provo.novell.com.

<md:SoapEndpoint>
   http://ag1.provo.novell.com:80/nesp/idff/spsoap
</md:SoapEndpoint>

<md:SingleLogoutServiceURL>
   http://ag1.provo.novell.com:80/nesp/idff/spslo
</md:SingleLogoutServiceURL>

<md:SingleLogoutServiceReturnURL>
   http://ag1.provo.novell.com:80/nesp/idff/spslo_return
</md:SingleLogoutServiceReturnURL>

To test that Identity Server can resolve the hostname of Access Gateway, send a ping command with the hostname of Access Gateway. For example, from Identity Server:

ping ag1.provo.novell.com
To view sample log entries that are logged when a DNS name cannot be resolved, see “The Embedded Service Provider Cannot Resolve the Base URL of Identity Server” on page 1064.

### Certificates in the Required Trust Stores

Ensure that the issuers of Identity Server and Embedded Service Provider certificates are added to the appropriate trusted root containers.

When the server certificates are sent from the identity provider to the service provider client, and from the service provider to the identity provider client, the client needs to be able to validate the certificates. Part of the validation process is to confirm that the server certificate has been signed by a trusted source. By default, well known external trusted certificates are bundled with Access Manager. You can view this list here: Administration Console > Security > Certificates > External Trusted Roots. If the issuer of server certificate is not present in the External Trusted Root list, the import the issuers of the server certificate (intermediate and trusted roots) into the correct trusted root stores:

- The intermediate and trusted roots of the Embedded Service Provider certificate must be imported into the NIDP Trust Store.
- The intermediate and trusted roots of Identity Server certificate must be imported into the ESP Trust Store.

For more information, see Section 13.5, “Importing a Signed Certificate,” on page 857.

If you use certificates generated by Administration Console CA, the trusted root certificate is the same for Identity Server and the Embedded Service Provider. If you are using external certificates, the trusted root certificate might not be the same, and there might be intermediate certificates that need to be imported.

To verify the trusted root certificates:

1. In Administration Console Dashboard, click Security > Certificates.
2. Determine the issuer of Identity Server certificate and the Embedded Service Provider certificate:
   2a. Click the name of Identity Server certificate, note the name of the Issuer, then click Close.
   2b. Click the name of the Embedded Service Provider certificate of Access Gateway, note the name of the Issuer, then click Close.
3. To verify the trusted root for Identity Server, click Devices > Identity Servers > Edit > Security > NIDP Trust Store.
4. In the Trusted Roots section, scan for a certificate subject that matches the issuer of the Embedded Service Provider certificate, then click its name.
   - If the Issuer has the same name as the Subject name, then this certificate is the root certificate.
   - If the Issuer has a different name than the Subject name, the certificate is an intermediate certificate in the chain. Click Close, and ensure that another certificate in the trust store is the root certificate. If it isn’t there, you need to import it and any other intermediate certificates between the one you have and the root certificate.
5. To verify the trusted root for the Embedded Service Provider, click Devices > Access Gateways > Edit > Service Provider Certificates > Trusted Roots.
6 In the Trusted Roots section, scan for a certificate subject that matches the issuer of Identity Server certificate, then click its name.

- If the Issuer has the same name as the Subject name, then this certificate is the root certificate.
- If the Issuer has a different name than the Subject name, the certificate is an intermediate certificate in the chain. Click Close, and ensure that another certificate in the trust store is the root certificate. If it isn’t there, you need to import it and any other intermediate certificates between the one you have and the root certificate.

7 (Optional) If you have clustered your Identity Servers and Access Gateways and you are concerned that not all members of the cluster are using the correct trusted root certificates, you can re-push the certificates to the cluster members.

7a Click Troubleshooting > Certificates.

7b Select the Trust Store of your Identity Servers and Access Gateways, then click Re-push certificates.

7c Update the Identity Severs and Access Gateways.

7d Check the command status of each device to ensure that the certificate was pushed to the device. From Identity Servers page or Access Gateways page, click the Commands link.

To view sample log entries that are logged to the catalina.out file when a trusted root certificate is missing, see “Trusted Roots Are Not Imported into the Appropriate Trusted Root Containers” on page 1064.

30.3.2.4 Enabling Debug Logging

You can enable Identity Server logging to dump more verbose Liberty information to the catalina.out file on both Identity Server and the Embedded Service Provider of Access Gateway.

1 In Administration Console Dashboard, click Devices > Identity Servers > Edit > Auditing and Logging.

2 Select Enabled for File Logging and Echo to Console.

3 In the Component File Logger Levels section, set Application and Liberty to a debug level.

4 Click OK, update Identity Server, then update Access Gateway.

5 After enabling and applying the changes, duplicate the issue to add specific details to the log file for the issue.

If the error is the 100101044 error, look at the log file on the Embedded Service Provider for the error code.

If the error is the 100101043 error, look at the log file on Identity Server for the error code.

On Linux, look at the catalina.out file, and on Windows, look at the stdout.log file.

6 (Conditional) To view the log files from Administration Console, click Auditing > General Logging, then select the file and download it.

7 (Conditional) To view the log files on the device, change to the log directory.

- On Linux, change to the /var/opt/novell/nam/logs/idp directory.
- On Windows Server 2012, change to the /Program Files (x86)/Novell/Tomcat/logs directory.
Below are a few typical entries illustrating the most common problems. They are from the catalina.out file of the Embedded Service Provider:

- “The Embedded Service Provider Cannot Resolve the Base URL of Identity Server” on page 1064
- “Trusted Roots Are Not Imported into the Appropriate Trusted Root Containers” on page 1064
- “The Server Certificate Has an Invalid Subject Name” on page 1065

**The Embedded Service Provider Cannot Resolve the Base URL of Identity Server**

When the Embedded Service Provider cannot resolve the DNS name of Identity Server, the metadata cannot be loaded and a hostname error is logged. In the following entries, the Embedded Service Provider cannot resolve the idpcluster.lab.novell.com name of Identity Server.

```xml
<amLogEntry> 2009-08-06T16:24:56Z INFO NIDS Application: AM#500105024: AMDEVICEID#esp-09C720981EEE4EB4: AMAUTHID#2CA1168DF7343A42C7879E707C51A03C: ESP is requesting metadata from IDP https://idpcluster.lab.novell.com/nidp/idff/metadata </amLogEntry>

<amLogEntry> 2009-08-06T16:24:56Z SEVERE NIDS IDFF: AM#100106001: AMDEVICEID#esp-09C720981EEE4EB4: Unable to load metadata for Embedded Service Provider: https://idpcluster.lab.novell.com/nidp/idff/metadata, error: AM#300101046: AMDEVICEID#esp-09C720981EEE4EB4:: Attempted to connect to a url with an unresolvable host name </amLogEntry>

<amLogEntry> 2009-08-06T16:24:56Z INFO NIDS Application: AM#500105039: AMDEVICEID#esp-09C720981EEE4EB4: AMAUTHID#2CA1168DF7343A42C7879E707C51A03C: Error on session id 2CA1168DF7343A42C7879E707C51A03C, error 100101044-esp-09C720981EEE4EB4, Unable to authenticate. AM#100101044: AMDEVICEID#esp-09C720981EEE4EB4:: Embedded Provider failed to load Identity Provider metadata </amLogEntry>
```

**Trusted Roots Are Not Imported into the Appropriate Trusted Root Containers**

When the trusted roots are not imported into the appropriate trusted root containers, a certificate exception is thrown and an untrusted certificate message is logged. In the following log entries, the Embedded Service Provider is requesting metadata from Identity Server, but the Embedded Service Provider does not trust Identity Server certificate because the trusted root of the issuer of Identity Server certificate is not in the Embedded Service Provider’s trusted root container.
The Server Certificate Has an Invalid Subject Name

When the certificate has an invalid subject name, the handshake fails. In the log entries below, the Embedded Service Provider is requesting metadata from Identity Server. The server certificate name does not match, so the Embedded Service Provider is unable to authenticate and get the metadata necessary to establish the trusted relationship.

30.3.2.5 Testing Whether the Provider Can Access the Metadata

To test whether the metadata is available for download, enter the metadata URL of the identity provider and service provider. If the DNS name of the identity provider is idpcluster.lab.novell.com, open a browser at Identity Server and enter the following URL:

https://idpcluster.lab.novell.com/nidp/idff/metadata

Open a browser on Access Gateway Service, then enter the same URL.

Because Access Gateway Appliance does not have a graphical interface, you need to use the curl command to test whether Access Gateway Appliance can access the metadata of Identity Server. If the DNS name of the identity provider is idpcluster.lab.novell.com, enter the following command from Access Gateway machine:

curl -k https://idpcluster.lab.novell.com:8443/nidp/idff/metadata
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To test whether Identity Server can access the metadata URL of Access Gateway, open a browser on Identity Server machine. If the published DNS name of service provider is www.aleris.net, enter the following URL:

https://www.aleris.net/nesp/idff/metadata

30.3.6 Manually Creating Any Auto-Generated Certificates

Occasionally, there are issues where the subject name was auto-generated and the entire configuration appears to be correct, but the 100101044/100101043 error is still reported. Delete the auto-generated certificate and manually re-create the server certificate, making sure that it is added to the relevant devices and stores.

30.3 Authentication Issues

This section discusses the following issues that occur during authentication:

- Section 30.3.3.1, “Authentication Classes and Duplicate Common Names,” on page 1066
- Section 30.3.3.2, “General Authentication Troubleshooting Tips,” on page 1066
- Section 30.3.3.3, “Slow Authentication,” on page 1067
- Section 30.3.3.4, “Federation Errors,” on page 1067
- Section 30.3.3.5, “Mutual Authentication Troubleshooting Tips,” on page 1067
- Section 30.3.3.6, “Browser Hangs in an Authentication Redirect,” on page 1068

30.3.3.1 Authentication Classes and Duplicate Common Names

If users have the same common name and exist in different containers under the same authentication search base, one or more attributes in addition to the common name must be configured for authentication to uniquely identify the user. You can set up an authentication class to handle duplicate common names.

1. Select either the name/password or secure name/password class.
2. Add two properties to the class:
   - **Query**: The value of the Query attribute needs to be a valid LDAP query string. Field names from the JSP login form can be used in the LDAP query string as variables for LDAP attribute values. The variables must be enclosed between two % characters. For example, 
     \( (&(objectclass=person)(cn=%Ecom\_User\_ID%)(mail=%Ecom\_Email%)) \) queries for an object of type person that contained a common name equal to the Ecom\_User\_ID field from the specified JSP form and mail equal to the Ecom\_Email field from the same JSP form.
   - **JSP**: The JSP property value needs to be the name of a new .jsp file that includes all the needed fields for the Query property. The value of this attribute does not include the .jsp extension of the file. For example, if you create a new .jsp file named login2.jsp, the value of the JSP property is login2.

For more information about creating custom login pages that prompt for more than username and password, see “Customizing Identity Server Login Page” on page 206.

30.3.3.2 General Authentication Troubleshooting Tips

- Use LAN traces to check requests, responses, and interpacket delay times.
- In the user store logs, confirm that the request arrived. Check for internal errors.
• If you have created an admin user for the user store, ensure that the user has sufficient rights to find the users in the specified search contexts. For more information about the required rights, see “Configuring an Admin User for the User Store” on page 296.

• Check the user store health and replica layout. See TID 3066352.

• Ensure that the user exists in the user store and that the user’s context is defined as a search context.

• Ensure that the Liberty protocol is enabled if you have configured Access Manager devices to use Identity Server for authentication (click Identity Servers > Edit > General Configuration).

• Check the properties of the class and method. For example, the search format on the properties must match what you’ve defined on a custom login page. You might be asking for a name/password login, but the method specifies e-mail login criteria.

• Enable authentication logging options (click Identity Servers > Edit > Auditing and Logging).

• Ensure that the authentication contract matches the base URL scheme. For example, check to see if SSL is used across all components.

30.3.3.3 Slow Authentication

The following configuration problems can cause slow authentication:

• If authentication is taking up to a minute per user, verify that your DNS server has been enabled for reverse lookups. The JNDI module in Identity Server sends out a request to resolve the IP address of the LDAP server to a DNS name. If your DNS server is not enabled for reverse lookups, it takes 10 seconds for this request to fail before Identity Server can continue with the authentication request.

• If your user store resides on SUSE Linux Enterprise Server 10, which installs with a firewall, you must open TCP 524. For more information about the ports that must be open when a firewall separates the user store from other Access Manager components, see Setting Up Firewalls in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

• If your LDAP user store is large, ensure that the search contexts are as specific as possible to avoid searching the entire tree for a user.

30.3.3.4 Federation Errors

• Most errors that occur during federation occur because of time synchronization problems between servers. Ensure that all of your servers involved with federation have their time synchronized within one minute.

• When the user denies consent to federate after clicking a Liberty link and logging in at the identity provider, the system displays an error page. The user should acknowledge that federation consent was denied and return to the service provider login page. This is the expected behavior when a user denies consent.

30.3.3.5 Mutual Authentication Troubleshooting Tips

• LAN traces:
  • Check the SSL handshake and look at trusted root list that was returned.
The client certificate issuer must be in the identity provider certificate store and be applied to all the devices in a cluster.

Ensure that the user exists and meets the authentication criteria. As the user store administrator, you can search for a subject name (or certificate mapping attributes defined) to locate a matching user.

Enable the Show Certificate Errors option on the Attributes page for the X.509 authentication class. (Click Identity Servers > Servers > Edit > Local > Classes > [x.509] > Properties.) Enabling this option provides detailed error messages on the login browser, rather than generic messages.

Ensure that the certificate subject name matches the user you log in with, if you are chaining methods.

Use NTRadPing to test installations.

Verify that the correct UDP port 1812 is specified.

Verify that the RADIUS server can accept requests from Identity Server. This might require the NAS-IP-Address attribute along with credentials.

Verify that the user exists in the user store if multiple methods are added to a contract.

Verify that user authentication works independent of Access Manager.

Verify that the NMAS server is local and no tree walks are occurring across the directory.

Ensure that the NMAS_LOGIN_SEQUENCE property is defined correctly.

### 30.3.6 Browser Hangs in an Authentication Redirect

If the browser hangs when the user attempts to authenticate at an identity provider, determine whether a new authentication contract was created and set as the default contract on Identity Server. If this is the case and you have an Access Gateway resource set to accept any contract from the identity provider, you should navigate to the Overview tab for the protected resource and specify Any again in the Contract drop-down menu. Then click OK, then update Access Gateway.

### 30.3.4 After Setting Up the User Store to Use SecretStore, Users Report 500 Errors

If your eDirectory user store is running on SLES 11 SP1 64-bit (or a higher version) on x86-64 hardware, you can install the NMAS SAML method for SecretStore from Administration Console, but the eDirectory server is missing the required support libraries.

When users try to enter values for SecretStore entries in a form, they receive the following message:

Status: 500 Internal Server Error, Description: Datastore Error

To correct the problem, you need to install the missing libraries on your eDirectory server. For instructions, see TID 7006437 (http://www.novell.com/support/viewContent.do?externalId=7006437&sliceId=1).

### 30.3.5 When Multiple Browser Logout Option Is Enabled, User Is Not Getting Logged Out from Different Sessions

Allow multiple browser session logout option in Identity Server cluster specifies whether a user with more than one session to the server is presented with an option to log out of all sessions. If you do not select this option, only the current session can be logged out. If you deselect this option in
instances where multiple users log in as guests, then when one user logs out, none of the other guests are logged out. When you enable this option, you must also restart any Embedded Service Providers that use this Identity Server configuration and for logout URL you need to configure it as /nidp/app/logout.

30.3.6 After Consuming a SAML Response, the Browser Is redirected to an Incorrect URL

After consuming a SAML response, 302 redirect to RelayState URL is sent to an Incorrect URL. Check whether the relay state is URL encoded. To fix this issue, add the following entry in the web.xml file:

```
<context-param> <param-name>decodeRelayStateParam</param-name> <param-value>true</param-value></context-param>
```

30.3.7 Configuring SAML 1.1 Identity Provider Without Specifying Port in the Login URL Field

While adding the identity provider, do not specify the login URL and clear the show card option. Use the login URL to access the service provider:

https://idp.sitea.novell.com/nidp/saml/idpsend?

PID = https://idp.siteb.novell.com/nidp/saml/metadata&

TARGET = https://idp.siteb.novell.com/nidp/app

In the identity provider, while adding the service provider, configure ID in the intersite transfer page. Configure the login URL with port number -2443 instead of the provider ID URL:

https://idp.sitea.novell.com:2443/nidp/saml/idpsend?

id = <idname>&TARGET=https://idp.siteb.novell.com:2443/nidp/app

30.3.8 Attributes Are Not Available Through Form Fill When OIOSAML Is Enabled

To workaround this issue, create a new attribute set with the OIOSAML mandatory attributes having remote attribute mapping as its OID equivalent and associate the attribute set to the identity provider configured at the SP.

30.3.9 Issue in Importing Metadata While Configuring Identity Provider or Service Provider Using Metadata URL

To work around this issue, manually copy the metadata by selecting the metadata text option while configuring an identity provider or service provider. The metadata text can be obtained from the browser.
30.3.10 Metadata Mentions Triple Des As Encryption Method

The OIO SAML metadata has tripledes-cbc and AES128-cbc mentioned as encryption methods. If triple des is not required, edit the following related tag in metadata and import the metadata manually.

Node:<md:EncryptionMethodAlgorithm="http://www.w3.org/2001/04/xmlenc#tripledes-cbc"/>

30.3.11 Issue in Accessing Protected Resources with External Identity Provider When Both Providers Use Same Cookie Domain

To workaround this issue, set 'agm.lagmode=false' in /opt/novell/singlebox/mag/webapps/agm/agm.properties.

30.3.12 SAML Intersite Transfer URL Setup Does Not Work for Non-brokered Setups after Enabling SP Brokering

To workaround this issue:

- Create a brokering group that has local IDP as Identity Provider and SP1 and SP2 as Trusted Providers.
- Create brokering rules for the Intersite Transfer URL requests to SP2. All requests to SP1 will be allowed.

30.3.13 Orphaned Identity Objects

When a persistent federation is configured or a transient federation with user mapping is configured by using Liberty, SAML 1 and SAML 2.0, the federation objects are created in the configuration store. When you delete or disable a user object, the objects in the configuration datastore related to this specific user become orphaned. These orphaned user profile objects affect the user lookup operations and system performances. These objects should be removed manually by using the Defed Tool: Federation Entry Management.

This tool clears all the orphaned federation objects related to Liberty, SAML 1, and SAML 2 from the trust and configuration datastore, except for Shared Secret entries.

NOTE: When the Access Manger setup includes Access Gateway and no persistent or transient federations have been configured, these objects are not created.

Linux:

1. Change the current working directory to /opt/novell/devman/nam_tools/ from a terminal.
2. Run this command:

   /opt/novell/java/bin/java -classpath .:/lib/nam_tool.jar:/lib/nidp.jar:/lib/NAMCommon.jar:/lib/bcprov-jdk15-140.jar -Djava.util.logging.config.file=./conf/logging.properties com.novell.nam.tools.defed.DefedTool
3. The Defed tool will ask either to delete the orphan objects or exit from the tool. Select the option to delete the orphan objects. The tool will ask you to provide the IP address, port, user DN, and password.

4. The Defed tool deletes the orphaned federation objects and gives the summary of total number of federation entries encountered and number of the federation objects deleted.
   This tool can be used to perform the operation on remote server too.

Windows:

1. Go to the C:\Program Files (x86)\Novell\nam_tools folder.
2. Run this command:
   C:\Program Files (x86)\Novell\nam_tools>java -cp lib/nam_tool.jar;lib/nidp.jar;lib/NAMCommon.jar;lib/bcprov-jdk15-140.jar -Djava.util.logging.config.file=conf\logging.properties com.novell.nam.tools.defed.DefedTool
3. Provide IP address, port, user DN, and password.
4. The Defed tool deletes the orphaned federation objects and gives the summary of total number of federation entries encountered and number of the federation objects deleted.
   This tool can be used to perform the operation on remote server too.

30.3.14 Users cannot Log In to Identity Server When They Access Protected Resources with any Contract Assigned

To workaround this issue, ensure that the Show Card option is enabled on the default contract.

30.3.15 Attribute Query from OIOSAML.SP Java Service Provider Fails with Null Pointer

To workaround this issue:

   1. Enable the OIOSAML compliance with service provider. The OIOSAML attribute set will be populated.
   2. By default, the mandatory attributes are listed in the Available list.
   3. Ensure that these mandatory attributes are moved from the Available list to the Send with authentication list to avoid the Null Pointer exception with OIOSAML compliance service providers.

30.3.16 Disabling the Certificate Revocation List Checking

For ADFS 2.0 to work with Access Manger SAML 2.0, you must disable the Certificate Revocation List (CRL) checking.

To disable the CRL checking:

   1. Modify the tomcat7.conf file of Identity Server located at /opt/novell/nam/idp/conf/tomcat7.conf.
   2. Add this parameter JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.nidp.serverOCSFCR=false".
   3. Restart Identity Server by using this command: /etc/init.d/novell-idp restart.
30.3.17 Step up Authentication for Identity Server Initiated SSO to External Provider Does Not Work Unless It has a Matching Local Contract

For example, if a service provider is configured for a satisfiable contract that is only satisfiable by an external provider, then Intersite transfer service does not work.

To workaround this issue, ensure that any local contract is associated with the service provider. If not, then associate the same. External provider without any local contract is not supported.

30.3.18 Metadata Cannot be Retrieved from the URL

To workaround this issue, verify the network card configuration for the proper DNS.

30.3.19 Requesting the Authentication to a Service Provider Fails

To workaround this issue:

- Click Devices > Identity Servers > Edit > SAML 2.0 > [Service Provider] > Authentication Response.
- Change Artifact to Post in the Binding field.

30.3.20 SAML 2.0 POST Compression Failure Does not Throw a Specific Error Code

The POST Compression feature is supported when both the identity provider and service provider understand SAML 2 POST deflate and inflate. If the service provider sends a compressed message, the identity provider needs to decompress the message and vice-versa. For the Access Manager identity server and service provider, the nidpconfig.properties file located in /opt/novell/nam/idp/webapps/nidp/WEBINF/classes needs to be modified to enable the SAML 2.0 POST deflate and inflate.

30.3.21 SAML 1.1 Service Provider Re-requests for Authentication

SAML 1.1 service provider performs a strict check on the name space of the attributes received in assertion.

To disable this, perform the following steps:

- Click Devices > Identity Servers > Edit > Options > New.
- Select SAML1X ATTRIBUTE MATCH BY NAME in Property Type.
- Select true in Property Value.
- Click OK > Apply.
30.3.22 Identity Server Statistics Logs Do Not Get Written In Less Than One Minute

Identity Server statistics logs do not get written before one minute even though the time specified is less than one minute, for example, 10 seconds. This issue happens only when the time is specified to less than one minute.

Do not specify the time less than one minute. As a best practice, you should not set small period because it increases the load on the server and also increases the log file size exponentially.

30.3.23 No Error Message Is Written in the Log File When an Expired Certificate Is Used for the X509 Authentication

When a user tries to authenticate with an expired client certificate, the authentication fails. The log file does not have any information about the expiration of the certificate. Browsers also do not display any error message about it.

To see the logs related to expired certificates, perform the following steps:

1. Enable the following Java option in `tomcat7.conf` under `/opt/novell/nam/idp/conf/`:
   ```
   JAVA_OPTS="${JAVA_OPTS} -Djavax.net.debug=ssl,handshake"
   ```
   This option enables SSL logs.
2. Restart Identity Server.

30.3.24 Terminating an Existing Authenticated User from Identity Server

Access Manager provides the ability for users to single sign on to back end web servers. These back end web servers provide a series of protected resources that users can only access when authenticated to an Identity Server, and authorized by Access Gateway. Having parsed the user credentials, and credentials validated against a back end user store, Identity Server creates and maintains an active session for that user. Only when the user manually logs out of Identity Server, or if the user’s session timeout expires, then the user’s active session will be removed. If the user continuously accesses protected resources before the session timeout expires, the session can remain active forever.

Use case: You may want to terminate an authenticated user sometimes. Some of the cases are listed below.

- User A who currently has an active session on Identity Server and access to many protected resources, has had a designation change within the organization causing a change to resources that may be available. By forcing user A to logout and login again, his new roles or attributes may be retrieved by Identity Server and used in policy evaluations by Access Manager to reflect his new position.
- User B who currently has an active session on Identity Server and access to many protected resources, has been asked to leave an organization and all access to protected resources must be removed. By terminating user B’s session on Identity Server, any subsequent requests to Identity Server will require the user to login again.
The **User Sessions** page in Administration Console helps you to find users logged into your system and also helps to terminate their sessions if required. It displays the active user details for each Identity Server. You can search for a user with the user ID and terminate the sessions.

1. In Administration Console Dashboard, click **Troubleshooting > User Sessions**.
2. Specify the user ID and click **Search**. If a match is found, it lists the IP address of Identity Server and its sessions.
3. Click **Terminate Sessions** to terminate the sessions of the specific user.

After you have performed the above procedure, the user sessions are terminated from Identity Server, and any other trusted service providers it has provided an identity to during this session, for example, an Access Gateway or SAML 2.0 service provider.

**NOTE:** User details are fetched once per administration session. The last updated date is displayed. To refresh the data, click on **Refresh**.

### 30.3.25 X.509 Authentication Lists the Entire List of Certificates Imported to the Browser

To restrict the list to only certain certificates, use the following procedure:

1. Go to `etc/opt/novell/apache2/conf/cacerts/custom` and copy the required CA certificates manually to this folder using the following command:

   ```
   cp <ca files in pem format> .
   ```

   This command copies the CA certificates to the current folder.

2. Create a hash of the pem file using the following command:

   ```
   openssl x509 -noout -hash -in <cafile.pem>
   ```

3. Create a soft link in the same directory using the following command:

   ```
   ln -s <cafile.pem> <hash value of the file>.0
   ```

   For example, `ls -l` should display the following:

   ```
   ```

4. Restart Apache using the following command:

   ```
   /etc/init.d/novell-apache2 restart
   ```

### 30.3.26 Clustered Nodes Looping Due to JGroup Issues

In a cluster when multiple nodes are down, failover does not occur and user experiences looping due to jgroups issues.

**Workaround:** Modify the `/opt/novell/nids/lib/webapp/WEB-INF/web.xml` file on Identity Server as follows to increase the jgroup timeouts.

```
<context-param> <param-name>JGroupsConfiguration</param-name> <param-value> TCP(start_port=[nidp:ClusterPort];end_port=[nidp:ClusterPort][nidp:IfExternalAddress];external_addr=[nidp:ExternalAddress][nidp:EndIf]):TCPFING(initial_hosts=[nidp:ClusterMembers];port_range=1;timeout=3500;num_initial_members=2;up_thread=true;down_thread=true):MERGE2(min_interval=10000;max_interval=30000):FD_SOCK([nidp:IfExternalAddress]bind_addr=[nidp:ExternalAddress][nidp:EndIf]):FD(shun=true;timeou
```
For more information about the timeout options, see the following links:

- TCPPING
- MERGE2
- FD_SOCK
- FD
- VERIFY_SUSPECT
- NAKACK
- pbcast.STATE_TRANSFER
- pbcast.GMS

### 30.3.27 Authentication With Aliases Fails

If your userstore contains alias users and if you have configured alias class for authentication, the authentication fails. For the workaround, see [TID 7015163](#).

### 30.3.28 nidp/app Does Not Redirect to nidp/portal after Authentication


### 30.3.29 Login to Office 365 Fails when WS-Trust MEX Metadata Is Larger than 65 KB

To workaround this issue, perform the following steps:

1. Modify `/opt/novell/nam/idp/webapps/nidp/WEB-INF/web.xml` and map `mex.jsp` to the servlet mapping URL `/ndip/wstrust/sts/mex` as follows:

   ```xml
   <servlet> <servlet-name>NetIQSTS12MEX</servlet-name> <jsp-file>/jsp/mex.jsp</jsp-file> <load-on-startup>1</load-on-startup> </servlet>
   <servlet-mapping> <servlet-name>NetIQSTS12MEX</servlet-name> <url-pattern>/wstrust/sts/mex</url-pattern> </servlet-mapping>
   </servlet>
   ```

2. Restart Identity Server.
30.3.30 Unsafe Server Certificate Change in SSL/TLS Renegotiations Is Not Allowed

After upgrading Access Manager from a version earlier than 4.0 Service Pack 1, if you have configured Identity Server to point to the Load Balancer virtual IP address than the real IP addresses of the LDAP replica servers, Identity Server’s request to different LDAP server replicas fails.

Identity Server health becomes yellow from green and displays the following warning:

Ensure that the following replicas are operating correctly XXXX

After validating the LDAP server replica, the following message is displayed:

Server certificate change is restricted during renegotiation

This happens because Access Manager uses JDK version 7u71 or later from the version 4.0 Service Pack 1 onwards. In JDK 7u71, unsafe server certificate change in SSL/TLS renegotiations is not allowed by default.

To workaround this issue, perform any one of the following actions:

- Add the following line in the /opt/novell/nam/idp/conf/tomcat7.conf file:
  
  JAVA_OPTS="${JAVA_OPTS} -Djdk.tls.allowUnsafeServerCertChange=true"

- Instead of specifying the load balancer virtual IP address as the LDAP replica server, ensure that Identity Server refers to each LDAP server directly and not through the load balancer. In this way, Identity Server maintains all communications with the LDAP servers directly, maintains states and connection information.

- Create a wildcard certificate and assign this server certificate to all the LDAP servers in the replica ring.

30.3.31 Viewing Request and Response Headers of All Protocols in a Log File

You can use one of the following options:

Option 1:

Perform the following steps:

1. Add the following filter to /opt/novell/nam/idp/conf/web.xml:

   <filter>
   <filter-name>requestdumper</filter-name>
   <filter-class>
     org.apache.catalina.filters.RequestDumperFilter
   </filter-class>
   </filter>

   <filter-mapping>
   <filter-name>requestdumper</filter-name>
   <url-pattern>*</url-pattern>
   </filter-mapping>

2. Add the following to the /opt/novell/nam/idp/conf/logging.properties file:
# Dumper
1request-dumper.org.apache.juli.FileHandler.level = INFO
1request-dumper.org.apache.juli.FileHandler.directory = ${catalina.base}/logs
org.apache.catalina.filters.RequestDumperFilter.level = INFO
org.apache.catalina.filters.RequestDumperFilter.handlers = 1request-
dumper.org.apache.juli.FileHandler

3 Update the following handler in the /opt/novell/nam/idp/conf/logging.properties file:

handlers = 1catalina.org.apache.juli.FileHandler,
2localhost.org.apache.juli.FileHandler, 3manager.org.apache.juli.FileHandler,
4host-manager.org.apache.juli.FileHandler, java.util.logging.ConsoleHandler,
1request-dumper.org.apache.juli.FileHandler

A log file is created at /var/opt/novell/nam/logs/idp/tomcat/ that contains a log of all the

Option 2:

Add the following in /opt/novell/nam/idp/conf/tomcat.conf:

JAVA_OPTS="${JAVA_OPTS} -Dcom.sun.xml.wss.provider.wsit.SecurityTubeFactory.dump=false"
JAVA_OPTS="${JAVA_OPTS} -Dcom.sun.xml.ws.transport.http.HttpAdapter.dump=true"
JAVA_OPTS="${JAVA_OPTS} -Dcom.sun.xml.ws.transport.http.client.HttpTransportPipe.dump=true"

30.4 Troubleshooting Analytics Server

- Section 30.4.1, "When You Launch Analytics Dashboard It Displays a Blank Page," on
  page 1078
- Section 30.4.2, “The Graphs Do Not Display Any Data When You Launch Analytics Dashboard,”
  on page 1078
- Section 30.4.3, “Clearing the Existing Graphs to View the Imminent Data on the Graphs,” on
  page 1079
- Section 30.4.4, “Cannot Launch Analytics Dashboard after reimporting Analytics server,” on
  page 1079
- Section 30.4.5, “The Analytics Server Health is Not Reported to Administration Console,” on
  page 1079
- Section 30.4.6, “Analytics Dashboard Does Not Display the Graphs but Displays the Health
  Status of the Devices,” on page 1080
- Section 30.4.7, ”When Deploying Analytics Server in High Availability Mode, crm status Displays
  Failed Actions,” on page 1081
30.4.1 When You Launch Analytics Dashboard It Displays a Blank Page

If Analytics dashboard url launches a blank page initially after installing Analytics Server, you must perform the following on Administration Console Dashboard:

1. Click Troubleshooting > Certificates.
2. Click the certificate for Analytics Server.
3. Click Re-push certificates.

If Analytics dashboard displays blank page abruptly, then check the health of Analytics Server services and shared storage (for cluster setup).

30.4.2 The Graphs Do Not Display Any Data When You Launch Analytics Dashboard

When you launch the dashboard, it does not display data on the graphs. Also, the health of devices that are displayed on the graphs for Identity Server, Access Gateway, Access Gateway Clusters, and Identity Server Clusters is unavailable. This happens because the realtime index, where the events are received and stored, does not exist on the Analytics Server.

To resolve this issue and view the realtime data graphs, perform the following steps:

1. Connect to Analytics Server by using SSH.
2. Run the following command to verify if the realtime events are getting stored in the realtime index:
   
   **Access Manager 4.3:** curl -XGET 'http://localhost:9200/realtimegraphs/_search'

   **Access Manager 4.3 Service Pack 1:** curl -XGET 'http://localhost:9200/realtimegraphsv4.3.1/_search'

3. (Conditional) If you get the error "IndexMissingException[[realtimegraphs] missing]","status":404, run the following command to list all indexes that are present within Analytics Server:

   curl 'localhost:9200/_cat/indices?v'

**NOTE:** If you have enabled the debug log for Analytics Server, you can check the realtime aggregator logs from /opt/novell/nam/aggregators/logs/aggregator.log. For information about changing the log level for any specific Analytics Server, refer Section 4.5.5, “Configuring Analytics Server,” on page 285.

4. Run the following command to restart the realtime aggregator:

   /etc/init.d/novell-realtime start
30.4.3 Clearing the Existing Graphs to View the Imminent Data on the Graphs

If you want to clear the existing realtime data to view only the latest data on the dashboard, you must perform the following steps:

**NOTE:** The following steps clear the data but the data is not deleted from the server. You can retrieve the older data by using the offline data mode. For more information about viewing the dashboard in offline data mode, refer

1. Use the SSH client to connect to Analytics Server.
2. Stop the real-time aggregator service by using the following command:
   `/etc/init.d/novell-realtime stop`
3. Delete the index file by using the following command:
   - **Access Manager 4.3:** `curl -XDELETE 'http://localhost:9200/realtimegraphs'`
   - **Access Manager 4.3 Service Pack 1:** `curl -XDELETE 'http://localhost:9200/realtimegraphsv4.3.1'`
4. Start the real-time aggregator service by using the following command:
   `/etc/init.d/novell-realtime start`
   It takes few minutes for the data to be reflected on the graphs.

30.4.4 Cannot Launch Analytics Dashboard after reimporting Analytics server

After importing/ re-importing an Analytics Server, you cannot access Analytics Dashboard. This happens when there is an issue with certificates. To resolve this issue, re-push the certificates. For information about re-pushing the certificates, refer the steps mentioned in Section 30.4.1, “When You Launch Analytics Dashboard It Displays a Blank Page,” on page 1078.

30.4.5 The Analytics Server Health is Not Reported to Administration Console

When you check the health of Analytics Server from Administration Console (Devices > Analytics Servers), the health for a specific Analytics Server displays the not responding icon. When you click on the icon, the Server is not reporting status message is displayed. To resolve this issue, you must restart the dashboard service by running the following command on the specific Analytics Server:

```
/etc/init.d/novell-dashboard restart
```
30.4.6 **Analytics Dashboard Does Not Display the Graphs but Displays the Health Status of the Devices**

When you launch Analytics Dashboard, the graphs are unavailable but the Identity Server, Access Gateway, Access Gateway Clusters, and Identity Server Clusters graphs display the health status of all devices.

All the services run properly, but the graphs are not generated. This issue occurs when Analytics Server does not receive the required events.

To resolve this issue, perform the following on Administration Console:

1. Enable required events
   - For more information about enabling events for a specific graph, refer Section 18.5, "Enabling Events for Each Graph," on page 999.
2. Click Devices > Analytics Servers > Reports
3. Log in to Reports console
4. Click admin > Applications
5. Click Launch Control Center
6. Download and open control_center.jnlp
7. In the security warning screen, click Continue
8. Click Run to open the control center application
9. Click the Configuration tab
10. On the menu bar, click Configuration > Integrator Manager
11. Click Test
12. (Conditional) If the test fails, restart the realtime aggregator by running the following command on the required Analytics Server:
    ```bash
    /etc/init.d/novell-realtime restart
    ```
13. (Conditional) If the test is successful and still no graphs are displayed, then you must check the routing configuration.
   13a. In Administration Console, click Devices > Analytics Servers > Reports.
   13b. In the Reports console click Routing.
   13c. Under Event Routing Rules, configure the SyslogRouting rule by clicking Edit, then updating the following fields if these details are not updated automatically:
       - **Criteria:** `(((sev:[0 TO 5]) NOT st:"I" NOT st:"A" NOT st:"P") AND ("NIDS\: User session was authenticated" OR evt:"NIDS\: Risk based authentication action for user" OR rv40:"002E0606" OR rv40:"002E0525" OR rv40:"002E001F" OR rv40:"002E0029" OR rv40:"002E0514" OR rv40:"002E0102")(} `)
       - **Route to the following services:** ALL
       - **Perform the following actions:** Log to Syslog
   13d. (Conditional) If the SyslogRouting rule is not configured, click Create to create a new event rule with any name and provide the same details as mentioned in Step 13c on page 1080.
30.4.7 When Deploying Analytics Server in High Availability Mode, crm status Displays Failed Actions

When you run the crm status command, if an error message is displayed with failed actions for a specific node, then restart cluster services by using `/etc/rc.d/openais restart` on that node.

30.5 Troubleshooting Certificate Issues

- Section 30.5.1, “Resolving the JCC Communication between Devices and Administration Console,” on page 1081
- Section 30.5.2, “Resolving Certificate Import Issues,” on page 1082
- Section 30.5.3, “Mutual SSL with X.509 Produces Untrusted Chain Messages,” on page 1084
- Section 30.5.4, “Certificate Command Failure,” on page 1084
- Section 30.5.5, “A Device Reports Certificate Errors,” on page 1084
- Section 30.5.6, “Renewing the expired eDirectory certificates,” on page 1085
- Section 30.5.7, “Cannot Replace Expired Certificates,” on page 1085

30.5.1 Resolving the JCC Communication between Devices and Administration Console

When the secret key in the `jcc.keystore` file is updated, Identity Server or Access Gateway stops communicating with Administration console.

To workaround this issue, perform the following steps on the affected devices:

1. Log in to Identity Server or Access Gateway and navigate to the JCC folder:
   - Linux: `/opt/novell/devman/jcc/conf`
   - Windows: `\Program Files\Novell\devman\jcc\conf`
2. Verify if the `jcc.keystore.original` file exists.
3. If the `jcc.keystore.original` file exists, then:
   3a. Replace `jcc.keystore` with `jcc.keystore.original`.
   3b. Replace `keystore_info.xml` with `keystore_info.xml.original`.
4. If the `jcc.keystore.original` file does not exist, then:
   4a. Navigate to the JCC directory.
      - Linux: `/opt/novell/devman/jcc`
      - Windows: `\Program Files\Novell\devman\jcc`
   4b. Run the following reimport command:
      - On Identity Server:
        - Linux: `conf/reimport_nidp.sh jcc`
        - Windows: `conf\reimport_nidp.bat jcc`
      - On Access Gateway:
        - Linux: `conf/reimport_ags.sh jcc`
        - Windows: `conf\reimport_ags.bat jcc`
5 Restart JCC
   Linux: /etc/init.d/novell-jcc restart
   Windows: Navigate to Control Panel > Administrative Tools > Services, then restart the JCCServer service.

6 Restart the affected devices.

30.5.2 Resolving Certificate Import Issues

Use the following sections to resolve issues created when a full certificate chain is not imported in to Access Manager Appliance:

- Section 30.5.2.1, "Importing an External Certificate Key Pair," on page 1082
- Section 30.5.2.2, "Resolving a -1226 PKI Error," on page 1083
- Section 30.5.2.3, "When the Full Certificate Chain Is Not Returned During an Automatic Import of the Trusted Root," on page 1083
- Section 30.5.2.4, "Using Internet Explorer to Add a Trusted Root Chain," on page 1083

30.5.2.1 Importing an External Certificate Key Pair

The Access Manager Certificate Authority requires that all certificate key pairs in .pfx format contain the complete certificate chain. If a key pair was created with multiple CAs and the exported certificate does not contain the complete certificate chain, the file cannot be imported into Access Manager. When you try to import such a certificate, the following error message is displayed:

"Error importing certificate key pair: Error: Error: -1403

When exporting the certificate key pair, ensure that you include all the certificates in the certification path.

To ensure that your certificate contains all the intermediate certificates and contains them in the right order, import the certificate into Internet Explorer or Firefox.

- For Internet Explorer, click Tools > Internet Options > Content > Certificates > Personal > Import.
- For Firefox, click Tools > Options > Advanced > Encryption > View Certificates > Your Certificates > Import.

Make sure the browser contains the public key for all the intermediate CAs. Then select the certificate and export the certificate in .pfx format. In Internet Explorer, you must select to include all the certificates in the chain. In Firefox, all the certificates in the chain are automatically included.

If you receive an error when importing the certificate, the error comes from either NICI or PKI. For a description of these error codes, see Novell Certificate Server Error Codes and Novell International Cryptographic Infrastructure (http://www.novell.com/documentation/nwec/index.html).
30.5.2.2 Resolving a -1226 PKI Error

When you create a certificate signing request, send it to a third-party issuer to be signed, and receive the server certificate from the third-party issuer. You sometimes receive a -1226 error when you try to import the signed certificate. You receive this error when the issuer does not send the trusted roots required to validate the issuer of the server certificate.

Use one of the following options to resolve this issue:

- If the issuer included the trusted root and any intermediate certificates in a separate file or files, specify these files during the import by clicking the + character that allows you to add a trusted root or an intermediate certificate.
- If the issuer did not send you any additional files, you can go to the issuer’s Web site, download them, then specify these files during the import by clicking the + character that allows you to add a trusted root or an intermediate certificate.
- You can try importing the certificate into Internet Explorer, which has the trusted roots from all major CAs, then export the certificate with the required chain of trusted roots. See “Using Internet Explorer to Add a Trusted Root Chain” on page 1083.

30.5.2.3 When the Full Certificate Chain Is Not Returned During an Automatic Import of the Trusted Root

Access Manager Appliance allows you to automatically import the trusted root under the following conditions:

- When enabling SSL communication between Access Gateway and the Web server, you can automatically import the root CA from the Web server.
- When setting up the user stores for Identity Server and adding the server replicas, you can automatically import the root CA of the LDAP server.

If there are multiple certificates in the chain, sometimes the server does not send all the certificates in the chain. When this happens, the following message is displayed:

The root CA certificate was not returned by the server. It might be necessary to manually import the root CA certificate and possible intermediate CA certificates in order to complete the chain.

To correct this problem, you need to manually import the missing entries. The easiest method to obtain all the certificates in the chain, including the root CA, is to import the server certificate into Internet Explorer, then export the chain and import it into Access Manager. If Access Manager already has some of the certificates, it skips their import and imports only the missing certificates.

For instructions on this process, see “Using Internet Explorer to Add a Trusted Root Chain” on page 1083.

30.5.2.4 Using Internet Explorer to Add a Trusted Root Chain

The following procedure works only when Internet Explorer contains the trusted root certificate of the issuer of your certificate.

1. In Internet Explorer, click Tools > Internet Options > Content > Certificates.
2. Click Import and import your server certificate into the Other People tab.
3. Click Other People, then double-click your certificate.
4 Click Certification Path.
   - If the Certification Path shows that the certificate is OK, you now have the full certificate chain available for export. Click OK, then continue with Step 5.
   - If the Certification Path is not OK, you cannot use this method. Click OK, then contact your issuer for the certificate chain.

5 Select the certificate, then click Export > Next.

6 Select Cryptographic Message Syntax Standard - PKCS #7 Certificates (.P7B) as the format and select Include all certificates in the certification path if possible to include the certificate chain.

7 Click Next, then specify a filename and path for the file.

8 Click Next > Finish.

9 Use this P7B file to import your server certificate into Access Manager.

30.5.3 Mutual SSL with X.509 Produces Untrusted Chain Messages

When you set up an X.509 contract for mutual SSL authentication, you must ensure that Identity Server trust store (NIDP-truststore) contains the trusted root from each CA that has signed the client certificates. If a client has a certificate signed by a CA that is not in Identity Server Trust Store, authentication fails.

To add a certificate to Identity Server Trust Store:

1 In Administration Console Dashboard, click Devices > Identity Servers > Edit > Security > NIDP Trust Store.

2 Click either Add or Auto-Import From Server and follow the prompts.

30.5.4 Certificate Command Failure

Certificate commands are generated when you upgrade Administration Console. Ensure that they have completed successfully.

1 To determine whether a certificate command has failed, click Security > Command Status.

2 Note the destination trust store or keystore of the failed command.

3 Click Troubleshooting > Certificates.
   The Certificates page displays all the keystores and trust stores configured for Access Manager.

4 Select the store, then click Re-push certificates.
   This sends all assigned certificates to Access Manager Appliance.

30.5.5 A Device Reports Certificate Errors

After you restore a device, especially Administration Console, the device might report certificate errors. To fix these errors, you need to re-push the certificates from Administration Console to the device:

1 Click Troubleshooting > Certificates.

2 Select the store that is reporting errors, then click Re-push certificates.
You can select multiple stores at the same time.

3. (Optional) To verify that the re-push of the certificates was successful, click **Security > Command Status**.

### 30.5.6 Renewing the expired eDirectory certificates

The Secondary Administration Console stops working when the eDirectory certificates expire. When a certificate is about to expire, Access Manager shows a warning message when you log in to Administration Console. You can check whether a certificate is expired on the Certificate Details page. See Section 14.1, “Viewing Certificate Details,” on page 859.

To workaround this issue manually renew the eDirectory certificates. For more information, see renewing the certificates.

### 30.5.7 Cannot Replace Expired Certificates

When you enable signing certificate per SAML service provider, expired certificate cannot be replaced with an existing certificate in the signing key store.

For example:

Certificate 1 with alias name Cert1 is assigned to Service Provider 1 and Certificate 2 with alias name Cert2 is assigned to Service Provider 2. If Cert1 has expired and the user wants to use Cert2 instead of Cert1, the user cannot replace Cert1 with Cert2 because Cert2 is already existing in the signing key store.

To workaround this issue, perform the following steps:

1. Add Cert2 with a different name (Cert4) and alias name Cert1.
2. Select Cert1, click **Replace** and select Cert4 (certificate created in the previous step).

### 30.6 Troubleshooting Access Manager Policies

This section discusses the following topics:

- Section 30.6.1, “Turning on Logging for Policy Evaluation,” on page 1086
- Section 30.6.2, “Common Configuration Problems That Prevent a Policy from Being Applied as Expected,” on page 1087
- Section 30.6.3, “The Policy Is Using Old User Data,” on page 1089
- Section 30.6.4, “Form Fill and Identity Injection Silently Fail,” on page 1090
- Section 30.6.5, “Checking for Corrupted Policies,” on page 1091
- Section 30.6.6, “Policy Page Timeout,” on page 1091
- Section 30.6.7, “Policy Creation and Storage,” on page 1091
- Section 30.6.8, “Policy Distribution,” on page 1091
- Section 30.6.9, “Policy Evaluation: Access Gateway Devices,” on page 1092

Troubleshooting 1085
30.6.1 **Turning on Logging for Policy Evaluation**

Policy evaluation for roles occurs at Identity Server. For Authorization and Identity Injection policies, policy evaluation occurs on the Embedded Service Provider (ESP) where the policy is enabled.

For the Form Fill policies, the evaluation and logging is done by ESP and the proxy service. To set the logging level on Access Gateway for the proxy service, see “Enabling Form Fill Logging” on page 1138.

Logging for the policy evaluation done by ESP is controlled by the log settings of Identity Server configuration. To enable this type of logging:

1. Click **Devices > Identity Servers > Edit > Auditing and Logging**.
   
   If you have set up more than one Identity Server configuration, ensure that you select the configuration to which the other Access Manager Appliance components have been assigned.

2. Select **Enabled for File Logging**.

3. Select to echo the trace messages to the console: For Access Gateway Appliance, Access Gateway Service, or Identity Server, this sends the messages to the **catalina.out** file.

4. (Optional) Specify a path for Identity Server log files.

5. For policy evaluation tracing, set the **Application level to info** in the **Component File Logger Levels** section.
   
   If you are only troubleshooting policies at this time, do not select any other options. This reduces the amount of information recorded in the log files.
   
   To see the policy SOAP messages, you need to set the **Application level to verbose**.


7. Click **Auditing > General Logging** and download Identity Server and ESP catalina.out logs.
   
   - For role evaluation traces, view Identity Server **catalina.out** file.
     
     If your Identity Servers are clustered, you need to look at the file from each Identity Server.
   
   - For Authorization, Form Fill, and Identity Injection evaluation traces, view the log file of ESP of the device that is protecting the resource.
     
     **Access Gateway Appliance or Service**: This is the **catalina.out** file of Access Gateway where the protected resource is defined. If Access Gateway is part of a cluster, you need to look at this file from each Access Gateway in the group.

     To view the actual ESP log file that contains only ESP log messages, see the **nidp.*.xml** files in the `/var/opt/novell/tomcat7/webapps/nesp/WEB-INF/logs` directory (or the directory you specified in step 4). Depending upon how you have configured **File Wrap**, the * portion of the filename contains the month, the week, the day, and the hour.

8. To understand what you are looking for in the log file, continue with one of the following:
   
   - “Understanding Policy Evaluation Traces” on page 1125 if you set **Application level to info**.
   
   - **Section 30.6.9, “Policy Evaluation: Access Gateway Devices,” on page 1092** if you set **Application level to verbose**.
30.6.2 Common Configuration Problems That Prevent a Policy from Being Applied as Expected

When you try to determine what is functioning incorrectly in a policy, you need to turn on policy tracing and understand the evaluation traces. See the following:

- Section 21.6, “Turning on Logging for Policy Evaluation,” on page 943
- “Understanding Policy Evaluation Traces” on page 1125

The CO entry line of a policy trace identifies when a policy condition evaluates to False or True. The PA entry line indicates whether the Action was applied or ignored. If the results of the policy trace are not what you expected for the user, the next step is to determine why the policy isn’t behaving the way you want it to. Check for the following problems:

- Section 30.6.2.1, "Enabling Roles for Authorization Policies," on page 1087
- Section 30.6.2.2, “LDAP Attribute Condition,” on page 1088
- Section 30.6.2.3, “Result on Condition Error Value,” on page 1088
- Section 30.6.2.4, “An External Secret Store and Form Fill,” on page 1089

30.6.2.1 Enabling Roles for Authorization Policies

If you are using roles in your authorization policies, you need to ensure that the role is enabled for Identity Server configuration. You can create roles and authorization policies independently of assigning them to protect a resource or to an Identity Server configuration.

If you have not enabled the role, users are not assigned the role when they log in, even when they meet all the criteria for the role.

- If the Authorization Policy is an Allow policy, the users might be denied access because they haven’t been assigned the role.
- If the Authorization Policy is a Deny policy, the users might be allowed access because they haven’t been assigned the role.

Whenever an Authorization Policy is not producing the expected results and the policy contains a role, the first troubleshooting step should always be to check whether the role has been enabled for Identity Server configuration. Click Devices > Identity Servers > Edit > Roles. If the role is not enabled, Identity Server cannot assign the role to the user.

The second step should be to ensure that the roles are transferred from for Identity Server to the Embedded Service Provider. Click Devices > Identity Servers > Edit > Liberty > Web Service Provider. The Authentication Profile needs to be enabled in order for Embedded Service Providers to evaluate roles in policies. This profile is enabled by default, but it can be disabled. When it is disabled, all devices assigned to use this Identity Server cluster configuration cannot determine which roles a user has been assigned, and the devices evaluate policies as if the user has no roles.
30.6.2.2 LDAP Attribute Condition

If you use an LDAP attribute as the condition for a Role policy or an Authorization policy and your users are not being assigned the role or are denied access to a resource, the most likely cause of the problem is the LDAP attribute name used in the policy. Some administration tools for the LDAP user stores display a UI name or an eDirectory™ name rather than the LDAP attribute name. Access Manager Appliance policies require the LDAP attribute name.

Use the following steps to identity whether the Access Manager Appliance policy has been configured for the LDAP attribute name, a UI name, or an eDirectory name:

1. Use an LDAP browser to view one of your users in your LDAP user store.
   You can download a Java-based tool from the Internet.
2. Verify the LDAP name of the attribute and that the user has the expected value.
4. View the attribute name and value for the LDAP Attribute condition.
5. Verify the following:
   - The name of the attribute should match the name as displayed in the LDAP browser. The attribute name is not case sensitive, but it should not contain any spaces. If you need to modify the attribute used by the policy, click the attribute name, then select an attribute from the list or select New LDAP Attribute to add one.
   - The value can be case sensitive, depending upon how you have configured the Mode for the policy. If you have selected case sensitive for the Mode, ensure that the case in the policy matches the case in the LDAP user store.
   - If the attribute is multi-valued and your users typically have multiple values, select Substring as the Comparison type.
6. If these steps have not solved the problem, see “Result on Condition Error Value” on page 1088.

30.6.2.3 Result on Condition Error Value

If you incorrectly set the value of the Result on Condition Error field, you create a policy that allows an action that you want the policy to deny or that denies an action that you want allowed. You must carefully evaluate whether you want the action applied or ignored when an error occurs during the evaluation of the condition. For positive conditions, the following rules apply:

- For the action to be applied, either the user must match the condition or the Result on Condition Error must be set to True.
- For the action to be ignored, either the user must not match the condition or the Result on Condition Error must be set to False.

The logic is harder to follow when you start adding “if not” to the conditions. The user then matches the condition by not matching the condition. For this type of condition, you need to ask whether you want the action applied to any user when an error occurs evaluating the condition.

The logic is even harder to following when you start adding multiple condition groups that can also have “or nots” and “if nots”.

If you have a policy that uses “if not” conditions or uses multiple condition groups and it is not producing the expected results, you might want to rewrite the policy so that it contains only positive conditions.
You might want to modify the condition groups so that the policy uses multiple rules, with each rule containing one condition group with the conditions you want the user to match for the action you assign to the rule.

### 30.6.2.4 An External Secret Store and Form Fill

When you create a user store on Identity Server (Local > User Stores) and define it as an external Secret Store (Liberty > Web Service Provider > Credential Profile), some attributes are not being created properly on the SAML affiliate object. The workaround is to access the user store configuration page (Local > User Stores), then exit. This action results in a check to verify that the schema, objects, and attributes exist, and the affiliate object is then re-created from scratch, if necessary.

The following affiliate objects must exist:

- `authsamlCertContainerDN` (container holding trusted certificates, for example: SCC Trusted Root.Security)
- `authsamlProviderID`
- `authsamlTrustedCertDN` (list of trusted certificate(s))
- `authsamlValidAfter` (180 seconds default)
- `authsamlValidBefore` (180 seconds default)

If these attributes exist, the system works normally. However, if your Identity Server and Secret Store server are not configured to use the same NTP server, time synchronization can be a problem. If time synchronization is an issue, you can change the 180-second default validity times as a workaround.

If your LDAP user store and Administration Console have a firewall separating them, TCP ports 524 and 636 must be open to allow for the creation of the required objects. For more information about ports and firewalls, see Setting Up Firewalls in the NetIQ Access Manager Appliance 4.3 Installation and Upgrade Guide.

### 30.6.3 The Policy Is Using Old User Data

When a policy is first evaluated, it caches information about the user.

- Some data items are updated every minute.
- Some are cached for the duration of the request.
- Some are cached for the duration of the user’s session. When a data item is cached for the duration of a user session, the user must log out and log in for the policy modification to take effect.

Table 30-2 lists how long the data items for a condition are cached before being refreshed.

<table>
<thead>
<tr>
<th>Condition</th>
<th>Data Refresh Interval</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authenticating IDP</td>
<td>User session</td>
</tr>
<tr>
<td>Authentication Contract</td>
<td>User session</td>
</tr>
<tr>
<td>Authentication Method</td>
<td>User session</td>
</tr>
<tr>
<td>Authentication Type</td>
<td>User session</td>
</tr>
<tr>
<td>Client IP</td>
<td>Request</td>
</tr>
</tbody>
</table>
### 30.6.4 Form Fill and Identity Injection Silently Fail

Login with Form Fill or Identity Injection can fail when all of the following conditions occur:

- Your user store is configured to use Novell® SecretStore®.
- The shared secrets needed for Form Fill or Identity Injection are locked because the shared secrets are used by another application that is using the enhanced security feature. For example, if the application writes a secret called ssn, and you use that same secret in a Form Fill or Identity Injection policy, that secret is locked whenever the admin changes the user’s password. Access Manager Appliance does not use the enhanced security feature when it writes shared secrets.

<table>
<thead>
<tr>
<th>Condition</th>
<th>Data Refresh Interval</th>
</tr>
</thead>
<tbody>
<tr>
<td>Credential Profile</td>
<td>User session</td>
</tr>
<tr>
<td>Current Date</td>
<td>One minute</td>
</tr>
<tr>
<td>Current Day of Week</td>
<td>One minute</td>
</tr>
<tr>
<td>Current Day of Month</td>
<td>One minute</td>
</tr>
<tr>
<td>Current Time of Day</td>
<td>One minute</td>
</tr>
<tr>
<td>HTTP Request Method</td>
<td>Request</td>
</tr>
<tr>
<td>Java Data Injection Module</td>
<td>User session</td>
</tr>
<tr>
<td>LDAP Attribute</td>
<td>User session; configurable to be cached only for the request with the Force Data Read option.</td>
</tr>
<tr>
<td>LDAP Group</td>
<td>User session</td>
</tr>
<tr>
<td>LDAP OU</td>
<td>User session</td>
</tr>
<tr>
<td>Liberty User Profile</td>
<td>User session</td>
</tr>
<tr>
<td>Proxy Session Cookie</td>
<td>User session</td>
</tr>
<tr>
<td>Roles for Current User</td>
<td>User session</td>
</tr>
<tr>
<td>Roles from Identity Provider</td>
<td>User session</td>
</tr>
<tr>
<td>Shared Secret</td>
<td>User session; configurable to be cached only for the request with the Force Data Read option.</td>
</tr>
<tr>
<td>String Constant</td>
<td>User session</td>
</tr>
<tr>
<td>URL</td>
<td>Request</td>
</tr>
<tr>
<td>URL Scheme</td>
<td>Request</td>
</tr>
<tr>
<td>URL Host</td>
<td>Request</td>
</tr>
<tr>
<td>URL Path</td>
<td>Request</td>
</tr>
<tr>
<td>URL File Name</td>
<td>Request</td>
</tr>
<tr>
<td>URL File Extension</td>
<td>Request</td>
</tr>
<tr>
<td>User Store</td>
<td>User session</td>
</tr>
<tr>
<td>X-Forwarded-For IP</td>
<td>Request</td>
</tr>
</tbody>
</table>
The new unlock feature for SecretStore can resolve this issue. See “Determining a Strategy for Unlocking the SecretStore” on page 300.

30.6.5 Checking for Corrupted Policies

For a policy to be evaluated correctly, the policy must contain a rule. To verify that your system does not contain any policies with configuration errors:

1. In Administration Console Dashboard, click Troubleshooting > Policies. If you have any corrupted policies, they appear in the list.
2. Identify the corrupted policy, then click Remove.

30.6.6 Policy Page Timeout

If your policy page hangs, and you have an LDAP group or LDAP ou being used in the policy, check the health of your user stores (LDAP servers) and ensure that they are communicating.

30.6.7 Policy Creation and Storage

For troubleshooting, you can export the policy and send it to NetIQ for debugging. If the policy uses roles, ensure that you also export the Role policies.

Policies are stored as XML documents in the object directory, with one XML document to represent each policy container. The default policy container (Master_Container) resides at:

```
\novell\accessManagerContainer\VCDN_Root\PartitionsContainer\Partition\ContentPublisherContainer\mastercdn\xpemlPEP\romaContentCollectionXMLDoc
```

Other policy containers are stored following the same path, with a unique name string representing the policy name that replaces the ou=mastercdn portion of the above path.

If you are unsure if the policy is being created correctly or if you need to check to see if the policy is enabled, you can view the policy list in the interface. If you think the GUI is not properly displaying the policy, you can also view the XML by navigating to the Policy Conditions on which you edit rules, right click and choose This Frame > View Frame Source.

30.6.8 Policy Distribution

Policy definitions are not replicated, but are referenced by Access Gateways for which the policy is to be evaluated. The policy reference mechanism is a set of XML elements that refer back to the policy definitions stored in the various policy containers. If you have configured a policy for a protected resource and an Access Gateway does not seem to be executing this policy, use the following procedures to verify that Access Gateway has been configured to use the policy:

1. Set the level of Application logging to verbose. See Section 21.6, “Turning on Logging for Policy Evaluation,” on page 943.
   This enables the tracing of the policy enforcement lists.
2. Search for name of your policy in a <PolicyEnforcementList> element. The ExternalElementRef attribute contains a reference to the policy name.
   You can find these elements in the catalina.out file.
3 If you cannot find the policy name, Access Gateway has not been configured to use the policy. The configuration either needs to be applied or the policy needs to be enabled. For information about how to assign a policy to a protected resource, see Section 3.8.4, "Configuring Protected Resources," on page 106.

4 If you find the policy name associated with the correct protected resource, you need to check why the policy is not evaluating according to your design. Set the level of Application logging to info and examine the policy trace from a user accessing the protected resource. See “Understanding Policy Evaluation Traces” on page 1125.

30.6.9 Policy Evaluation: Access Gateway Devices

The following diagram depicts how Authorization policies fit into the protected resource processing for the proxy.

*Figure 30-8 Policy Evaluation*
The SOAP messages are output to the `catalina.out` file. Sample SOAP messages are shown in the following scenarios:

- Section 30.6.9.1, “Successful Policy Configuration Example,” on page 1093
- Section 30.6.9.2, “No Policy Defined Configuration Example,” on page 1094
- Section 30.6.9.3, “Deny Access Configuration/Evaluation Example,” on page 1095

### 30.6.9.1 Successful Policy Configuration Example

Note the Policy Enforcement Point (PEP) identifier of AGIdentityInjection in the request and the PolicyID in the response.

**Configuration Request**

```xml
toBufSeg: <?xml version="1.0" encoding="UTF-8"?>
  <SOAP-ENV:Body>
    <NXPES ID="12">
      <Configure-ag PEPName="AGIdentityInjection">
        <PolicyEnforcementList
          RuleCombiningAlgorithm="DenyOverridesWithPriority"
          schemaVersion="1.32"
          LastModified="1138389868885"
          LastModifiedBy="cn=admin,o=novell">
          <PolicyRef ElementRefType="ExternalWithIDRef"
            ExternalElementRef="PolicyID_xpemlPEP_AGIdentityInjection_ii_test"
            ExternalDocRef="ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc"
            UserInterfaceID="PolicyID_xpemlPEP_AGIdentityInjection_ii_test"/>
        </PolicyEnforcementList>
      </Configure-ag>
    </NXPES>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

**Configuration Response**

```xml
LibertyProcessMsgCB:
  <SOAP-ENV:Body>
    <NXPES Id="" Status="success">
      <ConfigureResponse PolicyId="7550K8P0-7543-518M-8L8M-N0P2LM2N3O27">
        <ContextDataElement Enum="2551"/>
      </ConfigureResponse>
    </NXPES>
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```
30.6.9.2 No Policy Defined Configuration Example

The following is a sample of a configuration request where the policy code detects that no policies are in effect for the protected resource and Policy Enforcement Point (PEP).

Configuration Request

toBufSeg: <?xml version="1.0" encoding="UTF-8"?>
<SOAP-ENV:Body>
  <NXPES ID="11">
    <Configure-ag PEPName="AGAuthorization">
      <PolicyEnforcementList
        RuleCombiningAlgorithm="DenyOverridesWithPriority"
        schemaVersion="1.32"
        LastModified="1138389868885"
        LastModifiedBy="cn=admin,o=novell">
        <PolicyRef ElementRefType="ExternalWithIDRef"
          ExternalElementRef="PolicyID_xpemlPEP_AGIdentityInjection_ii_test"
          ExternalDocRef="ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc"
          UserInterfaceID="PolicyID_xpemlPEP_AGIdentityInjection_ii_test"/>
      </PolicyEnforcementList>
    </Configure-ag>
  </NXPES>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>

Configuration Response

LibertyProcessMsgCB:
<SOAP-ENV:Body>
  <NXPES Id="" Status="emptypolicyset"/>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>
30.6.9.3 Deny Access Configuration/Evaluation Example

The following is a sample of a configuration request for a Deny policy and an evaluation request for this policy.

Configuration Request

toBufSeg: <?xml version="1.0" encoding="UTF-8"?>
<SOAP-ENV:Body>
<NXPES ID="17">
  <Configure-ag PEPName="AGAuthorization">
    <PolicyEnforcementList
      RuleCombiningAlgorithm="DenyOverridesWithPriority"
      schemaVersion="1.32"
      LastModified="1138718667305"
      LastModifiedBy="cn=admin,o=novell">
      <PolicyRef
        ElementRefType="ExternalWithIDRef"
        ExternalElementRef="PolicyID_xpemlPEP_AGIdentityInjection_custom_test"
        ExternalDocRef="ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc"
        UserInterfaceID="PolicyID_xpemlPEP_AGIdentityInjection_custom_test"/>
      <PolicyRef
        ElementRefType="ExternalWithIDRef"
        ExternalElementRef="PolicyID_xpemlPEP_AGAuthorization_deny-all"
        ExternalDocRef="ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc"
        UserInterfaceID="PolicyID_xpemlPEP_AGAuthorization_deny-all"/>
    </PolicyEnforcementList>
  </Configure-ag>
</NXPES>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>

Configuration Response

LibertyProcessMsgCB:
<SOAP-ENV:Body>
<NXPES Id="" Status="success">
  <ConfigureResponse
    PolicyId="55N3NL81-L29N-2619-K0M8-2L963M0M701"/>
</NXPES>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>
30.7 Troubleshooting MobileAccess

This section discusses how to troubleshoot issues that occur when using MobileAccess.

- Section 30.7.1, “Using the Same Mobile Device for Different Users Causes the Expired Session Error,” on page 1096
- Section 30.7.2, “Simple Authentication with a Pop-up Browser Window Does Not Work for MobileAccess,” on page 1097
- Section 30.7.3, “Users Fail to Authenticate to MobileAccess when Appmarks Are Launched in the Chrome Browser,” on page 1097
- Section 30.7.4, “Changes to MobileAccess do not Appear in Administration Console,” on page 1097

30.7.1 Using the Same Mobile Device for Different Users Causes the Expired Session Error

**Issue:** You have a company iPad or Android tablet. User1 has registered and used the tablet with the company and then left the company. You deregister User1 and then reassign the tablet to User2. After User 2 registers the tablet and tries to access an appmark, they get an error of expired session.

**Solution:** The user must try the appmark a second time and then they can access the resource. The reason for this is a cookie still exists for User1, who no longer is valid. The second attempt replaces the cookie with a valid cookie for User2.
30.7.2 Simple Authentication with a Pop-up Browser Window Does Not Work for MobileAccess

Issue: You have a web server configured to use simple authentication. Simple authentication requires a pop-up browser window for authentication. The users receives a 401 error.

Solution: Mobile platforms do not support pop-up browser windows. Any web server that requires a simple authentication, using a pop-up browser window, fails in the mobile environment with the internal browser. DO not use pop-up browsers windows for authentication in a MobileAccess environment. (948904)

30.7.3 Users Fail to Authenticate to MobileAccess when Appmarks Are Launched in the Chrome Browser

Issue: If a user sets up a Chrome profile and then tries to use a Google Apps resource configured to use Chrome on MobileAccess, the login fails because Chrome passes the saved profile user name and password to the resource instead of passing the user name and password from MobileAccess. This issue occurs for any Google Apps resource (for example, Gmail or Google Drive) on iOS and Android mobile devices. (Bug 948622)

Solution: Users can remove their Chrome profile to avoid this issue, or you can configure the appropriate Google Apps appmarks in Access Manager so the resources open with Firefox, an internal viewer, or a user-selectable option, instead of Chrome.

30.7.4 Changes to MobileAccess do not Appear in Administration Console

Issue: If you have more than one Administration Console, after you make changes to MobileAccess and save the changes, the changes do not appear in Administration Console.

Solution: Access Manager synchronizes the changes between Administration Consoles and this can take some time. There is a built-in delay so that the changes have time to synchronize between Administration Consoles. If you need a further delay than the built-in delay, you can increase the delay with a Java parameter. The Java parameter is defined in a configuration file on Linux and as a registry key on Windows servers.

This problem occurs when you change the Branding of the User Portal page as well. If you make the change for MobileAccess, you do not need to make additional changes to fix Branding. The solution is the same for both features.

To add the Java parameter:

1. (Conditional) If you have a Linux server, you must edit the tomcat7.conf file located here /opt/novell/nam/adminconsole/conf/tomcat7.conf.
   1a Open the tomcat7.conf file in a text editor.
   1b Add the following parameter with a delay value that is appropriate for your environment:

   JAVA_OPTS="${JAVA_OPTS} -DAMSrvDoorBellDelay=10000"

   The value is in milliseconds. This example increases the delay to 10 seconds.
   1c Save and close the tomcat7.conf file.
1d Restart Tomcat to have the parameter take effect.

```
/etc/init.d/novell-ac restart
```

2 (Conditional) If you have a Windows server, you must add a registry key.

2a Launch the Registry Editor as an administrator, by clicking Start > Run, then enter regedit.

2b In the left pane of the Registry Editor, navigate to My Computer > HKEY_LOCAL_MACHINE
>SOFTWARE > Wow6432Node > Apache Software Foundation > Procurn2.0 > Tomcat7 > Parameters > Java.

2c Double-click Options in the right pane of the Registry Editor.

2d Add the following key with the delay value that is appropriate for your environment:

```
-DAMSrvDoorBellDelay=10000"
```

The value is in milliseconds. This example increases the delay to 10 seconds.

2e Close the Registry Editor.

2f Restart Tomcat by using the following commands:

```
net stop Tomcat7
net start Tomcat7
```

30.8 Troubleshooting Code Promotion

- Section 30.8.1, “Troubleshooting Identity Server Code Promotion,” on page 1098
- Section 30.8.2, “Troubleshooting Access Gateway Code Promotion,” on page 1099
- Section 30.8.3, “Troubleshooting Device Customization Code Promotion,” on page 1102

30.8.1 Troubleshooting Identity Server Code Promotion

This section discusses how to troubleshoot any issue occurred during Identity Server Code Promotion.

30.8.1.1 Importing Identity Server Configuration Data Fails

Error message: Configuration Import Failed

While importing the configuration data, the Import Configuration wizard displays this message.

See the details of the failure Administration Console tomcat logs at the following location:

```
/opt/novell/nam/adminconsole/logs/catalina.out
```

Collect the error details and contact the Technical Support team.

To restore your system, go to the Dashboard and click the drop-down menu in the upper right corner > Code Promotion. You will find the backup file that was created as part of import. Download the file and then click Import Configuration on the same page. Re-import this backup configuration to restore to the previous configuration.
30.8.2 Troubleshooting Access Gateway Code Promotion

This section discusses how to troubleshoot any issue occurred during Access Gateway Code Promotion.

- Section 30.8.2.1, "Importing Access Gateway Configuration Data Fails," on page 1099
- Section 30.8.2.2, "Policy Configuration Is Locked," on page 1099
- Section 30.8.2.3, "Access Gateway Configuration Is Locked," on page 1100
- Section 30.8.2.4, "Access Gateway Cluster Is Not Associated with any Identity Server," on page 1100
- Section 30.8.2.5, "Proxy Service Type Does Not Match," on page 1100
- Section 30.8.2.6, "Policy Type Does Not Match," on page 1100
- Section 30.8.2.7, "Cannot Import a Virtual Proxy Service to SSL enabled Master Proxy," on page 1100
- Section 30.8.2.8, "Cookie Domain and Published DNS Name Do Not Match," on page 1101
- Section 30.8.2.9, "SSL Enabled Web Server Configuration Is Imported to a Non-SSL Proxy Service," on page 1101
- Section 30.8.2.10, "Names of Master Proxy Service Are Different," on page 1101
- Section 30.8.2.11, "Reverse Proxy and Master Proxy Service Do Not Exist," on page 1101
- Section 30.8.2.12, "Proxy Service Does Not Exist in the Target Setup," on page 1101
- Section 30.8.2.13, "DNS Name Is Not Unique," on page 1102
- Section 30.8.2.14, "Revert Process Fails for Access Gateway," on page 1102

30.8.2.1 Importing Access Gateway Configuration Data Fails

Error message: Configuration Import Failed

While importing the configuration data, the Import Configuration wizard displays this message.

See the details of the failure Administration Console tomcat logs at the following location:

```
/opt/novell/nam/adminconsole/logs/catalina.out
```

Collect the error details and contact the Technical Support team.

You can restore Access Gateway configuration by using the backup file if you have backed up the
configuration by using the `ambackup` file.

30.8.2.2 Policy Configuration Is Locked

Error message: Policy configuration locked by another user

If an administrator is making changes to policies and you try to import the configuration by using
Code Promotion simultaneously, then import fails.

Ensure that while importing, no other administrator is making changes to configuration. If it is already
locked, click Please unlock to override.

You also need to check which policy containers are locked and then unlock them from the Policy user interface.
### 30.8.2.3 Access Gateway Configuration Is Locked

**Error message:** Access Gateway configuration locked by another user

If an administrator is making changes to Access Gateway configuration and you try to import the configuration by using Code Promotion simultaneously, then import fails.

Ensure that while importing, no other administrator is making changes to configuration. If it is already locked, click **Please unlock to override.** Unlock Access Gateway cluster in Access Gateway user interface for which you are importing the configuration data.

### 30.8.2.4 Access Gateway Cluster Is Not Associated with any Identity Server

**Error message:** Could not generate Access Gateway import overview

Ensure that you associate Access Gateway cluster with an Identity Server cluster before importing protected resources that have Identity Server dependencies such as contracts and custom attributes.

### 30.8.2.5 Proxy Service Type Does Not Match

**Error message:** Proxy service name not unique

If the name of a proxy service is same on the source and target systems, but their type does not match, then the import does not happen. For example, a proxy service is Path Based Multi-Homing on the source setup and a proxy service with the same name is Domain Based Multi-Homing on the target system.

Update the type of the proxy service on the source setup or target setup and then import.

### 30.8.2.6 Policy Type Does Not Match

**Error message:** Invalid input

**Type Mismatch Error:** Cannot import policy <name of the policy> of container <name of the container>. The type of this policy is <type of policy> in the source setup and <type of policy> in the target setup.

If the name of a policy is same on the source and target systems, but their type does not match, then the import does not happen. For example, a policy is defined as authorization policy in the source setup and a policy with the same name is defined as identity injection in the target setup.

Update the type of the policy on the source setup or target setup and then import.

### 30.8.2.7 Cannot Import a Virtual Proxy Service to SSL enabled Master Proxy

**Error message:** Invalid input

Cannot import the new virtual proxy service in (name of reverse proxy) > (name of proxy service) from source Access Gateway cluster <name of the cluster> because SSL is enabled in the reverse proxy <name of the reverse proxy on the target system> in the target Access Gateway cluster.

Import of virtual proxy services to a SSL enabled proxy service in the target system is not allowed. In such cases, ensure that you exclude virtual proxy services during import.
30.8.2.8 **Cookie Domain and Published DNS Name Do Not Match**

**Error message:** Domain-Based Multi-Homing requires the Published Domain Name of proxy service <name of the proxy service being imported> to be in the Cookie Domain of the first Proxy Service under Reverse Proxy

Master proxy service's cookie domain does not match with the imported Domain Based Proxy Service's DNS name.

Update the published DNS name for the specified proxy service while importing it.

30.8.2.9 **SSL Enabled Web Server Configuration Is Imported to a Non-SSL Proxy Service**

**Error message:** Invalid input

Cannot import the SSL enabled proxy service in (name of reverse proxy) > (name of proxy service) from the source Access Gateway cluster because SSL is not enabled in the reverse proxy in the target Access Gateway cluster.

You cannot import SSL enabled proxy service to non SSL enabled reverse proxy. Before importing, enable SSL for the target reverse proxy or disable SSL for source proxy service.

30.8.2.10 **Names of Master Proxy Service Are Different**

**Error message:** Invalid input

Cannot import master proxy service from the source Access Gateway cluster as another master proxy service with a different name already exists in the target Access Gateway cluster.

Name of the master proxy service must be same on the source and target systems. Update the name on the source or target setup before importing it.

30.8.2.11 **Reverse Proxy and Master Proxy Service Do Not Exist**

**Error message:** Invalid input

Reverse Proxy does not exist in the target Access Gateway cluster

For importing a proxy service or protected resource, if the corresponding reverse proxy or master proxy service does not exist, then you must create reverse proxy and master proxy service on the target system before starting the Code Promotion import.

30.8.2.12 **Proxy Service Does Not Exist in the Target Setup**

**Error message:** Invalid input

Proxy Service does not exist in the target Access Gateway cluster

Importing only selected protected resources for a domain-based proxy service that does not exist in the target setup fails. You must also import the related domain-based proxy service in such cases.
30.8.2.13 DNS Name Is Not Unique

Error message: Published DNS Name is not unique under Reverse Proxy in the target setup.

DNS name must be unique under a reverse proxy. Specify a unique name in the Published DNS Name field for the proxy service during import.

30.8.2.14 Revert Process Fails for Access Gateway

In case of any error during the import process, system tries to revert to the previous configuration. If any error occurs during this revert process, then Code Promotion displays a message specifying the component for which the revert process failed. Components include Access Gateway configuration and dependent policies and policy extensions. In this case, you need to restore the pre-import configuration manually by using ambackup. You should take a backup by using the ambackup file before importing the configuration data.

30.8.3 Troubleshooting Device Customization Code Promotion

This section discusses how to troubleshoot any issue occurred during device customization Code Promotion.

30.8.3.1 Custom Files Are Not Imported

Ensure that the custom files are available in the source setup and paths are correct.

Verify Administration Console catalina.log of the source setup after export. This log file contains information about files which are not exported.

30.9 Troubleshooting the Device Fingerprint Rule

- Section 30.9.1, “Enabling the Debug Option for the Device Fingerprint Rule,” on page 1102

30.9.1 Enabling the Debug Option for the Device Fingerprint Rule

When enabled, the debug option shows all parameters fetched from the browser before submitting the fingerprint.

Perform the following steps to enable the debug option for the Device Fingerprint rule:

1. Open /opt/novell/nam/idp/conf/tomcat.conf of Identity Server:
2. Add the following option:
   \[\text{JAVA_OPTS}="\{\text{JAVA_OPTS}\} -Dcom.microfocus.nam.device.fingerprint.debug=true"

**NOTE:** When the Debug option is enabled, fingerprint data is be shown to all users during login to Identity Server. It is recommended to disable this option after debugging is completed.
30.9.2 Using Logs to Understand How the Device Fingerprint Rule Is Evaluated

If you encounter any error during the Device Fingerprint rule evaluation, check the log files to review the error code. The log file location is:

/opt/novell/nam/idp/logs/catalina.out

For example, a Device Fingerprint Rule is set up with the following details:

- Parameters selected for individual evaluation: Operating System Parameters
- Parameters selected for group evaluation: Hardware Parameters, Language Set, User Agent
- Parameters in the group must match: 80%

The following sections include evaluation traces and log entries in different scenarios for this rule:

- Section 30.9.2.1, "A Fingerprint Does Not Exist," on page 1103
- Section 30.9.2.2, "Fingerprint Matches," on page 1104
- Section 30.9.2.3, "Fingerprint Does Not Match," on page 1105
- Section 30.9.2.4, "When Fingerprint Matches though Some Parameters in the Group Do Not Match," on page 1105
- Section 30.9.2.5, "When Fingerprint Does Not Match as the Evaluation of Group Parameters Fails," on page 1106

30.9.2.1 A Fingerprint Does Not Exist

When a user logs in first time, no fingerprint is available for that device.

Device Fingerprint Evaluation Trace:

Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: NA
Currently fetched device info:
   {"cpuArchitecture":{"cpuArchitecture_cpuArchitecture":"amd64"},"deviceLanguage":{"deviceLanguage_deviceDefaultLanguage":"en-US","deviceLanguage_deviceLanguageSet":"en-US,en"},"navigatorPlatform":{"navigatorPlatform_navigatorPlatform":"Linux x86_64"},"operatingSystem":{"operatingSystem_osVersion":"x86_64","operatingSystem_osName":"Linux"},"userAgent":{"userAgent_uaVersion":"39.0","userAgent_uaName":"Firefox"},"nonce":"1470327524972","deviceType":"NA$NA$NA","dnt":"NA","navigatorConcurrency":"NA","deviceTouchPoints":"NA","colorDepth":24,}
Total number of known devices to compare against: 0
Overall Result: Mismatch
Failure Cause: No fingerprint or known device found.
*****************************************************************************
</amLogEntry>
30.9.2.2 Fingerprint Matches

When all parameters matches 100%.

Device Fingerprint Evaluation Trace

Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: NA
Currently fetched device info:
{"cpuArchitecture":{"cpuArchitecture_cpuArchitecture":"amd64"},"deviceLanguage":{"deviceLanguage_deviceDefaultLanguage":"en-US","deviceLanguage_deviceLanguageSet":"en-US,en"},"navigatorPlatform":{"navigatorPlatform_navigatorPlatform":"Linux x86_64"},"operatingSystem":{"operatingSystem_osVersion":"x86_64","operatingSystem_osName":"Linux"},"userAgent":{"userAgent_uaVersion":"39.0","userAgent_uaName":"Firefox"},"nonce":"1470327774198","deviceType":"NA$NA$NA","dnt":"NA","navigatorConcurrency":"NA","deviceTouchPoints":"NA","colorDepth":24,}
Total number of known devices to compare against: 1
Overall Result: Match
************Summary of comparison against known device*************
Evaluation Result: Match
Device Fingerprint:
{"deviceType":"NA$NA$NA","deviceLanguage_deviceDefaultLanguage":"en-US","userAgent_uaVersion":"39.0","lastUsageTime":"1470327529609","cpuArchitecture_cpuArchitecture":"amd64","dnt":"NA","nonce":"1470327524972","operatingSystem_osVersion":"x86_64","deviceLanguage_deviceLanguageSet":"en-US,en","userAgent_uaName":"Firefox","navigatorConcurrency":"NA","deviceTouchPoints":"NA","navigatorPlatform_navigatorPlatform":"Linux x86_64","colorDepth":24,"operatingSystem_osName":"Linux"}
Match Percentage: 100.0
************End of comparison against known device*************
**************Trace End***************
30.9.2.3 **Fingerprint Does Not Match**

When the evaluation on an individual parameter fails. In this example, it is Operating system Parameters.

**Device Fingerprint Evaluation Trace**

Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: NA
Currently fetched device info:
"cpuArchitecture": "amd64",
"deviceLanguage": "en-US",
"navigatorPlatform": "Linux",
"operatingSystem": "x86_64",
"userAgent": "Firefox",
"webglData": {},
"nonce": "1470328154673",
"deviceType": "NA$NA$NA",
"dnt": "NA",
"navigatorConcurrency": "NA",
"deviceTouchPoints": "NA",
"colorDepth": 24
Total number of known devices to compare against: 1
Overall Result: Mismatch

*************Summary of comparison against known device*************
Evaluation Result: Mismatch
Device Fingerprint:
"cpuArchitecture": "amd64",
"deviceLanguage": "en-US",
"navigatorPlatform": "Linux",
"operatingSystem": "x86_64",
"userAgent": "Firefox",
"webglData": {},
"nonce": "1470328154673",
"deviceType": "NA$NA$NA",
"dnt": "NA",
"navigatorConcurrency": "NA",
"deviceTouchPoints": "NA",
"colorDepth": 24
Failure Cause: At least one mandatory attribute failed match/is unavailable.
Offending Mandatory Attribute: operatingSystem_osVersion

*******************End of comparison against known device*******************

</amLogEntry>

30.9.2.4 **When Fingerprint Matches though Some Parameters in the Group Do Not Match**

When the group parameters do not match 100%, but meet the match criteria specified in the rule.

**Device Fingerprint Evaluation Trace**
Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: NA
Currently fetched device info:
{"availFontSet":{},"cpuArchitecture":{"cpuArchitecture_cpuArchitecture":"amd64"},"deviceLanguage":{"deviceLanguage_deviceDefaultLanguage":"en-US","deviceLanguage_deviceLanguageSet":"en-US,en"},"html5DataSet":{},"navigatorPlatform":{"navigatorPlatform_navigatorPlatform":"Linux x86_64"},"operatingSystem":{"operatingSystem_osVersion":"x86_64","operatingSystem_osName":"Linux"},"screenResolution":{},"userAgent":{"userAgent_uaVersion":"39.1","userAgent_uaName":"Firefox"},"webglData":{},"nonce":"1470328282330","deviceType":"NA$NA$NA","dnt":"NA","navigatorConcurrency":"NA","deviceTouchPoints":"NA","colorDepth":24,"headerSet":{},"userDN":{},"clientIP":{}}
Total number of known devices to compare against: 1
Overall Result: Match
*************Summary of comparison against known device*************
Evaluation Result: Match
Device Fingerprint:
{"deviceType":"NA$NA$NA","deviceLanguage_deviceDefaultLanguage":"en-US","userAgent_uaVersion":"39.0","lastUsageTime":"1470328017123","cpuArchitecture_cpuArchitecture":"amd64","dnt":"NA","nonce":"1470328016641","operatingSystem_osVersion":"x86_64","deviceLanguage_deviceLanguageSet":"en-US,en","userAgent_uaName":"Firefox","navigatorConcurrency":"NA","deviceTouchPoints":"NA","navigatorPlatform_navigatorPlatform":"Linux x86_64","colorDepth":24,"operatingSystem_osName":"Linux"}
Match Percentage: 85.71429
Mismatching Flexible Attributes: [userAgent_uaVersion]
***************End of comparison against known device***************
***************************Trace End*************************

30.9.2.5 When Fingerprint Does Not Match as the Evaluation of Group Parameters Fails

When the group parameters does not match the criteria as specified in the rule.

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Section 30.10.1, “Troubleshooting Using the Log Files,” on page 1108
Section 30.10.2, “Important Error Messages,” on page 1112
Section 30.10.3, “Checking Session Assurance Configuration Details,” on page 1113

NOTE: If any critical issue happens, you can disable Advanced Session Assurance for the specific URLs and user-agents. For information about how to disable Advanced Session Assurance, see “Disabling Advanced Session Assurance” on page 845.
30.10.1 Troubleshooting Using the Log Files

The following are the locations of log files:

Identity Server:

You must select **Echo to Console (Devices > Identity Servers > Edit > Auditing and Logging)** to enable logging to these files.

/var/opt/novell/nam/logs/idp/tomcat/catalina.out

Access Gateway ESP:

/var/opt/novell/nam/logs/mag/tomcat/catalina.out

Access Gateway:

/var/log/novell-apache2/error_log

- Section 30.10.1.1, “Using Logs,” on page 1108
- Section 30.10.1.2, “Using debug Logs,” on page 1109

30.10.1.1 Using Logs

For basic troubleshooting, enable the **severe** log level for Identity Server and Access Gateway ESP and the **crit** log level for Access Gateway.

Access Gateway:

1. Click **Devices > Access Gateways > Edit > Advanced Options**.
2. Add the following:
   
   LogLevel crit

Identity Server:

1. Click **Devices > Identity Servers > Edit > Auditing and Logging**.
2. Select **File Logging** and **Echo to Console**.
3. Under **Component File Logger Levels > Application**, select **severe**.

If you want advanced troubleshooting, enable the debug level. See “Using debug Logs” on page 1109.

Sample log messages when Session Assurance fails:

These log snippets provide the following information:

- User DN
- Correlation ID (session ID)
- Currently fetched device information
- Device Fingerprint (Device fingerprint stored in the session)
- Result
- Failure cause
- Offending Mandatory Attribute (information about the parameter that did not match)
Identity Server

<amLogEntry> 2016-09-23T09:59:06Z SEVERE NIDS Application:
***************Device Fingerprint Evaluation Trace***************
Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: d2ee43e3fbb2ca0487c9088fbc14c64cae552ecf6233412aa73fe6758a329598
Currently fetched device info: {"headerSet":{"user-agent":"Microsoft Office Protocol Discovery"})
Total number of known devices to compare against: 1
Overall Result: Mismatch

***************Summary of comparison against known device***************

Evaluation Result: Mismatch
Device Fingerprint: {"user-agent":"Mozilla/5.0 (X11; Linux x86_64; rv:39.0) Gecko/20100101 Firefox/39.0"}
Failure Cause: At least one individual attribute failed match/is unavailable.
Offending individual attribute: user-agent

***************End of comparison against known device***************

</amLogEntry>

<amLogEntry> 2016-09-23T09:59:06Z SEVERE NIDS Application: The session might have been hijacked. Logging out</amLogEntry>

Access Gateway

The following is a snippet of the log when the crit level is enabled. This log records the session assurance failure message:

Sep 28 20:27:07 namiso httpd[9797]: [crit] AM#104600404 AMDEVICEID#ag-8B62635F46CD2776:
AMAUTHID#965dce7b7f4963730fed0bebf93d4ef70e062fb90e590569729f2b9b9dfd:
AMEVENTID#23: logging out user with DN=cn=admin,o=novell and session ID =965dce7b7f4963730fed0bebf93d4ef70e062fb90e590569729f2b9b9dfd because of session assurance mismatch

30.10.2 Using debug Logs

Debug logs include detailed information such as reason of failure, list of parameters and session interval value.

Perform the following steps to enable logging at the debug level:

Access Gateway:

2. Add the following line:
   LogLevel debug

Identity Server:

1. Click Devices > Identity Servers > Edit > Auditing and Logging.
2. Select File Logging and Echo to Console.
Sample log messages generated at the debug log level when Session
Assurance fails:
Device Fingerprint Evaluation Trace for Identity Server
This log snippet provides the following information:
 User DN
 Correlation ID (session ID)
 Currently fetched device information
 Device Fingerprint (Device fingerprint stored in the session)
 Result
 Failure cause
 Offending Mandatory Attribute (information about the parameter that did not match)
 List of parameters being considered in the fingerprinting
*************Device Fingerprint Evaluation Trace*************
Evaluating device fingerprint for user: cn=admin,o=novell
Correlation ID: CF0E200CA9FB92A3F29D79560140526E
Currently fetched device info:
{"availFontSet":{},"cpuArchitecture":{"cpuArchitecture_cpuArchitecture":"amd64"},"
deviceLanguage":{"deviceLanguage_deviceLanguageSet":"enUS,en","deviceLanguage_deviceDefaultLanguage":"enUS"},"html5DataSet":{},"navigatorPlatform":{},"operatingSystem":{"operatingSystem_
osName":"Windows","operatingSystem_osVersion":"7"},"screenResolution":{},"userAgen
t":{},"webglData":{},"nonce":"1470635556957","deviceType":"NA$NA$NA","deviceTouchP
oints":0,"colorDepth":24,"headerSet":{},"userDN":{},"clientIP":{}}
Total number of known devices to compare against: 1
Overall Result: Mismatch
*************Summary of comparison against known device*************
Evaluation Result: Mismatch
Device Fingerprint:
{"deviceType":"NA$NA$NA","deviceLanguage_deviceLanguageSet":"enUS,en,af","deviceLanguage_deviceDefaultLanguage":"enUS","deviceTouchPoints":"0","cpuArchitecture_cpuArchitecture":"amd64","colorDepth"
:"24","nonce":"1470635480882","operatingSystem_osName":"Windows","operatingSystem_
osVersion":"7"}
Failure Cause: Atleast one mandatory attribute failed match/is unavailable.
Offending Mandatory Attribute: deviceLanguage_deviceLanguageSet
***************End of comparison against known device***************
***************************Trace End*************************
</amLogEntry>
<amLogEntry> 2016-08-08T05:52:39Z SEVERE NIDS Application: Session seems to have
got hijacked so logout! Trying to forcefully log out session
CF0E200CA9FB92A3F29D79560140526E. Root cause: error during evaluating fingerprint.
Evaluated nonce is null

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Device Fingerprint Evaluation Trace for Access Gateway

Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: configuring session assurance policy
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: session assurance is enabled
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: trigger time =1
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: list of attributes enabled for session assurance...

Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: server side finger print=clientip
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: colorDepth
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: cpuArchitecture_cpuArchitecture
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: deviceTouchPoints
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: deviceTouchSupport
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: deviceType
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: deviceLanguage_deviceLanguageSet
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: deviceLanguage_deviceDefaultLanguage
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: operatingSystem_osName
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: AMAUTHID#: AMEVENTID#8568: operatingSystem_osVersion
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: server side finger print=user-agent
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: timezoneOffset
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: dnt
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: navigatorConcurrency
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: navigatorPlatform
Sep 29 18:03:05 lsb httpd[30697]: [info] AM#504600000 AMDEVICEID#ag-95F88CA3CFF470ED: userAgent uaName
30.10.2 Important Error Messages

The following sections include important error messages along with required actions:

- Section 30.10.2.1, “Cookie mismatch. The session might have been hijacked. Logging out session <sessionID>,” on page 1112
- Section 30.10.2.2, “Nonce has been used already. Possible replay attack. Logging out the session <sessionID>,” on page 1112
- Section 30.10.2.3, “Fingerprint evaluation failed. The session might have been hijacked. Logging out the session <sessionID>,” on page 1112

30.10.2.1 Cookie mismatch. The session might have been hijacked. Logging out session <sessionID>

This message is logged when the session might have been hijacked. If the session is intact and still you get this error, contact the technical support team with debug logs.

30.10.2.2 Nonce has been used already. Possible replay attack. Logging out the session <sessionID>

This message is logged when the session might have hijacked. Contact the technical support team with debug logs and login again.

30.10.2.3 Fingerprint evaluation failed. The session might have been hijacked. Logging out the session <sessionID>

Check the log to see what error occurred. Mostly, this message is logged when the fingerprint does not match.

For example, you will see the following mismatch error when language settings do not match during a user session. This might be due to session hijacking as language settings would not match when two different users are trying to access the same session from separate devices.
30.10.3 Checking Session Assurance Configuration Details

You can check the configuration details in the debug log files. In the `catalina.out` or `stdout.log`, you can check whether Session Assurance is initialized, what parameters are enabled, and the time-frequency. The log file captures first request and further evaluation after login exceeds time interval.

If an error occurs while initializing Session Assurance, it gets disabled.

**Example Log Snippets**

Information about whether Session Assurance is enabled:

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeFPConfiguration Thread: RMI TCP Connection(1)-127.0.0.1 Session assurance enabled true </amLogEntry>
```

Information about whether Session Assurance initialized

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeExcludeListSetting Thread: RMI TCP Connection(1)-127.0.0.1 Session Assurance : User Agent Exclude list [NMA_Auth] </amLogEntry>
```

Session Assurance IDC cookie grace period is 20 seconds

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.getNidpConfigPropertyInt Thread: RMI TCP Connection(1)-127.0.0.1 Property read from edirectory configuration store --------> Property:SESSION ASSURANCE IDC COOKIE GRACEPERIOD Value: 20 </amLogEntry>
```

Session Assurance interval is 1.0 minute

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeFPConfiguration Thread: RMI TCP Connection(1)-127.0.0.1 Session assurance interval 1.0minutes </amLogEntry>
```
Parameters being evaluated in the fingerprint are Client IP, User-agent, Hardware Parameters, Operating System, Screen Resolution and TimeZone Offset.

Session assurance plan

```
<SessionAssurance plan>

<PropertyParams PropertyName="clientIP" PropertyRequired="true"/>
<PropertyParams PropertyName="colorDepth" PropertyRequired="true"/>
<PropertyParams PropertyName="cpuArchitecture_cpuArchitecture" PropertyRequired="true"/>
<PropertyParams PropertyName="deviceTouchPoints" PropertyRequired="true"/>
<PropertyParams PropertyName="deviceType" PropertyRequired="true"/>
<PropertyParams PropertyName="operatingSystem_osName" PropertyRequired="true"/>
<PropertyParams PropertyName="operatingSystem_osVersion" PropertyRequired="true"/>
<PropertyParams PropertyName="user-agent" PropertyRequired="true"/>
<PropertyParams PropertyName="screenResolution_availableScreenResolution" PropertyRequired="true"/>
<PropertyParams PropertyName="screenResolution_screenResolution" PropertyRequired="true"/>
<PropertyParams PropertyName="timezoneOffset" PropertyRequired="true"/>
</PropertyParams>
```

List of server-side parameters: Client IP and User Agent

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeFPConfiguration Thread: RMI TCP Connection(1)-127.0.0.1 Server Side Fingerprint Attributes [clientIP, user-agent] </amLogEntry>
```

List of client-side parameters: Hardware Parameters, Operating System Parameters, Screen Resolution, Time Zone Offset

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeFPConfiguration Thread: RMI TCP Connection(1)-127.0.0.1 Client Side Fingerprint Attributes [colorDepth, cpuArchitecture_cpuArchitecture, deviceTouchPoints, deviceType, operatingSystem_osName, operatingSystem_osVersion, screenResolution_availableScreenResolution, screenResolution_screenResolution, timezoneOffset] </amLogEntry>
```

Information about whether exclude has been configured for any resource

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.getNidpConfigPropertyString Thread: RMI TCP Connection(1)-127.0.0.1 Property read from edirectory configuration store --------> Property:SESSION ASSURANCE USER AGENT REGEX EXCLUDE LIST Value: Android 4\. </amLogEntry>
```

```
<amLogEntry> 2016-09-06T19:19:34Z DEBUG NIDS Application: Method: NIDPSessionAssurance.initializeExcludeListSetting Thread: RMI TCP Connection(1)-127.0.0.1 Session Assurance : User Agent Regex Exclude list [Android 4\.] </amLogEntry>
```
30.11 Troubleshooting OAuth and OpenID Connect

This section discusses the following issues and workaround:

- Section 30.11.1, “Users Cannot Register a Client Application,” on page 1115
- Section 30.11.2, “Token Exchanges Show Redirect URI Invalid Error,” on page 1115
- Section 30.11.3, “Users Cannot Register or Modify a Client Application with Specific Options,” on page 1115
- Section 30.11.4, “A Specific Claim Does Not Come to the UserInfo Endpoint during Claims Request,” on page 1116
- Section 30.11.5, “Access Gateway OAuth Fails,” on page 1116
- Section 30.11.6, “After Allowing Consent, 500 Internal Server Error Occurs,” on page 1116
- Section 30.11.7, “No Error Message When a Token Request Contains Repetitive Parameters,” on page 1116
- Section 30.11.8, “OAuth Token Encryption/Signing Key Is Compromised or Corrupted,” on page 1116
- Section 30.11.9, “Tracing OAuth Requests,” on page 1117
- Section 30.11.10, “OAuth Client Registration Fails If a Role Policy Contains a Condition Other than LDAP Attribute, LDAP Group, or LDAP OU,” on page 1117
- Section 30.11.11, “The Identity Injection Policy Does Not Inject Passwords,” on page 1118
- Section 30.11.12, “OAuth Apps Fail After Upgrading Access Manager,” on page 1118

30.11.1 Users Cannot Register a Client Application

In Administration Console, verify whether the user has role NAM_OAUTH2_DEVELOPER or NAM_OAUTH2_ADMIN configured in Identity Server Role policy configuration.

Verify the REST communication between browser and Identity Server by using Chrome developer console.

30.11.2 Token Exchanges Show Redirect URI Invalid Error

Go to Administration Console > Devices > Identity Servers > Edit > OAuth & OpenID Connect > Client Applications. Open the client application and verify whether the specified URI is configured for the client application.

30.11.3 Users Cannot Register or Modify a Client Application with Specific Options

Verify the options enabled for the client application. An administrator must enable same options in the Global Settings page.
30.11.4 A Specific Claim Does Not Come to the UserInfo Endpoint during Claims Request

Verify the following points:

- Whether the user has a value for that attribute. If the value is empty, UserInfo does not return any value in JSON.
- The Identity Sever has provided the requested scope. You can check this with the TokenInfo endpoint by providing an Access token.
- The scope contains the attribute mapping for the missing attribute.
  You can access only LDAP attributes as claims at this time.

30.11.5 Access Gateway OAuth Fails

Perform the following actions:

- Verify whether Activate OAuth is selected for the Protected Resource.
- Verify authorization policies are configured. Also, verify if the token contains required scopes by using the TokenInfo endpoint.
- Verify Identity Injection policies. Enable Application debug logs in Identity Server and ESP and check for policy results.

30.11.6 After Allowing Consent, 500 Internal Server Error Occurs

Verify whether the attribute you have configured in the Global Setting page is available and stored in the user store. Ensure that the correct attribute to store authorization grant is available in the user store and it is writable to the user store.

30.11.7 No Error Message When a Token Request Contains Repetitive Parameters

Ensure that you do not send the same parameter multiple times in a single request. The base framework reads only last or first available parameters if multiple query parameters have the same name.

30.11.8 Oauth Token Encryption/Signing Key Is Compromised or Corrupted

Regenerate the token encryption/signing key by using the following steps:

1. Delete the nidsOAuthKeysXML attribute from the e-Directory at the following location:
   \( o=novell, ou=accessManagerContainer, cn=nids, cn=cluster, cn=IDP_Cluster, cn=OAuth_Container \)

2. Go to Administration Console and click Devices > Identity Server > Edit.

3. Update Identity Server cluster.
30.11.9 Tracing OAuth Requests

You can trace each OAuth request and response by setting the following property in `tomcat.conf`.

Add the following line in `/opt/novell/nam/idp/conf/tomcat.conf`:

```
JAVA_OPTS="${JAVA_OPTS} -Dcom.novell.nidp.oauth.jersey.trace=ALL"
```

You can specify the following parameters:

- **OFF**: tracing support is disabled (default value)
- **ON_DEMAND**: tracing support in a stand-by mode. It is enabled selectively per request through a special `X-Jersey-Tracing-Accept` HTTP request header. The Jersey tracing facility does not use the value of the `X-Jersey-Tracing-Accept` header and as such, it can be any arbitrary string.
- **ALL**: tracing support is enabled for all requests

You can customize the level of detail of the information (tracing threshold) provided by Jersey tracing facility. You can set the tracing threshold at a request level through `X-Jersey-Tracing-Threshold` HTTP request header. The request level configuration overrides any application level setting. Supported levels include SUMMARY, TRACE, and VERBOSE.

- **SUMMARY**: basic summary information about the main request processing stages
- **TRACE**: detailed information about activities in all main request processing stages (default threshold value)
- **VERBOSE**: extended information similar to the TRACE level, however it includes details about entity providers (MBR/MBW) that were skipped during the provider selection phase for any reason (such as lower priority or pattern matching). Additionally, in this mode all received request headers are echoed as part of the tracing information.

For more information, see Monitoring and Diagnostics.

30.11.10 OAuth Client Registration Fails If a Role Policy Contains a Condition Other than LDAP Attribute, LDAP Group, or LDAP OU

For registering OAuth client applications by using Identity Server, you must have a role called `NAM_OAUTH2_DEVELOPER` assigned.

The following are the recommended conditions in an Identity Server Role policy that assigns the `NAM_OAUTH2_DEVELOPER` role:

- LDAP Attribute
- LDAP Group
- LDAP OU conditions

The client registration will not work if this role policy contains any of the following conditions:

- Authorizing IDP
- Authorizing Contract
- Authorizing Method
- Authorizing Type
- Credential Profile
30.11.11 The Identity Injection Policy Does Not Inject Passwords

Verify logs by enabling the debug level. Verify whether, in Identity Server, the userinfo request is coming with sp-id. Logs should include the fetching password for user term. If any issue occurs, the log includes the error message.

30.11.12 OAuth Apps Fail After Upgrading Access Manager

The OAuth apps fail after you upgrade Access Manager. This is caused due to the expired authorization code.

To workaround this issue, you need to upgrade both Access Gateway and Identity Server to Access Manager at the same time. For more information, see TID.

30.12 Troubleshooting User Attribute Retrieval and Transformation

This section discusses how to troubleshoot any issue occurred in User Attribute Retrieval and Transformation feature.

- Section 30.12.1, “No Value Is Fetched from Attribute Source in Identity Server,” on page 1118
- Section 30.12.2, “Error Message While Testing a Database Connection,” on page 1118
- Section 30.12.3, “Regex Replace Error Message,” on page 1119

30.12.1 No Value Is Fetched from Attribute Source in Identity Server

In Identity Server, no Attribute Source value is fetched from the data source. However, the test functionality fetches the data and displays the correct result in Administration Console.

To troubleshoot this issue, check the error logs for any Data Source connection issues.

- Linux: /opt/novell/nam/logs/idp/tomcat/catalina.out
- Windows: \Program Files (x86)\Novell\Tomcat\logs\stdout.log

If the Data Source is a database, ensure that the driver jars are available in both Identity Server and Administration Console. If the Data Source is a secure LDAP connection, ensure that SSL certificate of the LDAP directory is imported in Identity Server’s trust store. Update all Identity Servers.

30.12.2 Error Message While Testing a Database Connection

Error 1:

While testing a database connection, if the error message displays: "Driver com.microsoft.sqlserver.jdbc.SQLServerDriver claims to not accept JDBC URL.....", then the issue can be due to the format of the specified URL.
To troubleshoot this issue, specify a correct format of the JDBC URL. You can refer to the example specified in the Edit Data Source interface.

**Error 2:**

While testing a database connection, if the error message displays: "driverClassName specified class 'com.microsoft.sqlserver.jdbc.SQLServerDriver' could not be loaded", or "driverClassName specified class 'oracle.jdbc.driver.OracleDrive' could not be loaded", then the issue is with the respective drivers.

To troubleshoot this issue, add the corresponding database drivers in Administration Console and Identity Server respectively. Restart Administration Console and Identity Server.

**Error 3:**

While testing a data source connection, if the error message displays: "Exception during pool initialization", then the issue can be with the incorrect SID specified in the database URL or due to incorrect port or hostname.

To troubleshoot this issue, check the error logs for any data source connection issues:

- Linux: /opt/novell/nam/logs/adminconsole/tomcat/catalina.out
- Windows: \Program Files (x86)\Novell\Tomcat\logs\stdout.log

**30.12.3 Regex Replace Error Message**

While performing a regex replace function, if you specify /a, then, the following error message displays:

- On Firefox browser: Incorrect syntax : invalid regular expression flag a
- On Chrome browser: Incorrect syntax : Invalid flags supplied to RegExp constructor 'a'

To troubleshoot this issue, ensure that you specify a correct regular expression. For example: 

```
/ pattern / modifiers. Example: var patt = /abc/i. Where, /abc/i is a regular expression and abc is a pattern (used in the search). i is a modifier (modifies the search to be case-insensitive).
```

**30.13 Troubleshooting Impersonation**

This section discusses how to troubleshoot any issue occurred during Impersonation.

**30.13.1 Internet Explorer Caching Error**

If you perform Impersonation by using Internet Explorer, there is a caching error. You can view only the outdated cached information instead of the latest saved configuration.

To troubleshoot this issue, you need to make the following change in the cache settings of Internet Explorer:

1. In Internet Explorer, click Tools > Internet Options.
2. Go to General tab > Browsing history > Settings.
3. Under the Check for newer versions of stored pages option, select Every time I visit the webpage.
30.14  Troubleshooting Branding

This section discusses how to troubleshoot any issue that occur when you change the Branding for the User Portal page.

30.14.1 Changes to Branding do not Appear in Administration Console

**Issue:** If you have more than one Administration Console, after you make changes to Branding for the User Portal page and save the changes, the changes do not appear in Administration Console.

**Solution:** Access Manager synchronizes the changes between Administration Consoles and this can take some time. There is a built-in delay so that the changes have time to synchronize between Administration Consoles. If you need a further delay than the built-in delay, you can increase the delay with a Java parameter. The Java parameter is defined in a configuration file on Linux and as a registry key on Windows servers.

This problem occurs when you make changes to MobileAccess as well. If you make the change for Branding, you do not need to make additional changes to fix Branding. The solution is the same for both features.

To add the Java parameter:

1  (Conditional) If you have a Linux server, you must edit the `tomcat7.conf` file located here /opt/novell/nam/adminconsole/conf/tomcat7.conf.
   1a  Open the `tomcat7.conf` file in a text editor.
   1b  Add the following parameter with a delay value that is appropriate for your environment:

   ```
   JAVA_OPTS="${JAVA_OPTS} -DAMSrvDoorBellDelay=10000"
   ```
   
   The value is in milliseconds. This example increases the delay to 10 seconds.
   1c  Save and close the `tomcat7.conf` file.
   1d  Restart Tomcat to have the parameter take effect.

2  (Conditional) If you have a Windows server, you must add a registry key.
   2a  Launch the Registry Editor as an administrator, by clicking Start > Run, then enter `regedit`.
   2b  In the left pane of the Registry Editor, navigate to My Computer > HKEY_LOCAL_MACHINE >SOFTWARE > Wow6432Node > Apache Software Foundation > Procurn2.0 > Tomcat7 > Parameters > Java.
   2c  Double-click Options in the right pane of the Registry Editor.
   2d  Add the following key with the delay value that is appropriate for your environment:

   ```
   -DAMSrvDoorBellDelay=10000"
   ```
   
   The value is in milliseconds. This example increases the delay to 10 seconds.
   2e  Close the Registry Editor.
   2f  Restart Tomcat by using the following commands:

   ```
   net stop Tomcat7
   net start Tomcat7
   ```
30.15 Using Log Files for Troubleshooting

The following sections provide information about how to use log files for troubleshooting problems:

- Section 30.15.1, “Sample Authentication Traces,” on page 1121
- Section 30.15.2, “Understanding Policy Evaluation Traces,” on page 1125
- Section 30.15.3, “Adding Hashed Cookies into Browsers,” on page 1142

30.15.1 Sample Authentication Traces

An authentication trace is logged to the catalina.out file of Identity Server that authenticates the user. If Access Gateway initiates the authentication because of a user request to a protected resource, the Embedded Service Provider log file of Access Gateway also contains entries for the authentication sequence. Identity Server logging must be enabled to produce authentication traces (see Section 21.3.1, “Configuring Logging for Identity Server,” on page 922).

This section describes the following types of authentication traces:

- Section 30.15.1.1, “Direct Authentication Request to Identity Server,” on page 1121
- Section 30.15.1.2, “Protected Resource Authentication Trace,” on page 1123

30.15.1.1 Direct Authentication Request to Identity Server

The following trace is an example of a user logging directly into Identity Server to access the end user portal. The log entries are numbered, so that they can be described.


2. <amLogEntry> 2009-06-14T17:14:30Z INFO NIDS Application: AM#500105009: AMDEVICEID#9921459588EAAC29: AMAUTHID#F35A3C7AD7F2EEDEB3D17F99EC3F39D1: Executing contract Name/Password - Form. </amLogEntry>

3. <amLogEntry> 2009-06-14T17:14:30Z INFO NIDS Application: AM#500105010: AMDEVICEID#9921459588EAAC29: AMAUTHID#F35A3C7AD7F2EEDEB3D17F99EC3F39D1: Contract Name/Password - Form requires additional interaction. </amLogEntry>


5. <amLogEntry> 2009-06-14T17:14:39Z INFO NIDS Application: AM#500105009: AMDEVICEID#9921459588EAAC29: AMAUTHID#F35A3C7AD7F2EEDEB3D17F99EC3F39D1: Executing contract Name/Password - Form. </amLogEntry>

6. <amLogEntry> 2009-06-14T17:14:39Z INFO NIDS Application: AM#500105014: AMDEVICEID#9921459588EAAC29: AMAUTHID#F35A3C7AD7F2EEDEB3D17F99EC3F39D1: Attempting to authenticate user cn=bcf,o=novell with provided credentials. </amLogEntry>

7. <amLogEntry> 2009-06-14T17:14:39Z WARNING NIDS Application: Event Id: 3014666, Target: cn=bcf,o=novell, Sub-Target: F35A3C7AD7F2EEDEB3D17F99EC3F39D1, Note 1: Local, Note 2: This Identity Provider, Note 3: name/password/url, Numeric 1: 0 </amLogEntry>
8. `<amLogEntry> 2009-06-14T17:14:39Z WARNING NIDS Application: Event Id: 3015456, Note 1: F35A3C7AD7F2EDEB3D17F99EC3F39D1, Note 2: Manager, Note 3: Document=(ou=xpem1PEP,ou=mastercdn,ou=ContentPublisherContainer,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(Manager),Rule=(1::RuleID_1181251958207),Action=(AddRole::ActionID_1181252224665), Numeric 1: 0 </amLogEntry>`


10. `<amLogEntry> 2009-06-14T17:14:39Z INFO NIDS Application: AM#500199050: AMDEVICEID#9921459858EAAAC29: AMAUTHID#F35A3C7AD7F2EDEB3D17F99EC3F39D1: IDP RolesPep.evaluate(), policy trace: ~~RL~1~~~~Rule Count: 1~~Success(67) ~~RU~RuleID_1181251958207~Manager~DNF~~1:1~~Success(67) ~~CS~1~~ANDS~~1~~True(69) ~~CO~1~LdapGroup(6645):no-param:hidden-value:~ldap-group-is-member-of~SelectedLdapGroup(66455):hidden-param:hidden-value:~~~True(69) ~~PA~ActionID_1181252224665~~AddRole~Manager~~~Success(0) ~~PC~ActionID_1181252224665~~Document=(ou=xpem1PEP,ou=mastercdn, ou=ContentPublisherContainer,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(Manager),Rule=(1::RuleID_1181251958207),Action=(AddRole::ActionID_1181252224665)~AdditionalRole(6601):unknown():Manager:~~~Success(0) </amLogEntry>`

11. `<amLogEntry> 2009-06-14T17:14:39Z INFO NIDS Application: AM#500105013: AMDEVICEID#9921459858EAAAC29: AMAUTHID#F35A3C7AD7F2EDEB3D17F99EC3F39D1: Authenticated user cn=bcf,o=novell in User Store Local Directory with roles Manager, authenticated. </amLogEntry>`


**Table 30-3 Log Entry Descriptions for an Authentication Trace from an Identity Server**

<table>
<thead>
<tr>
<th>Entry</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Indicates that a login request is in process. This is the first entry for a login request. The requester, even though login has not been successful, is assigned an authentication ID. You can use this ID to find the log entries related to this user. The entry also specifies the URL of the requested resource, in this case the /nidp/app resource called the End User Portal. The saved TARGET message does not contain a value, so this step will be repeated.</td>
</tr>
<tr>
<td>2</td>
<td>Specifies the contract that is being used to perform the login.</td>
</tr>
<tr>
<td>3</td>
<td>Indicates that the contract requires interaction with the user.</td>
</tr>
<tr>
<td>4</td>
<td>Indicates that a login request is in process. The saved TARGET message contains a value, so the required information has been gathered to start the authentication request. The AM# correlation tag is AM#500105013, which is the same value as the first log entry.</td>
</tr>
<tr>
<td>5</td>
<td>Indicates that an exchange is occurring between the client and Identity Server to obtain the required credentials. Each contract requires a different exchange. The AM# correlation tag is AM#500105009, which is the same value as the second log entry.</td>
</tr>
</tbody>
</table>
30.15.1.2 Protected Resource Authentication Trace

When a protected resource is configured to require authentication, both Identity Server and the Embedded Service Provider of Access Gateway generate log entries for the process. The following sections explain how to correlate the entries from the logs.

- “Entries from an Identity Server Log” on page 1124
- “Entries from an Access Gateway Log” on page 1125
- “Correlating the Log Entries between Identity Server and Access Gateway” on page 1125
Entries from an Identity Server Log

<amLogEntry> 2009-07-31T17:36:39Z INFO NIDS Application: AM#500105016: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Processing login resulting from Service Provider authentication request. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:39Z INFO NIDS Application: AM#500105009: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Executing contract Name/Password - Form. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:39Z INFO NIDS Application: AM#500105010: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Contract Name/Password - Form requires additional interaction. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105016: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Processing login resulting from Service Provider authentication request. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105009: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Executing contract Name/Password - Form. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105014: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Attempting to authenticate user cn=admin,o=novell with provided credentials. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105012: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Authenticated user cn=admin,o=novell in User Store Internal with no roles. </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105018: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#83778AE09DCA5A35B57842D754A60D67: Responding to AuthnRequest with artifact AAMoz+rm2jQjDSHjea8U9zm3Td/U2ax0YZCo/qBNool8WkZiTcT7N7Jx </amLogEntry>

<amLogEntry> 2009-07-31T17:36:49Z INFO NIDS Application: AM#500105019: AMDEVICEID#AA257DA77ED48DB0: AMAUTHID#C2D8D52704918AF2D5D62F6E6C2FAC6: Sending AuthnResponse in response to artifact AAMoz+rm2jQjDSHjea8U9zm3Td/U2ax0YZCo/qBNool8WkZiTcT7N7Jx </amLogEntry>
Entries from an Access Gateway Log


<amLogEntry> 2009-07-31T17:35:05Z INFO NIDS Application: AM#500105010: AMDEVICEID=esp-2FA73CE1A376FD91: AMAUTHID=C6D119FD93EEBBEBEC50BEB27B9E2832: Contract IDP Select requires additional interaction. </amLogEntry>

<amLogEntry> 2009-07-31T17:35:15Z INFO NIDS Application: AM#500105020: AMDEVICEID=esp-2FA73CE1A376FD91: AMAUTHID=C6D119FD93EEBBEBEC50BEB27B9E2832: Received and processing artifact from IDP - AAMoz+rm2jQjDSHjea8U9zm3Td/U2ax0YZCo/qBNool18WkZiTcT7N7Jx </amLogEntry>

<amLogEntry> 2009-07-31T17:35:15Z INFO NIDS Application: AM#500105021: AMDEVICEID=esp-2FA73CE1A376FD91: AMAUTHID=C6D119FD93EEBBEBEC50BEB27B9E2832: Sending artifact AAMoz+rm2jQjDSHjea8U9zm3Td/U2ax0YZCo/qBNool18WkZiTcT7N7Jx to URL http://jwilson1.provo.novell.com:8080/nidp/idff/soap at IDP </amLogEntry>

Correlating the Log Entries between Identity Server and Access Gateway

You can see that these two trace sequences are for the same authentication request because the artifact (AAMoz+rm2jQjDSHjea8U9zm3Td/U2ax0YZCo/qBNool18WkZiTcT7N7Jx) that is exchanged is the same. You can use the AMAUTHID in each file to search for other requests that this user has made.

To associate a distinguished name with the AMAUTHID, use the catalina.out file of Identity Server. Event AM#500105014 contains the DN of the user.

30.15.2 Understanding Policy Evaluation Traces

- Section 30.15.2.1, “Format,” on page 1125
- Section 30.15.2.2, “Policy Result Values,” on page 1132
- Section 30.15.2.3, “Role Assignment Traces,” on page 1133
- Section 30.15.2.4, “Identity Injection Traces,” on page 1134
- Section 30.15.2.5, “Authorization Traces,” on page 1136
- Section 30.15.2.6, “Form Fill Traces,” on page 1138

30.15.2.1 Format

A policy log entry starts with the standard log entry elements: <amLogEntry> followed by the correlation tags.

(For information about correlation tags, see “Understanding the Correlation Tags in the Log Files” on page 920.)
The following log entry is a trace of an evaluation of a Role policy:

<amLogEntry> 2009-06-07T21:40:25Z INFO NIDS Application: AM#500199050:
AMDEVICEID#9921459858EAC29: AAMAUTHID#503EFFA4BC21ACA307796EC7D96E5532: IDP
RolesPep.evaluate(), policy trace:
  ~~RL~0~~Rule Count: 1~~Success(67)
  ~~RU~RuleID_1181251958207~Manager~DNF~~1:1~~Success(67)
  ~~CS~1~~ANDs~1~~True(69)
  ~~PA~ActionID_1181252224665~~AddRole~Manager~~~Success(0)
  ~~PC~ActionID_1181252224665~~Document=(ou=xpemlPEP,ou=mastercdn, ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=ac
ccessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(Manager),Rule=(1::RuleID_1181251958207),Action=(AddRole::ActionID_1181252224665)~AdditionalRole(6601):unknown()~Manager:~~Success(0)
</amLogEntry>

The Role policy evaluated in this entry has the following definition:

Figure 30-9  Manager Policy Definition

Edit Policy: Manager - Rule 1

Type: Identity Server: Roles
Description: Assigns the role of Manager to members of the LDAP Manager group
Priority: 1

Conditions

Condition Group 1

New

If

LDAP Group: [Current] 1

Comparison: LDAP Group: is Member of

Value: LDAP Group: cn-Managers, o=novell

Result on Condition Error: False

Append New Group

Actions

Activate Role

Do

Activate Role

: Manager

Changes made on this panel must be applied from the Policies Panel.

OK Cancel

The following sections use this policy and its trace to explain the information contained within each line of a policy trace. The policy trace part of the entry starts with a policy trace:, which is followed by one or more of the following types:

- RL - Rule List Evaluation Result (page 1127)
- RU - "Rule Evaluation Result" on page 1127
- CS - Condition Set Evaluation Result (page 1128)
- CO - Condition Evaluation Result (page 1129)
Elements within a type are separated from each other with the tilde (\~) character. If an element does not have a value, no value is inserted, which results in two or more tildes between values. Two tildes means one element didn't have a value, three tildes means that two elements didn’t have values, and so forth.

**Rule List Evaluation Result**

An RL trace has the following fields:

\~<RuleListID>\~\~\~<RuleCount>\~\~<Result>

A RL trace looks similar to the following:

\~\~RL\~1\~\~Rule Count: 1\~\~Success(67)

Table 30-4 describes the fields found in an RL trace.

**Table 30-4 Fields in a Rule List Trace**

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;RuleListID&gt;</td>
<td>The identifier assigned to the rule list.</td>
</tr>
<tr>
<td></td>
<td>In the sample RL trace, this is 1.</td>
</tr>
<tr>
<td>&lt;RuleCount&gt;</td>
<td>The number of rules defined for the policy.</td>
</tr>
<tr>
<td></td>
<td>In the sample RL trace, this is Rule Count: 1, indicating that there is one</td>
</tr>
<tr>
<td></td>
<td>rule in the policy.</td>
</tr>
<tr>
<td>&lt;Result&gt;</td>
<td>A string followed by a number that specifies the result of the evaluation.</td>
</tr>
<tr>
<td></td>
<td>See “Policy Result Values” on page 1132.</td>
</tr>
<tr>
<td></td>
<td>In the sample RL trace, this is Success(67), indicating success.</td>
</tr>
</tbody>
</table>

**Rule Evaluation Result**

An RU trace has the following fields:

\~<RuleID>\~<ParentPolicyName>\~\~<ConditionSetJoinType>\~\~<ConditionSetCount:ActionCount>\~\~<Result>

An RU trace looks similar to the following:

\~\~RU\~RuleID_1181251958207~Manager~DNF\~1:1\~\~Success(67)

Table 30-5 describes the fields of a Rule Evaluation Result trace.

**Table 30-5 Fields in a Rule Evaluation Result Trace**

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;RuleID&gt;</td>
<td>The identifier assigned to the rule.</td>
</tr>
<tr>
<td></td>
<td>In this sample RU trace, this element is set to RuleID_1181251958207.</td>
</tr>
</tbody>
</table>
Condition Set Evaluation Result

A CS trace has the following fields:
~<ConditionSetID>~<JoinType>~<NOT>~<ConditionCount>~<Result>

A CS trace looks similar to the following:
~~CS~1~~ANDs~~1~~True(69)

Table 30-6 describes the fields in a Condition Set trace.

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;ParentPolicyName&gt;</td>
<td>The name of the parent policy to which the rule is assigned.</td>
</tr>
<tr>
<td></td>
<td>In this sample RU trace, this element is set to Manager.</td>
</tr>
<tr>
<td>&lt;ConditionSetJoinType&gt;</td>
<td>The type of joining that occurs between conditions and condition sets. It is set to one of the following:</td>
</tr>
<tr>
<td></td>
<td>• <strong>CNF</strong>: Indicates that sets are ANDed and conditions within a condition group are ORed.</td>
</tr>
<tr>
<td></td>
<td>• <strong>DNF</strong>: Indicates that sets are ORed and conditions within a condition group are ANDed.</td>
</tr>
<tr>
<td></td>
<td>In the sample RU trace, this element is set to <strong>DNF</strong>.</td>
</tr>
<tr>
<td><a href="">ConditionSetCount:ActionCount</a></td>
<td>The number of condition sets and actions defined for this rule.</td>
</tr>
<tr>
<td></td>
<td>In the sample RU trace, this is 1:1, for one condition set and one action.</td>
</tr>
<tr>
<td>&lt;Result&gt;</td>
<td>A string followed by a number that specifies the result of the evaluation. See “Policy Result Values” on page 1132.</td>
</tr>
<tr>
<td></td>
<td>In the sample RU trace, this is <strong>Success(67)</strong>, indicating that the rule was successfully evaluated.</td>
</tr>
</tbody>
</table>
Troubleshooting

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Condition Evaluation Result

A CO trace has the following fields:

~<ConditionID>~<LHSOperand>~<Operator>~<RHSOperand>~<NOT>~<Result>[~<ResultOnError >]

A CO trace looks similar to the following:


Table 30-7 describes the fields in a Condition trace.

Table 30-7 Fields in a Condition Trace

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;ConditionCount&gt;</td>
<td>The number of conditions defined in the condition group. In the sample CS trace, this element has the value of 1.</td>
</tr>
<tr>
<td>&lt;Result&gt;</td>
<td>A string followed by a number that specifies the result of the evaluation. See “Policy Result Values” on page 1132. In the sample CS trace, this is True (69), indicating that the condition evaluated to True.</td>
</tr>
<tr>
<td>&lt;ConditionID&gt;</td>
<td>The identifier assigned to the conditions in the condition group. The first condition is assigned 1. In the sample CO trace, this is 1.</td>
</tr>
<tr>
<td>&lt;LHSOperand&gt;</td>
<td>The enumerative value and parameter list of the left operand. It is the first value specified for the comparison and has the following format: &lt;Condition Name(Data ID)&gt;: &lt;Parameter&gt; : &lt;Value&gt;</td>
</tr>
<tr>
<td></td>
<td>The Condition Name is the string assigned to the condition type specified in the policy. The Data ID is a numerical value assigned to the condition type.</td>
</tr>
<tr>
<td></td>
<td>&lt;Parameter&gt; contains one of the following strings:</td>
</tr>
<tr>
<td></td>
<td>• no-param when no parameters are specified for the operand, followed by a colon, followed by one of the following: the value, no-value, or hidden-value when the value contains sensitive information.</td>
</tr>
<tr>
<td></td>
<td>• hidden-param followed by a colon, and then hidden-value. This string is used when both the parameter and its value contain sensitive information.</td>
</tr>
<tr>
<td></td>
<td>In the sample CO trace, this is LdapGroup(6645):no-param:hidden-value. LdapGroup is the string for the LDAP Group condition. The policy specified [Current], so no parameters were specified. The groups that the user belongs to are considered sensitive data, so the log file displays hidden-value for the names of the groups.</td>
</tr>
</tbody>
</table>
Troubleshooting

Policy Action Initiation

A PA trace has the following fields:

~<ActionID>~<TraceString1>~<TraceString2>~<TraceString3>~<Result>

A PA trace looks similar to the following:

~~PA~ActionID_1181252224665~~AddRole~Manager~~~Success(0)

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;Operator&gt;</td>
<td>The display name of the comparison operator.</td>
</tr>
<tr>
<td></td>
<td>In the sample CO trace, this isldap-group-is-member-of. In the policy, this is displayed as <strong>LDAP Group: Is Member of</strong>.</td>
</tr>
<tr>
<td>&lt;RHSOperand&gt;</td>
<td>The enumerative value and parameter list of the right operand. It is the second value specified for the comparison and has the same format as the &lt;LHSOperand&gt;.</td>
</tr>
<tr>
<td></td>
<td>In the sample CO trace, this is SelectedLdapGroup(66455):hidden-param:hidden-value. The actual policy specifies LDAP Group as the parameter, and the value is the DN of the group.</td>
</tr>
<tr>
<td>&lt;NOT&gt;</td>
<td>The string <strong>NOT</strong> if the result was negated prior to reporting; otherwise the field has no value. This is the <strong>If Not</strong> option when creating a condition.</td>
</tr>
<tr>
<td></td>
<td>In the sample CO trace, this condition result was not negated, therefore the field is represented by a tilde.</td>
</tr>
<tr>
<td>&lt;Result&gt;</td>
<td>A string followed by a number that specifies the result of the comparison. See “Policy Result Values” on page 1132.</td>
</tr>
<tr>
<td></td>
<td>In the sample CO trace, this is True (69), indicating that the condition evaluated to True—the user is a member of the specified LDAP group.</td>
</tr>
<tr>
<td>&lt;ResultOnError&gt;</td>
<td>A string describing the error that occurred. This is an optional field that only appears when the condition evaluation results in an error.</td>
</tr>
<tr>
<td></td>
<td>The sample CO trace did not result in an error, so it has no string.</td>
</tr>
</tbody>
</table>
Table 30-8 describes the fields in a Policy Action trace.

**Table 30-8  Fields in a Policy Action Trace**

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;ActionID&gt;</td>
<td>The identifier assigned to the action. In the sample PA trace, this is ActionID_1181252224665.</td>
</tr>
<tr>
<td>&lt;TraceString1&gt;</td>
<td>The message specified with the action.</td>
</tr>
<tr>
<td></td>
<td>In the sample PA trace, this is AddRole.</td>
</tr>
<tr>
<td>&lt;TraceString2&gt;</td>
<td>The second part of the specified message.</td>
</tr>
<tr>
<td></td>
<td>In the sample PA trace, this is Manager.</td>
</tr>
<tr>
<td>&lt;TraceString3&gt;</td>
<td>The third part of the specified message.</td>
</tr>
<tr>
<td></td>
<td>In the sample PA trace, this field has no value and is not present.</td>
</tr>
<tr>
<td>&lt;Result&gt;</td>
<td>A string followed by a number that specifies the result of the assigning the action. See</td>
</tr>
<tr>
<td></td>
<td>“Policy Result Values” on page 1132.</td>
</tr>
<tr>
<td></td>
<td>In the sample PA trace, this is Success(0), which indicates that the action of assigning</td>
</tr>
<tr>
<td></td>
<td>the Manager role to the user was successful.</td>
</tr>
</tbody>
</table>

**Policy Action Completion**

A PC trace has the following fields

~<ActionID>~<ActionName>~<ActionParmeters>~~~<Result>[~<ActionError>]~

A PC trace looks similar to the following:

~PC~ActionID_1181252224665~Document=(ou=xpemlPEP,ou=mastercdn, ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=a ccessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(Manager),Rule=(1::RuleID_1181251958207),Action=(AddRole::ActionID_1181252224665)~AdditionalRole(6601):unknown():Manager:~~~Success(0)

Table 30-9 describes the fields in a Policy Action Completion trace.

**Table 30-9  Fields in a Policy Action Completion Trace**

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;ActionID&gt;</td>
<td>The ID assigned to the action.</td>
</tr>
<tr>
<td></td>
<td>In the sample PC trace, this is ActionID_1181252224665.</td>
</tr>
</tbody>
</table>
The last field in a trace string is the `<result>` field. Table 30-10 lists the possible values:

<table>
<thead>
<tr>
<th>Value</th>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Success</td>
<td>The policy evaluation was successful.</td>
</tr>
<tr>
<td>1</td>
<td>Error: No memory</td>
<td>The system is out of memory.</td>
</tr>
<tr>
<td>2</td>
<td>Error: Bad data</td>
<td>The data sent for evaluation is invalid.</td>
</tr>
<tr>
<td>3</td>
<td>Error: Configuration initialization</td>
<td>An error was detected during the policy configuration processing.</td>
</tr>
<tr>
<td>4</td>
<td>Error: General failure</td>
<td>An error was detected during policy processing.</td>
</tr>
<tr>
<td>5</td>
<td>Pending</td>
<td>The policy processing is in progress.</td>
</tr>
<tr>
<td>64</td>
<td>Permit</td>
<td>The rule produced a Permit action.</td>
</tr>
<tr>
<td>65</td>
<td>Deny</td>
<td>The rule produced a Deny action.</td>
</tr>
<tr>
<td>66</td>
<td>Obligation</td>
<td>The rule triggered an obligation, indicating that additional processing is required. Identity Injection policies trigger obligations.</td>
</tr>
<tr>
<td>67</td>
<td>No action</td>
<td>The rule did not initiate any action.</td>
</tr>
</tbody>
</table>
When the User Is Assigned Roles

Roles are assigned at authentication, so this type of trace is found in the `catalina.out` file of Identity Server. This is a trace of a user who does not match the requirements to be assigned the Manager Role (for a definition of this Role policy, see Figure 30-9 on page 1126).

```
<amLogEntry> 2009-06-11T15:38:38Z INFO NIDS Application: AM#500199050:
AMDEVICEID#9921459858EAAC29: AMAUTHID#0CE611AAE4D0301F26DD4865476BDA1:
IDP RolesPep.evaluate(), policy trace:
~~RL~0~~~~Rule Count: 1~~Success(67)
~~RU~RuleID_1181251958207~Manager~DNF~~1:1~~Success(67)
~~CS~1~~ANDs~~1~~False(68)
</amLogEntry>
```

This trace describes the following about the policy.

1. The RL trace indicates that the policy has one rule and that the policy evaluated without error.
2. The RU trace indicates that the rule (RuleID_1181251958207) has one condition and one action and that the rule evaluated without error.
3. The CS trace indicates that the condition set evaluated to False (the user logging in does not match the conditions of the set).
4. The CO trace indicates that the condition evaluated to False (the user logging in does not match the condition).

When you are troubleshooting why a user is not granted access to a resource that uses a role in its Authentication policy, the first step should be to look at Identity Server file and determine whether the user was assigned the role. In this trace, you can see that the user was not assigned the role. To fix this problem, you can either change the conditions of the Role policy to match the user or change the user's information so that the user matches the existing condition in the Role policy.
Troubleshooting When the Role Policy Is Not Enabled

Sometimes a Role policy is created, but the Role policy is not enabled for Identity Server. When this happens, the trace looks similar to the following:

    ~~RL~0~~~~Rule Count: 0~~Success(67)
</amLogEntry>

When you see Role policy traces that contain only the RL trace line, you need to enable the Role policy.

When an Authorization Policy Uses a Role

When a user requests access to a resource that has an Authorization policy that uses a role, the user is checked for the role assignment. The trace of this evaluation is in the ESP log file of Access Gateway that is processing the request. Such a trace looks similar to the following:

    ~~RL~1~~~~Rule Count: 1~~Success(0)
    ~~RU~RuleID_1182876316974-Allow_Sales-DNF~~1:1~~Success(0)
    ~~CS~1~~ANDs~NOT~1~~True(69)
    ~~PA~1~~Deny Access Message~Sorry, you must work in sales today.~~~Success(0)
    ~~PC~1~~Document=(ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer, o=novell:romaContentCollectionXMLDoc),Policy=(Allow_Sales),Rule=(1::RuleID_1182876316974),Action=(Deny::1)~~~~Success(0)
</amLogEntry>

This trace is for a Deny policy that denies access if the user has not been assigned the Sales role. The CO line indicates that the condition is looking for a role and that the user did not match the condition.

The CS line indicates that the condition is a negative condition, meaning that the user matches the condition set when the user does not match the condition. This is the case for this user, so the condition set evaluates to True, and the action is then applied.

The PA line describes the action that was applied.

30.15.2.4 Identity Injection Traces

The following traces explain what to look for in an Identity Injection policy that injects an authorization header:

- “When the User Has Authenticated” on page 1135
- “When the User Has Not Authenticated” on page 1136
When the User Has Authenticated

The following trace is for an Identity Injection policy that successfully inserts an authentication header. The policy inserts LDAP credentials for the user’s name and password. Access Gateway injects the information, so the trace for this type of policy is in the ESP log file of Access Gateway.

```
<amLogEntry> 2009-06-11T19:02:44Z INFO NIDS Application: AM#501103050:
AMDEVICEID#esp-534FD0D0E32FE4BD:AMAUTHID#61D5D5B3F98156F8E4F2875981D 4A6E:
PolicyID#51N4214K-741L-491L-7190-2M9K04K21393: NXPESID#726: AGIdentityInjection
Policy Trace:
~~RL~0~~~~Rule Count: 1~~Success(67)
~~RU~RuleID_1181251426062~basic_auth_ii~DNF~~0:1~~Success(67)
~~PA~ActionID_1181251427701~~Inject Auth Header~uid~uid(1):
CredentialProfile(7010:):NEPXurn~3Anovell~3Acredentialprofile~3A2005-03~2Fcp~3ASecrets~2Fcp~3ASecret~2Fcp~3AEntry~40~40~40~40WSCQSSToken~40~40~40~40~2F
cp~3ASecrets~2Fcp~3ASecret~5Bcp~3AName~3D~22LDAPCredentials~22~5D~2Fcp~3AEntry~5Bcp~3AName~3D~22UserName~22~5D:~Ok~Success(0)
~~PA~ActionID_1181251427701~~Inject Auth Header~password~pwd(1):
CredentialProfile(7010:):NEPXurn~3Anovell~3Acredentialprofile~3A2005-03~2Fcp~3ASecrets~2Fcp~3ASecret~2Fcp~3AEntry~40~40~40~40WSCQSSToken~40~40~40~40~2F
cp~3ASecrets~2Fcp~3ASecret~5Bcp~3AName~3D~22LDAPCredentials~22~5D~2Fcp~3AEntry~5Bcp~3AName~3D~22UserPassword~22~5D:~Ok~Success(0)
~~PC~ActionID_1181251427701~~Document=(ou=xpemlPEP,ou=mastercdn,
ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=a
ccessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(basic_auth_ii)
,Rule=(1::RuleID_1181251426062),Action=(InjectAuthHeader::ActionID_1181251427701)~~~Success(0)
</amLogEntry>
```

Each identity injection policy generates two log entries. The first entry indicates whether the policy could successfully retrieve the information and inject it into the header. The second entry specifies whether the response is successfully sent to the Web server.

This first log entry describes the following about this policy:

1. In the correlation tags (AM... tags), notice the ID assigned to the authenticated user making the request (AMAUTHID#61D5D5B3F98156F8E4F2875981D4A6E).
2. After the correlation tags, the trace specifies the ID of the policy (51N4214K-741L-491L-7190-2M9K04K21393).
3. The RU trace indicates that the policy name is basic_auth_ii, that the policy has no conditions, and that the policy has one action rule.
4. The first PA trace indicates that the uid (called LDAP User Name in the UI) of the Credential Profile has been successfully retrieved.
5. The second PA trace indicates that the password of the Credential Profile has been successfully retrieved.
6. The PC trace indicates that these items have been successfully injected into the header.

You can use the user’s ID and the policy ID to find log entry that traces the response to the Web server. The second log entry indicates that the response was successfully sent to the Web server.
When the User Has Not Authenticated

If the user has not authenticated and therefore has no authentication credentials, the trace for an Identity Injection policy with an authentication header looks similar to the following:

```
<amLogEntry> 2009-06-11T20:16:51Z INFO NIDS Application: AM#501103050:
AMDEVICEID#esp-534FD00E32FE4BD: PolicyID#0L8659PL-0K69-0N0N-0845-5PN113KM3842:
NXPESID#2539: AGIdentityInjection Policy Trace:
   ~RL~0~~~~Rule Count: 1~~Success(67)
   ~RU~RuleID_1181251426062~basic_auth_ii~DNF~0:1~~Success(67)
   ~PA~ActionID_1181251427701~~Inject Auth Header~uid~uid(1):
      CredentialProfile(7010):NEPXurn~3Anovell~3Acredentialprofile~3A2005-03-2Fcp~3ASecrets~2Fcp~3ASecret~2Fcp~3AEntry~40~40~40~40WSCQSSToken~40~40~40~40~2F
cp~3ASecrets~2Fcp~3ASecret~5Bcp~3AName~3D~22LDAPCredentials~22~5D~2Fcp~3AEntry~5Bcp~3AName~3D~22UserName~22~5D~:~Ok~Success(0)
   ~PA~ActionID_1181251427701~~Inject Auth Header~password~pwd(1):CredentialProfile(7010):NEPXurn~3Anovell~3Acredentialprofile~3A2005-03-2Fcp~3ASecrets~2Fcp~3ASecret~2Fcp~3AEntry~40~40~40~40WSCQSSToken~40~40~40~40~2F
cp~3ASecrets~2Fcp~3ASecret~5Bcp~3AName~3D~22LDAPCredentials~22~5D~2Fcp~3AEntry~5Bcp~3AName~3D~22UserPassword~22~5D~:~Ok~Success(0)
   ~PC~ActionID_1181251427701~~Document=(ou=xpemlPEP,ou=mastercdn, ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,o=novell:romaContentCollectionXMLDoc),Policy=(basic_auth_ii),Rule=(1::RuleID_1181251426062),Action=(InjectAuthHeader::ActionID_1181251427701)~~~Success(0)
</amLogEntry>
```

These entries look very similar to the entries for a successful injection of data. This is because injecting NULL data for data that is not available is considered a successful action. The trace displays data unavailable errors only when errors occur retrieving data. The key to determining whether the data was available for injection into an authentication header is to look for the AMAUTHID correlation tag in the log entry. The log entries for the OL8659PL-0K69-0N0N-0845-5PN113KM3842 policy do not contain an AMAUTHID correlation tag, which indicates that the user is not logged in.

30.15.2.5 Authorization Traces

Authorization policies for a protected resource might require a user to be authenticated before the data required by the policy can be obtained, but Authorization policies can be configured to use data that is available without authentication. The following traces show how the log entries for an Authorization policy trace are slightly different when the user is not authenticated.

- "When the Protected Resource Requires Authentication" on page 1137
- "When the Protected Resource Does Not Require Authentication" on page 1138

For a trace of an Authorization policy that uses a role, see "When an Authorization Policy Uses a Role" on page 1134.
When the Protected Resource Requires Authentication

The following is a successful trace of an Authorization policy that requires the user to have the value of Manager in the title attribute. To obtain this data, the user must be authenticated.

The policy contains two rules: a Permit rule if the user has the value of Manager in the title attribute, and a Deny rule that denies all other users. This policy has been assigned to protect an Access Gateway resource.

<amLogEntry> 2009-08-02T15:55:05Z INFO NIDS Application: AM#501101050:
AMDEVICEID#esp-2FA73CE1A376FD91: PolicyID#45908443-N8P5-KO21-68OM-K172P107N40S:
NXPESID#1743: Evaluating policy </amLogEntry>

<amLogEntry> 2009-08-02T15:55:06Z INFO NIDS Application: AM#501102050:
AMDEVICEID#esp-2FA73CE1A376FD91: AMAUTHID#838976482579AF372C31C4727 4E9CB28:
PolicyID#45908443-N8P5-KO21-68OM-K172P107N40S: NXPESID#1743: AGAuthorization
Policy Trace:
~~RL~1~~~~Rule Count: 2~~Success(0)
~~RU~RuleID_1186068489688~Title_auth~DNF~~1:1~~Success(0)
~~CS-1~~ANDs~~1~~True(69)
~~CO-1~LdapAttribute(6647):NEPXurn~3Anovell~3Aldap~3A2006-02~2Fldap~3AUserAttribute~40~40~40~40WSCQLDAPToken~40~40~40~40~2FUserAttribute~5B~40ldap~3AtargetAttribute~3D~22title~22~5D:hidden-value:~com.novell.nxpe.condition.NxpeOperator@string-equals~(0):hidden-param:hidden-value:~~~True(69)
~~PA~1~~Permit Access~~~~Success(0)
~~PC~1~~Document=(ou=xpemlPEP,ou=mastercdn,ou=ContentPublisher Container,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer,ou=novell:romaContentCollectionXMLDoc),Policy=(Title_auth),Rule=(1::RuleID_1186068489688),Action=(Permit::1)~~~~Success(0)
</amLogEntry>

<amLogEntry> 2009-08-02T15:55:06Z INFO NIDS Application: AM#501101021:
AMDEVICEID#esp-2FA73CE1A376FD91: AMAUTHID#838976482579AF372C31C4727 4E9CB28:

The first log entry is the request to evaluate the policy. The second log entry is the evaluation of the policy. The third log entry is the response that is returned. These three log entries can be tied together by using the following tags:

AMDEVICEID#esp-2FA73CE1A376FD91: When a policy evaluation request is made, the same ESP processes the request. Even if Access Gateways are clustered, the policy evaluation request stays with Access Gateway that initiated the request.

PolicyID#45908443-N8P5-KO21-68OM-K172P107N40S: Each policy is assigned a unique ID, and this is the ID assigned to the policy called Title_auth in Administration Console. To search for all log entries for a policy, use the policy ID. To search for log entries that evaluate the policy, use the policy name.

AMAUTHID#838976482579AF372C31C4727 4E9CB28: The request to evaluate a policy does not contain the ID of the user the request is being made for, but the log entries for the evaluation and for the response status always contain the ID of an authenticated user. If the policy can be evaluated without the user being authenticated, these entries do not contain the ID of the user. This kind of policy might be assigned to a public resource (no authentication required) and use the time of day condition or day of the week condition for its evaluation criteria. See “When the Protected Resource Does Not Require Authentication” on page 1138.
When the Protected Resource Does Not Require Authentication

The following trace is for an Authorization policy that uses data that is available without authentication. Authorization policies support a number of these conditions, such as Current Date, Current Day of Week, Current Day of Month, Current Time Of Day, Client IP, and the URL conditions. As long as you do not select to compare what is currently in the HTTP request with a value that requires authentication (such as LDAP attribute), the Authorization policy can be evaluated for an unauthenticated user. The following trace is for a policy with a Current Time of Day condition. The protected resource does not require authentication, so everyone can access the resource if their request comes in between 8:00 am and 5:30 pm, local time.


<amLogEntry> 2009-08-03T16:30:48Z INFO NIDS Application: AM#501102050: AMDEVICEID#esp-2FA73CE1A376FD91: PolicyID#216660PM-429P-0660-N25N-L58108MN4N5M: NXPESID#4515: AGAuthorization Policy Trace: ~RL~1~~~~Rule Count: 2~~Success(0) 
~~RU~RuleID_1186082720202~time_of_day~DNF~~1:1~~Success(0) 
~~CS~1~~ANDs~~1~~True(69) 
~~CO~0~TimeOfDay(1005):::Fri Aug 03 10:30:48 MDT 2007(9:30)::com.novell.nxpe.condition.NxpeOperator@time-in-range~(0)::::::True(69) 
~~PA~1~~Permit Access~~Success(0) 
~~PC~1~~Document=(ou=xpemlPEP,ou=mastercdn,ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_Root,ou=accessManagerContainer, o=novell:romaContentCollectionXMLDoc),Policy=(time_of_day),Rule=(1::RuleID_1186082720202),Action=(Permit::1)~~Success(0) </amLogEntry>


The first log entry is the request to evaluate the policy. The second log entry is the evaluation of the policy, and from it you can tell that the user is not authenticated because the AMAUTHID# tag is missing. The third log entry is the response that is returned, and it indicates that a success was returned. The user is allowed access to the resource.

30.15.2.6 Form Fill Traces

The following sections describe how to enable logging for the Form Fill policies, describe the form that was used to create the Form Fill trace, then describe the entries that can be found in the logs:

- “Enabling Form Fill Logging” on page 1138
- “Sample Form and Policy Used for the Trace” on page 1139
- “Embedded Service Provider Trace” on page 1141
- “Proxy Service Trace” on page 1142

Enabling Form Fill Logging

Two modules evaluate the Form Fill policy and log entries:

- Embedded Service Provider (ESP) of Access Gateway evaluates the Form Fill policy and logs entries to its file. ESP sends the messages to the catalina.out file of Access Gateway. To enable ESP logging, see Section 21.6, “Turning on Logging for Policy Evaluation,” on page 943.
The proxy service of Access Gateway reports on the process of finding the form data and filling it in.

For Access Gateway Appliance, see the `/var/log/novell-apache2/soapmessages` file.

You can configure a custom filter and file to log Form Fill entries. For the filter, enable the Form Fill Processing events in the Advanced Log Level Options section.

Sample Form and Policy Used for the Trace

Figure 30-10 illustrates the simple form that was used for the trace.

![Form Used for the Trace](image)

Source HTML for the Form

The name of the form and the fields that need to be filled in by the policy are in bold typeface.

```
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.01 Transitional//EN">
<html>
<head>
  <meta http-equiv="Content-type" content="text/html; charset=utf-8">
  <title>kelly</title>
</head>
<body>
<form name="mylogin" action="double.php" method="post" id="mylogin">
  <center>
    <table border="0" cellpadding="4" cellspacing="4" width="570">
      <tr>
        <td width="121" height="285" align="left" valign="top">
        </td>
        <td width="449" height="285" align="center" valign="top">
          <p align="center">
            <font size="5">Novell Services Login<br></font>
          </p>
          <table border="0" width="86%">
            <tr>
              <td width="25%">Username:</td>
              <td width="75%">
                <input type="TEXT" name="username">
              </td>
            </tr>
            <tr>
              <td width="25%">Password:</td>
              <td width="75%">
                <input type="PASSWORD" name="password" size="30">
              </td>
            </tr>
          </table>
        </td>
      </tr>
    </table>
  </center>
</form>
</body>
</html>
```
Form Fill Policy

The following Form Fill policy was created for the mylogin form. The policy is called Form_Fill. You can use the name of the policy to find entries for it in the log files. The policy was assigned to the /identity/forms/simple.html protected resource. Because the URL path identifies a specific file on the Web server, the policy does not require any CGI or page matching criteria.

Figure 30-11  The Form Fill Policy for the mylogin Form
This policy is configured so that the user never sees the form. Even on first login, the form is filled in for authenticated users because the user's authentication credentials are used for the username and password fields, and the title field value is obtained from the LDAP user store. If the user does not have a value for the title attribute, the user sees the form every time the page is accessed. If you want the value to be saved for these users, you need to change the policy to use a secret store rather than an LDAP attribute.

**Embedded Service Provider Trace**

When you look for entries for the Form_Fill policy in the Embedded Service Provider trace, you can use the following strings to find the entries:

- The name of the Form Fill policy: Form_Fill
- The string identifying a Form Fill trace: AGFormFill Policy Trace
- The policy ID (after you have found it): PolicyID#0600287L-06LO-KKP4-207M-6971PPM6147L

The following trace is from the catalina.out file of the Embedded Service Provider of an Access Gateway Appliance. The entries have been numbered so that they can be described, and a few extra line breaks and spaces have been added to make the entries easier to read.

1. <amLogEntry> 2009-09-14T00:15:52Z INFO NIDS Application: AM#501101050: AMDEVICEID#esp-917A1174C8A270FC: PolicyID#0600287L-06LO-KKP4-207M-6971PPM6147L: NXPESID#2663: Evaluating policy </amLogEntry>

2. <amLogEntry> 2009-09-14T00:15:52Z INFO NIDS Application: AM#501104050: AMDEVICEID#esp-917A1174C8A270FC: PolicyID#0600287L-06LO-KKP4-207M-6971PPM6147L: NXPESID#2663: AGFormFill Policy Trace:~~RL~1~~~~Rule Count: 1~~Success(67)~~RU~RuleID_1189711482510~Form_Fill~DNF~~0:1~~Success(67)~~PA~ActionID_1189711485006~~Added Form Selection Group~~~~Success(0)~~PA~ActionID_1189711485006~~Added Fill Options Group~~~~Success(0)~~PA~ActionID_1189711485006~~Added Submit Options Group~~~~Success(0)~~PC~ActionID_1189711485006~~Document=(ou=xpemlPEP,ou=mastercdn, ou=ContentPublisherContainer,ou=Partition,ou=PartitionsContainer, ou=VCDN Root,ou=accessManagerContainer,o=novell:romaContent CollectionXMLDoc),Policy=(Form_Fill),Rule=(1::RuleID_1189711482510),Action=(FormFill::ActionID_1189711485006)~~~~Success(0) </amLogEntry>


1. The first log entry is the request to evaluate the policy. If this entry does not occur, ensure that the Form Fill policy is enabled for the protected resource.
2. The second entry is the actual policy trace. For a Form Fill policy, it is fairly basic information about the three types of actions in the policy: matching the form, filling in the field options, and adding the submit options. To determine what information was put in the options, you need to view the proxy service trace.
3. The third entry indicates the type of response that is returned from the evaluation. In this entry, success is returned.
Proxy Service Trace

When you look for entries in the proxy trace of Access Gateway log, you can use the following strings to find the entries:

- The name of the Form Fill policy: Form_Fill
- The name of the form: mylogin
- The names of the fill option fields: username, password, title

The sample trace is from the error_log file of an Access Gateway Appliance. Some of the lines are very long, and extra white space has been added to make them easier to read.

```
Sep  9 17:05:08 nam40-mag1 httpd[16354]: [warn] AMEVENTID#40: FF:fillSilent: mastercdnForm_Fill3310
Sep  9 17:05:08 nam40-mag1 httpd[16354]: [warn] AMEVENTID#40: FF:Filling: username
Sep  9 17:05:08 nam40-mag1 httpd[16354]: [warn] AMEVENTID#40: FF:Filling: password
Sep  9 17:05:08 nam40-mag1 httpd[16354]: [warn] AMEVENTID#40: FF:Filling: title
Sep  9 17:05:08 nam40-mag1 httpd[16354]: [warn] AMEVENTID#40: FF: No Match <formNum>
```

On Access Gateway Appliance, you can get more detailed information about the process that was used to fill the form when you turn on logging to the soapmessages file.

### 30.15.3 Adding Hashed Cookies into Browsers

With security improvements in the 4.3 release, all Access Manager session cookies have been hashed to avoid potential session hijacks from someone with access to log files. As a result of this, troubleshooting became difficult as the tracking of a user session on the browser could not be transparently mapped to an entry on the server side logs.

To address this issue, Access Manager 4.3 Service Pack 1 introduces advanced options to set the hashed cookie on the browser. With this in place, it is easier to map the Access Manager session cookies to the corresponding log files.

- **Section 30.15.3.1, “Adding Hashed Identity Server Cookies into Browsers,” on page 1142**
- **Section 30.15.3.2, “Adding Hashed Access Gateway Cookies into Browsers,” on page 1143**
- **Section 30.15.3.3, “Adding Hashed ESP Cookies into Browsers,” on page 1143**

#### 30.15.3.1 Adding Hashed Identity Server Cookies into Browsers

2. Uncomment the following configuration:
<filter>
  <filter-name>DebugFilter</filter-name>
  <description>Filter to set the masked cookies in http response for debugging purpose.</description>
  <filter-class>com.novell.nidp.servlets.filters.debug.MaskedCookiesSetter</filter-class>
</filter>

<filter-mapping>
  <filter-name>DebugFilter</filter-name>
  <url-pattern>/*</url-pattern>
</filter-mapping>

3 Restart Identity Server.

Identity Server sets the HJSESSIONID cookie in the browser containing the same hashed value as that in the log references to a session.

30.15.3.2 Adding Hashed Access Gateway Cookies into Browsers

When you set the NAGGlobalOptions SetHashedCookiesInResponse=on advanced option, Access Gateway sets these hashed values of IPC cookies and AGIDC cookies into the browser with the name IPCZQX0354154289-Hash and AGIDC0354154289-Hash.

Perform the following steps:

1 Click Devices > Access Gateways > Edit > Advanced Options.
2 Set NAGGlobalOptions SetHashedCookiesInResponse=on.

30.15.3.3 Adding Hashed ESP Cookies into Browsers

1 Open the /opt/novell/nesp/lib/webapp/WEB-INF/web.xml file.
2 Uncomment the following configuration:

<filter>
  <filter-name>DebugFilter</filter-name>
  <description>Filter to set the masked cookies in http response for debugging purpose.</description>
  <filter-class>com.novell.nidp.servlets.filters.debug.MaskedCookiesSetter</filter-class>
</filter>

<filter-mapping>
  <filter-name>DebugFilter</filter-name>
  <url-pattern>/*</url-pattern>
</filter-mapping>

3 Restart ESP.

ESP sets the HJSESSIONID cookie in the browser containing the same hashed value as that in the log references to a session.

30.16 Access Manager Audit Events and Data

This section contains all the audit events logged by Access Manager Appliance. Each event has EventID, Description, Originator Title, Target Title, Subtarget Title, Text1 Title, Text2 Title, Text3 Title, Value1 Title, Value1 Type, Group Title, Data Length, and Data Type values stored. Each field contains...
a single character token (such as B, U, Y, and so on) that represent the data fields of the audit event, with each letter representing a different data field. The mapping of the character tokens to data fields is found in the nids_en.lsc file.

Audit events are device-specific. You can select events for the following devices:

- **Administration Console**: In Administration Console Dashboard, click Auditing.
- **Identity Server**: Click Devices > Identity Servers > Edit > Auditing and Logging.
- **Access Gateway**: Click Devices > Access Gateways > Edit > Auditing.

**JavaScript Object Notation (JSON) Event Format**

**Sample JSON Format**

This event is generated when you select the Risk-Based Authentication Succeeded option under Audit Logging on the Logging page of an Identity Server configuration.

The following is a sample JSON event format of a Risk-Based authentication:

```json
{
  "appName" : "Novell Access Manager",
  "Component" : "nidp",
  "timeStamp" : "Fri, 31 Jul 2015 17:30:57 +0530",
  "eventId" : "002E0025",
  "Description": "NIDS: Risk based additional authentication executed successfully for user",
  "Originator": "9772686A5705BA6C",
  "Target": "cn=admin,o=novell",
  "SubTarget": "3883A05A302BA3BDC7899AF05810B08B",
  "stringValue1": "35",
  "stringValue2": "medium",
  "stringValue3": "null",
  "numericValue1": "0",
  "numericValue2": "0",
  "numericValue3": "0",
  "Data": "MTY0Ljk5LjEzNy41Mg==",
  "Message": "[Fri, 31 Jul 2015 17:30:57 +0530] [Novell Access Manager\nidp]:
  AMDEVICEID#9772686A5705BA6C: AMAUTHID#3883A05A302BA3BDC7899AF05810B08B: Risk based authentication successful for user: [cn=admin,o=novell]. RiskScore: [35] RiskLevel: [Medium] Additional authentication class: [$SF] Client IP Address: [164.99.137.52]",
}
```

**NOTE:** The IP address within the event is encoded in the base64 format.

The following table lists the event fields with its corresponding description:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>appName</td>
<td>Specifies the name of the product.</td>
</tr>
<tr>
<td>Component</td>
<td>Specifies the name of the Access Manager component. For example, &quot;nidp&quot; identifies that the audit is triggered by Identity Server.</td>
</tr>
<tr>
<td>timeStamp</td>
<td>Specifies the time when the event occurred.</td>
</tr>
<tr>
<td>eventId</td>
<td>Specifies the event ID. For example, 002E0025. To view all the events and their corresponding event IDs, see the below sections.</td>
</tr>
</tbody>
</table>
This section discusses the following audit events:

- Section 30.16.1, “NIDS: Sent a Federate Request (002e0001),” on page 1148
- Section 30.16.2, “NIDS: Received a Federate Request (002e0002),” on page 1148
- Section 30.16.3, “NIDS: Sent a Defederate Request (002e0003),” on page 1149
- Section 30.16.4, “NIDS: Received a Defederate Request (002e0004),” on page 1149
- Section 30.16.5, “NIDS: Sent a Register Name Request (002e0005),” on page 1150
- Section 30.16.6, “NIDS: Received a Register Name Request (002e0006),” on page 1150
- Section 30.16.7, “NIDS: Logged Out an Authentication that Was Provided to a Remote Consumer (002e0007),” on page 1150
- Section 30.16.8, “NIDS: Logged out a Local Authentication (002e0008),” on page 1151
- Section 30.16.9, “NIDS: Provided an Authentication to a Remote Consumer (002e0009),” on page 1151
- Section 30.16.10, “NIDS: User Session Was Authenticated (002e000a),” on page 1152
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- Section 30.16.12, “NIDS: User Session Authentication Failed (002e000c),” on page 1153
- Section 30.16.13, "NIDS: Received an Attribute Query Request (002e000d)," on page 1154
- Section 30.16.14, "NIDS: User Account Provisioned (002e000e)," on page 1154
- Section 30.16.15, "NIDS: Failed to Provision a User Account (002e000f)," on page 1155
- Section 30.16.16, "NIDS: Web Service Query (002e0010)," on page 1155
- Section 30.16.17, "NIDS: Web Service Modify (002e0011)," on page 1156
- Section 30.16.18, "NIDS: Connection to User Store Replica Lost (002e0012)," on page 1156
- Section 30.16.19, "NIDS: Connection to User Store Replica Reestablished (002e0013)," on page 1157
- Section 30.16.20, "NIDS: Server Started (002e0014)," on page 1157
- Section 30.16.21, "NIDS: Server Stopped (002e0015)," on page 1158
- Section 30.16.22, "NIDS: Server Refreshed (002e0016)," on page 1158
- Section 30.16.23, "NIDS: Intruder Lockout (002e0017)," on page 1159
- Section 30.16.24, "NIDS: Severe Component Log Entry (002e0018)," on page 1159
- Section 30.16.25, "NIDS: Warning Component Log Entry (002e0019)," on page 1160
- Section 30.16.26, "NIDS: Failed to Broker an Authentication from Identity Provider to Service Provider as Identity Provider and Service Provider Are not in Same Group (002E001A)," on page 1160
- Section 30.16.27, "NIDS: Failed to Broker an Authentication from Identity Provider to Service Provider Because a Policy Evaluated to Deny (002E001B)," on page 1161
- Section 30.16.28, "NIDS: Brokered an Authentication from Identity Provider to Service Provider (002E001C)," on page 1161
- Section 30.16.29, "NIDS: OAuth2 Authorization code issued (002e0028)," on page 1162
- Section 30.16.30, "NIDS: OAuth2 token issued (002e0029)," on page 1162
- Section 30.16.31, "NIDS: OAuth2 Authorization code issue failed (002e0030)," on page 1163
- Section 30.16.32, "NIDS: OpenID token issued (002e0031)," on page 1163
- Section 30.16.33, "NIDS: OAuth2 refresh token issued (002e0032)," on page 1163
- Section 30.16.34, "NIDS: OAuth2 token issue failed (002e0033)," on page 1164
- Section 30.16.35, "NIDS: OpenID token issue failed (002e0034)," on page 1164
- Section 30.16.36, "NIDS: OAuth2 refresh token issue failed (002e0035)," on page 1165
- Section 30.16.37, "NIDS: OAuth2 client has been registered successfully (002e0036)," on page 1165
- Section 30.16.38, "NIDS: OAuth2 client has been modified successfully (002e0037)," on page 1166
- Section 30.16.39, "NIDS: OAuth2 client has been deleted successfully (002e0038)," on page 1166
- Section 30.16.40, "NIDS: OAuth2 user has provided consent (002e0039)," on page 1167
- Section 30.16.41, "NIDS: OAuth2 user has revoked consent (002e0040)," on page 1167
- Section 30.16.42, "NIDS: OAuth2 token validation success (002e0041)," on page 1167
- Section 30.16.43, "NIDS: OAuth2 token validation failed (002e0042)," on page 1168
- Section 30.16.44, "NIDS: OAuth2 client registration failed (002e0043)," on page 1168
- Section 30.16.45, "NIDS: Roles PEP Configured (002e0300)," on page 1169
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**Troubleshooting**

- Section 30.16.47, "NIDS: Risk-Based Authentication Action for User (002e0046)," on page 1170
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- Section 30.16.49, "NIDS: Token was Issued to Web Service (002E001F)," on page 1171
- Section 30.16.50, "NIDS: Issued a Federation Assertion (002E0102)," on page 1171
- Section 30.16.51, "NIDS: Received a Federation Assertion (002E0103)," on page 1172
- Section 30.16.52, "Access Gateway: PEP Configured (002e0301)," on page 1172
- Section 30.16.53, "Roles Assignment Policy Evaluation (002e0320)," on page 1173
- Section 30.16.54, "Access Gateway: Authorization Policy Evaluation (002e0321)," on page 1173
- Section 30.16.55, "Access Gateway: Form Fill Policy Evaluation (002e0322)," on page 1174
- Section 30.16.56, "Access Gateway: Identity Injection Policy Evaluation (002e0323)," on page 1174
- Section 30.16.57, "Access Gateway: Access Denied (0x002e0505)," on page 1175
- Section 30.16.58, "Access Gateway: URL Not Found (0x002e0508)," on page 1175
- Section 30.16.59, "Access Gateway: System Started (0x002e0509)," on page 1176
- Section 30.16.60, "Access Gateway: System Shutdown (0x002e050a)," on page 1176
- Section 30.16.61, "Access Gateway: Identity Injection Parameters (0x002e050c)," on page 1177
- Section 30.16.62, "Access Gateway: Identity Injection Failed (0x002e050d)," on page 1178
- Section 30.16.63, "Access Gateway: Form Fill Authentication (0x002e050e)," on page 1178
- Section 30.16.64, "Access Gateway: Form Fill Authentication Failed (0x002e050f)," on page 1179
- Section 30.16.65, "Access Gateway: URL Accessed (0x002e0512)," on page 1179
- Section 30.16.66, "Access Gateway: IP Access Attempted (0x002e0513)," on page 1180
- Section 30.16.67, "Access Gateway: Webserver Down (0x002e0515)," on page 1181
- Section 30.16.68, "Access Gateway: All WebServers for a Service is Down (0x002e0516)," on page 1181
- Section 30.16.69, "Access Gateway: Application Accessed (002E0514)," on page 1182
- Section 30.16.70, "Access Gateway: Session Created (002E0525)," on page 1182
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30.16.1 **NIDS: Sent a Federate Request (002e0001)**

This event is generated when you select the Federation Request Sent option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Sent a federate request.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** null

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.2 **NIDS: Received a Federate Request (002e0002)**

This event is generated when you select the Federation Request Handled option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Received a federate request.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier; Data Description: Service Provider ID

**Text2 (T):** null

**Value1 (1):** 0

**Group (G):** 0
30.16.3 **NIDS: Sent a Defederate Request (002e0003)**

This event is generated when you select the Defederation Request Sent option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Sent a defederate request.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier; Data Description: Service Provider ID

**Text2 (T):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.4 **NIDS: Received a Defederate Request (002e0004)**

This event is generated when you select the Defederation Request Handled option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Received a defederate request

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier; Data Description: Service Provider ID

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null
30.16.5 **NIDS: Sent a Register Name Request (002e0005)**

*Description:* NIDS: Sent a register name request

*Originator (B):* Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

*Target (U):* null

*SubTarget (Y):* null

*Text1 (S):* null

*Text2 (T):* null

*Text3 (F):* null

*Value1 (1):* 0

*Group (G):* 0

*Data Length (X):* 0

*Data (D):* null

---

30.16.6 **NIDS: Received a Register Name Request (002e0006)**

This event is generated when you select the *Register Name Request Handled* option under *Audit Logging* on the Logging page of an Identity Server configuration.

*Description:* NIDS: Received a register name request

*Originator (B):* Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

*Target (U):* null

*SubTarget (Y):* null

*Text1 (S):* null

*Text2 (T):* null

*Text3 (F):* null

*Value1 (1):* 0

*Group (G):* 0

*Data Length (X):* 0

*Data (D):* null

---

30.16.7 **NIDS: Logged Out an Authentication that Was Provided to a Remote Consumer (002e0007)**

This event is generated when you select the *Logout Provided* option under *Audit Logging* on the Logging page of an Identity Server configuration.

*Description:* NIDS: Logged out an authentication that was provided to a remote consumer
30.16.8 **NIDS: Logged out a Local Authentication (002e0008)**

This event is generated when you select the **Logout Local** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Logged out a local authentication

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** Schema Title: Timed Out Data Description: 0 = other reason 1 = timed out

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.9 **NIDS: Provided an Authentication to a Remote Consumer (002e0009)**

This event is generated when you select the **Login Consumed** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Provided an authentication to a remote consumer
30.16.10  **NIDS: User Session Was Authenticated (002e000a)**

This event is generated when you select the Login Provided option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: User session was authenticated

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID

(AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Identifier Data Description: IDP Session ID

(AMAUTHID#auth_id:)

**Text1 (S):** Schema Title: Authentication Type Data Description: Authentication Profile

**Text2 (T):** Schema Title: Authentication Entity Name Data Description: Authentication Source

**Text3 (F):** Schema Title: Contract Class or Method Name Data Description: Authentication Contract URI

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** Schema Title: Client IP Address Description: IP Address of the host from which the authentication succeeded.

30.16.11  **NIDS: Failed to Provide an Authentication to a Remote Consumer (002e000b)**

This event is generated when you select the Login Consumed Failure option under Audit Logging on the Logging page of an Identity Server configuration.
**Description:** NIDS: Failed to provide an authentication to a remote consumer

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Provider Identifier Data Description: Service Provider ID

**Text3 (F):** Schema Title: Reason Data Description: Reason Message

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

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**30.16.12 NIDS: User Session Authentication Failed (002e000c)**

This event is generated when you select the Login Provided Failure option under Audit Logging on the Logging page of an Identity Server configuration. Use the Description field and the Text3 (F) field to determine whether the failure came from a contract, SAML 1.1, SAML 2.0, or Liberty.

**Description:** NIDS: User session authentication failed. This string plus one of the following phrases: for a contract failure, Contract Execution; for a SAML 1.1 failure, SAML Assertion; for a SAML 2.0 failure, SAML2 SSO; for a Liberty failure, Liberty SSO.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Authentication Contract Name Data Description: Contract URI

**SubTarget (Y):** Schema Title: User Identifier Data Description: User DN

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Reason Data Description: Reason Message

**Text3 (F):** Schema Title: Authentication Source Data Description: Contains a JSON object comprising information such as user agent, cluster ID for Identity Server, service provider name, and PID. For a contract, contains the authentication method name; for Liberty, contains the service provider IP; for SAML 1.1, contains the SAML assertion issuer; for SAML 2.0, contains the service provider IP.

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** Schema Title: Client IP Address Description: IP Address of the host from which the authentication failed.
30.16.13 **NIDS: Received an Attribute Query Request (002e000d)**

This event is generated when you select the **Attribute Query Request Handled** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Received an attribute query request

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: LDAP Auth: User DN Other Auth: User GUID

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier Data Description: Service Provider ID

**Text2 (T):** Schema Title: Attribute Names Data Description: Requested Attributes

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.14 **NIDS: User Account Provisioned (002e000e)**

This event is generated when you select the **User Account Provisioned** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: User account provisioned

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Store Identifier Data Description: Displayable user name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: User Identifier Data Description: Authentication User Name

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null
30.16.15  **NIDS: Failed to Provision a User Account (002f000f)**

This event is generated when you select the **User Account Provisioned Failure** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Failed to provision a user account

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Store Identifier Data Description: Displayable User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: User Identifier Data Description: Authentication User Name

**Text2 (T):** Schema Title: Reason Data Description: Reason Message

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.16  **NIDS: Web Service Query (002f0010)**

This event is generated when you select the **Web Service Query Handled** option under **Audit Logging** on the Logging page of an Identity Server configuration. Identity Server uses this event for two types of Web service queries:

- **Discovery:** This is a query to discover a service. For this type of query, the **Group (G)** field is not used. For a remote query, the **Data Description** of the **Value1** field is set to 0. For a local query, the **Data Description** of the **Value1** field is set to 1.

- **Profile:** This is a query to get attributes for a user from a profile (personal, credential, etc.). For this type of query, the **Group (G)** field contains a GroupingID for all attributes selected in the request. A separate event is generated for each attribute select list in the request. For a remote query, the **Data Description** of the **Value1** field is set to 0. For a local query, the **Data Description** of the **Value1** field is set to 1.

**Description:** NIDS: Web Service query

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier Data Description: Requesting Provider ID

**Text2 (T):** Schema Title: Select String Data Description: Requested attributes; select string

**Text3 (F):** Schema Title: Service Identifier Data Description: Web Service URI

**Value1 (1):** Schema Title: Local Data Description: 0 – Remote 1 – Local
**Group (G):** Schema Title: Query Group Data Description: If this is a profile query, it contains the grouping ID for all attributes selected in this request. Otherwise, this field is not used in the event.

**Data Length (X):** 0

**Data (D):** null

### 30.16.17 NIDS: Web Service Modify (002e0011)

This event is generated when you select the Web Service Modify Handled option under Audit Logging on the Logging page of an Identity Server configuration. Identity Server uses this event for two types of Web service modify requests:

- **Discovery:** This is a request to discover a service to modify. For this type of request, the **Group (G)** field is not used. For a remote request, the **Data Description** of the **Value1** field is set to 0. For a local request, the **Data Description** of the **Value1** field is set to 1.

- **Profile:** This is a request to modify the attributes of a user in a profile (personal, credential, etc.). For this type of request, the **Group (G)** field contains a GroupingID for all attributes selected in the request. A separate event is generated for each attribute select list in the modify request. For a remote request, the **Data Description** of the **Value1** field is set to 0. For a local request, the **Data Description** of the **Value1** field is set to 1.

**Description:** NIDS: Web Service modify

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Provider Identifier Data Description: Requesting Provider ID

**Text2 (T):** Schema Title: Select String Data Description: Modified attributes select string

**Text3 (F):** Schema Title: Service Identifier Data Description: Web Service URI

**Value1 (1):** Schema Title: Local Data Description: 0 – Remote; 1 – Local

**Group (G):** Schema Title: Modify Group Data Description: If this is a profile modify, it contains the grouping ID for each attribute select list in the request. Otherwise, this field is not used in the event.

**Data Length (X):** 0

**Data (D):** null

### 30.16.18 NIDS: Connection to User Store Replica Lost (002e0012)

This event is generated when you select the LDAP Connection Lost option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Connection to user store replica lost

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID

**Target (U):** Schema Title: User Store Replica Name Data Description: Replica name

**SubTarget (Y):** null
30.16.19 **NIDS: Connection to User Store Replica Reestablished (002e0013)**

This event is generated when you select the **LDAP Connection Reestablished** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Connection to user store replica reestablished

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Store Replica Name Data Description: Replica name

**SubTarget (Y):** null

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30.16.20 **NIDS: Server Started (002e0014)**

This event is generated when you select the **Server Started** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Server started

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Configuration Identifier Data Description: Configuration Object DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Server Identifier Data Description: Unique server ID also used to create Liberty and SAML artifacts
30.16.21 **NIDS: Server Stopped (002e0015)**

This event is generated when you select the Server Stopped option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Server stopped

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Configuration Identifier Data Description: Configuration object DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Server Identifier Data Description: Unique server ID also used to create Liberty and SAML artifacts

30.16.22 **NIDS: Server Refreshed (002e0016)**

This event is generated when you select the Server Refreshed option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Server Refreshed

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Configuration Identifier Data Description: Configuration Object DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Server Identifier Data Description: Unique server ID also used to create Liberty and SAML artifacts

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### NIDS: Intruder Lockout (002e0017)

This event is generated when you select the Intruder Lockout Detected option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Intruder Lockout

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Server Identifier Data Description: IP address of the User Store replica server

**Text2 (T):** null

**Text3 (F):** null

### NIDS: Severe Component Log Entry (002e0018)

This event is generated when you select the Component Log Severe Messages option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Severe Component Log Entry

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Component Log Text Data Description: Server Error Text

**Text2 (T):** null

**Text3 (F):** null

Value1 (1): 0

Group (G): 0

Data Length (X): 0

Data (D): null
**30.16.25 NIDS: Warning Component Log Entry (002e0019)**

This event is generated when you select the Component Log Warning Messages option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Warning Component Log Entry

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Component Log Text Data Description: Warning Error Text

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

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**30.16.26 NIDS: Failed to Broker an Authentication from Identity Provider to Service Provider as Identity Provider and Service Provider Are not in Same Group (002E001A)**

This event is generated when you select the Brokering Across Groups Denied option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: Failed to broker an authentication from identity provider to service provider as identity provider and service provider are not in same group

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Identity Provider IdentifierDescription: Identity Provider ID

**Text2 (T):** Schema Title: Service Provider IdentifierDescription: Service Provider ID

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** Schema Title: Target URL Length Description: Byte length of the target URL

**Data (D):** Schema Title: Target URL Description: Target URL
30.16.27 **NIDS: Failed to Broker an Authentication from Identity Provider to Service Provider Because a Policy Evaluated to Deny (002E001B)**

This event is generated when you select the **Brokering Rule Evaluated to Deny** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Failed to broker an authentication from identity provider to service provider because a policy evaluated to deny

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Broker Group Name Description: Name of the Brokering Group

**Text1 (S):** Schema Title: Identity Provider Identifier Description: Identity Provider ID

**Text2 (T):** Schema Title: Service Provider Identifier Description: Service Provider ID

**Text3 (F):** Schema Title: Broker Policy Description: Name of the Broker Policy that evaluated to deny

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** Schema Title: Target URL Length Description: Byte length of the target URL

**Data (D):** Schema Title: Target URL Description: Target URL

30.16.28 **NIDS: Brokered an Authentication from Identity Provider to Service Provider (002E001C)**

This event is generated when you select the **Brokering Handled** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: Brokered an authentication from identity provider to service provider

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Broker Group Name Description: Name of the Brokering Group

**Text1 (S):** Schema Title: Identity Provider Identifier Description: Identity Provider ID

**Text2 (T):** Schema Title: Service Provider Identifier Description: Service Provider ID

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** Schema Title: Target URL Length Description: Byte length of the target URL

**Data (D):** Schema Title: Target URL Description: Target URL
30.16.29  **NIDS: OAuth2 Authorization code issued (002e0028)**

This event is generated when you select the **OAuth & OpenID Token Issued** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 Authorization code issued

**Originator (B):** Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Issued At Data
Data Description: Token issued time stamp in Millisecond

**Text2 (T):** Schema Title: Issued To Data
Description: Client Name

**Text3 (F):** Schema Title: Validity Data
Description: From: Time in Milliseconds - To: Time in Milliseconds

30.16.30  **NIDS: OAuth2 token issued (002e0029)**

This event is generated when you select the **OAuth & OpenID Token Issued** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 token issued

**Originator (B):** Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier
Data Description: Authentication User Name

**SubTarget (Y):** Schema Title: Grant Type
Data Description: Oauth grant type

**Text1 (S):** Schema Title: Issued At
Data Description: Token issued time stamp in Milliseconds

**Text2 (T):** Schema Title: Issued To
Data Description: Client Name plus session id seperated by '-'.

**Text3 (F):** Schema Title: Validity
Data Description: From: Time in Milliseconds - To: Time in Milliseconds
30.16.31  **NIDS: OAuth2 Authorization code issue failed (002e0030)**

This event is generated when you select the **OAuth & OpenID Token Issue Failed** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 Authorization code issue failed

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier  
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Failed At  
Data Description: Code issued failed time stamp in Milliseconds

**Text2 (T):** Schema Title: Reason  
Data Description: Reason for failure

**Text3 (F):** null

30.16.32  **NIDS: OpenID token issued (002e0031)**

This event is generated when you select the **OAuth & OpenID Token Issue** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: OpenID token issued

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier  
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Issued At  
Data Description: ID Token issued time stamp in Millisecond

**Text2 (T):** Schema Title: Issued To  
Data Description: Client Name s

**Text3 (F):** Schema Title: Expires  
Data Description: Expires in second

30.16.33  **NIDS: OAuth2 refresh token issued (002e0032)**

This event is generated when you select the **OAuth & OpenID Token Issue** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 refresh token issued
Originator (B): Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier
Data Description: Authentication User Name

SubTarget (Y): null

Text1 (S): Schema Title: Issued At
Data Description: Token issued time stamp in Millisecond

Text2 (T): Schema Title: Issued To
Data Description: Client Name

Text3 (F): Schema Title: Validity
Data Description: From: Time in Milliseconds - To: Time in Milliseconds

30.16.34  **NIDS: OAuth2 token issue failed (002e0033)**

This event is generated when you select the OAuth & OpenID Token Issue Failed option under Audit Logging on the Logging page of an Identity Server configuration.

Description: NIDS: OAuth2 token issue failed

Originator (B): Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier
Data Description: Authentication User Name

SubTarget (Y): Schema Title: Grant Type
Data Description: Oauth grant type

Text1 (S): Schema Title: Failed At
Data Description: Token issue failed time stamp in Milliseconds

Text2 (T): Schema Title: Issued To
Data Description: Client Name

Text3 (F): Schema Title: Reason
Data Description: Reason for failure

30.16.35  **NIDS: OpenID token issue failed (002e0034)**

This event is generated when you select the OAuth & OpenID Token Issue Failed option under Audit Logging on the Logging page of an Identity Server configuration.

Description: NIDS: OpenID token issue failed

Originator (B): Schema Title: Originator
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Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier Data Description: Authentication User Name

SubTarget (Y): null

Text1 (S): Schema Title: Failed At
Data Description: Token issue failed time stamp in Milliseconds

Text2 (T): Schema Title: Issued To
Data Description: Client Name

Text31 (F): Schema Title: Reason
Data Description: Reason for failure

30.16.36 NIDS: OAuth2 refresh token issue failed (002e0035)

This event is generated when you select the OAuth & OpenID Token Issue Failed option under Audit Logging on the Logging page of an Identity Server configuration.

Description: NIDS: OAuth2 refresh token issue failed

Originator (B): Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier
Data Description: Authentication User Name

SubTarget (Y): null

Text1 (S): Schema Title: Failed At
Data Description: Token issue failed time stamp in Milliseconds

Text2 (T): Schema Title: Issued To
Data Description: Client Name

Text31 (F): Schema Title: Reason
Data Description: Reason for failure

30.16.37 NIDS: OAuth2 client has been registered successfully (002e0036)

This event is generated when you select the OAuth Client Applications option under Audit Logging on the Logging page of an Identity Server configuration.

Description: NIDS: OAuth2 client has been registered successfully

Originator (B): Schema Title: Originator
Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier
Data Description: Authentication User Name
30.16.38 **NIDS: OAuth2 client has been modified successfully (002e0037)**

This event is generated when you select the OAuth Client Applications option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 client has been modified successfully

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier  
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Modified At  
Data Description: Client modify time stamp in Milliseconds

**Text2 (T):** Schema Title: Client Name  
Data Description: Client Name

**Text31 (F):** Schema Title: Client ID  
Data Description: Client ID

30.16.39 **NIDS: OAuth2 client has been deleted successfully (002e0038)**

This event is generated when you select the OAuth Client Applications option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 client has been deleted successfully

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier  
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Removed At  
Data Description: Client deleted time stamp in Milliseconds

**Text2 (T):** Schema Title: Client Name  
Data Description: Client Name

**Text31 (F):** Schema Title: Client ID  
Data Description: Client ID
**30.16.40** NIDS: OAuth2 user has provided consent (002e0039)

This event is generated when you select the OAuth Consent Provided option under Audit Logging on the Logging page of an Identity Server configuration.

*Description:* NIDS: OAuth2 user has provided consent

*Originator (B):* Schema Title: Originator

Data Description: JCC Device ID (AMDEVICEID#device_id:)

*Target (U):* Schema Title: User Identifier

Data Description: Authentication User Name

*SubTarget (Y):* null

*Text1 (S):* Schema Title: Provided At

Data Description: Consent provided time stamp in Milliseconds

*Text2 (T):* null

*Text31 (F):* null

**30.16.41** NIDS: OAuth2 user has revoked consent (002e0040)

This event is generated when you select the OAuth Consent Revoked option under Audit Logging on the Logging page of an Identity Server configuration.

*Description:* NIDS: OAuth2 user has revoked consent

*Originator (B):* Schema Title: Originator

Data Description: JCC Device ID (AMDEVICEID#device_id:)

*Target (U):* Schema Title: User Identifier

Data Description: Authentication User Name

*SubTarget (Y):* null

*Text1 (S):* Schema Title: Revoked At

Data Description: Consent revoked time stamp in Milliseconds

*Text2 (T):* null

*Text31 (F):* null

**30.16.42** NIDS: OAuth2 token validation success (002e0041)

This event is generated when you select the OAuth & OpenID Token Validation Success option under Audit Logging on the Logging page of an Identity Server configuration.
**Description:** NIDS: OAuth2 token validation success

**Originator (B):** Schema Title: Originator

Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier

Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Validated At

Data Description: Validated time stamp in Milliseconds

**Text2 (T):** null

**Text31 (F):** Schema Title: Expires

Data Description: Expires in seconds

---

**30.16.43  NIDS: OAuth2 token validation failed (002e0042)**

This event is generated when you select the OAuth & OpenID Token Validation Failed option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 token validation failed

**Originator (B):** Schema Title: Originator

Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Validated At

Data Description: Validated time stamp in Milliseconds

**Text2 (T):** null

**Text31 (F):** Schema Title: Reason

Data Description: Validation failure reason

**Data (D):** Schema Title: Client IP Address

Description: IP Address of the host from which the token received

---

**30.16.44  NIDS: OAuth2 client registration failed (002e0043)**

This event is generated when you select the OAuth Client Applications option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** NIDS: OAuth2 client registration failed

**Originator (B):** Schema Title: Originator

Data Description: JCC Device ID (AMDEVICEID#device_id:)

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**Target (U):** Schema Title: User Identifier  
Data Description: Authentication User Name

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Failed At  
Data Description: Client registration failed time stamp in Milliseconds

**Text2 (T):** Schema Title: Client Name  
Data Description: Client Name

**Text31 (F):** Schema Title: Reason  
Data Description: Reason for failure

### 30.16.45  
**NIDS: Roles PEP Configured (002e0300)**

This event is generated for Identity Server roles.

**Description:** NIDS: Roles PEP Configured

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID  
(AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** null

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** Schema Title: Policy Enforcement List Length  
Data Description: Byte length of PEL

**Data (D):** Schema Title: Policy Enforcement List  
Data Description: Policy Enforcement List (PEL) data

### 30.16.46  
**NIDS: Risk-Based Authentication Action for User (002e0045)**

This event is generated when you select the Risk-based Pre-authentication Succeeded option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** Pre-Risk-Based additional authentication executed successfully for user.

**Originator (B):** Schema Title: Originator  
Data Description: JCC Device ID  
(AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier  
Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Name  
Identifier Description: Risk type (preauth or postauth)
**NIDS: Risk-Based Authentication Action for User (002e0046)**

This event is generated when you select the Risk-based Pre-authentication Failed option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** Pre-Risk-Based authentication failed for user.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Name Identifier Description: Risk type (preauth or postauth)

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** Schema Title: Client IP Address Description: IP Address of the host from which the authentication succeeded.

---

**NIDS: Risk-Based Authentication Action for User (002e0047)**

This event is generated when you select the Risk-based Pre-authentication Action Invoked option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** Pre-Risk-Based authentication action for user.
**NIDS: Token was Issued to Web Service (002E001F)**

This event is generated when you select the Token Issued To WebService option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** When a token is issued to a web service (WS-Trust)

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN.

**SubTarget (Y):** Schema Title: Token type Data Description: Type of token issued.

**Text1 (S):** Schema Title: Identity Provider Identifier Data Description: Identity provider identifier providing token.

**Text2 (T):** Schema Title: Authentication Method Data Description: Authentication method name.

**Text3 (F):** Schema Title: Target Name Data Description: Target name of service provider.

**Data Length (X):** 0

**Data (D):** Schema Title: Target URL Data Description: Target url of service provider.

---

**NIDS: Issued a Federation Assertion (002E0102)**

This event is generated when you select the Federation Token Sent option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** When a federation token is issued.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN.

**SubTarget (Y):** Schema Title: Token type Data Description: Type of token issued.

**Text1 (S):** Schema Title: Identity Provider Identifier Data Description: Identity provider identifier providing token.

**Text2 (T):** Schema Title: Authentication Method Data Description: Authentication method name.

**Text3 (F):** Schema Title: Target Name Data Description: Target name of service provider.

**Data Length (X):** 0

**Data (D):** Schema Title: Target URL Data Description: Target url of service provider.
NIDS: Received a Federation Assertion (002E0103)

This event is generated when you select the Federation Token Received option under Audit Logging on the Logging page of an Identity Server configuration.

Description: When a federation token is received.

Originator (B): Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): Schema Title: User Identifier Data Description: User DN.

SubTarget (Y): Schema Title: Authentication Identifier Data Description: IDP Session ID.

Text1 (S): Schema Title: Provider Name Data Description: Name of the provider

Text2 (T): Schema Title: Provider Identifier Data Description: Identity provider identifier.

Text3 (F): Schema Title: User Agent-Cluster ID Data Description: User agent and IDP cluster ID.

Data Length (X): 0

Data (D): Schema Title: Client IP Address Data Description: Client IP address.

Access Gateway: PEP Configured (002e0301)

This event is generated when you enable auditing.

Description: Access Gateway: policy enforcement point (PEP) configured

Originator (B): Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

Target (U): null

SubTarget (Y): null

Text1 (S): Schema Title: Event Identifier Data Description: Event Tracking Identifier

Text2 (T): null

Text3 (F): null

Value1 (1): Schema Title: Audit Enabled Data Description: 0 = No; 1 = Yes

Group (G): 0

Data Length (X): Schema Title: Policy Enforcement List Length Data Description: Byte length of PEL
**Data (D):** Schema Title: Policy Enforcement List Data Description: Policy Enforcement List (PEL) data

### 30.16.53 Roles Assignment Policy Evaluation (002e0320)

This event is generated when you enable auditing.

**Description:** Roles assignment policy evaluation

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Assigned Roles Data Description: Assigned Role or error message

**Text3 (F):** Schema Title: Assigned Roles Data Description: Assigned Role or error message

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

### 30.16.54 Access Gateway: Authorization Policy Evaluation (002e0321)

This event is generated when you enable auditing.

**Description:** Access Gateway: Authorization policy evaluation

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Text3 (F):** Schema Title: Policy Action Data Description: Policy Action FDN

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null
30.16.55  **Access Gateway: Form Fill Policy Evaluation (002e0322)**

This event is generated when you enable auditing.

**Description:** Access Gateway: Form Fill policy evaluation

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Text3 (F):** Schema Title: Policy Action Data Description: Policy Action FDN

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null


30.16.56  **Access Gateway: Identity Injection Policy Evaluation (002e0323)**

This event is generated when you enable auditing.

**Description:** Access Gateway: Identity Injection policy evaluation

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text2 (T):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Text3 (F):** Schema Title: Policy Action Data Description: Policy Action FDN

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null
30.16.57 **Access Gateway: Access Denied (0x002e0505)**

This event is generated when you select the Access Denied option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Access Denied

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0505

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Protected Resource Name Data Description: Configured Name of Protected Resource

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Value1 (1):** Schema Title: Source IP Address Data Description: User IP address (numeric format – host order)

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.58 **Access Gateway: URL Not Found (0x002e0508)**

This event is generated when you select the URL Not Found option on the Audit page of an Access Gateway.

**Description:** Access Gateway: URL Not Found

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0508

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN
30.16.59  **Access Gateway: System Started (0x002e0509)**

This event is generated when you select the System Started option on the Audit page of an Access Gateway.

**Description:** Access Gateway: System Started

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0509

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** null

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.60  **Access Gateway: System Shutdown (0x002e050a)**

This event is generated when you select the System Shutdown option on the Audit page of an Access Gateway.

**Description:** Access Gateway: System Shutdown

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.
This event is generated when you select the **Identity Injection Parameters** option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Identity Injection Parameters

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called **Event Name**.

In a query, this column is called **EventID**.
30.16.62 **Access Gateway: Identity Injection Failed (0x002e050d)**

This event is generated when you select the **Identity Injection Failed** option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Identity Injection Failed

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called **EventID**.

**Event ID:** 0x002e050d

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Value1 (I):** Schema Title: Injection Location Data Description: 2710 – Auth Header 2720 – Custom Header 2730 – Query Parameters

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.63 **Access Gateway: Form Fill Authentication (0x002e050e)**

This event is generated when you select the **Form Fill Success** option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Form Fill Authentication

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called **EventID**.

**Event ID:** 0x002e050e

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Protected Resource Name Data Description: Configured name of protected resource

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN
30.16.64 **Access Gateway: Form Fill Authentication Failed** (0x002e050f)

This event is generated when you select the Form Fill Failed option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Form Fill Authentication Failed

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e050f

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Protected Resource Name Data Description: Configured name of protected resource

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.65 **Access Gateway: URL Accessed** (0x002e0512)

This event is generated when you select the URL Accessed option on the Audit page of an Access Gateway.

**Description:** Access Gateway: URL Accessed

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.
In a query, this column is called EventID.

**Event ID:** 0x002e0512

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Value1 (1):** Schema Title: Source IP Address Data Description: User IP address (numeric format – host order)

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

### 30.16.66 Access Gateway: IP Access Attempted (0x002e0513)

This event is generated when you select the IP Access Attempted option on the Audit page of an Access Gateway.

**Description:** Access Gateway: IP Access Attempted

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0513

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Value1 (1):** Schema Title: Source IP Address Data Description: User IP address (numeric format – host order)

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null
**Access Gateway: Webserver Down (0x002e0515)**

This event is generated when you select the IP Access Attempted option on the Audit page of an Access Gateway.

**Description:** Access Gateway: One of the Web servers is not reachable

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0515

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** WebServer hostname

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** WebServer IP Address

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

---

**Access Gateway: All WebServers for a Service is Down (0x002e0516)**

This event is generated when you select the IP Access Attempted option on the Audit page of an Access Gateway.

**Description:** Access Gateway: All Web servers for a service are down

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0516

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** WebServer Hostname

**Text2 (T):** null

**Text3 (F):** null

This event is generated when you select the Application Accessed option on the Audit page of an Access Gateway.

**Description:** Access Gateway: An application has been accessed with authentication in AG.

**Event ID:** 0x002e0514

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: Protected Resource Name Data Description: Name of protected resource.

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Application Name Data Description: Application that has been accessed.

**Value1 (1):** Schema Title: Source IP Address Data Description: User IP address (numeric format – host order)

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

**30.16.70 Access Gateway: Session Created (002E0525)**

This event is generated when you select the Session Created/Destroyed option on the Audit page of an Access Gateway.

**Description:** Access Gateway: Session has been created in AG.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Protected Resource URL Data Description: Protected Resource URL

**Text1 (S):** Schema Title: User Identifier Data Description: User DN

**Text2 (T):** Schema Title: Authentication Identifier Data Description: IDP Session ID (AMAUTHID#auth_id:)

**Text3 (F):** Schema Title: Event Identifier Data Description: Event Tracking Identifier

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** Schema Title: ESP Provider Id Data Description: ID of ESP.
**Value1 (1):** Schema Title: Source IP Address Data Description: User IP address (numeric format – host order)

**Value1 (2):** Schema Title: X-Forwarded-For Client IP Address Data Description: X-Forwarded-For header value for client IP

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** Schema Title: Provider Id Data Description: Device ID of provider.

### 30.16.71 Management Communication Channel: Health Change (0x002e0601)

This event is generated when you select the **Health Changes** option on the Access Manager Auditing page.

**Description:** Management Communication Channel: Health Change

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called **Event Name**.

In a query, this column is called **EventID**.

**Event ID:** 0x002e0601

**Originator (B):** Schema Title: Originator Data Description: “devmanagement” (AMDEVICEID#devmanagement:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Changed Device Data Description: IP address and device type of the changed device

**Text2 (T):** Schema Title: Old State Data Description: Old State

**Text3 (F):** Schema Title: New State Data Description: New State

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

### 30.16.72 Management Communication Channel: Device Imported (0x002e0602)

This event is generated when you select the **Server Imports** option on the Access Manager Auditing page.

**Description:** Management Communication Channel: Device Imported

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called **Event Name**.
Troubleshooting

In a query, this column is called EventID.

Event ID: 0x002e0602

Originator (B): Schema Title: Originator Data Description: "devmanagement"
(AMDEVICEID#devmanagement:)

Target (U): null

SubTarget (Y): null

Text1 (S): Schema Title: Device Data Description: IP address and device type of the changed device

Text2 (T): blank string

Text3 (F): blank string

Value1 (1): 0

Group (G): 0

Data Length (X): 0

Data (D): null

30.16.73 Management Communication Channel: Device Deleted (0x002e0603)

This event is generated when you select the Server Deletes option on the Access Manager Auditing page.

Description: Management Communication Channel: Device Deleted

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

Event ID: 0x002e0603

Originator (B): Schema Title: Originator Data Description: "devmanagement"
(AMDEVICEID#devmanagement:)

Target (U): null

SubTarget (Y): null

Text1 (S): Schema Title: Device Data Description: IP address and device type of the changed device

Text2 (T): Schema Title: Administrator Data Description: DN of the administrator deleting the device

Text3 (F): blank string

Value1 (1): 0

Group (G): 0

Data Length (X): 0

Data (D): null
Management Communication Channel: Device Configuration Changed (0x002e0604)

This event is generated when you select the Configuration Changes option on the Access Manager Auditing page.

**Description:** Management Communication Channel: Device Configuration Changed

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0604

**Originator (B):** Schema Title: Originator Data Description: "devmanagement" (AMDEVICEID#devmanagement:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Device Data Description: IP address and device type of the changed device

**Text2 (T):** Schema Title: Administrator Data Description: DN of the administrator invoking the configuration change

**Text3 (F):** blank string

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

Management Communication Channel: Device Alert (0x002e0605)

This event is generated when you enable auditing.

**Description:** Management Communication Channel: Device Alert

In the Event list (Auditing and Logging > Logging Server Options > [Name of Novell Audit Secure Logging Server] > Novell Access Manager > Events), this column is called Event Name.

In a query, this column is called EventID.

**Event ID:** 0x002e0605

**Originator (B):** Schema Title: Originator Data Description: "devmanagement" (AMDEVICEID#devmanagement:)

**Target (U):** null

**SubTarget (Y):** null

**Text1 (S):** Schema Title: Device Data Description: IP address of the device generating the alert

**Text2 (T):** Schema Title: Alert Message Data Description: alert message string
30.16.76 **Management Communication Channel: Statistics (002e0606)**

This event is generated when you select the Server Statistics option on the Access Manager Auditing page.

**Description:** Management Communication Channel: Statistics of IDP ESP and AG.

**Originator (B):** Schema Title: Originator Data Description: “devmanagement” (AMDEVICEID#devmanagement:)

**Target (U):** null

**SubTarget (Y):** Schema Title: Device IP Address Data Description: IP address of devices like IDP or AG.

**Text1 (S):** Schema Title: Device Data Description: Device type of the device

**Text2 (T):** null

**Text3 (F):** null

**Value1 (1):** 0

**Group (G):** 0

**Data Length (X):** 0

**Data (D):** null

30.16.77 **Risk-Based Authentication Successful (002e0025)**

This event is generated when you select the Risk-Based Authentication Succeeded option under Audit Logging on the Logging page of an Identity Server configuration.

**Description:** Risk-Based additional authentication executed successfully for user.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Identifier Description: IDP Session ID (AMAUTHID#auth_id:)

**Text1 (S):** Schema Title: RiskScore Description: Risk score(number).

**Text2 (T):** Schema Title: RiskLevel Description: Risk category defined by risk score value.

**Text3 (F):** Schema Title: Additional authentication class Description: Additional Authentication class name executed as part of risk based authentication.
30.16.78 **Risk-Based Authentication Failed (002e0026)**

This event is generated when you select the **Risk-Based Authentication Failed** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** Risk-Based authentication failed for user.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Identifier Description: IDP Session ID (AMAUTHID#auth_id:)

**Text1 (S):** Schema Title: RiskScore Description: Risk score(number).

**Text2 (T):** Schema Title: RiskLevel Description: Risk category defined by risk score value.

**Text3 (F):** Schema Title: Additional authentication class Description: Additional Authentication class name executed as part of risk based authentication.

30.16.79 **Risk-Based Authentication for User (002e0027)**

This event is generated when you select the **Risk-Based Authentication Action Invoked** option under **Audit Logging** on the Logging page of an Identity Server configuration.

**Description:** Risk-Based authentication action for user.

**Originator (B):** Schema Title: Originator Data Description: JCC Device ID (AMDEVICEID#device_id:)

**Target (U):** Schema Title: User Identifier Data Description: User DN

**SubTarget (Y):** Schema Title: Authentication Identifier Description: IDP Session ID (AMAUTHID#auth_id:)

**Text1 (S):** Schema Title: RiskScore Description: Risk score(number) plus IDP session id seperated by '-'.

**Text2 (T):** Schema Title: RiskLevel Description: Risk category defined by risk score value plus user agent plus cluster id of IDP all seperated by '-'.

Value1 (1): 0

Group (G): 0

Data Length (X): 0

Data (D): Schema Title: Client IP Address Description: IP Address of the host from which the authentication succeeded.
30.16.80 Impersonation Sign in (002E0048)

This event is generated during an Impersonation sign in.

**appName:** Novell Access Manager

**timeStamp:** Thu, 15 Sep 2016 17:18:58 -0600

**eventId:** ID of the event

**SubTarget:** Impersonator’s session ID

**stringValue1:** Impersonatee’s userDN or username

**stringValue2:** Impersonatee’s session ID

**stringValue3:** Description of the event

**numericValue1:** 0

**numericValue2:** 0

**numericValue3:** 0

**data:** IP address in the base64 format

**description:** null

**message:** null

**component:** nidp\\impersonation

**originator:** JCC device ID

**target:** Impersonator’s UserDN or username

30.16.81 Impersonation: Impersonator Logs Out (002E0049)

This event is generated when an Impersonator logs out from an Impersonation session.

**appName:** Novell Access Manager

**timeStamp:** Thu, 15 Sep 2016 17:18:58 -0600

**eventId:** ID of the event

**SubTarget:** Impersonator’s session ID

**stringValue1:** Impersonatee’s userDN or username
### 30.16.82 Impersonation: Session Started (002E0050)

This event is generated when an Impersonation session is started.

- **appName**: Novell Access Manager
- **timeStamp**: Thu, 15 Sep 2016 17:18:58 -0600
- **eventId**: ID of the event
- **SubTarget**: Impersonator’s session ID
- **stringValue1**: Impersonatee’s userDN or username
- **stringValue2**: Impersonatee’s session ID
- **stringValue3**: Description of the event
- **numericValue1**: 0
- **numericValue2**: 0
- **numericValue3**: 0
- **data**: IP address in the base64 format
- **description**: null
- **message**: null
- **component**: nidp\\impersonation
- **originator**: JCC device ID
- **target**: Impersonator’s UserDN or username

### 30.16.83 Impersonation: Impersonatee Denies (002E0051)

This event is generated when an Impersonatee denies an Impersonation session request.

- **appName**: Novell Access Manager
Impersonation: Impersonatee Approves (002E0052)

This event is generated when an Impersonatee approves an Impersonation session request.

appName: Novell Access Manager

30.16.84 Impersonation: Impersonatee Approves (002E0052)

This event is generated when an Impersonatee approves an Impersonation session request.
**target**: Impersonator’s UserDN or username

### 30.16.85 Impersonation: Impersonator Cancels (002E0053)

This event is generated when an Impersonator cancels an Impersonation session request.

**appName**: Novell Access Manager

**timeStamp**: Thu, 15 Sep 2016 17:18:58 -0600

**eventId**: ID of the event

**SubTarget**: Impersonator’s session ID

**stringValue1**: Impersonatee’s userDN or username

**stringValue2**: Impersonatee’s session ID

**stringValue3**: Description of the event

**numericValue1**: 0

**numericValue2**: 0

**numericValue3**: 0

**data**: IP address in the base64 format

**description**: null

**message**: null

**component**: nidp\\impersonation

**originator**: JCC device ID

**target**: Impersonator’s UserDN or username

### 30.16.86 Impersonation: Authorization Policy Fails (002E0054)

This event is generated when an Impersonation session authorization policy fails.

**appName**: Novell Access Manager

**timeStamp**: Thu, 15 Sep 2016 17:18:58 -0600

**eventId**: ID of the event

**SubTarget**: Impersonator’s session ID

**stringValue1**: Impersonatee’s userDN or username

**stringValue2**: Impersonatee’s session ID

**stringValue3**: Description of the event

**numericValue1**: 0

**numericValue2**: 0

**numericValue3**: 0

**data**: IP address in the base64 format

**description**: null

**message**: null

**component**: nidp\\impersonation

**originator**: JCC device ID

**target**: Impersonator’s UserDN or username
30.17 Event Codes

Event codes for Access Manager Appliance consist of 4 fields that describe the type of code and the module that produced it:

- Severity (1 digit)
  - 1 = severe - Describes problems that needs to be resolved in order for the system to run correctly.
  - 2 = error - Describes that a failure occurred, but the system is operational.
  - 3 = warn - Describes a situation that may exist that the administrator should be aware of and may need to address. The system is currently running properly.
  - 4 = config - Describes configuration related information.
  - 5 = info - Describes events that occur.
  - 6 = debug - Describes execution points within the software.
  - 9 = internal - Describes an error that is for internal use only. This error code will not be documented in any public documentation.

- Component issuing the error code (3 digits)
- Sub-grouping for further classification within a component (2 digits)
- Event code (three digits)

The following sections divide the event codes by component, then describe them:

- Section 30.17.1, “Administration Console (009),” on page 1193
- Section 30.17.2, “Identity Server (001),” on page 1229
- Section 30.17.3, “Linux Access Gateway Appliance (045),” on page 1270
- Section 30.17.4, “Access Gateway Service (046),” on page 1271
- Section 30.17.5, “Policy Engine (008),” on page 1275
- Section 30.17.6, “SOAP Policy Enforcement Point (011),” on page 1279
- Section 30.17.7, “Backup and Restore (010),” on page 1284
- Section 30.17.8, “Modular Authentication Class (012),” on page 1289
## 30.17.1 Administration Console (009)

**Component 009**

- Subgroup 01: Certificate Manager
- Subgroup 02: Application
- Subgroup 03: Platform
- Subgroup 04: Web UI
- Subgroup 05: Roma Application
- Subgroup 06: Policy

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 100901001   | Error getting web manager.                      | **Cause:** Administration Console was not installed correctly or has become corrupt.  
**Action:** Verify installation. |
| 100901002   | Error in initializing the dirCerts APIs.        | **Cause:** Administration Console was not installed correctly or has become corrupt. Specifically, the PKI and/or certificate management jars may be missing or have mismatched versions.  
**Action:** Verify that the certmgr.jar file is contained in the /var/opt/novell/tomcat4/webapps/roma/WEB-INF/lib directory and that PKI has been installed.  
Verify that the Java command line contains the following:  
-Djava.library.path=/opt/novell/lib  
Verify that npki.jar is in the classpath. |
| 100901003   | Error in init.                                  | **Cause:** Administration Console was not installed correctly or has become corrupt.  
**Action:** Verify installation. |
| 100901004   | Error in CertHandler.getMultipartParamValue.   | **Cause:** Servlet error when retrieving data from a multipart form.  
**Action:** Submit log to Novell Support for analysis and resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
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</thead>
</table>
| 100901008  | Could not remove certificate with the given alias from the keystore.        | **Cause:** The keystore that contains the certificate might not exist or might have become corrupt.  
**Action:** View the configuration store and find the keystore object and check that the certificate is no longer in the key list. If it is there, manually remove it. 
Also, find the keystore on the file system of the device and remove the key manually, using the Java keytool program for JKS keystores. |
| 100901010  | Error in CertHandler.doGetSigningCertDN.                                     | **Cause:** Unable to retrieve the DN of the signing cert.  
**Cause:** The signing cert does not exist.  
**Cause:** The signing keystore does not exist.  
**Action:** View Identity Server Configuration's Signing keystore to verify that it exists and contains a certificate. If the signing keystore does not exist, there has been an error during the import of an Identity Server or during the creation of an Identity Server Configuration. 
Check to make sure that there are no corrupt Identity Server configurations. If the signing keystore does exist, add or replace a certificate. |
| 100901011  | Error in creating or configuring one or more of Identity Server Configuration cluster keystores. | **Cause:** Test certificates might have been accidentally deleted from the file system.  
**Cause:** Error communicating with Identity Server(s) while pushing down the test certificates.  
**Action:** Use the exception stack trace to discover a more detailed description of the error. Go to the Certificates tab and verify that the test-connector, test-signing, test-encryption, test-provider, test-consumer certificates have not been deleted. 
Also verify they still exist on the file system. Go to the Trusted Roots tab and verify that the configCA trusted root has not been deleted and that it exists in the configuration store. These test certificates are pushed down to each Identity Server during the creation of an Identity Server configuration. 
You can delete Identity Server configuration and create a new one and add Identity Servers back into the new configuration. |
<table>
<thead>
<tr>
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</tr>
</thead>
</table>
| 100901012    | keystore already exists.                         | **Cause:** You are trying to create a keystore that already exists on the device.  
**Action:** Use the existing keystore. |
| 100901013    | Error in init (using reflection to call a method has failed in init). | **Cause:** The java class is unable to locate another java class through reflection.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 700901014    | Cannot add non-existent key to keystore.         | **Cause:** The certificate you are trying to add to a keystore does not exist.  
**Action:** Specify a valid key to be added to the keystore. |
| 700901015    | Cannot add key to non-existent keystore.         | **Cause:** The keystore does not exist.  
**Action:** Specify a valid keystore or create the keystore. |
| 700901016    | Could not add key to keystore because the alias was too long. | **Cause:** Some platforms and keystore formats only support a limited number of characters in the alias name.  
**Action:** Use a shorter alias. |
| 700901017    | Could not add key to keystore because the maximum number of keys has been reached. | **Cause:** Many keystores allow only one key to be contained in it because the keystore has a specific purpose in Access Manager Appliance.  
**Action:** Remove unused keys from the keystore and try again. |
| 700901020    | Cannot remove non-existent key from keystore.    | **Cause:** The key no longer exists in Access Manager Appliance.  
**Action:** View the configuration store and find the keystore object and manually remove the key from the key list. |
| 700901021    | Cannot remove key from non-existent keystore.    | **Cause:** The keystore does not exist.  
**Action:** Specify a valid keystore. |
| 100901023    | CertHandler.doGetCertFromServer: Could not connect to server IP and port. | **Cause:** The server IP or DNS name and port combination is not reachable.  
**Action:** Verify that the IP address or DNS name exists and that the port is correct. You can try connecting to it with a web browser or other utility. |
<table>
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<tr>
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</thead>
</table>
| 100901024   | CertHandler.doGetCertFromServer: certificate was not obtained from server IP| **Cause:** The server IP or DNS name and port combination had no certificate to be presented.  
**Action:** Verify that the IP address or DNS name exists and that the port is correct. Verify that the server you are attempting to import the certificate from has a certificate. You can try connecting to it with a web browser or other utility. |
| 100901025   | Error in handleException.                                                   | **Cause:** The exception reported has no details associated with it.     
**Action:** Scroll up in the log to see if there is a stack trace immediately above this error, determine what steps you had taken to create this error condition, and submit the log and steps to Novell Support. |
| 100901026   | The node keystore does not exist. Cannot add cluster keys to a non-existent | **Cause:** The grouping of Identity Servers (Identity Server Configuration) or Access Gateways is trying to locate a keystore on one of Identity Server or Access Gateway devices but the keystore cannot be found.  
**Action:** Verify that Identity Servers and Access Gateway devices had no errors during import to Administration Console. Try to re-import the devices. |
| 100901027   | Error in CertHandler.getNIDPDeviceKeystoreName (The name of the device's keystore was not found). | **Cause:** The cluster keystore representation object was not found.  
**Cause:** The cluster keystore representation did not have a device type specified.  
**Action:** Delete and recreate Identity Server Configuration or Access Gateway Group that is causing the problem and then re-add the members. |
| 100901028   | Error in CertHandler.isTomcatCert (Unable to determine if the specified certificate is the one being used by Tomcat). | **Cause:** The certificate representation has missing or invalid attributes.  
**Action:** Delete this certificate and re-import it. |
| 100901030   | Error in CertHandler.getNodeKeystoreNames (The cluster object was not found in the configuration store, or the cluster server list was empty). | **Cause:** The cluster object was not found in the configuration store, the type of the cluster could not be determined, or the cluster server list was empty.  
**Action:** No action needed unless your devices are unable to communicate. If you are having problems with communication, delete and recreate Identity Server configuration or Access Gateway cluster that is causing the problem. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100901031</td>
<td>Error in CertHandler.getClusterDisplayName (The cluster object was not found in the configuration store).</td>
<td><strong>Action:</strong> Delete and recreate Identity Server configuration or Access Gateway cluster that is causing the problem and then re-add the members. <strong>Cause:</strong> It's possible the device is in a partially-imported state.</td>
</tr>
<tr>
<td>100901032</td>
<td>The device does not exist but the certificate is in a keystore assigned to that device.</td>
<td><strong>Cause:</strong> It's possible the device is in a partially-imported state. <strong>Action:</strong> Delete the keystore, if possible, and re-import the device.</td>
</tr>
<tr>
<td>100901033</td>
<td>The device does not exist but the keystore is assigned to that device.</td>
<td><strong>Cause:</strong> It's possible the device is in a partially-imported state. <strong>Action:</strong> Delete the keystore, if possible, and re-import the device.</td>
</tr>
<tr>
<td>100901034</td>
<td>Unable to retrieve the primary member of the group.</td>
<td><strong>Cause:</strong> The group is corrupt. <strong>Action:</strong> Delete the group, re-create it, and re-add the members.</td>
</tr>
<tr>
<td>100901035</td>
<td>Unable to remove the node keystore setting off Access Gateway group device.</td>
<td><strong>Cause:</strong> Could not locate the keystore object in the configuration store. <strong>Action:</strong> No action required.</td>
</tr>
<tr>
<td>700901036</td>
<td>Unable to set the Update Servers status.</td>
<td><strong>Cause:</strong> Communication error. <strong>Action:</strong> Manually restart or update the device.</td>
</tr>
<tr>
<td>700901037</td>
<td>Unable to remove all keys from keystore.</td>
<td><strong>Cause:</strong> The keystore does not exist. <strong>Action:</strong> Manually remove each certificate from the keystore. <strong>Cause:</strong> There is a corrupt key in the keystore.</td>
</tr>
<tr>
<td>700901038</td>
<td>Unable to reinitialize keystore contents for a particular device in a group or configuration.</td>
<td><strong>Cause:</strong> One of the device keystores does not exist. <strong>Action:</strong> Re-create the keystore or delete and recreate the group or configuration and then re-add the devices to it. <strong>Cause:</strong> There was an error either removing all certificates from a keystore. <strong>Action:</strong> Manually remove all certificates from the keystore and then remove and re-add that device to the group/configuration. <strong>Cause:</strong> There was an error adding the test certificates to a keystore. <strong>Action:</strong> Verify that the test certificates exist (see error 1.009.01.011 for more detail). Manually add the test certificates to the keystore. Or remove the device from the group/configuration and re-add it.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tr>
</tbody>
</table>
| 700901039  | Unable to assess whether the keystore contains a tomcat connector certificate. | **Cause:** The cluster keystore representation does not exist or is corrupt.  
**Cause:** Unable to locate the devices in the group/configuration.  
**Action:** Delete and recreate the group/configuration and re-add the devices to it. |
| 700901040  | Error adding a key to keystore during the renew certificate process. | **Cause:** The original certificate information could not be located.  
**Action:** Manually create a new certificate and place it into all the keystores which previously held the certificate being renewed. |
| 100901041  | Unable to extract the public key from a key during the auto-import public certificate process. | **Cause:** The source keystore does not exist.  
**Action:** Select a valid keystore.  
**Cause:** The specified source key does not exist.  
**Action:** Verify that the key you have specified to export the public certificate from exists. |
| 100901042  | Unable to set up the initial keys for the cluster. | **Cause:** When trying to locate the cluster keystores so that their contents can be initialized, one or more of those keystore representations could not be found.  
**Action:** Delete and recreate Identity Server configuration or Access Gateway cluster. |
| 100901043  | The source keystore does not exist. Cannot push keys from a non-existent keystore. | **Cause:** The source keystore does not exist.  
**Action:** Usually the source keystore is a cluster keystore representation. Try deleting and recreating Identity Server configuration or Access Gateway cluster to ensure those cluster keystore representations get created. |

**Application**

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 10092001   | Error - Exception thrown in eventOccurred of vcdn.application.sc.alert.AlertEventListener | **Cause:** Cannot post alert to internal subsystem.  
**Action:** Non-fatal error. No action required. |
| 10092002   | Error - Exception thrown in eventOccurred of vcdn.application.sc.alert.AlertEventListener | **Cause:** Cannot post alert to internal subsystem.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 10092003   | Error - Exception thrown in logAlert of vcdn.application.sc.alert.AlertLogger. | **Cause:** Problem occurred update Identity Server Alert count.  
**Action:** Non-fatal error. May be a symptom of a more serious condition. Submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100902004</td>
<td>Error - Exception thrown in the execute method of vcdn.application.sc.alert.CertUpdateWork.</td>
<td><strong>Cause:</strong> Could not update or read the list of trusted server certificates.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Be sure the /var/opt/novell/novlwww/devman.cacerts file exists, is a valid Java keystore, and is not corrupted. To check its status, enter the following command: /opt/novell/java/bin/keytool -v -list -keystore devman.cacerts</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Otherwise, be sure the config store is running and functioning properly.</td>
</tr>
<tr>
<td>100902005</td>
<td>Error - (The specified device) has not been imported. Failed to start device.</td>
<td><strong>Cause:</strong> Identity Server was not properly imported.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) If this fails, reinstall the server component.</td>
</tr>
<tr>
<td>100902006</td>
<td>Error importing device (with the specified ID).</td>
<td><strong>Cause:</strong> The Server was not properly imported.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) If this fails, reinstall the server component.</td>
</tr>
<tr>
<td>100902007</td>
<td>Error - Import failed. Retrying.</td>
<td><strong>Cause:</strong> Unable to communicate with the Server being imported.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Be sure the firewall is allowing port 1443 traffic. Otherwise allow the system to retry for several minutes. If the server does not appear in the Server List, click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902008</td>
<td>Error auto importing. Retry.</td>
<td><strong>Cause:</strong> Unable to communicate with the Server being imported.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Be sure the firewall is allowing port 1443 traffic. Otherwise allow the system to retry for several minutes. If the server does not appear in the Server List, click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902009</td>
<td>Error - Could not create subcontext: cn=(The specified Context)</td>
<td><strong>Cause:</strong> Error creating Server object in config store during import.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tr>
</tbody>
</table>
| 10090210   | Error - (The given ESP) does not exist! | **Cause:** There was a error during Administration Console installation.  
**Action:** Reinstall Administration Console. |
| 10090211   | Error - Exception reading (the given ESP) | **Cause:** The file required during the import process could not be read.  
**Action:** Be sure the indicated file can be read by the novlwww user. |
| 10090212   | Error - Could not import LDIF. | **Cause:** The error occurred while creating the configuration for the Embedded Service Provider.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. |
| 10090213   | Error - Could not find (the specified DN) | **Cause:** Error connecting to the config store while importing the Embedded Service Provider.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. You might need to restart Administration Console. |
| 10090214   | Error - ESP Configuration was not found, so auto-import failed. | **Cause:** Could not find the configuration for the imported Embedded Service Provider.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. |
| 10090215   | Error - Exception thrown in importDevice of vcdn.application.sc.alert.RegisterCommand. | **Cause:** Error during import of server component.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. |
| 10090216   | Error - ImportThread null member vars. | **Cause:** Internal error occurred during import.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
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<th>Remedy</th>
</tr>
</thead>
</table>
| 100902017  | Error - Could not connect to eDir for certs.                                | **Cause:** Either the primary Administration Console is down (if this is a secondary console), or the config store is down.  
**Action:** Be sure the config store is operating properly and that port 554 is not blocked by a firewall. |
| 100902018  | Error during execution.                                                      | **Cause:** Error executing an external program during import process.  
**Action:** Go to Access Gateway Server List and click Repair Import. (The repair import functionality works for any server type.) Otherwise, submit the app_sc.0.log file for resolution. |
| 100902019  | Error - Could not get (the given number of) bytes of payload data.          | **Cause:** An error occurred while trying to read data for a command.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902020  | Error - VException thrown while executing command in vcdn.application.sc.alert.AlertCommandHandler. | **Cause:** Problem executing a command from a server component.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902021  | Error - VCDNException thrown in performConfiguration of vcdn.application.sc.config.AGApplyWork | **Cause:** Problem occurred while sending configuration to Access Gateway server.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902022  | Error - VCDNException thrown in responseReceived method of vcdn.application.sc.config.AGApplyWork | **Cause:** Error occurred in processing the response from an Access Gateway server.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902023  | Error - VCDNException thrown in performConfiguration method of vcdn.application.sc.config.AGConfigWork | **Cause:** Error occurred while sending configuration to Access Gateway server.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902024  | Error - VCDNException thrown in responseReceived method of vcdn.application.sc.config.AGConfigWork | **Cause:** Error occurred in processing the response from an Access Gateway server.  
**Action:** Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100902025</td>
<td>Error - Exception thrown in processAGResponse method of</td>
<td><strong>Cause:</strong> Error occurred in processing the response from an Access Gateway server.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.AGConfigWork</td>
<td><strong>Action:</strong> Ensure the server component is operating properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902031</td>
<td>Error - SchedulerException thrown in configureDeviceNow method of</td>
<td><strong>Cause:</strong> Error occurred while scheduling an immediate apply of the current configuration.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigManager</td>
<td><strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902032</td>
<td>Error - Exception thrown in the execute method of</td>
<td><strong>Cause:</strong> Error occurred while performing pending actions.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902033</td>
<td>Error setting LDAP attribute in performPendingActions of</td>
<td><strong>Cause:</strong> Pending actions could not be completed because of a problem communicating with the config store.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902034</td>
<td>Error invoking method in performPendingActions of</td>
<td><strong>Cause:</strong> Problem occurred while invoking a method during a pending action.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902035</td>
<td>Error executing pending action (name) in performPendingActions of</td>
<td><strong>Cause:</strong> Problem occurred while displaying a pending dialog message.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> This is a non-fatal error. If the problem persists, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902036</td>
<td>Error - Exception thrown in getConfigXML of</td>
<td><strong>Cause:</strong> Error occurred while retrieving XML data from the config store.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902037</td>
<td>Error - VException thrown in saveInDB method of</td>
<td><strong>Cause:</strong> Error occurred while saving the applied configuration in the config store.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.ConfigWork</td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902038</td>
<td>Error - VException thrown in configFinished method of</td>
<td><strong>Cause:</strong> Error occurred while sending the Audit event for a changed configuration.</td>
</tr>
<tr>
<td></td>
<td>vcdn.application.sc.config.DeviceConfigApplyWork</td>
<td><strong>Action:</strong> Ensure the Audit server and the config store are functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 100902039    | Error - VException thrown in configFinished method of vcdn.application.sc.config.DeviceConfigWork | Cause: Error occurred while sending the Audit event for a changed configuration.  
Action: Ensure the Audit server and the config store are functioning properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902040    | Error - Exception thrown in processConfigDiff method of vcdn.application.sc.config.DeviceGroupConfigWork | Cause: Error occurred while parsing the XML for a group configuration.  
Action: Error occurred while sending the Audit event for a changed configuration.  
Action: Submit the app_sc.0.log file for resolution. |
| 100902041    | Error - Exception thrown in memberConfigFinished method of vcdn.application.sc.config.DeviceGroupConfigWork | Cause: Error occurred while processing a group member configuration apply response.  
Action: Ensure the server component is functioning properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902042    | Error - Exception thrown in removePendingFromFailedList method of vcdn.application.sc.config.DeviceGroupConfigWork | Cause: Error occurred while re-applying a server configuration.  
Action: Submit the app_sc.0.log file for resolution. |
| 100902043    | Error - SchedulerException thrown in scheduleMultiDeviceWorks method of vcdn.application.sc.config.DeviceGroupConfigWork | Cause: Error occurred while scheduling a group configuration.  
Action: Submit the app_sc.0.log file for resolution. |
| 100902044    | Error - Exception thrown in the execute method of vcdn.application.sc.config.DeviceGroupConfigWork | Cause: Error occurred while scheduling a group configuration.  
Action: Submit the app_sc.0.log file for resolution. |
| 100902045    | Error - VException thrown in performWork method of vcdn.application.sc.config.MultiDeviceConfigWork | Cause: Error occurred while applying configuration to a group member.  
Action: Ensure the server component is functioning properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902046    | Error - Exception thrown in performWork method of vcdn.application.sc.config.MultiDeviceConfigWork | Cause: Error occurred while applying configuration to a group member.  
Action: Ensure the server component is functioning properly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902047    | Error - SchedulerException thrown in getDeviceGroupConfigWork method of vcdn.application.sc.config.MultiDeviceConfigWork | Cause: Error occurred while trying to get the scheduled configuration.  
Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
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</tr>
</thead>
</table>
| 100902048   | Error - VEException thrown in configFinished method of vcdn.application.sc.config.MultiDeviceConfigWork | **Cause:** Error occurred while importing status from a group member.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902049   | Error - VCDNException thrown in the execute method of vcdn.application.sc.command.AGCommandWork | **Cause:** Error occurred while sending a command to an Access Gateway server.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902050   | Error - Exception thrown in the sendCommand method of vcdn.application.sc.command.AGCommandWork | **Cause:** Error occurred while sending a command to an Access Gateway server.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902051   | Error - Exception thrown in the processAGResponse method of vcdn.application.sc.command.AGCommandWork | **Cause:** Error occurred while processing a command response from an Access Gateway server.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902055   | Error - IOException thrown in the addCommand method of vcdn.application.sc.command.CertCommand | **Cause:** Error generating certificate command.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100902056   | Error - IOException thrown in the generateCmd method of vcdn.application.sc.command.CertCommand | **Cause:** Error generating certificate command.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100902057   | Error - IOException thrown in the setCertChainData method of vcdn.application.sc.command.CertCommand | **Cause:** Error generating chained certificate command.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100902058   | Error - VCDNException thrown in the execute method of vcdn.application.sc.command.IDPCommandWork | **Cause:** Error occurred while sending a command to an Identity Server ESP server.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100902059   | Error - VCDNException thrown in the sendCommand method of vcdn.application.sc.command.IDPCommandWork | **Cause:** Error occurred while sending a command to an Identity Server or ESP server.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
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</thead>
</table>
| 10090260     | Error - NamingException thrown in the updateNIDPCommandStatus method of vcdn.application.sc.command.IDPCommandWork | **Cause:** Error occurred while processing a command response from an Identity Server or ESP.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 010090261    | Error - VException thrown in the updateNIDPCommandStatus method of vcdn.application.sc.command.IDPCommandWork | **Cause:** Error occurred while processing a command response from an Identity Server or ESP.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 10090262     | Error - Exception thrown in the processIDPResponse method of vcdn.application.sc.command.IDPCommandWork | **Cause:** Error occurred while processing a command response from an Identity Server or ESP.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 10090263     | Error - VCDNException thrown in the execute method of vcdn.application.sc.command.JCCCommandWork | **Cause:** Error occurred while executing a server command.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 10090264     | Error - Exception thrown in the sendCommand method of vcdn.application.sc.command.JCCCommandWork | **Cause:** Error occurred while sending a server command.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 10090265     | Error - Exception thrown in the processResponse method of vcdn.application.sc.command.JCCCommandWork | **Cause:** Error occurred while processing a response from a server command.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 30090269     | Exception changing factory LocalAddress.                                   | **Cause:** Error occurred while changing factory XML during configuration import.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 10090270     | Error - ConverterException thrown in the getCurrentDeviceXML method of vcdn.application.sc.core.AGDevice | **Cause:** Error occurred during translation of NetWare Access Gateway configuration.  
**Action:** Submit the app_sc.0.log file for resolution. |
<table>
<thead>
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</tr>
</thead>
<tbody>
<tr>
<td>100902071</td>
<td>Error - NamingException thrown in the importDevice method of vcdn.application.sc.core.AGDevice</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902072</td>
<td>Error - VException thrown in the importDevice method of vcdn.application.sc.core.AGDevice</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902073</td>
<td>Error - Exception thrown in the importDevice method of vcdn.application.sc.core.AGDevice</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902074</td>
<td>Error - NamingException thrown in the vcdn.application.sc.core.AuditManager constructor.</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902075</td>
<td>Error - JDOMException thrown in the processDocument method of vcdn.application.sc.core.AuditManager</td>
<td><strong>Cause:</strong> Audit XML data could not be parsed.  <strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902076</td>
<td>Error - Exception thrown in the processDocument method of vcdn.application.sc.core.AuditManager</td>
<td><strong>Cause:</strong> Invalid data format.  <strong>Action:</strong> Attempt the operation again. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902077</td>
<td>Error - Exception thrown in the setDefaultServer method of vcdn.application.sc.core.AuditManager</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902078</td>
<td>Error - VException thrown in the writeConfig method of vcdn.application.sc.core.AuditManager</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902079</td>
<td>Error - NamingException thrown in the writeConfig method of vcdn.application.sc.core.AuditManager</td>
<td><strong>Cause:</strong> Config store could not be accessed or an internal error occurred.  <strong>Action:</strong> Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tr>
</tbody>
</table>
| 100902080  | Error - Exception thrown in the writeConfig method of `vcdn.application.sc.core.AuditManager` | **Cause:** Config store could not be accessed or an internal error occurred.  
**Action:** Ensure the config store is functioning properly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902081  | Error - SException thrown in the getIDPConfigObject method of `vcdn.application.sc.core.AuditManager` | **Cause:** Config store could not be accessed or an internal error occurred.  
**Action:** Ensure the config store is functioning properly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902082  | Error - NamingException thrown in the getIDPConfigObject method of `vcdn.application.sc.core.AuditManager` | **Cause:** Config store could not be accessed or an internal error occurred.  
**Action:** Ensure the config store is functioning properly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902083  | Error - Exception thrown in the getIDPConfigObject method of `vcdn.application.sc.core.AuditManager` | **Cause:** Config store could not be accessed or an internal error occurred.  
**Action:** Ensure the config store is functioning properly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902084  | Error - NullPointerException thrown in the logEvent method of `vcdn.application.sc.core.AuditManager` | **Cause:** Error logging Novell Audit event.  
**Action:** Ensure the Novell Audit server is functioning properly, then click Repair Import to resolve the issue. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902085  | Error - Exception thrown in the createElement method of `vcdn.application.sc.core.DeviceConfig` | **Cause:** Internal XML error.  
**Action:** Submit the `app_sc.0.log` file for resolution. |
| 100902086  | Error - Exception thrown in the setLastModified method of `vcdn.application.sc.core.DeviceConfig` | **Cause:** Internal XML error.  
**Action:** Submit the `app_sc.0.log` file for resolution. |
| 300902087  | Warning - Exception thrown in the getLastScheduledWorkID method of `vcdn.application.sc.core.DeviceGroupManager` | **Cause:** The last executed command status ID could not be read.  
**Action:** Non-fatal error. |
| 100902088  | Error - Could not get version from device. Make sure it is running properly. | **Cause:** Could not get version from device.  
**Action:** Make sure the server component is running properly, then click Repair Import to resolve the issue. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100902089  | Error - NamingException thrown in the importDevice method of `vcdn.application.sc.core.DeviceManager` | **Cause:** Error importing device.  
**Action:** Make sure the server component is running properly, then click Repair Import to resolve the issue. Otherwise, submit the `app_sc.0.log` file for resolution. |
### Event Code | Description | Remedy
---|---|---
100902090 | Error - VException thrown in the importDevice method of vcdn.application.sc.core.DeviceManager | **Cause:** Error importing device. **Action:** Make sure the server component is running properly, then click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.
100902091 | Error - InvocationTargetException thrown in the importDevice method of vcdn.application.sc.core.DeviceManager | **Cause:** Error importing device. **Action:** Make sure the server component is running properly, then click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.
100902092 | Error - Exception thrown in the importDevice method of vcdn.application.sc.core.DeviceManager | **Cause:** Error importing device. **Action:** Make sure the server component is running properly, then click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.
100902093 | Error - Could not find esp cfg SCC to remove in cluster container. | **Cause:** Error deleting improperly imported server. **Action:** Non-fatal error.
100902094 | Error deleting the trusted IDP entry for ESP. | **Cause:** Error accessing config store. **Action:** Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.
100902095 | Error - NamingException thrown in the setHealthCheck method of vcdn.application.sc.core.DeviceManager | **Cause:** Error saving health status in config store. **Action:** Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.
100902096 | Error - Could not find the DN specified. | **Cause:** Error saving health status in config store. **Action:** Ensure the server component imported correctly and the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.
100902097 | Error - Exception thrown in the deleteDevice method of vcdn.application.sc.core.DeviceManager | **Cause:** Error occurred while deleting the server objects. **Action:** Ensure the config store is functioning properly. Otherwise, submit the app_sc.0.log file for resolution.
100902098 | Error - Exception thrown in the setHealthCheck method of vcdn.application.sc.core.DeviceManager | **Cause:** Error updating the version following an upgrade of a server component. **Action:** Allow the operation to try again. Otherwise, submit the app_sc.0.log file for resolution.
<table>
<thead>
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<th>Event Code</th>
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<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>300902099</td>
<td>Warning - Exception thrown in the getLastScheduledWorkID method of vcdn.application.sc.core.DeviceManager</td>
<td><strong>Cause:</strong> The last executed command status ID could not be read.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Non-fatal error.</td>
</tr>
<tr>
<td>300902100</td>
<td>Device is not imported.</td>
<td><strong>Cause:</strong> Server component is sending health to Administration console that does not recognize the server.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Click Repair Import to resolve the issue. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>300902101</td>
<td>Identity configuration not found for device.</td>
<td><strong>Cause:</strong> Identity server configuration not found in config store.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Non-fatal error.</td>
</tr>
<tr>
<td>100902102</td>
<td>Error - Exception thrown in the createCertEntry method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the config store is up and that the user has rights to create objects in the following container:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ou=KeyContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_root,ou=access ManagerContainer,o=novell</td>
</tr>
<tr>
<td>100902103</td>
<td>Error - Exception thrown in the deleteCertEntry method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the config store is up and that the user has rights to delete objects in the following container:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ou=KeyContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_root,ou=access ManagerContainer,o=novell</td>
</tr>
<tr>
<td>100902104</td>
<td>Error - Exception thrown in the modifyCertEntryXml method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the config store is up and that the user has rights to modify objects in the following container:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ou=KeyContainer,ou=Partition,ou=PartitionsContainer,ou=VCDN_root,ou=access ManagerContainer,o=novell</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100902105</td>
<td>Error - Exception thrown in the createKeyStoreEntry method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store. <strong>Action:</strong> Verify the config store is up and that the user has rights to create objects in the following container: ou=KeyContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN_root, ou=accessManagerContainer, o=novell.</td>
</tr>
<tr>
<td>100902106</td>
<td>Error - Exception thrown in the deleteKeyStoreEntry method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store. <strong>Action:</strong> Verify the config store is up and that the user has rights to delete objects in the following container: ou=KeyContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN_root, ou=accessManagerContainer, o=novell.</td>
</tr>
<tr>
<td>100902107</td>
<td>Error - Exception thrown in the modifyKeyStoreEntryXml method of vcdn.application.sc.core.KeyManager</td>
<td><strong>Cause:</strong> The config store is not reachable or the user does not have rights to modify the config store. <strong>Action:</strong> Verify the config store is up and that the user has rights to modify objects in the following container: ou=KeyContainer, ou=Partition, ou=PartitionsContainer, ou=VCDN_root, ou=accessManagerContainer, o=novell.</td>
</tr>
<tr>
<td>100902108</td>
<td>Error - Exception thrown in the createElement method of vcdn.application.sc.core.PolicyConfig</td>
<td><strong>Cause:</strong> Error creating an element in the specified XML document. <strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902109</td>
<td>Error - Exception thrown in the setLastModified method of vcdn.application.sc.core.PolicyConfig</td>
<td><strong>Cause:</strong> Error setting an attribute value on modified elements. <strong>Action:</strong> Submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902113</td>
<td>Error - Exception thrown in the sendData method of vcdn.application.sc.core.work.DeleteDeviceWork</td>
<td><strong>Cause:</strong> Error communicating with component. <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902114</td>
<td>Error - Exception thrown in the execute method of vcdn.application.sc.core.work.ReimportDeviceWork</td>
<td><strong>Cause:</strong> Error occurred while executing a server command. <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
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</tr>
<tr>
<td>100902115</td>
<td>Error - Exception thrown in the getHealth method of vcdn.application.sc.health.HealthCheck</td>
<td><strong>Cause</strong>: Error occurred while executing a server command. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902116</td>
<td>Error - Inner Exception thrown in the execute method of vcdn.application.sc.health.HealthCheck</td>
<td><strong>Cause</strong>: Error occurred while executing a server command. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902117</td>
<td>Error - Outer Exception thrown in the execute method of vcdn.application.sc.health.HealthCheck</td>
<td><strong>Cause</strong>: Error occurred while executing a server command. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902118</td>
<td>Error - VException thrown in the eventOccurred method of vcdn.application.sc.health.HealthEventListener</td>
<td><strong>Cause</strong>: Error occurred while receiving/logging a health event. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902119</td>
<td>Error getting Health Module or Service</td>
<td><strong>Cause</strong>: Error occurred while executing a server command. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100902120</td>
<td>Error - Exception thrown in the execute method of vcdn.application.sc.health.HealthUpdateWork</td>
<td><strong>Cause</strong>: Error occurred while executing a server command. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td><strong>Platform</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100903001</td>
<td>Error - Unable to find a trusted client certificate.</td>
<td><strong>Cause</strong>: There was a problem during the import of the device. <strong>Action</strong>: Consult the documentation to re-import the device into Administration Console.</td>
</tr>
<tr>
<td>100903002</td>
<td>Error building delayed response.</td>
<td><strong>Cause</strong>: Error occurred while processing a request. <strong>Action</strong>: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
<td>--------------------------------------------------</td>
<td>---------------------------------------------</td>
</tr>
</tbody>
</table>
| 100903003    | Error setting return code in HttpServletResponse. | **Cause:** Error occurred while processing a request.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903004    | Error - DelayedResponseListener thread failed to start. | **Cause:** Error occurred while processing a delayed response.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903005    | Error in the ResponseHandler thread of the DelayedResponseListener. | **Cause:** Error occurred while processing a response.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903006    | Error creating XML Element in ResponseBuilder. | **Cause:** Error occurred while editing XML.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903007    | Error waiting on mutex in RequestDispatcher. | **Cause:** Error occurred while getting responses.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903008    | Error notifying mutex in RequestDispatcher. | **Cause:** Error occurred while receiving a response.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903009    | Error receiving in SendInternal of VConnection. | **Cause:** Error occurred while receiving an internal response.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903010    | Error getting response code in VConnection. | **Cause:** Error occurred while getting the code.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100903011    | Error in stopScheduledResponses of VConnection. | **Cause:** Error occurred while attempting to stop scheduled responses.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100903012</td>
<td>Error in <code>ConsumeData</code> of <code>VConnection</code>.</td>
<td><strong>Cause:</strong> Error occurred while reading data.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100903013</td>
<td>Error in <code>sendData</code> of <code>VConnection</code>.</td>
<td><strong>Cause:</strong> Error occurred while sending data.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100903014</td>
<td>Error in <code>getHeaders</code> of <code>VConnection</code>.</td>
<td><strong>Cause:</strong> Error occurred while getting headers.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100903015</td>
<td>Error in <code>receive</code> of <code>VConnection</code>.</td>
<td><strong>Cause:</strong> Error occurred while receiving a response.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
</tbody>
</table>

**Web UI**

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100904001</td>
<td>Error reading manager data in <code>UIManager</code>.</td>
<td><strong>Cause:</strong> Error occurred while reading data.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904002</td>
<td>Error during auto authentication in <code>WebApplicaitonFilter</code>.</td>
<td><strong>Cause:</strong> Error occurred while authenticating.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904003</td>
<td>Error - Exception thrown in <code>doFilter</code> of <code>WebApplicationFilter</code>.</td>
<td><strong>Cause:</strong> Error getting panel data.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904004</td>
<td>Error - Exception thrown in logout of <code>WebApplicationFilter</code>.</td>
<td><strong>Cause:</strong> Error occurred while logging out.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904005</td>
<td>Error - <code>VException</code> thrown in <code>getUserInfo</code> of <code>WebManager</code>.</td>
<td><strong>Cause:</strong> Error occurred while getting user information.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
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<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100904006</td>
<td>Error - Exception thrown in getDeviceInfo of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting device information.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904007</td>
<td>Error - Exception thrown in getPolicyInfo of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting policy information.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904008</td>
<td>Error - Exception thrown in getTypeSpecificationInfo of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting policy type specification information.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904009</td>
<td>Error - Exception thrown in getDeviceConfig of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting device configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904010</td>
<td>Error - Exception thrown in getPolicyConfig of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting device configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904011</td>
<td>Error - Exception thrown in getTypeSpecificationConfig of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting policy type specification configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904012</td>
<td>Error - Exception thrown in parameterMapToString of WebManager.</td>
<td><strong>Cause:</strong> Error occurred while getting parameter information.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904013</td>
<td>Error while logging out user {0}.</td>
<td><strong>Cause:</strong> Error occurred while logging out NDS user object.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904014</td>
<td>Error - Exception thrown in getSelectionCriteria of WebPanel.</td>
<td><strong>Cause:</strong> Error occurred while getting selection criteria.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>-------------</td>
<td>--------</td>
</tr>
</tbody>
</table>
| 100904015  | Error - Exception thrown in getPanelVersion of WebPanel. | **Cause:** Error occurred while getting panel version.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904016  | Error - Group Config failed. | **Cause:** Error occurred while applying group configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904017  | Error - Schedule Group Config failed. | **Cause:** Error occurred while scheduling group configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904018  | Error - Update XML and Device Config failed. | **Cause:** Error occurred while updating configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904019  | Error - Unlock Config failed. | **Cause:** Error occurred while unlocking the configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904020  | Error - Exception thrown in do_cancelPendingConfig of ConfigWorkDispatcher. | **Cause:** Error occurred while canceling a pending configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904021  | Error - Exception thrown in do_cancelPendingConfig of ConfigWorkDispatcher. | **Cause:** Error occurred while canceling a pending configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904022  | Error - Exception thrown in do_reapplyPendingConfig of ConfigWorkDispatcher. | **Cause:** Error occurred while reapplying a pending configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904023  | Error - Exception thrown in do_deviceConfig of ConfigWorkDispatcher. | **Cause:** Error occurred while applying configuration.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100904024</td>
<td>Error - Exception thrown in do_scheduleDeviceConfig of ConfigWorkDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while scheduling configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200904025</td>
<td>Error - XML VALIDATION FAILED. PLEASE CHECK APP_SC LOG.</td>
<td><strong>Cause:</strong> XML created by GUI does not match the XML schema and fails validation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Cancel the changes that were made and try again. In any case, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904026</td>
<td>Error applying settings in ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while applying configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904027</td>
<td>Error - Exception thrown in do_save of ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while saving configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904028</td>
<td>Error - Exception thrown in do_cancel of ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while canceling configuration changes.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904029</td>
<td>Error - Exception thrown in do_refreshConfig of ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while refreshing configuration manager panel.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904030</td>
<td>Error - Exception thrown in setLastModParams of ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while setting an XML attribute.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100904031</td>
<td>Error - IOException thrown in getXPathMap of ConfigXmlUpdateDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while xpath mapping on the current panel.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the server component is functioning correctly. Cancel changes on the current panel, return, and try again. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>10090432</td>
<td>Error decoding: (0).</td>
<td><strong>Cause:</strong> Error occurred while xpath mapping on the current panel.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the server component is functioning correctly. Cancel changes on the current panel, return, and try again. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090433</td>
<td>Error - Exception thrown in processRequest of ExceptionDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090434</td>
<td>Error - Exception thrown in the service method of ServletDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090435</td>
<td>Error - Exception thrown in ServletDispatcher.</td>
<td><strong>Cause:</strong> Error occurred while inserting dispatchers.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090436</td>
<td>Error - Exception thrown in processRequest of DeviceCommandHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090437</td>
<td>Error - VException thrown in setNIDPCommandState of DeviceCommandHandler.</td>
<td><strong>Cause:</strong> Error occurred while accessing data store.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the data store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090438</td>
<td>Error - NamingException thrown in setNIDPCommandState of DeviceCommandHandler.</td>
<td><strong>Cause:</strong> Error occurred while accessing data store.</td>
</tr>
<tr>
<td></td>
<td>Action: Ensure the data store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
<td></td>
</tr>
<tr>
<td>10090439</td>
<td>Error - Could not find signing keystore for (0).</td>
<td><strong>Cause:</strong> An error occurred during the import of the device.</td>
</tr>
<tr>
<td></td>
<td>Action: Consult the documentation and re-import the device into Administration Console.</td>
<td></td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100904040</td>
<td>Error - Could not find encryption keystore for {0}.</td>
<td><strong>Cause:</strong> An error occurred during the import of the device.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Consult the documentation and re-import the device into Administration Console.</td>
</tr>
<tr>
<td>100904041</td>
<td>Error - Could not find connector keystore for {0}.</td>
<td><strong>Cause:</strong> An error occurred during the import of the device.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Consult the documentation and re-import the device into Administration Console.</td>
</tr>
<tr>
<td>100904042</td>
<td>Error - Could not find trust keystore for {0}.</td>
<td><strong>Cause:</strong> An error occurred during the import of the device.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Consult the documentation and re-import the device into Administration Console.</td>
</tr>
<tr>
<td>100904043</td>
<td>Error - Could not find OCSP trust keystore for {0}.</td>
<td><strong>Cause:</strong> An error occurred during the import of the device.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Consult the documentation and re-import the device into Administration Console.</td>
</tr>
</tbody>
</table>
| 100904044   | Error - No keys were assigned to keystore: {0}.                            | **Cause:** The keystore does not have any certificates in it. This may or may not be a bad condition. For instance, the OCSP trus
<p>|             |                                                                             | <strong>Action:</strong> Check the keystore using the UI. If the keystore shows that it has a certificate, then the device import probably failed. Consult the documentation and re-import the device and also try deleting and re-creating the NIDP configuration. Also, try replacing the certificate in the keystore through the UI. |
| 100904045   | Error - Exception thrown in processRequest of UpgradeDeviceGroupHandler.   | <strong>Cause:</strong> Error occurred while processing request.                                         |
|             |                                                                             | <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100904046   | Error - Exception thrown in processRequest of UpgradeDeviceHandler.        | <strong>Cause:</strong> Error occurred while processing request.                                         |
|             |                                                                             | <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |</p>
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 10090407   | Error - Exception thrown in getUpgradeInfo of UpgradeDeviceHandler. | **Cause:** Error occurred while getting update information.  
**Action:** Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |

### Application Handlers

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 100905001  | Error during repair import. | **Cause:** Error occurred while attempting to repair import.  
**Action:** Delete the server from the list and reinstall. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905002  | Error - Failed to remove server. | **Cause:** Error occurred while attempting to remove server.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100905003  | Error setting device groups. | **Cause:** Error occurred while attempting to mark a server as a member of a group.  
**Action:** Delete the server from the group and retry or delete the group and recreate. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905004  | Error setting device admin. | **Cause:** Error occurred while attempting to give an Administrator access to a server.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100905005  | Error - Exception thrown while importing appliance. | **Cause:** Error occurred while importing a server.  
**Action:** Delete the server from the list and reinstall. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905006  | Error getting health info. | **Cause:** Error occurred while getting health information for a server.  
**Action:** Ensure the server component and the config store are functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905007  | Error canceling appliance creation. | **Cause:** Internal error.  
**Action:** Submit the app_sc.0.log file for resolution. |
| 100905008  | Error creating new CDN. | **Cause:** Internal error.  
**Action:** Submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100905009</td>
<td>Error removing CDN.</td>
<td><strong>Cause:</strong> Internal error. <strong>Action:</strong> Submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905010</td>
<td>Error creating new Admin.</td>
<td><strong>Cause:</strong> Internal error. <strong>Action:</strong> Submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905011</td>
<td>Error while changing the cached device port.</td>
<td><strong>Cause:</strong> Internal error while processing request. <strong>Action:</strong> Ensure the Management IP Address is correct or edit as needed. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905012</td>
<td>Error while changing the cached device password.</td>
<td><strong>Cause:</strong> Internal error while processing request. <strong>Action:</strong> Ensure the Management Password is correct or edit as needed. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905013</td>
<td>Error - Exception thrown while processing request in EditApplianceHandler</td>
<td><strong>Cause:</strong> Internal error while processing request. <strong>Action:</strong> Ensure all values on the Server Details Edit page are correct and edit as needed. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905014</td>
<td>Error - Exception thrown while modifying device handler in EditDeviceHandler</td>
<td><strong>Cause:</strong> Error occurred while processing a request. <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905015</td>
<td>Error - Exception thrown while changing password in EditDeviceHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing a request. <strong>Action:</strong> Ensure the server component is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>200905016</td>
<td>Error - Exception thrown while editing CDN in EditPublisherHandler.</td>
<td><strong>Cause:</strong> Internal error. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>200905017</td>
<td>Error - Exception thrown while updating CDN in EditPublisherHandler.</td>
<td><strong>Cause:</strong> Internal error. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tr>
<tr>
<td>200905018</td>
<td>Error - Failed to update the device groups for this user.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905019</td>
<td>Error - Failed to update the devices for this user.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905020</td>
<td>Error - Failed to update the cdns for this user.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905021</td>
<td>Error - Failed to update user data.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905022</td>
<td>Error processing client certs in GenericPipeHandler.</td>
<td>Cause: Internal error while processing request. Action: Ensure the server component is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905023</td>
<td>Error accessing XML data item in generic pipe: {0}</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905024</td>
<td>Error parsing XML data item in generic pipe: {0}</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>200905025</td>
<td>Error processing XML data item in generic pipe: {0}</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905026</td>
<td>Error - Exception thrown in processRequest of GenericPipeHandler: {0}</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905027</td>
<td>Error occurred while creating group {0} : {1}.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly or delete the group and recreate it. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>------------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100905028</td>
<td>Error getting device manager in doGroupRemove of GroupCreateHandler.</td>
<td><strong>Cause:</strong> Internal error.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly or delete the group again. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905029</td>
<td>Error occurred while removing group {0} : {1}.</td>
<td><strong>Cause:</strong> Internal error.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly or delete the group again. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905030</td>
<td>Error occurred while getting device manager in doGroupAlertStatus of GroupCreateHandler.</td>
<td><strong>Cause:</strong> Unable to get alert status for the group.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905031</td>
<td>Error occurred while setting alert status for group {0} : {1}.</td>
<td><strong>Cause:</strong> Unable to set alert status for the group.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905032</td>
<td>Error occurred while updating group {0} : {1}.</td>
<td><strong>Cause:</strong> Unable to make updates to the group.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905033</td>
<td>Error occurred while removing devices from group {0} : {1}.</td>
<td><strong>Cause:</strong> Unable to remove servers from the group.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905034</td>
<td>Error - Naming Exception thrown in removeDeviceFromCluster of GroupCreateHandler.</td>
<td><strong>Cause:</strong> Unable to remove servers from the cluster.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905035</td>
<td>Error - Exception thrown in removeDeviceFromCluster of GroupCreateHandler.</td>
<td><strong>Cause:</strong> Error occurred while removing servers from the cluster.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905036</td>
<td>Error - Exception thrown in removeDeviceFromCluster of GroupCreateHandler.</td>
<td><strong>Cause:</strong> Error occurred while removing servers from the cluster.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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<td>------------</td>
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<td>--------</td>
</tr>
</tbody>
</table>
| 100905037  | Error occurred while adding devices to group \{0\} : \{1\}. | **Cause:** Error occurred while adding servers to the group.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905038  | Error - Naming Exception thrown in addDeviceToCluster of GroupCreateHandler. | **Cause:** Error occurred while adding servers to the cluster.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905039  | Error - Exception thrown in addDeviceToCluster of GroupCreateHandler. | **Cause:** Error occurred while adding servers to the cluster.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905040  | Error - Exception thrown in addDeviceToCluster of GroupCreateHandler. | **Cause:** Error occurred while adding servers to the cluster.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905041  | Error occurred while adding devices to group \{0\} : \{1\}. | **Cause:** Error occurred while adding servers to the cluster.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905042  | Error - VCDNException thrown in processRequest of SyncHandler. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905043  | Error - Exception thrown in processRequest of SyncHandler. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905044  | Error - Exception thrown in modifySystemSync of SyncHandler. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905045  | Error - WSException thrown in isAssignedUser of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
<table>
<thead>
<tr>
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<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100905046</td>
<td>Error - WSException thrown in isAssignedDevice of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905047</td>
<td>Error - WSException thrown in getApplianceByUrl of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905048</td>
<td>Error - WSException thrown in generateMembershipList of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905049</td>
<td>Error - WSException thrown in getAppGroupByName of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905050</td>
<td>Error - WSException thrown in getDescForThisGroup of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905051</td>
<td>Error - Exception thrown in getDescForThisGroup of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905052</td>
<td>Error - WSException thrown in getLastModifiedDate of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905053</td>
<td>Error - Get appliance groups failed in GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly or delete group and recreate it. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905054</td>
<td>Error - WSException thrown in hasAMembershipIn of GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly or delete group and recreate it. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905055</td>
<td>Error - Get appliances failed in GroupCreateBean.</td>
<td>Cause: Internal error. Action: Ensure the config store is functioning correctly or delete group and recreate it. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tbody>
</table>
| 100905056  | Error - Get admins failed in GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905057  | Error - WSException thrown in getPerDeviceProperties of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905058  | Error - WSException thrown in getPerUserProperties of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905059  | Error - WSException thrown in getDeviceGroupProperties of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905060  | Error - NamingException thrown in setDeviceClusterConfig of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905061  | Error - Exception thrown in setDeviceClusterConfig of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905062  | Error - VException thrown in clusterServers of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905063  | Error - Exception thrown in clusterServers of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905064  | Error - VException thrown in getAdminList of GroupCreateBean. | **Cause:** Internal error.  
**Action:** Ensure the config store is functioning correctly. Otherwise, submit the `app_sc.0.log` file for resolution. |
| 100905065  | Error - Exception thrown in callRestartESP of SPConfigHandler. | **Cause:** Error occurred while restarting Embedded Service Provider.  
**Action:** Ensure the server component and ESP are functioning correctly or restart ESP again. Otherwise, submit the `app_sc.0.log` file for resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
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</tr>
</thead>
<tbody>
<tr>
<td>100905066</td>
<td>Error restarting (0).</td>
<td><strong>Cause:</strong> Error occurred while restarting Embedded Service Provider. <strong>Action:</strong> Ensure the server component and ESP are functioning correctly or restart ESP again. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905067</td>
<td>Error - Could not lookup (0).</td>
<td><strong>Cause:</strong> Error occurred while looking up DN in config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905068</td>
<td>(0).</td>
<td><strong>Cause:</strong> Error occurred while accessing config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905069</td>
<td>Error - Exception thrown in createTrustedIDP of SPConfigHandler.</td>
<td><strong>Cause:</strong> Error occurred while accessing config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905070</td>
<td>Error getting the esp trusted IDP.</td>
<td><strong>Cause:</strong> Error occurred while accessing config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905071</td>
<td>espTrustAccessDN not set.</td>
<td><strong>Cause:</strong> Error occurred while accessing config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905072</td>
<td>Error deleting trusted IDP config.</td>
<td><strong>Cause:</strong> Error occurred while accessing config store. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>100905073</td>
<td>Error - VCDNException thrown in processRequest of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing request. <strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the <code>app_sc.0.log</code> file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
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</tr>
<tr>
<td>100905074</td>
<td>Error - Exception thrown in processRequest of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
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<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905075</td>
<td>Error - Exception thrown in setEnable of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905076</td>
<td>Error - Exception thrown while removing scheduled work in ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while processing request.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905077</td>
<td>Error - Exception thrown while releasing config lock in ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while unlocking configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905078</td>
<td>Error - Exception thrown in modify method of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while modifying scheduled work.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store is functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905079</td>
<td>Error - Exception thrown in executeNow method of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while scheduling work.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store and server component are functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905080</td>
<td>Error - ParamNotFoundException thrown in createSchedule method of ScheduleHandler.</td>
<td><strong>Cause:</strong> Error occurred while scheduling work.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure the config store and server component are functioning correctly. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>100905081</td>
<td>Error - Cannot forward the request to return page. Nothing can be done.</td>
<td><strong>Cause:</strong> Internal error.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Ensure server component is functioning correctly and attempt to navigate to desired panels. Otherwise, submit the app_sc.0.log file for resolution.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>-------------</td>
<td>--------</td>
</tr>
</tbody>
</table>
| 100905082  | Error - Exception thrown in create method of ScheduleHandler. | **Cause:** Error occurred while scheduling work.  
**Action:** Ensure the config store and server component are functioning correctly. Otherwise, submit the app_sc.0.log file for resolution. |
| 100905083  | Config store Error | **Cause:** The connection to the config store is experiencing problems.  
**Action:** To diagnose time synchronization issues with multiple Administration Consoles, run the following command on the primary server command-line:  

```
/opt/novell/eDirectory/bin/ndsrepair -T
```

This will check the overall time synchronization status. If the time is not in sync, then you might want to consider configuring NTP on each server. |
| 100906000  | Cannot set update status for NULL policy extension. | **Cause:** The composite ID of the extension specified cannot be resolved to an extension ID.  
**Action:** On the device that is not receiving an Update status, make a configuration change to force the Update link to become active. |
| 100906001  | Cannot retrieve policy collection info object for the extension. | **Cause:** The extension ID specified cannot be found in the configuration store.  
**Action:** If you see a problem with your extensions, note this error in the log and call support. |
| 100906002  | Cannot retrieve device info object for a device | **Cause:** When trying to set the Update status on devices which use an extension, the device info was unable to be located in the configuration store.  
**Action:** On the device that is not receiving an Update status, make a configuration change to force the Update link to become active. |
| 500906000  | Attempting to update policy status on devices because the policy extension changed. | **Cause:** Informational message.  
**Action:** No action necessary. |
| 500906001  | Setting update policy status for device. | **Cause:** Informational message.  
**Action:** No action necessary. |
30.17.2 Identity Server (001)

Component 001

- Subgroup 01: End user events
- Subgroup 02: Web Service Framework (WSF)
- Subgroup 03: Web Service Consumer (WSC)
- Subgroup 04: User Authentication

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100100001</td>
<td>No binding available or set for profile.</td>
<td>Type: SEVERE:NIDP:INITIALIZE:001</td>
</tr>
<tr>
<td>100100002</td>
<td>No binding available or set for profile.</td>
<td>Type: SEVERE:NIDP:INITIALIZE:002</td>
</tr>
<tr>
<td>100101001</td>
<td>No binding available or set for profile.</td>
<td>Type: SEVERE:NIDP:USERMSG:001</td>
</tr>
<tr>
<td>100101043</td>
<td>IDP is unable to load ESP metadata.</td>
<td>Type: SEVERE:NIDP:USERMSG:043</td>
</tr>
</tbody>
</table>

**Cause:** An action using Liberty or SAML protocols could not be completed because the server and trusted provider are not compatibly configured to interact to complete the action.

**Action:** Set the desired protocol profiles in the administration tool to match those supported at the trusted provider.

The IDP cannot connect to the metadata URL for the ESP. The IDP may not be able to resolve the domain name for the ESP or if HTTPS is being used, the IDP may not trust the SSL certificate for the ESP. The ESP might also not be running.

**Action:** Make sure that certificates for ESP are imported and trusted into IDP configuration. Check the metadata URL for the ESP and make sure the metadata can be retrieved from a browser: http://<DNS_name>/nesp/idff/metadata

If you are seeing this error after changing the IP address of Access Gateway, restart Tomcat on Identity Server.

The IDP needs to have access to the internet to resolve and reach the CRL and OCSP URLs for ESP certificate validation.

**Action:** Make sure the internet access is enabled, else the IDP will not trust the ESP certificate even if it has the signing and intermediary certificates.
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100101044</td>
<td>ESP is unable to load IDP metadata</td>
<td>Type: SEVERE:NIDP:USERMSG:044</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The ESP cannot connect to the metadata URL for the IDP. The ESP may not be able to resolve the domain name for the IDP or if HTTPS is being used, the ESP may not trust the SSL certificate for the IDP. The IDP may also not be running.</td>
<td><strong>Action:</strong> Make sure the IDP is running and that all certificates are imported and trusted. Check the metadata URL for the IDP and make sure the metadata can be retrieved from a browser: http://&lt;DNS_name&gt;/nidp/idff/metadata A common cause is the base URL on the IDP is set incorrectly. For additional help, see Section 30.3.2, “Troubleshooting 100101043 and 100101044 Liberty Metadata Load Errors,” on page 1059.</td>
</tr>
<tr>
<td>100101045</td>
<td>An error happened while the request was being sent to the correct cluster member for processing.</td>
<td>Type: SEVERE:NIDP:USERMSG:045</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The target cluster member may be unavailable.</td>
<td><strong>Action:</strong> Ensure that all cluster devices are operating correctly.</td>
</tr>
<tr>
<td>100102001</td>
<td>Incomplete web service configuration.</td>
<td>Type: SEVERE:NIDP:WSF:001</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The web service instance type (attribute nidsWsfsServiceInstanceType on the nidsWsfsService object) is not available in the service definition.</td>
<td><strong>Action:</strong> Delete the associated web service definition and recreate it.</td>
</tr>
<tr>
<td>100102002</td>
<td>Invalid web service configuration.</td>
<td>Type: SEVERE:NIDP:WSF:002</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The web service configuration XML (attribute nidsConfigXML on the nidsWsfsService object) has invalid XML.</td>
<td><strong>Action:</strong> Delete the associated web service definition and recreate it.</td>
</tr>
<tr>
<td>100102003</td>
<td>Unable to instantiate the web service provider authority class. This class will be com.novell.nidp.liberty.wsf.config.authority.ldap.WSFConfigAuthorityLdap.</td>
<td>Type: SEVERE:NIDP:WSF:003</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Some Java error (probably a classpath issue) is causing the main authority class to not instantiate.</td>
<td><strong>Action:</strong> Review how the Access Manager product was installed and attempt to determine if Java class files are being accessed from an unexpected source.</td>
</tr>
<tr>
<td>100102004</td>
<td>Unable to load web services.</td>
<td>Type: SEVERE:NIDP:WSF:004</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> This error catches all failures encountered while trying to load all web services. The reason will be different depending on where the error happened.</td>
<td><strong>Action:</strong> Try to delete and recreate the web services.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>----------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100102005</td>
<td>Unable to access Novell Secret Store.</td>
<td>Type: SEVERE:NIDP:WSF:005</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The LDAP connection between the IDP and the User Store must be secure LDAP if Novell Secret Store is to be used as the back end storage for Credential Profile.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Go to the associated user store and change the connection type to secure LDAP.</td>
</tr>
<tr>
<td>100102006</td>
<td>Unable to create user profile object.</td>
<td>Type: SEVERE:NIDP:WSF:006</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> A Liberty User Profile Object did not exist for the current user, so an attempt was made to create one. That attempt failed!</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Determine if the named container exists and that the administrator user has rights to create objects there.</td>
</tr>
<tr>
<td>100102007</td>
<td>Unable to instantiate password callback class.</td>
<td>Type: SEVERE:NIDP:WSF:007</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Could not find the password callback class in the classpath.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Make sure the password callback class to check UsernameToken that decrypts an encrypted message in WSS is in the classpath.</td>
</tr>
<tr>
<td>100102008</td>
<td>Unable to convert XML into Document.</td>
<td>Type: SEVERE:NIDP:WSF:008</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This error occurred when converting XML to Document in WSS (Receiver side). It may happen due to incorrect WSC requests.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the WSC (Sender side) request and resend it.</td>
</tr>
<tr>
<td>100102009</td>
<td>Unable to process WSSEcurity (WSS) message.</td>
<td>Type:SEVERE:NIDP:WSF:009</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This error occurred when processing WSS headers (Receiver side). It may happen due to incorrect WSS headers in WSC requests.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the WSS headers in WSC (Sender side) request and resent it.</td>
</tr>
<tr>
<td>100102010</td>
<td>No WSS header found</td>
<td>Type: SEVERE:NIDP:WSF:010</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This error occurred when processing WSS headers (Receiver side). It may happen due to no WSS headers in WSC requests.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the WSS headers in WSC (Sender side) request and resend it.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>---------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100102011</td>
<td>No processed WSS header found</td>
<td>Type: SEVERE:NIDP:WSF:011</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> This error occurred after processing WSS headers (Receiver side). It may happen due to incorrect or no WSS headers in WSC requests.</td>
<td><strong>Action:</strong> Check the WSS headers in WSC (Sender side) request and resend it.</td>
</tr>
<tr>
<td>100102012</td>
<td>WSS untrusted certificate</td>
<td>Type: SEVERE:NIDP:WSF:012</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> This error occurred when validating signature on WSS headers (Receiver side). The certificate used for the signature is not trusted.</td>
<td><strong>Action:</strong> Check the certificate used to sign the message. The certificate is trusted if either it itself or the certificate of the issuer is installed in the trust store.</td>
</tr>
<tr>
<td>100102013</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:013</td>
</tr>
<tr>
<td>100102014</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:014</td>
</tr>
<tr>
<td>100102015</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:015</td>
</tr>
<tr>
<td>100102016</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:016</td>
</tr>
<tr>
<td>100102017</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:017</td>
</tr>
<tr>
<td>100102018</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:018</td>
</tr>
<tr>
<td>100102019</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:019</td>
</tr>
<tr>
<td>100102020</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:020</td>
</tr>
<tr>
<td>100102021</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:021</td>
</tr>
<tr>
<td>100102022</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:022</td>
</tr>
<tr>
<td>100102023</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:023</td>
</tr>
<tr>
<td>100102024</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:024</td>
</tr>
<tr>
<td>100102025</td>
<td>The Service Discovery Service has not been initialized.</td>
<td>Type: SEVERE:NIDP:WSF:025</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The Discovery Service has not been enabled or created.</td>
<td><strong>Action:</strong> Create and enable a Liberty Discovery Service using the Access Manager Appliance administration utility.</td>
</tr>
<tr>
<td>100102026</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:026</td>
</tr>
<tr>
<td>100102027</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:027</td>
</tr>
<tr>
<td>100102028</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:028</td>
</tr>
<tr>
<td>100102029</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:029</td>
</tr>
<tr>
<td>100102030</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:030</td>
</tr>
<tr>
<td>100102031</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:031</td>
</tr>
<tr>
<td>100102032</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:032</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>----------------------------------------------</td>
<td>--------------------------------------------------</td>
</tr>
<tr>
<td>100102033</td>
<td></td>
<td>Type: SEVERE:NIDP:WSF:033</td>
</tr>
<tr>
<td>100103001</td>
<td>Web Service Consumer XML Configuration Parse Exception.</td>
<td>Cause: The nidsConfigXML attribute on the nidsWsf object has invalid XML. Action: Delete the nidsConfigXML attribute and reconfigure WSC.</td>
</tr>
<tr>
<td>100103002</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:002</td>
</tr>
<tr>
<td>100103003</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:003</td>
</tr>
<tr>
<td>100103004</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:004</td>
</tr>
<tr>
<td>100103005</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:005</td>
</tr>
<tr>
<td>100103006</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:006</td>
</tr>
<tr>
<td>100103007</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:007</td>
</tr>
<tr>
<td>100103008</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:008</td>
</tr>
<tr>
<td>100103009</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:009</td>
</tr>
<tr>
<td>100103010</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:010</td>
</tr>
<tr>
<td>100103011</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:011</td>
</tr>
<tr>
<td>100103012</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:012</td>
</tr>
<tr>
<td>100103013</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:013</td>
</tr>
<tr>
<td>100103014</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:014</td>
</tr>
<tr>
<td>100103015</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:015</td>
</tr>
<tr>
<td>100103016</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:016</td>
</tr>
<tr>
<td>100103017</td>
<td></td>
<td>Type: SEVERE:NIDP:WSC:017</td>
</tr>
<tr>
<td>100104105</td>
<td>Could not initialize Kerberos/GSS</td>
<td>Type: SEVERE:NIDP:USERAUTH:105</td>
</tr>
<tr>
<td>100104107</td>
<td>Kerberos Configuration is not properly initialized</td>
<td>Cause: Failure at GSS-API Action: Check the following according the details of the error message: Keytab file - validity, presently only understands DES; Service Principal Name (SPN)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cause: Kerberos Configuration is not properly initialized in the admin user interface Action: Make sure all the required configuration setting are properly specified in admin UI</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>-------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100104108</td>
<td>SPNEGO/Kerberos method not implemented</td>
<td>Type: SEVERE:NIDP:USERAUTH:108</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> SPNEGO/Kerberos NegTokenInit not implemented.</td>
<td><strong>Action:</strong> NegTokenInit token not implemented as the server side does not need to generate it new. No Action needed.</td>
</tr>
<tr>
<td>100105001</td>
<td>An error happened while forwarding a request to a cluster member.</td>
<td>Type: SEVERE:NIDP:APP:001</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> An internal error occurred.</td>
<td><strong>Action:</strong> Evaluate the error and take appropriate action.</td>
</tr>
<tr>
<td>100105002</td>
<td>Failed to initialize JNDI connections.</td>
<td>Type: SEVERE:NIDP:APP:002</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> NIDP attempts to create JNDI connections to each user store replica during NIDP startup. In this case, NIDP was unable to establish connections with the indicated host.</td>
<td><strong>Action:</strong> Ensure that the host is available and that the configuration information for the replica is correct.</td>
</tr>
<tr>
<td>100105003</td>
<td>Error obtaining SOAP response.</td>
<td>Type: SEVERE:NIDP:APP:003</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A SOAP request was made and a response was expected, but an error happened retrieving the response.</td>
<td><strong>Action:</strong> Evaluate the indicated reason and take appropriate action.</td>
</tr>
<tr>
<td>100105004</td>
<td>Error in SOAP response format.</td>
<td>Type: SEVERE:NIDP:APP:004</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A SOAP request was made and a response was expected, the response was obtained but the format of it was unexpected.</td>
<td><strong>Action:</strong> Evaluate the indicated reason and take appropriate action.</td>
</tr>
<tr>
<td>100105005</td>
<td>Error executing Login Policy Check LDAP Extension for user on user store</td>
<td>Type: SEVERE:NIDP:APP:005</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> User authenticated using X509. An additional check of the directory's user login policy needs to be made using an LDAP method extension. This check was successfully done using an LDAP extension. However, after the LDAP extension is called, it must be called a second time to update the user account with a success or failure. This second call to the extension failed, so directory user account status may be erroneous.</td>
<td><strong>Action:</strong> Check with eDirectory documentation for LDAP extension with OID 2.16.840.1.113719.1.39.42.100.25</td>
</tr>
<tr>
<td>100105006</td>
<td></td>
<td>Type: SEVERE:NIDP:APP:006</td>
</tr>
<tr>
<td>100105007</td>
<td></td>
<td>Type: SEVERE:NIDP:APP:007</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
<td>-------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>100105008</td>
<td>The audit logging system is not operational.</td>
<td>Type: SEVERE:NIDP:APP:008&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The audit logging system can, in rare circumstances, become non-operational.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Examine the error description supplied and take appropriate action.</td>
</tr>
<tr>
<td>100106001</td>
<td></td>
<td>Type: SEVERE:NIDP:IDFF:001</td>
</tr>
<tr>
<td>200102001</td>
<td>Invalid access code found for web service specific user interaction query policy.</td>
<td>Type: ERROR:NIDP:WSF:001&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The web service definition has a service level user interaction policy that is not ALWAYS or NEVER. Disallowed values are NO and ONCE.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Using Access Manager Appliance management tools, edit the policy associated with the web service.</td>
</tr>
<tr>
<td>200102002</td>
<td>Invalid access code found for web service specific user interaction modify policy.</td>
<td>Type: ERROR:NIDP:WSF:002&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The web service definition has a service level user interaction policy that is not ALWAYS or NEVER. Disallowed values are NO and ONCE.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Using Access Manager Appliance management tools, edit the policy associated with the web service.</td>
</tr>
<tr>
<td>200102003</td>
<td>Unrecognized web service.</td>
<td>Type: ERROR:NIDP:WSF:003&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The web service definition has a service type specifier (attribute nidsWsfServiceInstanceType on object nidsWsfService) that is not recognized.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Using Access Manager Appliance management tools, delete the associated web service and recreate it.</td>
</tr>
<tr>
<td>200102004</td>
<td>Error writing user interaction access policy to the data store.</td>
<td>Type: ERROR:NIDP:WSF:004&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The IDP received user interaction access policy from the user, but was unable to persist it to the data store.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Check the Access Manager Appliance Configuration datastore to see if it is available.</td>
</tr>
<tr>
<td>200102005</td>
<td>Cannot read or write web service data because zero data locations are specified.</td>
<td>Type: ERROR:NIDP:WSF:005&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; When an IDSIS web service is reading or writing data it follows the configured data locations to know where to perform its operations. If the administrator has not set up any data locations then the operation must fail.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Add at least one data location the web service.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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| 200102006  | Cannot read or write web service data because the first data location is unknown. | Type: ERROR:NIDP:WSF:006  
Cause: When an IDSIS web service is reading or writing data it follows the configured data locations to know where to perform its operations.  
Action: Delete all data locations from the associated web service and add them back into the list. |
| 200102007  | Unexpected error writing data to web service.                            | Type: ERROR:NIDP:WSF:007  
Cause: Writing to web services is prone to various unexpected errors.  
Action: Evaluate the reason for the error and take appropriate action. |
| 200102008  | Unable to locate the cached NIDPSession object given session id.         | Type: ERROR:NIDP:WSF:008  
Cause: The user session has expired.  
Action: The user must login again. |
| 200102009  | Cached NIDPPrincipal object has zero NIDPSubject objects.                | Type: ERROR:NIDP:WSF:009  
Cause: The user session has expired.  
Action: The user must login again. |
| 200102010  | No web service authority available.                                      | Type: ERROR:NIDP:WSF:010  
Cause: A web service of the provided type did not initialize correctly.  
Action: Delete the web service and recreate it. |
| 200102011  | No web service available.                                               | Type: ERROR:NIDP:WSF:011  
Cause: A web service of the provided type does not exist, or is not enabled.  
Action: Create or enable a web service of this type. |
| 200102012  | Unable to understand the web service request's XML.                      | Type: ERROR:NIDP:WSF:012  
Cause: A web service sent a request to the IDP that cannot be parsed or it is missing data such that the request cannot be understood.  
Action: Notify your system administrator that invalid web service requests are being made to the system. |
| 200102013  | Error processing web service query request.                              | Type: ERROR:NIDP:WSF:013  
Cause: Processing web service requests may result in a number of unexpected errors.  
Action: Evaluate the reason given in the error message, and take appropriate action. |
<table>
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<tr>
<th>Event Code</th>
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</table>
| 200102014  | Error processing web service modify request.                           | Type: ERROR:NIDP:WSF:014<br><br><b>Cause:</b> Processing web service requests may result in a number of unexpected errors.  
<br><b>Action:</b> Evaluate the reason given in the error message, and take appropriate action. |
| 200102015  | Unable to locate the user's local identifier in the resource id.       | Type: ERROR:NIDP:WSF:015<br><br><b>Cause:</b> The web service resource id, an identifier indicating what user the request is destined for, did not contain the information required to identify the user.  
<br><b>Action:</b> Notify your system administrator that invalid web service requests are being made to the system. |
| 200102016  | Unable to locate a cached NIDPPPrincipal object given the local id.   | Type: ERROR:NIDP:WSF:016<br><br><b>Cause:</b> The user session has expired.  
<br><b>Action:</b> The user must login again. |
| 200102017  | Unable to locate a NIDPIdentity object given the local id.            | Type: ERROR:NIDP:WSF:017<br><br><b>Cause:</b> The user session has expired.  
<br><b>Action:</b> The user must login again. |
| 200103001  | The indicated web service is not available or it has been disabled! An attempt was made to access this service to operate on the indicated data. | Type: ERROR:NIDP:WSC:001<br><br><b>Cause:</b> The Web Service Consumer received a request and one of the data tokens referenced a data item that is not available in any of the services known to the Access Manager Appliance.  
<br><b>Action:</b> The system has encountered an invalid configuration and should be restarted by the system administrator. |
| 200103002  | Cannot make web service request because there are zero web service resource offerings available. | Type: ERROR:NIDP:WSC:002<br><br><b>Cause:</b> The Web Service Consumer received a request but there were zero service resource offerings provided. So, the web service has no destination service to which a request can be made.  
<br><b>Action:</b> The user must login again. |
| 200103003  | Unable to locate an identity id from the authentications available in the provided NIDPSession. | Type: ERROR:NIDP:WSC:003<br><br><b>Cause:</b> The user session has expired.  
<br><b>Action:</b> The user must login again. |
| 200104001  | Could not get client certificate.                                      | Type: ERROR:NIDP:USERAUTH:001<br><br><b>Cause:</b> Could not get user certificate from the client browser  
<br><b>Action:</b> Install user X509 certificate on the client browser and try again. |
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<thead>
<tr>
<th>Event Code</th>
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<tbody>
<tr>
<td>200104003</td>
<td>Could not read configuration</td>
<td>Type: ERROR:NIDP:USERAUTH:003&lt;br&gt;&lt;br&gt;<strong>Cause:</strong> Could not read configuration out of file&lt;br&gt;<strong>Action:</strong> Make sure the X509 config properties file is present.</td>
</tr>
<tr>
<td>200104004</td>
<td>User Certificate Authentication Failed</td>
<td>Type: ERROR:NIDP:USERAUTH:004&lt;br&gt;&lt;br&gt;<strong>Cause:</strong> User Certificate Authentication Failed due to the reasons in detailed message&lt;br&gt;<strong>Action:</strong> Take appropriate action as per the reasons in the detailed message</td>
</tr>
<tr>
<td>200104005</td>
<td>No matching Principal found.</td>
<td>Type: ERROR:NIDP:USERAUTH:005&lt;br&gt;&lt;br&gt;<strong>Cause:</strong> No Principal from X509Certificate found in User store&lt;br&gt;<strong>Action:</strong> Check the X509Class Method and it's attribute mapping profile as defined using administration tool. Also, make sure the matched user exists in the User store.</td>
</tr>
<tr>
<td>200104006</td>
<td>More than one Principal matched.</td>
<td>Type: ERROR:NIDP:USERAUTH:006&lt;br&gt;&lt;br&gt;<strong>Cause:</strong> Principal from X509Certificate Multiple users found in User store which matched Principal from X509Certificate based on X509Class attribute mapping profile.&lt;br&gt;<strong>Action:</strong> Check the X509Class Method and it's attribute mapping profile as defined using administrator tool. Also, check if multiple user exists in the User store(s).</td>
</tr>
<tr>
<td>200104008</td>
<td>Error loading Trust store</td>
<td>Type: ERROR:NIDP:USERAUTH:008&lt;br&gt;<strong>Cause:</strong> X509 certificate is valid in the future&lt;br&gt;<strong>Action:</strong> Use a valid certificate</td>
</tr>
<tr>
<td>200104009</td>
<td>Client certificate not yet valid.</td>
<td>Type: ERROR:NIDP:USERAUTH:009&lt;br&gt;<strong>Cause:</strong> X509 certificate is valid in the future&lt;br&gt;<strong>Action:</strong> Use a valid certificate</td>
</tr>
<tr>
<td>200104010</td>
<td>Client certificate no longer valid.</td>
<td>Type: ERROR:NIDP:USERAUTH:010&lt;br&gt;<strong>Cause:</strong> X509 certificate is expired&lt;br&gt;<strong>Action:</strong> Use a valid certificate</td>
</tr>
<tr>
<td>200104011</td>
<td>The Certificate has been revoked.</td>
<td>Type: ERROR:NIDP:USERAUTH:011&lt;br&gt;<strong>Cause:</strong> The Certificate has been revoked&lt;br&gt;<strong>Action:</strong> Use a valid certificate which is not revoked.</td>
</tr>
<tr>
<td>200104012</td>
<td>Error Parsing Certificate.</td>
<td>Type: ERROR:NIDP:USERAUTH:012&lt;br&gt;<strong>Cause:</strong> Error Parsing Certificate when performing certificate validations&lt;br&gt;<strong>Action:</strong> Use a valid X509 certificate.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
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</tbody>
</table>
| 200104017  | Error getting CRL/OCSP. | Type: ERROR:NIDP:USERAUTH:017  
**Cause**: Could not get to the CRL/OCSP URL for validations.  
**Action**: Make sure the CRL/OCSP URLs are accessible or disable validations in administration. Additionally, can define a different CRL/OCSP URL in the administration tool which the X509Class can also use for validations. |
| 200104018  | Could not verify CRL signature. | Type: ERROR:NIDP:USERAUTH:018  
**Cause**: Could not verify signature on the fetched CRL  
**Action**: Make sure the CRL server public key/certificate is in NIDP/ESP trust store. |
| 200104019  | Could not find Key for this server. | Type: ERROR:NIDP:USERAUTH:019  
**Cause**: Could not find Key/Cert for NIDP/ESP server towards authenticating to OCSP server  
**Action**: Make sure the NIDP/ESP Signing keystore has appropriate Key/Cert in it. |
| 200104020  | CRL/OCSP is too old; New version already available. | Type: ERROR:NIDP:USERAUTH:020  
**Cause**: During validations, the fetched CRL Or OCSP is stale. Newer version will be available  
**Action**: In case of CRLs, next attempt to fetch CRL should get a fresh CRL after purging the cached one. In case of OCSP, notify the OCSP server administrator. |
| 200104021  | No Issuer Certificate found. | Type: ERROR:NIDP:USERAUTH:021  
**Cause**: Issuer of user certificate not found which is required for OCSP validations  
**Action**: Make sure the issuer of user/client certificate is either found in certificate-chain or in NIDP/ESP trust store. |
| 200104022  | Error getting OCSP Response. | Type: ERROR:NIDP:USERAUTH:022  
**Cause**: Could not get OCSP Response from the OCSP server  
**Action**: Make sure its going to the right OCSP server. |
| 200104023  | Error processing OCSP Response. | Type: ERROR:NIDP:USERAUTH:023  
**Cause**: OCSP response could not be processed  
**Action**: Make sure its going to the right OCSP server and that it is operating correctly. |
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<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
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<tbody>
<tr>
<td>200104024</td>
<td>At least one parameter of OCSPProcessor was uninitialized.</td>
<td>Type: ERROR:NIDP:USERAUTH:024</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> At least one parameter of OCSPProcessor was uninitialized during OCSP validations</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Make sure the NIDP/ESP Signing keystore has appropriate Key/Cert in it. Also, that the NIDP/ESP OCSP trust store has the valid public-key/certificate of OCSP server.</td>
</tr>
<tr>
<td>200104025</td>
<td>Request was already generated.</td>
<td>Type: ERROR:NIDP:USERAUTH:025</td>
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<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP request was already generated for certificate(s)</td>
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<td><strong>Action:</strong> Check the client certificate chain.</td>
</tr>
<tr>
<td>200104026</td>
<td>OCSP response was already processed</td>
<td>Type: ERROR:NIDP:USERAUTH:026</td>
</tr>
<tr>
<td>200104027</td>
<td>Internal error occurred in the OCSP Server.</td>
<td>Type: ERROR:NIDP:USERAUTH:027</td>
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<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP server responded to the request with an internal error.</td>
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<td></td>
<td></td>
<td><strong>Action:</strong> Contact OCSP server administrator.</td>
</tr>
<tr>
<td>200104028</td>
<td>Your request did not fit the RFC 2560 syntax.</td>
<td>Type: ERROR:NIDP:USERAUTH:028</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP server responded to the request with malformed request message.</td>
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<td><strong>Action:</strong> Contact OCSP administrator and check the request.</td>
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<tr>
<td>200104029</td>
<td>Your request was not signed.</td>
<td>Type: ERROR:NIDP:USERAUTH:029</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> Request to OCSP server needs to be signed.</td>
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<tr>
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<td></td>
<td><strong>Action:</strong> Enable signing of OCSP requests in X509Class administration.</td>
</tr>
<tr>
<td>200104030</td>
<td>The server was too busy to answer you.</td>
<td>Type: ERROR:NIDP:USERAUTH:030</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP server is too busy to respond to requests.</td>
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<td><strong>Action:</strong> Contact OCSP server administrator.</td>
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<tr>
<td>200104031</td>
<td>The server could not authenticate you.</td>
<td>Type: ERROR:NIDP:USERAUTH:031</td>
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<td></td>
<td><strong>Cause:</strong> OCSP server could not authenticate Novell Identity server.</td>
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<td></td>
<td><strong>Action:</strong> Make sure Signing of OCSP requests is enabled and NIDP signing keystore has appropriate key in it. Also, make sure the OCSP server trusts Nidp server.</td>
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<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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<tr>
<td>200104032</td>
<td>Unknown OCSPResponse status code.</td>
<td>Type: ERROR:NIDP:USERAUTH:032</td>
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<td></td>
<td><strong>Cause:</strong> OCSP server responded to the request with unknown status code.</td>
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<td><strong>Action:</strong> Contact OCSP server administrator.</td>
</tr>
<tr>
<td>200104033</td>
<td>No valid OCSPResponse obtained.</td>
<td>Type: ERROR:NIDP:USERAUTH:033</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> Invalid OCSP response obtained.</td>
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<td><strong>Action:</strong> Check the OCSP server response version and contact administrator.</td>
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<tr>
<td>200104034</td>
<td>Response was generated in the future.</td>
<td>Type: ERROR:NIDP:USERAUTH:034</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP response is not yet valid.</td>
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<td></td>
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<td><strong>Action:</strong> Disable OCSP validations Or Contact OCSP server administrator.</td>
</tr>
<tr>
<td>200104035</td>
<td>Error verifying responder certificate.</td>
<td>Type: ERROR:NIDP:USERAUTH:035</td>
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<td></td>
<td><strong>Cause:</strong> This may happen when reading the OCSP trust store during OCSP validations.</td>
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<td><strong>Action:</strong> Make sure OCSP trust store exists on NIDP server.</td>
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<tr>
<td>200104036</td>
<td>Response seems to be signed with untrusted certificate.</td>
<td>Type: ERROR:NIDP:USERAUTH:036</td>
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<td></td>
<td><strong>Cause:</strong> OCSP server trusted-root certificate not found in OCSP trust store.</td>
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<td></td>
<td><strong>Action:</strong> Import OCSP server trusted root in Nidp's OCSP trust store.</td>
</tr>
<tr>
<td>200104037</td>
<td>The received responder id does not match your responder certificate.</td>
<td>Type: ERROR:NIDP:USERAUTH:037</td>
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<td></td>
<td><strong>Cause:</strong> The response ID received in OCSP response does not match.</td>
</tr>
<tr>
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<td></td>
<td><strong>Action:</strong> Make sure NIDP's OCSP trust store has the right OCSP server public-key certificate.</td>
</tr>
<tr>
<td>200104038</td>
<td>Could not verify OCSP server response.</td>
<td>Type: ERROR:NIDP:USERAUTH:038</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP server response is incorrect.</td>
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<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the OCSP server URL. Make sure NIDP's OCSP trust store has the right OCSP server public-key certificate.</td>
</tr>
<tr>
<td>200104039</td>
<td>No client certificates inside OCSP response.</td>
<td>Type: ERROR:NIDP:USERAUTH:039</td>
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<td><strong>Cause:</strong> Empty response from OCSP server.</td>
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<td><strong>Action:</strong> Verify the OCSP server URL.</td>
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<td>Event Code</td>
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<tr>
<td>200104040</td>
<td>Number of certificates inside OCSP response does not fit to request.</td>
<td>Type: ERROR:NIDP:USERAUTH:040</td>
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<td></td>
<td><strong>Cause:</strong> OCSP response does not contain the requested number of certificate status.</td>
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<tr>
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<td></td>
<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010441</td>
<td>Certificate was revoked in the future.</td>
<td>Type: ERROR:NIDP:USERAUTH:041</td>
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<td></td>
<td></td>
<td><strong>Cause:</strong> OCSP response not yet valid.</td>
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<td></td>
<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010442</td>
<td>Received certificate twice or one, that was not requested.</td>
<td>Type: ERROR:NIDP:USERAUTH:042</td>
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<td></td>
<td><strong>Cause:</strong> OCSP response does not match request.</td>
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<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010443</td>
<td>Request was not accepted.</td>
<td>Type: ERROR:NIDP:USERAUTH:043</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Could not connect to OCSP server.</td>
</tr>
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<td></td>
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<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010444</td>
<td>Wrong response type (not application/ocsp-response).</td>
<td>Type: ERROR:NIDP:USERAUTH:044</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Malformed OCSP response.</td>
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<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010445</td>
<td>No OCSPResponse message.</td>
<td>Type: ERROR:NIDP:USERAUTH:045</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> No OCSPResponse message.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the OCSP server URL.</td>
</tr>
<tr>
<td>20010446</td>
<td>Could not read whole OCSPResponse.</td>
<td>Type: ERROR:NIDP:USERAUTH:046</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Malformed OCSP response.</td>
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<td></td>
<td></td>
<td><strong>Action:</strong> Verify the connection to OCSP server URL.</td>
</tr>
<tr>
<td>20010447</td>
<td>Exception Occurred.</td>
<td>Type: ERROR:NIDP:USERAUTH:047</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Error getting CRL.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify the connection to CRL server URL.</td>
</tr>
<tr>
<td>20010451</td>
<td>Unsupported critical extension OID(s).</td>
<td>Type: ERROR:NIDP:USERAUTH:051</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Some Critical extension OID(s) not understood.</td>
</tr>
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<td></td>
<td></td>
<td><strong>Action:</strong> Check the certificate for unsupported critical extensions. If needed, add the processing of the critical extension in NDPCertPathChecker class.</td>
</tr>
<tr>
<td>20010453</td>
<td>Error processing CRL Response.</td>
<td>Type: ERROR:NIDP:USERAUTH:053</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Error processing CRL Response.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check X509class config and user/client certificate CRL extension.</td>
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<tr>
<td>Event Code</td>
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<tr>
<td>200104054</td>
<td>Error processing certificate validations.</td>
<td>Type: ERROR:NIDP:USERAUTH:054</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Error processing CRL/OCSP validations.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check X509class config and user/client certificate CRL extension.</td>
</tr>
<tr>
<td>200104055</td>
<td>Protocol not supported or none specified.</td>
<td>Type: ERROR:NIDP:USERAUTH:055</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Transport protocol not supported to fetch CRL.</td>
</tr>
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<td></td>
<td><strong>Action:</strong> Currently, CRLs can be fetched over http and LDAP protocols. Make sure the</td>
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<td></td>
<td>X509class config and/or user/client certificate CRL extension does not have any other</td>
</tr>
<tr>
<td></td>
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<td>transport protocol specified.</td>
</tr>
<tr>
<td>200104057</td>
<td>Unable to do X509 Certificate based authentication over non SSL (HTTP).</td>
<td>Type: ERROR:NIDP:USERAUTH:057</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> URL protocol is HTTP</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> URL protocol needs to be HTTPS</td>
</tr>
<tr>
<td>200104058</td>
<td>Overwrite a real or temp user error.</td>
<td>Type: ERROR:NIDP:USERAUTH:058</td>
</tr>
<tr>
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<td></td>
<td><strong>Cause:</strong> User is not identified in the authenticated user session.</td>
</tr>
<tr>
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<td></td>
<td><strong>Action:</strong> Authenticate with a valid authentication contract to identify the user.</td>
</tr>
<tr>
<td>200104059</td>
<td>User store connection error.</td>
<td>Type: ERROR:NIDP:USERAUTH:059</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> LDAP replica connection error</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the connectivity from Identity Server to LDAP replicas.</td>
</tr>
<tr>
<td>200104060</td>
<td>Problem in fetching password.</td>
<td>Type: ERROR:NIDP:USERAUTH:060</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Error while fetching user password</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the password policy for the user and verify that admin has permission to</td>
</tr>
<tr>
<td></td>
<td></td>
<td>retrieve the password for that user.</td>
</tr>
<tr>
<td>200104061</td>
<td>Problem in provisioning the user.</td>
<td>Type: ERROR:NIDP:USERAUTH:061</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Error while auto User provisioning for password fetch class.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check whether admin has permission to create user and modify user's attributes</td>
</tr>
<tr>
<td></td>
<td></td>
<td>in the LDAP store.</td>
</tr>
<tr>
<td>200104062</td>
<td>Auto provisioning successful.</td>
<td>Type: INFO:NIDP:USERAUTH:062</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Scenario:</strong> Password fetch class was successful in auto provisioning user.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
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<td>----------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>200104063</td>
<td>Universal password retrieval error.</td>
<td>Type: ERROR:NIDP:USERAUTH:063</td>
</tr>
<tr>
<td></td>
<td>Cause: Universal password retrieval error with password fetch class.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Check the universal password policy for the user and verify that admin has permission to retrieve the password for that user.</td>
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</tr>
<tr>
<td>200104064</td>
<td>Simple password retrieval error.</td>
<td>Type: ERROR:NIDP:USERAUTH:064</td>
</tr>
<tr>
<td></td>
<td>Cause: Simple password retrieval error with password fetch class.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Check the simple password policy for the user and verify that admin has permission to retrieve the password for that user.</td>
<td></td>
</tr>
<tr>
<td>200104065</td>
<td>User lookup failed.</td>
<td>Type: ERROR:NIDP:USERAUTH:065</td>
</tr>
<tr>
<td></td>
<td>Cause: User lookup failed for the Distinguished Name (DN) with password fetch class.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Create a user DN in the eDirectory from which the user password is retrieved.</td>
<td></td>
</tr>
<tr>
<td>200104066</td>
<td>Client Integrity Check (CIC) failed.</td>
<td>Type: ERROR:NIDP:USERAUTH:066</td>
</tr>
<tr>
<td></td>
<td>Cause: CIC failed.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Check if the required software is available on the system. Check the CIC class is configured properly. Check the logs on java console on the system.</td>
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</tr>
<tr>
<td>200104100</td>
<td>Error processing Authorization header</td>
<td>Type: ERROR:NIDP:USERAUTH:100</td>
</tr>
<tr>
<td></td>
<td>Cause: Could not process HTTP Authorization header</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Try with correct authorization header with base64 encoded SPNEGO token</td>
<td></td>
</tr>
<tr>
<td>200104101</td>
<td>Error processing SPNEGO/Kerberos</td>
<td>Type: ERROR:NIDP:USERAUTH:101</td>
</tr>
<tr>
<td></td>
<td>Cause: Error processing SPNEGO/Kerberos. The cause is included in detailed message</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Take action as per the detailed error message</td>
<td></td>
</tr>
<tr>
<td>200104102</td>
<td>No Kerberos Principal found in the token</td>
<td>Type: ERROR:NIDP:USERAUTH:102</td>
</tr>
<tr>
<td></td>
<td>Cause: Failure at GSS-API</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Action: Make sure the Kerberos keytab file is generated correctly by KDC</td>
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</tr>
<tr>
<td>200104103</td>
<td>No SPNEGO Token found</td>
<td>Type: ERROR:NIDP:USERAUTH:103</td>
</tr>
<tr>
<td></td>
<td>Cause: No SPNEGO Token found in the request</td>
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<tr>
<td></td>
<td>Action: Include the SPNEGO token in the request to use this authentication</td>
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<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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</tbody>
</table>
| 200104104  | GSS Context already established | Type: ERROR:NIDP:USERAUTH:104  
*Cause:* GSS Context already established  
*Action:* Close the browser and try again |
| 200104106  | Unrecognized SPNEGO Token | Type: ERROR:NIDP:USERAUTH:106  
*Cause:* Unrecognized SPNEGO Token  
*Action:* Include the correct SPNEGO token in the request to use this authentication |
| 200104109  | Malformed SPNEGO NegTokenInit | Type: ERROR:NIDP:USERAUTH:109  
*Cause:* Malformed token NegTokenInit  
*Action:* Try again with correct NegTokenInit token |
| 200104110  | Malformed SPNEGO Token field | Type: ERROR:NIDP:USERAUTH:110  
*Cause:* Malformed SPNEGO Token field  
*Action:* Try again with correct NegTokenInit token |
| 200104111  | Multiple users matched in the user stores | Type: ERROR:NIDP:USERAUTH:111  
*Cause:* Multiple users matched in the user stores  
*Action:* Make sure the users are unique in user stores |
| 200104112  | No user matched in the user stores | Type: ERROR:NIDP:USERAUTH:112  
*Cause:* No user found in the user stores  
*Action:* Make sure the user attribute (as defined in admin UI) is populated in correct format. |
| 200107005  | Error building certificate chain during validations. | Type: ERROR:NIDP::.005  
*Cause:* This could occur when all the CDPs are unreachable.  
*Action:* Change the Certificate with correct CDPs or make sure CDP is up and able to serve. |
| 300101002  | An authenticated subject is required. | Type: WARN:NIDP:USERMSG:002  
*Cause:* An action that can only be performed by an authenticated user was attempted.  
*Action:* Provide proper user credentials and retry desired action. |
| 300101003  | An authentication principal is required. | Type: WARN:NIDP:USERMSG:003  
*Cause:* An action that can only be performed by an authenticated user was attempted.  
*Action:* User must be authenticated to perform operation. |
<table>
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<tr>
<th>Event Code</th>
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<th>Remedy</th>
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</thead>
</table>
| 300101004   | Identity does not exist or is not specified. | Type: WARN:NIDP:USERMSG:004  
  **Cause:** An action was attempted that requires a federated identity to exist.  
  **Action:** Create a federated link prior to performing the action. |
| 300101005   | Invalid or no provider is specified. | Type: WARN:NIDP:USERMSG:005  
  **Cause:** An action was requested related to a trusted provider that does not exist.  
  **Action:** Add the desired provider as a trusted entity or check for invalid access to system. |
| 300101006   | An authenticated session is required. | Type: WARN:NIDP:USERMSG:006  
  **Cause:** An action that can only be performed by an authenticated user was attempted.  
  **Action:** Provide proper user credentials and retry desired action. |
| 300101007   | Invalid artifact.                | Type: WARN:NIDP:USERMSG:007  
  **Cause:** An artifact was received from an identity provider that is invalid or has not been used within a reasonable time frame.  
  **Action:** Make sure that the provider sending the artifact is trusted or check for possible security intrusions. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
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<tbody>
<tr>
<td>300101008</td>
<td>No assertion returned in response.</td>
<td>Type: WARN:NIDP:USERMSG:008</td>
</tr>
<tr>
<td></td>
<td>No authentication context specified message in the assertion.</td>
<td><strong>Cause:</strong> Assertions will not be returned in a response whenever authentication at the identity provider fails. The cause for this can include invalid configurations and canceling the authentication process at the identity provider. This response is also returned when a user has reached the maximum number of sessions and then attempts to access a protected resource that requires authentication. <strong>Action:</strong> Make sure that both the identity and service providers are configured correctly to trust each other. Provide proper credentials during the authentication process at the identity provider.</td>
</tr>
<tr>
<td>300101009</td>
<td>Invalid issuer.</td>
<td>Type: WARN:NIDP:USERMSG:009</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A response was received from a provider that is not trusted.</td>
<td><strong>Action:</strong> Make sure intended provider is trusted or check for possible intrusions.</td>
</tr>
<tr>
<td>300101010</td>
<td>Response does not match request.</td>
<td>Type: WARN:NIDP:USERMSG:010</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A response was received for a request that was not issued.</td>
<td><strong>Action:</strong> Retry action and check for possible intrusion.</td>
</tr>
<tr>
<td>300101011</td>
<td>Assertion is being replayed.</td>
<td>Type: WARN:NIDP:USERMSG:011</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> An assertion has been received that was already used to authenticate a user at the service provider.</td>
<td><strong>Action:</strong> This is a security mechanism that if persists may require some investigation to determine who is trying to replay the assertion. Assertions are only good for single use.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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</table>
| 300101012  | Assertion does not contain an authentication statement. | Type: WARN:NIDP:USERMSG:012  
**Cause:** An identity provider has sent an assertion that is not complete.  
**Action:** Check with administrator of trusted provider to determine why statement is not being sent. |
| 300101013  | Unable to validate the subject of the assertion. | Type: WARN:NIDP:USERMSG:013  
**Cause:** A subject may not have been sent in the assertion or was not valid. This check protects from certain assertion attacks.  
If the time is not in sync between the identity provider and the service provider, the subject is invalid because of the timestamp sent with the subject.  
**Action:** If persistent, check the protocol message sent for a time discrepancy between the providers or a missing subject, then notify the administrator of the trusted site.  
For more information, see “Federation with External SAML 2.0 Partner Gives 300101013 Error” (http://www.novell.com/support/php/search.do?cmd=displayKC&docType=kc&externalId=3903427&slicId=2&docTypeID=DT_TID_1_1&dialogID=69860557&stateId=0%200%2069862016). |
| 300101014  | Assertion not yet valid. | Type: WARN:NIDP:USERMSG:014  
**Cause:** An assertion was received that is not valid until sometime in the future.  
**Action:** Check server's clock for accuracy. Attempt to validate the clock accuracy of the computer generating the assertion. |
| 300101015  | Assertion no longer valid. | Type: WARN:NIDP:USERMSG:015  
**Cause:** An assertion was received that had a time validity period that is in the past.  
**Action:** Check server's clock for accuracy. Attempt to validate the clock accuracy of the computer generating the assertion. Try to authenticate again. |
| 300101016  | No matching audience. | Type: WARN:NIDP:USERMSG:016  
**Cause:** An assertion was received that was not intended for your server.  
**Action:** Determine the origin of the assertion and make sure that you want to accept assertions from it.  
For more information, see “Access Manager 300101016 Error - No Matching Audience” (http://www.novell.com/support/php/search.do?cmd=displayKC&docType=kc&externalId=3260366&slicId=2&docTypeID=DT_TID_1_1&dialogID=69860436&stateId=0%200%2069856899). |
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<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
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</thead>
<tbody>
<tr>
<td>300101017</td>
<td>Missing or invalid signature on assertion.</td>
<td>Type: WARN:NIDP:USERMSG:017</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The identity provider did not sign.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check with provider of assertion to determine why assertion is not signed.</td>
</tr>
<tr>
<td>300101018</td>
<td>Missing or invalid signature on request/response.</td>
<td>Type: WARN:NIDP:USERMSG:018</td>
</tr>
<tr>
<td>300101020</td>
<td>Digital signature is required.</td>
<td>Type: WARN:NIDP:USERMSG:020</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> A protocol message was received that was expected to be digitally signed, but was not.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> It may be necessary to contact the trusted provider administrator to determine why the message is not signed. Make sure authentication request signing settings match those for the trusted provider.</td>
</tr>
<tr>
<td>300101021</td>
<td>Signature validation failed.</td>
<td>Type: WARN:NIDP:USERMSG:021</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The digital signature of a protocol message could not be verified using the public key obtained in the metadata of a trusted provider.</td>
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<td></td>
<td></td>
<td><strong>Action:</strong> Update the metadata of trusted provider. This should ensure you have the latest signing certificate.</td>
</tr>
<tr>
<td>300101022</td>
<td>An undetermined problem in the message format has occurred.</td>
<td>Type: WARN:NIDP:USERMSG:022</td>
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<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> An error was detected in the exchange of either a Liberty or SAML protocol message.</td>
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<td></td>
<td></td>
<td><strong>Action:</strong> Turn logging/tracing on to print out the message that is problematic. It may be necessary to contact Novell Technical Services in this case.</td>
</tr>
<tr>
<td>300101023</td>
<td>User lookup failed.</td>
<td>Type: WARN:NIDP:USERMSG:023</td>
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<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> An attempt to identify a user failed while attempting to complete a federation at the server.</td>
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<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the configuration for identifying users for the trusted provider and ensure the specified method can resolve to a single user in your directory.</td>
</tr>
<tr>
<td>300101024</td>
<td>Failed to load java class.</td>
<td>Type: WARN:NIDP:USERMSG:024</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> A Java class failed to be loaded during program execution.</td>
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<td></td>
<td><strong>Action:</strong> Check the logs to determine the class that is failing to load. Make sure the class being loaded is in the classpath of the JVM.</td>
</tr>
<tr>
<td>300101025</td>
<td></td>
<td>Type: WARN:NIDP:USERMSG:025</td>
</tr>
<tr>
<td>300101026</td>
<td></td>
<td>Type: WARN:NIDP:USERMSG:026</td>
</tr>
<tr>
<td>300101027</td>
<td></td>
<td>Type: WARN:NIDP:USERMSG:027</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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<td>------------------------------------------------------------------------</td>
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</tbody>
</table>
| 300101028   | SOAP TLS authorization failed.   | **Type:** WARN:NIDP:USERMSG:028  
**Cause:** SSL mutual authentication is being used to authenticate a SOAP back channel session and the credentials cannot be validated.  
**Action:** Make sure certificates for back channel communications are trusted on each end.  
For more information, see “Access Manager 300101028 - SOAP TLS Authorization Failed” (http://www.novell.com/support/php/search.do?cmd=displayKC&docType=kc&externalId=3813149&slicId=2&docTypeID=DT_TID_1_1&dialogID=69848431&stateId=0%200%2069844751). |
| 300101029   |                                 | **Type:** WARN:NIDP:USERMSG:029  
**Causes:** An error was detected in the transmission of protocols using SOAP.  
**Action:** Turn tracing on and look for any obvious causes for the problem. |
| 300101030   | SOAP fault.                      | **Type:** WARN:NIDP:USERMSG:030  
**Cause:** An error was detected in the transmission of protocols using SOAP.  
**Action:** Turn tracing on and look for any obvious causes for the problem. |
| 300101031   | Received an identity that does not resolve to the current logged in user. | **Type:** WARN:NIDP:USERMSG:031  
**Cause:** This is caused when a user is logged in with one identity and then attempts to authenticate as the identity of another user. For a given session, all authentications must resolve to the same user.  
**Action:** Log out of the current user and log in again as the desired user. |
| 300101032   | Assertion is expired.            | **Type:** WARN:NIDP:USERMSG:032  
**Cause:** The use of the assertion to authenticate the server did not occur within the time limits specified by the assertion.  
**Action:** Try and re-authenticate. Determine if there are any network latencies that may cause the assertion not to arrive in a timely fashion. Look for misuse of the assertion. |
| 300101033   | IDP return authentication failure. | **Type:** WARN:NIDP:USERMSG:033  
**Cause:** An IDP’s attempt to authenticate the server was unsuccessful. This particular authentication came from the IDP’s intersite transfer service and was not requested by the server.  
**Action:** Check at the IDP for a reason why the authentication was a failure. It may just be necessary to attempt authentication again. |
<table>
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<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
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</thead>
<tbody>
<tr>
<td>300101034</td>
<td>No target is defined.</td>
<td>Type: WARN:NIDP:USERMSG:034</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A request was made of the server's intersite transfer service without specifying a target resource.</td>
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<td></td>
<td><strong>Action:</strong> Requests for the intersite transfer service must include an id of the intended service provider to be authenticated as well as the target resource to be displayed. To avoid this error, provide an <code>&amp;TARGET=&quot;value&quot;</code> on the URL.</td>
<td></td>
</tr>
<tr>
<td>300101035</td>
<td></td>
<td>Type: WARN:NIDP:USERMSG:035</td>
</tr>
<tr>
<td>300101036</td>
<td>Not enough memory to process request.</td>
<td>Type: WARN:NIDP:USERMSG:036</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The system does not have enough memory to complete the requested action.</td>
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<tr>
<td></td>
<td><strong>Action:</strong> Wait a few moments for memory to free up and retry request. It may be necessary to add additional memory to the server.</td>
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</tr>
<tr>
<td>300101037</td>
<td>Server is not in a running state.</td>
<td>Type: WARN:NIDP:USERMSG:037</td>
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<tr>
<td></td>
<td><strong>Cause:</strong> A request was made of the server that can only be performed when the server is in a running state.</td>
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<td></td>
<td><strong>Action:</strong> Start the server.</td>
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<tr>
<td>300101038</td>
<td>JSP file not found.</td>
<td>Type: WARN:NIDP:USERMSG:038</td>
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<tr>
<td></td>
<td><strong>Cause:</strong> An attempt was made to load a JSP page that does not exist.</td>
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<td><strong>Action:</strong> Determine the JSP not loading and make sure it is in the correct location.</td>
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<tr>
<td>300101039</td>
<td>Invalid authentication credentials were provided.</td>
<td>Type: WARN:NIDP:USERMSG:039</td>
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<tr>
<td></td>
<td><strong>Cause:</strong> A user has attempted to authenticate to the system with credentials that are not valid for the account.</td>
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<tr>
<td></td>
<td><strong>Action:</strong> User needs to enter correct credentials.</td>
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<td>300101040</td>
<td>User password has expired.</td>
<td>Type: WARN:NIDP:USERMSG:040</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A user has attempted to authenticate to the system with a password that is expired.</td>
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<td></td>
<td><strong>Action:</strong> The user needs to create a new password.</td>
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<td>Event Code</td>
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<tr>
<td>300101041</td>
<td>User account identification failed.</td>
<td>Type: WARN:NIDP:USERMSG:041</td>
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<tr>
<td></td>
<td><strong>Cause:</strong> Account identification can fail due to: 1. User</td>
<td></td>
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<tr>
<td></td>
<td>cancels authentication request 2. User cannot be uniquely</td>
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<td></td>
<td>identified by Matching Expression 3. Necessary attributes to</td>
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<td>do user matching or provisioning were not obtained.</td>
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<tr>
<td></td>
<td><strong>Action:</strong> Check Account Identification configuration for</td>
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<td></td>
<td>the trusted provider and make sure that necessary attributes</td>
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<td></td>
<td>are available. If using Matching Expressions, make sure that</td>
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<td></td>
<td>they include attributes that can resolve to a single user.</td>
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<tr>
<td></td>
<td>If using Provisioning, make sure required attributes are all</td>
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<td>available in the defined attribute set for the trusted</td>
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<td></td>
<td>provider. For more information, see “Access Manager Error</td>
<td></td>
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<tr>
<td></td>
<td>300101041 Provisioning New Users Using SAML2” (<a href="http://www">http://www</a>.</td>
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<td></td>
<td>novell.com/support/php/search.do?cmd=displayKC&amp;docType=kc&amp;</td>
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<td></td>
<td>externalId=3219302&amp;slicId=1&amp;docTypeID=DT_TID_1_1&amp;dialogID=6</td>
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<td></td>
<td>9780245&amp;stateId=0%200%20697778277).</td>
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<tr>
<td>300101042</td>
<td>Invalid assertion conditions.</td>
<td>Type: WARN:NIDP:USERMSG:042</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> A set of conditions that are not understood were</td>
<td></td>
</tr>
<tr>
<td></td>
<td>sent as part of an assertion.</td>
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<tr>
<td></td>
<td><strong>Action:</strong> Check with the provider of the assertion to</td>
<td></td>
</tr>
<tr>
<td></td>
<td>determine what these conditions are and why they are being</td>
<td></td>
</tr>
<tr>
<td></td>
<td>sent.</td>
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</tr>
<tr>
<td>300101046</td>
<td>Unknown URL host.</td>
<td>Type: WARN:NIDP:USERMSG:046</td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> Use logs to determine the problematic host and</td>
<td></td>
</tr>
<tr>
<td></td>
<td>determine why DNS is failing.</td>
<td></td>
</tr>
<tr>
<td>300101047</td>
<td>An untrusted provider is being referenced in a request or a</td>
<td>Type: WARN:NIDP:USERMSG:047</td>
</tr>
<tr>
<td></td>
<td>response.</td>
<td><strong>Action:</strong> Use logs to determine the provider that is untrusted and</td>
</tr>
<tr>
<td></td>
<td></td>
<td>then create a trusted relationship if desired.</td>
</tr>
<tr>
<td>300101048</td>
<td>The LDAP servers are too busy to accept more users.</td>
<td>Type: WARN:NIDP:USERMSG:048</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> There are too many threads waiting to get an</td>
<td></td>
</tr>
<tr>
<td></td>
<td>available LDAP connection. The LDAP servers are too busy to</td>
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</tr>
<tr>
<td></td>
<td>accept more users.</td>
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<tr>
<td></td>
<td><strong>Action:</strong> Wait a few moments for the LDAP requests to be</td>
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<tr>
<td></td>
<td>processed and retry the request. It may be necessary to add</td>
<td></td>
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<tr>
<td></td>
<td>additional LDAP servers or upgrade the hardware specifications</td>
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<tr>
<td></td>
<td>of the existing LDAP servers.</td>
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<tr>
<td>300101049</td>
<td>The HTTPS protocol was not used to access this authentication</td>
<td>Type: WARN:NIDP:USERMSG:049</td>
</tr>
<tr>
<td></td>
<td>card.</td>
<td><strong>Cause:</strong> Accessing the site was done via http, not https.</td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> Access the site again using https.</td>
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<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
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</tr>
<tr>
<td>300101050</td>
<td>The Authentication Card specified is not valid.</td>
<td>Type: WARN:NIDP:USERMSG:050&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; An invalid card identifier was used, most likely due to modifying a url.&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Specify cards to use only by clicking them. Ensure that the PID in the login URL exactly matches the entity ID specified in the metadata.</td>
</tr>
<tr>
<td>300101051</td>
<td>The user's session limit has been reached.</td>
<td>Type: WARN:NIDP:USERMSG:051&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; User has already logged in the maximum allowable times. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Logout of one or more sessions.</td>
</tr>
<tr>
<td>300101052</td>
<td>A response was expected at the url but none was found.</td>
<td>Type: WARN:NIDP:USERMSG:052&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; The wrong endpoint may be accessed for the operation desired. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Check the action being performed against the url/endpoint being accessed.</td>
</tr>
<tr>
<td>300101053</td>
<td>CardSpace authentication profile failed to load.</td>
<td>Type: WARN:NIDP:USERMSG:053&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:** Trusted provider failed to load (probably due to certificate errors).&lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Check the certificates for the trusted provider and make sure they are valid.</td>
</tr>
<tr>
<td>300101054</td>
<td>CardSpace authentication fails because a required attribute is not in assertion.</td>
<td>Type: WARN:NIDP:USERMSG:054&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:** A required attribute was not returned in the assertion provided by an STS. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Check the attribute value at the STS, or make the attribute optional.</td>
</tr>
<tr>
<td>300101057</td>
<td>Request to broker an authentication to a target SP denied</td>
<td>Type: WARN:NIDP:USERMSG:057&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:** Request to broker an authentication to a target Service Provider denied, either the Identity Provider or target Service Provider are part of a brokering group, but both does not belong to same group. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Check the brokering group to verify if the Identity Provider and target Service Provider belong to the same group.</td>
</tr>
<tr>
<td>300101058</td>
<td>Request to broker on authentication to a target SP denied</td>
<td>Type: WARN:NIDP:USERMSG:058&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:** Request to broker on authentication to a target Service Provider denied because broker policy evaluation resulted in denying. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:** Check the brokering rule and try to access with the valid user.</td>
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<tr>
<td>Event Code</td>
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<tr>
<td>300101059</td>
<td>Error in processing the broker request.</td>
<td>Type: WARN:NIDP:USERMSG:059</td>
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<td></td>
<td><strong>Cause:</strong> Could not validate the request to broker on authentication to a target service provider.</td>
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<td><strong>Action:</strong> Read the error description supplied and take appropriate action.</td>
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<td>300101060</td>
<td>Assertion does not contain valid authentication statement.</td>
<td>Type: WARN:NIDP:USERMSG:060</td>
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<td><strong>Cause:</strong> An assertion has been received, which does not contain valid declaration/class statement.</td>
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<td><strong>Action:</strong> Authentication response statement will be validated against the authentication request statement. Check the contract definition in the service provider for the authentication statement received from the Identity Provider. Check if the requested statement matches the response statement or response statement's authentication level is greater than the requested one.</td>
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<tr>
<td>300101061</td>
<td>Failed to obtain consent for the federation.</td>
<td>Type: ERROR:NIDP:USERMSG:061</td>
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<td></td>
<td><strong>Cause:</strong> This is a message to users if they have declined the consent. If it is a valid federation consent, accept the consent in the next attempt, else deny the same.</td>
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<td></td>
<td><strong>Action:</strong> In a federated setup using the name identifier as persistent, if you make an Intersite Transfer Service request for the first time federation, users will be asked to provide their consent and they select No.</td>
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<td>300101062</td>
<td>An Identity Provider response was received that failed to authenticate this session.</td>
<td><strong>Cause:</strong> When you configure a policy for a spsend request to SAML 2.0, the user is denied the policy rule, and a message is displayed.</td>
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<td><strong>Action:</strong> You are accessing an URL that is not intended for you. Contact your administrator.</td>
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<tr>
<td>300102001</td>
<td>No Discovery Service Configured! Unable to create the requested resource offering!</td>
<td><strong>Cause:</strong> The system administrator did not create or enable a Discovery service.</td>
</tr>
<tr>
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<td><strong>Action:</strong> Create or enable a Discovery web service.</td>
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<tr>
<td>300102002</td>
<td>Unable to find user object with identifier.</td>
<td>Type: WARN:NIDP:WSF:002</td>
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<td></td>
<td><strong>Cause:</strong> An LDAP search was performed for a user object with a given identifier. This identifier may be a GUID. The search resulted in zero hits. This usually means that web service data cannot be read or written for the user.</td>
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<td><strong>Action:</strong> The user needs to login again.</td>
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<td>300102003</td>
<td>Unrecognized select string for service.</td>
<td>Type: WARN:NIDP:WSF:003</td>
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<td><strong>Cause:</strong> The select string (XPath) is either incorrectly formed or not supported by the web service.</td>
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<td><strong>Action:</strong> The system administrator must enable services to support the select string.</td>
</tr>
<tr>
<td>300102004</td>
<td>Unable to process web service query request! Select string missing!</td>
<td>Type: WARN:NIDP:WSF:004</td>
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<td></td>
<td><strong>Cause:</strong> The select string (XPath) is not in the web service query request.</td>
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<tr>
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<td><strong>Action:</strong> Inform your system administrator that an improperly formatted web service request is being made.</td>
</tr>
<tr>
<td>300102005</td>
<td>Unable to perform trusted user interaction service request. Web service authority was not found.</td>
<td>Type: WARN:NIDP:WSF:005</td>
</tr>
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<td></td>
<td></td>
<td><strong>Cause:</strong> An internal system error.</td>
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<td><strong>Action:</strong> The system has encountered an invalid configuration and should be restarted by the system administrator.</td>
</tr>
<tr>
<td>300102006</td>
<td>Unable to perform trusted user interaction service request. Unable to obtain trusted user interaction service description from SOAP headers.</td>
<td>Type: WARN:NIDP:WSF:006</td>
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<td></td>
<td><strong>Cause:</strong> The web service making the request did not provide valid or complete information about the trusted user interaction service.</td>
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<td></td>
<td><strong>Action:</strong> The system administrator must complete the definition of the trusted interaction service.</td>
</tr>
<tr>
<td>300102007</td>
<td>Unable to perform trusted user interaction service request. No trusted user interaction service description provided in SOAP headers.</td>
<td>Type: WARN:NIDP:WSF:007</td>
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<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The web service making the request did not provide valid or complete information about the trusted user interaction service.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> The system administrator must complete the definition of the trusted interaction service.</td>
</tr>
<tr>
<td>300102008</td>
<td>Trusted user interaction service failed.</td>
<td>Type: WARN:NIDP:WSF:008</td>
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<td><strong>Cause:</strong> There are various unexpected reasons for the failure of a trusted user interaction service request to fail.</td>
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<td><strong>Action:</strong> Evaluate the reason and take the appropriate actions.</td>
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<tr>
<td>300102009</td>
<td>Error creating user interaction redirection request.</td>
<td>Type: WARN:NIDP:WSF:009</td>
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<td><strong>Cause:</strong> There was an error converting the redirect request to an XML DOM.</td>
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<td></td>
<td><strong>Action:</strong> Evaluate the reason and take the appropriate actions.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
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</table>
| 300102010  | Unable to perform user interaction redirection request. User intervention service not found. | Type: WARN:NIDP:WSF:010  
**Cause:** There must be an interaction service on the IDP creating the user interaction redirection request.  
**Action:** If it does not exist, using Access Manager Appliance management tools, create one. |
| 300102011  | Error reading data from LDAP data attribute plugin.                     | Type: WARN:NIDP:WSF:011  
**Cause:** If a web service's data locations includes LDAP, then LDAP data attribute plugins are used to read data from the LDAP user store. This error provides descriptions of various errors that can happen while doing this.  
**Action:** Evaluate the reason and take the appropriate actions. |
| 300102012  | Error writing data to LDAP data attribute plugin.                       | Type: WARN:NIDP:WSF:012  
**Cause:** If a web service's data locations includes LDAP, then LDAP data attribute plugins are used to write data to the LDAP user store. This error provides descriptions of various errors that can happen while doing this.  
**Action:** Evaluate the reason and take the appropriate actions. |
| 300102013  | Cannot read/write Credential Profile data because the user's LDAP user store distinguished name is not available. | Type: WARN:NIDP:WSF:013  
**Cause:** All Credential Profile reads and writes end up operating on a user object in a user store. If this user object cannot be found, then the operation must fail. This may happen if a temporary identifier is being used for the authentication.  
**Action:** Use a permanent federation to the service provider if your system allows it. |
| 300102014  | A Web Service request was received for a user, but the session for that user is not found. | Type: WARN:NIDP:WSF:014  
**Cause:** The user's login has timed out and has been removed from the system.  
**Action:** The user must login again. |
| 300102015  | A Web Service request was received for a user, but the session for that user has insufficient data in it. | Type: WARN:NIDP:WSF:015  
**Cause:** An internal error has occurred.  
**Action:** The user must login again. |
| 300102016  | A Web Service request was received for a user, but the Liberty User Profile object for that user is unavailable. | Type: WARN:NIDP:WSF:016  
**Cause:** An internal error has occurred.  
**Action:** Make sure the administrator user has rights to read, write and create Liberty User Profile objects in the configuration data store. |
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<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
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</table>
| 300102017    | A Web Service request was received for a user, and attempt to read the requested attributes from the Liberty User Profile object was made, but an error occurred. | Type: WARN:NIDP:WSF:017
Cause: An internal error has occurred. 
Action: Evaluate the reason and take the appropriate actions. |
| 300102018    | A Web Service request was received for a user, While reading user data from an LDAP user object, a mismatch occurred because the LDAP attribute is multi-valued, but the Liberty attribute is single-valued. | Type: WARN:NIDP:WSF:018
Cause: A multi-valued LDAP attribute has been mapped to a single-valued Liberty attribute. 
Action: Change the attribute mapping. |
| 300102019    | The user used an X509 Certificate to authenticate and we tried to put the cert into the SecretStore as a Base64 DER encoded cert, but we got an encoding error from the security layer when trying to get the DER encoded cert. Result is that there will not be a X509 Certificate in Secret Store for this user. | Type: WARN:NIDP:WSF:019
Cause: The X509 certificate cannot be encoded. 
Action: Review the type of X509 certificates that are being used for authentication. |
| 300102020    | A SAMLAssertion was requested for a given user. While generating the SAMLAssertion an error occurred. | Type: WARN:NIDP:WSF:020
Cause: The SAMLAssertion cannot be created. 
Action: Review the reason for the failure and take appropriate actions. |
| 300102021    |                                                                                                                                   | Type: WARN:NIDP:WSF:021 |
| 300102022    |                                                                                                                                   | Type: WARN:NIDP:WSF:022 |
| 300103001    | The web service request did not return a response within the protocol timeout limit. Request abandoned. | Type: WARN:NIDP:WSC:001
Cause: The web service consumer waited for the web service request to return a response, but it did not during the allowed waiting period. 
Action: This waiting period may be increased by click Access Manager > Identity Servers > Edit > Liberty > Web Service Consumer, and setting the Protocol Timeout to a higher value. |
| 300103002    | An unexpected error happened in the web service consumer while processing a web service request. | Type: WARN:NIDP:WSC:002
Cause: There are various reasons why a web service request could fail. 
Action: Evaluate the reason and take appropriate actions. |
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<th>Remedy</th>
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</table>
| 300103003  | Web service consumer request pending data packet id is not available in request.                                                            | Type: WARN:NIDP:WSC:003  
**Cause:** After user interaction, processing of the original request returns to the web service consumer. A data packet containing information about how to continue the request is cached on the web service consumer. The id of that packet must be passed through all redirections and requests associated with the user interaction. If that id is not available when the web service consumer regains control, then the request cannot continue.  
**Action:** Submit the request again. |
| 300103004  | The Web service consumer request pending data packet with the indicated id is not available in web service consumer's cache.                 | Type: WARN:NIDP:WSC:004  
**Cause:** After user interaction, processing of the original request returns to the web service consumer. A data packet containing information about how to continue the request is cached on the web service consumer. The id of that packet must be passed through all redirections and requests associated with the user interaction. That id will be used to access the pending data packet when the web service consumer regains control. If the pending data packet with the corresponding id is no longer available on the system, then the request cannot continue. The data packet may have timed out.  
**Action:** Submit the request again. |
| 300104049  | Could not find NIDP PKIX Certificate Path Checker Class.                                                                                   | Type: WARN:NIDP:USERAUTH:049  
**Cause:** PKIX Certificate Path Checker Class not found.  
**Action:** Warning message that PKIX Certificate Path Checker Class not found. This optional class is used to process custom certificate extensions. If required, this class needs to be in NIDP classpath. It may not be present on ESP. |
| 300104050  | Could not instantiate NIDP PKIX Certificate Path Checker Class.                                                                           | Type: WARN:NIDP:USERAUTH:050  
**Cause:** Incorrect class constructor.  
**Action:** Make sure the class has the right constructor. |
| 300105001  | No user Login Policy Check LDAP Extension method available on user store.                                                                  | Type: WARN:NIDP:APP:001  
**Cause:** User authenticated using X509. An additional check of the directory's user login policy needs to be made using an LDAP method extension. However, the directory indicated does not support the required LDAP extension method.  
**Action:** Make sure the LDAP extension method with OID 2.16.840.1.113719.1.39.42.100.25 is present in the user store. Versions 8.7.3 and greater of eDirectory should support this method. |
<p>| 300105002  |                                                                                                                                           | Type: WARN:NIDP:APP:002 |
| 300105003  |                                                                                                                                           | Type: WARN:NIDP:APP:003 |</p>
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<th>Event Code</th>
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<td>300105004</td>
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<td>Type: WARN:NIDP:APP:004</td>
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<td>300105005</td>
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<td>Type: WARN:NIDP:APP:005</td>
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<td>Type: WARN:NIDP:APP:006</td>
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<td>300105020</td>
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<td>Type: WARN:NIDP:APP:020</td>
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<tr>
<td>300105021</td>
<td>Unable to delete unneeded Image Pool Image File.</td>
<td>Type: WARN:NIDP:APP:021</td>
</tr>
</tbody>
</table>

**Cause:** On startup, the NIDP Image Pool is synchronized from eDirectory to the file system. This allows HTML pages to access images from a well known file system structure. Part of synchronization process involves deleting from the file system images that no longer exist in eDirectory. Also, the reverse is true, images that are new to eDirectory and do not yet exist on the file system are created in directories that reflect the image set. File system errors may occur during this synchronization process if a file or directory cannot be deleted or created.

**Action:** Ensure that no errant files are copied or directories manually created in the file system path [TOMCAT_HOME]/webapps/nidp/images/pool. Make sure the disk is not full.
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<tbody>
<tr>
<td>300105022</td>
<td>Unable to create a necessary directory for the Image Pool.</td>
<td>Type: WARN:NIDP:APP:22&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; On startup, the NIDP Image Pool is synchronized from eDirectory to the file system. This allows HTML pages to access images from a well known file system structure. Part of synchronization process involves deleting from the file system images that no longer exist in eDirectory. Also, the reverse is true, images that are new to eDirectory and do not yet exist on the file system are created in directories that reflect the image set. File system errors may occur during this synchronization process if a file or directory cannot be deleted or created. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Make sure the disk is not full.</td>
</tr>
<tr>
<td>300105023</td>
<td>Unable to create a necessary directory for the Image Pool.</td>
<td>Type: WARN:NIDP:APP:23&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; On startup, the NIDP Image Pool is synchronized from eDirectory to the file system. This allows HTML pages to access images from a well known file system structure. Part of synchronization process involves deleting from the file system images that no longer exist in eDirectory. Also, the reverse is true, images that are new to eDirectory and do not yet exist on the file system are created in directories that reflect the image set. File system errors may occur during this synchronization process if a file or directory cannot be deleted or created. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Make sure the disk is not full.</td>
</tr>
<tr>
<td>300105024</td>
<td>Unable to update the &quot;last used&quot; attribute of an identity object.</td>
<td>Type: WARN:NIDP:APP:24&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; Each time an identity object is accessed, the &quot;last used&quot; time is updated. This allows the system to track identities that have not been used for a configurable time period so that they may be deleted. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Make sure the administrator object for the Trust/Config data store has rights to the indicated directory context.</td>
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<tr>
<td>300105025</td>
<td>Unable to auto delete an identity object.</td>
<td>Type: WARN:NIDP:APP:25&lt;br&gt;&lt;br&gt;&lt;strong&gt;Cause:&lt;/strong&gt; Periodically, the IDP attempts to clean up (delete) identity objects that have not been used for a configurable period of time. If an old unused identity is found, an attempt will be made to delete it. If that delete fails, this error will be logged. &lt;br&gt;&lt;br&gt;&lt;strong&gt;Action:&lt;/strong&gt; Make sure the administrator object for the Trust/Config data store has rights to the indicated directory context.</td>
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<td>Event Code</td>
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<td>300105027</td>
<td>No Filename specified in System property.</td>
<td>Type: WARN:NIDP:APP:27</td>
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<td><strong>Cause:</strong> Trying to read properties from file which is not specified in System property. <strong>Action:</strong> Make sure the properties file is passed in the appropriate system property.</td>
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<tr>
<td>300105028</td>
<td>Error trying to delete a CardSpace Issued Card Identity Object.</td>
<td>Type: WARN:NIDP:APP:28</td>
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<td><strong>Cause:</strong> When a CardSpace Managed Card that is backed by a Personal Card is issued, an Identity object is created to represent the &quot;Federation&quot; that allows that card to log into the IDP without supplying any additional credentials. For security reasons, the user may delete that Identity object, or that &quot;federation,&quot; when the associated card becomes out of date or compromised. However, when the system attempted to delete the Identity object, the indicated error happened. <strong>Action:</strong> Examine the supplied error detail and take applicable actions.</td>
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<tr>
<td>300105029</td>
<td>Cannot load a custom LDAP Store Plugin module.</td>
<td>Type: WARN:NIDP:APP:29</td>
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<td></td>
<td><strong>Cause:</strong> The java.lang.Class.forName() method call failed to load the LDAP Store Plugin class. <strong>Action:</strong> Ensure a valid Java class file is available in Access Manager's class path for the referenced plugin class file.</td>
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<tr>
<td>300105030</td>
<td>Cannot instantiate a custom LDAP Store Plugin module.</td>
<td>Type: WARN:NIDP:APP:30</td>
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<td><strong>Cause:</strong> The java.lang.Class.newInstance() method call failed to instantiate the LDAP Store Plugin class. <strong>Action:</strong> Ensure a valid Java class file is available in Access Manager Appliance's class path for the referenced plugin class file. Also, ensure the LDAP Store Plugin has a zero parameter constructor.</td>
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<td>300105031</td>
<td>A user store was configured with an unrecognized directory type.</td>
<td>Type: WARN:NIDP:APP:031</td>
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<td><strong>Cause:</strong> The configuration was manually modified to include an invalid directory type specifier. Or the configuration has been corrupted. Or there was no valid implementation of an LDAP Store Plugin for this directory type. <strong>Action:</strong> Examine the supplied error detail and take applicable actions.</td>
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<tr>
<td>300105036</td>
<td>Office365 assertion NameID value is null, check user &lt;username&gt; attribute value.</td>
<td>Type: WARN:NIDP:IDFF:001</td>
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<td><strong>Cause:</strong> User LDAP attribute value is empty in the user store. <strong>Action:</strong> Check the user attribute in configured user store. If NameID value is null, check user {1} attribute {0} value.</td>
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<td>300106001</td>
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<td>300106002</td>
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<td>Type: WARN:NIDP:IDFF:002</td>
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<td>300106003</td>
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<td>Type: WARN:NIDP:IDFF:003</td>
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<td>300106004</td>
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<td>Type: WARN:NIDP:IDFF:004</td>
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<tr>
<td>300106005</td>
<td></td>
<td>Type: WARN:NIDP:IDFF:005</td>
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<tr>
<td>500102001</td>
<td>The authentication information for the user was successfully found.</td>
<td>Type: INFO:NIDP:WSF:001</td>
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<td>Scenario: A Web Service request was made to query or modify user attributes. The user’s authentication information was successfully found.</td>
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<td>See Also: 600102001</td>
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<td>500102002</td>
<td>The Liberty User Profile object for the associated user was found in the configuration datastore.</td>
<td>Type: INFO:NIDP:WSF:002</td>
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<td></td>
<td>Scenario: A Web Service request was made to query or modify user attributes. One of the data locations specified for the service is the Liberty User Profile object and that object was successfully found.</td>
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<tr>
<td>500102003</td>
<td>Created new user profile object.</td>
<td>Type: INFO:NIDP:WSF:003</td>
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<td>Scenario: A request was made to query or modify user’s attributes. A Liberty User Profile object did not yet exist for this user, so one was created.</td>
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<tr>
<td>500102004</td>
<td>Read data from user profile object.</td>
<td>Type: INFO:NIDP:WSF:004</td>
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<td>Scenario: A Web Service request was made to query user attributes. One of the data locations specified for the service is the Liberty User Profile object and that object was successfully read.</td>
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<td>See Also: 600102002</td>
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<tr>
<td>500102005</td>
<td>Attempted to read data from the Liberty User Profile object, but it did not contain the requested data.</td>
<td>Type: INFO:NIDP:WSF:005</td>
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<td></td>
<td></td>
<td>Scenario: A Web Service request was made to query user attributes. One of the data locations specified for the service is the Liberty User Profile object. That object was successfully accessed but did not contain the requested data.</td>
</tr>
<tr>
<td>500102006</td>
<td>Read data from attributes obtained when a remote authentication source pushed the attributes to the NIDP.</td>
<td>Type: INFO:NIDP:WSF:006</td>
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<td>Scenario: When a user authenticates, the authentication entity can push user attributes to the NIDP as part of the response to the authentication. The NIDP remembers these attributes for the life of that user session. If one of the data locations specified for a Web Service is remote, then these attributes may be returned as part of a query.</td>
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<td>See Also: 600102005</td>
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</table>
| 500102007  | Read data by making a call to a remote service made available through a user authentication. | Type: INFO:NIDP:WSF:007
Scenario: A request was made to query a user's attributes. One of the data locations for the Web Service was remote. So, a request was made to a remote service to read attributes. See Also: 600102006 |
| 500102008  | Completed building composite data that was read from all data locations for user. | Type: INFO:NIDP:WSF:008
Scenario: A request was made to query a user's attributes. If multiple data locations are specified for the Web Service, then attributes may be read from multiple data locations and then aggregated into a composite data structure. See Also: 600102007 |
| 500102009  | Initiating a user interaction redirect.                                  | Type: INFO:NIDP:WSF:009
Scenario: A request was made to query or modify user's attributes. Policy indicates that the user must be asked if the attribute operation is permitted. The request indicated that a redirect user interaction service should be used to perform user interaction, so redirection is being invoked using the redirection user interaction service protocol. |
| 500102010  | Initiating a user interaction call to a trusted user interaction service. | Type: INFO:NIDP:WSF:010
Scenario: A request was made to query or modify user's attributes. Policy indicates that the user must be asked if the attribute operation is permitted. The request indicated that a trusted user interaction service should be used to perform user interaction, so that service is being invoked using the trusted user interaction service protocol. |
| 500102011  | Read Credential Profile data from Novell Secret Store.                  | Type: INFO:NIDP:WSF:011
Scenario: A request was made to query data from a user's Credential Profile. The data was successfully read. See Also: 600102008 |
| 500102012  | Read Credential Profile data from an extended user authentication object attribute. | Type: INFO:NIDP:WSF:012
Scenario: A request was made to query data from a user's Credential Profile. The data was read from an extended schema attribute on the user's authenticated user object. See Also: 600102010 |
| 500102013  | Web service data write denied because the LDAP attribute plugin access for the named data item is read only! | Type: INFO:NIDP:WSF:013
Scenario: The system administrator has marked this data item as read only in the LDAP Attribute Plugin. |
<table>
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<th>Event Code</th>
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<th>Remedy</th>
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</table>
| 500102014   | Override not allowed. Cannot override existing data. | Type: INFO:NIDP:WSF:014  
Scenario: The data that is being written already exists in the user's profile. Data override is not allowed so this data cannot be written. |
| 500102015   | Existing data changed since notChangedSince time. | Type: INFO:NIDP:WSF:015  
Scenario: User profile data is marked with the last time the data changed. The query request indicated that it did not want the data written if the current data in the profile has been changed since an indicated time. The system determined that the current data in the profile has been changed since the time provided, so this data cannot be written. |
| 500103001   | Filled the user attribute request from data already in the web service consumer cache. | Type: INFO:NIDP:WSC:001  
Scenario: When the WSC reads user attributes, it caches the results of each read. In this case, a subsequent request queried attributes already read, so they were provided from the WSC cache. |
| 500103002   | Web service consumer request complete.        | Type: INFO:NIDP:WSC:002  
Scenario: The WSC was asked to query or modify data for a given user. That request is complete. |
| 500103003   | Web service consumer request requires user interaction. | Type: INFO:NIDP:WSC:003  
Scenario: The WSC was asked to query or modify data for a given user. The entity called to perform the operation indicated that the user must be asked if the attribute operation is acceptable. |
| 500103004   | User interaction policy and data values received. | Type: INFO:NIDP:WSC:004  
Scenario: A Web Service request was made to query or modify user attributes. It was determined that the user must be asked if the attribute operation is acceptable. The user's answers have been returned to the NIDP. |
| 500104002   | Getting properties from file (informational)  | Type: INFO:NIDP:USERAUTH:002  
Scenario: Getting properties from file |
| 500104007   | X509 Authentication matched principal (informational) | Type: INFO:NIDP:USERAUTH:007  
Scenario: X509 Authentication matched principal |
| 500104013   | No CRL/OCSP defined by the administrator      | Type: INFO:NIDP:USERAUTH:013  
Cause: No CRL/OCSP defined by the administrator |
| 500104014   | No CRL/OCSP found in the certificate.         | Type: INFO:NIDP:USERAUTH:014  
**Cause**: No CRL/OCSP found in the certificate  
**Action**: CRL/OCSP validations are enabled but no CRL/OCSP responder URL was defined by the administrator. CRL/OCSP URLs may be defined if needed. |
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<tr>
<td>500104016</td>
<td>Could not fetch CRL from the local cache (informational)</td>
<td>Type: INFO:NIDP:USERAUTH:016</td>
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<td>Scenario: Could not fetch CRL from the local cache, getting it from the CDP</td>
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<tr>
<td>500104048</td>
<td>Successfully loaded NIDP PKIX Certificate Path Checker Class (informational)</td>
<td>Type: INFO:NIDP:USERAUTH:048</td>
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<td>Scenario: Successfully loaded NIDP PKIX Certificate Path Checker Class</td>
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<td>500104113</td>
<td>Kerberos Principal match found in the user store (informational)</td>
<td>Type: INFO:NIDP:USERAUTH:113</td>
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<td>Scenario: Kerberos Principal found in the user store</td>
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<td>500105001</td>
<td>Forwarding HTTP request to cluster member.</td>
<td>Type: INFO:NIDP:APP:001</td>
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<td>Scenario: A request was received on a cluster member that does not own the authentication information for the associated user. The request must be processed on the cluster member that does own the user authentication information, so the request is being forwarded to that cluster member.</td>
</tr>
<tr>
<td>500105002</td>
<td>Successfully initialized JNDI connections.</td>
<td>Type: INFO:NIDP:APP:002</td>
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<td>Scenario: NIDP attempts to create JNDI connections to each user store replica during NIDP startup. In this case, NIDP was able to establish connections with the indicated host.</td>
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<tr>
<td>500105003</td>
<td>Failed X509 authentication due to Login Policy Check Extension Method evaluation.</td>
<td>Type: INFO:NIDP:APP:003</td>
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<td>Scenario: The directory login policy for the indicated user denied login.</td>
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<td>500105004</td>
<td>An recoverable error happened while forwarding a login request.</td>
<td>Type: INFO:NIDP:APP:004</td>
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<td>Scenario: The request landed on the wrong cluster member. An attempt was made to proxy the request, but an error occurred! However, this ESP can process this request, so let execution proceed on this box.</td>
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<td>500105005</td>
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<td>Type: INFO:NIDP:APP:005</td>
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<td>Type: INFO:NIDP:APP:006</td>
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<td>500105045</td>
<td>Type: INFO:NIDP:APP:045</td>
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<td>500105046</td>
<td>The specified identity object was deleted because it was not used for a configurable time period.</td>
<td>Type: INFO:NIDP:APP:046 &lt;br&gt;Scenario: Periodically, the IDP attempts to clean up (delete) identity objects that have not been used for a configurable period of time. If an old unused identity is found, an attempt will be made to delete it. When this delete succeeds, this message will be logged.</td>
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<td>500106001</td>
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<td>Type: INFO:NIDP:IDFF:001</td>
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<td>500106008</td>
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<td>Type: INFO:NIDP:IDFF:008</td>
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<tr>
<td>600102001</td>
<td>Verbose user authentication information.</td>
<td>Type: DEBUG:NIDP:WSF:001 &lt;br&gt;Scenario: Adds verbose authentication data to the fact that the user associated with the attribute request was found in the internal databases of the web service provider. &lt;br&gt;See Also: 500102001</td>
</tr>
<tr>
<td>600102002</td>
<td>Verbose user authentication information, attribute select string, and data.</td>
<td>Type: DEBUG:NIDP:WSF:002 &lt;br&gt;Scenario: A Web Service request was made to query user attributes. One of the data locations specified for the service is the Liberty User Profile object. The data listed in this message was successfully read for the indicated user using the indicated XPath. &lt;br&gt;See Also: 500102004</td>
</tr>
<tr>
<td>600102003</td>
<td>Read single-valued attribute from user authentication LDAP object.</td>
<td>Type: DEBUG:NIDP:WSF:003 &lt;br&gt;Scenario: A Web Service request to query user attribute data was received. One of the data locations was LDAP. This message displays the value read from the indicated LDAP attribute for the indicated user.</td>
</tr>
<tr>
<td>600102004</td>
<td>Read multi-valued attribute from user authentication LDAP object.</td>
<td>Type: DEBUG:NIDP:WSF:004 &lt;br&gt;Scenario: A Web Service request to query user attribute data was received. One of the data locations was LDAP. This message displays the value read from the indicated LDAP attribute for the indicated user.</td>
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<td>Event Code</td>
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| 600102005  | Verbose user authentication and attribute information. | Type: DEBUG:NIDP:WSF:005  
Scenario: When a user authenticates, the authenticating entity can push user attributes to the NIDP as part of the response to the authentication. The NIDP remembers these attributes for the life of that user session. If one of the data locations specified for a Web Service is remote, then these attributes may be returned as part of a query.  
See Also: 500102006 |
| 600102006  | Adds verbose user and attribute information to attributes read from a remote service whose description was obtained at authentication time. | Type: DEBUG:NIDP:WSF:006  
Scenario: A request was made to query a user's attributes. One of the data locations for the Web Service was remote. So, a request was made to a remote service to read attributes.  
See Also: 500102007 |
| 600102007  | Adds verbose user and attribute information to the final aggregated result of a web service query! | Type: DEBUG:NIDP:WSF:007  
Scenario: A request was made to query a user's attributes. If multiple data locations are specified for the Web Service, then attributes may be read from multiple data locations and then aggregated into a composite data structure.  
See Also: 500102008 |
| 600102008  | Adds verbose data to reading Credential Profile data from Novell Secret Store. | Type: DEBUG:NIDP:WSF:008  
Scenario: A request was made to query data from a user's Credential Profile. The data was successfully read.  
See Also: 500102011 |
| 600102009  | The user successfully logged into Novell Secret Store using SAML/SASL. | Type: DEBUG:NIDP:WSF:009  
Scenario: To access secrets from Novell Secret Store, the user must authenticate to Novell Secret Store.  
See Also: 500102012 |
| 600102010  | Adds verbose data to reading Credential Profile data from an extended user authentication object attribute. | Type: DEBUG:NIDP:WSF:010  
Scenario: A request was made to query data from a user's Credential Profile. The data was read from an extended schema attribute on the user's authenticated user object.  
See Also: 500102012 |
| 600105001  | Do not need to proxy HTTP request to other cluster member. Well known URL that does not require the use of a proxy. | Type: DEBUG:NIDP:APP:001  
Scenario: The request is one of a well known list of request types that may be processed on any cluster member, so it does not need to be forwarded to another cluster member. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Message</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>600105002</td>
<td>Do not need to proxy HTTP request to other cluster member. This cluster member can handle requests for this user.</td>
<td>Type: DEBUG:NIDP:APP:002</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: The request arrived at the cluster member that owns the authentication information for the user. The request may have come straight from the router to this cluster member, or the request may have been forwarded here by another cluster member.</td>
</tr>
<tr>
<td>600105003</td>
<td>Obtained IP address of cluster member handling this users requests from URL parameter.</td>
<td>Type: DEBUG:NIDP:APP:003</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: Each request must be processed on the cluster member that owns the user authentication information. The IP address of that cluster member was found in a URL parameter.</td>
</tr>
<tr>
<td>600105004</td>
<td>Obtained IP address of cluster member handling this users requests from HTTP cookie.</td>
<td>Type: DEBUG:NIDP:APP:004</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: Each request must be processed on the cluster member that owns the user authentication information. The IP address of that cluster member was found in an HTTP cookie.</td>
</tr>
<tr>
<td>600105005</td>
<td>Obtained IP address of cluster member handling this user's requests by asking cluster members which one handles this user session.</td>
<td>Type: DEBUG:NIDP:APP:005</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: Each request must be processed on the cluster member that owns the user authentication information. The IP address of that cluster member was found by asking all cluster members which one knew about the user's session.</td>
</tr>
<tr>
<td>600105006</td>
<td>Must proxy HTTP request to other cluster member.</td>
<td>Type: DEBUG:NIDP:APP:006</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: Each request must be processed on the cluster member that owns the user authentication information. It has been determined that this cluster member is not the correct cluster member to process this request, so the request must be forwarded to another cluster member.</td>
</tr>
<tr>
<td>600105007</td>
<td>Response of proxy HTTP request.</td>
<td>Type: DEBUG:NIDP:APP:007</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: Each request must be processed on the cluster member that owns the user authentication information. It was determined that this cluster member is not the correct cluster member to process this request, so the request was forwarded to another cluster member. The results of the request, as processed on the other cluster member, are displayed here.</td>
</tr>
<tr>
<td>600105008</td>
<td>Successfully obtained SOAP response document.</td>
<td>Type: DEBUG:NIDP:APP:008</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Scenario: A SOAP request was made and a response was expected, the response was successfully obtained.</td>
</tr>
<tr>
<td>600105009</td>
<td></td>
<td>Type: DEBUG:NIDP:APP:009</td>
</tr>
<tr>
<td>600105010</td>
<td></td>
<td>Type: DEBUG:NIDP:APP:010</td>
</tr>
<tr>
<td>600105011</td>
<td></td>
<td>Type: DEBUG:NIDP:APP:011</td>
</tr>
<tr>
<td>Event Code</td>
<td>Message</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>---------</td>
<td>--------</td>
</tr>
<tr>
<td>200104401</td>
<td>Login failed. Please try again.</td>
<td>Rule group is not associated to Risk-Based authentication class. Map a rule group to the class.</td>
</tr>
<tr>
<td>200104403</td>
<td>Authentication failed.</td>
<td>Authentication failed. The geolocation rule is enabled but the geolocation provider is not configured or is configured incorrectly.</td>
</tr>
<tr>
<td>500104400</td>
<td>Access denied. Contact your administrator</td>
<td>User is denied login because the risk score is high.</td>
</tr>
<tr>
<td>500104402</td>
<td>Access denied. Contact your administrator</td>
<td>No risk level is defined for this risk score.</td>
</tr>
<tr>
<td>200104067</td>
<td>The target domain is unknown. Contact your administrator.</td>
<td><strong>Cause:</strong> URL is not configured as a whitelist domain or it is invalid. <strong>Action:</strong> If the URL is valid and required, contact the administrator to get it configured in the redirection whitelist.</td>
</tr>
</tbody>
</table>

### 30.17.3 Linux Access Gateway Appliance(045)

Component 045

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>[1-9]04501000</td>
<td>Multi-homing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04502000</td>
<td>Service manager</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04503000</td>
<td>Browser request processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04504000</td>
<td>Authentication processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04505000</td>
<td>Authorization processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04506000</td>
<td>Identity Injection processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04507000</td>
<td>Form Fill processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04508000</td>
<td>Caching</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04509000</td>
<td>Processing of Web server responses and of responses to browser requests</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04511000</td>
<td>Rewriter processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04512000</td>
<td>SOAP back channel processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>---------------</td>
<td>------------------------------------------</td>
<td>------------------------------------------------------</td>
</tr>
<tr>
<td>[1-9]04513000</td>
<td>Device communication channel (VCC)</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04514000</td>
<td>VM controller processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04515000</td>
<td>Connection management</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04516000</td>
<td>Core utilities (VXE)</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04517000</td>
<td>Data Stream processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04518000</td>
<td>SSL processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04519000</td>
<td>Command processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04520000</td>
<td>Profiler</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04521000</td>
<td>Proxy start</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
<tr>
<td>[1-9]04522000</td>
<td>Audit event processing</td>
<td>See the string value in the message for a description of the cause.</td>
</tr>
</tbody>
</table>

### 30.17.4 Access Gateway Service (046)

Component 046

- Subgroup 00: URL Request Processing
- Subgroup 01: Authorization Processing
- Subgroup 02: Identity Injection Processing
- Subgroup 03: Form Fill Processing
- Subgroup 30: Web Server Communication Processing
- Subgroup 50: Administration Request Processing
- Subgroup 51: Statistics
- Subgroup 52: Health
- Subgroup 53: Alerts Processing
- Subgroup 54: Configuration Processing
- Subgroup 55: Initialization-Termination Processing

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>URL Request Processing (00)</td>
<td></td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>-------------</td>
<td>--------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 304600404   | Authentication Request: Unknown Contract         | **Cause:** An unknown contract was received from the Embedded Service Provider. This can happen if the configuration of Identity Server and Access Gateway are not synchronized.  
**Action:** Check to see if Access Gateway or Identity Server need to be updated. If their status is current, make a small change to both and update their configuration. |
<p>| 504600000   | URL Accessed                                     | A request for access to an unprotected URL has been received.          |
| 504600100   | Protected Resource Accessed                      | A request for access to a protected URL has been received.             |
| 504600400   | Authentication Request: Successful               | The user authenticated successfully.                                    |
| 504600401   | Login Request: Redirect To ESP                   | The authentication request was redirected to the Embedded Service Provider |
| 504600402   | Authentication Request: Set Cookie               | The request has been redirected to set the cookie.                     |
| 504600403   | Authentication Request: Redirect URL with Cookie  | The original URL request has been redirected to the Embedded Service Provider with a cookie. |
| 504600405   | Authentication Request: NRL Request              | The protected resource is configured for non-redirected login.         |
| 604600001   | URL Accessed: Trace Summary                      | This event accesses the URL trace summary.                             |
| 604600002   | URL Accessed: Scheme Redirect                    | The URL accessed on wrong scheme is redirected.                        |
| 604600003   | URL Accessed: Pinned                             | The URL in the PIN list is accessed.                                   |
| 604600301   | Session Broker: Cookie Not Found                 | The session broker returns the status of cookie not found.             |
| 604600302   | Session Broker: Add User                         | The session broker requests to add user.                               |
| 604600303   | Session Broker: Get Cookie                       | The session broker requests cookie.                                    |
| 604600304   | Session Broker: Delete User                      | The session broker deletes user sent from SOAP request.                |
| 604600306   | Session Broker: Update User                      | The session broker updates the user sent from SOAP request.            |
| 604600307   | Session Broker: Cookie Found                     | The session broker returned requested cookie.                          |
| 604600308   | Session Broker: Add User SOAP Request            | The session broker adds the user sent from SOAP request.               |
| 604600309   | Session Broker: User Added                       | The session broker adds user request which are successfully processed. |
| 604600310   | Session Broker: Delete User Successful           | The session broker deletes the user successfully.                      |
| 604600311   | Session Broker: Delete User Failed               | The session broker failed to delete user.                              |</p>
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| Authorization Processing (01) | Policy Configuration Reply: Policy Error | **Cause:** An error was detected while processing a policy configuration request.  
**Action:** Check the health of the configuration database. If it is unhealthy, repair it or restore it from a backup. |
| 204601102      | Policy Evaluation Reply: Policy Error    | **Cause:** An error was detected while processing a policy evaluation request.  
**Action:** Verify that the Embedded Service Provider and the proxy service are running. |
| 204601302      | Policy Evaluation Reply: Policy Error    | **Cause:** An error was detected while processing a policy evaluation request.  
**Action:** Verify that the Embedded Service Provider and the proxy service are running. |
| 504601003      | ACL Policy Configuration Request         | ACL configuration request is being processed.                           |
| 504601100      | Policy Configuration Reply: Success      | The Authorization policy has been configured successfully.              |
| 504601200      | Policy Evaluation Request                | A policy evaluation request has been received; the evaluation has started. |
| 504601300      | Policy Configuration Reply: Access allowed, no match | The Authorization policy evaluation results allowed access due to policy default action. |
| 504601301      | Policy Configuration Reply: Access allowed | The Authorization policy evaluation results allowed access.             |
| 504601302      | Policy Configuration Reply: Access denied | The Authorization policy evaluation results denied access.              |
| Identity Injection Processing (02) | Policy Configuration Reply: Policy Error | **Cause:** An error was detected while processing a policy configuration request.  
**Action:** Check the health of the configuration database. If it is unhealthy, repair it or restore it from a backup. |
| 204602102      | Policy Evaluation Reply: Policy Error    | **Cause:** An error was detected while processing a policy evaluation request.  
**Action:** Verify that the Embedded Service Provider and the proxy service are running. |
| 204602302      | Policy Evaluation Reply: Policy Error    | **Cause:** An error was detected while processing a policy evaluation request.  
**Action:** Verify that the Embedded Service Provider and the proxy service are running. |
<p>| 504602100      | Policy Configuration Reply: Success      | The Identity Injection policy has been configured successfully.         |
| 504602300      | Policy Evaluation Reply: Inject Authentication Header | This policy injects an authentication header                           |
| 504602301      | Policy Evaluation Reply: Inject Custom Headers | This policy injects custom headers.                                      |
| 504602302      | Policy Evaluation Reply: Inject Query Parameters | This policy injects query parameters.                                    |
| Form Fill Processing (03) | Policy Configuration Reply: No Policy ID | The policy ID is not included with policy configuration request.       |</p>
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 204603102  | Policy Configuration Reply: Policy Error         | **Cause:** An error was detected while processing a policy configuration request.  
Action: Check the health of the configuration database. If it is unhealthy, repair it or restore it from a backup. |
| 204603302  | Policy Evaluation Reply: Policy Error            | **Cause:** An error was detected while processing a policy evaluation request.  
**Action:** Verify that the Embedded Service Provider and the proxy service are running. |
| 204603304  | Policy Evaluation Reply: Parse Error: Unknown field | **Cause:** A parsing error was detected while processing a policy evaluation request.  
**Action:** Check the Form Fill policy and make sure it matches the form. |
| 504603100  | Policy Configuration Reply: Success             | The Form Fill policy has been configured successfully.                  |
| 504603300  | Policy Evaluation Reply: Success                 | The Form Fill policy evaluation was successful.                         |
| 504603301  | Policy Evaluation Reply: No Policy               | The Form Fill policy was not found.                                    |
| 504603400  | Get User Attributes                              | A request has been sent to get user attributes.                         |
| 504603401  | Set User Attributes                              | A request has been sent to set user attributes.                         |

**Administration Request Processing (50)**

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>204650002</td>
<td>DCC Message Processing</td>
<td>These events will processes the DCC messages.</td>
</tr>
<tr>
<td>504650002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>604650002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>704650002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>204650003</td>
<td>JCC</td>
<td>The information is related to sending and processing JCC requests.</td>
</tr>
<tr>
<td>204650005</td>
<td>Device Information Requests</td>
<td>These events will process the request of the device information.</td>
</tr>
<tr>
<td>504650005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>604650005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>704650005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>204650001</td>
<td>Command Processing</td>
<td>Administration Console initiates the log events pertaining to processing commands.</td>
</tr>
<tr>
<td>504650001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>604650001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>704650001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>304650004</td>
<td>Service Information Requests</td>
<td>The service information requests are processed.</td>
</tr>
<tr>
<td>604650004</td>
<td></td>
<td></td>
</tr>
<tr>
<td>504650010</td>
<td>Start</td>
<td>The log events pertaining to a Start command received from Administration Console.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>----------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>504650011</td>
<td>Stop</td>
<td>The log events pertaining to a Stop command received from Administration Console.</td>
</tr>
<tr>
<td>504650012</td>
<td>Restart</td>
<td>The log events pertaining to a Restart command received from Administration Console.</td>
</tr>
<tr>
<td>504650013</td>
<td>Refresh Policy</td>
<td>The log events pertaining to a Refresh Policy command received from Administration Console.</td>
</tr>
<tr>
<td>504650014</td>
<td>Cache Clear</td>
<td>The log events pertaining to a Cache Clear command received from Administration Console.</td>
</tr>
<tr>
<td>504650015</td>
<td>IP Scan</td>
<td>The log events pertaining to an IP Scan command received from Administration Console.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Statistics (51)</strong></td>
</tr>
<tr>
<td>204651001</td>
<td>Statistics Request Processing</td>
<td>The log events pertaining to the processing of a Statistics request from device manager.</td>
</tr>
<tr>
<td>304651001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>504651001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>604651001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>704651001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>504651000</td>
<td>Statistics (51)</td>
<td>The log of current statistics requested by device manager.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Health (52)</strong></td>
</tr>
<tr>
<td>204652001</td>
<td>Health Request Processing</td>
<td>The log events pertaining to the processing of a health request from device manager.</td>
</tr>
<tr>
<td>304652001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>604652001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>704652001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>504652000</td>
<td>Health (52)</td>
<td>The log of current health as requested by device manager.</td>
</tr>
</tbody>
</table>

**30.17.5 Policy Engine (008)**

Component 008

- Subgroup 01: Engine
- Subgroup 02: Condition Handler
- Subgroup 03: Action Handler
- Subgroup 04: Configure Information Context
- Subgroup 05: Information Context
- Subgroup 06: Response Context
* = any Sub group

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>100801001</td>
<td>Error No Memory: Memory allocation failed.</td>
<td><strong>Cause:</strong> Low system memory. Resource allocation failed.</td>
</tr>
<tr>
<td>100802001</td>
<td>Error Bad Data: Policy configuration contains an invalid policy parameter</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>100803001</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>100804001</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>100805001</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>100806001</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200801002</td>
<td>Error Bad Data: Policy configuration contains an invalid policy parameter</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200802002</td>
<td>Error Bad Data: Policy configuration contains an invalid policy parameter</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200803002</td>
<td>Error Bad Data: Policy configuration contains an invalid policy parameter</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200804002</td>
<td>Error Bad Data: Policy configuration contains an invalid policy parameter</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200805002</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200806002</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200801003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200802003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200803003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200804003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
<tr>
<td>200805003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td>configuration document.</td>
</tr>
<tr>
<td>200806003</td>
<td>Error Configuration. The policy configuration is syntactically incorrect</td>
<td><strong>Cause:</strong> Administration Console has produced an invalid policy</td>
</tr>
</tbody>
</table>

**Action:** Take any or all of the following actions:

1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.
2. Back up to a previously working policy configuration until the problem has been fixed.
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available.

**Action:** Take any or all of the following actions:

1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.
2. Back up to a previously working policy configuration until the problem has been fixed.
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available.
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 200801004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200802004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200803004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200804004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200805004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200806004  | General Failure: Internal software error. | **Cause:** Unexpected exception caught during policy evaluation.  
**Action:** Submit log file to Novell Support for analysis and problem resolution. |
| 200801072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200802072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200803072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200804072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200805072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200806072  | Interface Unavailable: Invalid InformationContext or ResponseContext enumerative value. | **Cause:** Administration Console has produced an invalid policy configuration document.  
Invalid PolicyTypeSpec schema.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
| 200801073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200802073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200803073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200804073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200805073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200806073  | Data Unavailable: Policy Engine could not obtain needed information to complete policy evaluation. | **Cause:** Inaccessible user store or database.  
**Action:** Ensure user store or database is available. |
| 200801074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 200802074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 200803074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 200804074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 200805074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
| 200806074  | Illegal State: Policy Engine caught NullPointerException during policy configuration or evaluation. | **Cause:** Unexpected software exceptions.  
**Action:** Submit log to Novell Support for analysis and resolution. |
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>200801075</td>
<td>Illegal Argument: Internal software error.</td>
<td><strong>Cause:</strong> Invalid method argument received.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Submit log to Novell Support for analysis and resolution.</td>
</tr>
<tr>
<td>200802075</td>
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<td>200803075</td>
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<td>200804075</td>
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<td>200805075</td>
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<tr>
<td>200806075</td>
<td></td>
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</tr>
<tr>
<td>300801071</td>
<td>Evaluation Canceled: Active policy evaluation canceled.</td>
<td><strong>Cause:</strong> May occur during system shutdown.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> If not caused by system shutdown, submit log to Novell Support for analysis and resolution.</td>
</tr>
<tr>
<td>300802071</td>
<td></td>
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<td>300803071</td>
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<td>300805071</td>
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<tr>
<td>300806071</td>
<td></td>
<td></td>
</tr>
<tr>
<td>500801000</td>
<td>Success: Policy operation completed without error.</td>
<td><strong>Cause:</strong> Policy Evaluation.</td>
</tr>
<tr>
<td>500802000</td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
<tr>
<td>500803000</td>
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<td>500804000</td>
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<td>500805000</td>
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<td>500806000</td>
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<tr>
<td>500801005</td>
<td>Operation Pending: Policy operation is in progress</td>
<td><strong>Cause:</strong> Policy Evaluation.</td>
</tr>
<tr>
<td>500802005</td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
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<td>500803005</td>
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<td>500804005</td>
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<td>500805005</td>
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<tr>
<td>500806005</td>
<td></td>
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</tr>
<tr>
<td>500803064</td>
<td>Permit Action: Policy evaluation rendered a Permit Action.</td>
<td><strong>Cause:</strong> Permit action executed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
<tr>
<td>500803065</td>
<td>Deny Action: Policy evaluation rendered a Deny Action.</td>
<td><strong>Cause:</strong> Deny action executed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
<tr>
<td>500803066</td>
<td>Obligation Action: Policy evaluation rendered an Obligation Action.</td>
<td><strong>Cause:</strong> Obligation action executed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
</tbody>
</table>
### SOAP Policy Enforcement Point (011)

The SOAP Policy Enforcement Point (PEP) interface is used by the NetWare and Access Gateways for policy evaluation.

**Component 011**

- **Subgroup 01: General/Configuration**
- **Subgroup 02: Authorization PEP**
- **Subgroup 03: Identity Injection PEP**
- **Subgroup 04: Form Fill PEP**

Messages are logged to the catalina.out for trace and application level logging when Identity Server logging is enabled.

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>500801067</td>
<td>No Action: Policy evaluation rendered no Action.</td>
<td><strong>Cause:</strong> No action was executed during a policy evaluation.</td>
</tr>
<tr>
<td>500802067</td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
<tr>
<td>500803067</td>
<td></td>
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<tr>
<td>500804067</td>
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<td>500805067</td>
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<td></td>
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<tr>
<td>500806067</td>
<td></td>
<td></td>
</tr>
<tr>
<td>500802068</td>
<td>Condition False: Policy condition returned FALSE.</td>
<td><strong>Cause:</strong> Policy Evaluation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
<tr>
<td>500802069</td>
<td>Condition True: Policy condition returned TRUE.</td>
<td><strong>Cause:</strong> Policy Evaluation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> No Action. Informational only.</td>
</tr>
</tbody>
</table>
| 200802070  | Condition Unknown. Policy configuration contains an unsupported condition handler definition. | **Cause:** Administration Console has produced an invalid policy configuration document.  
**Cause:** Policy configuration document has been corrupted.  
**Action:** Take any or all of the following actions:  
1. Submit the log file to Novell Support to aid in determining and fixing the source of the problem.  
2. Back up to a previously working policy configuration until the problem has been fixed.  
3. Examine the policy configuration document (available in PEP trace entries) to determine the erroneous policy document element and remove the corresponding policy statement from your policy configuration until a fix for the problem is available. |
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</tr>
</thead>
<tbody>
<tr>
<td>501101010</td>
<td>Start Policy Soap Handler</td>
<td>Policy Soap Message Handler received start command.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Embedded Service Provider has been started</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> None. Informational message only.</td>
<td></td>
</tr>
<tr>
<td>501101011</td>
<td>Stop Policy Soap Handler</td>
<td>Policy Soap Message Handler received stop command.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Embedded Service Provider has been stopped</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> None. Informational message only.</td>
<td></td>
</tr>
<tr>
<td>101101012</td>
<td>Policy Evaluator Not Running</td>
<td>The Policy Evaluator has been stopped.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The Embedded Service Provider has been stopped by an administrator</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> Restart the Embedded Service Provider for the device.</td>
<td></td>
</tr>
<tr>
<td>101101013</td>
<td>General Failure</td>
<td>General failure processing policy request.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Most often caused by incorrectly formatted XML.</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> Check catalina.out for stack trace and possibly more detailed information regarding the failure.</td>
<td></td>
</tr>
<tr>
<td>501101020</td>
<td>Request Received</td>
<td>Soap request received.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Informational message which logs the type of request received</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking soap handler interactions.</td>
<td></td>
</tr>
<tr>
<td>501101021</td>
<td>Response Sent</td>
<td>Soap response sent.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> Informational message regarding soap response to a request</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking soap handler interactions.</td>
<td></td>
</tr>
<tr>
<td>101101022</td>
<td>Unsupported request received</td>
<td>A NXPES command other than configure, evaluate or terminate was received.</td>
</tr>
<tr>
<td></td>
<td><strong>Cause:</strong> The policy engine revision is incompatible with the application.</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Action:</strong> Validate the software installation.</td>
<td></td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>--------------</td>
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<td>----------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>20110123</td>
<td>Unrecognized Policy Identifier</td>
<td>Policy evaluation was requested for an unknown policy.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The policy identifier known to Access Gateway is stale.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Most often, this problem is detected by Access Gateway and the policies are reconfigured. If the problem persists, send an Apply or Apply Changes to the device from the CLI or Administrative Console.</td>
</tr>
<tr>
<td>50110130</td>
<td>Configure Success</td>
<td>Successful policy configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Policy configuration succeeded</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking policy configuration.</td>
</tr>
<tr>
<td>20110130</td>
<td>Configure Warning</td>
<td>Policy Configuration Warning.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Policy configuration request reported a problem in retrieving configuration data from the config store</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the policy definitions in Administration Console to ensure the configuration store is working properly, then reapply the configuration to the device.</td>
</tr>
<tr>
<td>10110131</td>
<td>Configure Failure</td>
<td>The policy requested is malformed or causes an exception during the configuration process.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This is accompanied with a possible reason for the failure.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the policy configuration in the administrative console and reapply the configuration to the device.</td>
</tr>
<tr>
<td>50110132</td>
<td>Configure - Empty Policy Set</td>
<td>The set of policies requested either do not apply to the policy enforcement point or the set of policies do not match the categories selected in the policy enforcement list.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This may be normal operation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> If a policy is expected, check the category of the policy and make sure the policy is enabled for the device.</td>
</tr>
<tr>
<td>50110140</td>
<td>Terminating policy</td>
<td>The set of policies represented by the policy ID are no longer needed and will be removed from the operating policy set.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This happens each time a configuration is applied to the device.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. This is an informational message only.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
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<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>501101050</td>
<td>Evaluating policy</td>
<td>An evaluation request has been received for the set of policies represented by the policy ID.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This happens at least once per user session per configured policy enforcement point.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. This is an informational message only.</td>
</tr>
<tr>
<td>501101051</td>
<td>Policy Evaluation - Invalid User Error</td>
<td>User session received for policy evaluation was not found or contains invalid data.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The Identity Service Provider which authenticated the user is not accessible from the Embedded Service Provider.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Most often, this error will automatically restart the user identification process for Access Gateway.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If that does not occur:</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Administrator:</strong> If problem persists, check health status of Identity Service Provider and take appropriate action.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>End User:</strong> Retry request. If not redirected to the Identity Service Provider, force a refresh of the current browser page and Access Gateway/Embedded Service Provider will reinitialize the authentication process.</td>
</tr>
<tr>
<td>501101052</td>
<td>Policy Evaluation - Information Query Error</td>
<td>The Policy Evaluator is unable to gain access to information required by the policy.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This is accompanied with a possible reason for failure.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> As the administrator, check the health status of Identity Service Provider and take appropriate action.</td>
</tr>
<tr>
<td>501101053</td>
<td>Policy Evaluation - WSC Query Error</td>
<td>An attempt to use the WSC query mechanism of the ESP failed, the requested policy data is unavailable.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> This is accompanied with a possible reason for failure.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> As the administrator, check the health status of Identity Service Provider and take appropriate action.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
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<td>-------------</td>
<td>-------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>501101054</td>
<td>Policy Evaluation - Cluster Data Query Error</td>
<td>Attempt to retrieve user session data from ESP cluster member failed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The Embedded Service Provider which authenticated the user may not be accessible from the Embedded Service Provider evaluating the policy.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Most often, this error will automatically restart the user identification process for Access Gateway.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If that does not occur:</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>End User:</strong> Close browser and retry request.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Administrator:</strong> Check the health status of Embedded Service Provider referenced by IP address in the log and take appropriate action.</td>
</tr>
<tr>
<td>501101055</td>
<td>Policy Evaluation - Cluster Query Retry Count</td>
<td>Informational message containing the number of retries the ESP has made to request policy information from another cluster member.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The Embedded Service Provider which authenticated the user may not be accessible from the Embedded Service Provider evaluating the policy.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None, this is an informational message only.</td>
</tr>
<tr>
<td>Authorization PEP</td>
<td></td>
<td>Trace of an individual policy evaluation.</td>
</tr>
<tr>
<td>501102050</td>
<td>Policy Evaluation Trace</td>
<td><strong>Cause:</strong> Policy evaluation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking policy evaluation.</td>
</tr>
<tr>
<td>Identity Injection PEP</td>
<td></td>
<td>Trace of an individual policy evaluation.</td>
</tr>
<tr>
<td>501103050</td>
<td>Policy Evaluation Trace</td>
<td><strong>Cause:</strong> Policy evaluation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking policy evaluation.</td>
</tr>
<tr>
<td>Form Fill PEP</td>
<td></td>
<td>Trace of an individual policy evaluation.</td>
</tr>
<tr>
<td>501104050</td>
<td>Policy Evaluation Trace</td>
<td><strong>Cause:</strong> Policy evaluation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> None. Informational message used for checking policy evaluation.</td>
</tr>
</tbody>
</table>
Backup and Restore (010)

Backup and restore are invoked by script files:

- `defbkparm.sh`: Created by install. This has the default values for the scripts.
- `getparams.sh`: Prompts administrator for information needed to do the backup or restore operation.
- `ambkup.sh`: Script to run to perform a backup.
- `amrestore.sh`: Script to run to perform a restore.

Other programs used by backup and restore:

- `ICE`: This is the eDirectory utility to import and export LDIF file in and out of eDirectory.
- `ldifReverse`: This is a program that reverses the order of the records in the LDIF file exported from eDirectory. Reversing the order of records allows the LDIF file to be imported without errors.
- `certtool.jar`: This is a eDirectory certificate utility that backs up and restores the CA key, server keys, and trusted roots to a zip file.

Component 010

- Subgroup 01: Backup
- Subgroup 02: Restore
- Subgroup 03: `certtool` (certificate backup and restore)

Messages are logged to the `ambkup.log` file.

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 201001001  | Backup failed to export data from the configuration store. | **Cause:** The ICE utility failed to export directory information to an LDIF file.  
**Action:** Make sure that ICE is in the proper location (Linux: `/opt/novell/eDirectory/bin`).  
**Action:** Make sure that the host IP address, port, administrator, password are all correct.  
**Action:** Make sure the back up file is writable |
| 201001002  | Backup failed to format data for a successful restore. | **Cause:** The ldifReverse utility failed to sort the LDIF records.  
**Action:** Make sure that ldifReverse is in the proper location (Same directory as backup command).  
**Action:** Make sure the back up file is writable  
**Action:** Check for the backup file you specified with "_pre" appended to the file name.  
If the file exists, run the following command:  
`ldifReverse bkupfile_pre bkupfile`  
Replace `bkupfile` with the filename you specified for the backup file. It should create `bkupfile` which is the desired back up file. |
## Troubleshooting

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 201001003   | Backup failed to export certificates to the backup zip file. | **Cause:** The certtool utility failed to export the certificates to a zip file.  
**Action:** Make sure that **certtool.jar** is in the proper location (Same directory as backup command).  
**Action:** Make sure the backup file is writable.  
**Action:** Manually export the certificates to a zip file:  
```
java -Djava.library.path=/opt/novell/lib -jar certtool.jar -edirTree your_tree -edirIP 000.000.000.000 -edirServer cn=!ServerName.0=novell -edirUser cn=admin.0=novell -edirPwd secret -bkup -file ServerName_20060828_0930.zip -pwd certsecret -trcontainer trustedRoots.access ManagerContainer.novell -caName "your_tree CA"
```
| 201002001   | Backup file does not exist. | **Cause:** The backup file does not exist. The name of the backup file specified in answer to the prompt should not include the final the .ldif or .zip extension.  
**Action:** Specify the correct name of the backup file. |
| 201002002   | Backup file does not appear to be valid. | **Cause:** An simple analysis of the backup file indicates that the LDIF file specified backup file (with .ldif appended to the name) is not a valid backup file.  
**Action:** Make sure to specify a backup file that was created by the Access Manager Appliance Backup utility. |
| 201002003   | Restore failed to access the configuration store. | **Cause:** The ICE utility failed to access the eDirectory configuration store.  
**Action:** Make sure that ICE is in the proper location (Linux: /opt/novell/eDirectory/bin). Make sure that the host IP address, port, administrator, password are all correct. |
| 201002004   | Restore failed to format the current configuration store data. | **Cause:** Restore was not able to save a current copy of the configuration store. A current copy of the config store is saved before the import in case the import fails.  
**Action:** Make sure that ldifReverse is in the proper location (Same directory as backup command). |
<p>| 201002005   | Restore failed to prepare the configuration store for data import. | <strong>Cause:</strong> ICE failed. Unknown reason because it has previously been invoked successfully in the restore script. |
| 201002006   | Restore failed to prepare the configuration store for data import. | <strong>Cause:</strong> ICE failed. Unknown reason because it has previously been invoked successfully in the restore script. |</p>
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>101002007</td>
<td>Restore failed to restore the</td>
<td><strong>Cause:</strong> ICE failed. Unknown reason because it has previously been</td>
</tr>
<tr>
<td></td>
<td>backup data.</td>
<td>invoked successfully in the restore script.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check the configuration store for the following container:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ou=accessManagerContainer,o=novell</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If it is not there, locate the recover.ldif file. It should be in the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>directory where you ran the restore command. Run ICE to recover the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>configuration store to the state it was in before you attempted the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>restore. Enter the following command:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>/opt/novell/eDirectory/bin/ice -SLDIF -f recover.ldif -C -n -LDAP -sxxx.xxx.xxx.xxx -p636 -k -cn=admin, o=novell -wadmin_password -f</td>
</tr>
<tr>
<td>101002008</td>
<td>Failed to restore certificate from</td>
<td><strong>Cause:</strong> The java program restores the certificate failed. The java</td>
</tr>
<tr>
<td></td>
<td>backup file.</td>
<td>program is certtool.jar which provides command line access to various</td>
</tr>
<tr>
<td></td>
<td></td>
<td>eDirectory certificate functions.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> See the log file (ambkup.log) for more specific details.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The log file contains a listing of relevant parameters with each error</td>
</tr>
<tr>
<td></td>
<td></td>
<td>message. Assuming the back up from which you are trying to restore was</td>
</tr>
<tr>
<td></td>
<td></td>
<td>successful, failure to restore is probably an incorrectly supplied</td>
</tr>
<tr>
<td></td>
<td></td>
<td>parameter. Enter the following command:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>JAVA -classpath vcdnbkup.jar:certtool.jar com.novell.nids.bkuputil.Util -userid cn=admin,o=novell -pwd secret -vcdnUser</td>
</tr>
<tr>
<td>101002009</td>
<td>Failed to reconfigure VCDN user</td>
<td><strong>Cause:</strong> The VCDN user objects were not restored with their passwords.</td>
</tr>
<tr>
<td></td>
<td>objects.</td>
<td>Device Manager will not start up until the passwords have been properly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>set.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> This is accompanied with an error x01004xxx. Please refer</td>
</tr>
<tr>
<td></td>
<td></td>
<td>to that error.</td>
</tr>
</tbody>
</table>

**certtool utility**

<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>201003002</td>
<td>IP address is missing.</td>
<td><strong>Cause:</strong> The certtool.jar was launched without the -edirIP option. A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>script file might have been incorrectly modified.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Make sure the -edirIP option is specified in the script</td>
</tr>
<tr>
<td></td>
<td></td>
<td>when it launches the certtool utility.</td>
</tr>
<tr>
<td>201003005</td>
<td>eDirectory user id missing.</td>
<td><strong>Cause:</strong> The certtool.jar was launched without the -eDirUser option.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>A script file might have been incorrectly modified.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Make sure the -eDirUser cn=admin,o=novell option is specified</td>
</tr>
<tr>
<td></td>
<td></td>
<td>in the script when it launches the certtool utility.</td>
</tr>
<tr>
<td>Event Code</td>
<td>Description</td>
<td>Remedy</td>
</tr>
<tr>
<td>------------</td>
<td>------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 201003006  | eDirectory user password missing.        | **Cause:** The certtool.jar was launched without the -edirPwd option. A script file may have been incorrectly modified.  
**Action:** Make sure the -edirPwd option is specified in the script when it launches the certtool utility. |
| 201003009  | File name missing.                       | **Cause:** The certtool.jar was launched without the -file (name of backup file) option. A script file may have been incorrectly modified.  
**Action:** Make sure the -file option is specified in the script when it launches the certtool utility. |
| 201003011  | Encryption password missing.             | **Cause:** The certtool.jar was launched without the -pwd option. A script file may have been incorrectly modified.  
**Action:** Make sure the -pwd option is specified in the script when it launches the certtool utility. |
| 201003013  | Name of trusted root container missing.  | **Cause:** The certtool.jar was launched without the -trContainer (trusted root container) option. A script file may have been incorrectly modified.  
**Action:** Make sure the -trcontainer option is specified in the script when it launches the certtool utility. |
| 201003040  | Failed to open backup file for writing.  | **Cause:** Backup was unable to create or access the backup file in which to save certificate information.  
**Action:** Ensure that user running backup sufficient rights. |
| 201003041  | Failed to retrieve certificate names from eDirectory. | **Cause:** A PKI or eDirectory error.  
**Action:** This error will be accompanied by an error string. |
| 201003042  | Failed to retrieve certificate xxxx from eDirectory. | **Cause:** The certtool failed to retrieve the certificate identified in the error. Problems have been seen trying to export certificate with pending CSRs.  
**Action:** This error will be accompanied by an error string. |
| 201003043  | Failed to write certificate xxxx to backup file. | **Cause:** The certificate identified in the error message did not get saved to the backup file.  
**Action:** An exception string included in the message may provide additional information. |
| 301003044  | Error closing backup.                    | **Cause:** Likely will not cause a problem.  
**Action:** Try extracting the contents of the zip file created by backup to verify the integrity of the zip file. |
| 201003045  | Failed to write trusted root xxxx to backup file. | **Cause:** The trusted root identified in error messages did not get saved to the backup file.  
**Action:** An exception string included in the message might provide additional information.
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
</table>
| 201003046  | Failed to retrieve trusted root xxxx from eDirectory. | **Cause:** The certtool failed to retrieve the trusted root identified in the error. Likely a PKI or eDirectory error.  
**Action:** This error will be accompanied by an error string.                                   |
| 201003048  | Not all items were backed up.                         | **Cause:** See accompanying errors.                                    |
| 201003049  | Failed to retrieve the CA xxxx from eDirectory. Likely a PKI or eDirectory error. | **Cause:** The certtool failed to retrieve the CA key identified in the error.  
**Action:** This error will be accompanied by an error string.                                   |
| 201003050  | Failed to write CA key xxxx to backup file.           | **Cause:** The CA key identified in the error did not get written to the backup file.  
**Action:** An exception string included in the message may provide additional information.        |
| 201003051  | Failed to open backup file for reading.               | **Action:** Make sure the backup file exists. Do not include .ldif or .zip in the name of the backup file.  
**Action:** Make sure the user logged in has sufficient rights to access the file.                |
| 201003052  | Not all items were restored.                          | **Cause:** See accompanying errors.                                    |
| 301003053  | Error closing backup.                                 | **Action:** This error occurred after all restore operations had completed. Should not cause any problem. |
| 201003056  | Error importing CA key: xxxx                          | **Action:** The CA key was not restored. See the accompanying Error for more information. Likely a PKI error.  
**Action:** Make sure the password you provided matches the encryption password used when backing up the data. |
| 201003057  | Error importing key: xxxx                             | **Cause:** The CA key was not restored. See the accompanying Error for more information. Likely a PKI error.  
**Action:** Make sure the password you provided matches the encryption password used when backing up the data. |
| 201003058  | Error importing trusted root: xxxx                    | **Cause:** The trusted root was not restored. See the accompanying Error for more information. Likely a PKI error.  
**Action:** Make sure the password you provided matches the encryption password used when backing up the data. |

**VCDN configuration**
The Modular Authentication Service (NMAS) Class provides access to a number of advanced authentication mechanisms available from NetIQ and NetIQ partners.

Component 012

- Subgroup 01: General/Configuration
- Log file: catalina.out for trace and application level logging as enabled by the log settings (click Identity Server > Edit > Logging)
<table>
<thead>
<tr>
<th>Event Code</th>
<th>Description</th>
<th>Remedy</th>
</tr>
</thead>
<tbody>
<tr>
<td>301201001</td>
<td>NMAS Authentication Class</td>
<td>The log message language resource file could not be located.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The log message language resource file was not found.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify installation.</td>
</tr>
<tr>
<td>101201002</td>
<td>NMAS Authentication Class</td>
<td>Error getting LDAP host address.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> System configuration.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify installation and availability of LDAP host server.</td>
</tr>
<tr>
<td>101201003</td>
<td>NMAS Authentication Class</td>
<td>The NMAS_LOGIN_SEQUENCE initialization property were not provided.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> The NMAS_LOGINSEQUENCE property was not defined for the authentication class.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Use the management interface to add the NMAS_LOGINSEQUENCE property to either the class or the method, and assign it the name of a valid NMAS login sequence.</td>
</tr>
<tr>
<td>101201004</td>
<td>NMAS Authentication Class</td>
<td>Unable to write to HTTPResponse</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Unknown</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check system status.</td>
</tr>
<tr>
<td>501201005</td>
<td>NMAS Authentication Class</td>
<td>UserID not found.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Invalid User ID.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Verify username</td>
</tr>
<tr>
<td>101201006</td>
<td>NMAS Authentication Class</td>
<td>Invalid NMAS Login state.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> Unknown</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Check server status.</td>
</tr>
<tr>
<td>101201007</td>
<td>NMAS Authentication Class</td>
<td>NMAS Login Error.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Cause:</strong> See NMAS Error codes.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Action:</strong> Indicated by NMAS error code.</td>
</tr>
</tbody>
</table>
The following sections contain additional documentation and information about Access Manager:

- Appendix A, “Data Model Extension XML,” on page 1293
- Appendix B, “SOAP versus REST API,” on page 1299
- Appendix C, “OAuth versus Other Protocols,” on page 1301
- Appendix D, “Access Manager Reports Samples,” on page 1303
Data Model Extension XML

The data model for some Web services is extensible. You can enter XML definitions of data model extensions in a custom profile (for more information, see "Modifying Service and Profile Details for Employee, Custom, and Personal Profiles" on page 450). Data model extensions hook into the existing Web service data model at predefined locations.

All schema model extensions reside inside of a schema model extension group. The group exists to bind model data items together under a single localized group name and description. Schema model extension groups can reside inside of a schema model extension root or inside of a schema model extension. There can only be one group per root or extension. Each root is hooked into the existing Web service data model. Multiple roots can be hooked into the same location in the existing Web service data model. This conceptual model applies to the structure of the XML that is required to define data model extensions.

The high-level view of the data model extension XML is as follows:

```xml
<SchemaExtensions>
  <Root>
    <Group>
      <Extension>
        <Group>
          <Extension>...</Extension>
        </Extension>
        <Extension>...</Extension>
        ...
      </Group>
    </Extension>
    <Extension>
      <ValueSet>
        <Value/>
        <Value/>
      </ValueSet>
    </Extension>
    ...
  </Group>
  <Root>...</Root>
  ...
</SchemaExtensions>
```

Elements

The definition of the attributes for each data model extension XML element are as follows:

- "Root Element" on page 1294
- "Group Element" on page 1294
- "Extension Element" on page 1294
- "ValueSet Element" on page 1295
- "Value Element" on page 1296
Root Element

parent: The unique identifier of the “hook point” in the Web service’s data model. These hook points are defined by the Web service data model schema. These unique identifiers represent the xpaths of each data item within the model schema. Possible values for the parent attribute are listed in Table A-1:

Table A-1  Root Element

<table>
<thead>
<tr>
<th>Package</th>
<th>XML Path</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Profile</td>
<td>/pp:PP/pp:Extension</td>
</tr>
<tr>
<td></td>
<td>/pp:PP/pp:CommonName/pp:Extension</td>
</tr>
<tr>
<td></td>
<td>/pp:PP/pp:CommonName/pp:AnalyzedName/pp:Extension</td>
</tr>
<tr>
<td></td>
<td>/pp:PP/pp:MsgContact/pp:Extension</td>
</tr>
<tr>
<td></td>
<td>/pp:PP/pp:Demographics/pp:Extension</td>
</tr>
<tr>
<td>Employee Profile</td>
<td>/ep:EP/ep:Extension</td>
</tr>
<tr>
<td></td>
<td>/ep:EP/ep:CorpCommonName/ep:Extension</td>
</tr>
<tr>
<td></td>
<td>/ep:EP/epCorpLegalIdentity/ep:Extension</td>
</tr>
<tr>
<td>Open Profile</td>
<td>/op:OP/op:Extension</td>
</tr>
<tr>
<td></td>
<td>/op:OP/op:CustomizableStrings/op:Extension</td>
</tr>
</tbody>
</table>

package (required): The Java package name where all classes for this root are implemented. This includes resource description classes and data model instance classes. For example, com.novell.nids.profile.model.extensions.

resourceClass (required): The Java class name of the resource description class that is used to load all resources associated with this root. Because resource description class files are assumed to reside in the root’s package, only the filename is needed. Resource description classes are Java classes that must be created by the person extending the model. You must also extend the com.novell.nidp.resource.NIDPResDesc class.

Group Element

resourceID: The resource ID of the display name of the group. This resource ID is assumed to be a key in the resource bundle supplied by the resource description class file associated with the containing root.

descriptionResourceID: The resource ID of the description of the group. This resource ID is assumed to be a key in the resource bundle supplied by the resource description class file associated with the containing root.

Extension Element

name (required): The name of the data model extension. This name must be the name of the XML element that will be used in the data model.
**class (optional):** The Java class name of the data model instance class. Because data model instance class files are assumed to reside in the root’s package, only the filename is needed. If this attribute is omitted, then the value of the name attribute must be the instance class filename.

**syntax:** The syntax of this data model extension. Possible values are:

- String
- LocalizedString
- Container

**format:** Required if the syntax is String or LocalizedString. The syntax of this data model extension. Possible values are:

- Caselgnore
- CaseExtract
- URI
- URL
- Date
- DateNoYear
- CountryCode
- LanguageCode
- KeyInfo
- Number

**upper:** The upper bound of a numeric value. Use this attribute only if the format attribute value is Number. The value is a signed integer. If this attribute is omitted, the default value is java.lang.Integer.MAX_VALUE.

**lower (optional):** The lower bound of a numeric value. This attribute is only used if the format attribute value is Number. The value is a signed integer. If this attribute is omitted, the default value is java.lang.Integer.MIN_VALUE.

**min (required):** The cardinality of the XML element represented by this data model extension. It is the minimum number of elements allowed. The value is an unsigned integer. If this attribute is omitted, the default value is 0.

**max (required):** The cardinality of the XML element represented by this data model extension. It is the maximum number of elements allowed. The value is an unsigned integer. If this attribute is omitted, the default value is 1. The value UNBOUNDED may be used to indicate that there are no bounds.

**namingClass:** (required if syntax equals Container and max is UNBOUNDED). The class that is used as the naming attribute for the container. The class must represent one of the immediate children of the container. This class is used to name each instance of the container.

### ValueSet Element

A ValueSet element contains a set of fixed values that a data model entry can contain. If a data model extension has a ValueSet, the user interface to edit the value of that extension limits the user to these values. The ValueSet element has no attributes.
Value Element

A Value element represents a value in a ValueSet. It contains the actual value to be stored in the data model entry and the display name resource ID associated with the value.

resourceID (required): The resource ID of the display name of the value. This resource ID is assumed to be a key in the resource bundle supplied by the resource description class file associated with the containing root.

value (required): The value stored in the data model entry.

name (required): The name of the data model extension. This name must be the name of the XML element that is used in the data model.

Writing Data Model Extension XML

Data model extension XML must be defined in the namespace novell:liberty:wsf:config:1:0:0 and that namespace must be defined on the SchemaExtensions element. Normally, the namespace prefix wsfc is used. An example of data model extension XML is:

```xml
<wsfc:SchemaExtensions xmlns:wsfc="novell:liberty:wsf:config:1:0:0">
        package="com.novell.nidp.liberty.wsf.idsis.ppservice.extensions"
        resourceClass="PPExtensionsResDesc">
        <wsfc:Group resourceId="PP.EXT.FC.GROUP"
            descriptionResourceId="PP.EXT.FC.GROUP.DESC">
            <wsfc:Extension name="AliasName"
                class="FacadeAliasName"
                syntax="String"
                format="CaseIgnore"
                resourceId="PP.EXT.FC.AliasName"
                min="0" max="1"/>
            <wsfc:Extension name="FavoriteURLs"
                class="FacadeFavoriteURLs"
                syntax="String"
                format="CaseExact"
                resourceId="PP.EXT.FC.FavoriteURLs" min="0" max="UNBOUNDED"/>
        </wsfc:Group>
    </wsfc:Root>
    <wsfc:Root parent="/pp:PP/pp:Demographics/pp:Extension"
        package="com.novell.nidp.liberty.wsf.idsis.ppservice.extensions"
        resourceClass="PPExtensionsResDesc">
        <wsfc:Group resourceId="PP.EXT.DM.GROUP"
            descriptionResourceId="PP.EXT.DM.GROUP.DESC">
            <wsfc:Extension name="EyeColor"
                class="DemographicsEyeColor"
                syntax="String" format="URI"
                resourceId="PP.EXT.DM.EyeColor"
                min="0" max="UNBOUNDED">
                <wsfc:ValueSet>
                    <wsfc:Value resourceId="PP.EXT.DM.HC.Blue" value="urn:pp:dm:blue"/>
                    <wsfc:Value resourceId="PP.EXT.DM.HC.Brown" value="urn:pp:dm:brown"/>
                    <wsfc:Value resourceId="PP.EXT.DM.HC.Green" value="urn:pp:dm:green"/>
                    <wsfc:Value resourceId="PP.EXT.DM.HC.Gray" value="urn:pp:dm:gray"/>
                    <wsfc:Value resourceId="PP.EXT.DM.HC.Hazel" value="urn:pp:dm:hazel"/>
                </wsfc:ValueSet>
            </wsfc:Extension>
        </wsfc:Group>
    </wsfc:Root>
</wsfc:SchemaExtensions>
```
<wsfc:Root parent="/pp:PP/pp:Extension"
    package="com.novell.nidp.liberty.wsf.idsis.ppservice.extensions"
    resourceClass="PPExtensionsResDesc">
<wsfc:Group resourceId="PP.EXT.AU.GROUP"
    descriptionResourceId="PP.EXT.AU.GROUP.DESC">
<wsfc:Extension name="Automobile"
    class="Automobile"
    syntax="Container"
    resourceId="PP.EXT.Automobile"
    min="0"
    max="UNBOUNDED"
    namingClass="AutomobileLicensePlate">
<wsfc:Group resourceId="PP.EXT.AU.DETAILS.GROUP"
    descriptionResourceId="PP.EXT.AU.DETAILS.GROUP.DESC">
<wsfc:Extension name="AutomobileModel"
    class="AutomobileModel"
    syntax="String"
    resourceId="PP.EXT.AU.Model"
    min="0"
    max="1"/>
<wsfc:Extension name="AutomobileMake"
    class="AutomobileMake"
    syntax="String"
    format="CaseIgnore"
    resourceId="PP.EXT.AU.Make"
    min="0"
    max="1"/>
<wsfc:Extension name="AutomobileLicensePlate"
    class="AutomobileLicensePlate"
    syntax="String"
    format="CaseIgnore"
    resourceId="PP.EXT.AU.LicensePlate"
    min="0" max="1"/>
</wsfc:Group>
</wsfc:Extension>
</wsfc:Group>
</wsfc:Root>
</wsfc:SchemaExtensions>
The following table compares SOAP with REST:

<table>
<thead>
<tr>
<th>SOAP</th>
<th>REST</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stands for Simple Object Access Protocol</td>
<td>Stands for Representational State Transfer</td>
</tr>
<tr>
<td>An XML based message protocol</td>
<td>Does not enforce message format</td>
</tr>
<tr>
<td>Follows stateful implementation</td>
<td>Follows stateless model</td>
</tr>
<tr>
<td>No error handling</td>
<td>Built-in error handling</td>
</tr>
<tr>
<td>Strongly typed, strict specification for implementation</td>
<td>Less restrictive about the implementation</td>
</tr>
<tr>
<td>Uses interfaces and named operations to expose business logics</td>
<td>Uses URI and methods to expose resources</td>
</tr>
<tr>
<td>Both SMTP and HTTP are valid application layer protocols used as Transport for SOAP</td>
<td>Tied to the HTTP transport model</td>
</tr>
<tr>
<td>More verbose</td>
<td>Less verbose</td>
</tr>
<tr>
<td>Uses WSDL for communication between consumer and provider</td>
<td>Uses XML or JSON to send and receive data</td>
</tr>
<tr>
<td>Invokes services by calling RPC method</td>
<td>Invokes services through URL path</td>
</tr>
</tbody>
</table>
The following table lists the differences among OAuth, OpenID Connect, WS-Trust, WS Fed, and SAML:

**Table C-1  Differences among OAuth, OpenID Connect, and WS*-Family**

<table>
<thead>
<tr>
<th></th>
<th>OAuth</th>
<th>OpenID Connect</th>
<th>SAML</th>
<th>WS-* Family</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>OAuth</strong></td>
<td>An open protocol to allow secure authorization in a simple and standard method from web, mobile and desktop applications.</td>
<td>Provides single sign-on (SSO) layer on top of the OAuth protocol for consumers.</td>
<td>An XML-based open standard data format for exchanging authentication and authorization data between an identity provider and a service provider.</td>
<td>Allows secure identity propagation and token exchange between Web services.</td>
</tr>
<tr>
<td></td>
<td>Provides API authorization between applications.</td>
<td></td>
<td>Encompasses profiles, bindings and constructs to achieve SSO, federation, and identity management.</td>
<td>Enables applications to construct trusted SOAP message exchanges.</td>
</tr>
<tr>
<td><strong>OAuth tokens can be binary, JSON, or SAML</strong></td>
<td>Uses JSON tokens</td>
<td>Deals with XML as the data construct or token format.</td>
<td>Uses Request Security Token (RST) and Request Security Token Response (RSTR)</td>
<td></td>
</tr>
<tr>
<td><strong>Uses HTTP exclusively</strong></td>
<td>Uses HTTP exclusively</td>
<td>No restriction on the transport format. You can use SOAP, JMS, or any transport you want to use to send SAML tokens or messages.</td>
<td>No restriction on the transport format. You can use SOAP, JMS, or any transport you want to use to send SAML tokens or messages.</td>
<td></td>
</tr>
<tr>
<td><strong>Designed for use with applications on the Internet.</strong></td>
<td>Designed for use with applications on the Internet.</td>
<td>Used in enterprise SSO scenarios.</td>
<td>Used in enterprise SSO scenarios.</td>
<td></td>
</tr>
</tbody>
</table>
Access Manager Reports Samples

This section provides samples of reports that you can generate by using the Access Manager Reporting Solution Pack.

- "Application Access Summary Report" on page 1304
- “User Application Access Summary Report” on page 1305
- “Application Specific User Access Report” on page 1306
- “Federation Summary Report” on page 1307
- "User Login Contract Summary Report" on page 1308
- “User Login Failure Report” on page 1309
- "Application Specific Risk based Authentication Report" on page 1310
NOTE: In this sample report, Office365 and SuccessFactors are names of the protected resources configured in Access Gateway reverse proxies. These are not federated Office 365 and Success Factor requests.
User Application Access Summary Report

Application Access Summary for user bob

Top Number of Application Accessed

Total Number of Applications  ➤  3

Duration from 2014-12-01 00:00:00 to 2014-12-17 12:37:48

<table>
<thead>
<tr>
<th>Application Name</th>
<th>Access Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAP</td>
<td>22</td>
</tr>
<tr>
<td>Version_One</td>
<td>24</td>
</tr>
<tr>
<td>MS_Exchange</td>
<td>44</td>
</tr>
</tbody>
</table>
Application Specific User Access Report

Users Access Statistics for Application office365

Top 10 Users Accessed Application : office365

Duration from 2014-12-01 00:00:00 to 2014-12-17 12:29:49

User | Access Count
--- | ---
renee | 2
dawn | 30
neal | 36
amy | 40

Application Name | Initiator | Target
--- | --- | ---
Office365 | renee | 10.0.2.19
Office365 | dawn | 10.0.2.19
Office365 | neal | 10.0.2.19
Office365 | amy | 10.0.2.19
Office365 | amy | 10.0.2.19
Office365 | amy | 10.0.2.19
Office365 | amy | 10.0.2.19
Federation Summary Report

NetIQ Access Manager

Federation Summary
Users who accessed a federated service in a given time.

TOTAL FEDERATED USERS FROM IDENTITY PROVIDERS AND SERVICE PROVIDERS

Duration from 2014-12-01 00:00:00 to 2014-12-17 12:42:52

List of Identity Providers and Service Providers

<table>
<thead>
<tr>
<th>Provider</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>ID Provider 220</td>
<td>3</td>
</tr>
<tr>
<td>Service Provider 99</td>
<td>7</td>
</tr>
</tbody>
</table>
User Login Contract Summary Report

Number of users login based on authentication contracts in a given time.

<table>
<thead>
<tr>
<th>Number of Users Based on Contracts</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>ID Provider 220</td>
<td>4</td>
</tr>
<tr>
<td>WSFED</td>
<td>20</td>
</tr>
<tr>
<td>Name/Password - Form</td>
<td>281</td>
</tr>
</tbody>
</table>
User Login Failure Report

Total login failure count >3

Duration from 2015-03-22 00:00:00 to 2015-03-24 14:46:51

<table>
<thead>
<tr>
<th>Login Failure Reason</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unable to locate user name</td>
<td>1</td>
</tr>
<tr>
<td>Account is restricted for user</td>
<td>1</td>
</tr>
<tr>
<td>Incorrect password was entered for user</td>
<td>1</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Event</th>
<th>Initiator</th>
<th>IDP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Incorrect password was entered for user</td>
<td>renee</td>
<td>10.0.1.20</td>
</tr>
<tr>
<td>Unable to locate user name</td>
<td>dawn</td>
<td>10.0.1.20</td>
</tr>
<tr>
<td>Account is restricted for user</td>
<td>bob</td>
<td>10.0.1.20</td>
</tr>
</tbody>
</table>
Application Specific Risk based Authentication Report

Total Risk Based Authentications For Application - RBA-PS

Risk Level | Access Count
---|---
High | 8
Medium | 11
Low | 14

<table>
<thead>
<tr>
<th>Initiator</th>
<th>Risk Score Risk Level</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>asw-nicky@nowell</td>
<td>20</td>
<td>HIGH On Rule Pass Executed DENY</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
<tr>
<td>om2skeet @nowell</td>
<td>20</td>
<td>ALLOW</td>
</tr>
</tbody>
</table>